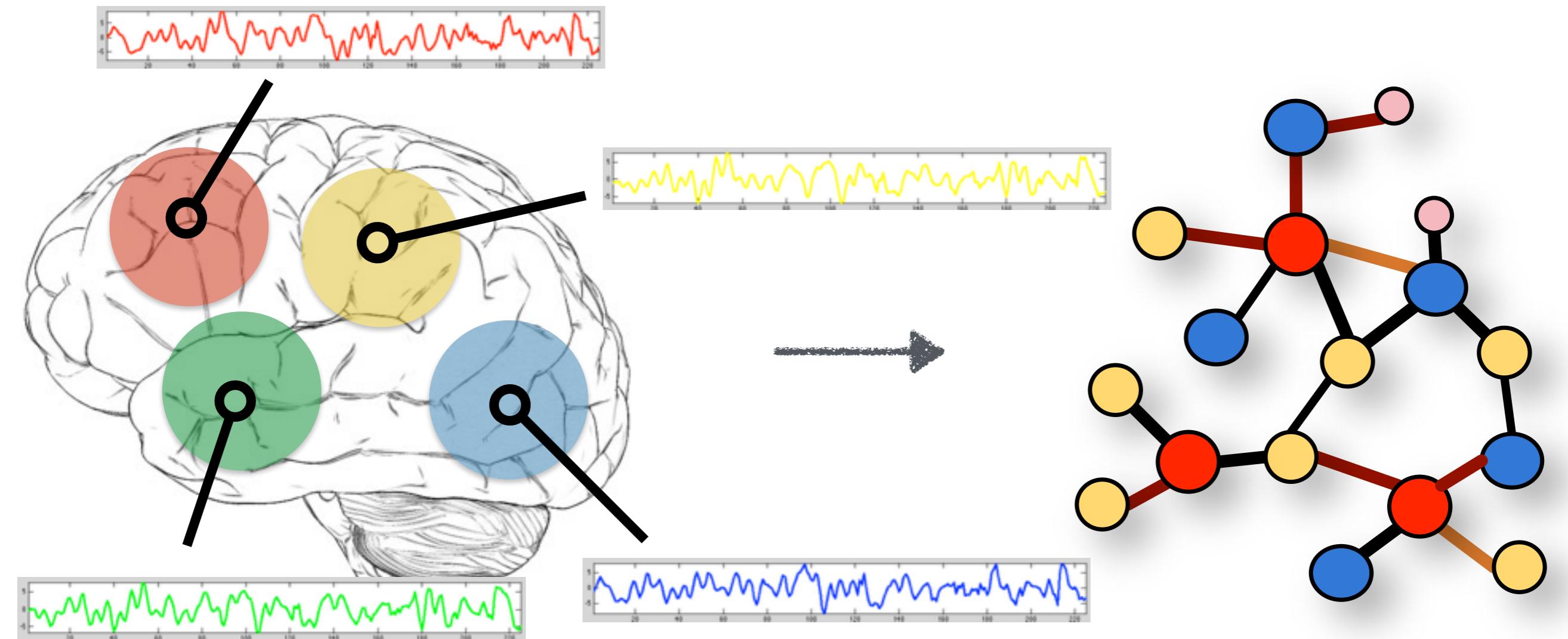


Semi-supervised Tensor Factorization for Brain Network Analysis

Bokai Cao, Chun-Ta Lu, Xiaokai Wei, Philip S. Yu and
Alex D. Leow
University of Illinois at Chicago

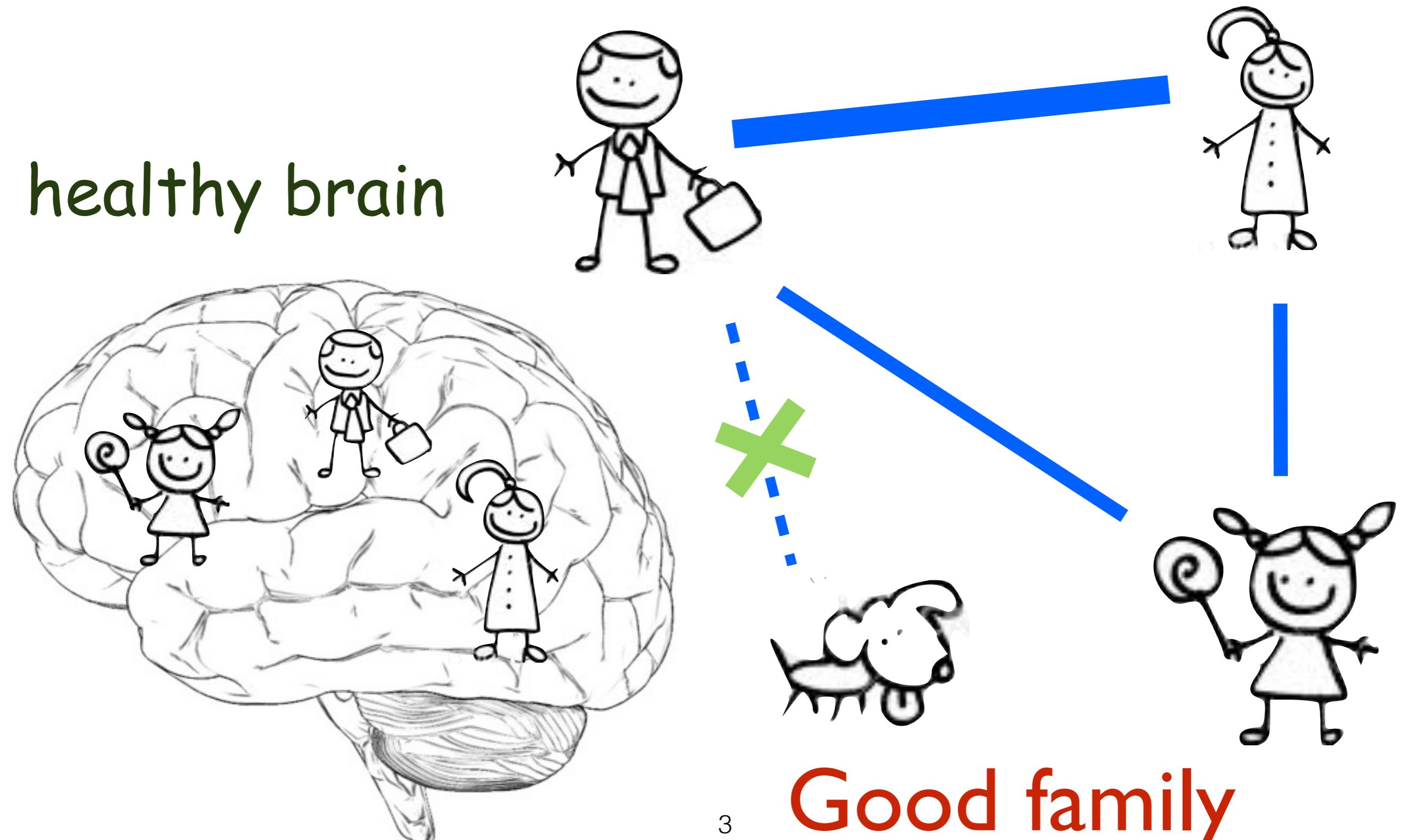
Brain Network Data



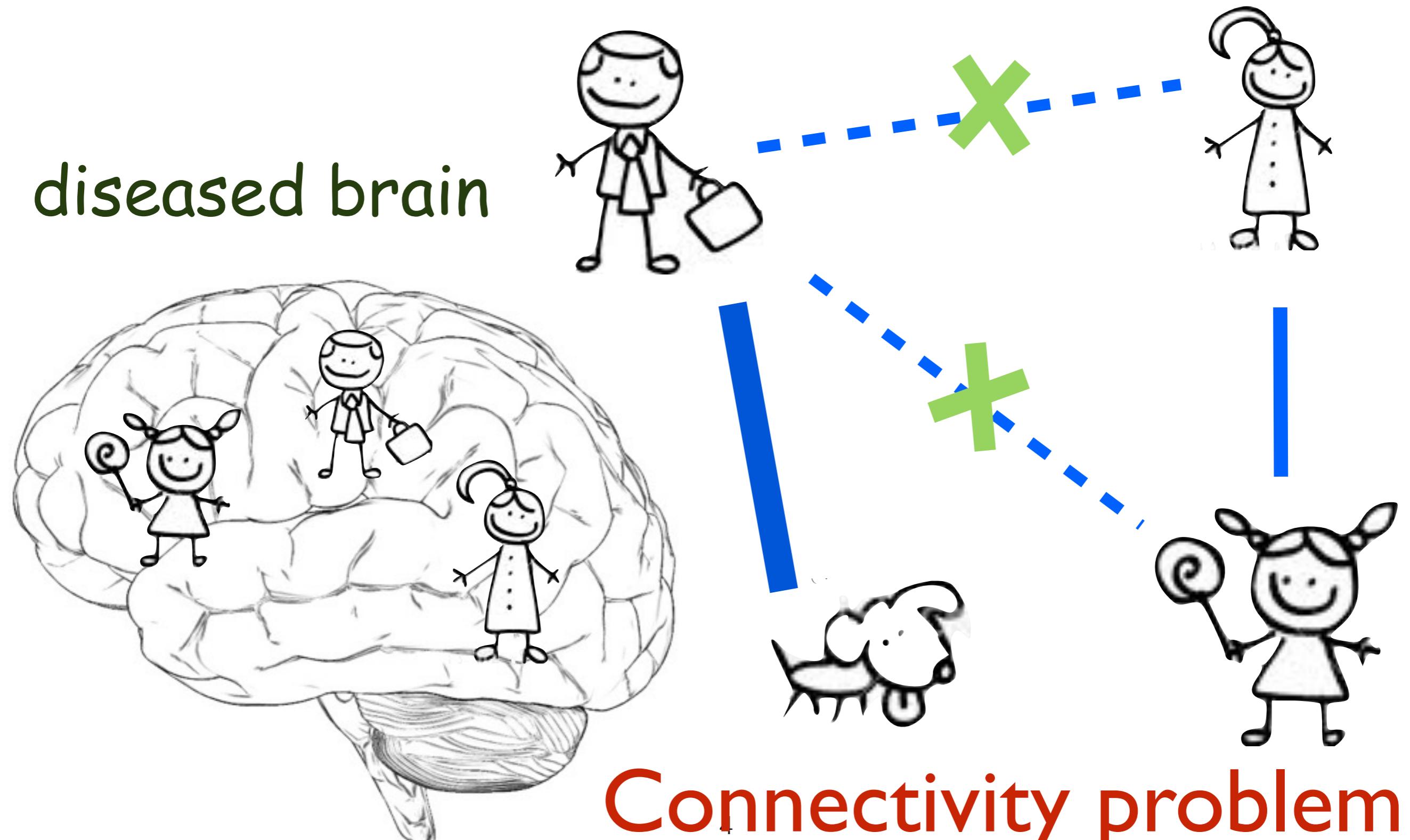
Neuroimaging

Brain Networks

Brain Network Data

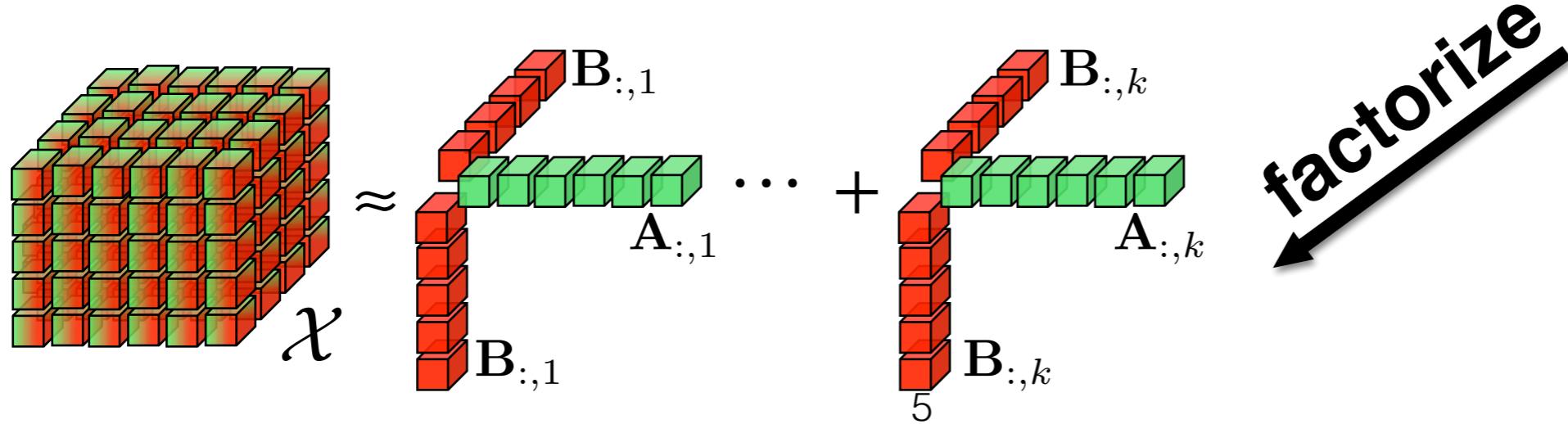
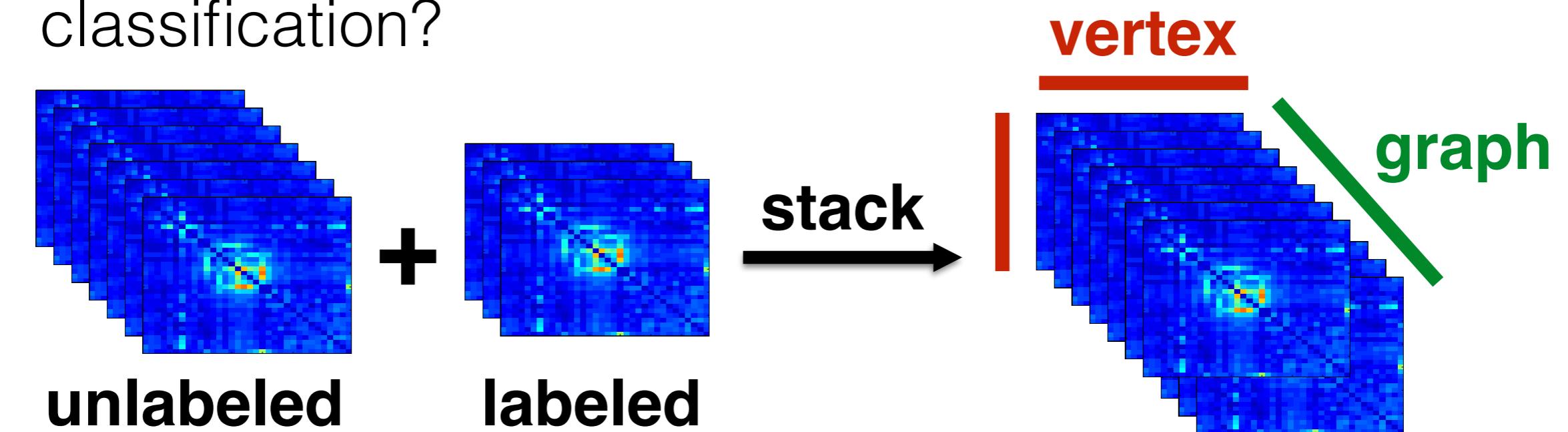


Brain Network Data



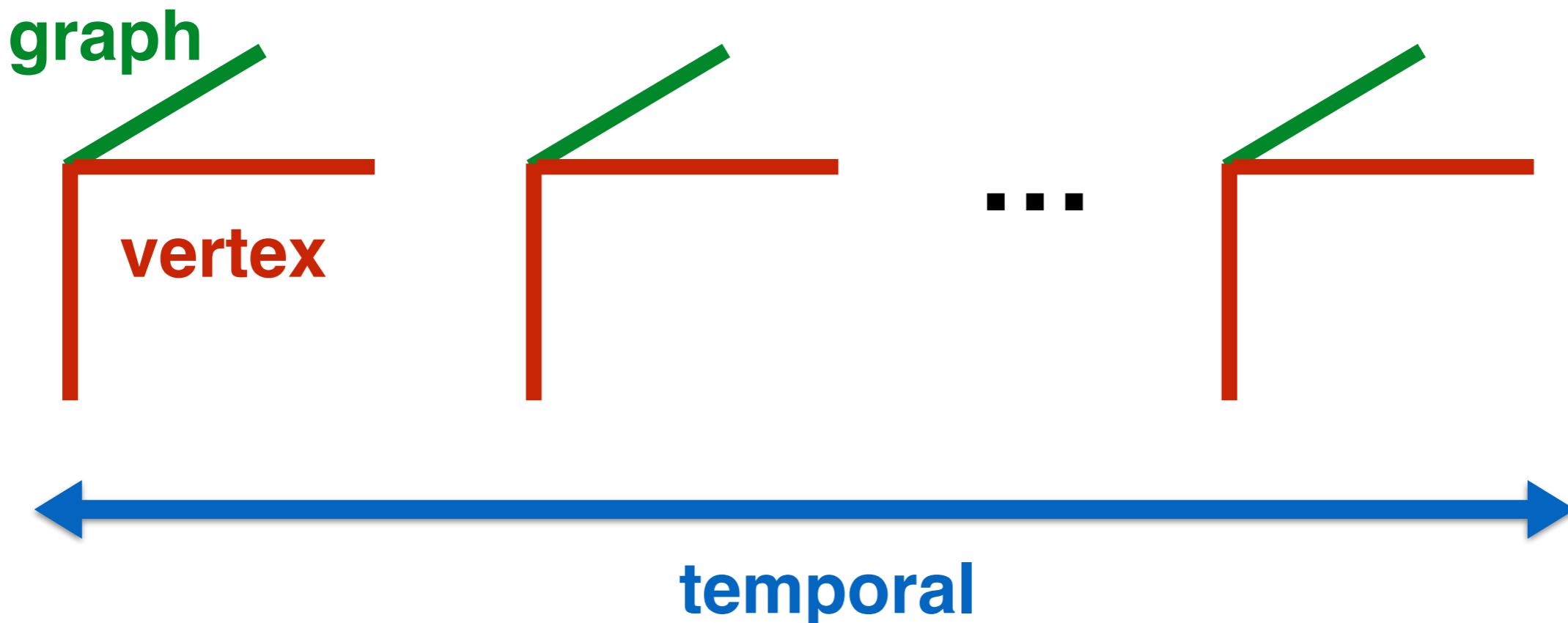
Problems & Solutions

- (P1) How can we leverage the unlabeled data, i.e., resting-state brain networks, to facilitate classification?



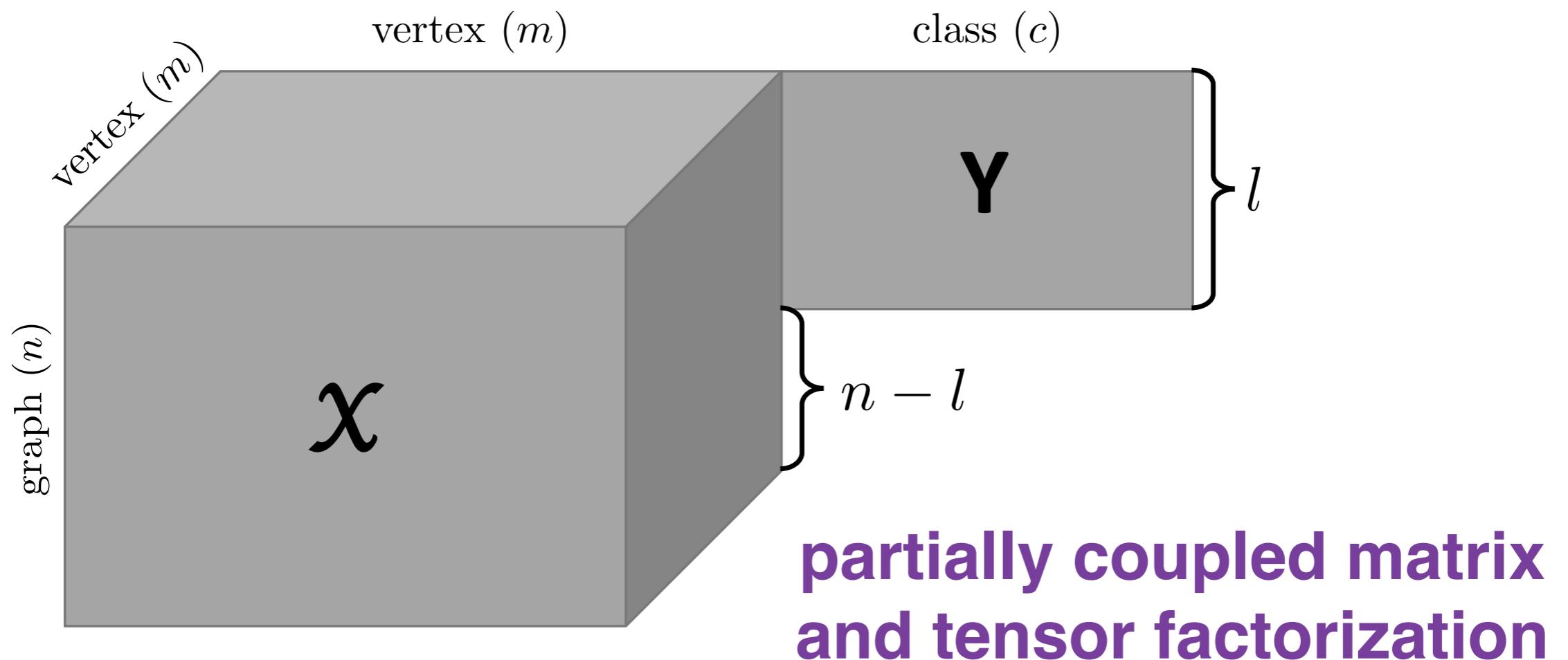
Problems & Solutions

- (P2) How can we directly fully utilize the temporal information in our model?



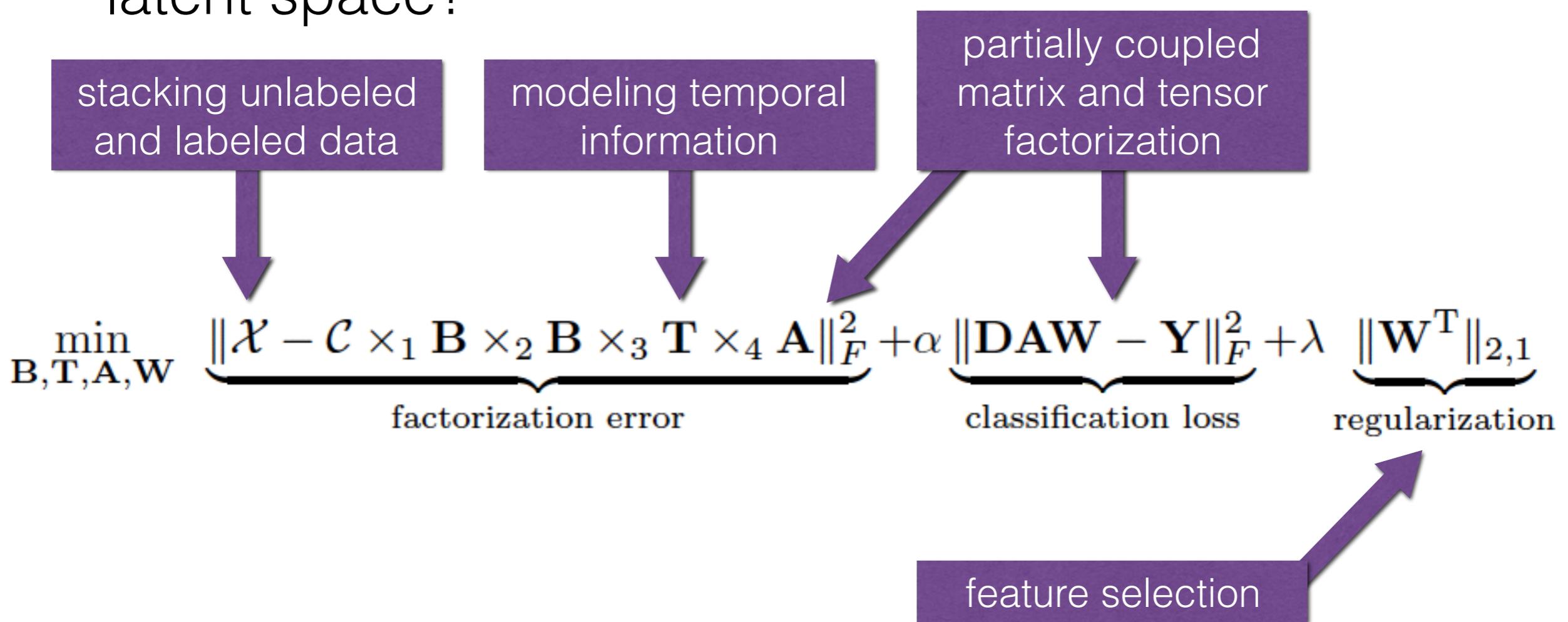
Problems & Solutions

- (P3) How can we effectively fuse these two procedures together?



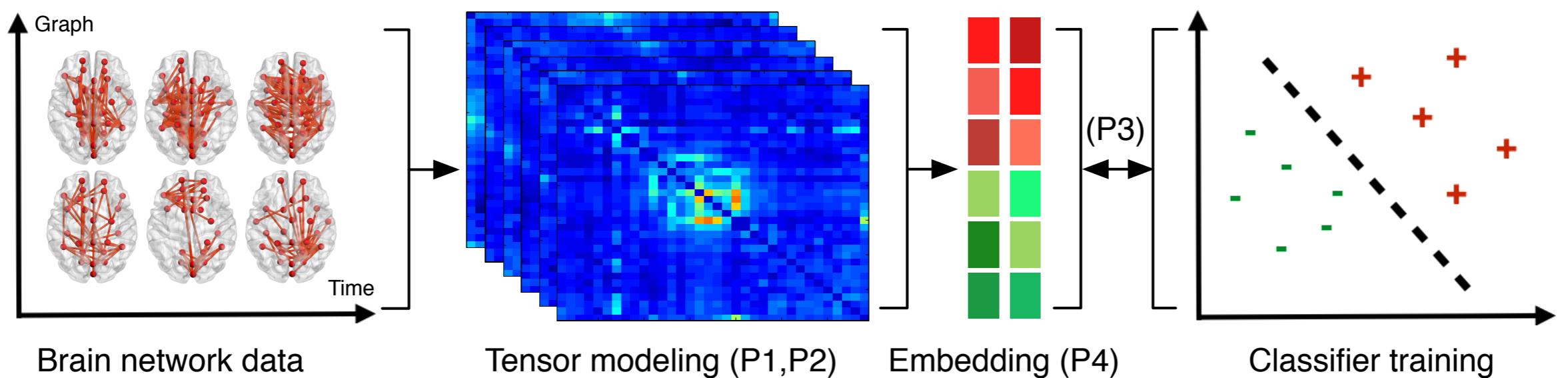
Problems & Solutions

- (P4) How can we achieve feature selection in the latent space?



Tensor-based Framework

$$\begin{aligned}
 & \min_{\mathbf{B}, \mathbf{T}, \mathbf{A}, \mathbf{W}} \underbrace{\|\mathcal{X} - \mathcal{C} \times_1 \mathbf{B} \times_2 \mathbf{B} \times_3 \mathbf{T} \times_4 \mathbf{A}\|_F^2}_{\text{factorization error}} + \alpha \underbrace{\|\mathbf{D}\mathbf{A}\mathbf{W} - \mathbf{Y}\|_F^2}_{\text{classification loss}} + \lambda \underbrace{\|\mathbf{W}^T\|_{2,1}}_{\text{regularization}} \\
 & \text{s.t. } \underbrace{\mathbf{A}^T \mathbf{A} = \mathbf{I}}_{\text{orthogonality}}
 \end{aligned} \tag{2}$$



- semiBAT: the proposed semi-supervised brain network analysis approach based on constrained tensor factorization.

Optimization: ADMM

Algorithm 1 SEMIBAT

Input: $\mathcal{X}, \mathbf{Y}, \alpha, \lambda$

Output: $\mathbf{B}, \mathbf{T}, \mathbf{A}, \mathbf{W}$

- 1: Set $v_{max} = \phi_{max} = \psi_{max} = 10^6$, $\rho = 1.15$
 - 2: Initialize $\mathbf{B}, \mathbf{T}, \mathbf{A}, \mathbf{W} \sim \mathcal{U}(0, 1)$, $\mathbf{\Upsilon} = \mathbf{\Phi} = \mathbf{\Psi} = \mathbf{0}$, $v = \phi = \psi = 10^{-6}$
 - 3: **repeat**
 - 4: Update \mathbf{B} and \mathbf{P} by Eq. (5) and Eq. (7)
 - 5: Update \mathbf{T} by Eq. (9)
 - 6: Update \mathbf{A} and \mathbf{Q} by Eq. (12) and Eq. (13)
 - 7: Update \mathbf{W} by Eq. (16)
 - 8: Update $\mathbf{\Upsilon}$, $\mathbf{\Phi}$ and $\mathbf{\Psi}$ by Eq. (8) and Eq. (14)
 - 9: $v \leftarrow \min(\rho v, v_{max})$, $\phi \leftarrow \min(\rho \phi, \phi_{max})$, $\psi \leftarrow \min(\rho \psi, \psi_{max})$
 - 10: **until** convergence
-

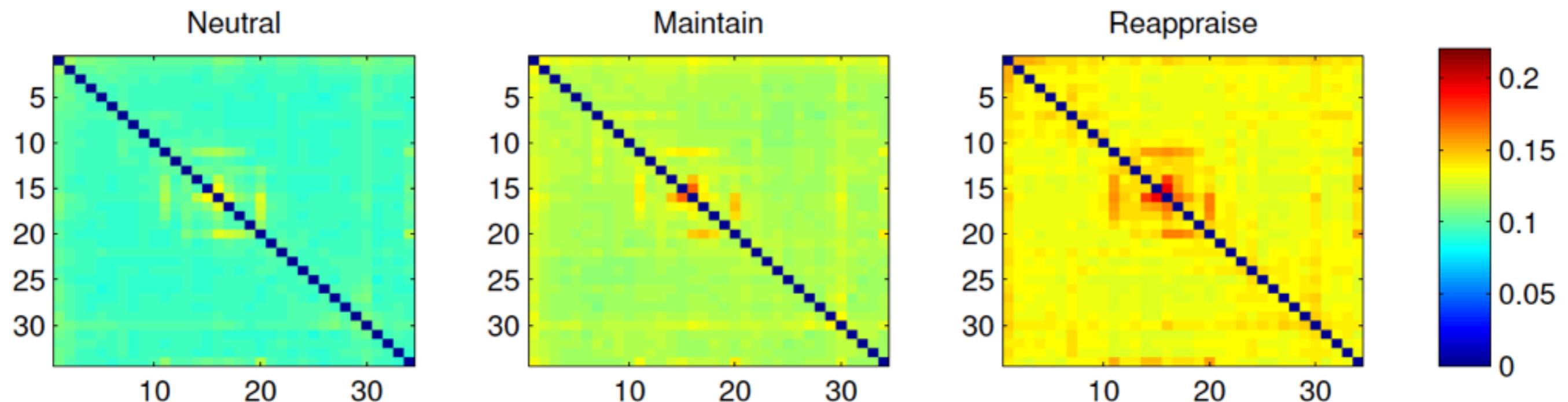
code available at: <https://www.cs.uic.edu/~bcao1/code/semibat.zip>

Experiments

Training: 22 healthy participants at the University of Illinois at Chicago (UIC)
Test: 11 healthy participants at the University of Michigan (UMich)

Unlabeled data: resting-state (UIC only)
Labeled data: neutral, maintain, reappraise

$n = 22 * 4 + 11 * 3 = 121$ samples
 $m = 34$ scalp channels
 $t = 130$ time points

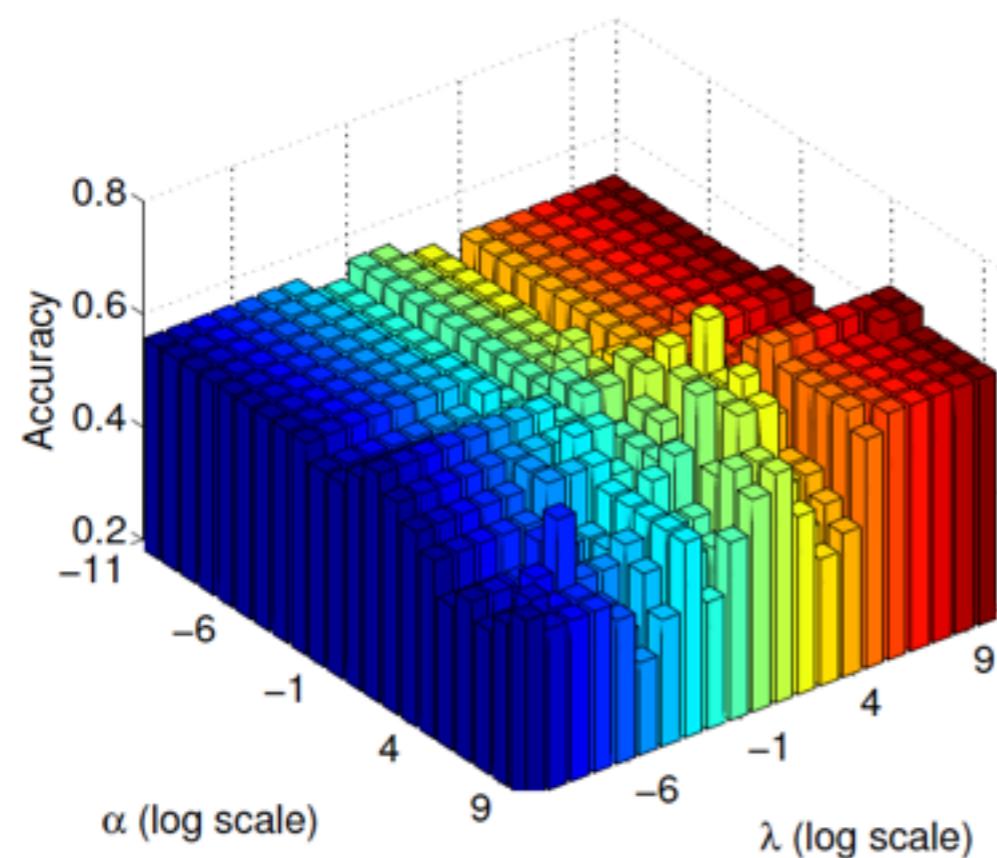


Classification Performance

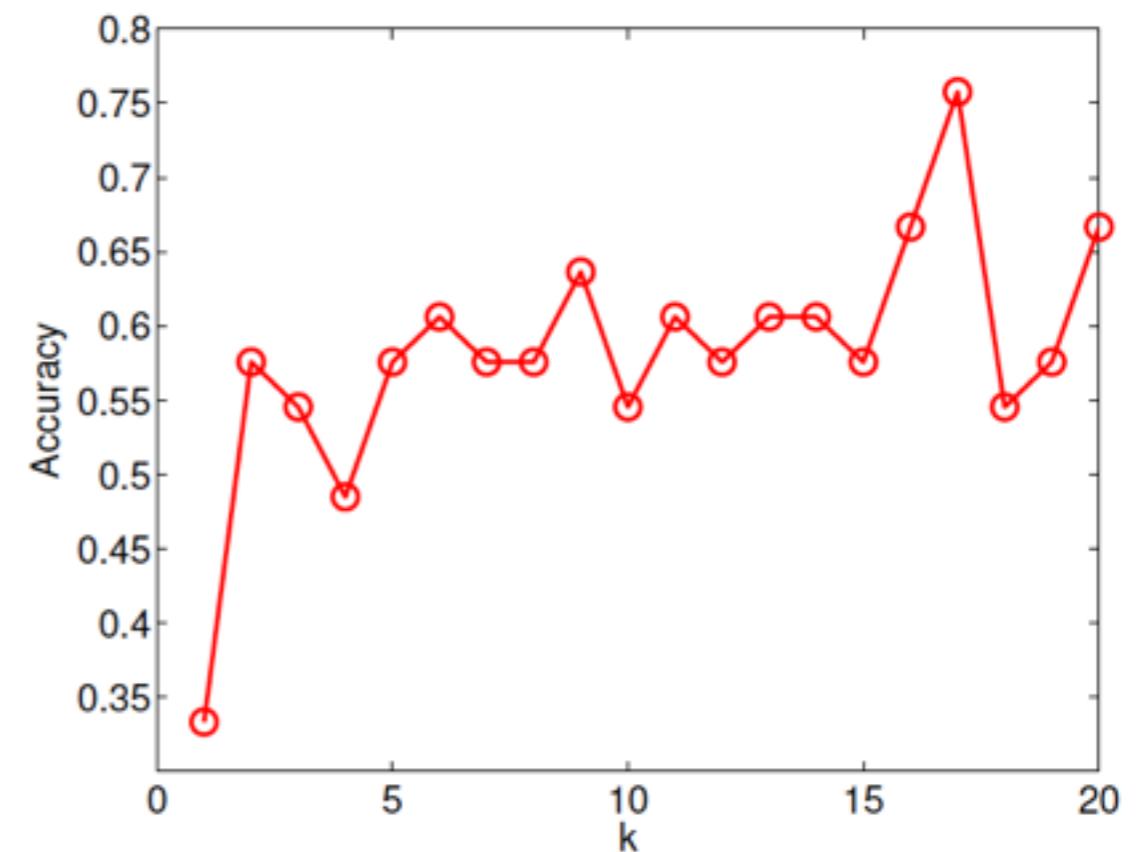
Methods	Accuracy	Evaluation metrics						F1	
		Precision			Recall				
		<i>N</i>	<i>M</i>	<i>R</i>	<i>N</i>	<i>M</i>	<i>R</i>		
SEMiBAT	0.758	0.833	0.889	0.667	0.833	0.667	0.833	0.765	
BAT-RIDGE	0.697	0.909	0.700	0.600	0.833	0.583	0.750	0.706	
BAT-SUPV	0.697	0.714	0.750	0.700	0.833	0.750	0.583	0.706	
BAT-UNSUPV	0.576	0.818	0.600	0.467	0.750	0.500	0.583	0.588	
BAT-3D	0.545	0.857	0.538	0.500	0.500	0.583	0.667	0.559	
ALS	0.576	0.750	0.636	0.462	0.750	0.583	0.500	0.588	
SUBGRAPH	0.515	0.800	0.500	0.444	0.667	0.333	0.667	0.529	
CC	0.364	0.286	0.667	0.391	0.167	0.333	0.750	0.382	
EDGE	0.455	0.462	0.700	0.385	0.500	0.583	0.417	0.471	

- BAT-ridge: replacing the L2,1 norm in semiBAT with a regular ridge term.
- BAT-supv: a fully supervised variant of semiBAT without leveraging the unlabeled data.
- BAT-unsupv: an unsupervised variant of semiBAT.
- BAT-3d: applying semiBAT on time-averaged brain networks.
- ALS: tensor factorization using alternating least squares without any constraint.
- Subgraph: a discriminative subgraph selection method.
- CC: extracting local clustering coefficients as features.

Parameter Sensitivity

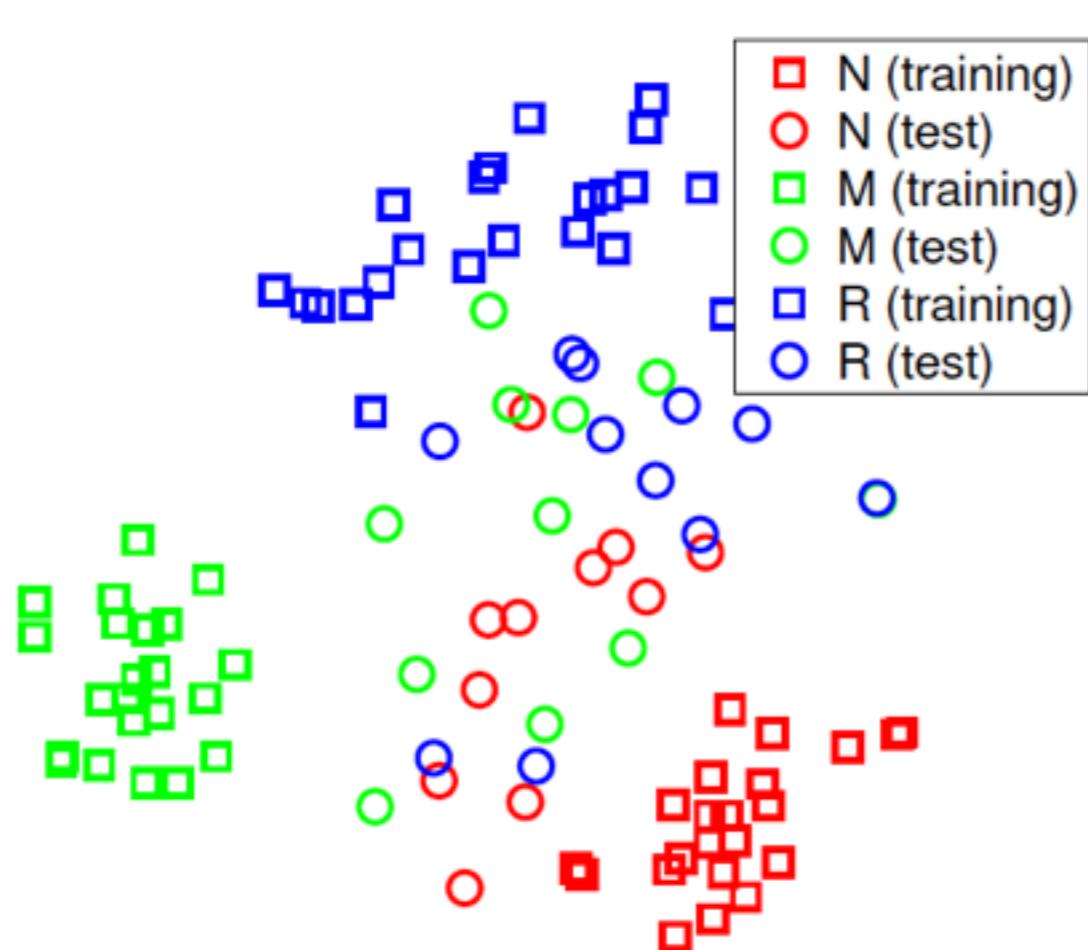


Sensitivity w.r.t. α and λ

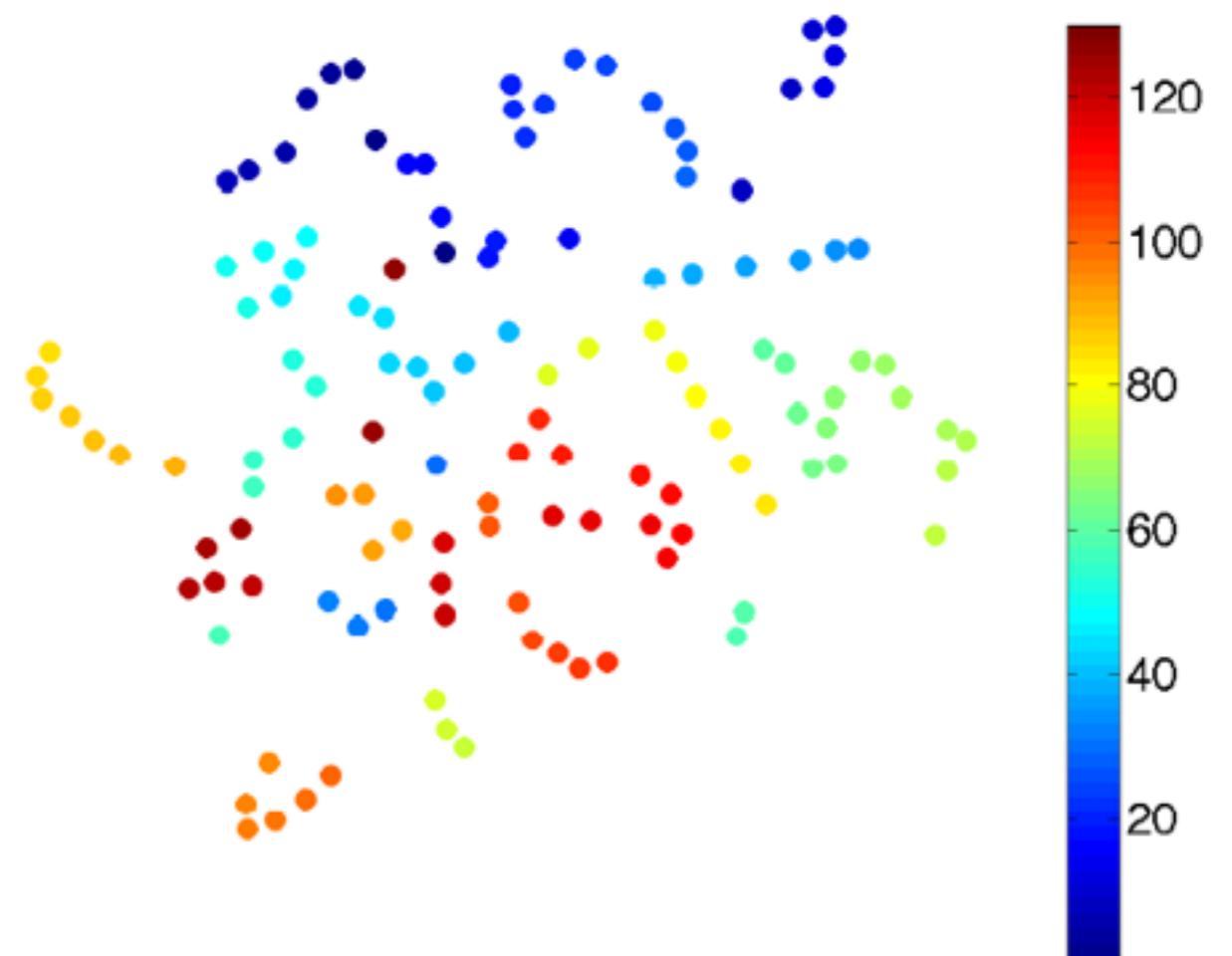


Sensitivity w.r.t. k

Row-wise Factor Analysis

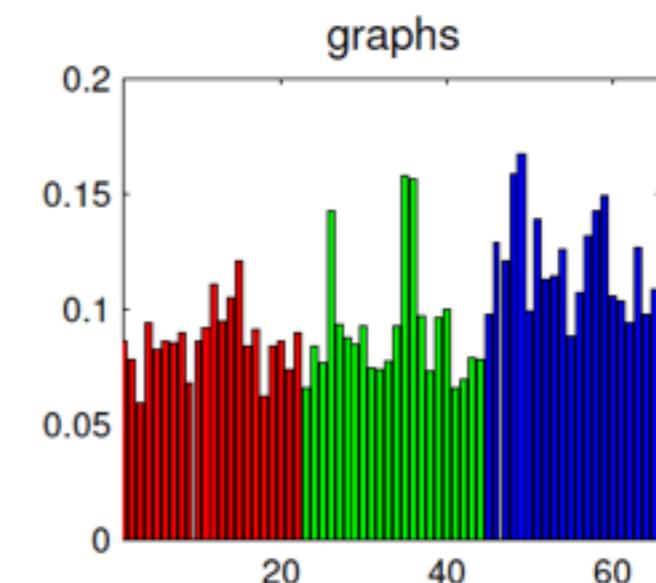
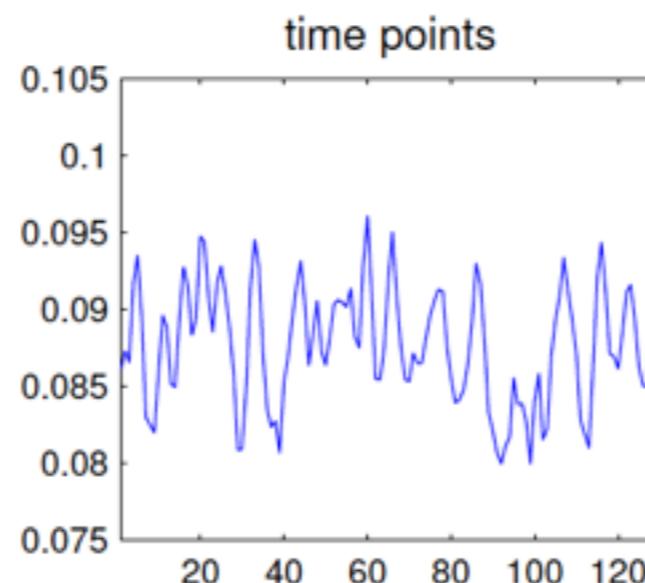
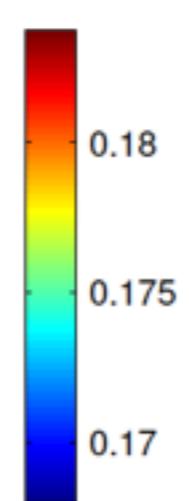
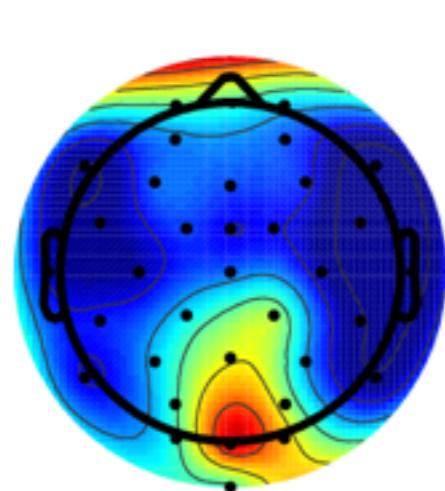


Embedding of brain networks

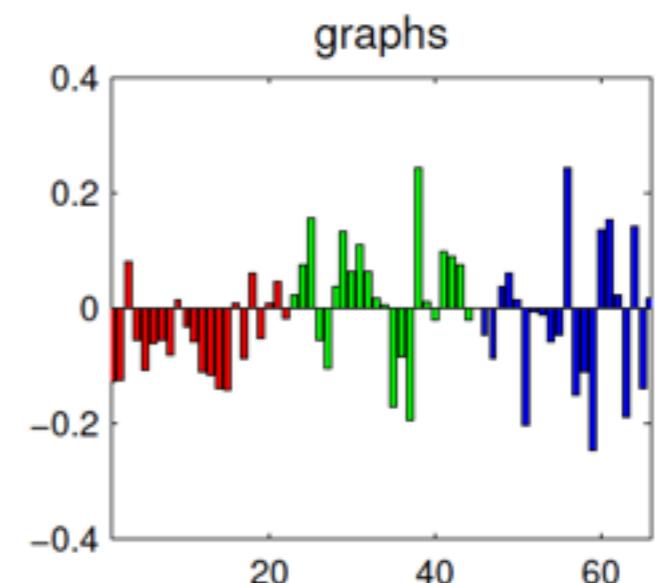
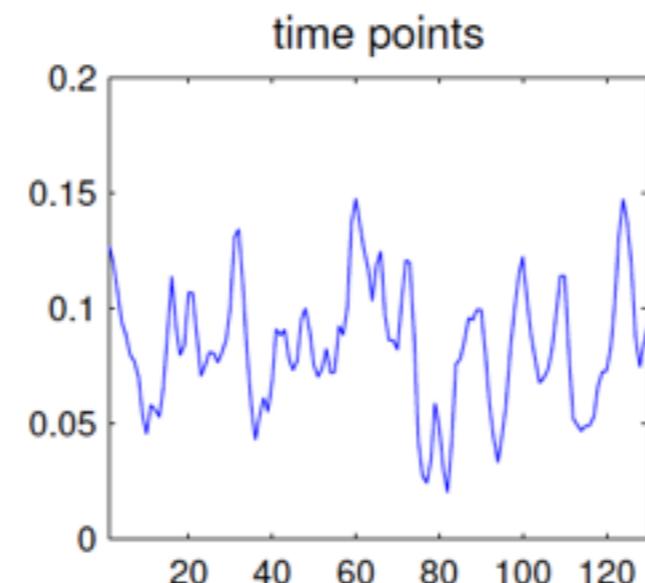
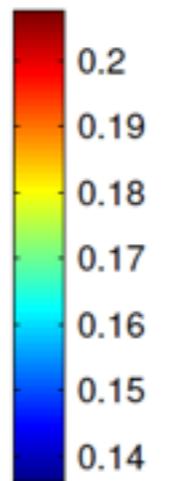
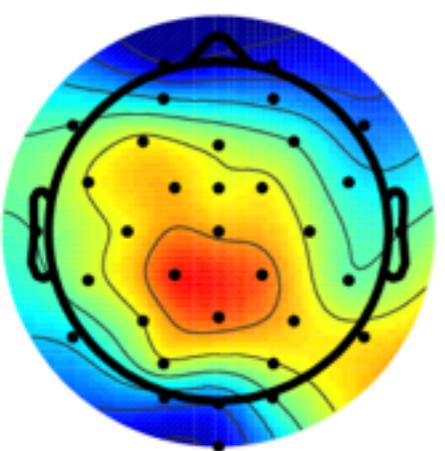


Embedding of time points

Column-wise Factor Analysis



(a) The first factor.



(b) The second factor.

Summary

- Leveraged unlabeled brain networks for task recognition
- Explored the temporal dimension to capture the progress
- Incorporated classifier learning procedure in tensor factorization
- Selected discriminative latent factors for different tasks
- Facilitated better understanding of brain mechanism under emotion regulation

