

# Changes in the final manuscript

This file summarizes the technical changes in the final manuscript compared with the submission after the second-round revision. We list the changes by types and code the modifications by red color. See the color-coded manuscript “`ieee_final_colorcode.pdf`” for all detailed modifications. The wording changes including the corrections of typos and inconsistent notations are not highlighted.

## Explanation and statement modifications.

1. We have revised the explanations for the boundedness constraints  $c_3, c_4$  in Page 4:

“... Third, the constant  $c_3$  requires that all slides in  $\mathcal{S}$  have non-degenerate norm. Particularly, the lower bound  $c_3$  excludes the purely zero slide to avoid trivial non-identifiability of model (1); see Example 2 below. The upper bound  $c_4$  is a technical constraint to avoid the slides with diverging norm as dimension grows. ...”

2. We have corrected the conclusions related to  $\Delta_{\mathbf{X}}^2$  in Theorem 2:

“... Further, we define the parameter space  $\mathcal{P}'(\gamma') := \mathcal{P} \cap \{\Delta_{\mathbf{X}}^2 = p^{\gamma'}\}$ , where  $\Delta_{\mathbf{X}}^2$  is the mean tensor minimal gap in (8). When  $\gamma' < -(K-1)$ , we have

$$\liminf_{p \rightarrow \infty} \inf_{\hat{z}_{\text{stat}}} \sup_{(z, \mathcal{S}, \boldsymbol{\theta}) \in \mathcal{P}'(\gamma')} \mathbb{E} [p\ell(\hat{z}_{\text{stat}}, z)] \geq 1.$$

Related discussion in Page 6 and the Proof of Theorem 2 in Appendix D are also revised correspondly.

## Minor technical condition modifications.

1. We have added the ranges of the number of communities  $r \geq 2$  (or  $r \geq 1$ ), order  $K \geq 2$ , and dimension  $p \rightarrow \infty$  in the statements of Theorems 1, 2, 3, 4, 5, Lemma 1, Corollary 1, Proposition 1 in the main text, and the Proofs of Theorems 1, 2, 3, 4, 5, Lemmas 8, 11, 12, 13 in the Appendices. We take the modification in Theorem 4 as a typical example here:

“Consider the general sub-Gaussian dTBM with fixed  $r \geq 1, K \geq 2$ , i.i.d. noise ...”

“...With probability going to 1 as  $p \rightarrow \infty$ , we have ...”

“...We have ... with probability going to 1 as  $p \rightarrow \infty$ . ...”

2. We have clarified the technical assumptions in Lemma 1 and Theorems 3, 5.

In Lemma 1, we have added the lower bound of degree  $\boldsymbol{\theta}$  and removed the Assumption 1:

“Consider the dTBM model (1) under the parameter space  $\mathcal{P}$  in (2) with  $r \geq 2$ . Suppose  $\boldsymbol{\theta}$  is balanced satisfying (6) and  $\min_{i \in [p]} \theta(i) \geq c$  from some constant  $c > 0$ . Then, as  $p \rightarrow \infty$ , for all  $i, j$  such that  $z(i) \neq z(j)$ , we have ...”

In Theorems 3 and 5, we have clarified the linear local stability condition:

“... Assume that the locally linear stability of degree holds in the neighborhood  $\mathcal{N}(z, \varepsilon)$  for all  $\varepsilon \leq E_0$  and some  $E_0 \gtrsim \log^{-1} p$ . ...”

### Proof modifications.

1. We have added discussions of extreme cases with  $r = 1$  in the Proofs of Theorems 1, 4, and 5.

In the Proof of Theorem 1, we have added following statements:

“... if the model (26) violates Assumption 2. Note that  $\Delta_{\min}^2 = 1$  when there exists  $k \in [K]$  such that  $r_k = 1$ . Hence, we consider the case that  $r_k \geq 2$  for all  $k \in [K]$ . Without loss of generality, ...

First, we show the uniqueness of  $\mathbf{M}_k$  for all  $k \in [K]$ . When  $r_k = 1$ , all possible  $\mathbf{M}_k$ 's are equal to the vector  $\mathbf{1}_{p_k}$ , and the uniqueness holds trivially. Hence, we consider the case that  $r_k \geq 2$ . Without loss of generality, we consider  $k = 1$  with  $r_1 \geq 2$  and show the uniqueness of the first mode membership matrix; ...”

In the Proofs of Theorems 4 and 5, we have added following statement:

“For the case  $r = 1$ ,  $\ell(z_k^{(t)}, z) = 0$  trivially for all  $t \geq 0, k \in [k]$ . Hence, we focus on the proof of the first mode clustering  $z_1^{(t+1)}$  with  $r \geq 2$ ; ...”

2. We have revised the Proofs of Lemmas 1 and 9 for better presentations.

In Proof of Lemma 1, we showed the equivalence between mean tensor and core tensor minimal gaps via the cosine terms:

... “ Then, we have

$$\cos(\mathbf{S}_{z_1(i):}, \mathbf{S}_{z_1(j):}) = \frac{\langle \mathbf{S}_{z_1(i):}, \mathbf{S}_{z_1(j):} \rangle}{\|\mathbf{S}_{z_1(i):}\| \|\mathbf{S}_{z_1(j):}\|} = (1+o(1)) \frac{\langle \mathbf{X}_{i:}, \mathbf{X}_{j:} \rangle}{\|\mathbf{X}_{i:}\| \|\mathbf{X}_{j:}\|} = (1+o(1)) \cos(\mathbf{X}_{i:}, \mathbf{X}_{j:}),$$

where the second inequality follows by the balance assumption on  $\boldsymbol{\theta}$ . ...”

In Proof of Lemma 9, we used a more classical textbook result to upper bound maximal inner product between low-rank tensor and random noise tensor:

“... Consider the SVD for matrix  $\mathbf{T} = \mathbf{U}\Sigma\mathbf{V}^T$  with orthogonal matrices  $\mathbf{U} \in \mathbb{R}^{m \times 2r}$ ,  $\mathbf{V} \in \mathbb{R}^{n \times 2r}$  and diagonal matrix  $\Sigma \in \mathbb{R}^{2r \times 2r}$ . We have

$$\begin{aligned} \sup_{\mathbf{T} \in \mathbb{R}^{m \times n}, \text{rank}(\mathbf{T}) \leq 2r, \|\mathbf{T}\|_F = 1} \langle \mathbf{T}, \mathbf{Y} - \mathbf{X} \rangle &= \sup_{\mathbf{T} \in \mathbb{R}^{m \times n}, \text{rank}(\mathbf{T}) \leq 2r, \|\mathbf{T}\|_F = 1} \langle \mathbf{U}\Sigma, \mathbf{E}\mathbf{V} \rangle \\ &= \sup_{\mathbf{v} \in \mathbb{R}^{2nr}} \mathbf{v}^T \mathbf{e} \leq C\sigma\sqrt{nr}, \end{aligned}$$

with probability  $1 - \exp(-C_2 nr)$ , where  $C, C_2$  are two positive constants, the vectorization  $\mathbf{e} = \text{Vec}(\mathbf{E}\mathbf{V}) \in \mathbb{R}^{2nr}$  has independent mean-zero sub-Gaussian entries with bounded variance  $\sigma^2$  due to the orthogonality of  $\mathbf{V}$ , and the last inequality follows from Rigollet and Hütter (2015, Theorem 1.19). ...”