

What's next

Algorithms for satisfiability, validity of QBF:

- ▶ Splitting
- ▶ DPLL

Reminder:

- (i) $F(p_1, \dots, p_n)$ is **satisfiable** iff $\exists p_1 \dots \exists p_n F(p_1, \dots, p_n)$ is **true**.
- (ii) $F(p_1, \dots, p_n)$ is **valid** iff $\forall p_1 \dots \forall p_n F(p_1, \dots, p_n)$ is **true**.

Algorithms will check whether a **closed formula is true or false**.

Splitting: foundations

Lemma

- ▶ A closed formula $\forall p F$ is *true* if and only if the formulas F_p^\perp *and* F_p^\top are true.
- ▶ A closed formula $\exists p F$ is *true* if and only if *at least one* of the formulas F_p^\perp *or* F_p^\top is true.

Splitting

Simplification rules for \top :

$$\begin{aligned}\neg \top &\Rightarrow \perp \\ \top \wedge F_1 \wedge \dots \wedge F_n &\Rightarrow F_1 \wedge \dots \wedge F_n \\ \top \vee F_1 \vee \dots \vee F_n &\Rightarrow \top \\ F \rightarrow \top &\Rightarrow \top & \top \rightarrow F &\Rightarrow F \\ F \leftrightarrow \top &\Rightarrow F & \top \leftrightarrow F &\Rightarrow F\end{aligned}$$

Simplification rules for \perp :

$$\begin{aligned}\neg \perp &\Rightarrow \top \\ \perp \wedge F_1 \wedge \dots \wedge F_n &\Rightarrow \perp \\ \perp \vee F_1 \vee \dots \vee F_n &\Rightarrow F_1 \vee \dots \vee F_n \\ F \rightarrow \perp &\Rightarrow \neg F & \perp \rightarrow F &\Rightarrow \top \\ F \leftrightarrow \perp &\Rightarrow \neg F & \perp \leftrightarrow F &\Rightarrow \neg F\end{aligned}$$

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Splitting algorithm

procedure *splitting*(F)

input: closed rectified prenex formula F

output: 0 or 1

parameters: function *select_variable_value* (selects a variable from the **outermost** prefix of F and a boolean value for it)

begin

$F := \text{simplify}(F)$

if $F = \perp$ **then return** 0

if $F = \top$ **then return** 1

Let F have the form $\exists p_1 \dots \exists p_k F_1$

$(p, b) := \text{select_variable_value}(F)$

Let F' be obtained from F by deleting $\exists p$ from its outermost prefix

if $b = 0$ **then** // $p \mapsto \perp$ branch first

case (*splitting*((F') $^\perp_p$), \exists) **of**

(0, \forall) \Rightarrow **return** 0

(1, \exists) \Rightarrow **return** 1

(1, \forall) \Rightarrow **return** *splitting*((F') $^\top_p$) (0, \exists) \Rightarrow **return** *splitting*((F') $^\top_p$)

end

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else // $b = 1$

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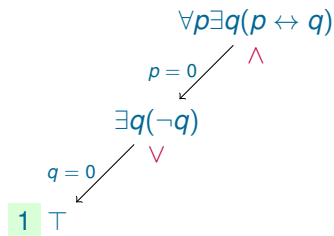
Splitting: examples

$$\forall p \exists q (p \leftrightarrow q)$$

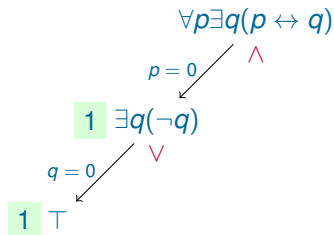
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$$\begin{array}{c} \forall p \exists q (p \leftrightarrow q) \\ \swarrow \quad \wedge \\ p = 0 \quad \quad \quad \wedge \\ \searrow \\ \exists q (\neg q) \end{array}$$

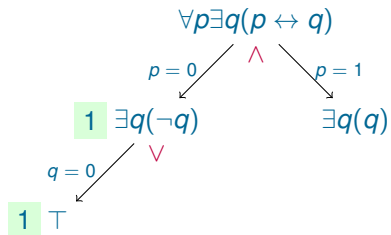
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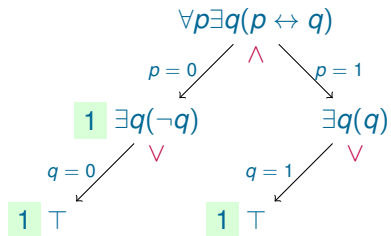
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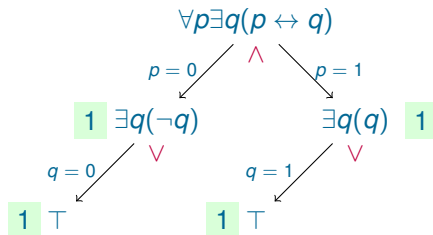
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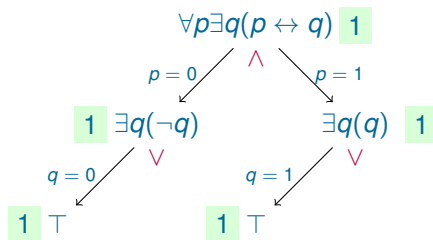
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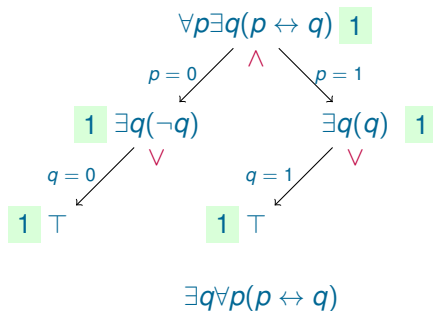
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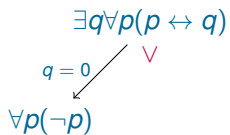
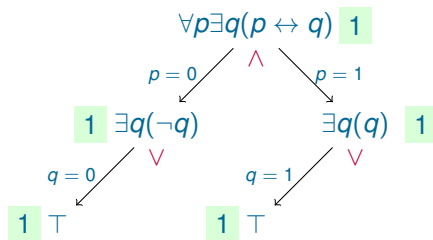
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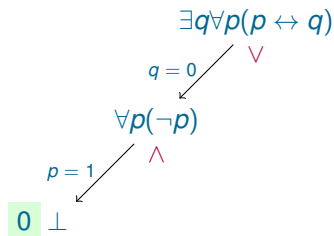
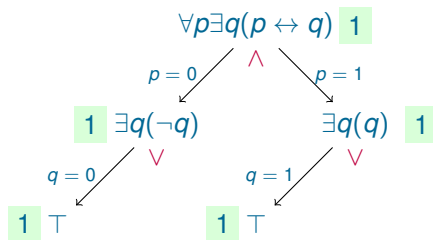
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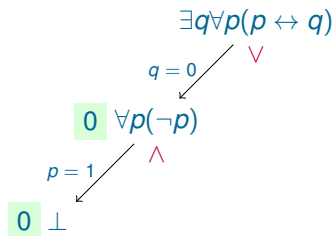
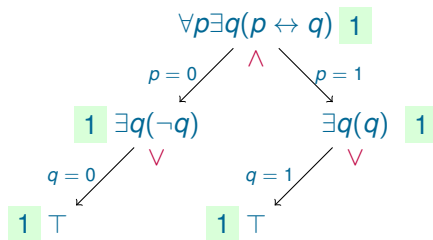
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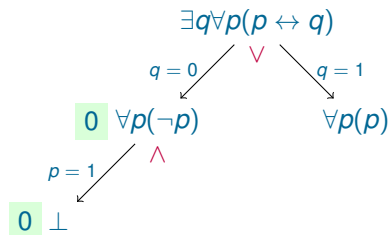
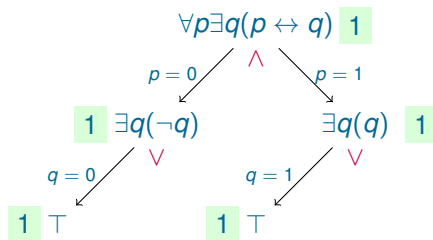
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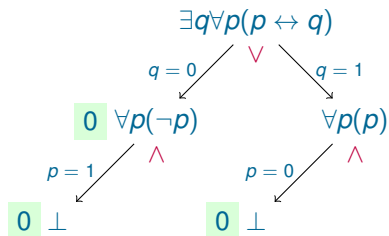
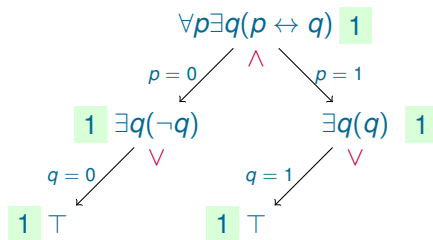
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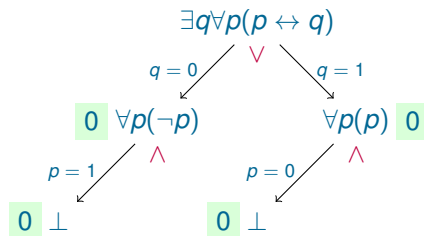
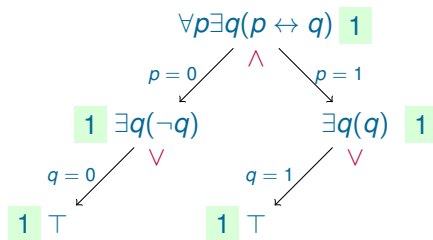
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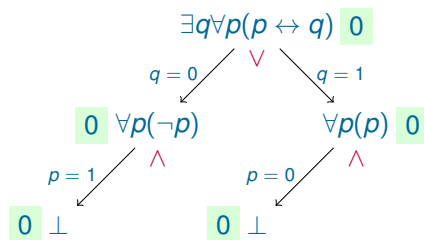
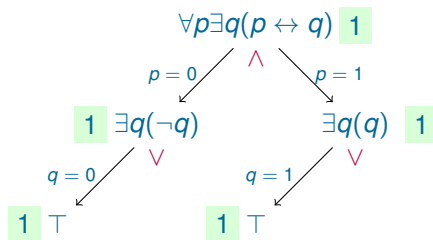
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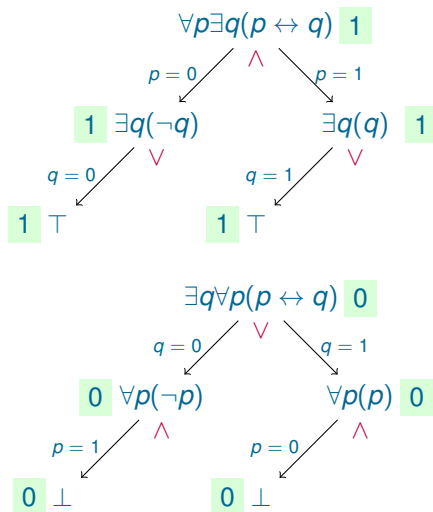
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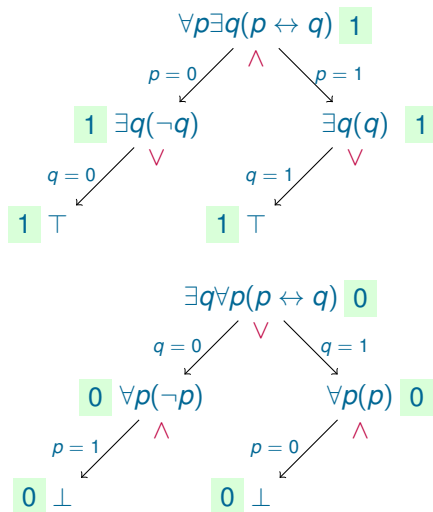


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Two-player game: \exists -player tries to make formula true and \forall -player tries to make formula false.

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A quantified boolean formula F is in CNF, if it is either \perp , or \top , or rectified prenex form which has the form $\exists_1 p_1 \dots \exists_n p_n (C_1 \wedge \dots \wedge C_m)$, where C_1, \dots, C_m are propositional clauses.

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Example:

$$\forall p \exists q \exists s ((\neg p \vee s \vee q) \wedge (s \vee \neg q) \wedge \neg s))$$

QBF: CNF transformation

Rectification + Prenexing + propositional CNF rules:

$$\begin{aligned} F \leftrightarrow G &\Rightarrow (\neg F \vee G) \wedge (\neg G \vee F), \\ F \rightarrow G &\Rightarrow \neg F \vee G, \\ \neg(F \wedge G) &\Rightarrow \neg F \vee \neg G, \\ \neg(F \vee G) &\Rightarrow \neg F \wedge \neg G, \\ \neg\neg F &\Rightarrow F, \\ (F_1 \wedge \dots \wedge F_m) \vee G_1 \vee \dots \vee G_n &\Rightarrow (F_1 \vee G_1 \vee \dots \vee G_n) \wedge \\ &\quad \dots \wedge \\ &\quad (F_m \vee G_1 \vee \dots \vee G_n). \end{aligned}$$

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Input of DPLL:

- ▶ Q : quantifier sequence $\exists_1 p_1 \dots \exists_n p_n$
- ▶ S : a set of clauses

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- ▶ if Q contains $\exists p$ or p does not occur in Q
 1. remove from S every clause of the form $L \vee C'$;
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Why different for universal quantifiers? Use intuition from **games**!

The player playing \forall wants to make the formula false.

When it is his turn to make a move $\forall p$, he has a **winning move**:

select the value for p which makes the unit clause false (and hence the conjunction of clauses false too).

DPLL algorithm

procedure *DPLL*(Q, S)

input: quantifier sequence $Q = \exists_1 p_1 \dots \exists_n p_n$, set of clauses S

output: 0 or 1

parameters: function *select_variable_value* (selects a variable from the **outermost** prefix of F and a boolean value for it)

begin

$S := \text{unit_propagate}(Q, S)$

if S is empty **then return** 1

if S contains \square **then return** 0

$(p, b) := \text{select_variable_value}(Q, S)$

Let Q' be obtained from Q by deleting $\exists p$ from its **outermost prefix**

if $b = 0$ **then** $L := \neg p$

else $L := p$

case ($DPLL(Q', S \cup \{L\})$, \exists) **of**

$(0, \forall) \Rightarrow$ **return** 0

$(1, \forall) \Rightarrow$ **return** $DPLL(Q', S \cup \{\bar{L}\})$

$(1, \exists) \Rightarrow$ **return** 1

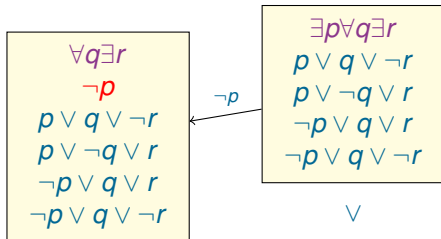
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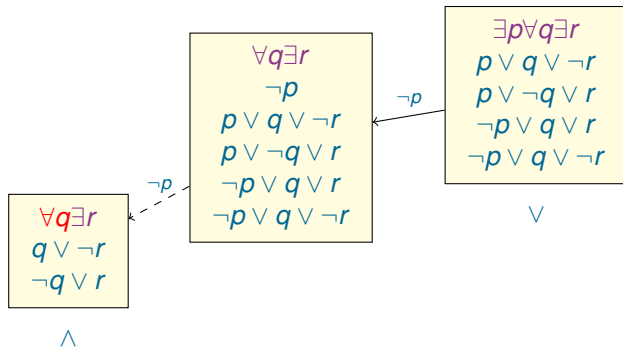
Example

$$\begin{array}{c} \exists p \forall q \exists r \\ p \vee q \vee \neg r \\ p \vee \neg q \vee r \\ \neg p \vee q \vee r \\ \neg p \vee q \vee \neg r \\ \vee \end{array}$$

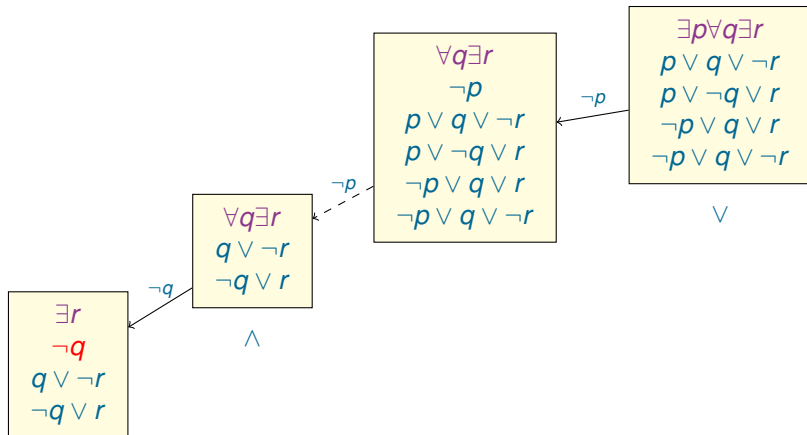
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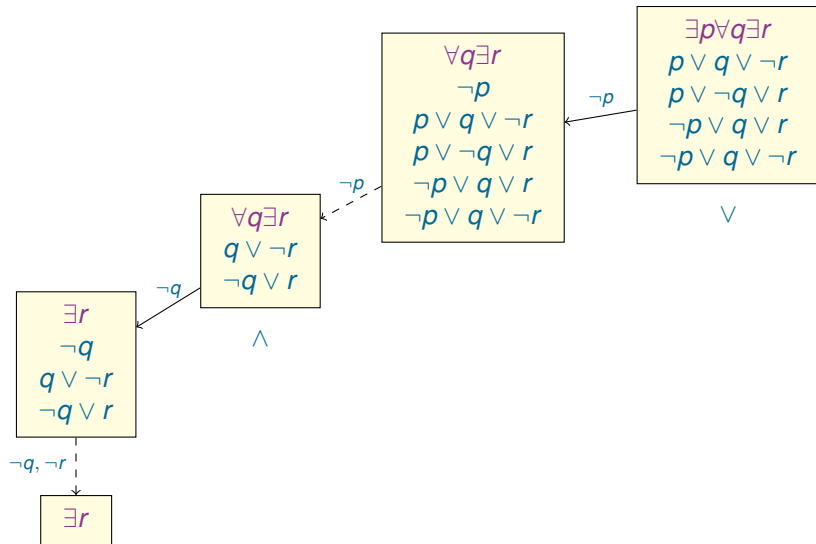
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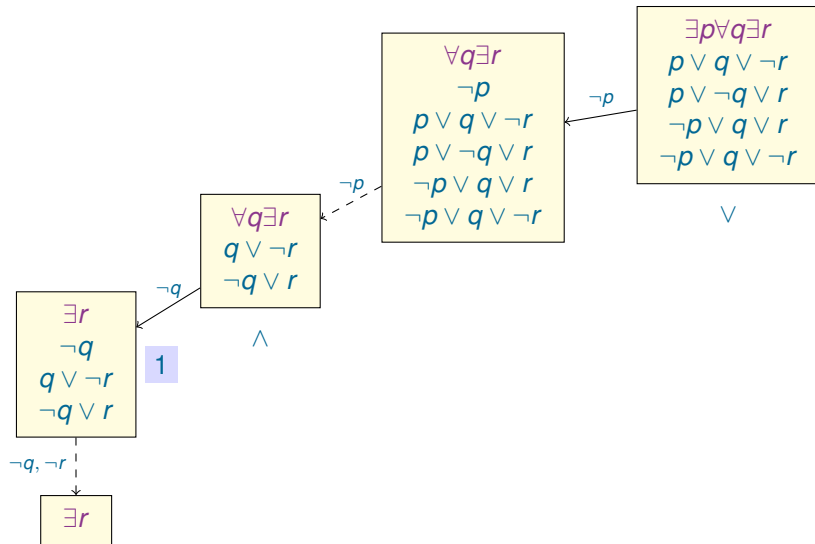
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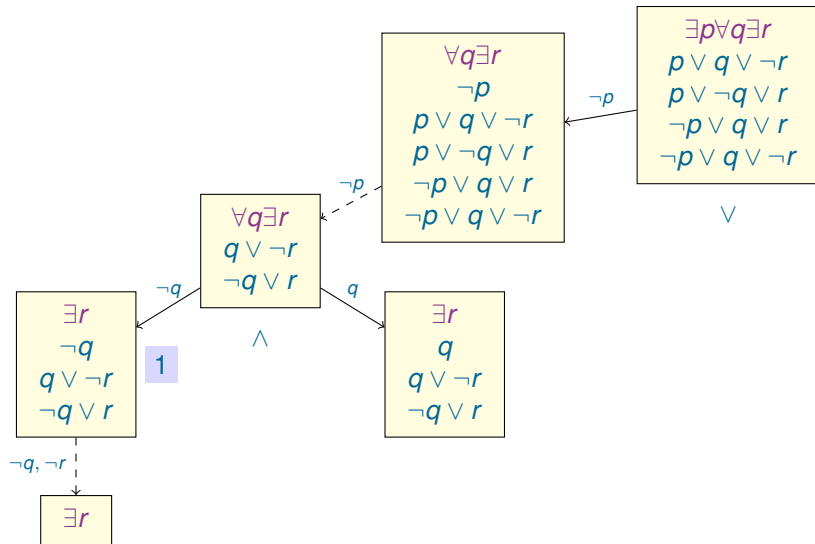
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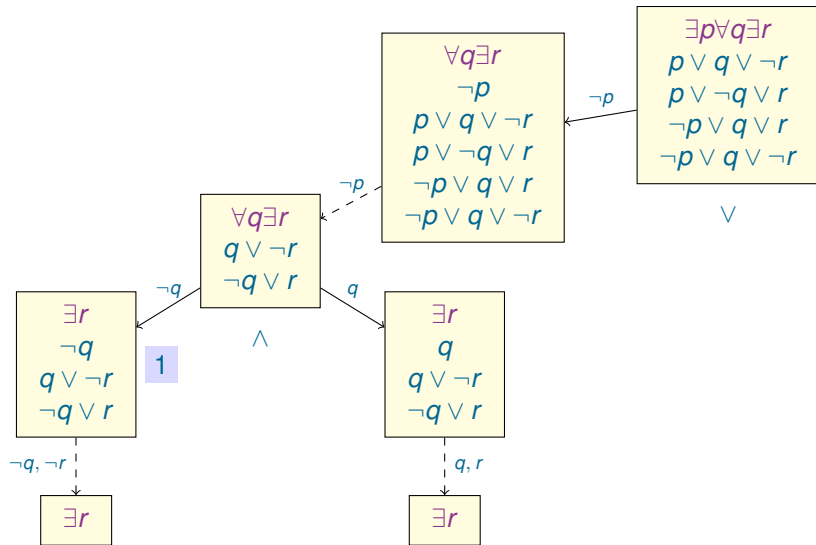
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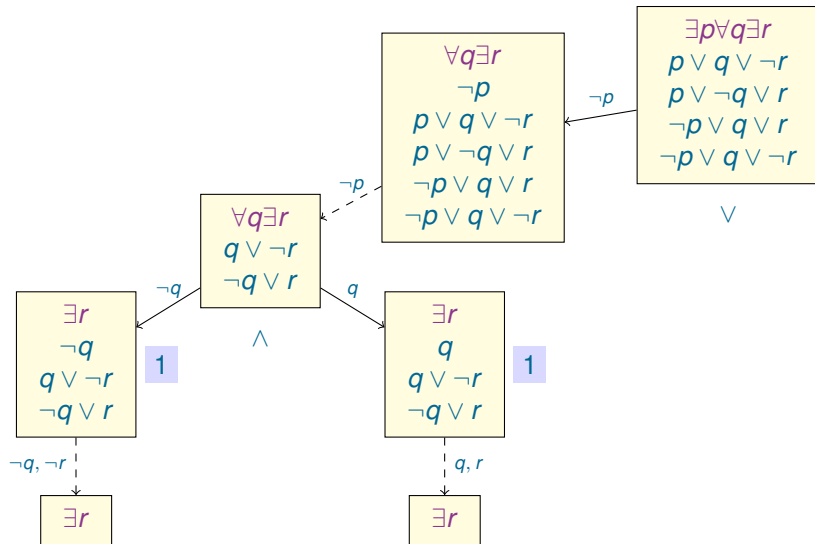
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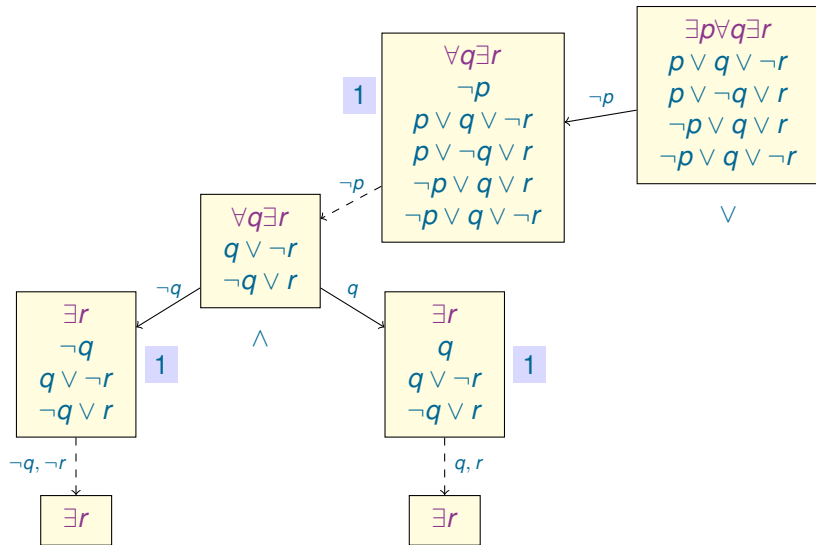
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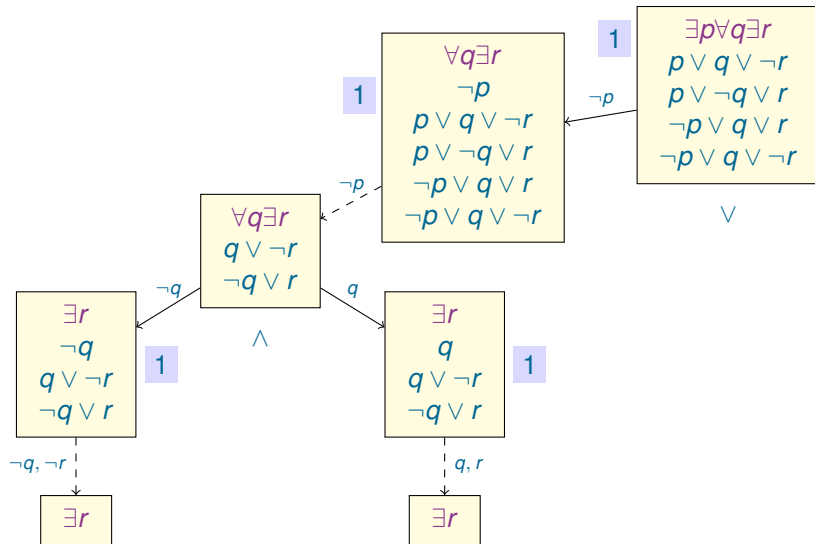
Example



Example



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QBF: DPLL optimisations

Pure literal rule

Let Q be quantifier prefix and S set of clauses.

Let literal L be **pure** in S then \bar{L} does not occur in S .

Pure literal rule:

- ▶ If the variable of L is **existentially** quantified in Q then we can **remove all clauses** in which L occurs.
- ▶ If the variable of L is **universally** quantified then we can **remove L from all clauses** where L occurs.

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Why?

- ▶ The \exists -player will make the literal true (so all clauses containing this literal will be satisfied).
- ▶ The \forall -player will make the literal false (so it can be removed from all clauses containing this literal).

Universal literal deletion

Consider a quantifier prefix Q and a conjunction of clauses S .

- ▶ a variable p is **existential in** Q , if Q contains $\exists p$.
- ▶ a variable q is **universal in** Q , if Q contains $\forall q$.
- ▶ A variable p is **quantified before** a variable q if p occurs **before** q in Q .

Example: If Q is $\forall q \exists p \forall r$ then q is quantified before both p and r ; and p is quantified before r (in Q).

Theorem

Let Q be a quantifier prefix and S a conjunction of clauses. Suppose that

1. C is a non-tautological clause in S ;
2. a variable q in C is universal in Q ;
3. all existential variables in C are quantified before q .

Then the deletion of the literal containing q from C does not change the truth value of QS .

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Example: If Q is $\forall q \exists p \forall r$ then q is quantified before both p and r ; and p is quantified before r (in Q).

Theorem

Let Q be a quantifier prefix and S a conjunction of clauses. Suppose that

1. C is a non-tautological clause in S ;
2. a variable q in C is universal in Q ;
3. all existential variables in C are quantified before q .

Then the deletion of the literal containing q from C does not change the truth value of QS .

Universal literal deletion

Let q_1, \dots, q_m be all universal variables of C such that all existential variables are quantified before them. Then C has the form:

$$L_1 \vee \dots \vee L_n \vee (\neg)q_1 \vee \dots \vee (\neg)q_m$$

Consider the position before the q_1, \dots, q_m -moves of the \forall -player.

- ▶ If at least one of the literals L_1, \dots, L_n is true, deletion of $(\neg)q_1, \dots, (\neg)q_m$ will not change the outcome of the game, since after any assignment to q_1, \dots, q_m the clause will be true.
- ▶ If all of the literals L_1, \dots, L_n are false, the \forall -player will make all $(\neg)q_1, \dots, (\neg)q_m$ false and win the game, so deletion of these literals will not change the outcome of the game either.

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Example

$$\exists p \forall r \exists q \exists s ((p \vee \neg r) \wedge (\neg q \vee r) \wedge (\neg p \vee q \vee s) \wedge (\neg p \vee q \vee r \vee \neg s))$$

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- Apply universal literal deletion to $p \vee \neg r$

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- ▶ Apply universal literal deletion to $p \vee \neg r$
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- ▶ Apply the pure literal rule to r

Example

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- ▶ Unit propagation p
- ▶ Apply the pure literal rule to r
- ▶ Unit propagation $\neg q$

Example

$$\begin{aligned} & \exists p \forall r \exists q \exists s ((p \vee \neg r) \wedge (\neg q \vee r) \wedge (\neg p \vee q \vee s) \wedge (\neg p \vee q \vee r \vee \neg s)) \Rightarrow \\ & \exists p \forall r \exists q \exists s (p \wedge (\neg q \vee r) \wedge (\neg p \vee q \vee s) \wedge (\neg p \vee q \vee r \vee \neg s)) \Rightarrow \\ & \forall r \exists q \exists s ((\neg q \vee r) \wedge (q \vee s) \wedge (q \vee r \vee \neg s)) \Rightarrow \\ & \exists q \exists s (\neg q \wedge (q \vee s) \wedge (q \vee \neg s)) \Rightarrow \\ & \exists s (s \wedge \neg s) \Rightarrow \\ & \square \end{aligned}$$

- ▶ Apply universal literal deletion to $p \vee \neg r$
- ▶ Unit propagation p
- ▶ Apply the pure literal rule to r
- ▶ Unit propagation $\neg q, s$

QBF: using OBDDs for representing QBFs

QBF and OBDD

Any QBF $F(p_1, \dots, p_n)$ represents a boolean function.

OBDDs can be used to canonically represent boolean functions.

We know how to apply boolean operations to OBDDs.

Can we also apply quantification to OBDDs in an algorithmic way?

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Any QBF $F(p_1, \dots, p_n)$ represents a boolean function.

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We know how to apply boolean operations to OBDDs.

Can we also apply quantification to OBDDs in an algorithmic way?

Quantification: given an OBDD representing a formula F , find an OBDD representing $\exists_1 p_1 \dots \exists_n p_n F$

Quantification for OBDDs

We can use the following properties of QBFs:

- ▶ $\exists p (\text{ if } p \text{ then } F \text{ else } G) \equiv F \vee G;$
- ▶ $\forall p (\text{ if } p \text{ then } F \text{ else } G) \equiv F \wedge G;$

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- ▶ $\forall p (\text{ if } p \text{ then } F \text{ else } G) \equiv F \wedge G;$
- ▶ If $p \neq q$, then
 $\exists p (\text{ if } q \text{ then } F \text{ else } G) \equiv \text{ if } q \text{ then } \exists p F \text{ else } \exists p G$

\exists -quantification algorithm for OBDDs

procedure $\exists quant(\{p_1, \dots, p_k\}, \{n_1, \dots, n_m\})$

parameters: global dag D

input: nodes n_1, \dots, n_m representing F_1, \dots, F_m in D

output: a node n representing $\exists p_1 \dots \exists p_k (F_1 \vee \dots \vee F_m)$ in (modified) D

begin

if $m = 0$ **then return** $\boxed{0}$

if some n_i is $\boxed{1}$ **then return** $\boxed{1}$

if some n_i is $\boxed{0}$ **then**

return $\exists quant(\{p_1, \dots, p_k\}, \{n_1, \dots, n_{i-1}, n_{i+1}, \dots, n_m\})$

$p := \text{max_atom}(n_1, \dots, n_m)$

forall $i = 1 \dots m$

if n_i is labelled by p

then $(l_i, r_i) := (\text{neg}(n_i), \text{pos}(n_i))$

else $(l_i, r_i) := (n_i, n_i)$

if $p \in \{p_1, \dots, p_k\}$

then return $\exists quant(\{p_1, \dots, p_k\} - \{p\}, \{l_1, \dots, l_m, r_1, \dots, r_m\})$

else

$k_1 := \exists quant(\{p_1, \dots, p_k\}, \{l_1, \dots, l_m\})$

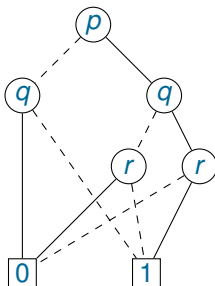
$k_2 := \exists quant(\{p_1, \dots, p_k\}, \{r_1, \dots, r_m\})$

return $\text{integrate}(k_1, p, k_2, D)$

end

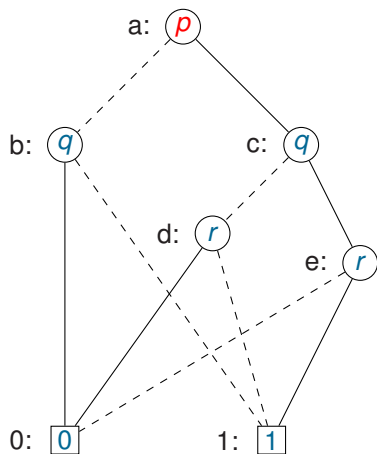
Example

Take the order $p > q > r$ and the formula $\exists r \exists p (p \leftrightarrow ((p \rightarrow r) \leftrightarrow q))$.



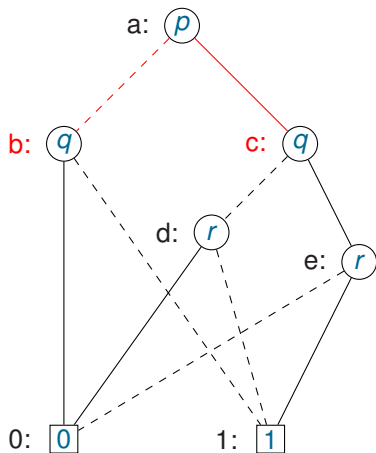
Example $\exists r \exists p (p \leftrightarrow ((p \rightarrow r) \leftrightarrow q))$

$\exists quant(\{r, p\}, \{a\})$



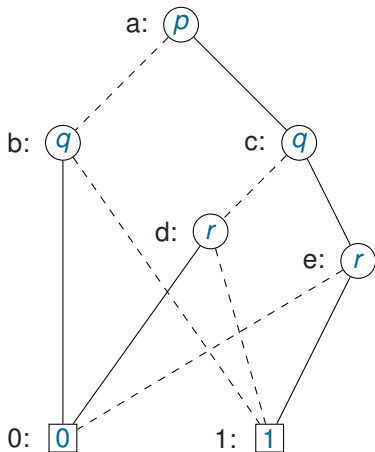
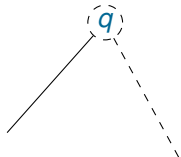
Example $\exists r \exists p (p \leftrightarrow ((p \rightarrow r) \leftrightarrow q))$

$\exists quant(\{r, p\}, \{a\})$
 $\exists quant(\{r\}, \{b, c\})$



Example $\exists r \exists p (p \leftrightarrow ((p \rightarrow r) \leftrightarrow q))$

$\exists quant(\{r, p\}, \{a\})$
 $\exists quant(\{r\}, \{b, c\})$

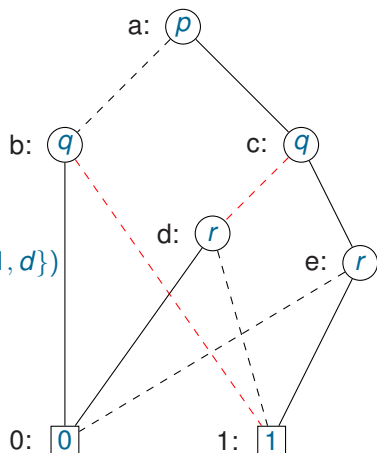


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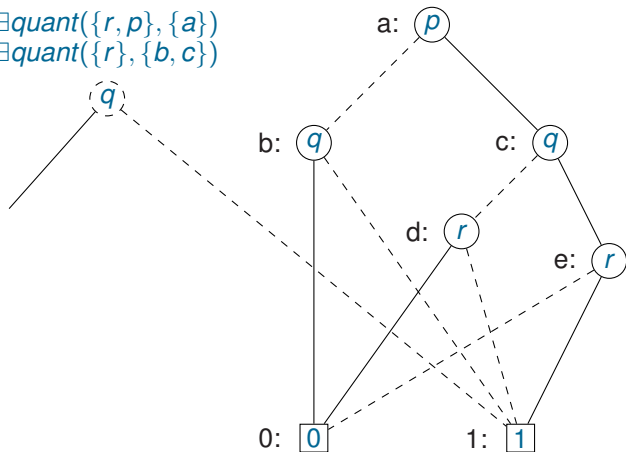
q

$\exists quant(\{r\}, \{1, d\})$

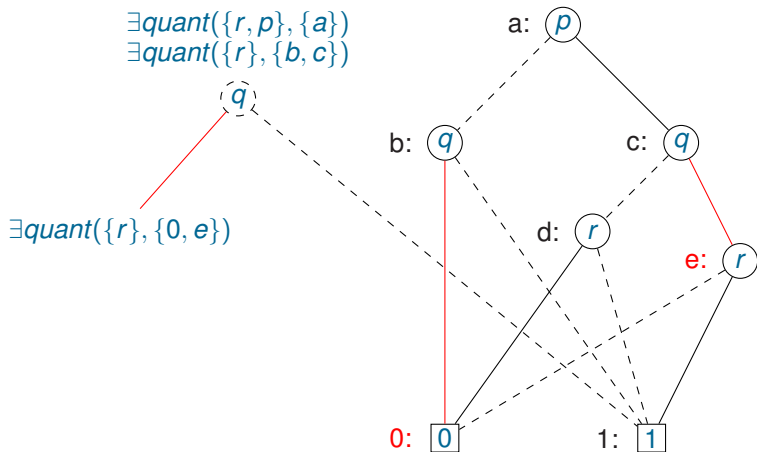


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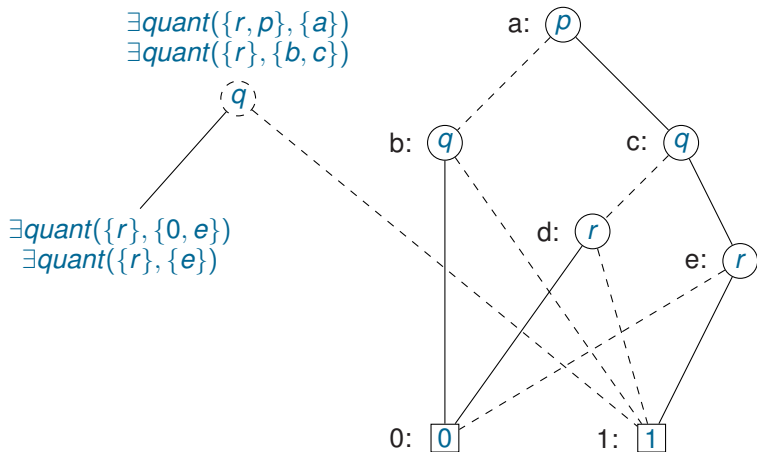
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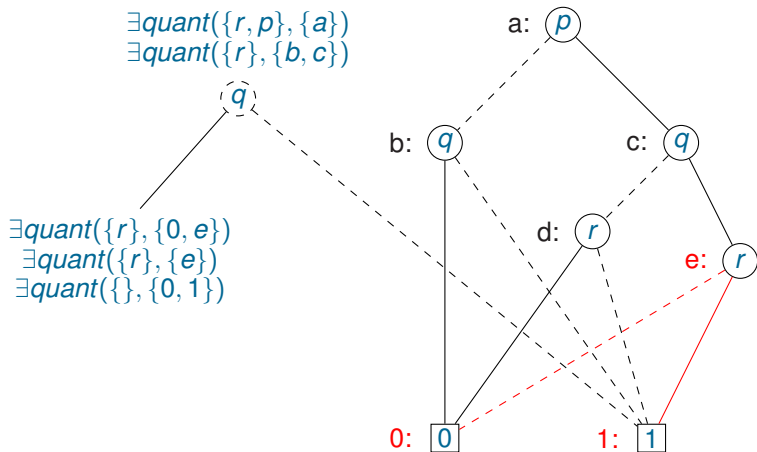
Example $\exists r \exists p (p \leftrightarrow ((p \rightarrow r) \leftrightarrow q))$



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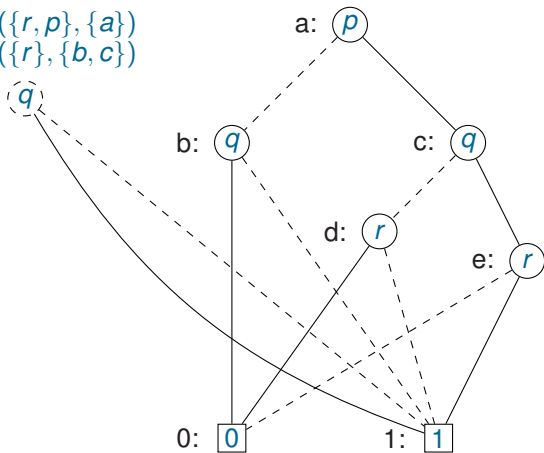


Example $\exists r \exists p (p \leftrightarrow ((p \rightarrow r) \leftrightarrow q))$



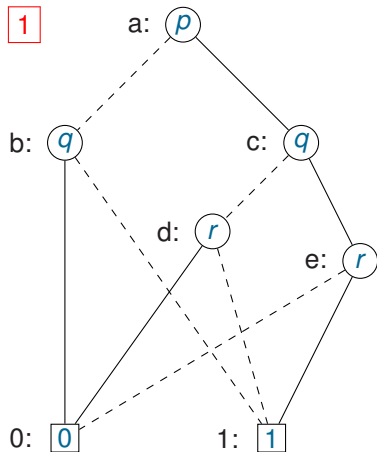
Example $\exists r \exists p (p \leftrightarrow ((p \rightarrow r) \leftrightarrow q))$

$\exists quant(\{r, p\}, \{a\})$
 $\exists quant(\{r\}, \{b, c\})$



Example $\exists r \exists p (p \leftrightarrow ((p \rightarrow r) \leftrightarrow q))$

$$\exists quant(\{r, p\}, \{a\}) = \boxed{1}$$



QBF $\exists r \exists p (p \leftrightarrow ((p \rightarrow r) \leftrightarrow q))$ is represented by the node $\boxed{1}$.
This formula is **true**.

\exists -quantification algorithm for OBDDs

procedure $\exists quant(\{p_1, \dots, p_k\}, \{n_1, \dots, n_m\})$

parameters: global dag D

input: nodes n_1, \dots, n_m representing F_1, \dots, F_m in D

output: a node n representing $\exists p_1 \dots \exists p_k (F_1 \vee \dots \vee F_m)$ in (modified) D

begin

if $m = 0$ **then return** 0

if some n_i is 1 **then return** 1

if some n_i is 0 **then**

return $\exists quant(\{p_1, \dots, p_k\}, \{n_1, \dots, n_{i-1}, n_{i+1}, \dots, n_m\})$

$p := \max_atom(n_1, \dots, n_m)$

forall $i = 1 \dots m$

if n_i is labelled by p

then $(l_i, r_i) := (neg(n_i), pos(n_i))$

else $(l_i, r_i) := (n_i, n_i)$

if $p \in \{p_1, \dots, p_k\}$

then return $\exists quant(\{p_1, \dots, p_k\} - \{p\}, \{l_1, \dots, l_m, r_1, \dots, r_m\})$

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$k_2 := \exists quant(\{p_1, \dots, p_k\}, \{r_1, \dots, r_m\})$

return $integrate(k_1, p, k_2, D)$

end

\forall -quantification algorithm for OBDDs

procedure $\forall quant(\{p_1, \dots, p_k\}, \{n_1, \dots, n_m\})$

parameters: global dag D

input: nodes n_1, \dots, n_m representing F_1, \dots, F_m in D

output: a node n representing $\forall p_1 \dots \forall p_k (F_1 \wedge \dots \wedge F_m)$ in (modified) D

begin

if $m = 0$ **then return** $\boxed{1}$

if some n_i is $\boxed{0}$ **then return** $\boxed{0}$

if some n_i is $\boxed{1}$ **then**

return $\forall quant(\{p_1, \dots, p_k\}, \{n_1, \dots, n_{i-1}, n_{i+1}, \dots, n_m\})$

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if $p \in \{p_1, \dots, p_k\}$

then return $\forall quant(\{p_1, \dots, p_k\} - \{p\}, \{l_1, \dots, l_m, r_1, \dots, r_m\})$

else

$k_1 := \forall quant(\{p_1, \dots, p_k\}, \{l_1, \dots, l_m\})$

$k_2 := \forall quant(\{p_1, \dots, p_k\}, \{r_1, \dots, r_m\})$

return $\text{integrate}(k_1, p, k_2, D)$

end

Quantifier elimination

Lemma (quantifier elimination): For any quantified Boolean formula F there is an equivalent quantifier-free formula Q , ($F \equiv Q$).

Remarks:

- ▶ We can **eliminate quantifiers** from formulas one by one from innermost to outermost using OBDDs.
- ▶ In particular, we can **evaluate/check satisfiability/validity** of QBFs using quantification algorithms on OBDDs.
- ▶ Evaluation/satisfiability/validity of QBF is **PSPACE-complete**.

Summary

Quantified Boolean Formulas: boolean formulas + quantifiers \exists, \forall .

Any **closed** formula is either **true** or **false** (in all interpretations).

Satisfiability/validity of formulas with free variables can be reduced to checking **truth/falsity** of closed formulas.

Prenex normal form: rectification + prenexing rules + CNF rules.

Alg. for checking truth/falsity of closed formulas in prenex form:

- ▶ **Splitting**: \wedge, \vee nodes.
- ▶ **DLL**: splitting + unit propagation; \wedge, \vee nodes.
Pure literal rule, Universal literal deletion.

QBF with free variables represent boolean functions.

Quantification algorithms for building **OBDDs from QBFs**.

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Next: Modelling using Propositional Logic of Finite Domains.