

# Next

**Next:** Randomized satisfiability algorithms.

# SAT as a Decision Problem

**Decision problem:** any collection of problems that have a **yes-no** answer. Each element of this collection is called an **instance** of this problem.

Example: solvability of systems of linear inequalities over integers.

- ▶ an **instance** is a system of linear inequalities;
- ▶ an answer is **yes** if it has a solution.

**SAT is a decision problem:**

- ▶ an **instance** is a finite set of clauses.
- ▶ it has a **yes-no** answer: **yes (satisfiable)** or **no (unsatisfiable)**

**Witness for an instance  $I$ :** any data  $D$  such that, given  $D$ , one can check in polynomial time (in  $|D|$ ) that  $I$  has a yes-answer.

Satisfiability has **small witnesses**: interpretations.

Unsatisfiability has **no polynomial-size witnesses**, unless  $NP = coNP$ .

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# Satisfiability Algorithm that Cannot Establish Unsatisfiability

**procedure** *CHAOS*(*S*)

**input:** set of clauses *S*

**output:** interpretation *I* such that  $I \models S$  or *don't know*

**parameters:** positive integer *MAX-TRIES*

**begin**

**repeat** *MAX-TRIES* times

*I* := random interpretation

**if**  $I \models S$  **then return** *I*

**return** *don't know*

**end**

Randomized satisfiability algorithms:

- random search for a satisfying assignment;
- cannot establish unsatisfiability;
- may return "don't know"



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# Randomised Algorithms for SAT

- ▶ Choose a **random interpretation**.
- ▶ If this interpretation is not a model, repeatedly choose a variable and **change its value in the interpretation** (**flip** the variable).

The flipped variables are chosen using heuristics or randomly, or both.

$$\text{flip}(I, p)(q) = \begin{cases} I(q), & \text{if } p \neq q; \\ 1, & \text{if } p = q \text{ and } I(p) = 0; \\ 0, & \text{if } p = q \text{ and } I(p) = 1. \end{cases}$$

In other words, the interpretation  $\text{flip}(I, p)$  is obtained from  $I$  by changing its value on  $p$ .

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# GSAT

**procedure** *GSAT*(*S*)

**input:** set of clauses *S*

**output:** interpretation *I* such that  $I \models S$  or *don't know*

**parameters:** integers *MAX-TRIES*, *MAX-FLIPS*

**begin**

**repeat** *MAX-TRIES* times

*I* := random interpretation

**if**  $I \models S$  **then return** *I*

**repeat** *MAX-FLIPS* times

*p* := a variable such that *flip*(*I*, *p*) satisfies  
      the maximal number of clauses in *S*

*I* = *flip*(*I*, *p*)

**if**  $I \models S$  **then return** *I*

**return** *don't know*

**end**

GSAT is a **local search algorithm**, it tries to maximise the number of satisfied clauses by local changes.

**Note:** there can be several **candidates for flipping**; we pick *p* uniformly at random among such candidates.

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# GSAT example

0		0		1
$p_1$	$\vee$	$\neg p_2$	$\vee$	$p_3$
		$\neg p_2$	$\vee$	$\neg p_3$
$\neg p_1$			$\vee$	$\neg p_3$
$\neg p_1$	$\vee$	$p_2$		
$p_1$	$\vee$	$p_2$		

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0		0		1
$p_1$	$\vee$	$\neg p_2$	$\vee$	$p_3$
		$\neg p_2$	$\vee$	$\neg p_3$
$\neg p_1$			$\vee$	$\neg p_3$
$\neg p_1$	$\vee$	$p_2$		
$p_1$	$\vee$	$p_2$		

flip no.	interpretation			satisfied clauses				candidates for flipping	flipped variable
	$p_1$	$p_2$	$p_3$		$p_1$	$p_2$	$p_3$		
1	0	0	1	4	3	4	4	$p_2, p_3$	$p_2$
2	0	1	1	4	3	4	4	$p_2, p_3$	$p_3$
3	0	1	0	4	5	4	4	$p_1$	$p_1$
	1	1	0	5					



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		$\neg p_2$	$\vee$	$\neg p_3$
$\neg p_1$			$\vee$	$\neg p_3$
$\neg p_1$	$\vee$	$p_2$		
$p_1$	$\vee$	$p_2$		

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# GSAT example

$$\begin{array}{rclcl}
 & 1 & & 1 & 0 \\
 \hline
 & p_1 & \vee & \neg p_2 & \vee & p_3 \\
 & & & \neg p_2 & \vee & \neg p_3 \\
 & \neg p_1 & & & \vee & \neg p_3 \\
 & \neg p_1 & \vee & p_2 & & \\
 & p_1 & \vee & p_2 & & 
 \end{array}$$

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**Advantages:** Can quickly find a **satisfying** assignment in large problems.

**Issues:** during the inner loop GSAT can get **stuck** in a "plateau" optimum point, where further flips do not change the number of satisfied clauses.

# GSAT with random walks

**procedure** *GSATwithWalks*(*S*)

**input:** set of clauses *S*

**output:** interpretation *I* such that  $I \models S$  or *don't know*

**parameters:** integers *MAX-TRIES*, *MAX-FLIPS*

real number  $0 \leq \pi \leq 1$  (probability of a sideways move),

**begin**

**repeat** *MAX-TRIES* times

*I* := random interpretation ;

**if**  $I \models S$  **then return** *I*

**repeat** *MAX-FLIPS* times

**with probability**  $\pi$

*p* := a variable such that *flip*(*I*, *p*) satisfies  
the maximal number of clauses in *S*

**with probability**  $1 - \pi$

randomly select *p* among all variables occurring in clauses false in *I*

*I* = *flip*(*I*, *p*) ;

**if**  $I \models S$  **then return** *I*

**return** *don't know*

**end**

# GSAT with random walks

**procedure** *GSATwithWalks*(*S*)

**input:** set of clauses *S*

**output:** interpretation *I* such that  $I \models S$  or *don't know*

**parameters:** integers *MAX-TRIES*, *MAX-FLIPS*

real number  $0 \leq \pi \leq 1$  (probability of a sideways move),

**begin**

**repeat** *MAX-TRIES* times

*I* := random interpretation ;

**if**  $I \models S$  **then return** *I*

**repeat** *MAX-FLIPS* times

**with probability**  $\pi$

*p* := a variable such that *flip*(*I*, *p*) satisfies  
the maximal number of clauses in *S*

**with probability**  $1 - \pi$

randomly select *p* among all variables occurring in clauses false in *I*

*I* = *flip*(*I*, *p*) ;

**if**  $I \models S$  **then return** *I*

**return** *don't know*

**end**

# GSAT with random walks

**procedure** *GSATwithWalks*(*S*)

**input:** set of clauses *S*

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**parameters:** integers *MAX-TRIES*, *MAX-FLIPS*

real number  $0 \leq \pi \leq 1$  (probability of a sideways move),

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*I* := random interpretation ;

**if**  $I \models S$  **then return** *I*

**repeat** *MAX-FLIPS* times

with probability  $\pi$

*p* := a variable such that *flip*(*I*, *p*) satisfies  
the maximal number of clauses in *S*

with probability  $1 - \pi$

randomly select *p* among all variables occurring in clauses false in *I*

*I* = *flip*(*I*, *p*) ;

**if**  $I \models S$  **then return** *I*

**return** *don't know*

**end**

# GSAT with random walks

**procedure** *GSATwithWalks*( $S$ )

**input:** set of clauses  $S$

**output:** interpretation  $I$  such that  $I \models S$  or *don't know*

**parameters:** integers *MAX-TRIES*, *MAX-FLIPS*

real number  $0 \leq \pi \leq 1$  (probability of a sideways move),

**begin**

**repeat** *MAX-TRIES* times

$I :=$  random interpretation ;

**if**  $I \models S$  **then return**  $I$

**repeat** *MAX-FLIPS* times

**with probability**  $\pi$

$p :=$  a variable such that  $flip(I, p)$  satisfies  
the maximal number of clauses in  $S$

**with probability**  $1 - \pi$

randomly select  $p$  among all variables occurring in clauses false in  $I$

$I = flip(I, p)$  ;

**if**  $I \models S$  **then return**  $I$

**return** *don't know*

**end**

# Walk SAT (WSAT)

**procedure** *WSAT*(*S*)

**input:** set of clauses *S*

**output:** interpretation *I* such that  $I \models S$  or *don't know*

**parameters:** integers *MAX-TRIES*, *MAX-FLIPS*

**begin**

**repeat** *MAX-TRIES* times

*I* := random interpretation

**if**  $I \models S$  **then return** *I*

**repeat** *MAX-FLIPS* times

randomly select a clause  $C \in S$  such that  $I \not\models C$

randomly select a variable *p* in *C*

*I* = *flip*(*I*, *p*)

**if**  $I \models S$  **then return** *I*

**return** *don't know*

**end**

**Note:** first uniformly at random pick an unsatisfied clause and then uniformly at random pick a variable in such clause.

# Walk SAT (WSAT)

**procedure** *WSAT*(*S*)

**input:** set of clauses *S*

**output:** interpretation *I* such that  $I \models S$  or *don't know*

**parameters:** integers *MAX-TRIES*, *MAX-FLIPS*

**begin**

**repeat** *MAX-TRIES* times

*I* := random interpretation

**if**  $I \models S$  **then return** *I*

**repeat** *MAX-FLIPS* times

randomly select a clause  $C \in S$  such that  $I \not\models C$

randomly select a variable *p* in *C*

*I* = *flip*(*I*, *p*)

**if**  $I \models S$  **then return** *I*

**return** *don't know*

**end**

**Note:** first uniformly at random pick an unsatisfied clause and then uniformly at random pick a variable in such clause.



# Walk SAT (WSAT)

**procedure** *WSAT*(*S*)

**input:** set of clauses *S*

**output:** interpretation *I* such that  $I \models S$  or *don't know*

**parameters:** integers *MAX-TRIES*, *MAX-FLIPS*

**begin**

**repeat** *MAX-TRIES* times

*I* := random interpretation

**if**  $I \models S$  **then return** *I*

**repeat** *MAX-FLIPS* times

randomly select a clause  $C \in S$  such that  $I \not\models C$

randomly select a variable *p* in *C*

*I* = *flip*(*I*, *p*)

**if**  $I \models S$  **then return** *I*

**return** *don't know*

**end**

*Note:* first uniformly at random pick an unsatisfied clause and then uniformly at random pick a variable in such clause.

# Walk SAT (WSAT)

**procedure** *WSAT*(*S*)

**input:** set of clauses *S*

**output:** interpretation *I* such that  $I \models S$  or *don't know*

**parameters:** integers *MAX-TRIES*, *MAX-FLIPS*

**begin**

**repeat** *MAX-TRIES* times

*I* := random interpretation

**if**  $I \models S$  **then return** *I*

**repeat** *MAX-FLIPS* times

randomly select a clause  $C \in S$  such that  $I \not\models C$

randomly select a variable *p* in *C*

*I* = flip(*I*, *p*)

**if**  $I \models S$  **then return** *I*

**return** *don't know*

**end**

**Note:** first uniformly at random pick an unsatisfied clause and then uniformly at random pick a variable in such clause.

# Walk SAT example

0		0		1
$p_1$	$\vee$	$\neg p_2$	$\vee$	$p_3$
		$\neg p_2$	$\vee$	$\neg p_3$
$\neg p_1$			$\vee$	$\neg p_3$
$\neg p_1$	$\vee$	$p_2$		
$p_1$	$\vee$	$p_2$		

flip no.	interpretation			unsatisfied clauses	candidates for flipping	flipped variable
	$p_1$	$p_2$	$p_3$			
1	0	0	1	$p_1 \vee p_2$	$p_1, p_2$	$p_1$
2	1	0	1	$\neg p_1 \vee \neg p_3$ $\neg p_1 \vee p_2$	$p_1, p_2, p_3$	$p_2$
3	1	1	1	$\neg p_2 \vee \neg p_3$ $\neg p_1 \vee \neg p_3$	$p_1, p_2, p_3$	$p_3$
	1	1	0			

**Note:** first uniformly at random pick an unsatisfied clause and then uniformly at random pick a variable in such clause.

# Walk SAT example

0		0		1
$p_1$	$\vee$	$\neg p_2$	$\vee$	$p_3$
		$\neg p_2$	$\vee$	$\neg p_3$
$\neg p_1$			$\vee$	$\neg p_3$
$\neg p_1$	$\vee$	$p_2$		
$p_1$	$\vee$	$p_2$		

flip no.	interpretation			unsatisfied clauses	candidates for flipping	flipped variable
	$p_1$	$p_2$	$p_3$			
1	0	0	1	$p_1 \vee p_2$	$p_1, p_2$	$p_1$
2	1	0	1	$\neg p_1 \vee \neg p_3$ $\neg p_1 \vee p_2$	$p_1, p_2, p_3$	$p_2$
3	1	1	1	$\neg p_2 \vee \neg p_3$ $\neg p_1 \vee \neg p_3$	$p_1, p_2, p_3$	$p_3$
	1	1	0			

**Note:** first uniformly at random pick an unsatisfied clause and then uniformly at random pick a variable in such clause.

# Walk SAT example

0		0		1
$p_1$	$\vee$	$\neg p_2$	$\vee$	$p_3$
		$\neg p_2$	$\vee$	$\neg p_3$
$\neg p_1$			$\vee$	$\neg p_3$
$\neg p_1$	$\vee$	$p_2$		
$p_1$	$\vee$	$p_2$		

flip no.	interpretation			unsatisfied clauses	candidates for flipping	flipped variable
	$p_1$	$p_2$	$p_3$			
1	0	0	1	$p_1 \vee p_2$	$p_1, p_2$	$p_1$
2	1	0	1	$\neg p_1 \vee \neg p_3$ $\neg p_1 \vee p_2$	$p_1, p_2, p_3$	$p_2$
3	1	1	1	$\neg p_2 \vee \neg p_3$ $\neg p_1 \vee \neg p_3$	$p_1, p_2, p_3$	$p_3$
	1	1	0			

**Note:** first uniformly at random pick an unsatisfied clause and then uniformly at random pick a variable in such clause.

# Walk SAT example

1		0		1
$p_1$	$\vee$	$\neg p_2$	$\vee$	$p_3$
		$\neg p_2$	$\vee$	$\neg p_3$
$\neg p_1$			$\vee$	$\neg p_3$
$\neg p_1$	$\vee$	$p_2$		
$p_1$	$\vee$	$p_2$		

flip no.	interpretation			unsatisfied clauses	candidates for flipping	flipped variable
	$p_1$	$p_2$	$p_3$			
1	0	0	1	$p_1 \vee p_2$	$p_1, p_2$	$p_1$
2	1	0	1	$\neg p_1 \vee \neg p_3$ $\neg p_1 \vee p_2$	$p_1, p_2, p_3$	$p_2$
3	1	1	1	$\neg p_2 \vee \neg p_3$ $\neg p_1 \vee \neg p_3$	$p_1, p_2, p_3$	$p_3$
	1	1	0			

**Note:** first uniformly at random pick an unsatisfied clause and then uniformly at random pick a variable in such clause.

# Walk SAT example

1		0		1
$p_1$	$\vee$	$\neg p_2$	$\vee$	$p_3$
		$\neg p_2$	$\vee$	$\neg p_3$
$\neg p_1$			$\vee$	$\neg p_3$
$\neg p_1$	$\vee$	$p_2$		
$p_1$	$\vee$	$p_2$		

flip no.	interpretation			unsatisfied clauses	candidates for flipping	flipped variable
	$p_1$	$p_2$	$p_3$			
1	0	0	1	$p_1 \vee p_2$	$p_1, p_2$	$p_1$
2	1	0	1	$\neg p_1 \vee \neg p_3$ $\neg p_1 \vee p_2$	$p_1, p_2, p_3$	$p_2$
3	1	1	1	$\neg p_2 \vee \neg p_3$ $\neg p_1 \vee \neg p_3$	$p_1, p_2, p_3$	$p_3$
	1	1	0			

**Note:** first uniformly at random pick an unsatisfied clause and then uniformly at random pick a variable in such clause.

# Walk SAT example

$$\begin{array}{ccccccc}
 & 1 & & 1 & & 1 & \\
 \hline
 & p_1 & \vee & \neg p_2 & \vee & p_3 & \\
 & & & \neg p_2 & \vee & \neg p_3 & \\
 & \neg p_1 & & & \vee & \neg p_3 & \\
 & \neg p_1 & \vee & p_2 & & & \\
 & p_1 & \vee & p_2 & & & 
 \end{array}$$

flip no.	interpretation			unsatisfied clauses	candidates for flipping	flipped variable
	$p_1$	$p_2$	$p_3$			
1	0	0	1	$p_1 \vee p_2$	$p_1, p_2$	$p_1$
2	1	0	1	$\neg p_1 \vee \neg p_3$ $\neg p_1 \vee p_2$	$p_1, p_2, p_3$	$p_2$
3	1	1	1	$\neg p_2 \vee \neg p_3$ $\neg p_1 \vee \neg p_3$	$p_1, p_2, p_3$	$p_3$
	1	1	0			

**Note:** first uniformly at random pick an unsatisfied clause and then uniformly at random pick a variable in such clause.



# Walk SAT example

$$\begin{array}{ccccc}
 & 1 & & 1 & & 1 \\
 \hline
 & p_1 & \vee & \neg p_2 & \vee & p_3 \\
 & & & \neg p_2 & \vee & \neg p_3 \\
 & \neg p_1 & & & \vee & \neg p_3 \\
 & \neg p_1 & \vee & p_2 & & \\
 & p_1 & \vee & p_2 & & 
 \end{array}$$

flip no.	interpretation			unsatisfied clauses	candidates for flipping	flipped variable
	$p_1$	$p_2$	$p_3$			
1	0	0	1	$p_1 \vee p_2$	$p_1, p_2$	$p_1$
2	1	0	1	$\neg p_1 \vee \neg p_3$ $\neg p_1 \vee p_2$	$p_1, p_2, p_3$	$p_2$
3	1	1	1	$\neg p_2 \vee \neg p_3$ $\neg p_1 \vee \neg p_3$	$p_1, p_2, p_3$	$p_3$
	1	1	0			

**Note:** first uniformly at random pick an unsatisfied clause and then uniformly at random pick a variable in such clause.

# Walk SAT example

$$\begin{array}{rcl}
 & 1 & 1 \quad 0 \\
 \hline
 p_1 & \vee & \neg p_2 \vee p_3 \\
 & & \neg p_2 \vee \neg p_3 \\
 & & \neg p_1 \vee \neg p_3 \\
 \neg p_1 & \vee & p_2 \\
 \neg p_1 & \vee & p_2
 \end{array}$$

flip no.	interpretation			unsatisfied clauses	candidates for flipping	flipped variable
	$p_1$	$p_2$	$p_3$			
1	0	0	1	$p_1 \vee p_2$	$p_1, p_2$	$p_1$
2	1	0	1	$\neg p_1 \vee \neg p_3$ $\neg p_1 \vee p_2$	$p_1, p_2, p_3$	$p_2$
3	1	1	1	$\neg p_2 \vee \neg p_3$ $\neg p_1 \vee \neg p_3$	$p_1, p_2, p_3$	$p_3$
	1	1	0			

Note: first uniformly at random pick an unsatisfied clause and then uniformly at random pick a variable in such clause.

# Walk SAT example

$$\begin{array}{ccccccc}
 & 1 & & 1 & & 0 & \\
 \hline
 & p_1 & \vee & \neg p_2 & \vee & p_3 & \\
 & & & \neg p_2 & \vee & \neg p_3 & \\
 & \neg p_1 & & & \vee & \neg p_3 & \\
 & \neg p_1 & \vee & p_2 & & & \\
 & p_1 & \vee & p_2 & & & 
 \end{array}$$

flip no.	interpretation			unsatisfied clauses	candidates for flipping	flipped variable
	$p_1$	$p_2$	$p_3$			
1	0	0	1	$p_1 \vee p_2$	$p_1, p_2$	$p_1$
2	1	0	1	$\neg p_1 \vee \neg p_3$ $\neg p_1 \vee p_2$	$p_1, p_2, p_3$	$p_2$
3	1	1	1	$\neg p_2 \vee \neg p_3$ $\neg p_1 \vee \neg p_3$	$p_1, p_2, p_3$	$p_3$
	1	1	0			

**Note:** first uniformly at random pick an unsatisfied clause and then uniformly at random pick a variable in such clause.

# Summary

## Probabilistic analysis of satisfiability:

- ▶ randomly generated clauses
- ▶ main parameter:  $r = m/n$ ;  $n$ — number of variables;  $m$  number of clauses
- ▶ sharp threshold transition of  $\pi(r, m)$  from sat to unsat
- ▶ crossover point  $r \simeq 4.25$
- ▶ hard random problems are around the crossover point

## Randomized algorithms

- ▶ random search for satisfying assignments
- ▶ one-sided – can not be used to show unsatisfiability
- ▶ GSAT local search
- ▶ GSAT with random walks;
- ▶ Walk SAT (WSAT)