

# Simulaton of hair with the Tractrix curve

#### **Master Thesis**

at the University of applied science Ravensburg-Weingarten

by

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#### **Abstract**

The tractrix curve can be used to describe motion in 3D space of any flexible one dimensional object like hair, rope or similar in a follow the leader algorithm. In a simulation, where a strand is discretized into multiple straight segments, the tractrix algorithm accurately describes the motion of the strand if one point of any segment is moved. This simulation has been successfully implemented in non real time environments. The main purpose of this paper is to find a way to implement a real time hair simulation based on the tractrix algorithm.

Two approaches are described. The first one tries to emulate currently existing models for real time hair simulation. It is moderately realistic, but is computational very intensive and not feasible in real time environments. The second proposed approach is not as physically accurate as currently existing simulation models, but it is simple and easy. This should enable rudimentary hair simulation without extensive effort regarding implementation and configuration.

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# 1 Introduction

Simulation and rendering of hair in real time rendering environments have been improved significantly over the last few decades, but there still exists ongoing research to improve either the visual quality or the time needed to compute realistic results. The motivation of this paper is to test a new approach for the simulation of hair strands, that could improve performance or reduce the time needed to configure a realistic looking hair simulation.

There are multiple techniques for simulating hair like rigid multi body serial chains, super helix simulation and mass spring systems. Many hair simulations are using simulation methods that are comparable to mass spring systems, as they are well understood and fast. [Tar10, p.4]

As an example the *TressFX* framework from Advanced Micro Devices (AMD), which is used to simulate and render Lara Crofts hair in *Tomb Raider*, uses a particle constraint system [Eng14]. A particle constraint system is simpler and more stable than a mass spring system, but not as accurate. In its core mass spring systems and particle constraint systems use the same concepts of acceleration and integration to keep a simulated object in its desired shape [Tar10, p.5]. Nvidias current hair simulation and rendering framework *Nvidia Hairworks* also uses a particle constraint system[Tar08, p.8] as it is base upon the Nvidia Fermi Demo [Rap14, p.11].

As particle systems have been sufficient for hair simulation this work is based on a particle system, but does not use any kind of traditional particle constraint or mass spring system. Instead, the motion of the hair is simulated with the help of the tractrix curve, which is a mathematical curve that describes the motion of a one dimensional flexible object like hair, rope or similar if one point of the object moves. This might reduce the computational effort of the simulation and still produce realistic looking results. Additionally, the tractrix system should need less configuration then traditional mass spring systems. [Men16]

The main contribution of this paper is showing the major problems of using the tractrix curve in a real time hyper realistic simulation of hair. These problems consist mainly of performance and stability issues, the general force propagation in the strand as well as the problem of maintaining a certain hair style. However, an approach is provided which simplifies rudimentary simulations of hair to the point where just one floating point

number has to be adjusted, while maintaining decent visual quality, good performance and only needing minimal implementation effort. This approach is not physically accurate or realistic but might be sufficient for basic hair simulation. The paper is organized as follows: Section 2 describes the physical properties of hair and presents currently known methods of simulating it. Additionally, it covers the basics of the tractrix curve and how the tractrix algorithm works. In Section 3 the proposed approaches for using the tractrix algorithm to simulate hair and the problems with each approach are presented. In Section 4 implementation specifics for the tractrix algorithm on a Graphics Processing Unit (GPU) are shown as well as the used frameworks and software to implement the project. Section 5 concludes all findings and includes further ideas on how to use the tractrix in hair simulation.

# 2 Related Work

### 2.1 Physical properties of hair

On average humans have around 100 000 to 150 000 hair strands. Geometrically, a single hair can be viewed as a thin curved cylinder. It can either be straight, curly or something in between. [Had06]

A typical hair strand is 50 - 120 µm thin and consists of two parts. The core of the strand is called the cortex, which is mostly made up by keratin filaments and contributes to about 90% of the weight of the strand. The region which encloses the cortex is called the cuticle and it forms scales, that interfere heavily with light that hits the hair strand. Because of the small diameter they are easily bend or twisted. On the other hand the elastic modulus of hair is 3.89 GPa, comparable to the modulus of wood, which makes it difficult to shear and stretch strands. [Rap14]

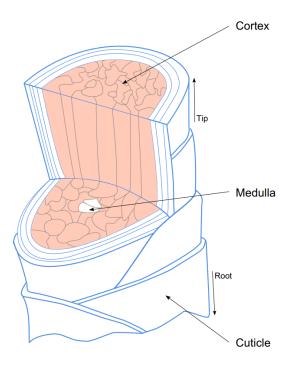


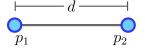
Figure 2.1: Hair strand cross section[Rap14]

### 2.2 Known hair simulation techniques

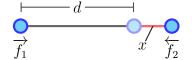
A variety of very different approaches exist to simulate hair. One of the earliest attempts was to simulate hair as a continuum and using fluid dynamics to reduce the computational effort that would be needed to simulate each and every strand. [HMT01] Continuum based models are best suited for smooth and fluid hair, while struggling with wavy or curly hair. [Ber+06] Another approach is to use serial rigid multibody chains to simulate hair dynamics. Each strand is divided into segments of similar length and connected by spherical joints. [Had06] This method is good for realistic hair motion, but it is hard to calculate the collision response of the system and they are difficult to parallelize. [Tar10] An even more robust solution is the super helix model. It uses the Kirchhoff equations for dynamic, inextensible elastic rods to realistically predict hair motion. However the simulation is computational intensive. [Ber+06] Furthermore mass spring systems are often used for simulation. Some modern real time hair simulation frameworks use a comparable approach to mass spring systems. Because of this relationship mass spring systems will be explained in more detail in the following section. [Tar10]

#### 2.2.1 Mass spring systems

Mass spring systems are commonly used in cloth simulation, but their versatility allows them to be also used for hair simulation. They are very well understood, easy, efficient and can produce realistic results. Each hair strand, which is simulated by a mass spring system is divided into multiple particles. Each particle has its own velocity and mass. To control the distance of neighboring particles, they are connected by coil springs.







We can use these springs to apply forces to each particle according to Hooke's law.

$$F = kx$$

The factor k defines the stiffness of the spring. A higher k value will result in stronger forces. To use the equation in a mass spring system x is defined as the difference of the wanted distance d and the current distance between the particles. Resulting in the equation:

$$F = k(||p_1 - p_2|| - d)$$

This represents the strength of the force response. By multiplying this force with the normalized vector between  $p_1$  and  $p_2$  we can accelerate the particles in the desired direction.

$$\overrightarrow{f} = -k(||p_1 - p_2|| - d) \frac{p_1 - p_2}{||p_1 - p_2||}$$

As the force is split evenly between the particles  $p_1$  and  $p_2$  the result of this equation is divided by 2 and assigned to either particle.

$$\overrightarrow{f_1} = -0.5k(||p_1 - p_2|| - d) \frac{p_1 - p_2}{||p_1 - p_2||}$$

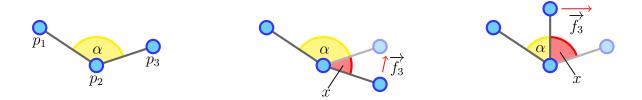
$$\overrightarrow{f_2} = -\overrightarrow{f_1}$$

Knowing the force, which is applied to each particle we can now use Newton's second law of motion to calculate the resulting acceleration  $\overrightarrow{a}$ . [Rap14]

$$\overrightarrow{F} = m\overrightarrow{a} \Leftrightarrow \overrightarrow{a} = \frac{\overrightarrow{F}}{m}$$

With the knowledge about the acceleration of every particle any known integration method, like e.g. Verlet integration, can be used to calculate the velocity and thus movement of the particle. [Ver]

To maintain hair strands in a certain hair style, like a mohawk, angular springs can be used. These work the same way as coil springs, only the distance d is now measured as a difference in radiants of the wanted angle  $\alpha$  and the current angle. Additionally the corresponding force is perpendicular to the strand direction.



The biggest problems of simulating hair with mass springs systems is that the spring factors k have to be chosen correctly. If e.g. k is too small, coil springs will easily extend or contract, which would contradict the properties of hair, as it does not easily stretch. However if k is too big, the time between simulation steps has to be small otherwise the system might not converge and become unstable. The same problem is present in angular springs. [Rap14] This is especially problematic in real time environments, as the time steps are not constant and only a complicated and, thus computational intensive, integration scheme such as implicit backward integration could prevent the system from becoming unstable. Because of this pure mass spring systems are popular in Visual Effects (VFX) but not in real time environments. [Eng14]

#### 2.2.2 TressFX

TressFX is a hair rendering and simulation framework developed by AMD. It is used in games like Tomb Raider[Eng14] and Deus Ex: Mankind Divided[Par15].



Figure 2.2: TressFX Comparison [Trea]

For its simulation it uses a particle constraint system [Eng14], which is a system that is similar to a mass spring system in its approach [Tar10]. Every hair strand is simulated as a poly line with the following algorithm.

## Input: hair data while simulation running do integrate; apply Global Shape Constraints; while itertation do apply Local Shape Constraints; end while iteration do apply Edge Length Constraints; if too much acceleration then apply ad-hoc constraints; break; end end apply wind; collision handling;

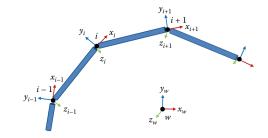


Figure 2.3: Strand as Poly Line [Eng14]

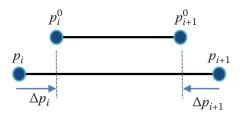


Figure 2.4: Edge Length Constraints [Eng14]

end
Algorithm 1: Simplified TressFX algorithm

render;

The Global Shape Constraints (GSC) try to preserve the initial hair shape by moving every particle in the direction of its initial hair style position. Local Shape Constraints (LSC) simulate bending and twisting forces by applying a distance constraint where two vertices are connected across the bent edge. To keep the system simple, bending and twisting are combined into one singular effect. The Edge Length Constraints (ELC) work sort of similar as coil springs, however they not only apply force, but also move the particle in the direction of its neighboring particle. Both LSC and ELC are iterative processes with poor convergence. During fast moving sections the acceleration put on the particles can reach a critical level. In a mass spring system this could lead to an unstable system. In TressFX this results in worse convergence of the ELC. To circumvent this problem if high accelerations are present ad-hoc constraints are applied. These constraints work from the root of the strand and pull or push back the next particle in the poly line to the desired position. This method allows ELC to be met in one step, but it can add extra energy to the system which is removed by adding high damping. After applying GSC, LSC and ELC, external forces like wind, gravity and collision are added and the hair is rendered.

Following this algorithm *TressFX 1.0* was able to simulate around 19 000 strands in less than a millisecond on high-end GPUs. But because performance was the highest concern, the physical accuracy was lacking.[Eng14]

A similar particle constraint system is used in the Fermi Hair Demo, which is the basis for another popular hair simulation and rendering framework NVIDIA HairWorks. [Tar08] [Rap14]

After examining a working approach we can conclude, that full physical accuracy is not needed and a real time hair simulation system has to be primarily stable and performant.

#### 2.2.3 Tractrix

The tractrix curve can be described as the curve that results from the following setup. A string (or generally every one dimensional flexible object) is placed on the y axis of a cartesian coordinate system and is pulled in an orthogonal direction of the initial position e.g. along the x axis. Due to the influence of friction when pulled along this path, the typical tractrix curve is produced. [Traa]

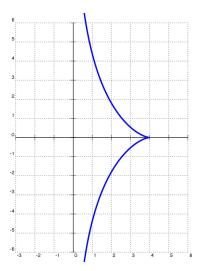
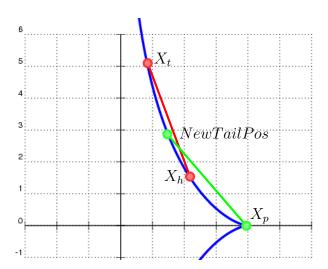


Figure 2.5: Traditional tractrix curve [Trab]

Sreenivasan et al. [SGG10] showed that the movement of a strand can be simulated with the tractrix curve in a follow the leader simulation. They developed an algorithm which solves the tractrix motion in 3D space. Every strand that should be simulated is discretised into many straight segments. The strand is moved segment by segment, starting with the leading one. The tractrix resolver function takes the head  $(X_h)$  and tail  $(X_t)$  position of the segment, and the desired head position  $(X_p)$ . The output of the function is the new position of the tailing end (NewTailPos) and the head will be moved to the desired

head position. This movement will be length preserving. One important property of the tractrix method is that the movement of the tail segment will always be smaller or equal to the head movement. This guarantees the movement will die out the further it travels from the leading particle.



The algorithm developed by Sreenivasan et al. [SGG10] is the following:

- 1. Define the vector  $S = X_p X_h$  where  $X_h$  is the current location of the head particle and  $X_p$  is the destination point of the head.
- 2. Define the vector  $T = X_t X_h$  where  $X_t$  is the current location of the tail particle.
- 3. Define the new reference coordinate system  $\{r\}$  with the X-axis along S. Hence  $\hat{X}_r = \frac{S}{|S|}$ .
- 4. Define the Z-axis as  $\hat{Z}_r = \frac{S \times T}{|S \times T|}$ .
- 5. Define the rotation matrix  ${}^0_r[R] = \begin{bmatrix} \hat{X}_r & \hat{Z}_r \times \hat{X}_r & \hat{Z}_r \end{bmatrix}$ .
- 6. Define L as  $L = |X_h X_t|$ .
- 7. The y-coordinate of the tail (lying on the tractrix) is given by  $y = \hat{Y}_r \cdot T$  and the parameter p can be obtained as  $p = L \ sech^{-1}(\frac{y}{L}) \pm |S|$ .
- 8. From p we can obtain the X and Y-coordinate of the point on the tractrix in the reference coordinate system as

$$x_r = \pm |S| - L \tanh(\frac{p}{L})$$
  
$$y_r = L \operatorname{sech}(\frac{p}{L})$$

9. Once  $x_r$  and  $y_r$  are known, the point on the tractrix  $(x, y, z)^T$  in the global fixed coordinate system  $\{0\}$  is given by  $(x, y, z)^T = X_h +_r^0 [R] (x_r, y_r, 0)^T$ 

This movement can now be propagated along the chain of segments with a simple loop.

```
Input: desiredHeadPos
```

get head/tail position from leading segment;

for each segment do

```
newTailPos = TractrixStep(headPos, tailPos, desiredHeadPos);
save newTailPos;
desiredHeadPos = newTailPos;
get head/tail positions from next segment;
```

#### end

apply new positions;

#### Algorithm 2: Recursive Tractrix algorithm

This loop results in natural looking movement of a strand, if only the leading particle of the strand is moved with an algorithmic complexity of  $\mathcal{O}(n)$ , where n is the number of particles in the strand.

# 3 Contribution

#### Goals of the simulation

The main goal of the approaches is to use the tractrix curve in any way to simulate hair. The metrics to measure the success of each approach are primarily speed and stability, followed by physical accuracy as a secondary metric. This follows the principle of current hair simulation frameworks as explained in the section TressFX, where physical accuracy is weighted less than speed and stability.

However it is a prerequisite that the simulated strand has to be able to maintain a certain hair style. Both approaches share that the strand is discretised into multiple particles which are connected by rigid segments. Furthermore there is no collision detection. A typical starting configuration looks like this, with the segments connecting the particles in green and blue and the resulting spline in red.

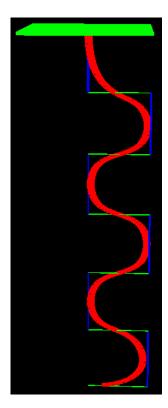


Figure 3.1: Starting configuration

For performance comparisons the approaches were used to simulate part of the hair assets used in the *TressFX 4.1* Demo. Just the mohawk was used for testing and it consists of 2228 strands with 32 particles per strand. The system used consists of a AMD Ryzen 3600 and a Nvidia GForce 1080 Ti. All hair assets as seen in the figure 3.2 are simulated

in under 1 millisecond. An important factor regarding the implementations used in this paper, is that they are not fully optimized and performance improvements are most likely to be gained through more detailed analysis.



Figure 3.2: TressFX 4.1 Demo Scene [Treb]

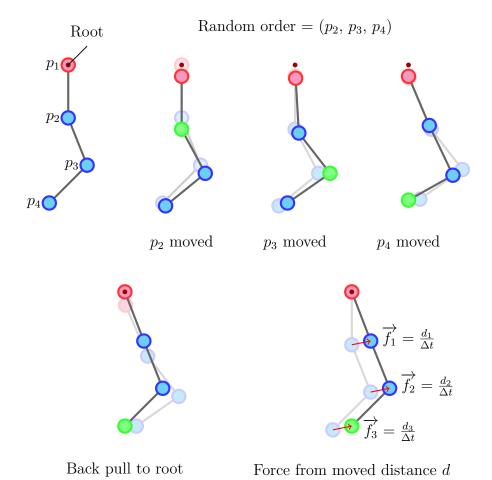
#### 3.0.1 Particle constraint system emulation

The first approach was to emulate a particle constraint system to a certain degree. Every particle has its own velocity and position, which is updated every frame by this algorithm.

```
Input: strand data
apply gravity;
calculate current velocity;
for every particle do
   save desired position;
end
generate random order;
for every index i in random order do
   read desired position i;
   move particle i to desired position;
   apply tractrix move to rest of the strand;
end
pull strand back to root position via tractrix;
for every particle do
   apply forces generated by the movement;
   apply forces to maintain a hair style;
end
```

**Algorithm 3:** Emulation algorithm

Firstly, global forces are added and the current velocity is updated according to these forces. Based on this updated velocity the desired position in this frame of each particle can be calculated and saved in a structure. These updated positions can not be applied instantly, as the tractrix move is a *follow the leader* method. Only one particle can move at a time and the rest of the particles will follow its movement, thus the updated positions are applied one after another. To reduce artifacts the particles are not moved in a linear order, but in a random one. At the end of this loop one particle will be at its desired position and the rest will be approximately at its own desired one. This is due to the fact that, as mentioned in section 2.2.3, movement will die out the further it is away from the leading particle. After all particles are moved the strand will most likely not be attached to the scalp anymore, because this particle was moved by the tractrix movement too. Hence the whole strand is pulled back to the root position of the hair strand with another tractrix move.



As most particles will not be at their desired position, the difference in movement is divided by the time step  $\Delta t$ . This will result in a velocity, because as mentioned in 2.2.1  $Velocity * \Delta t = Movement$  thus  $\frac{Movement}{\Delta t} = Velocity$ . The generated velocity will be added onto to the velocity of the particle to account for the forces that the particles apply

to each other during the movement. Afterwards, a force is added that moves every particle in the direction of the desired hair style. This force is needed to maintain said hair style.

This approach is decently physical correct and if no hair style is applied, the movement of the strand looks realistic. But it has two major drawbacks. First of all, as mentioned in 2.2.3 every tractrix move has a complexity of  $\mathcal{O}(n)$ , but as this approach moves n particles in the strand with the tractrix move the complexity is changed to  $\mathcal{O}(n^2)$ , which leads to performance issues. The mohawk hair asset simulation runs at  $\sim$ 7 fps and even though as mentioned the implementation is not optimized with a complexity of  $\mathcal{O}(n^2)$ , the performance will always be subpar, hence the need for a performant simulation system is not satisfied.

The second drawback is the instability of the hair style. The penalty forces, which are added into the system are similar to the approach used in a particle constraint system (Section 2.2.2). However, in these established frameworks the penalty forces are calculated throughout multiple iterations each frame. This is due to the fact that it is a numerical method and it needs multiple iterations to converge. In a traditional particle constraint system moving particles is cheap and can be done multiple times per frame. In the tractrix system every move uses the tractrix method thus moving all particles of the strand, which is not a cheap operation. Because computational resources are limited, multiple iterations of the hair style forces are not possible and so they do not converge well, causing the strand to wiggle which does satisfy the need of a stable simulation.

In conclusion, trying to emulate a particle constraint system is not viable as it is not stable neither performant.

#### 3.0.2 Splitting physical and hair style simulation

This approach does not try to emulate a physical accurate system. It tries to use the follow the leader property of the tractrix movement to simplify approximations of hair subjected to only global forces, like wind and gravity. The simulation splits the position of the particles into the actual rendered position of the hair and a physical representation. Just the leading particle  $X_L$  of the strand is physically simulated.

```
Input: strand data apply gravity and wind to X_L; calculate velocity of X_L; move X_L; apply tractrix move to rest of the strand; pull strand back to root position via tractrix; reduce velocity of X_L based on the back move; currentPos X_c = \text{hairRoot}; for every particle i do

| mix direction of physical and desired strand direction; add mixed direction onto X_c; update position of rendered particle i to X_c; end
```

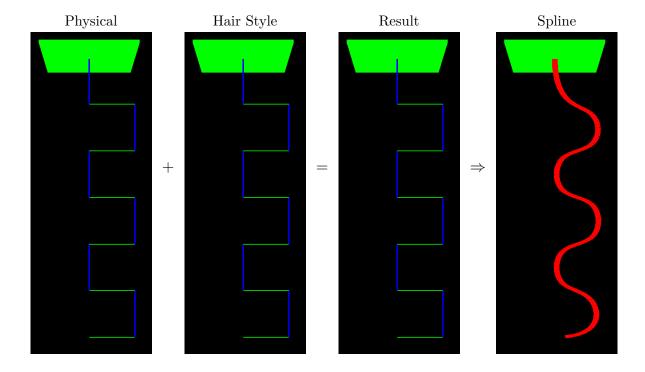
#### Algorithm 4: Split algorithm

The first part of the algorithm applies forces to particle  $X_L$  and moves it accordingly. The rest of the strand follows this movement based on the tractrix calculation. The strand is then pulled back to the root of the hair, to stay attached to the scalp. As the system does not include any kind of constraint or spring, the velocity  $v_L$  of the particle  $X_L$  will simply grow, because every frame the global forces are added. To compensate for the lack of these elements  $v_L$  will be reduced based on the length of the movement  $X_L$  experiences by the back move to the hair root. E.g. if every segment of the strand points solely in the -y direction and  $v_L$  is pointed in the same direction the displacement of the leading particle  $d_L$  will be identical to the displacement of the root  $d_R$ . If we now subtract the velocity the root experienced from  $v_L$  the velocity of the leading particle will be zero. This allows us to limit  $v_L$  in some way.

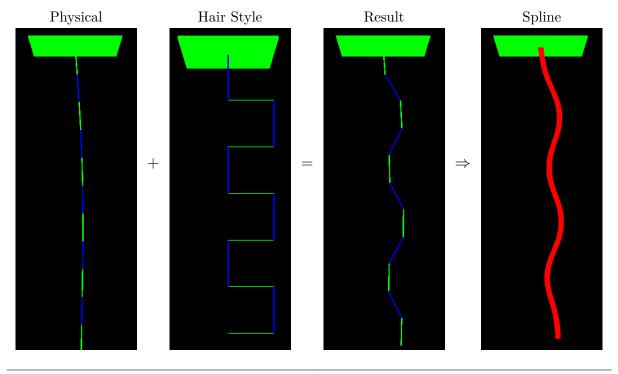
The loop presented in the algorithm builds up the rendered strand starting from the root. The mix is done by rotating the direction of the physical simulated segment towards the desired direction saved in the hair style. This can be configured by simply changing one floating point value ranging from 0.0 to 1.0 of how far the segment should be rotated. After a segment was rotated, the next position is calculated by adding the resulting direction

onto the current position  $X_c$ . The position of particle i can now be updated to  $X_c$ . The loop continues until every particle was updated with this method.

Frame 1



**Frame 1000** 



This simulation attempt is not physically correct, but looks decent if its simplicity is taken into account. It might not be suited for hyper realistic simulation, however it is stable and fast. It provides an easy way to simulate hair, where only one floating point number has to be adjusted. In the performance test it takes just  $\sim 1.6$  ms to simulate the TressFX 4.1 Demo mohawk, thus performing well enough to be implemented in real time applications. One use case for this simulation might be a game where rudimental hair simulation is needed, but the complexity of a particle constraint system or a mass spring system is too much effort.

# 4 Implementation

The implementation of the project was realized on a Windows 10 device with C++ as the programming language, DirectX 11 as the graphics framework and HLSL as the shader language. The simulation runs in a singular compute shader. The rendering of the scene is accomplished by drawing the segments and splines as lines lists, which are then extended into camera facing quads by a geometry shader. All source code of the project can be found under <a href="https://github.com/Michael-Zp/MasterThesis">https://github.com/Michael-Zp/MasterThesis</a>. To implement the tractrix in a compute shader on a GPU with the algorithm presented in 2.2.3 some special cases have to be considered.

#### 4.1 Undefined Z-Axis

If the movement of the head is exactly in or opposing the direction of the segment,  $S \times T$  will result in the vector (0,0,0) for the  $\hat{Z}_r$ -axis. In HLSL normalizing the (0,0,0) vector returns an indefinite result. [Hls] To combat this problem, following error handler was implemented:

```
float3 crossST = cross(S, T);
float3 normCrossST = normalize(crossST);
if(any(isnan(normCrossST)))
{
    newHeadPosition = desiredHeadPosition;
    newTailPosition = desiredHeadPosition - (tailPos - headPos);
}
```

In this error handler, the current head position is moved to the desired head position and the tail is the desired head position minus the segment itself. This guarantees, that the resulting segment is the same length as it was before. If the head is pulled away from the tail the result also fits expectations how a strand should move. If the head is pushed to the tail a realistic result for a flexible one dimensional object would include bending, but because the vectors S and T are in most cases linear independent and thus not resulting in the vector (0,0,0) this unrealistic behavior is acceptable in these few occurrences.

## 4.2 Inverse hyperbolic secant

The inverse hyperbolic secant sech(x) will converge to  $\infty$  if  $x \to 0$ .

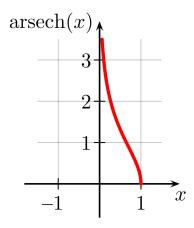


Figure 4.1: Inverse Hyperbolic secant graph [Sec]

This is a problem on a GPU, because a floating point number can not store indefinitely big numbers, but it is easily circumvented by minimising the result of the function.

```
float p = L * sechInverse(y / L) + lengthS;
p = min(100, p);
```

## 5 Conclusion

The tractrix curve is not suitable to simulate hair in a realistic, stable and performant way on its own. A moving hair will apply forces to itself based on inherent constraints of the strand or caused by properties like a hair style. The tractrix curve does not provide a reliable way to calculate these forces. Still, it consistently and realistically describes the movement of a hair and it was shown in the *Splitting physical and hair style simulation* approach that this fact can be used to simplify basic hair simulation and reduce the workload that has to be put into developing these systems. The effort needed to configure a rudimental tractrix based hair simulation, that is not at all physically correct, but sufficient for simple simulation is reduced to adjusting one singular floating point number. On the other hand implementing a traditional mass spring or particle constraints system needs a configuration, which does not become unstable and looks decently realistic. This requires much more effort than adjusting one floating point number.

A future topic for research about the tractrix curve in hair simulation, could be its integration in fully functioning particle constraints systems. These systems rely on numerical methods to converge and if the tractrix move is used in some of these iterations, it might be possible to cut down the number of times the calculations have to be repeated. This could reduce computational cost or even improve the simulation by making it more physically accurate.

# **Acronyms**

AMD	Advanced Micro Devices
$\mathbf{ELC}$	Edge Length Constraints
$\mathbf{GPU}$	Graphics Processing Unit
$\mathbf{GSC}$	Global Shape Constraints
LSC	Local Shape Constraints
VEV	Winnel Effects

VFX Visual Effects

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4.1	Inverse Hyperbolic secant graph [Sec]

# References

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