

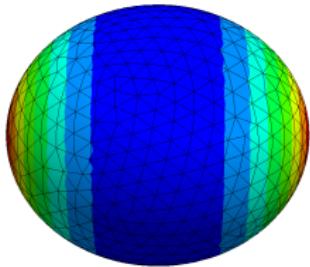
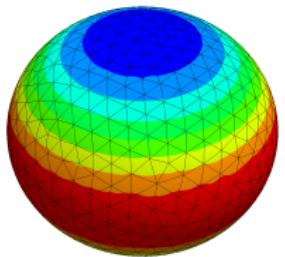
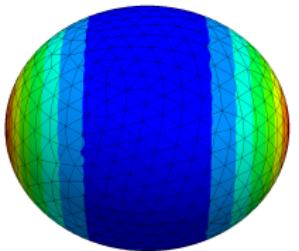
Numerical approximation and optimization of the Canham-Helfrich elastic bending energy

Michael Neunteufel, Joachim Schöberl, Kevin Sturm



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Motivation (cell membranes)



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Curvature

Shape derivative

Solving algorithm

Numerical examples

Canham–Helfrich–Evans energy:

$$\mathcal{W}(\mathcal{S}) = 2\kappa_b \int_{\mathcal{S}} (H - H_0)^2 \, ds$$

Canham–Helfrich–Evans energy: κ_b bending elastic constant

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κ_b bending elastic constant

H mean curvature

Canham–Helfrich–Evans energy:

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$2H_0$ spontaneous curvature

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Constraints:

$$|\Omega| = V_0, \quad |\mathcal{S}| = A_0, \quad V_0 \leq \frac{A_0^{\frac{3}{2}}}{6\sqrt{\pi}}$$

$$\mathcal{J}(\mathcal{S}) = \mathcal{W}(\mathcal{S}) + c_A(|\mathcal{S}| - A_0)^2 + c_V(|\Omega| - V_0)^2$$

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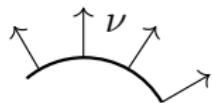
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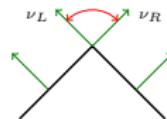
Curvature:

$$H = -\frac{1}{2} \text{tr}(\partial^S \nu)$$



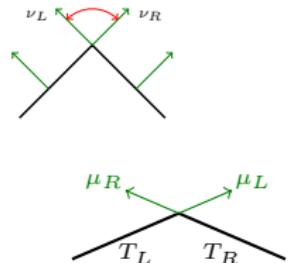
Curvature

Shape operator $-\partial^S \nu$ is a distribution



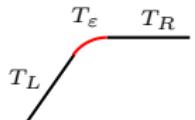
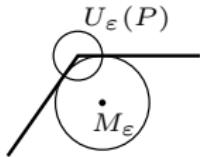
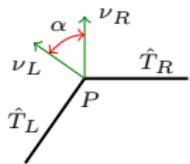
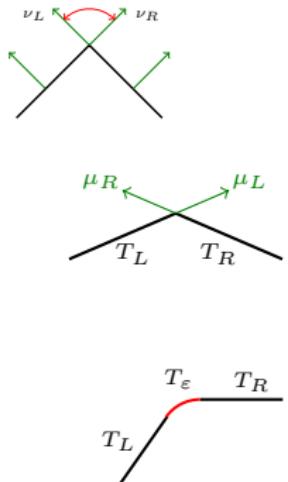
Shape operator $-\partial^S \nu$ is a distribution

- Duality pairing with $\Psi : \mathcal{S} \rightarrow \mathbb{R}_{\text{sym}}^{2 \times 2}$,
 $\mu_L^\top \Psi \mu_L = \mu_R^\top \Psi \mu_R$



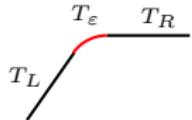
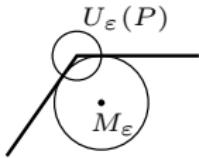
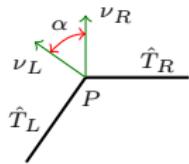
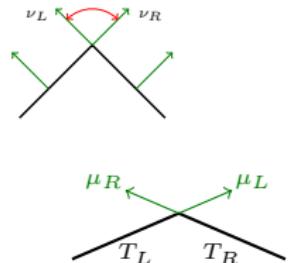
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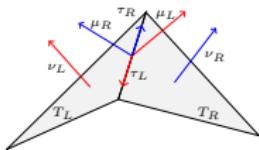
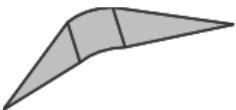
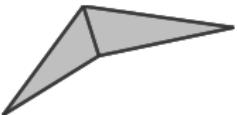
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$$\langle -\partial^S \nu, \Psi \rangle_{\mathcal{T}_h} := - \sum_{T \in \mathcal{T}_h} \int_T \partial^S \nu|_T : \Psi \, ds - \sum_{E \in \mathcal{E}_h} \int_E \triangleleft(\nu_L, \nu_R) \Psi_{\mu\mu} \, d\gamma$$

- [1] GRINSPUN ET. AL., Computing discrete shape operators on general meshes, *Computer Graphics Forum* (2006)



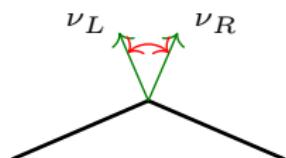
- Direct extension to surfaces
- Ψ is co-normal co-normal continuous HHJ finite element

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- [1] M. COMODI: The Hellan-Herrmann-Johnson method: some new error estimates and postprocessing, *Math. Comp.* (1989)
- [2] A. PECHSTEIN AND J. SCHÖBERL: The TDNNS method for Reissner-Mindlin plates, *J. Numer. Math.* (2017)

Rewrite jump term:

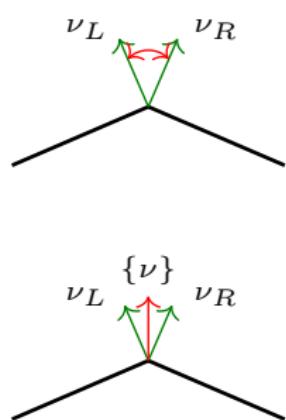
$$\sum_{E \in \mathcal{E}_h} \int_E \triangleleft(\nu_L, \nu_R) \Psi_{\mu\mu} d\gamma$$



Rewrite jump term:

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$$\sum_{T \in \mathcal{T}_h} \int_{\partial T} \triangleleft(\{\nu\}, \nu) \Psi_{\mu\mu} d\gamma$$



$$\{\nu\} := \frac{1}{\|\nu_L + \nu_R\|} (\nu_L + \nu_R)$$

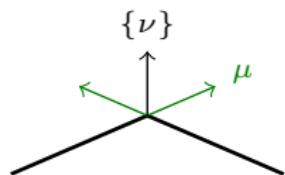
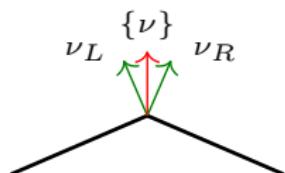
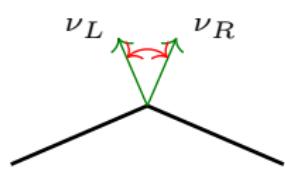
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- Lift distributional curvature to more regular κ

$$\begin{aligned}\mathcal{L}(\mathcal{T}_h) &= \sum_{T \in \mathcal{T}_h} \left(\int_T 2\kappa_b (H - H_0)^2 \right. \\ &\quad \left. + c_A J_{\text{surf}}(\mathcal{T}_h) + c_V J_{\text{vol}}(\mathcal{T}_h), \right)\end{aligned}$$

- Lift distributional curvature to more regular κ
- σ enforces that $\kappa = -\partial^S \nu$
- κ, σ symmetric, co-normal co-normal continuous

$$\begin{aligned}\mathcal{L}(\mathcal{T}_h, \kappa, \sigma) = & \sum_{T \in \mathcal{T}_h} \left(\int_T 2\kappa_b \left(\frac{1}{2} \text{tr}(\kappa) - H_0 \right)^2 + (\kappa + \partial^S \nu) : \sigma \, ds \right. \\ & + \int_{\partial T} \left(\frac{\pi}{2} - \sphericalangle(\mu, \{\nu\}) \right) \sigma_{\mu\mu} \, d\gamma \Big) \\ & + c_A J_{\text{surf}}(\mathcal{T}_h) + c_V J_{\text{vol}}(\mathcal{T}_h),\end{aligned}$$

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- Fourth order to second order problems
- Only $\text{tr}(\kappa)$ involved \rightarrow reduction to scalar-valued κ !

- Additionally $\text{dev}(\boldsymbol{\kappa}) = 0 \Rightarrow \boldsymbol{\kappa} = \kappa \boldsymbol{P}_{\mathcal{S}}, \quad \boldsymbol{P}_{\mathcal{S}} = \boldsymbol{I} - \boldsymbol{\nu} \otimes \boldsymbol{\nu}$

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- $\kappa, \sigma \in H^1(\mathcal{S})$

Shape derivative

$$\mathcal{T}_h^t = \{ \mathbf{T}_t(T) : T \in \mathcal{T}_h \}, \quad \mathbf{T}_t(x) = x + t \mathbf{X}(x), \quad x \in \mathcal{T}_h, t \geq 0 \text{ small}$$

Perturbed Lagrangian

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$$w_t = \det(\partial \mathbf{T}_t) \|\partial \mathbf{T}_t^{-\top} \boldsymbol{\nu}\|, \quad w_t^E = \|\partial \mathbf{T}_t \boldsymbol{\tau}\|,$$

$$\boldsymbol{\nu}^t = \boldsymbol{\nu}_t \circ \mathbf{T}_t = \frac{\partial \mathbf{T}_t^{-\top} \boldsymbol{\nu}}{\|\partial \mathbf{T}_t^{-\top} \boldsymbol{\nu}\|}, \quad \mathbf{A}^\top(t) := \partial \mathbf{T}_t^{-1} (\mathbf{I} - \boldsymbol{\nu}^t \otimes \boldsymbol{\nu}^t)$$

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- Shape derivative $D\mathcal{L}(\mathcal{T}_h)(\mathbf{X}) = \lim_{t \searrow 0} \frac{\mathcal{L}(\mathcal{T}_h^t) - \mathcal{L}(\mathcal{T}_h)}{t}$

$$\begin{aligned}\mathcal{L}(\mathcal{T}_h^t, \kappa, \sigma) = & \sum_{T \in \mathcal{T}_h} \left(\int_T \textcolor{orange}{w_t} 2\kappa_b \left(\frac{\kappa}{2} - H_0 \right)^2 + w_t (\kappa + \text{tr}(\partial^S \boldsymbol{\nu}^t \mathbf{A}^\top(t))) \sigma \, ds \right. \\ & + \int_{\partial T} w_t^E \left(\frac{\pi}{2} - \triangle(\boldsymbol{\mu}^t, \{\boldsymbol{\nu}^t\}) \right) \sigma \, d\gamma \Big) \\ & + c_A J_{\text{surf}}(\mathcal{T}_h^t) + c_V J_{\text{vol}}(\mathcal{T}_h^t).\end{aligned}$$

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$$DJ_{\text{surf}}(\mathcal{T}_h)(\mathbf{X}) = 2(|\mathcal{T}_h| - A_0) \int_{\mathcal{T}_h} \text{div}^S(\mathbf{X}) \, ds$$

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$$DJ_{\text{vol}}(\mathcal{T}_h)(\mathbf{X}) = 2(|\Omega_h| - V_0) \int_{\mathcal{T}_h} \mathbf{X} \cdot \boldsymbol{\nu} \, ds$$

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Shape operator (mean curvature)

Shape operator

$$\begin{aligned} \frac{d}{dt}(\partial^{S_t} \nu_t) \circ T_t|_{t=0} &= \partial^S \nu \left(2 \operatorname{Sym}(\nu \otimes \nu \partial^S X) - \partial^S X \right) \\ &\quad - \operatorname{hess}(X)(\nu) - \partial^S X^\top \partial^S \nu \end{aligned}$$

Mean curvature

$$\frac{d}{dt} \operatorname{tr}(\partial^{S_t} \nu_t) \circ T_t|_{t=0} = -\Delta^S X \cdot \nu - 2 \partial^S X : \partial^S \nu$$

Laplace–Beltrami operator $\Delta^S = \operatorname{div}^S(\partial^S)$

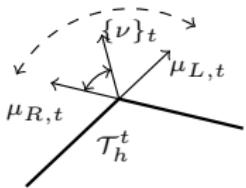
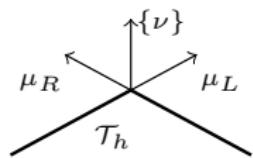
Shape operator (mean curvature)

Shape operator

$$\begin{aligned} \frac{d}{dt}(\partial^{S_t} \nu_t) \circ T_t|_{t=0} &= \partial^S \nu \left(2 \operatorname{Sym}(\nu \otimes \nu \partial^S X) - \partial^S X \right) \\ &\quad - \operatorname{hess}(X)(\nu) - \partial^S X^\top \partial^S \nu \end{aligned}$$

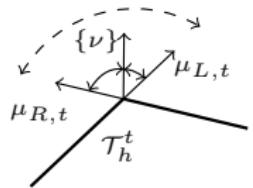
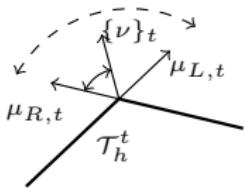
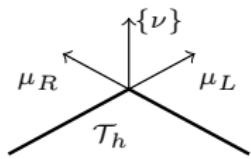
Mean curvature (weak form)

$$\begin{aligned} \int_T \operatorname{tr}(\partial^{S_t} \nu_t) \circ T_t|_{t=0} \sigma \, ds &= \int_T \partial^S X \partial^S \sigma \cdot \nu - \partial^S X : \partial^S \nu \sigma \, ds \\ &\quad - \int_{\partial T} \partial^S X \mu \cdot \nu \sigma \, d\gamma \end{aligned}$$



$$\int_{\partial T} \left(\frac{\pi}{2} - \sphericalangle(\mu^t, \{\nu\}^t) \right) \sigma d\gamma$$

$$\{\nu\}^t = \frac{1}{\|\nu_L^t + \nu_R^t\|} (\nu_L^t + \nu_R^t)$$

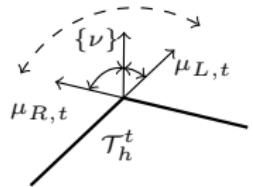
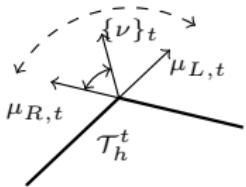
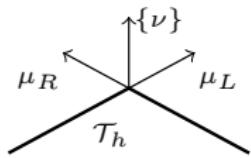


$$\int_{\partial T} \left(\frac{\pi}{2} - \sphericalangle(\mu^t, \{\nu\}^t) \right) \sigma d\gamma$$

$$\{\nu\}^t = \frac{1}{\|\nu_L^t + \nu_R^t\|} (\nu_L^t + \nu_R^t)$$

$$\int_{\partial T} \left(\frac{\pi}{2} - \sphericalangle(\mu^t, \{\nu\}) \right) \sigma d\gamma$$

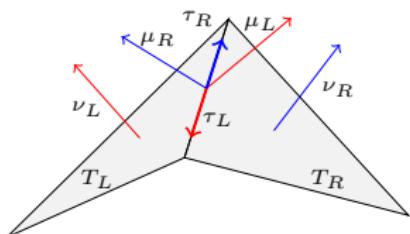
Jump term



$$\int_{\partial T} \left(\frac{\pi}{2} - \sphericalangle(\mu^t, \{\nu\}^t) \right) \sigma d\gamma$$

$$P_{\tau^t}^\perp(\{\nu\}) := \frac{\{\nu\} - (\{\nu\} \cdot \tau^t) \tau^t}{\|\{\nu\} - (\{\nu\} \cdot \tau^t) \tau^t\|}$$

$$\int_{\partial T} \left(\frac{\pi}{2} - \sphericalangle(\mu^t, P_{\tau^t}^\perp(\{\nu\})) \right) \sigma d\gamma$$



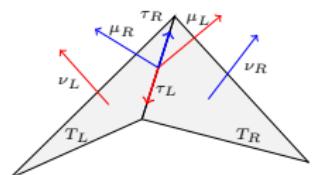
Shape derivative jump term

$$\frac{d}{dt} \triangleleft(\mu^t, P_{\tau^t}^\perp(\{\nu\}))|_{t=0} = -\frac{(\partial^S \mathbf{X} - \partial^S \mathbf{X}^\top) \mu \cdot \{\nu\}}{\sqrt{1 - (\mu \cdot \{\nu\})^2}}$$

Shape derivative jump term

$$\frac{d}{dt} \triangleleft(\mu^t, P_{\tau^t}^\perp(\{\nu\}))|_{t=0} = -\frac{(\partial^S \mathbf{X} - \partial^S \mathbf{X}^\top) \mu \cdot \{\nu\}}{\sqrt{1 - (\mu \cdot \{\nu\})^2}}$$

- Same **first** shape derivative as for $\triangleleft(\mu^t, \{\nu\})$
- $\frac{d}{dt} \mu^t|_{t=0} = ((I - \tau \otimes \tau) \partial^S \mathbf{X} - \partial^S \mathbf{X}^\top) \mu$



- For fixed \mathcal{T}_h , κ , and σ

$$\begin{aligned}
 D\mathcal{L}(\mathcal{T}_h)(\mathbf{X}) = & \sum_{T \in \mathcal{T}_h} \left(\int_T \operatorname{div}^S(\mathbf{X}) \left(2\kappa_b \left(\frac{\kappa}{2} - H_0 \right)^2 + (\kappa + \operatorname{tr}(\partial^S \boldsymbol{\nu})) \sigma \right) \right. \\
 & + \partial^S \mathbf{X} \partial^S \sigma \cdot \boldsymbol{\nu} - \partial^S \mathbf{X} : \partial^S \boldsymbol{\nu} \sigma \, ds - \int_{\partial T} \partial^S \mathbf{X} \boldsymbol{\mu} \cdot \boldsymbol{\nu} \sigma \, d\gamma \\
 & + \int_{\partial T} \left(\partial^S \mathbf{X}_{\tau\tau} \left(\frac{\pi}{2} - \triangleleft(\boldsymbol{\mu}, \{\boldsymbol{\nu}\}) \right) + \frac{(\partial^S \mathbf{X} - \partial^S \mathbf{X}^\top) \boldsymbol{\mu} \cdot \{\boldsymbol{\nu}\}}{\sqrt{1 - (\boldsymbol{\mu} \cdot \{\boldsymbol{\nu}\})^2}} \right) \sigma \, d\gamma \Big) \\
 & + c_A DJ_{\text{surf}}(\mathcal{T}_h)(\mathbf{X}) + c_V DJ_{\text{vol}}(\mathcal{T}_h)(\mathbf{X})
 \end{aligned}$$

- For fixed \mathcal{T}_h , κ , and σ
- lowest-order: lifting only via jump term

$$D\mathcal{L}(\mathcal{T}_h)(\mathbf{X}) = \sum_{T \in \mathcal{T}_h} \left(\int_T \operatorname{div}^S(\mathbf{X}) \left(2\kappa_b \left(\frac{\kappa}{2} - H_0 \right)^2 + (\kappa -)\sigma \right) \right)$$

$$\begin{aligned} &+ \int_{\partial T} \left(\partial^S \mathbf{X}_{\tau\tau} \left(\frac{\pi}{2} - \triangleleft(\boldsymbol{\mu}, \{\boldsymbol{\nu}\}) \right) + \frac{(\partial^S \mathbf{X} - \partial^S \mathbf{X}^\top) \boldsymbol{\mu} \cdot \{\boldsymbol{\nu}\}}{\sqrt{1 - (\boldsymbol{\mu} \cdot \{\boldsymbol{\nu}\})^2}} \right) \sigma d\gamma \\ &+ c_A D J_{\text{surf}}(\mathcal{T}_h)(\mathbf{X}) + c_V D J_{\text{vol}}(\mathcal{T}_h)(\mathbf{X}) \end{aligned}$$

- For fixed \mathcal{T}_h , κ , and σ
- lowest-order: lifting only via jump term

$$D\mathcal{L}(\mathcal{T}_h)(\mathbf{X}) = \sum_{T \in \mathcal{T}_h} \left(\int_T \operatorname{div}^S(\mathbf{X}) \left(2\kappa_b \left(\frac{\kappa}{2} - H_0 \right)^2 + (\kappa -)\sigma \right) \right.$$

$$\begin{aligned} &+ \int_{\partial T} \left(\partial^S \mathbf{X}_{\tau\tau} \left(\frac{\pi}{2} - \triangleleft(\boldsymbol{\mu}, \{\boldsymbol{\nu}\}) \right) + \frac{(\partial^S \mathbf{X} - \partial^S \mathbf{X}^\top) \boldsymbol{\mu} \cdot \{\boldsymbol{\nu}\}}{\sqrt{1 - (\boldsymbol{\mu} \cdot \{\boldsymbol{\nu}\})^2}} \right) \sigma d\gamma \end{aligned}$$

$$+ c_A D J_{\text{surf}}(\mathcal{T}_h)(\mathbf{X}) + c_V D J_{\text{vol}}(\mathcal{T}_h)(\mathbf{X})$$

Solving algorithm

For fixed \mathcal{T}_h

find κ , such that $\partial_\sigma \mathcal{L}(\mathcal{T}_h, \kappa, \sigma)(\delta\sigma) = 0$ for all $\delta\sigma \in V_h(\mathcal{T}_h)$,

find σ , such that $\partial_\kappa \mathcal{L}(\mathcal{T}_h, \kappa, \sigma)(\delta\kappa) = 0$ for all $\delta\kappa \in V_h(\mathcal{T}_h)$,

$$\begin{aligned}\partial_\sigma \mathcal{L}(\mathcal{T}_h, \kappa, \sigma)(\delta\sigma) &= \sum_{T \in \mathcal{T}_h} \left(\int_T \kappa \delta\sigma + \text{tr}(\partial^S \boldsymbol{\nu}) \delta\sigma \, ds \right. \\ &\quad \left. + \int_{\partial T} \left(\frac{\pi}{2} - \triangle(\boldsymbol{\mu}, \boldsymbol{P}_\tau^\perp(\{\boldsymbol{\nu}\})) \right) \delta\sigma \, d\gamma \right) \\ \partial_\kappa \mathcal{L}(\mathcal{T}_h, \kappa, \sigma)(\delta\kappa) &= \int_{\mathcal{T}_h} 2\kappa_b \left(\frac{\kappa}{2} - H_0 \right) \delta\kappa + \delta\kappa \sigma \, ds\end{aligned}$$

Solving algorithm

```

1: Input: surface  $\mathcal{T}_h^0$ ,  $n = 0$ ,  $N_{\max} > 0$ ,  $\epsilon > 0$ ,  $\alpha > 0$ 
2: Output: optimal shape  $\mathcal{T}_h^*$ 
3: while  $n \leq N_{\max}$  and  $|\nabla \mathcal{J}(\mathcal{T}_h^n)| > \epsilon$  do
4:   if  $\mathcal{J}((\text{id} - \alpha \nabla \mathcal{J}(\mathcal{T}_h^n))(\mathcal{T}_h^n)) \leq \mathcal{J}(\mathcal{T}_h^n)$  then
5:      $\mathcal{T}_h^{n+1} \leftarrow (\text{id} - \alpha \nabla \mathcal{J}(\mathcal{T}_h^n))(\mathcal{T}_h^n)$ 
6:      $n \leftarrow n + 1$ , increase  $\alpha$ 
7:   else
8:     reduce  $\alpha$ 
9:   end if
10: end while

```

One iteration on \mathcal{T}_h^n involves

1. Average normal vector and solve (adjoint) state problem
2. With new κ and σ compute shape gradient



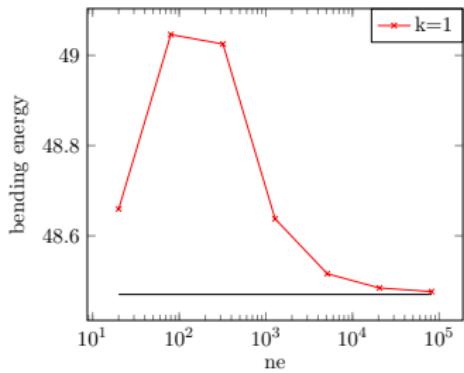
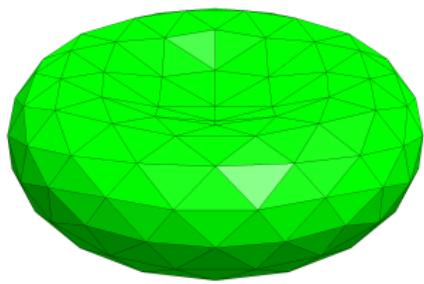
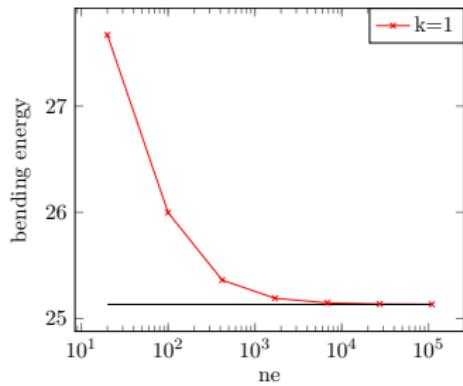
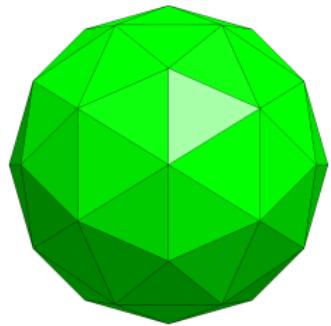
- Fully automated shape differentiation
- Deform mesh via ALE without remeshing

[1] GANGL, STURM, N., SCHÖBERL, Fully and Semi-Automated Shape Differentiation in NGSolve, *Structural and Multidisciplinary Optimization* (2021)

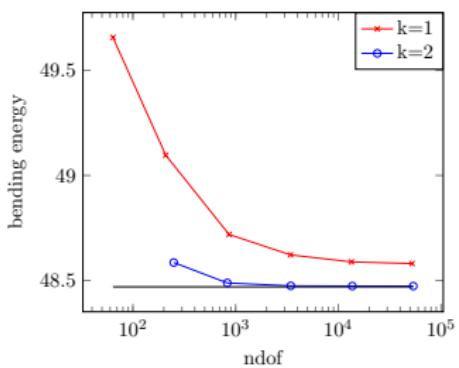
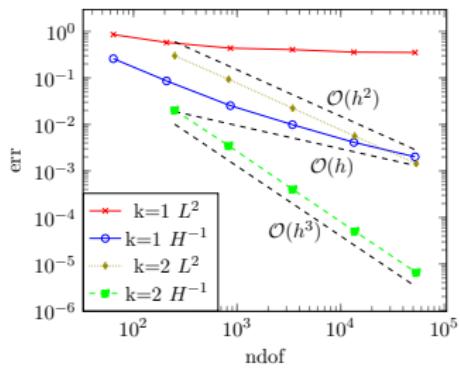
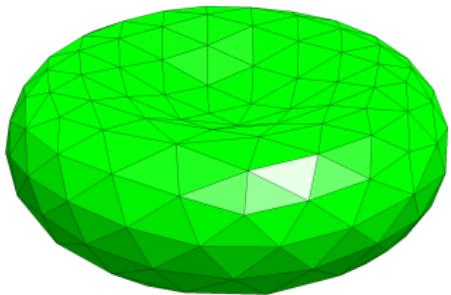
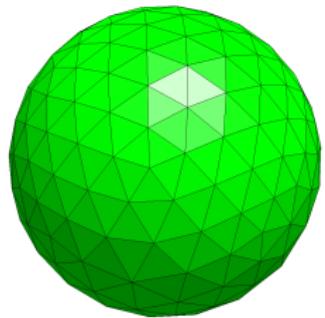
¹www.ngsolve.org

Numerical examples

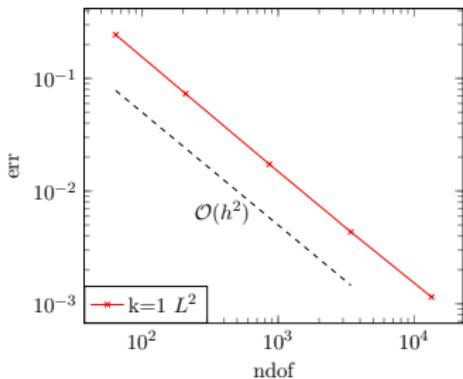
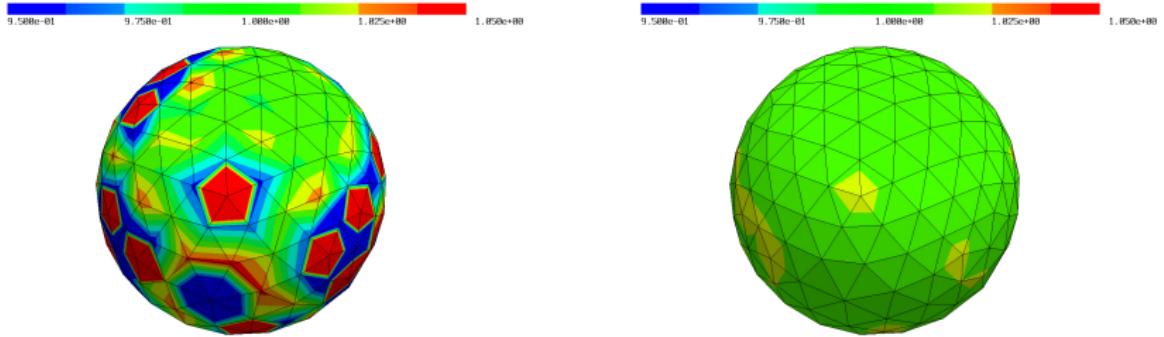
Curvature computation



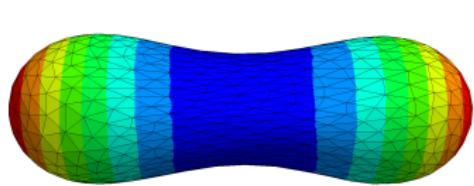
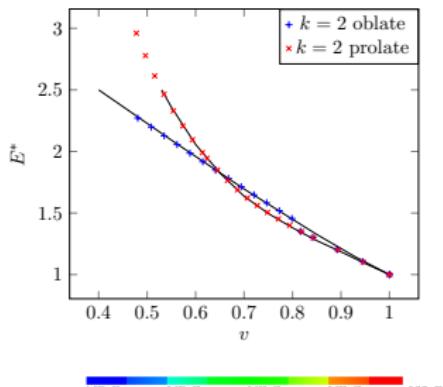
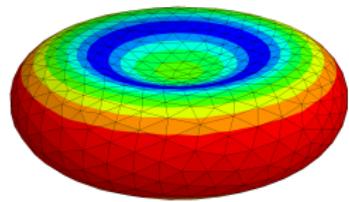
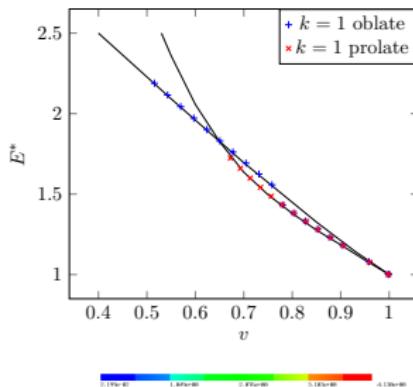
Curvature computation



Curvature computation



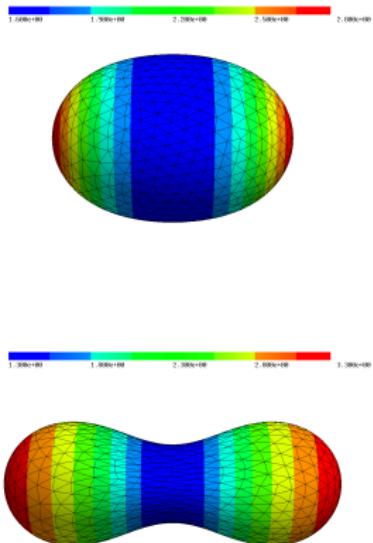
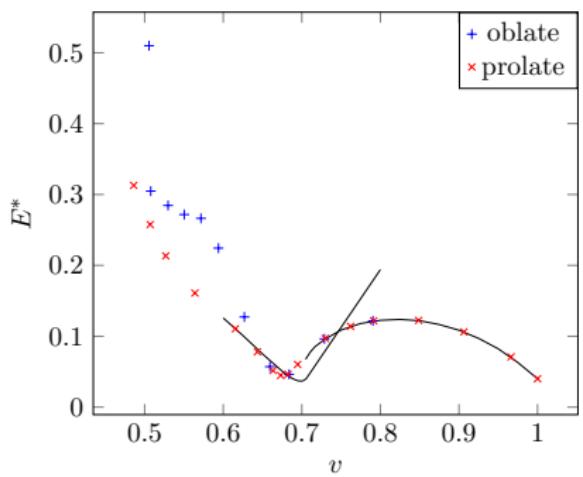
Equilibrium shapes



- [1] SEIFERT, BERNDL, LIPOWSKY, Shape transformations of vesicles: Phase diagram for spontaneous- curvature and bilayer-coupling models, *Phys. Rev. A* (1991)

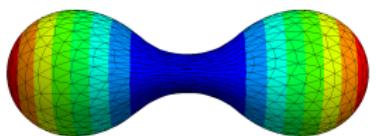
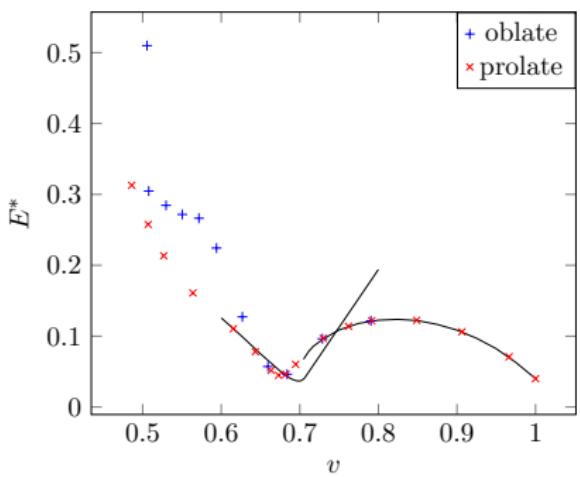
Spontaneous curvature

- $\mathcal{W}(\mathcal{S}) = 2\kappa_b \int_{\mathcal{S}} (H - H_0)^2 ds, H_0 = 1.2$



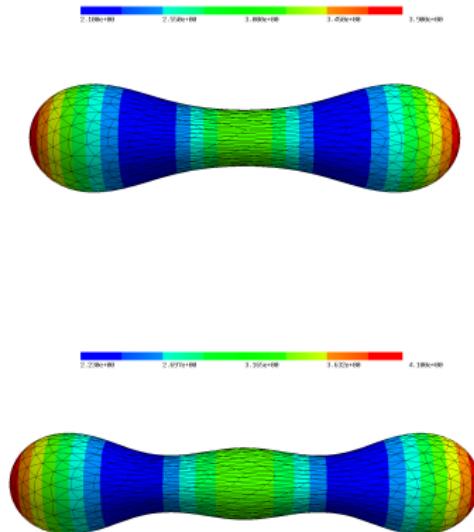
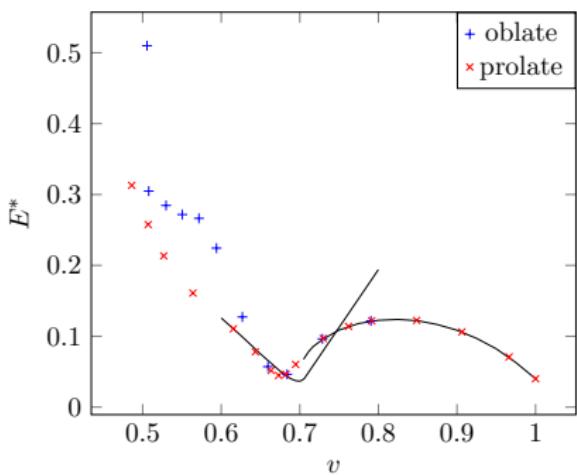
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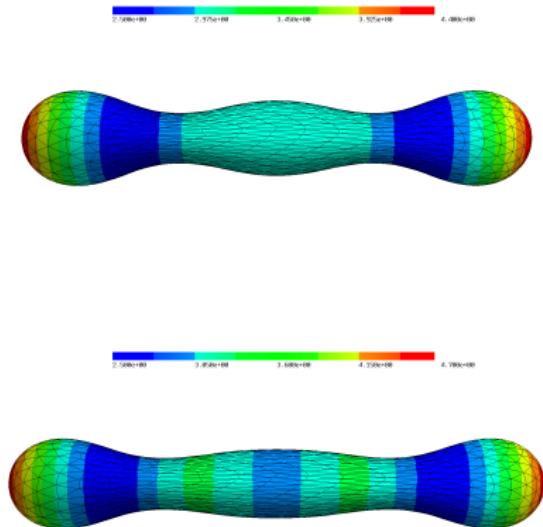
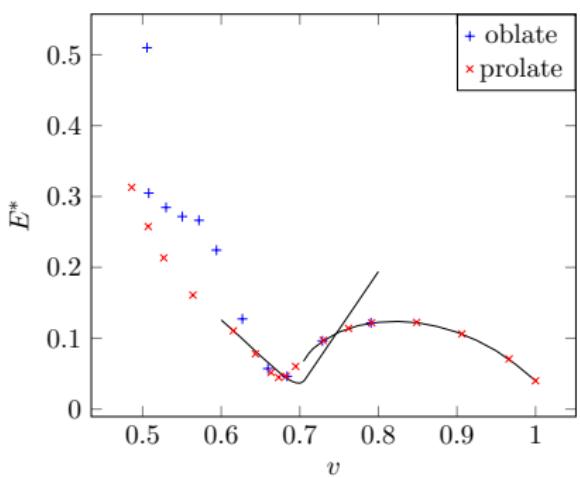
Spontaneous curvature

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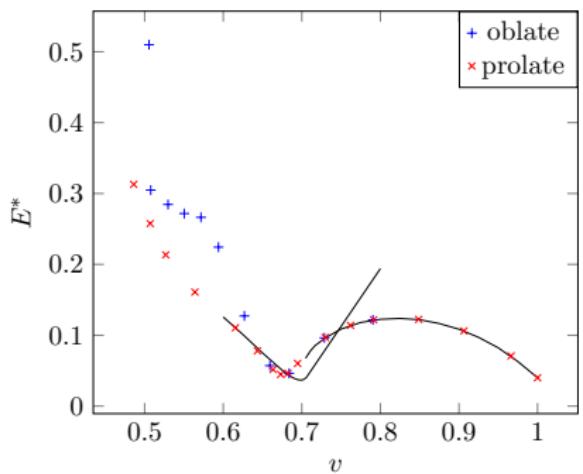
Spontaneous curvature

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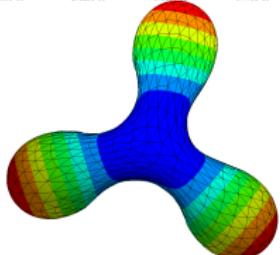


Spontaneous curvature

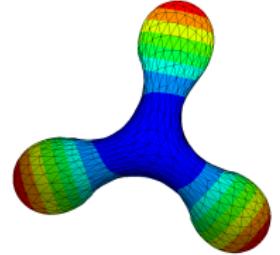
- $\mathcal{W}(S) = 2\kappa_b \int_S (H - H_0)^2 ds, H_0 = 1.2$



1.750e+00 2.325e+00 3.375e+00 3.775e+00 4.000e+00

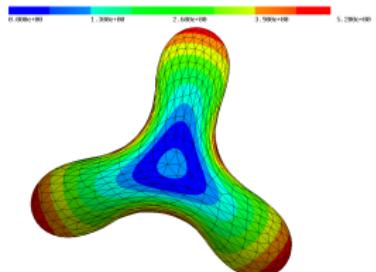
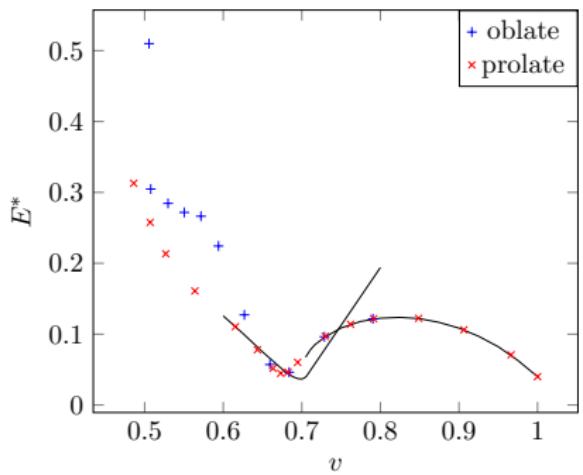


2.325e+00 2.775e+00 3.375e+00 3.775e+00 4.100e+00



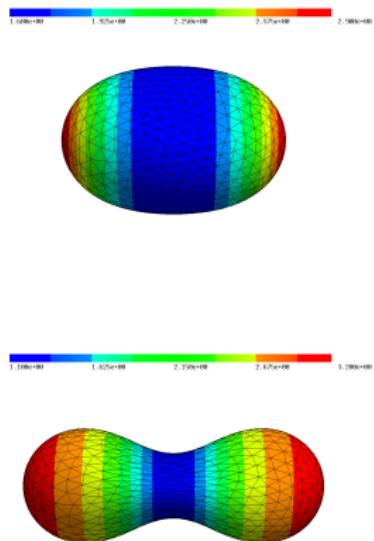
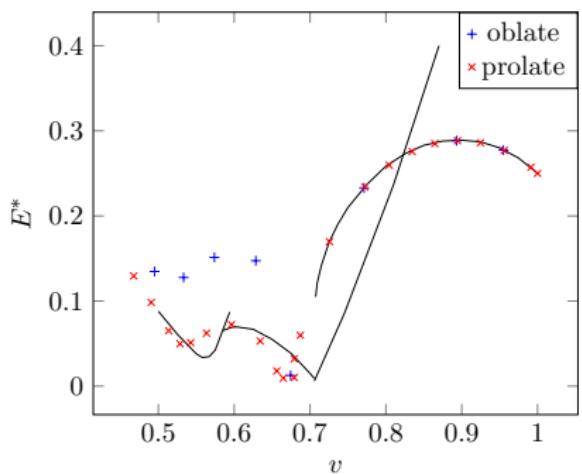
Spontaneous curvature

- $\mathcal{W}(\mathcal{S}) = 2\kappa_b \int_{\mathcal{S}} (H - H_0)^2 ds, H_0 = 1.2$



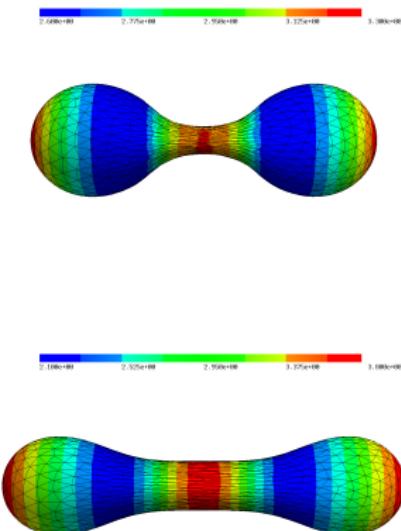
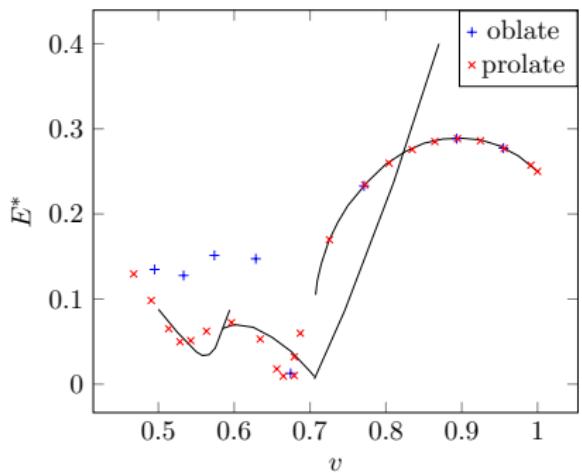
Spontaneous curvature

- $\mathcal{W}(\mathcal{S}) = 2\kappa_b \int_{\mathcal{S}} (H - H_0)^2 ds, H_0 = 1.5$



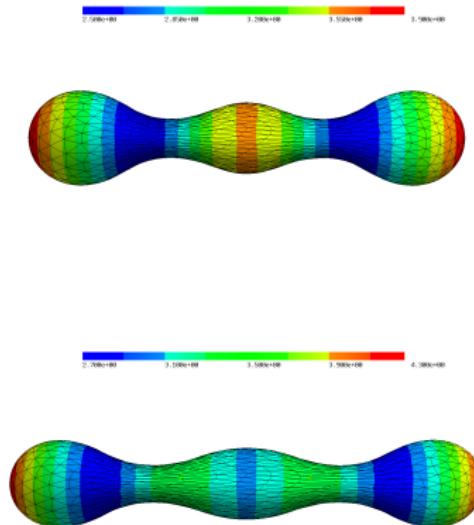
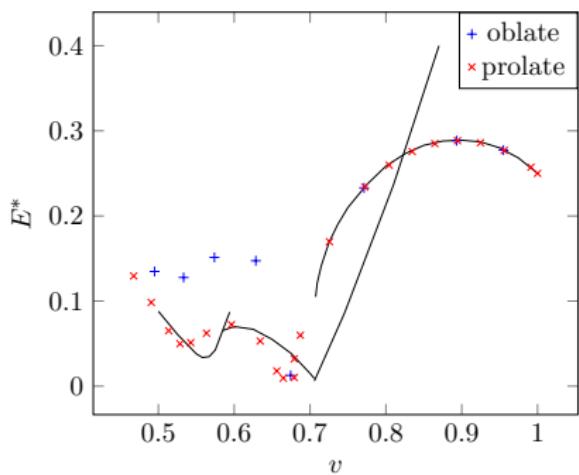
Spontaneous curvature

- $\mathcal{W}(\mathcal{S}) = 2\kappa_b \int_{\mathcal{S}} (H - H_0)^2 ds, H_0 = 1.5$



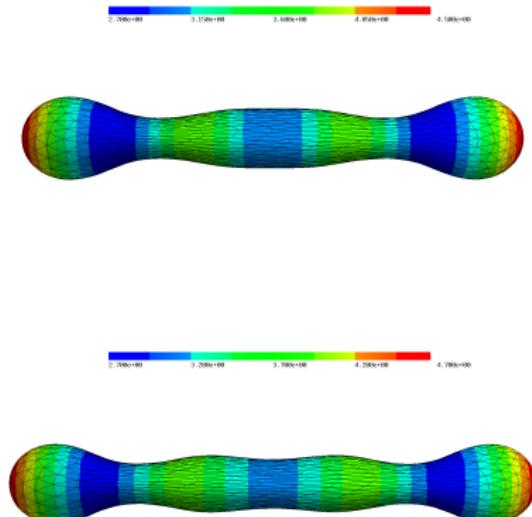
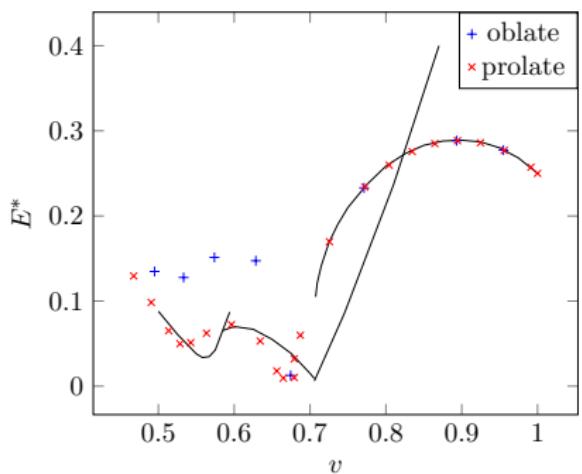
Spontaneous curvature

- $\mathcal{W}(S) = 2\kappa_b \int_S (H - H_0)^2 ds, H_0 = 1.5$



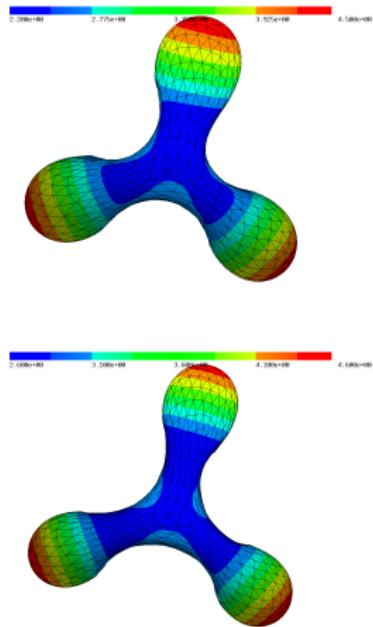
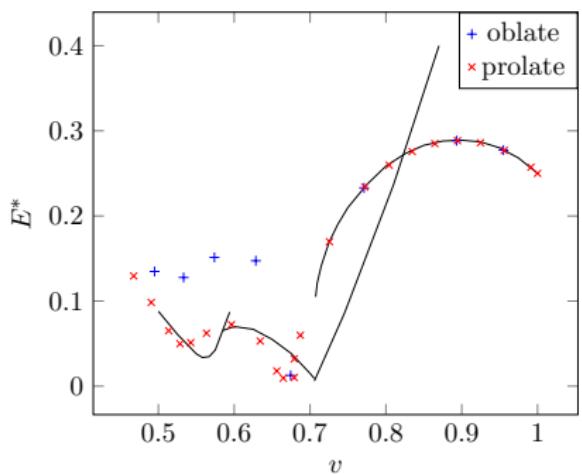
Spontaneous curvature

- $\mathcal{W}(\mathcal{S}) = 2\kappa_b \int_{\mathcal{S}} (H - H_0)^2 ds, H_0 = 1.5$



Spontaneous curvature

- $\mathcal{W}(\mathcal{S}) = 2\kappa_b \int_{\mathcal{S}} (H - H_0)^2 ds, H_0 = 1.5$



- Lifting distributional curvature by three-field formulation
- General shape derivative

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- General shape derivative
- Remeshing

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- [1] N., SCHÖBERL, STURM, Numerical shape optimization of Canham-Helfrich-Evans bending energy, *in preparation*
- [2] GANGL, STURM, N., SCHÖBERL, Fully and Semi-Automated Shape Differentiation in NGsolve, *Structural and Multidisciplinary Optimization (2021)*

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Thank You for Your attention!

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