

## **Amendment #1 to Statement of Work Hosting For Wells Fargo Brokerage Website**

This Amendment #1 to Statement of Work 209063 (“Amendment #1”) is dated March 12, 2021 (“Effective Date”), is entered into by and between Wells Fargo Bank, N.A. (“Wells Fargo”), and Markit North America, Inc. (“Vendor” or “Markit”) pursuant to the Master Agreement with an Effective Date of May 7, 2013 between Wells Fargo Bank, N.A. and Markit On Demand, Inc., a subsidiary of Markit North America, Inc. (“Master Agreement”). All of the terms and conditions of the Master Agreement are incorporated herein by this reference. Terms that are capitalized but not defined in this SOW have the meaning given in the Master Agreement.

Statement of Work 209063 (“SOW 209063”), is amended per this Amendment #1 as defined below:

### **1. In “Exhibit A”, the following scope shall be added:**

#### Phase 3

#### Quotes and Research

1. Markit Digital will host mutual fund profile pages including:
  - a. Mutual fund header module
  - b. Investment information module
  - c. Morningstar ratings module
  - d. Returns module
  - e. Holdings module
  - f. Fund information module
  - g. Distributions module
  - h. Total returns module
  - i. Average annualized returns module
  - j. Asset allocation module
  - k. Valuation and growth module
  - l. Market cap breakdown module
  - m. Sector breakdown module
  - n. Regional exposure module
  - o. Risk measure
  - p. Return vs. risk graph
  - q. Fees and expenses module
  - r. Investment information module
  - s. Price chart
  - t. Footer disclosures
2. Markit Digital will host a public version of the Mutual Fund profile page
  - a. Public page will be the same but with less data based on WF specifications
3. Markit Digital will host a Mutual Fund Screener:
4. Markit Digital will uplift the authentication and adhere to Wells Fargo's external single sign on (SSO) implementation based on SAML v2.0 specifications.
5. MOD will host a CNAME domain that is managed/owned by Wells Fargo. MOD will be required to host the SSL certificate provided with the CNAME. The SSL certificate will require annual renewal.

### **2. In Section 6, “SERVICE FEE,” the following section is added.**

Client will pay an additional monthly hosting fee of \$12,000 for the Phase 3 Quotes and Research functionality added to Exhibit A by this Amendment. Such fee to commence at launch of the solution in a production environment.

By the signatures of their duly authorized representatives below, Vendor and Wells Fargo, intending to be legally bound, agree to all of the provisions of this SOW as of the Effective Date set forth above.

AGREED TO AND ACKNOWLEDGED BY:

Markit North America, Inc.  
(Vendor)

DocuSigned by:  
Catherine Allegra

By: \_\_\_\_\_  
Catherine Allegra  
Name: (Print)  
Global Head - Markit Digital  
Title:  
4/13/2021 | 11:30:24 AM PDT  
Date:

Wells Fargo Bank, N.A.  
(Wells Fargo)

DocuSigned by:  
James E Hays

By: \_\_\_\_\_  
James E Hays  
Name: (Print)  
Head of WFA  
Title:  
4/13/2021 | 12:10:59 PM PDT  
Date:



## **Exhibit A**

### **Phase 1**

#### **Markets**

- Market Snapshot
  - Market Update
  - Market Index
  - Major World Indices
  - My Watch (WF would pass us APIs for data access)
  - Daily Market Commentary
  - Gainers & Losers
  - Market Highlights
- Major Indices
  - U.S. Market Indices
  - World Market Indices
- News
  - Market News
    - Headlines
    - Market Movers
    - Word on The Street
    - News by Category
  - Earnings
    - Announcements
    - Guidance
  - Upgrades/Downgrades
    - Upgrades
    - Downgrades
  - Industry/Sector
    - Latest advertising headline based on industry/sector
  - Headline Search
- Highlights
  - Gainers & Losers
  - Most Actives
- Commentary
  - Market Insight
    - WF Advisors
    - S&P
  - Daily Market Commentary
    - US Stock Market (by WFA)
    - Bond Market (by WFA)
  - Economic Commentary
    - WFA
    - S&P
  - Technical Analysis
    - S&P
  - Industry/Sector Watch
    - Industry in Focus
    - Stovall's Sector Watch
- Interest Rates
  - US
    - Government

- Key Rates
  - International
- Interactive Charting

### **Quotes & Research**

- Stocks & Options
  - Profile
    - Latest Headlines
    - Key Stats
    - Analyst Ratings
    - Latest Corporate Actions
    - Industry Classification
    - Business Description
    - Corporate Information
    - Contact Information
    - Membership
  - Quote
    - Detailed
    - Historical
  - News
  - Chart
  - Financials (annual and quarterly)
    - Income Statement
    - Balance Sheet
    - Earnings
    - Dividends
    - Ratios
  - Research
    - Reports
    - Ratings
  - Option Chain
    - Calls
    - Puts
- ETF
  - Profile
    - Lipper Scorecard
    - ETF Overview
    - Performance History
      - Month End
      - Quarter End
    - Pricing History
    - Growth of \$10,000
    - Latest Headlines
    - ETF Characteristics
    - Top 10 Holdings
    - Sector Allocation
    - Risk Profile
    - Geographic Exposure
  - Quote
    - Detailed
    - Historical
  - Chart
  - News
  - Option Chain

- Calls
  - Puts
- Prospectus
  - Annual Report
  - Semi Annual
  - Statement of Additional Information
- Futures
  - Futures Summary Module on Markets Overview page
- Currency
  - Currency Summary Module on Markets Overview page
- Investment Ideas
  - WFA
  - S&P
    - 5 Star
    - Fair Value
    - Platinum
    - Power Pick
  - Consensus Ratings
    - Highest
    - Lowest
  - Latest Research
- Tools
  - Screeners – Stock + ETF screener
- Interactive Charting

## **Phase 2**

### **Markets**

- Highlights
  - Market Diary
  - Industry
- Interest Rates
  - Cash Sweep Rates
    - Bank Deposit Sweep Rates
    - Cash Sweeps – Money Market Funds
- Calendars
  - Economic
  - IPO
    - Calendar
    - Performance
  - Stock Splits
    - Recent
    - Upcoming

### **Quotes and Research**

- Industry
  - Price
  - Fundamentals
  - Ratio
  - Dividend
- Futures
  - Quote
  - Chart
  - Profile

- Currency
  - Rates
  - Converter
  - News

**Additional capabilities included (in Phase 1 or Phase 2 depending on priority):**

- NextGen Interactive Charting
- Personalization (phase 1: Markit Intelligent News Discovery (MIND) alerts and phase 2: up to 4 different overview pages based on up to 5 user groups/segments)
- SmartText – out-of-the box commentary for charts, markets, stock or ETF profile page, sector/industry
- Ad Creatives – phase 2: up to 10 different ‘ads’ to showcase new features on the site; includes 2 per month after initial launch; our design team would create these ‘ads’
- CMS – phase 2: tool for WF to update the top/highlighted research within the research tab
- Symbol lookup - should be the same for tablet (WF hosts this in tablet); WF will pass symbol universe to us
- Briefcase – phase 1: ability to save documents and phase 2: subscriptions