Lambdas & Streams

Suggested Solutions

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Table of Content

LAMBDAS & METHOD REFERENCES

EXERCISE 01.01.A: USE FOR EACH AND LAMBDA EXPRESSIONS EXERCISE 01.01.B: ACCESS TO VARIABLES FROM ENCLOSING

CONTEXT

EXERCISE 01.02.A: USE FOREACH AND METHOD REFERENCES EXERCISE 01.02.B: REFERENCE TO A USER-DEFINED METHOD EXERCISE 01.02: API DESIGN & OVERLOAD RESOLUTION

EXERCISE 01.03: WITH LOCK

NON-ABSTRACT INTERFACE METHODS

EXERCISE 02.01: NAMED PERSON

EXERCISE 02.02: REFACTORING CLASS COLLECTIONS

STREAM BASICS

EXERCISE 03.01: FILTERING EXERCISE 03.02: REDUCTION

EXERCISE 03.03: USING SORTED() AND USER-DEFINED

COMPARATORS

ADVANCED STREAMS

last update: 17.02.2015 13:53

EXERCISE 04.01 STREAM CREATION

EXERCISE 04.02: MODIFICATION OF POINTS

EXERCISE 04.03: AUTHOR FEE

EXERCISE 04.04: CONCATENATING CHARACTER SEQUENCES

EXERCISE 04.05: COLLECTING POINTS
EXERCISE 04.06: AUTHOR FEE REVISITED
EXERCISE 04.06: AUTHOR FEE REVISITED

EXERCISE 04.07: COLLECTING POINTS REVISITED

EXERCISE 04.08: USING OPTIONAL

EXERCISE 04.09: CLOSING I/O-BASED STREAMS

PARALLEL STREAMS

EXERCISE 05.01: BENCHMARK: SEQUENTIAL VS. PARALLEL

EXECUTION

EXERCISE 05.02: MANAGING BLOCKING LAMBDAS

EXERCISE 05.03: BENCHMARK ORDERED VS. UNORDERED

EXECUTION

EXERCISE 05.04: BENCHMARK: SEQUENTIAL VS. PARALLEL COLLECT

EXERCISE 05.05: IMPLEMENT USER-DEFINED COLLECTORS

Exercise 05.06: Reduce vs. Collect

EXTENSIONS

EXERCISE 06.01: CREATING A STREAM FROM A CHAR[] ARRAY

CONTACT INFO

Lambdas & Method References

last update: 17.02.2015 13:53

Exercise 01.01.a: Use for Each and Lambda Expressions

Use the forEach method from interface Iterable<T> and a lambda expression to print all points in a collection of points. Print them in one line and insert two blanks after each point.

The output should look like this:

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```
java.awt.Point[x=1,y=1] java.awt.Point[x=2,y=2] java.awt.Point[x=3,y=3] ...
```

Exercise 01.01.b: Access to Variables from Enclosing Context Create a method filterPoints that takes two int-arguments: a modVal and a residue, which are used for filtering. The method shall print all points with the property: point.x % modVal == residue. For instance, filterPoints(2,0) would print all points with even x-coordinate.

Lambda Expressions

Angelika Langer

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lab: forEach + lambda expression

- use for Each and a lambda expression
- print all points in a collection of points
- print them in one line and insert two blanks after each point
- required output:

 $j \ ava. \ awt. \ Poi \ nt[x=1, \ y=1] \quad j \ ava. \ awt. \ Poi \ nt[x=2, \ y=2] \quad j \ ava. \ awt. \ Poi \ nt[x=3, \ y=3]$

solution

- use collection's forEach method
- pass lambda as argument

```
List<Point> points = ...
points.forEach(p -> System.out.print(p + " "));
System.out.println();
```

```
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```

lab: lambdas (3)

lab: access context variable

• print all points with the property: point. x % modVal == residue

- note:
 - access to context variable from inside lambda
 - no fi nal declaration needed

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lab: lambdas (4)

Exercise 01.02.a: Use for Each and Method References

This exercise is similar to the previous ones: use the forEach method from interface lterable<T> to print all points in a collection of points. This time, use a method reference instead of a lambda expression. Use a reference to an existing method from the JDK libraries.

Exercise 01.02.b: Reference to a User-Defined Method

Define a helper method that formats the output, e.g. insert two blanks after each point. Print all points using a reference to the newly created helper method.

Method References

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http://www.AngelikaLanger.com/

lab: forEach + method reference

- print all points in a collection of points
- use for Each and a method reference
- use a reference to an existing library method

solution

- use collection's forEach method
- pass method reference as argument

```
List<Point> points = ...
points.forEach(System.out::print);
System.out.println();
```

• compact code, but illegible output

j ava. awt. Poi nt[x=1, y=1]j ava. awt. Poi nt[x=2, y=2]j ava. awt. Poi nt[x=3, y=3]

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lab: method references (3)

lab: reference to user-define method

- define helper method for proper formatting
- use reference to helper method

```
class MyClass {
  private static void format(Point p) {
    System.out.print(p + " ");
  }
  public static void main(String... args) {
    List<Point> points = ...
    points.forEach(MyClass::format);
    System.out.println();
  }
}
```

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lab: method references (2)

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lab: method references (4)

Exercise 01.03: With Lock

Using an explicit lock for synchronization purposes requires redundant code of the form:

Eliminate the redundancy by means of the Execute-Around-Pattern, i.e., implement a utility method withLock so that the code above can be simplified to:

```
public class NumberRange {
    private final Lock lock = new ReentrantLock();
```

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The class in this lab uses explicit locks in methods with a void return type as well as in methods that return references or primitive type values. In addition it has a method that throws checked and unchecked exceptions.

Find a way to get rid of the redundant lock-related code in all of these cases.

Method-Around Pattern

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lab: withLock

- eliminate redundant code related to explicit locks
 - by means of Execute-Around-Pattern

```
void setLower(int i) {
  lock.lock();
  try {
    if (i > upper) throw new IllegalArgumentException();
    lower=i;
  } finally {
    lock.unlock();
}
```

implement a wi thLock utility

```
void setLower(int i) {
  withLock(lock, ()->{
    if (i > upper) throw new IIIegalArgumentException();
    lower=i;
});
```

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lab: method-around pattern (2)

helper class Utilities

• split into a functional type and a helper method

```
public class Utilities {
    @FunctionalInterface
    public interface CriticalRegion {
        void apply();
    }
    public static void withLock(Lock lock, CriticalRegion cr) {
        lock.lock();
        try {
            cr.apply();
        } finally {
            lock.unlock();
    }
}
```

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lab: method-around pattern (3)

void return type

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lab: method-around pattern (4)

reference return type

```
more user code

...

public int[] getRange() {
    wi thLock(lock, ()->{
        return new int[] {lower, upper};
    });
}
```

- error:
 - Cri ti cal Regi on: : appl y does not permit return value
 - return in lambda is local, i.e., returns from lambda, not from getRange

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reference return type (cont.)

No!

more user code

...

public int[] getRange() {
 int[][] retVal = new int[][] { null };
 withLock(lock, () -> {
 retval[0] = new int[] {lower, upper};
 });
 return retVal[0];
}

• implementation uses dubious array boxing hack

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need more Critical Region interfaces

• Cri ti cal Regi on has signature:

```
interface Critical Region {
  void apply();
}
```

- but we also need this signature
 - in order to avoid array boxing hack

```
interface GenericCritical Region<T> {
  T apply();
}
```

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lab: method-around pattern (7)

more variants

• which requires a corresponding wi thLock() helper

• which simplifies the getRange() method

```
int[] getRange() {
    return withLock(lock, () -> {
        return new int[] {lower, upper};
    });

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```

more variants (cont.)

- but creates problems for the setLower() method
 - which returns voi d
- best solution
 - two interfaces: Critical Region, Generi cCri ti cal Regi on<T>
 - plus two overloaded methods: void withLock(Lock I, Critical Region cr) <T> T withLock(Lock I, GenericCriticalRegion<? extends T> cr)

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lab: method-around pattern (9)

variants for primitive types

- additional variations for primitive return types
 - avoid autoboxing

```
interface Critical RegionBoolean {
 bool ean appl y();
interface Critical RegionInt {
int apply();
interface Critical RegionLong {
 long apply();
```

- plus corresponding withLock helper methods

lab: method-around pattern (10)

variants for primitive types (cont.)

- additional variations for primitive return types
 - create overload resolution problems
 - require (ugly) casts

```
boolean isValid() throws BrokenRangeException {
  withLock(lock I, (Critical RegionBoolean) () -> {
   if (lower <= upper) {
       return true:
    el se
       throw new BrokenRangeException(this);
```

- ambiguous target typing
 - both Boolean and generic version match
 - · add cast for disambiguation

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lab: method-around pattern (11)

data access

- input parameters can be captured
 - if they are effectively final
- · fields can be accessed
 - without restrictions

```
void setLower(int i) {
    withLock(lock, () -> {
      if (i > upper)
         throw new III egal ArgumentException();
                                                     effectively final
      lower = i;
                                                     method argument
    });
                                                     is captured
mutable access to fields
```

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lab: method-around pattern (12)

alternative: no variants

- use only one generic interface Generi cCri ti cal Regi on<T>
- plus one generic method <T> T withLock(Lock I, GenericCritical Region<? extends T> cr)
- requires modification of operations with void return

```
public void setLower(int i) {
 withLock(lock, () -> {
   if (i > upper)
      throw new IIIegal ArgumentException();
   lower = i:
   return (Void) null;
 });
```

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lab: method-around pattern (13)

checked exceptions are a problem

- only runtime exceptions are fine
 - checked exceptions from a lambda cause trouble

```
boolean isValid() throws BrokenRangeException {
 return withLock(lock, () -> {
   if (lower <= upper)
       return true;
   el se
                                                       checked
       throw new BrokenRangeException(this);
                                                       exception
 });
```

- · error:
 - Cri ti cal Regi on: : appl y must not throw a checked exception

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exception propagation

- how can we propagate checked exceptions ...
 - ... thrown by lambda back to surrounding user code?
- two options for propagation:
 - tunnelling
 - ·wrap it in a Runti meExcepti on
 - exception transparency
 - transparently pass it back as is

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lab: method-around pattern (15)

self-made exception transparency

- declare functional interfaces with checked exceptions
 - functional type declares the checked exception(s):

```
interface Critical RegionBool eanWE<E extends Exception> {
  void apply() throws E;
```

helper method declares the checked exception(s):

```
static <E extends Exception> void withLockWE(Lock Lock,
          Critical RegionBool eanWE<? extends E> cr) throws E {
    lock.lock();
    try {
       cr. appl y();
    } finally {
      lock. unlock()
```

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lab: method-around pattern (16)

self-made exception transparency (cont.)

- user code simply throws checked exception

```
boolean isValid() throws BrokenRangeException {
  withLockWE(lock, () -> {
    if (lower <= upper)
        return true;
    else
        throw new BrokenRangeException(this);
  }
}</pre>
```

- caveat:
 - need more functional interfaces and helper method
 - for arbitrary number of checked exception

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lab: method-around pattern (17)

naive "tunnelling"

- naive approach
 - wrap checked exception into Runti meExcepti on

```
static RuntimeException throwUnchecked(Throwable t) {
   throw new RuntimeException(t);
}
```

```
boolean isValid() throws BrokenRangeException {
  withLock(lock, () -> {
    if (lower <= upper)
        return true;
  else {
        throwUnchecked(new BrokenRangeException(this));
        return false;
    }
};</pre>
```

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lab: method-around pattern (18)

naive "tunnelling" (cont.)

- downside
 - type information is lost
 - catch clause for checked exception type does no longer match

```
try {
    ... range.isValid() ...
} catch (BrokenRangeException bre) {
    ... the intended error handling ...
} catch (RuntimeException re) {
    ...
} matching EH
    after "tunnelling"
```

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lab: method-around pattern (19)

"tunnelling" via cast-hack

- idea
 - disguise checked exception as Runti meExcepti on

```
static RuntimeException throwUnchecked(Throwable t) {
    throw (RuntimeException) t;
}

fails with
ClassCastException
```

- hurdle
 - cast fails at runtime with CI assCastExcepti on
- trick
 - use generics and take advantage of type erasure

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lab: method-around pattern (20)

"tunnelling" via cast-hack (cont.)

- trick
 - use generics and take advantage of type erasure

```
class Exceptions {
    @SuppressWarnings("unchecked")
    private static <T extends Throwable>
    T doThrowUnchecked(Throwable t) throws T {
        throw (T) t;
    }
    public static RuntimeException throwUnchecked(Throwable t) {
        throw Exceptions. <RuntimeException>doThrowUnchecked(t);
    }
}
```

- throwUnchecked
 - maps a Throwabl e to a Runti meExcepti on
 - via a generic method

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lab: method-around pattern (21)

how does the cast hack work?

• generic method performs a cast to T

```
- at compile-time T:=RuntimeException
```

at runtime (due to type erasure) T:=Throwable

```
before type erasure

@SuppressWarni ngs("unchecked")
pri vate static <T extends Throwable>
T doThrowUnchecked(Throwable t) throws T {
    throw (T) t;
}

cast succeeds

after type erasure

pri vate static
Throwable doThrowUnchecked(Throwable t) throws Throwable {
    throw (Throwable) t;
}
```

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lab: method-around pattern (22)

why is it a hack?

- throwUnchecked disables compiler checks
 - defeats the purpose of checked exceptions
 - unchecked warning must be ignored
- all checks are off
 - throws clause not checked for correctness
 - caller need not handle or declare the exception
- · use the hack only where needed

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lab: method-around pattern (23)

exception transparency

- what we would like to have ...
 - compiler figures out all checked exception thrown by the lambda
 - transparently passes them on to the lambda's context

```
bool ean isValid() throws BrokenRangeException {
  return withLock(lock, () -> {
    if (lower <= upper)
      return true;
    else
      throw new BrokenRangeException(this);
  }
}

checked
exception
}</pre>
```

- compiler support for exception transparency
 - was discussed and discarded (too much effort)

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lab: method-around pattern (24)

why is there no wi thLock in the JDK?

- hidden performance cost
- critical region usually has side effects
 - uses context variables => capturing lambda

```
int[] getRange() {
 return withLock(lock, () -> {
 return new int[] {lower, upper};
});
                                                captured fields
```

- lambda translation (via invokedynamic + metafactory)
 - causes allocation (of so-called *lambda object*)
 - on evaluating (not invoking) a capturing lambda

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lab: method-around pattern (25)

lambda translation

- lambda expression replaced by *lambda capture*
 - lambda capture : = a lambda object created via invokedynamic
 - lambda object : = a description of "how to invoke the lambda"

```
int[] getRange() {
  return withLock(lock, () -> {
    return new int[] {lower, upper};
                                                 lambda expression
int[] getRange() {
  return withLock(lock,
                                                 lambda capture
     INDY[metafactory
          , MH(Cri ti cal Regi onGeneri c. appl y)
          , MH(NumberRange, I ambda$0)]
     (I ower, upper)
```

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lab: method-around pattern (26)

lambda translation (cont.)

- lambda objects for non-capturing lambdas
 - are independent of context (no bindings)
 - similar to static methods/objects (no thi s + static initialization)
 - are created only once by lazy evaluation
- lambda objects for *capturing* lambdas
 - have bindings to context variables
 - similar to non-static methods/objects (thi s + construction)
 - are created on each evaluation

cost of allocation

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lab: method-around pattern (27)

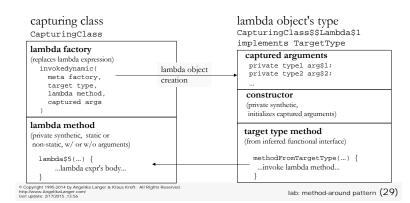
runtime representation #1

- compiler replace lambda expression by *lambda factory*
 - and creates synthetic lambda method

```
capturing class
                                                                         capturing class
          lambda expression
                                                                           lambda factory
                                                                           (replaces lambda expression)
                 ...lambda expr's body...
                                                                             invokedynamic(
                                                                               meta factory,
                                                                               target type,
                                                      compilation
                                                                               lambda method,
                                                                               captured args
                                                                           lambda method
                                                                           (synthetic, static or non-static,
                                                                            w/ or w/o arguments)
                                                                             lambda$5(...) {
                                                                                   ...lambda expr's body...
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                                                                                         lab: method-around pattern (28)
```

runtime representation #2

- first use of lambda calls meta factory
 - => creates lambda object type and lambda object
 - defers initialization cost to first use + no overhead if lambda is never used



Non-Abstract Interface Methods

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Exercise 02.01: Named Person

Add default methods to interfaces. Consider the following interfaces:

```
interface Name {
    String getFirstName();
    String getMiddleName();
    String getLastName();
    String getName();
}

and

interface Age {
    LocalDate getDateOfBirth();
    long getAge();
    MonthDay getBirthday();
}
```

The following yet incomplete class is supposed to implement the two interfaces:

Provide the missing methods. Which methods can you provide as default interface methods? Which methods must be implemented in the class?

Default Methods

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lab: withLock

given interfaces

```
interface Name {
   String getFirstName();
   String getMiddleName();
   char getMiddleInitial();
   String getLastName();
}
```

```
interface Age {
  Local Date getDateOfBirth();
  long getAge();
  MonthDay getBirthday();
}
```

given class

- · implement missing methods
 - either in class or interface

default methods

- implement default methods
 - on top of abstract interface methods

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lab: default methods (3)

default methods

- · implement default methods
 - on top of abstract interface methods

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lab: default methods (4)

methods implemented in class

- implement remaining methods in class
 - since they need access to fields

```
class Person implements Name, Age {
  private String firstName, middleName, lastName;
  private LocalDate dateOfBirth;
  ...
  public String getFirstName() { return firstName; }
  public String getMiddleName() { return middleName; }
  public String getLastName() { return lastName; }
  public String getLastName() { return dateOfBirth; }
  public LocalDate getDateOfBirth() { return dateOfBirth; }
  public String toString() {
    return String
    . format("%-12s= %s\n%-12s= %s\n%-12s= %s\n%-12s= %s\n, "firstName", "firstName", "iniddleName", middleName
    , "lastName", lastName
    , "dateOfBirth", dateOfBirth
}
```

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lab: default methods (5)

Exercise 02.02: Refactoring Class Collections

The JDK class java.util.Collections is a classic example of a "bag of static methods" class. How would you refactor it now that static interface methods are permitted? Would you stuff everything as a static method into the java.util.Collection interface?

Obviously, this is a pure (and hypothetical) design exercise, since we cannot really refactor a JDK class.

Consider in particular the following methods from class java.util.Collections:

- static <T> boolean addAll(Collection<? super T> c, T... elements)
- static <T extends Object & Comparable <? super T>>
 T max(Collection <? extends T> coll)
- static <T extends Comparable<? super T>> void sort(List<T> list)
- static final <T> List<T> emptyList()

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• static <T> ArrayList<T> list(Enumeration<T> e)

Static Interface Methods

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lab: refactor class j ava. util. Collections

- refactor a typical "bag of static methods" class
 - would we stuff all static methods into the related interface?

```
public class Collections {
  public static <T>
      boolean addAll(Collection<? super T> c, T... elements)
  public static <T extends Object & Comparable<? super T>>
      T max(Collection<? extends T> coll)
  public static <T extends Comparable<? super T>>
      void sort(List<T> list)
  public static final <T>
      List<T> emptyList()
  public static <T>
      ArrayList<T> list(Enumeration<T> e)
      ...
}
```

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lab: static interface methods (2)

Collections.addAll()

```
class Collections {
  public static <T>
  boolean addAll(Collection<? super T> c, T... elements) {
  } ...
}
```

- · pro:
 - might be considered closely related to interface Col I ection
- con:
 - leave in class if there are too many other static interface methods

```
interface Collection<E> extends Iterable<E> {
    static <T>
    boolean addAll(Collection<? super T> c, T... elements) {
        boolean result = false;
        for (T element: elements) // uses iterator()
            result |= c.add(element);
    }
}
```

 Copyright 1995-2014 by Angellika Langer & Klaus Kreft. All Rights Reserve http://www.AngellikaLanger.com/ last.ucdate. 2/17/2015. 12:55 lab: static interface methods (3)

Collections.max()

```
class Collections {
  public static <T extends Object & Comparable<? super T>>
  T max(Collection<? extends T> coll){
  }
}
```

- pro:
 - might be considered closely related to interface Col I ection
- con:
 - leave in class if there are too many other static interface methods

```
interface Collection<E> extends Iterable<E> {
  static <T extends Object & Comparable<? super T>>
  T max(Collection<? extends T> coll) {
  Iterator<? extends T> i = coll.iterator();
  T candidate = i.next();
  while (i.hasNext()) {
    T next = i.next();
    if (next.compareTo(candidate) > 0) candidate = next;
} feturn candidate;
```

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lab: static interface methods (4)

Collections.sort()

```
class Collections {
  public static <T extends Comparable<? super T>>
  void sort(List<T> list) {
```

- · con:
 - not at all related to interface Col I ecti on
 - perhaps suitable for interface Li st
 - leave in class if there are too many other static interface methods

```
interface List<E> extends Collection<E> {
 static <T extends Comparable<? super T>>
 void sort(List<T> list) {
        Object[] a = list.toArray();
        Arrays.sort(a);
ListIterator<T> i = list.listIterator();
        for (int j=0; j<a.length; j++) {
    i.next();
             i.set((T)a[j]);
```

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lab: static interface methods (5)

Collections.emptyList()

```
class Collections {
  private static class EmptyList<E> extends AbstractList<E> \{...\} public static final List EMPTY_LIST = new EmptyList<>();
  public static final <T> List<T> emptyList() {
   return (List<T>) EMPTY_LIST;
```

- con:
 - not at all related to interface Col I ection; more suitable for interface Li st
 - requires nested class EmptyLi st in interface => debatable
 - formerly private class would become public
 - · everything in an interface is public
 - can use anonymous class instead to preserve privateness => still weird

```
interface List<E> extends Collection<E> {
  static final List EMPTY_LIST = new AbstractList() {...};
  static <T> List<T> emptyList() {
      return (List<T>) EMPTY_LIST;
```

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lab: static interface methods (6)

Collections.list()

```
class Collections {
return I;
```

- · con:
 - neither belongs to interface Collection nor interfaces Li st or Enumeration

lab: static interface methods (7)

2

Stream Basics

Exercise 03.01: Filtering

last update: 17.02.2015 13:53

Step 1: In a list of Point objects find all points with positive x-coordinate and print these *points*.

Step 2: In a list of Point objects find all points with positive x-coordinate, and print these *coordinates*.

Step 3: In a list of Point objects find all points with *distinct* positive x-coordinate, and print these *coordinates*.

Step 4 (optional): In a list of Point objects find all points with *distinct* positive x-coordinate, and print these *points*.

Filtering

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lab: filter

• find all points with positive x-coordinate and print these *points*

```
List<Point> points = ...
points.stream()
    filter (p -> p.getX() > 0)
    forEach(p -> System.out.print(p + " "));
```

lab: filter

• print the *coordinates* instead of the points themselves

```
List<Point> points = ...
points.stream()
    .filter (p -> p.x > 0)
    .forEach(p -> System.out.print(p.x + " "));
```

• alternatively:

```
List<Point> points = ...
points.stream()
    .mapToInt(p->p.x)
    .filter(x -> x > 0)
    .forEach(x -> System.out.print(x + " "));
```

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lab: filtering (3)

lab: distinct

• find all points with *distinct* positive x-coordinate, and print these *coordinates*

```
List<Point> points = ...
points.stream()
    .mapToInt(p -> p.x)
    .filter (x -> x > 0)
    .distinct()
    .forEach (x -> System.out.print(x + " "));
```

lab: distinct

- print the *points* instead of the coordinates
- solution #1: using di sti nct()
 - distinct compares via equal s()
 - map the points to point wrapper with an equal s() method that compares only the x-coordinates

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lab: filtering (5)

solution #1: using di sti nct()

```
List<Point> points = ...
points.stream()
    .filter(p -> p. x > 0)
    .map(p -> new Point(p) {
        public boolean equals(Object that) {
            if (this == that) return true;
            if (that == null) return false;
            if (this.getClass()!= that.getClass())
                return false;
            return this.x == ((Point)that).x;
        }
        public int hashCode() {
            return this.x;
        }
        Joint int is.x;
        }
        if (distinct())
        .forEach(p -> System.out.print(p + " "));
```

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solution #2: using a TreeSet

- solution #2: using a TreeSet
 - sets reject duplicates
 - TreeSets work with a Comparator
 - stuff points into TreeSet with a Comparator that compares only the x-coordinates

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lab: filtering (7)

solution #2: using a TreeSet

```
List<Point> points = ...
points.stream()
    filter(p -> p. x > 0)
    collect(Collectors.toCollection(
        () -> new TreeSet<Point>((p1, p2) -> p1. x-p2. x))
        )
        forEach(p -> System.out.print(p + " "));

Comparator
```

- collect to a collection
 - that is a tree set
 - with a special purpose comparator
- caveat: not order preserving
 - points printed in an order different from order in stream source

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lab: filtering (8)

solution #3: using a LinkedHashMap

- solution #3: using a Li nkedHashMap
 - use x-coordinate as key and Poi nt as value
 - HashMap part eliminates duplicate x-coordinates
 - Li nkedLi st part provides iteration in insertion order

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lab: filtering (9)

solution #3: using a LinkedHashMap

- collect to a Li nkedHashMap<Integer, Point>
 - key mapper extracts x-coordinate
 - merger retains first occurrence & discards subsequent points
- order preserving
 - value set iterator uses insertion order

solution #4: filter using a Map

- solution #4: using a Map
 - maps also reject duplicate keys
 - use the x-coordinate as a key (+ a dummy value)

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lab: filtering (11)

solution #4: filter using a Map

```
List<Point> points = ...
final Map<Integer, Boolean> map = new HashMap<>();
points.stream()
    .filter (p -> p. x > 0)
    .filter (p -> map.putlfAbsent(p.x,true) == null)
    .forEach(p -> System.out.print(p + " + "));

Predicate
```

- use stateful (!) filter with a predicate that ...
 - adds each coordinate to a map
 - if absent => fine (point goes downstream)
 - if present => bad (point is eliminated)
- note: order is preserved
 - filtering only suppresses value, but does not re-order them

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lab: filtering (12)

Exercise 03.02: Reduction

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In a list of Point objects sum up the x-coordinates of all points and print the resulting sum. For tracing purposes, print all x-coordinates before printing the sum.

Step 1: Use the reduce() operation *with* an initial value.

Step 2: Use the reduce() operation *without* an initial value.

Step 3: Try to omit the mapping to the x-coordinate and reduce the points directly.

Reduction

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lab: reduce with initial value

- sum up the x-coordinates of all points and print the sum
 - use reduce() with an initial value

```
List<Point> points = ...
int result = points.stream()
    .mapToInt(p->p.x)
    .reduce(0, (x1,x2)->x1+x2);
System.out.println("sum is: " + result);
```

sum is: 0

- · if stream is empty
 - reduce() returns initial value
- can't tell whether stream was empty ...

peek

• for tracing purposes, print all x-coordinates

```
1 2 3 1 2 3 -1 -2 -3 -1 -2 -3 sum is: 0
```

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lab: reduction (3)

lab: reduce without initial value

• use reduce() without an initial value

```
List<Point> points = ...
points.stream()
    .mapToInt(p->p.x)
    .peek(x->System.out.print(x + " "))
    .reduce((x1, x2)->x1+x2)
    .ifPresent(s->System.out.println("sum is: "+s));
```

- reduce() returns an Optional
- which has methods
 - isPresent()
 - returns true if there is a value present, otherwise false
 - ifPresent()
 - invoke specified function with the value, otherwise do nothing

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lab: reduction (4)

sum

- primitive streams have a sum() operation
 - sum() implicitly uses an initial value

```
List<Point> points = ...
int result = points.stream()
    .mapToInt(p -> p. x)
    .peek(x -> System.out.print(x + " "))
    .sum();
System.out.println("sum is: " + result);
```

• sum() is equivalent to:

```
reduce(0, Integer::sum);
```

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lab: reduction (5)

lab: reduce with combiner

• omit mapping to x-coordinate + reduce points directly

lab: reduction (6)

Advanced Streams

last update: 17.02.2015 13:53

Exercise 04.01 Stream Creation

Step 1: Create a sequential and a parallel stream of strings that has an array as the underlying stream source. The stream should contain the days of the week. Find at least two ways of doing it.

Step 2: Create primitive streams:

- a stream of natural constants containing 2.997 924 58 (speed of light), 3.1415 9265 359 (Pi) and 6.67384 (gravitational constant), and
- a stream of the integral numbers from 51 thru 100 (inclusive).

Step 3: Create a stream that has a character sequence (say, a string containing your name) as the underlying stream source.

Step 4: Create infinite streams:

last update: 17.02.2015 13:53

- a stream of pseudo random numbers,
- the stream of the powers of 2, i.e., 2 4 8 16 32 64 ...
- the stream of BigIntegers with all positive integral numbers

Hint: Interface Stream has generate and iterate methods for this purpose.

Stream Creation

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lab: array-based streams

• create stream of strings with an array as stream source

lab: primitive streams

• create stream of natural constants

• create stream of integrals from 51 thru 100 (inclusive)

```
IntStream range = IntStream.rangeClosed(51,100);
IntStream range = IntStream.range (51,101);
```

```
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```

lab: stream creation (3)

lab: character sequence-based streams

create a stream with a character sequence as stream source

```
IntStream characterStream = "Angelika Langer".chars();
```

• remember cast from int to char for character

```
static void print(IntStream stream, boolean asChar) {
   String fmt = (asChar)?"%c": "%d";
   stream.forEach(i -> System.out.format(fmt, i));
}
```

chars() vs. codepoints()

- chars(): code units in UTF-16 encoding
- codePoints(): Unicode codes
- difference:
 - one code point in Unicode may have several code units in UTF-16
- example: (mathematical symbol for integral numbers)
 - Unicode code point: U+1D56B
 - 2 code units in UTF-16: \uD835 \uDD6B
- conversions via:

```
char[] Character. toChars
                              (int codePoint)
       Character. toCodePoint (char high, char low)
```

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lab: stream creation (5)

lab: infinite streams

• create stream of pseudo random numbers

```
Stream<Double> randomNumbers
                    = Stream.generate(Math::random);
```

- generate()
 - takes a generator function with no argument
- evaluated lazily
 - whenever a new element is needed, the generator is called

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lab: stream creation (6)

lab: infinite streams

• create stream of powers of 2, i.e., 2 4 8 16 32 64 ...

```
Stream<Integer> powersOfTwo
                   = Stream.iterate(2, i -> i * 2);
```

• create stream of Bi gl ntegers with all positive integral numbers

```
Stream<BigInteger> bigIntegers
         = Stream. i terate(BigInteger. ZERO,
                            i ->i . add(Bi gl nteger. ONE));
```

- iterate()
 - takes a "seed" value and a function
 - repeatedly applies the function to the previous result
 - returns seed, f(seed), f(f(seed)), etc.

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lab: stream creation (7)

Exercise 04.02: Modification of Points

last update: 17.02.2015 13:53

Step 1: In a list of Point objects set the y-coordinate to 0 for all points where the x-coordinate is positive. Then print the modified points.

Step 2: Mutation of sequence elements is not always a good idea. Can you produce the same output (as in step 1) without mutating the points? Idea: Generate a stream that contains the respective modified points and print them. Verify that the originals are unchanged by printing them, too.

Don't forget to reset the list of points to the original values; after all we modified them in step 1.

Step 3: Generate new points (from the original points) with 10-times larger coordinate, i.e., calculate as follows:

Point np = new Point(10 * p. x, 10 * p. y);

Add these new points to the original list of points or produce a stream that contains the original points followed by the newly generated points.

Side Effects

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lab: in-place modification of elements

 set y-coordinate to 0 for all points with positive xcoordinate

```
List<Point> points = ...
points.stream()
    .filter (p -> p.getX()>0)
    .forEach(p -> p.setLocation(p.x,0));
points.forEach(x->System.out.print(x+" "));
```

- in-place modification of sequence elements is dangerous
 - okay for array and Li st
 - disastrous for Set

lab: no modification => no side effects

- generate a stream that contains the modified points
 - no side effects does not modify anything

```
List<Point> points = ...
points.stream()
.map(p -> p.x > 0 ? new Point(p.x,0) : p)
.forEach(x->System.out.print(x+" "));
```

```
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```

lab: element modification (3)

lab: modification of stream source

- generate new points with 10-times larger coordinates
- add new points to original list or
- produce a stream with original points followed by new points

```
List<Point> points = ...
points.stream()
    .map(p -> new Point(10*p.x, 10*p.y))
    .forEach(points::add);
points.forEach(x->System.out.print(x+" "));
```

- triggers ConcurrentModi fi cati onExcepti on
 - non-interference requirement violated
 - function modifies underlying stream source

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lab: element modification (4)

lab: concatenation of streams

- generate new stream with new points
- concatenate original and new stream afterwards

```
List<Point> points = ...
Stream.concat(
    points.stream(),
    points.stream().map(p -> new Point(10*p.x,10*p.y))
    )
    .forEach(x->System.out.print(x+" "));
```

• no modification => no side effects

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last update: 2017/005/13-54
 lab: element modification (5)

Exercise 04.03: Author Fee

last update: 17.02.2015 13:53

Authors are paid according to the length of their manuscripts or, more precisely, per non-space character.

Calculate the author fee for the text in file text.txt. In other words, count all non-space characters in the text file and print the count.

Hint: a space character can be identified via Character.isSpace().

Trouble Shooting: If the text file text.txt cannot be found, then make sure that you place the file into the working directory. If you use IntelliJ you can specify the working directory as follows: open menu Run => Edit Configurations => Working Directory.

FlatMap

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lab: use Reader::lines

• count all non-space characters in a text file

- open file and create a BufferedReader
- create Stream<Stri ng> via BufferedReader: : I i nes

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use flatMap()

- map all lines to a single character stream
 - via Stri ng: : chars
- eliminate all space characters
 - ${\color{black} -}$ needs opposite of Character: : i sSpaceChar
- count remaining non-spaces

```
Iong cnt = in.lines()
    .flatMapToInt(String::chars)
    .filter(Character::isSpaceChar.negate())
    .count();
    System.out.println("non-white-space chars: " + cnt);
...
```

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lab: flatMap (3)

Predicate::negate

- create opposite of Character:: i sSpaceChar
 - via negate() in interface Predi cate
- Character::isSpaceChar.negate() does not compile
 - method invocation is no inference context
- must insert cast to predicate type
 - cast is an inference context

```
in.lines()
   .flatMapToInt(String::chars)
   .filter(((IntPredicate)Character::isSpaceChar).negate() )
   .count();
...
```

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lab: flatMap (4)

Exercise 04.04: Concatenating Character Sequences

Step 1: From a list of Point objects build a String object that represents all points. Print the string. The output should look like this:

```
java.awt.Point[x=1,y=1] java.awt.Point[x=2,y=2] java.awt.Point[x=3,y=3]
```

In this first step use the collect() operation and the joining() collector from class Collectors. There are two versions available:

- without delimiter
- with delimiter

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Try out both

Step 2: Now, as an alternative, use the reduce() operation and string concatenation via the +-operator of class String.

Note, how equally concise this approach looks. However, consider the performance of both solutions. Which one do you expect to run faster?

Joining

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lab: concatenate via joining

- use Stri ngBui I der
 - use pre-defined j oi ni ng collector

- substantially more efficient
 - uses Stri ngBui I der internally

lab: joining (2)

joining with delimiter

• j oi ni ng collector may take delimiters, prefix & suffix

```
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```

lab: joining (3)

lab: concatenate strings via +-operator

- build a Stri ng that represents all points
 - use String => perform reduction using +-operator for String

- inefficient
 - creates lots of temporary strings
 - compiler optimization (via Stri ngBui I der. append) not possible
 - compiler only sees two strings at a time

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lab: joining (4)

© Copyright 1995-2014 by Angelika Langer & Klaus Kreft. All Rights Reserved. http://www.AngelikaLanger.com/ last update: 2/17/2015, 14:03 Exercise 04.05: Collecting Points

last update: 17.02.2015 13:53

In a list of Point objects find all points with positive x-coordinate and store them in an ArrayList. Print the new ArrayList.

toCollection

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lab: collect to a collection

• find all points with positive x-coordinate and store them in an ArrayLi st

- toLi st collector return a Li st, not an ArrayLi st
 - must use toCollection
 - with constructor reference ArrayLi st:: new as supplier

Exercise 04.06: Author Fee Revisited

Authors are paid according to the length of their manuscripts or, more precisely, per non-space character.

To get a feeling for a text file's "noise" ratio, count the space and non-space characters in one pass through the text in file text.txt and print the results.

Grouping

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lab: use Reader::lines

• count space and non-space characters in one pass through a text file

```
try (BufferedReader inFile
     = new BufferedReader(new FileReader("text.txt"))){
 long cnt = inFile.lines()
                ... next slide ...
   System. out. println("non-spaces="+cnt);
 catch (IOException | UncheckedIOException e) {
   e. pri ntStackTrace();
```

- open file and create a Reader
- create Stream<String> via Reader: : I i nes

flat-map

- flat-map all lines to a single character stream
 - needs fl atMapToInt() because chars() yields IntStream

```
Map<Boolean, List<Integer>> map = inFile
   .lines()
                                          // Stream<String>
   . fl atMapToInt(String::chars)
                                          // IntStream
   .boxed()
   . collect(Collectors. partitioningBy
                         (Character::isSpaceChar));
```

```
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```

lab: grouping (3)

boxing

- convert to Stream<Integer> via boxed()
 - because IntStream has no collect(Collector) method

```
Map<Boolean, List<Integer>> map = inFile
   .lines()
                                            // Stream<String>
   . fl atMapToInt(String::chars)
                                           // IntStream
   .boxed()
                                            // Stream<Integer>
   . collect(Collectors. partitioningBy
                          (Character::isSpaceChar));
```

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lab: grouping (4)

grouping

```
• group by i sSpaceChar()
      - yields Map<Bool ean, List<Integer>>

    associates

                            true => list of space characters
                             false => list of non-space characters
 Map<Boolean, List<Integer>> map = inFile
      .lines()
                                                      // Stream<String>
      .flatMapToInt(String::chars)
                                                      // IntStream
      . boxed()
                                                      // Stream<Integer>
      .collect(Collectors.partitioningBy // Map<Boolean,List<Integer>>
                                 (Character::isSpaceChar));
  int chars = map.get(false).size();
  int spaces = map.get(true).size();
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                                                                        lab: grouping (5)
```

using a downstream collector

• use counting() downstream collector

Exercise 04.07: Collecting Points Revisited

Partition a list of Point objects into the points with positive (>=0) and the points with negative (<0) x-coordinate.

Step 1: For each partition find a point with maximum *distance* from the origin.

Step 2: For each partition find the maximum *y-coordinate*.

Step 3 (optional): For each partition find <u>all</u> points with maximum distance from the origin (similar to step 1).

Downstream Collectors

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lab: downstream collector maxBy

- partition a list of Point objects
 - into points with positive and negative x-coordinate
- for each partition find a point
 - with maximum distance from origin

fal se=0pti onal [Point[x=-3, y=-3]], true=0pti onal [Point[x=3, y=3]]

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lab: downstream collectors (2)

alternative comparator for maxBy

various ways of expressing the comparator:

```
as a lambda
```

```
Comparator<Point> comparator
= (p1, p2) -> Double.compare(distanceFromOrigin(p1),
distanceFromOrigin(p2));
```

```
created from a "key extractor"
```

```
Comparator<Point> comparator
= Comparator.comparing(Test::distanceFromOrigin);
```

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lab: downstream collectors (3)

lab: downstream collector maxBy

• for each partition find the maximum y-coordinate

```
{fal se=0pti onal [3], true=0pti onal [3]}
```

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lab: downstream collectors (4)

lab: find all points with max distance

- for each partition find <u>all</u> points with maximum distance from origin
- approach:
 - apply a finisher to the map that is returned after partitioning

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finisher

- for each key get the associated list of points
 - map the points to their distance from origin and call max()
 - filter the list, find all points with maximum distance and print them

```
groupi ng -> {
   groupi ng. keySet(). stream()
        .forEach(bool eanKey ->
        groupi ng. get(bool eanKey). stream()
        .mapToDoubl e(Lab_04_07:: di stanceFromOri gi n)
        .max()
        .ifPresent(maxDi st->
        groupi ng. get(bool eanKey). stream()
        .filter(p->di stanceFromOri gi n(p)==maxDi st)
        .forEach(System. out:: pri ntl n)
   return (Voi d)null;
}
```

Exercise 04.08: Using Optional

Step 1: Wrap a Legacy Method

Consider a legacy method that takes null values and returns null values. Adapt it to a context, in which null has been replaced by Optional.empty(). As an example we use the getProperty() method from class System:

public static String getProperty(String key, String def)

The method returns the string value of the system property key, or the default value def if there is no property with that key.

The method would be used like this:

```
String value1 = System.getProperty("none",null);
System.out.println(value1);
String value2 = System.getProperty("none","default");
System.out.println(value2);
```

The method returns a null reference or the string "default".

Provide a wrapper around this legacy method for a context in which null is not used and no longer permitted. The wrapper shall take Optional values where appropriate and return an Optional value instead of null.

The skeleton is in class TestLegacyWrapper.

last update: 17.02.2015 13:53

Step 2: Use Optional as Element

Consider a map that has null values associated with some of the keys. When you retrieve a value from this map via the get() method, then the get() method might return null. We cannot tell whether the null return value means "there was no value for this key" or whether it means "there was a value and the value is null". It requires an additional call to the containsKey() method in order to tell the difference.

Using an empty Optional instead of null as the associated value would solve the problem. Try it out!

Using Optional

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lab: a legacy wrapper

• legacy method takes nul I and returns nul I

```
String v1 = System.getProperty("none", null);
System.out.println(v1);
String v2 = System.getProperty("none", "default");
System.out.println(v2);
```

```
nul I
defaul t
```

lab: a legacy wrapper (cont.)

• provide a wrapper that takes and returns Opti onal

```
Optional <String> v1
= wrappedGetProperty("none", Optional . empty());
System. out. pri ntl n(v1);
Opti onal <String> v2
= wrappedGetProperty("none", Optional . of("defaul t"));
System. out. pri ntl n(v2);
Optional . empty
```

```
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```

lab: using optional (3)

the wrapper

Optional [default]

• provide a wrapper that takes and returns Opti onal

- convert possible nul I return from getProperty() method via Opti onal <T> ofNul I abl e(T t)
- convert Opti onal to plain value or null)via orEl se(null)

lab: optional stream elements

• map with associated null values

```
Map<Integer, String> map = new HashMap<>();
map.put(1, "one");
map.put(2, null);
...
for (int i=0; i<3; i++) {
   String s = map.get(i);
   if (s == null)
        if (map.containsKey(i))
        System.out.println(i + " value is null");
        el se
            System.out.println(i + " has no entry");
        el se
            System.out.println(i + " -> " + s);
}

O has no entry
1 -> one
2 value is null
```

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lab: using optional (5)

lab: optional stream elements (cont.)

• map with associated Opti onal values

```
Map<Integer, Optional <String>> map = new HashMap<>();
map.put(1, Optional.ofNullable("one"));
map.put(2, Optional.empty());
...
for (int i=0; i<3; i++) {
   Optional <String> os = map.get(i);
   if (os == null)
        System.out.println(i + " has no entry");
   else
        System.out.println(i + " -> " + os.orElse("null"));
}
```

```
0 has no entry
1 -> one
2 -> null
```

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lab: using optional (6)

Exercise 04.09: Closing I/O-Based Streams

Consider the following stream factory method:

It creates a stream of strings from a text file and returns the resulting stream. Here is an example of using the createStreamFromFile method:

```
static void countCharacters(String filename) {
  try (Stream<String> myStream = createStreamFromFile(filename)) {
   long cnt = myStream.flatMapToInt(String::chars).count();
   System.out.println("number of chars: " + cnt);
  }
}
```

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The user correctly calls the stream factory method in the resource part of a try-with-resources block. This way, it is ensured that the stream's close() method is automatically called, both on normal and on exceptional return from the try-block.

As the stream's close() method does not invoke the underlying reader's close() method, the file stays open and none of the resources associated with the open file is released.

Your task in the exercise is to ensure that the stream's underlying readers are properly closed. Note, the createStreamFromFile method must not close the readers, because the readers must remain valid as long as the stream is used. The countCharacters method cannot close the underlying readers either, because it does not have access to the readers. Figure out a solution!

Hint: Streams have an onClose() method (defined in the superinterface BaseStream). By means of onClose() you can add *close handlers* to a stream.

Close Handlers

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lab: closing streams

· a stream factory

lab: closing streams

the user

- problem
 - Stream. close() is called automatically
 - but does not call BufferedReader. cl ose()
 - file remains open

```
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```

lab: close handlers (3)

close handlers & onClose()

- solution
 - add a close handler to the created stream
- via method from interface Stream Stream<T> onClose(Runnable closeHandler)
 - returns an equivalent stream with an additional close handler
 - may return itself
 - close handlers are run when stream's close() method is called
 - executed in the order they were added
 - all close handlers run, even if earlier handlers throw exceptions
 - first exception thrown is relayed to caller of close()
 (with remaining exceptions as suppressed exceptions)

add a close handler

```
Stream<String> createStreamFromFile (String filename) {
 try {
   final BufferedReader in
   = new BufferedReader(new FileReader(filename));
   return in.lines().onClose(()->in.close());
 } catch (FileNotFoundException e) {
```

- problem:
 - checked I OExcepti on from reader's close()
 - close handler is a Runnabl e => must not throw checked exceptions

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lab: close handlers (5)

exception tunnelling

- resort to exception tunnelling
 - via pre-defined UncheckedI OExcepti on

```
Stream<String> createStreamFromFile (String filename) {
 try {
   return in.lines()
             . onCl ose(()->{
                try { in.close(); }
                catch(IOException ioe) {
                  throw new UncheckedIOException(ioe);
 } catch (FileNotFoundException e) { ... }
```

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lab: close handlers (6)

exception handling

- user should add a catch clause
 - for the UncheckedI OException

```
void countCharacters(String filename) {
 try (Stream<String> myStream
            = createStreamFromFile(filename)) {
   long cnt = myStream.flatMapToInt(String::chars)
                       .count();
   System.out.println("number of chars: " + cnt);
 } catch (UncheckedIOException e) {
    e. pri ntStackTrace();
```

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lab: close handlers (7)

Parallel Streams

Exercise 05.01: Benchmark: Sequential vs. Parallel Execution

Step 1: Compare the performance of regular for-loops with the performance of sequential and parallel streams. For the purpose of benchmarking, search for the maximum element in a collection and implement the search via a reduce() operation.

Compare the performance of sequential and parallel execution for various types of collections:

- primitive type array
- array of the boxed type
- ArrayList
- LinkedList
- HashSet
- TreeSet
- more if you like.

In the skeletal implementation (see class TestMax) the collections will contain int / Integer elements. A simplistic benchmark frame is already provided (see class BenchmarkTest).

Study the benchmark results. Are the results plausible, surprising, or otherwise noteworthy?

Step 2: The execution phase of a search for the maximum element in a collection does no more than comparing two elements. In other words, the execution phase is dominated by memory access rather than CPU consumption.

In order to get a feeling for the issues that affect execution performance, run a second benchmark with a slightly modified functionality: trigger an expensive CPU-bound computation before the elements are compared. Map the int / Integer elements to a corresponding sine value. Use the <code>slowSine()</code> method from class <code>sine</code> in package <code>math</code>, that comes with the skeleton.

Instead of measuring the performance of this:

Measure the performance of that:

Study the benchmark results. Are the results different now? Why?

Benchmark Sequential vs. Parallel

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lab: sequential vs. parallel benchmark

· compare for-loop

```
int m = Integer.MIN_VALUE;
for (int i : ints)
  if (i > m) m = i;
```

· to sequential stream

```
Arrays.stream(ints)
.reduce(Integer.MIN_VALUE, (i, j) -> Math.max(i, j))
```

· to parallel stream

```
Arrays.stream(ints).parallel()
.reduce(Integer.MIN_VALUE, (i, j) -> Math.max(i, j))
```

- repeat for
 - ${\tt -}\,$ i nt-array, I nteger-array, Array Li st
, Li nked Li st, Hash Set, Tree Set

lab: benchmark parallel (2)

for-loop vs. sequential stream

primitive array, for-loop :		- 1
primitive array, sequential:	1. 36	ms
boxed array, for-loop :	3. 34	ms
boxed array, sequential :	14. 82	ms
array list, for-loop :	3. 82	ms
1 3 1 1 1	19. 07	- 1
	0 (0	
·	8. 68	- 1
linked list, sequential :	18. 77	ms
hash set, for-loop :	27. 69	ms
hash set, sequential :	49. 43	ms
	22. 38	- 1
tree set, sequential :	33. 49	ms

• for-loop always faster than sequential stream

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lab: benchmark parallel (3)

evaluation

- JIT compilers know how to optimize for-loops
 - especially array-based loops with constant size and equal stride
- · streams add overhead
 - always work through a splitterator and many layers of method calls

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lab: benchmark parallel (4)

sequential vs. parallel stream

minitive amount of 1 20 mg
primitive array, sequential: 1.36 ms
primitive array, parallel : 0.77 ms • major speed-
boxed array, sequential : 14.82 ms
boxed array, parallel : 9.17 ms • not convincing
array list, sequential : 19.07 ms
array list, parallel : 9.45 ms • major speed-
linked list, sequential : 18.77 ms
linked list, parallel : 22.99 ms • really bad
hash set, sequential : 49.43 ms
hash set, parallel : 28.85 ms • not convincing
tree set, sequential : 33.49 ms • not convincia
tree set, parallel : 20.28 ms

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lab: benchmark parallel (5)

evaluation

- arrays are easy to split + fast to access
 - index calculation, no overhead
- collection suffer from autoboxing
 - autoboxing almost optimized away for ArrayLi st
- linked list is expensive to split
 - copies all elements into an array + splits the array

additional work in execution phase

compare

```
double m = Double.MIN_VALUE;
for (int i = 0; i < ints.length; i++) {
  double d = Sine.slowSin(ints[i]);
  if (d > m) m = d;
}
```

tc

```
Arrays.stream(ints)
.mapToDouble(Sine::slowSin)
.reduce(Double.MIN_VALUE, (i, j) -> Math.max(i, j))
```

to

```
Arrays.stream(ints).parallel()
.mapToDouble(Sine::slowSin)
.reduce(Double.MIN_VALUE, (i, j) -> Math.max(i, j))
```

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lab: benchmark parallel (7)

parallel vs. sequential vs. for-loop

```
primitive array, for-loop : 6.55 ms
primitive array, sequential: 6.79 ms
primitive array, parallel : 3.60 ms
boxed array, for-loop
                           : 6.65 ms
boxed array, sequential
                          : 6.70 ms
boxed array, parallel
                          : 3.37 ms
array list, for-loop
                          : 6.67 ms
array list, sequential
                          : 6.73 ms
array list, parallel
                          : 3.40 ms
linked list, for-loop
                          : 6.75 ms
linked list, sequential
                          : 6.70 ms
linked list, parallel
                          : 3.66 ms
                          : 6.59 ms
hash set, for-loop
hash set, sequential
                          : 6.69 ms
hash set, parallel
                          : 3.46 ms
tree set, for-loop
                           : 6.67 ms
tree set, sequential
                           : 6.68 ms
tree set, parallel
                           : 3.91 ms
```

- sequential streams nearly as fast as forloop
- parallel streams yield major speed-up in all collections

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lab: benchmark parallel (8)

evaluation

- previously
 - execution phase dominated by RAM access
- now
 - execution phase dominated by CPU access
- conclusion
 - parallel execution pays for CPU intensive computations

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lab: benchmark parallel (9)

Exercise 05.02: Managing Blocking Lambdas

As a rule, functionality that is passed to stream operations shall not block or wait; for instance, your lambdas shall neither wait for locks or signals nor use blocking operations such as synchronous i/o.

This is a reasonable rule because the functionality that is passed to a stream operation is performed as a fork-join task in the fork-join common pool. Blocking lambdas might put all fork-join worker threads into a waiting or blocking state and as a result the pool will be clogged. This is detrimental because there might be other parts of your application that also want to use the fork-join common pool, but the pool cannot execute anything anymore because all its threads are waiting for something and no thread is available to execute anything.

Fortunately, the fork-join framework has a feature for proper handling of blocking tasks: the so-called "managed blocker". A managed blocker is an implementation of the ForkJoinPool. ManagedBlocker interface. It indicates to the fork-join worker thread that a task is entering a waiting or blocking state and the fork-join pool compensates for the now unavailable, blocking thread by creating a new, additional worker thread. This way the fork-join pool maintains its parallelism, i.e. it tries to have a certain number of threads actively running in parallel plus an arbitrary number of waiting or blocking threads.

If a fork-join task wants to perform a blocking or waiting activity - without risking to clog the pool - it can wrap the activity into a managed blocker and pass the managed blocker to the fork-join framework.

Here is how it works:

Without a managed blocker the fork-join task would directly invoke the blocking activity:

```
class Task extends RecursiveTask<Result> {
    ...
    public Result compute() {
        ...
        result = runTheBlockingActivity();
        ...
        return result;
    }
}
```

With a managed blocker the fork-join task would wrap the blocking part into a managed blocker:

```
class ManagedActivity implements ForkJoinPool.ManagedBlocker {
   private volatile Result result;

   public boolean block() throws InterruptedException {
      result = runTheBlockingActivity();
      return true;
   }
   public boolean isReleasable() {
      return info != null;
   }
   public Result getResult() {
      return result;
   }
}
```

It would then pass the managed blocker to the worker thread like this:

```
class Task extends RecursiveTask<Result> {
    ...
    public Result compute() {
        ...
        ManagedActivity action = new ManagedActivity ();
        ForkJoinPool.managedBlock(action);
        result = action.getResult();
        ...
        return result;
```

```
}
```

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You can manage blocking lambdas in just the same way.

The skeleton provides a Stock class with a getStockInfo() method that retrieves stock information from http://finance.yahoo.com. It connects to the URL and receives the stock information via a synchronous read operation.

```
Arrays.stream(stockSymbols)
    .parallel()
    .map(s -> Stock.getStockInfo(s))
    .map(s -> Stock.createStockData(s))
    .filter(s -> s != null)
    .reduce((s1,s2) -> s1.getChange()>s2.getChange()?s1:s2)
    .ifPresent(s -> System.out.println(s));
```

The synchronous read operation puts the worker thread into a wait states, namely waiting for data to be returned from a synchronous read. Use the ManagedBlocker to make sure that the pool creates further worker threads while other worker threads are waiting for a response from their read request.

Note: As is recommended, make sure that all your lambdas work for both parallel <u>and</u> sequential streams.

Blocking Lambdas

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lab: blocking lambdas

- · mapper performs synchronous socket read
 - might clog common pool

```
Arrays. stream(stockSymbol s). parallel()
    .map(s -> Stock. getStockInfo(s))
    .map(s -> Stock. createStockData(s))
    .filter(s -> s != null)
    .reduce((s1, s2) -> s1. getChange()>s2. getChange()?s1: s2)
    .ifPresent(s -> System. out. println(s));
```

```
main: "TMTR*,38.49,"0.00 - 0.00%
    ForkOsinPool.commonPool-worker-1: "MSFT*,48.42,"0.00 - 0.00%*
    PorkOsinPool.commonPool-worker-1: "MSFT*,48.42,"0.00 - 0.00%*
    main: "PB*,76.36,"0.00 - 0.00%*
    PorkOsinPool.commonPool.worker-1: "GOOG*,525.26,"0.00 - 0.00%*
    main: "AMMZN*,312.63,"0.00 - 0.00%*
    PorkOsinPool.commonPool.worker-1: "GOOG*,525.26,"0.00 - 0.00%*
    main: "AMZN*,312.63,"0.00 - 0.00%*
    main: "ORCL*,41.93,"0.00 - 0.00%*
    StockData(gymbol-"ORCL*) price="41.93", increase=0.0}
```

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lab: blocking lambdas (2)

define managed blocker

• wrap blocking operation into managed blocker

```
class StockInfoFetcher implements ForkJoinPool.ManagedBlocker {
    private final String symbol;
    private volatile String info = null;

    public StockInfoFetcher(String symbol) {
        this.symbol = symbol;
    }

    public boolean block() throws InterruptedException {
        if (info == null)
            info = Stock.getStockInfo(symbol);
        return true;
    }

    public boolean isReleasable() {
        return info != null;
    }

    public String getInfo() {
        return info;
    }
}
```

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lab: blocking lambdas (3)

use managed blocker

replace direct use of blocking operation by managed blocker

```
Function<String, String> mapper = s -> {
    StockInfoFetcher infoFetcher = new StockInfoFetcher(s);
    try { ForkJoinPool.commonPool().managedBlock(infoFetcher); }
    catch (Throwable e) { return null; }
    return infoFetcher.getInfo();
};
Arrays.stream(stockSymbols).parallel()
    .map(mapper)
    ...as before...

main: 'TVTR*,38.49.'0.00 - 0.00*
PorkJoinPool.commonRool-worker_1: 'MSDT*,48.42.'0.00 - 0.00*
Pool.commonRool-worker_1: 'MSDT*,48.42.'0.0
```

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orkJoinFool.commonPool-worker-1: "YHOO",50.99,"0.00 - 0.00%" orkJoinFool.commonPool-worker-0: "AAPL",115.00,"0.00 - 0.00%" orkJoinFool.commonPool-worker-2: "ORCL",41.93,"0.00 - 0.00%"

kData{symbol='ORCL', price='41.93', increase=0.0}

lab: blocking lambdas (4)

managed blocker (cont.)

- does mapper only work for parallel streams? O:
- also works for sequential streams A:
 - · managed blocker checks if current thread is fork-join worker thread
 - if regular (main) thread, simply calls block(), which calls blocking i/o

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lab: blocking lambdas (5)

use larger fork-join pool

• replace common pool by a larger fork-join pool

```
ForkJoinPool myPool = new ForkJoinPool (HIGHER_PARALLELISM);
myPool.submit(()->
Arrays. stream(stockSymbols). parallel()
       . map(s -> Stock.getStockInfo(s))
       . map(s -> Stock.createStockData(s))
       .filter(s -> s != null)
       . reduce((s1, s2) -> s1. getChange()>s2. getChange()?s1: s2)
       .ifPresent(s -> System.out.println(s));
myPool.awaitQuiescence(FINISH_AWAIT_TIME, TimeUnit.SECONDS);
```

```
ForkJoinFool-1-worker-2: "MSFT",48.42,"0.00 - 0.00%" ForkJoinFool-1-worker-1: "MTR",38.49,"0.00 - 0.00%" ForkJoinFool-1-worker-1: "MTR",38.49,"0.00 - 0.00%" ForkJoinFool-1-worker-4: "GOOG",525.26,"0.00 - 0.00%" FOOLGOINFOOL-1-worker-4: "GOOG",525.26,"0.00 - 0.00%" FOOLGOINFOOL-1-worker-4: "FOO-76.36,"0.00 - 0.00%" FOOLGOINFOOL-1-worker-4: "FBT",76.36,"0.00 - 0.00%" StockData[symbol='ORCL', price='41.93', increase=0.0]
```

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lab: blocking lambdas (6)

evaluation

- managed blocker
 - risk of creating too many threads
 - no internal resource limit; might run out of resources
 - slightly limited by number of leaf tasks
- larger fork-join pool
 - cannot run out of resources; number of threads is limited
 - undocumented feature

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lab: blocking lambdas (7)

make common pool larger

- conceivable alternative:
 - configure common pool with higher parallelism
 - via system property
- · rarely a good idea
 - static setting at application startup
 - no support for dynamic resizing of common pool
 - larger common affects entire application
 - · more threads than cores/cpus => more thread scheduling overhead

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lab: blocking lambdas (8)

Exercise 05.03: Benchmark Ordered vs. Unordered Execution

Step 1: The distinct() Operation

Compare the performance of sequential vs. parallel ordered and unordered execution of the stream operation distinct(). Apply the distinct() operation to the stream

- without any mapping,
- with a cheap mapping, and
- with an expensive mapping

prior to the execution of the distinct() operation.

A skeletal implementation (see class DistinctTest) is provided. It uses a simplistic benchmark frame (see class BenchmarkTest).

Study the benchmark results. Are the results plausible, surprising, or otherwise noteworthy?

Step 2: The forEach() Operation

Compare the performance of sequential vs. parallel execution of a transformation performed on each element in a collection. Apply the transformation sequentially and in parallel via forEach() and forEachOrdered().

Use two different transformations:

- a cheap transformation (see class AddTransformer in package transformer)
- an expensive transformation (see class SineTransformer in package transformer)

Perform the transformation

- in the terminal forEach and forEachOrdered operation, and
- in an intermediate map operation before the forEach and forEachOrdered operation.

A skeletal implementation (see class ForEachTest) is provided.

Study the benchmark results. Are the results plausible, surprising, or otherwise noteworthy?

Benchmark Ordered vs. Unordered

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lab: di sti nct benchmark

 compare Arrays. stream(ints) . distinct() .count()

 to Arrays. stream(ints). parallel() . di sti nct() .count()

and to Arrays. stream(ints). parallel(). unordered() . di sti nct() .count()

lab: benchmark ordering (2)

results (Intel dual core, 2x 3.17 GHz)

sequenti al - int: 3.22 ms parallel ordered - int: 8.56 ms parallel unordered - int: 7.44 ms

- parallel di sti nct
 - substantially slower than sequential
- parallel unordered di sti nct
 - only marginally faster than ordered

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lab: benchmark ordering (3)

lab: di sti nct with "cheap" mapping

```
    compare

               Arrays. stream(i nts)
                      . mapTo0bj (Stri ng: : val ue0f)
                     . distinct()
                      .count()
```

 to Arrays. stream(ints). parallel() . mapToObj (String::valueOf) . distinct() .count()

and to Arrays. stream(ints). parallel(). unordered() .mapTo0bj (String::value0f) . distinct() . count()

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lab: benchmark ordering (4)

results

```
sequential - String: 10.24 ms
parallel ordered - String: 15.70 ms
parallel unordered - String: 13.84 ms
```

- · parallel di sti nct
 - still slower than sequential
- parallel unordered di sti nct
 - still only marginally faster than ordered

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lab: benchmark ordering (5)

lab: di sti nct with "expensive" mapping

```
    to
    Arrays. stream(ints). parallel()

            map(i -> new Double(SIZ/DIV*Sine. slowSin(i*offset))
                  intValue())
                  distinct()
                  count()
```

```
    and to
    Arrays. stream(ints). parallel(). unordered()
        .map(i ->new Double(SIZ/DIV*Sine. slowSin(i*offset))
        .intValue())
        .distinct()
        .count()
```

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lab: benchmark ordering (6)

results

```
sequential - sine: 6.96 ms
parallel ordered - sine: 4.06 ms
parallel unordered - sine: 4.22 ms
```

- · parallel di sti nct
 - eventually faster than sequential
- parallel <u>un</u>ordered di sti nct
 - slightly slower (!) than ordered
 - platform specific effect
 - slower CPU => less collisions => greater performance gain

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lab: benchmark ordering (7)

evaluation

- parallel may (but need not) be faster than sequential
 - substantial overhead (for buffering or synchronization)
- unordered may (but need not) be faster than ordered
 - no guarantee

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lab: benchmark ordering (8)

lab: forEach benchmark

```
class AddTransformer extends Transformer {
    private double summand, value;
    ...
    public void transform() { value += summand; }
```

compare Arrays.stream(addTransformers).forEach(st -> st.transform())

to Arrays.stream(addTransformers).parallel()
 .forEach(st -> st.transform()

• and to Arrays.stream(addTransformers).parallel()
.forEachOrdered(st -> st.transform()

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lab: benchmark ordering (9)

results

```
add - sequential: 0.03 ms
add - parallel unordered: 0.05 ms
add - parallel ordered: 0.12 ms
```

- parallel ordered for Each
 - significantly slower (!) than sequential
 - due to overhead of task creation/scheduling
 - computation in forEach is executed sequentially anyway

lab: forEach benchmark

and to

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lab: benchmark ordering (11)

results

```
sine - sequential: 6.52 ms
sine - parallel unordered: 3.40 ms
sine - parallel ordered: 6.70 ms
```

- parallel unordered for Each
 - substantial speed-up
 - computation in forEach is executed in parallel

lab: forEach with mapping

- so far,
 - cpu-expensive computation as part of terminal for Each operation
- what if ... ?
 - cpu-expensive computation happens in intermediate operation (before for Each)

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lab: benchmark ordering (13)

lab: forEach with "cheap" mapping

• compare | Arrays. stream(doubles)

- . mapToObj (d -> new AddTransformer(d, d))
- . forEach(st -> st.transform())

to

Arrays. stream(doubles). parallel()

- . mapToObj (d -> new AddTransformer(d, d))
- . forEach(st -> st.transform()
- and to

Arrays. stream(doubles). parallel()

- . mapToObj (d -> new AddTransformer(d, d))
- . forEachOrdered(st -> st.transform()

results

```
map with add - sequential:
                                   0.14 ms
map with add - parallel unordered: 0.18 ms
map with add - parallel ordered:
                                   0.27 ms
```

• same as before (more or less)

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lab: benchmark ordering (15)

lab: forEach with "expensive" mapping

• compare | Arrays. stream(doubles)

- .map(Si ne: : sl owSi n)
- . mapToObj (d -> new AddTransformer(d, d))
- . forEach(st -> st. transform())

to

Arrays. stream(doubles). parallel()

- . map(Si ne: : sl owSi n)
- . mapToObj (d -> new AddTransformer(d, d))
- . forEach(st -> st. transform()

and to

Arrays. stream(doubles). parallel()

- .map(Si ne: : sl owSi n)
- . mapToObj (d -> new AddTransformer(d, d))
- . forEachOrdered(st -> st.transform()

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lab: benchmark ordering (14)

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lab: benchmark ordering (16)

results

map with sine -	sequenti al :	6.62 ms
map with sine -	parallel unordered:	3.92 ms
map with sine -	parallel ordered:	3.63 ms

- conclusion
 - parallel execution pays if cpu-expensive intermediate operations are involved

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lab: benchmark ordering (17)

evaluation

- parallel execution pays
 - if expensive intermediate operation is involved
- unordered execution pays
 - if for-each-action is expensive

Exercise 05.04: Benchmark: Sequential vs. Parallel Collect **Step 1: String Concatenation**

Compare the performance of sequential vs. parallel string concatenation. Implement several approaches:

- using reduce() and the "+" operator for strings,
- using forEach() and the append() method of StringBuilder or StringBuffer, and
- using collect() and the joining() collector.

The skeletal implementation (see class StringConcatTest) converts integers into their respective string representations and concatenates these strings.

A simplistic benchmark frame is provided (see class BenchmarkTest).

Complete the skeleton and study the benchmark results. Are the results plausible, surprising, or otherwise noteworthy?

Step 2: Collecting into a Collection

Compare the performance of collecting elements into a collection sequentially vs. in parallel. Use an array of ints as the stream source, convert the ints into their respective string representations, and put the strings into a collection.

Use various types of collections as a sink:

- ArrayList
- LinkedList
- HashSet
- TreeSet
- Map
- ConcurrentMap
- more if you like.

A simplistic benchmark frame is provided (see class BenchmarkTest).

Complete the skeleton and study the benchmark results. Are the results plausible, surprising, or otherwise noteworthy?

Lambdas

Benchmark Collect Algorithms

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lab: benchmark string concatenation

- compare performance
 - of sequential vs. parallel string concatenation
- several approaches:
 - using reduce() & "+" operator for strings,
 - using forEach() & append() method of Stri ngBuil der or Stri ngBuffer, and
 - using collect() & j oi ni ng() collector.

string concatenation - reduce & "+"

• compare sequential & parallel execution of

```
Arrays.stream(ints)
.boxed()
.reduce("", (i,s)->String.valueOf(i)+" "+s, (s1,s2)->s1+s2)
```

```
• to Arrays.stream(ints)
.mapToObj (i ->String.valueOf(i)+" ")
.reduce("", (s1, s2)->s1+s2)
```

```
    and to Arrays.stream(ints)
        .mapToObj (i -> String.valueOf(i))
        .collect(Collectors.joining(" "))
```

```
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```

lab: benchmark collect (3)

results - reduce & "+"

```
'+' with boxed / sequential: 25.82 ms
'+' with boxed / parallel: 6.82 ms
'+' with map / sequential: 6.77 ms
'+' with map / parallel: 1.95 ms
joining() / sequential: 0.15 ms
joining() / parallel: 0.12 ms
```

- boxing is expensive
- string concatenation via "+" operator of string is slow
- joining is fast
 - barely any difference between sequential and parallel

string concatenation - for Each & append

compare sequential

```
StringBuilder sb = new StringBuilder();
Arrays.stream(newInts)
   .mapToObj(i->String.valueOf(i))
   .forEach(s->{sb.append(s); sb.append(" ");})
```

• to parallel (ordered & unordered)

```
StringBuffer sb = new StringBuffer();
Arrays. stream(newInts). parallel()
   .mapToObj(i->String. valueOf(i))
   .forEachOrdered(s->{ sb.append(s); sb.append("");})
```

and to

```
Arrays.stream(ints)
  .mapToObj(i -> String.valueOf(i))
  .collect(Collectors.joining(" "))
```

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lab: benchmark collect (5)

results - forEach & append

```
StringBuilder / sequential: 1.57 ms
StringBuffer / parallel + unordered: 2.66 ms
StringBuffer / parallel + ordered: 1.97 ms
joining() / sequential: 1.57 ms
joining() / parallel: 1.19 ms
```

- concurrent use of Stri ngBuffer is expensive
 - due to contention and synchronization overhead
- unordered is massively worse than ordered
 - also due to contention and synchronization overhead
- parallel j oi ni ng is fastest

string concatenation - joining

• compare sequential & parallel execution of

```
Arrays.stream(ints).parallel()
.mapToObj(i -> String.valueOf(i))
.collect(Collectors.joining(" "))
```

to

```
Arrays.stream(ints).parallel()
.mapToObj(i->String.valueOf(Sine.slowSin(i*0.001)))
.collect(Collectors.joining(""))
```

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lab: benchmark collect (7)

results - joining

- expensive mapping in execution phase
 - makes parallel execution more attractive

lab: benchmark collecting into a collection

- compare performance
 - of sequential vs. parallel collect in a collection
- several target collections
 - ArrayList
 - LinkedList
 - HashSet
 - TreeSet
 - Map
 - ConcurrentMap
- example

```
Arrays.stream(ints)
.mapToObj (String::valueOf)
.collect(Collectors.toCollection(ArrayList<String>::new))
```

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lab: benchmark collect (9)

results - collecting into a collection

```
collect to ArrayList / sequential:
                                            2.75 ms
collect to ArrayList / parallel:
                                            1.93 ms 43%
collect to LinkedList / sequential:
                                            2.65 ms
collect to LinkedList / parallel:
                                            2.42 ms
collect to HashSet / sequential:
                                            5.54 ms
collect to HashSet / parallel:
                                            6.20 ms -11%
collect to TreeSet / sequential:
                                           15.23 ms
collect to TreeSet / parallel:
                                           21.38 ms -29%
collect to Map / sequential:
                                            4.66 ms
collect to Map / parallel:
                                            5.14 ms -10%
collect to ConcurrentMap / sequential:
                                           10.85 ms
collect to ConcurrentMap / parallel:
                                           7.76 ms 40%
with sine collect to ArrayList / sequential: 5.10 ms
with sine collect to ArrayList / parallel: 2.81 ms 80%
```

- · Set and Map suffer from parallel execution
- ArrayLi st and ConcurrentMap speed up under parallel execution
- parallel execution more attractive with expensive intermediate operations

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lab: benchmark collect (10)

Exercise 05.05: Implement User-Defined Collectors

Part 1: A User-Defined Collector for a Combination of Results

In this exercise we re-visit our earlier Point examples. We have been retrieving various pieces of information from a sequence of Point objects, among them

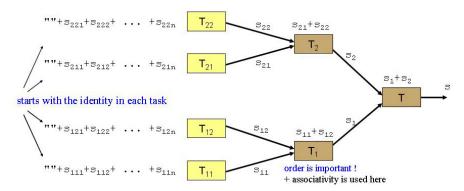
- the sum of the x-coordinates of all points,
- the sum of the distance from origin for all points with a positive x-coordinate, and
- the concatenation of the string represention of all points separated by a separator.

In this exercise you are invited to implement a collector that gathers the results in one pass over the sequence and stores all the results in a suitable data structure.

The skeleton for this part of the exercise is in class TestCollectorForSeveralPointResults.

Part 2: An Alternative Max-Collector

The stream operation max() delegates to the reduce() operation. The reduce() operation - if applied to a parallel stream - uses an accumulator in its execution phase and a combiner in its joining phase. The joining phase ensures that the encounter order of the elements in the stream source is preserved - even for combiners that are not commutative, but only associative.



If accumulator and combiner were commutative, then order would not matter and the joining phase would not be needed.

The accumulator and combiner for a max() operation are always commutative. For example, the maximum of "abc" and "xyz" is the same as the maximum of "xyz" and "abc". Consequently, a max() operation does not need a joining phase. It could instead be implemented via concurrently accumulating collect algorithm.

Your task in this exercise is to implement a concurrently accumulating collector that produces the maximum of all elements in a stream source.

Compare the performance of your newly defined collector to the performance of the JDK-provided max() operation.

Lambdas

User-Defined Collectors

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lab: collector for a combination of results

- implement a collector that
 - gathers several results in one pass over the sequence and
 - stores all the results in a suitable data structure

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lab: user-defined collectors (2)

implement accumulator + combiner

```
class PRCollector implements PointsResults {
  private int xCoordinate = 0;
  private double dfo = 0.0;
  private StringBuilder pointsAsString = new StringBuilder();
  ... getter methods ...

public void accept(Point p) { // accumulator
      xCoordinate += p. x;
    if (p. x > 0) dfo += Math.sqrt(p. x * p. x + p. y * p. y);
    pointsAsString.append(p. toString()).append(" ");
  }
  public PRCollector combine(PRCollector ps) { // combiner
      xCoordinate += ps. xCoordinate;
    dfo += ps. dfo;
    pointsAsString.append(ps.pointsAsString);
    return this;
  }
```

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lab: user-defined collectors (3)

using the user-defined collector

• simple via collect() operation

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lab: user-defined collectors (4)

lab: concurrently accumulating max collector

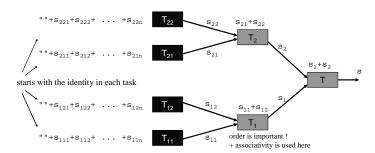
- motivation
 - determining the maximum of two values is commutative
 - => order does not matter
 - implement a max collector based on concurrent accumulation (rather than reduction)
- compare the performance of
 - max() stream operation (based on reduce()), and
 - collect() operation with your new collector

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lab: user-defined collectors (5)

why are identity + associativity needed?

Arrays.stream(ints).parallel().reduce("", (s1, s2) -> s1+s2);



 $-\,$ does not work with a non-associative operation, e.g. "-" for i nt

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lab: user-defined collectors (6)

approach #1

- collector must have a thread-safe accumulation function
 - will be invoked concurrently in execution phase
- use an Atomi cReference
 - as the container to hold the maximum value

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lab: user-defined collectors (7)

approach #1 (cont.)

• combiner must be provided, but will not be used

```
class ConcurrentMaxFinder {
    ...
    public ConcurrentMaxFinder combine(ConcurrentMaxFinder cmf) {
        return (max.get().compareTo(cmf.max.get()) >= 0 ? this : cmf);
    }
    String getMax() {
        return max.get();
    }
}
```

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lab: user-defined collectors (8)

approach #1 (cont.)

• create collector via Collector. of()

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lab: user-defined collectors (9)

conceivable mistake

• use stream operation collect()

- has two bugs
 - 1. performs a reduction-based collect
 - due to default characteristics
 - 2. might produce incorrect results
 - due to incorrect combiner implementation

```
conceivable mistake (cont.)
```

- subtle difference regarding combiner
 - collect()'s combiner is of type Bi Consumer<A, A>
 - · returns voi d
 - => must combine, i.e. merge second into first 'collection'
 - => first 'collection' is mutated => mutation required
 - of() factory's combiner is of type Bi naryOperator<A>
 - · returns the resulting combined 'collection'
 - may return a new collection => no mutation necessary
 - may merge and return the mutated 'collection'
- we implemented a Bi naryOperator,
 - but collect() uses is as a Bi Consumer, i.e. ignores the result

```
public ConcurrentMaxFinder combine(ConcurrentMaxFinder cmf) {
    return (max.get().compareTo(cmf.max.get()) >= 0 ? this : cmf);
}
```

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lab: user-defined collectors (11)

approach #2

• implement Collector interface from scratch

```
class MyCollector
implements Collector<String, AtomicReference<String>, String> {
    ...
}
```

- type parameters
 - T: =Stri ng type of input elements
 - A: =Atomi cReference<Stri ng> mutable accumulation type
 - R: =String result type

supplier

creation of a new result container

```
class MyCollector
implements Collector<String, AtomicReference<String>, String> {
  public Supplier<AtomicReference<String>> supplier() {
    return (() -> new AtomicReference<String>(""));
  }
} ...
```

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lab: user-defined collectors (13)

accumulator

• incorporating a new data element into a result container

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lab: user-defined collectors (14)

combiner

• combining two result containers into one

```
class MyCollector
implements Collector<String, AtomicReference<String>, String> {
    ...
    public BinaryOperator<AtomicReference<String>> combiner() {
        return (m1, m2)->m1. get(). compareTo(m2. get())>=0 ? m1 : m2;
    }
    ...
}
```

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lab: user-defined collectors (15)

finisher

• performing an optional final transform on the container

```
class MyCollector
implements Collector<String, AtomicReference<String>, String> {
    ...
    public Function<AtomicReference<String>, String> finisher() {
            return (m -> m.get());
    }
}
```

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lab: user-defined collectors (16)

characteristics

- provide hints that trigger concurrent accumulation
 - used by collect()

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lab: user-defined collectors (17)

approach #2 (cont.)

· create new collector

benchmark results - platform #1

- concurrently accumulating max is only slightly faster, if at all
 - on dual core (2x 3.16 GHz)

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lab: user-defined collectors (19)

benchmark results- platform #2

```
max() / sequential: 29.79 ms
max() / parallel: 31.71 ms

collect() (Collector.of) / sequential: 27.01 ms
collect() (Collector.of) / parallel: 17.24 ms

collect() (MyCollector) / sequential: 25.72 ms
collect() (MyCollector) / parallel: 16.08 ms
```

- · concurrently accumulating max is substantially faster
 - on dual core (2x 2.2 GHz), i.e. a slower CPU
- reason:
 - faster CPU produces more collisions and retries on Atomi cReference

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lab: user-defined collectors (20)

Exercise 05.06: Reduce vs. Collect

Explore the difference between reducing and collecting using string concatenation as an example.

Throughout the seminar we have seen various ways of concatenating strings:

Using a joining() collector.

last update: 17.02.2015 13:53

```
Stream<String> strings = ...;
String concatenated = strings.collect(Collectors.joining());
```

Using a user-defined collector created with Collector.of():

Using the 3-argument version of collect():

The last version uses the 3-argument version of the Stream operation collect(), which takes a supplier, an accumulator, and a combiner:

There is a similar 3-argument version of the Stream operation reduce(), which takes an identity value, an accumulator, and a combiner:

Is it possible to implement string concatenation using the 3-argument version of reduce()? Try it and test it - with sequential and parallel streams!

Lambdas

Reducing vs. Collecting

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lab: reducing vs. collecting

- implement string concatenation using the 3-argument version of reduce()
 - in analogy to the 3-argument version of collect()

```
<R> R collect(Supplier<R> supplier,
              Bi Consumer<R, ? super T> accumulator,
              Bi Consumer<R, R> combiner)
```

```
Stream<String> strings = ...;
String concatenated = strings.collect(StringBuilder::new,
                                        StringBuilder:: append,
                                        StringBuilder::append)
                              . toString();
```

obvious approach

• 3-argument version of reduce()

```
<U> U reduce(U identity,
             Bi Function<U, ? super T, U> accumul ator,
             Bi naryOperator<U> combi ner)
```

```
Stream<String> strings = ...;
String concatenated = strings.reduce(new StringBuilder(),
                                         Stri ngBui I der: : append,
                                         Stri ngBui I der: : append)
                                 . toString();
```

- only difference:
 - collect() takes a supplier where reduce() takes an identity value

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lab: reduce vs. collect (3)

fails under parallel execution

• prints

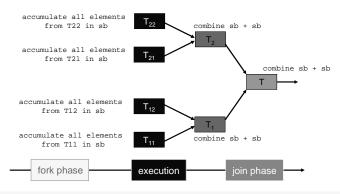
```
SEQUENTIAL
 (collect) Hänschen klein ging allein in die weite Welt hinein
 (reduce) Hänschen klein ging allein in die weite Welt hinein
PARALLEL
(collect) Hänschen klein ging allein in die weite Welt hinein
(reduce) in die Welt hinein weite in die Welt hinein weite ging
allein in die Welt hinein weite in die Welt hinein weite ging allein
Hänschen klein in die Welt hinein weite in die Welt hinein weite ging
allein in die Welt hinein weite in die Welt hinein weite ging allein
Hänschen klein in die Welt hinein weite in die Welt hinein weite ging
allein in die Welt hinein weite in die Welt hinein weite ging allein
Hänschen klein in die Welt hinein weite in die Welt hinein weite ging
allein in die Welt hinein weite in die Welt hinein weite ging allein
Hänschen klein
```

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lab: reduce vs. collect (4)

parallel reduce

- uses only one StringBuilder
 - namely the identity value



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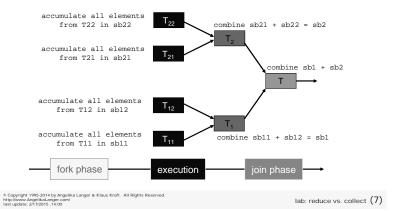
lab: reduce vs. collect (5)

parallel reduce

- execution phase:
 - all threads accumulate into same Stri ngBui I der
- join phase:
 - combines same Stri ngBui I der repeatedly with itself
- realization
 - a parallel *mutating* reduction is neither deterministic nor threadsafe

parallel collect

- uses a new StringBuilder per thread
 - the one that the supplier creates



parallel collect

- execution phase:
 - each thread accumulates into a different Stri ngBui I der
- join phase:
 - combines the different Stri ngBui I ders in correct order
- realization
 - a parallel collect is both deterministic and thread-safe

point to take home

- reduce() is for *non-mutating* reduction
- collect() is for mutating reduction
- difficult to understand
 - without knowing the underlying algorithms
- only hint is in the javadoc of collect():
 - Performs a mutable reduction operation on the elements of this stream. A mutable reduction is one in which the reduced value is a mutable result container ...
 - more information in section on "Mutable Reduction" in javadoc of package j ava. util. stream

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lab: reduce vs. collect (9)

decision chart

goal: reduce all stream elements to one result value



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lab: reduce vs. collect (10)

correct, but highly inefficient approach

- do not mutate the result value
 - create an new Stri ngBui I der in each step

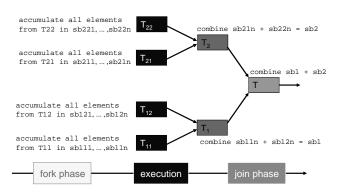
- Stri ngBui I der is effectively treated as an immutable type
- boils down to the inefficient reduction using Stri ng's +-operator

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lab: reduce vs. collect (11)

parallel reduce

• creates a new StringBuilder for each accumulation step (and for each combination step, of course)



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lab: reduce vs. collect (12)

Extensions

Exercise 06.01: Creating a Stream from a char[] Array

Say, you need to create a stream from a given array of type char[]. Class Arrays has several static methods for creating streams from arrays. For instance, you can create an IntStream from an int[] or a Stream<Character> from a Character[]. But there is nothing to create a stream from a char[].

To make up for this deficiency, build a stream from a char[] as underlying stream source. Consider several approaches:

- # 1 Implement an Iterator<Character> and use the factory method Spliterators.spliterator() to create a spliterator from this iterator. Use this spliterator to create a Stream<Character> backed by the char[] as underlying stream source.
- # 2 Similar to the approach above: Implement an iterator of type Primitivelterator.Oflnt and use it to create an IntStream backed by the char[] as underlying stream source.
- # 3 Implement a Spliterator.OfInt from scratch and use it to create an IntStream backed by the char[] as underlying stream source. Hint: Copy the source code of Spliterators.IntArraySpliterator and change the type of the underlying array from int[] to char[].

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- #4 Implement a Spliterator.OfInt by deriving from Spliterators. AbstractIntSpliterator and implement the abstract tryAdvance() method. Use the newly defined spliterator type to create an IntStream backed by the char[] as underlying stream source. Hint: Use the same implementation technique as in approach #3, i.e., copy the source code of Spliterators.IntArraySpliterator and change the type of the underlying array from int[] to char[].
- #5 Same as above, but this time do not inherit the spliterator's forEachRemaining() method, instead override it. Hint: Use the same implementation technique as in approach #3, i.e., copy the source code of Spliterators.IntArraySpliterator and change the type of the underlying array from int[] to char[].

Compare the (sequential and parallel) performance of the various approaches by means of a benchmark.

Lambdas

Stream for char[]

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Trainer/Consultant

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lab: stream backed by char[]

- build a stream from a char[]
- several approaches
 - build I terator < Character>
 create spliterator from iterator (via Spliterators. spliterator())
 create Stream < Character> from spliterator
 - similar as above: build Pri mi ti vel terator. Of Int create spliterator from iterator create IntStream from spliterator
 - build Spliterator. OfInt from scratch create IntStream from spliterator (hint: copy source code of IntArraySpliterator and change int[] to char[])
 - 4. build Spliterator.OfInt by deriving from AbstractIntSpliterator i.e. implement abstract tryAdvance() create IntStream from spliterator
 - 5. same as above, but this time override spliterator's for EachRemai ni ng() method

lab: stream for char[] (2)

#1: with Iterator < Character >

build I terator<Character>

```
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```

lab: stream for char[] (3)

#1: with Iterator<Character> (cont.)

- create spliterator from iterator
 - characteristics SIZED and SUBSIZED are automatically added
- create Stream<Character> from spliterator

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lab: stream for char[] (4)

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#2: with Primitive I terator. Of Int

• build Primitive Iterator. Of Int

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lab: stream for char[] (5)

#2: with PrimitiveIterator.OfInt (cont.)

- create spliterator from iterator
 - characteristics SIZED and SUBSIZED are automatically added
 - no longer NONNULL due to primitive type
- create IntStream from spliterator

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lab: stream for char[] (6)

#3: Spliterator. Of Int from scratch

- build Spli terator. Of Int from scratch
 - copy source of Spliterators. IntArraySpliterator+ change int[] to char[]

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lab: stream for char[] (7)

#3: Spli terator. Of Int from scratch (cont.)

• create IntStream from spliterator

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lab: stream for char[] (8)

#4: derive from AbstractIntSpli terator

- build Spli terator. Of Int by deriving from AbstractIntSpli terator
 - i.e. implement abstract tryAdvance()
 - copied from Spliterators. IntArraySpliterator

```
class CharArrayDeri vedSpliterator
extends Spliterators. AbstractIntSpliterator
implements Spliterator.OfInt {
 private final char[] array;
 private int index;
                             // current index, modified on advance/split
 private final int fence; // one past last index
 public CharArrayDerivedSpliterator(char[] array,
                                      int additional Characteristics) {
   ... as before ...
 public boolean tryAdvance(IntConsumer action) {
   if (action == null) throw new NullPointerException();
   if (index >= 0 && index < fence) {
       action.accept(array[index++]);
      return true;
   return false;
```

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lab: stream for char[] (9)

#5: override forEachRemaining()

- derive from AbstractIntSpliterator
 - and override forEachRemai ni ng()
 - copied from Spli terators. IntArraySpli terator

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lab: stream for char[] (10)

benchmark

<pre>int[] - IntStream (JDK with Arrays.stream(), int[] - IntStream (JDK with Arrays.stream()),</pre>	seq: par:	0.56 ms 0.32 ms
Character[] - Stream <character> (JDK with Arrays.stream()), Character[] - Stream<character> (JDK with Arrays.stream()),</character></character>	seq: par:	0.61 ms 0.33 ms
char[] - Stream <character>:#1 (spliterator from Iterator<character>), char[] - Stream<character>:#1 (spliterator from Iterator<character>),</character></character></character></character>	seq: par:	77.50 ms 253.94 ms
char[] - IntStream: #2 (spliterator from Primitivelterator.OfInt), char[] - IntStream: #2 (spliterator from Primitivelterator.OfInt),	seq: par:	0.58 ms 18.35 ms
char[] - IntStream: #3 (Spliterator.OfInt from scratch), char[] - IntStream: #3 (Spliterator.OfInt from scratch),	seq: par:	0.60 ms 0.33 ms
char[] - IntStream: #4 (derived from AbstractIntSpliterator), char[] - IntStream: #4 (derived from AbstractIntSpliterator),	seq: par:	56.72 ms 33.90 ms
char[] - IntStream: #5 (derived w/ forEachRemaining()), char[] - IntStream: #5 (derived w/ forEachRemaining()),	seq: par:	0.60 ms 20.32 ms

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lab: stream for char[] (11)

benchmark

```
      Int[] - IntStream (JDK with Arrays.stream(), int[] - IntStream (JDK with Arrays.stream()), seq: 0.32 ms

      Character[] - Stream-Character> (JDK with Arrays.stream()), character[] - Stream-Character> (JDK with Arrays.stream()), par: 0.33 ms

      ...

      char[] - IntStream:#3 (Spliterator.OfInt from scratch), par: 0.33 ms

      ...
```

- equally fast solutions
- not suprising:
 - "spliterator from scratch" based on copy of IntArraySpliterator
- Character[] profits from the fact ...
 - ... that the benchmark does not access the array elements
 - otherwise indirection through reference would cost

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lab: stream for char[] (12)

benchmark

```
char[] - Stream<Character>:#1 (spliterator from Iterator<Character>), seq. 77.50 ms char[] - Stream<Character>:#1 (spliterator from Iterator<Character>), par 253.94 ms char[] - IntStream:#2 (spliterator from Primitivelterator.OfInt), seq. 0.58 ms par: 18.35 ms char[] - IntStream:#2 (spliterator from Primitivelterator.OfInt) seq. 0.58 ms par: 0.58 ms par: 0.58 ms par: 0.60 ms char[] - IntStream:#3 (Spliterator.OfInt from scratch), seq. 0.60 ms par: 0.33 ms ochar[] - IntStream:#3 (Spliterator.OfInt from scratch), seq. 0.33 ms
```

- boxing costs a lot
 - done on each invocation of iterator's next() method
- spliterators created from iterators are slow in parallel case
 - do not split well
 - trySpl i t() copies into an array + returns an ArraySpl i terator

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lab: stream for char[] (13)

benchmark

```
...

char[] - IntStream:#3 (Spliterator.OfInt from scratch), seq: 0.60 ms char[] - IntStream:#3 (Spliterator.OfInt from scratch), par: 0.33 ms

char[] - IntStream:#4 (derived from AbstractIntSpliterator), seq: 56.72 ms char[] - IntStream:#4 (derived from AbstractIntSpliterator), par: 0.33 ms

char[] - IntStream:#5 (derived w/ forEachRemaining()), seq: 0.60 ms char[] - IntStream:#5 (derived w/ forEachRemaining()), par: 0.20.32 ps
```

- derived spliterator
 - $-\ {
 m slow\ with\ default\ for Each Remai\ ni\ ng()}$
 - even in parallel case (due to huge segment per task)
 - better with overriden for EachRemai ni ng()
 - still splits poorly (same as with spliterators created from iterators)

benchmark

```
char[] - IntStream: #2 (spliterator from Primitivelterator.OfInt), char[] - IntStream: #2 (spliterator from Primitivelterator.OfInt), par: (8.35) ns char[] - IntStream: #3 (Spliterator.OfInt from scratch), seq: (0.60 ms char[] - IntStream: #3 (Spliterator.OfInt from scratch), par: (0.33 ms char[] - IntStream: #4 (derived from AbstractIntSpliterator), par 33.90 ms char[] - IntStream: #4 (derived my forEachRemaining()), sqq: (0.60 ms par) (3.39 ms char[] - IntStream: #5 (derived my forEachRemaining()), sqq: (0.60 ms par) (3.29 ms char[] - IntStream: #5 (derived my forEachRemaining()), sqq: (0.60 ms par) (3.29 ms char[] - IntStream: #5 (derived my forEachRemaining()), sqq: (0.60 ms par) (3.29 ms char[] - IntStream: #5 (derived my forEachRemaining()), sqq: (0.60 ms par) (3.29 ms char[] - IntStream: #5 (derived my forEachRemaining()), sqq: (0.60 ms par) (3.29 ms char[] - IntStream: #5 (derived my forEachRemaining()), sqq: (0.60 ms par) (3.29 ms char[] - IntStream: #5 (derived my forEachRemaining()), sqq: (0.60 ms par) (3.29 ms char[] - IntStream: #5 (derived my forEachRemaining()), sqq: (0.60 ms par) (3.29 ms char[] - IntStream: #5 (derived my forEachRemaining()), sqq: (0.60 ms par) (3.29 ms char[] - IntStream: #5 (derived my forEachRemaining()), sqq: (0.60 ms par) (3.29 ms char[] - IntStream: #5 (derived my forEachRemaining()), sqq: (0.60 ms par) (3.29 ms char[] - IntStream: #5 (derived my forEachRemaining()), sqq: (0.60 ms par) (3.29 ms char[] - IntStream: #5 (derived my forEachRemaining()), sqq: (0.60 ms par) (3.29 ms char[] - IntStream: #5 (derived my forEachRemaining()), sqq: (0.60 ms par) (3.29 ms char[] - IntStream: #5 (derived my forEachRemaining()), sqq: (0.60 ms par) (3.29 ms char[] - IntStream: #5 (derived my forEachRemaining()), sqq: (0.60 ms par) (3.29 ms char[] - IntStream: #5 (derived my forEachRemaining()), sqq: (0.60 ms par) (3.29 ms char[] - IntStream: #5 (derived my forEachRemaining()), squ: (0.60 ms par) (3.29 ms char[] - IntStream: #5 (derived my forEachRemaining()), sqq: (0.60 ms par) (3.29 ms
```

- same as with spliterators created from iterators

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lab: stream for char[] (15)

performance tuning

- performance of iterator's forEachRemai ni ng()
 has impact on spliterator's forEachRemai ni ng()
 - platform dependent

default implementation in interface I terator<E>

```
default void forEachRemaining(Consumer<? super E> action) {
  Objects.requireNonNull(action);
  while (hasNext())
    action.accept(next());
}
```

- overhead:
 - two method calls per loop step
 - indirect access to iterator's field via this reference

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lab: stream for char[] (16)

performance tuning (cont.)

- loop only uses local variables
 - enables caching
- do-while-loop faster than while-loop

improved implementation of I terator<Character>

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lab: stream for char[] (17)

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