



adherence barriers to be addressed without new drug resistance emerging.<sup>10</sup> There is little evidence weighing the relative benefits and risks of these different strategies following VF in children. Fairlie et al.<sup>11</sup> compared 4 management strategies following the most recent episode of VF, in an observational study from U.S.-based pediatric cohorts, but no comparative study has yet been done in RLS in sub-Saharan Africa, or following the first episode of VF.

Using causal inference methods and observational data from over 14,000 children in the International epidemiologic Database to Evaluate AIDS (IeDEA) Southern Africa, we compared immunologic and virologic outcomes after VF for those managed with the following strategies: switching to second-line cART, continuing on failing first-line cART, switching to non-cART holding regimens, and discontinuing all antiretrovirals.

METHODS

We included data collected prospectively from 8 IeDEA cohorts in South Africa. IeDEA is a multi-regional collaboration of HIV cohort studies.<sup>12</sup> Each study site had institutional ethical approval to contribute data to IeDEA analyses. Children (< 16 years) initiating cART from 2004 to 2010, with documented VF after at least 6 months on cART were included. Final database closure was June 2012.

All study sites are part of the South African national ART program where virologic monitoring is routinely used. Table 1 provides details of the recommended first-line regimens during the study period.<sup>13–15</sup> The recommended second-line regimen before 2010 was to switch from an efavirenz-based to a lopinavir/ritonavir (LPV/r)-based regimen and switch at least 1 nucleoside reverse transcriptase inhibitor (NRTI). Before 2010, children failing protease-inhibitor (PI)-based first-line were switched to non-nucleoside reverse transcriptase inhibitor (NNRTI)-based cART. From 2010, guidelines recommended specialist referral for children failing PI-based regimens as they require resistance testing and potentially integrase inhibitors, second generation PIs or NNRTIS such as darunavir and etravirine.<sup>13</sup>

We defined VF as having at least 2 consecutive viral loads (VL) > 1000 copies/ml, measured at least 1 month and less than 1 year apart. The date of VF was the date of the second unsuppressed VL. We considered only the first episode of VF.

We defined cART as comprising at least 3 antiretroviral drugs from at least 2 different drug classes. We compared 4 management strategies:

- Remaining on a failing first-line regimen, with at most 1 single drug substitution not constituting a drug class change.
- Switching to second-line: changing at least 1 drug including a change in drug class.
- Switching to a holding regimen: a regimen not meeting the definition of cART, such as 1 or more drugs from a single class, or only 2 drugs from 2 drug classes.
- Treatment interruption (TI): discontinuing all antiretroviral drugs.

The outcomes were the CD4% and log<sub>10</sub> VL slope, which were calculated at each time point after VF with a recorded CD4% or log<sub>10</sub> VL measure, as the difference between the current CD4%/log<sub>10</sub> VL and the CD4%/log<sub>10</sub> VL at VF, divided by the time in weeks between these 2 measurements. Patients were censored for death, transferring care or loss to follow-up (9 months without a recorded clinic visit before database closure).

Outcomes were modeled based on follow-up time on each strategy. Initially, we derived unweighted linear regression models for each outcome, adjusting for management strategy (allowing a 4-week lag to ensure the outcome reflected the appropriate strategy), covariates at VF, and weeks since failure. To estimate the effect of management strategy on the outcomes we adjusted for the time-dependent confounders VL and CD4%, which may have influenced both clinician choice of management strategy and the respective outcome, using inverse probability of treatment weighting of marginal structural linear models.<sup>16</sup> To estimate the inverse probability of treatment weighting, we fitted a pooled multinomial logistic regression model for management strategy choice until a switch to second-line was made.<sup>17</sup> We used different model specifications, based on in/exclusion of variables, interaction terms, and the functional form of the variables. All models used stabilized weights as previously described.<sup>17</sup> We chose the final model based on Bayesian model averaging and the stability of the weights.<sup>18</sup> The final marginal structural model was a linear model with the slope of CD4% or log<sub>10</sub> VL (from VF to the current time point) as the outcome, weights to adjust for the confounding, and conditional on time and covariates at VF, including immune suppression, age, VL, being on a PI-based regimen and level of care. The stabilized weights had a mean of 1.008, minimum of 0.000924 and maximum of 12.2686.

RESULTS

Across the 8 sites, 1,347 (19%) of 7,053 children with recorded VL measurements on cART experienced VF, and 365 were excluded due to incomplete CD4% and regimen data. The final dataset comprised 982 children (46% female), with median age at VF of 6.1 years (interquartile range [IQR], 2.7–10.7), and median duration on cART of 1.4 years (Table, Supplemental Digital Content 1, <http://links.lww.com/INF/D231>). Median time between first and second consecutive VL > 1000 was 90 days (IQR, 66–140). Comparing patients based on their first change in management strategy, 557 (57%) remained on failing regimens throughout follow-up, 335 (34%) switched to second-line, 25 (3%) switched to holding regimens and 65 (7%) interrupted treatment. Most patients (95%) changed strategies only once. Among those switched to holding regimens, 80% were placed on NRTI-only regimens. Children switched to second-line were older, had lower median CD4% at cART start, at failure and lower nadir CD4%. Few patients (32/398, 8%) on PI-based first-line were switched to NNRTI-based second-line.

At study closure, 9 (1%) patients had died (all having remained on their failing regimen), 216 (22%) transferred care,

TABLE 1. Standard First-line Antiretroviral Therapy Regimens for HIV-infected Children in South Africa 2004–2012

| Patient characteristic                     | Before 2007                      | 2007–2010                                | After 2010                              |
|--|----------------------------------|--|---|
| Co-infected with tuberculosis              | Stavudine, Lamivudine, Ritonavir | Stavudine, Lamivudine, LPV/r + Ritonavir | Abacavir, Lamivudine, LPV/r + Ritonavir |
| Less than 6 mo old                         | Stavudine, Lamivudine, Ritonavir | Stavudine, Lamivudine LPV/r              | Abacavir, Lamivudine, LPV/r             |
| Less than 3 y old or less than 10 kg       | Stavudine, Lamivudine, LPV/r     | Stavudine, Lamivudine, LPV/r             | Abacavir, Lamivudine, LPV/r             |
| Older than 3 years old and more than 10 kg | Stavudine, Lamivudine, Efavirenz | Stavudine, Lamivudine, Efavirenz         | Abacavir, Lamivudine, Efavirenz         |

LPV/r: Lopinavir/ritonavir.

90 (9%) were lost to follow-up (88 having remained on their failing regimen, 1 after switch to second-line and 1 after TI), and 667 (68%) remained in care at their original treatment site. Figure 1 shows management strategies at 5 points during the 52-week study period, 73% of follow-up time was spent on failing regimens, 24% on second-line, 1% on holding regimens, and 2% interrupting treatment. Median time to first change in strategy was 168 days (IQR, 79–365), with median time from VF to second-line 115 days (IQR, 62–203), to holding regimens 90 days (IQR, 30–154), and to TI 123 days (IQR, 52–259).

Choice of Management Strategy

Choice of management strategy was strongly associated with type of regimen at failure. Compared with those on PI-based first-line, those on NNRTI-based regimens were more likely to

switch strategies than remain on failing first-line (Table 2). Those with the highest VL at VF (VL > 50,000 copies/ml), were more likely to interrupt treatment (aHR, 5.9; 95% CI, 2.5–13.8). Having a mid-range VL at VF (10,000–50,000 vs. 1,000–5,000 copies/ml) was associated with switching to a holding regimen (aHR, 2.7; 95% CI, 1.1–7.0), indicating clinician preference to switch partially adherent patients to this strategy.

Outcomes: Change in CD4% and VL

Figure 2A, B shows the model estimates for the difference in mean 52-week change in CD4% and log10 VL after VF, relative to remaining on a failing regimen and switching to second-line, respectively. The final weighted model indicates that switching to second-line, even after operational delay, results in increases in CD4% and declines in VL compared with remaining on a failing regimen. Switching to a

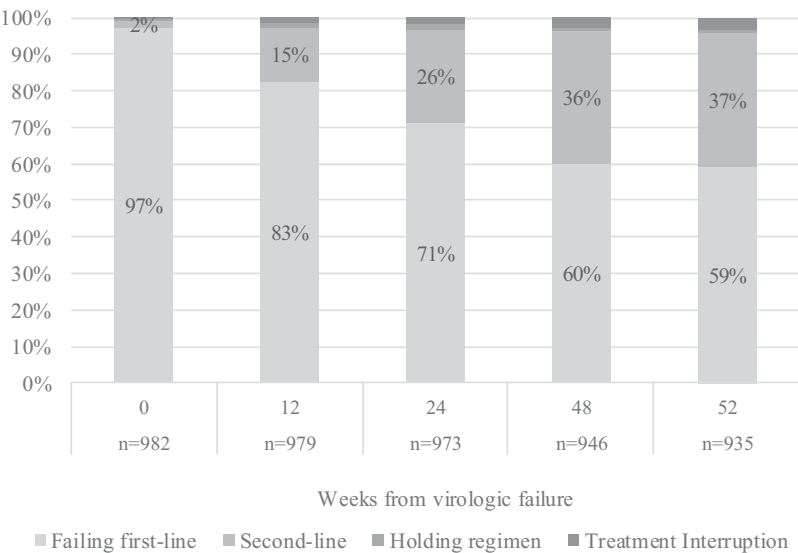
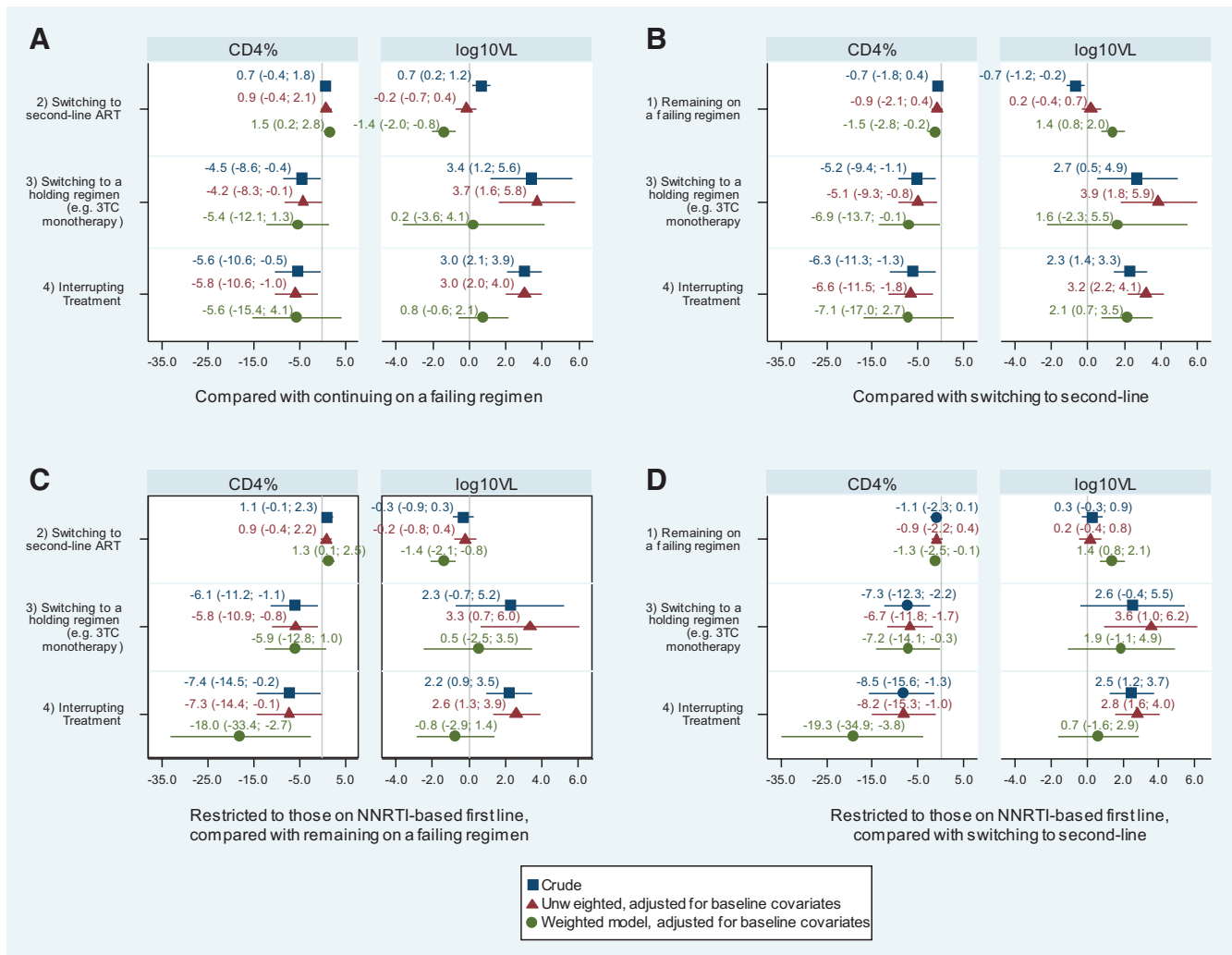


FIGURE 1. Management strategies followed from virologic failure during follow-up.

TABLE 2. Associations with Management Strategy Choice in Children with VF, Relative to Remaining on a Failing Regimen

Table with 4 columns: Characteristics at Virologic Failure, Switch to Second-line, Switch to Holding Regimen, and Treatment Interruption. It lists various characteristics like Type of first-line regimen, Gender, Calendar year of ART start, and Viral load at failure, along with their corresponding aHR (95% CI) values.

\*Hazard ratios derived from pooled unweighted multinomial logistic regression fit on subsample of person-weeks of follow-up during which a switch to new regimen had not yet occurred. aHR, adjusted hazard ratio.



**FIGURE 2.** Estimated change in CD4% and log viral load at 12 months after VF from crude, unweighted and weighted generalized linear models. [full color online](#)

holding regimen or interrupting treatment results in declines in CD4% and increases in VL, albeit with wide confidence intervals.

We conducted a separate analysis restricted to children on NNRTI-based first-line, for whom alternative regimens at the time were more robust than for those failing PI-based first-line (Fig. 2C, D). Overall, the results were similar except the estimated declines in CD4% for those interrupting treatment compared with switching to second-line were significant and much larger than for all patients. When we compared switching to a holding regimen relative to TI we found that those interrupting treatment experienced bigger declines in CD4% (-12.1; 95% CI, -29.1; 4.9). Due to small numbers switching to second-line NNRTI from a PI-based first-line, we were unable to conduct a restricted analysis for this group.

Estimates were stable in sensitivity analyses exploring alternative model specifications, with estimates for holding regimens or TI being more sensitive to model specifications given their smaller sample sizes (not published).

## DISCUSSION

This collaborative analysis of almost 1000 children with VF from 8 South African cohorts showed that switching to second-line

cART results in the best immunologic and virologic outcomes. The relative immunologic benefits and risks of using holding regimens was quantified; for patients with VF on NNRTI-based first-line, switching to a holding regimen may be better than TI, but has worse outcomes than remaining on failing first-line, while switching to LPV/r-based second-line cART, if available and where adherence is addressed, has the best outcomes.

To our knowledge, this is the first study comparing management strategies for children with VF from sub-Saharan Africa, where the burden of pediatric HIV is highest, and treatment options most limited. This study also represents the best comparative data on the use of holding regimens. Our estimates are likely conservative and potentially underestimate the true effect size for 2 reasons: (1) we considered immunologic and virologic trajectories from “baseline” measurements taken at VF; however, most patients first spent time on their failing regimen before switching strategies; (2) we assumed patients were adherent to their assigned strategies, but misclassification of strategy may have occurred, with unrecorded TI being most likely. Since VL monitoring for patients on non-cART regimens is not routinely performed, our virologic results for holding regimens and TI have very wide confidence intervals. Apart from VL as a proxy measure, no adherence data were available.



Adherence is likely a key consideration of management strategy choice and hence there may be unmeasured residual confounding. We had no data on drug resistance mutations, and could not assess its effect on immunologic and virologic trajectories. Remaining on failing NNRTI-based cART, runs a higher risk of accumulating drug resistance<sup>19</sup> compared with remaining on failing PI-based cART, where resistance is less likely unless there has been exposure to unboosted PI treatment.<sup>20</sup> Outcomes of remaining on failing regimens may therefore differ depending on regimen.

Our results are largely in agreement with the study by Fairlie et al.,<sup>11</sup> which was of similar design. They found switching to new cART had better immunologic outcomes compared with remaining on a failing regimen, with TI having the worst outcomes. Conclusions about their drug-sparing group could not be reached due to small numbers and large variety in the drug-sparing regimens used. Clinician's decision-making of management strategy may not be comparable with our study, since treatment options, availability of resistance testing, and resistance patterns were likely different for the mostly heavily treatment-experienced US-based study population.

Compared with TI, switching to a holding regimen resulted in smaller increases in VL, and for those on NNRTI-based first-line, much smaller immune decline. While there are few comparative studies addressing holding regimens in children and adolescents, there is evidence in adults that LM has better immunologic and virologic outcomes than TI.<sup>21</sup> The IMPAACT P1094 study enrolled children failing non-NNRTI-based cART, and aimed to compare outcomes of those randomized to continue with failing non-NNRTI-based cART versus changing to either lamivudine or emtricitabine monotherapy. The study was discontinued due to lack of enrolment, but the available data indicates greater immune decline for those on holding regimens.<sup>22</sup> Descriptive studies of LM use found that most children experience substantial immune decline and some experience clinical deterioration and recommend avoiding this strategy in those with low CD4 counts, and considering it only while awaiting second- or third-line drugs.<sup>7,23</sup>

Understanding the virologic and immunologic consequences of TI provides a useful benchmark for comparison. Planned TI is recommended in some countries for children to preserve future cART options, avoid long-term ART toxicity and because of difficulties in maintaining adherence.<sup>24</sup> While this approach is severely detrimental in adults, outcomes are better among younger children or those with higher nadir CD4%.<sup>25,26</sup> Unplanned TI, a consequence of poor or non-adherence to treatment, often occurs at a poorer clinical and immunologic state, and increases the risk of AIDS-defining illness and hospitalization.<sup>25</sup> We could not determine the reason for TI in our study, but those interrupting treatment had the highest VL at VF, suggesting unplanned TI in those non-adherent. Several studies among children with planned TI, who were virally suppressed with high CD4, as well as those with unplanned TI, have documented rapid immune decline and virologic rebound in a similar range to our findings,<sup>10,25–28</sup> although estimates have great inter-subject variability.

In conclusion, switching to second-line results in the best immunologic and virologic outcomes for children with VF. Where children are failing NNRTI-based cART and PI-based regimens are accessible, strong consideration should be given to early switch to second-line. Switching to holding regimens should be carefully considered, and reserved for use while awaiting access to suitable regimens. The most appropriate management strategy choice requires consideration of the available future treatment options and current adherence. Our findings inform that choice by quantifying the relative immunologic and virologic costs of delayed switching, holding regimens and TI in comparison to switching to second-line.

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