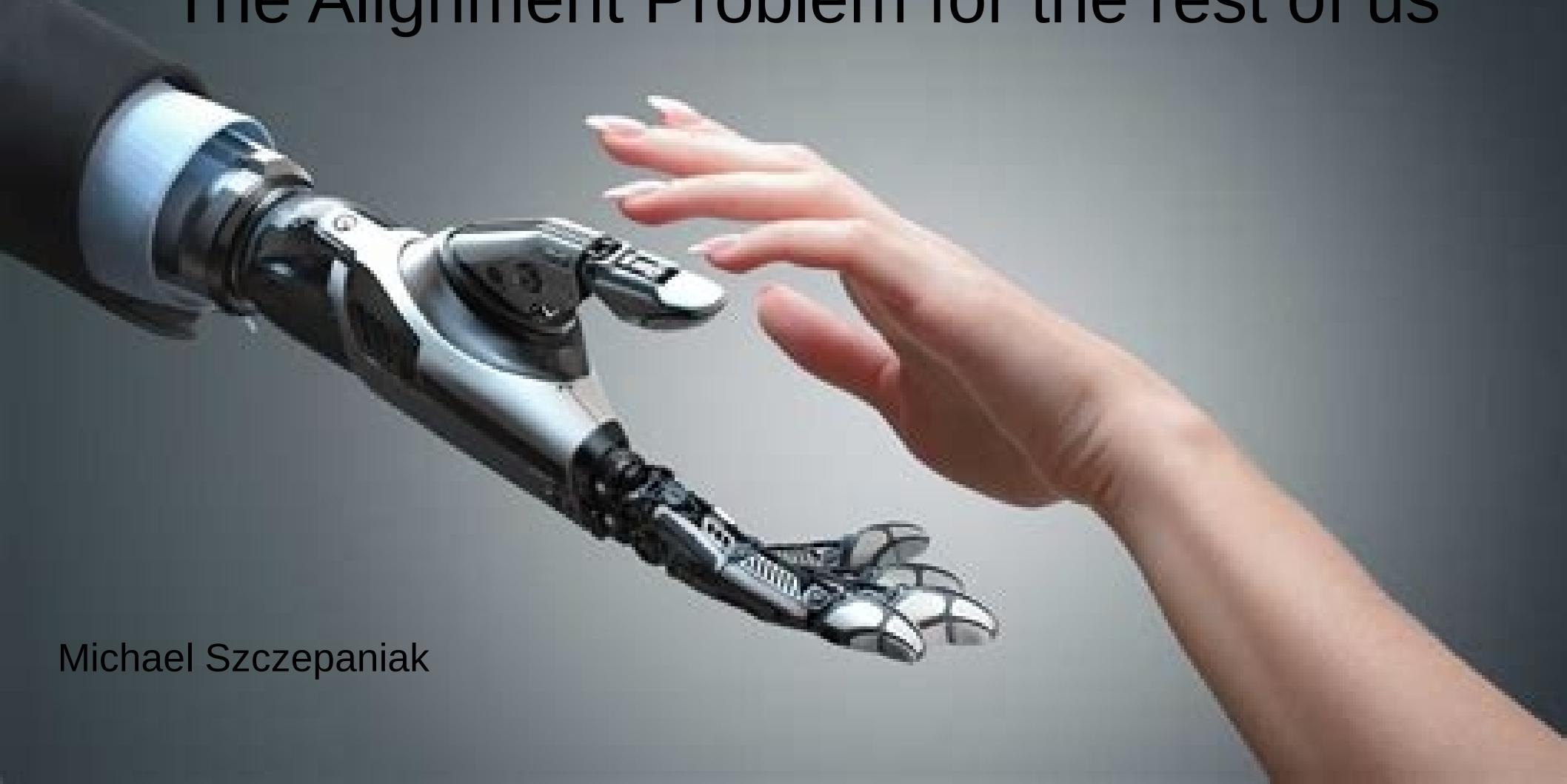


# The Alignment Problem for the rest of us



Michael Szczepaniak

# A little about me...

- Four degrees: 2 BS and 2 MS
  - BS and MS in Chemical Engineering
  - BS Computer Science (Dec 2008)
  - MS Data Science (May 2024)
- 15 years at HP (1988 - 2003)
  - variety of businesses: IC mfg, instruments, ink jet components, workstation systems.
  - variety of engineering roles.
  - Fort Collins ► Colorado Springs ► Corvallis ► Fort Collins
- Software engineer 2008 - 2015
  - Integware (2008 - 2011), Geist (2011 - 2015)
  - both bought by another company
- Canadian Venture Partners (2004 - 2012)
  - Fraud lawsuit 2006 - 2012
  - data intensive evidence base (0.5M records)
  - successful resolution: \$690k settlement
- Pivot to Data Science (2015 - 2017)
  - Johns Hopkins DS Specialization (Coursera, 2015 - 2016)
  - Teaching Assistant for DS Specialization (2016 - 2017)
  - Numerous other online courses and personal projects
- 6 years at Key Point ► Perspecta ► Peraton (2017 - 2023)
  - First Data Science role
  - Focus: Operations Research
  - Started MS in Data Science 2021
  - Laid off May 2023
- Graduate Student (2021 - 2024)
  - RIT online
  - Capstone project: LLM used for training data augmentation
  - <https://github.com/MichaelSzczepaniak/llmamd>
  - Completed MS Data Science, May 2024
- Post-Graduate (2024 - present)
  - Research, personal projects & continuing education

# Things we'll cover

- Introduction - Motivation and The Players
- Background
- What is the “alignment problem” (AP)?
- What can go wrong?
- Regulation, Mitigation and Preparation  
(Erin will cover in more detail)

# Introduction - Motivation

**Sam Altman, AI's biggest star, sure hopes someone figures out how not to destroy humanity**

Analysis by Allison Morrow, CNN  
4 minute read · Published 5:30 AM EST, Thu December 5, 2024

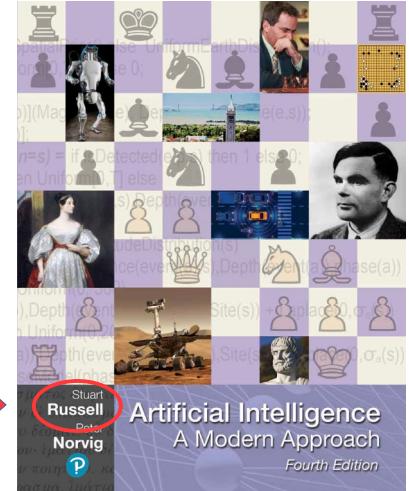
[f](#) [X](#) [m](#) [d](#) 12 comments



*"The development of highly capable AI is likely to be the biggest event in human history. The world must act decisively **to ensure it is not the last event in human history**. This conference, and the cooperative spirit of the AI Summit series, give me hope; but we must turn hope into action, soon, if there is to be a future we would want our children to live in."*

Stuart Russell

IASEAI Conference  
Feb 7, 2025



# Introduction - The Players



AI generated image: <https://deepai.org/machine-learning-model/text2img>

- Cheerleaders (“no time to waste”)
  - Tech giants: NVidia, OpenAI, Anthropic, Google, Microsoft, Apple, Meta,...
  - 1000's of AI start-ups (slide in Appendix)
  - *AI Gold-rush / Arms race is on: Huge business opportunity, survival imperative*
- Doomsayers (“may only get one shot”)
  - Typically and paradoxically: big AI fans!
  - Stuart Russell, Geoffry Hinton, Yoshua Bengio, many, many others...
  - Articulating their perspective is harder

# Cheerleaders vs. Doomsayers

- The potential benefits of AI are enormous. It will be needed to solve some of humanity's most pressing problems.
- Unintended consequences will come up, but we can manage them as they arise as we've always done throughout history.
- If things go really sideways, just “*unplug it*”

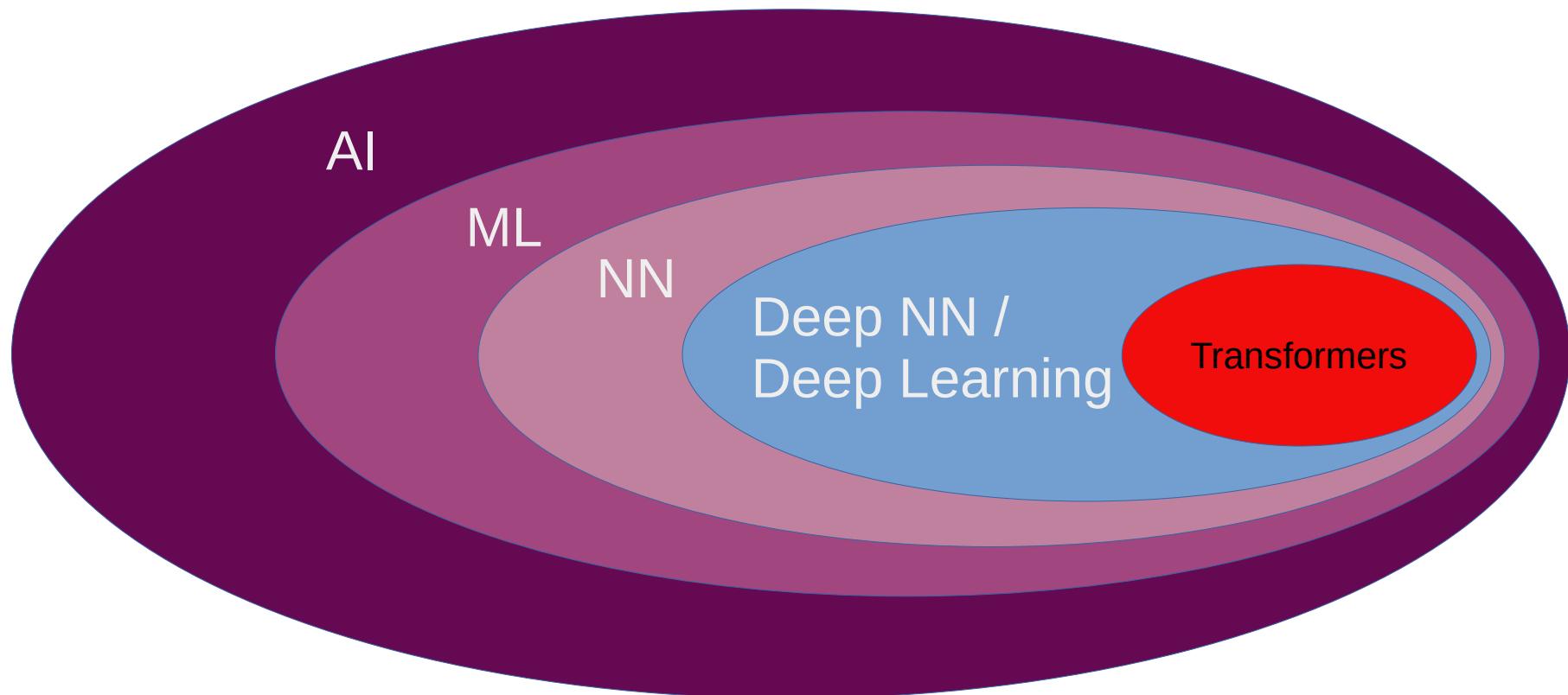


# Cheerleaders vs. Doomsayers



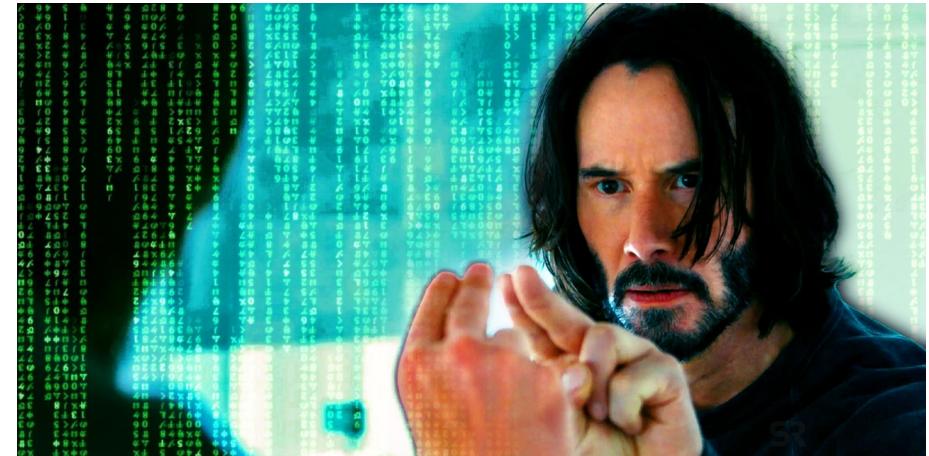
- The pace of change is so rapid that it will be hard for humans, societies and institutions to manage.
  - Will AI be adapted to human biology or vice versa?
  - Humans need rest, food, relationships and opportunity to thrive
  - AI systems can always be ON.
  - Current evidence points to humans having a rather rough ride
- Human may shift so much cognitive load to AI, it may degrade our collective intelligence over time (overreliance problem).
  - learning things is hard work because our brains forget info by default
- When Agentic AI surpasses human intelligence, the idea that we can just "... yank that electricity out of the wall, man." (Obama) will NOT cut it!

# Background - Terminology



# Background - Information

- Information ≠ Truth
  - shapes reality, but lots of noise!
- Encompasses fiction and Truth
- Fiction is easy and cheap
- Truth is hard, expensive, often painful and makes up only a tiny fraction compared to fiction.
- Humans aren't built for truth. We've evolved to be masters of **cooperation** through use of stories (fictions).
- Societies need a balance of Truth and fiction to maintain order.



# Background - What is A.I.?



- Popular buzz word of our time.
- Differs from prior tech by its ability to make decisions (algorithmic), create content (generative) and take actions (agentic) **on its own**
- Printing press allowed humans to communicate ideas to large numbers of other humans more efficiently
  - They were important **new nodes** in the human information network
- **A.I. represent new members** in the human information network
  - They're beyond being just nodes because of their ability to act independently from humans

# Consciousness & Intelligence

- Consciousness ≠ Intelligence
- Consciousness
  - difficult (maybe impossible) to prove scientifically
  - practical definition: ability to feel (suffer)
- Intelligence
  - many different kinds
    - musical, mathematical, emotional, etc.
  - practical definition: ability to pursue and obtain a goal
    - the higher the intelligence, the higher the capability to achieve difficult goals
- Humans have both, A.I. systems currently have non-conscious intelligence

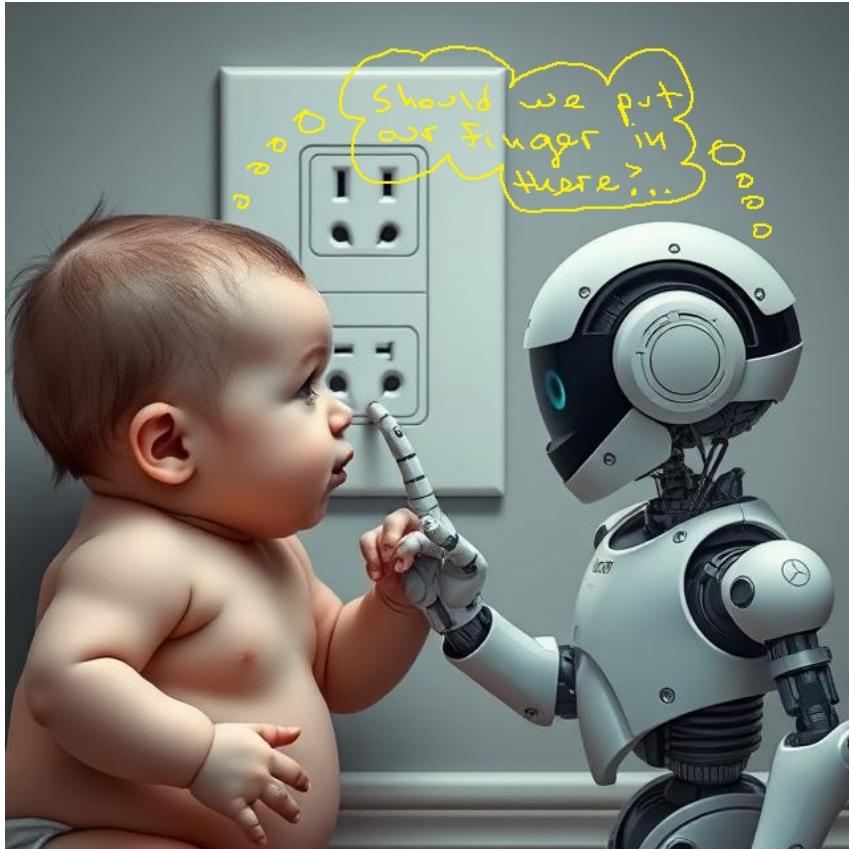


# What is “AI Alignment”?



- Ensuring that an AI system **aligns** with the values and intentions of the person or org deploying the system
- “...alignment aims to steer AI systems toward a person's or group's intended goals, preferences, or ethical principles”  
[https://en.wikipedia.org/wiki/AI\\_alignment](https://en.wikipedia.org/wiki/AI_alignment)
- “Artificial intelligence (AI) alignment is the process of encoding human values and goals into AI models to make them as helpful, safe and reliable as possible.”  
<https://www.ibm.com/think/topics/ai-alignment>
- MOVING TARGET!
  - pre-LLM: algorithmic bias, interpretability
  - post-LLM: pre-LLM + *all the stuff that can go wrong as a result of understanding human language*

# What can go wrong?



- ARC TaskRabbit experiment (2023)
- Social Credit Systems
  - E.g. Nosedive (Black Mirror 2016)
  - China is currently experimenting with
- Cognitive impacts
  - Reversal of Flynn Effect (Oakley et al., May 2025)
  - Social media impact on teens (Haidt, March 2024)
- Amplification of Human vices and societal problems
  - Rohingya genocide in Myanmar
  - 2025-06-12 Meta sues CrushAI
  - Inequality in all forms
- The public conversation needed for a healthy democracy can be (and has been) stressed
- Autonomous weapon systems

# AI needs a leash... (not guardrails)

- Guard rails require anticipating problematic behavior
- Can work in very limited domains, but...
- In general, there are too many ways to get into trouble



# Regulation, Mitigation & Preparation



- TAKE IT DOWN Act (Federal, May 2025)
  - It's a start...
- CO AI Law
  - focus on 8 areas
  - addresses algorithmic bias in consequential areas
- Proposed 10 year moratorium on state-level AI regulation
  - VERY dangerous! Ten years in this space is like 100 years in others...
  - Concerns on both sides of the aisle on this issue, but unclear how many Rep's are concerned enough to remove
- Have a code word between love ones
- Stay curious, engaged and get help from people like Erin

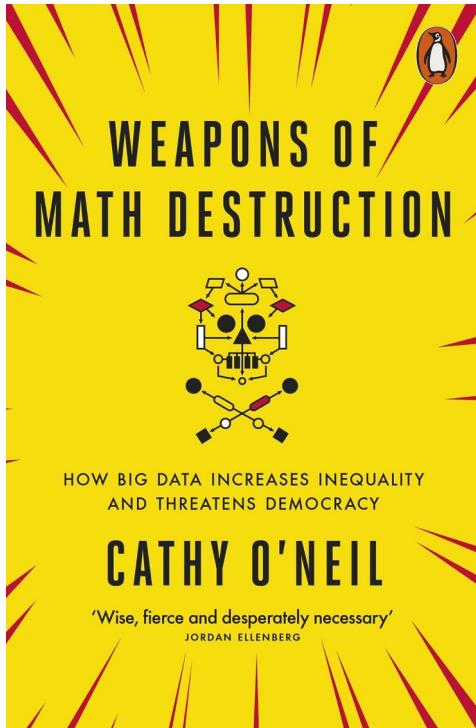
# Summary

- Big players #1 priority is developing capabilities as fast as possible
- Safety is important, but not primary
  - Hope “someone” figures out the safety problem (See Altman quote)
- AI alignment minimizes unintended consequence arising from a mismatch between machine goals and human values.
  - ... but humans don’t share the same set of values: Israelis & Palestinians, Russians & Ukrainians, Democrats and Republicans...
- Information ≠ Truth
  - Naive view that we only need more information is dangerous and wrong
  - Fiction is cheap and often easy. Truth is expensive and often painful.
  - Stable societies need a balance of Truth and fiction
- Consciousness ≠ Intelligence
- Conscious beings feel things
- Intelligent beings know how to take action to achieve goals
- Humans are both conscious and intelligent.
- Humans are more story-driven than truth-drive

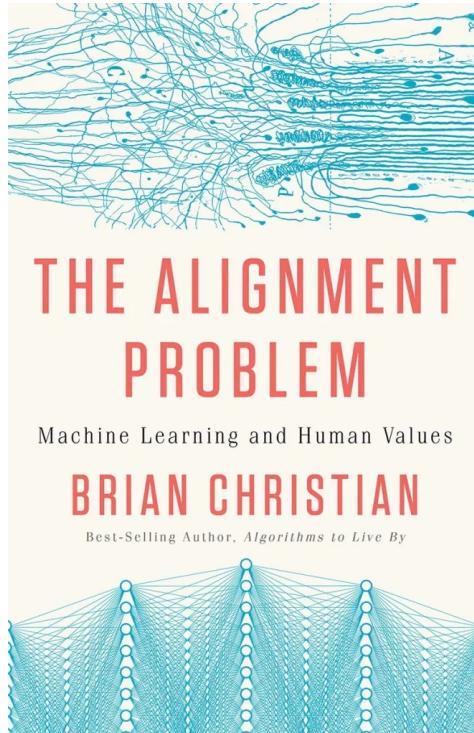
# Summary (cont.)

- AI agents (so far) are only intelligent.
- AI brings the power to greatly amplify capabilities in many areas of human endeavor
  - What will we amplify?
- A lot has already gone wrong
  - Social media impact on teens (The Anxious Generation - Haidt)
  - Rohingya genocide in Myanmar
  - ARC TaskRabbit experiment 2023
- Currently proposed 10 yr. moratorium on state-level AI regulation is extremely dangerous
- Prepare
  - Stay engaged: read, talk to friends, come to meetings like these!
  - Ask for help from knowledgeable people.
  - Have secret code word with loved ones.

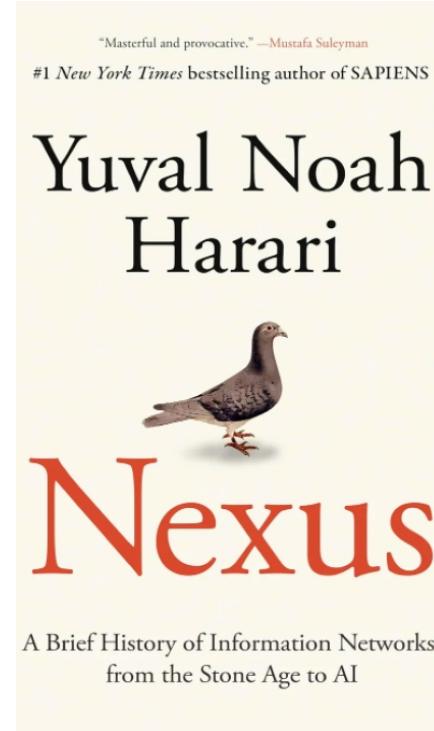
# Recommended Reading



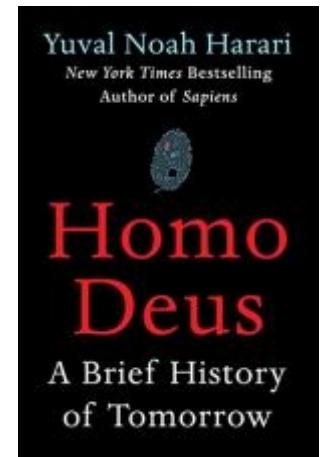
2016



2020



2024



# Appendices

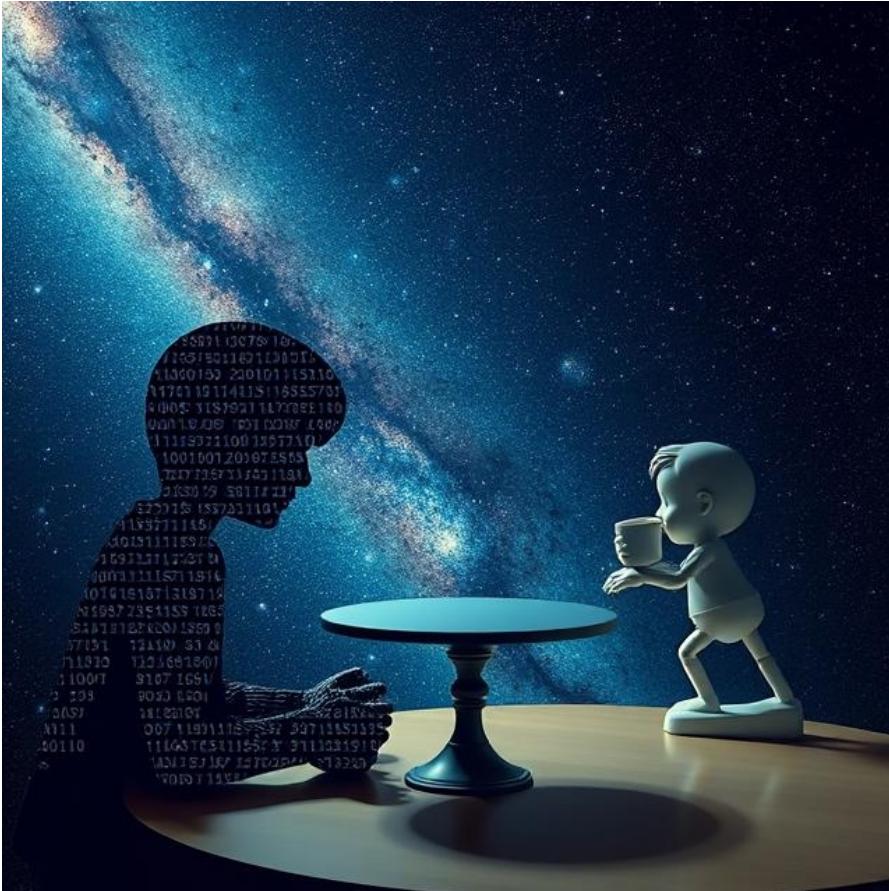
- How the world feels to me...
- Information, Truth and Reality
- AI startups world-wide 2023

# What it feels like to me...

- Computers empower AI **defensive** weapon systems and scientific insights on the climate, vaccines, etc.
- Computers empower AI **offensive** weapon systems and amplify misinformation on climate, vaccines, etc.
- Human became the rulers of earth because we cooperate better than any other species. We've seem to have forgotten our superpower...



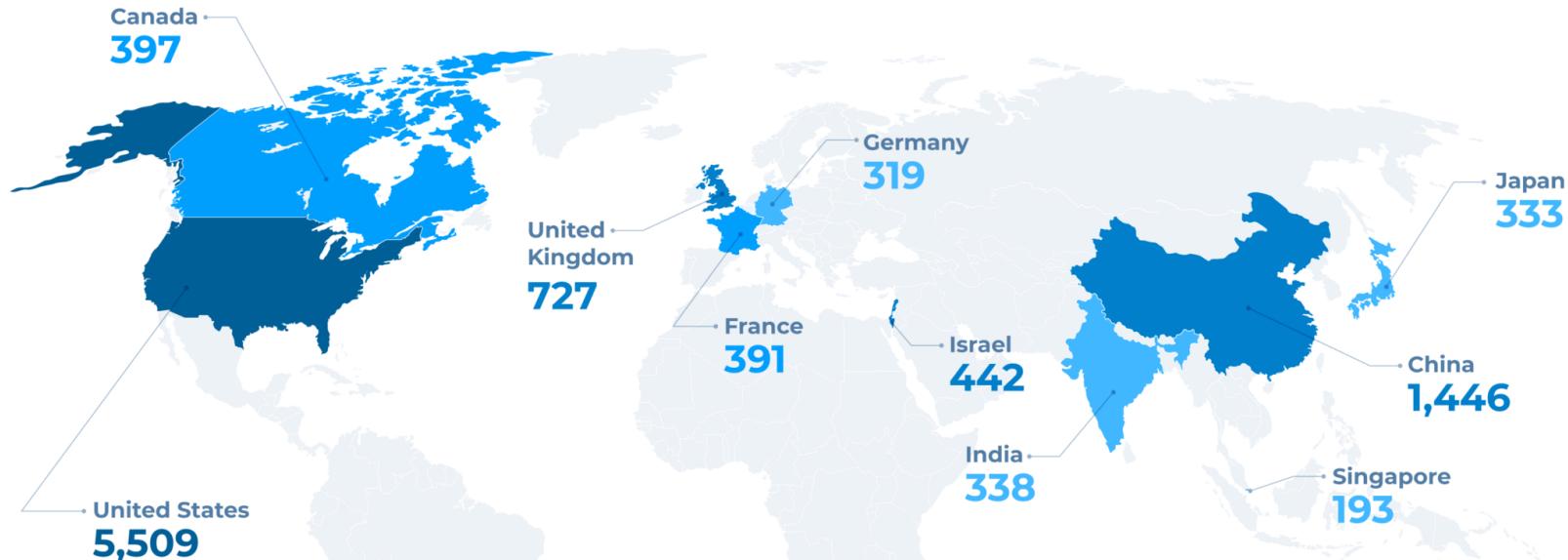
# Information, Truth & Reality



- Information
  - can be fiction, factual, some blending of both
  - shapes reality: belief in a narrative drives behavior
- Truth
  - things that are not false, describes reality accurately but incompletely
  - useful to the extent of what is included and what is left out
- Reality
  - too vast, all attempts to describe are incomplete
  - universal, but contains many perspectives
  - perspectives shaped by information (culture, physiology, etc.)
  - Humans have lived within the dreams of other humans. This may shift to the dreams of an Alien Intelligence.

# AI Startups Worldwide (2023)

## AI Startups by Country



Source: Quid (2023)

\*Newly funded AI startups that secured over \$1.5 million in private investment between 2013 and 2023.