

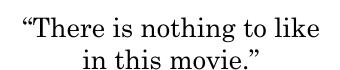
Why sequence models?

Examples of sequence data

Speech recognition

"The quick brown fox jumped over the lazy dog."







Sentiment classification

DNA sequence analysis -> AGCCCCTGTGAGGAACTAG

AGCCCCTGTGAGGAACTAG

Machine translation

Voulez-vous chanter avec moi?

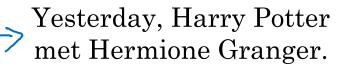
Do you want to sing with me?

Video activity recognition



Running

Name entity recognition



Yesterday, Harry Potter met Hermione Granger.

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### Notation

# Motivating example

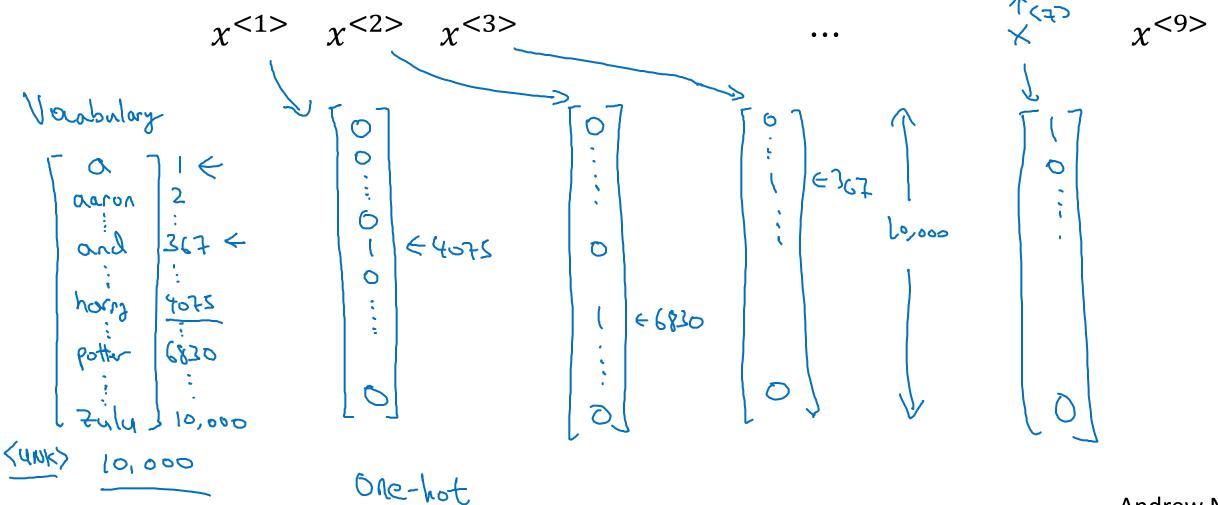
NLP

Harry Potter and Hermione Granger invented a new spell. Tx = 9 Y (2) Y (2)  $\rightarrow$  4.  $\times$  (i)<t>  $T_{X}^{(i)} = 9$ 

# Representing words



x: Harry Potter and Hermione Granger invented a new spell.



## Representing words

x: Harry Potter and Hermione Granger invented a new spell.

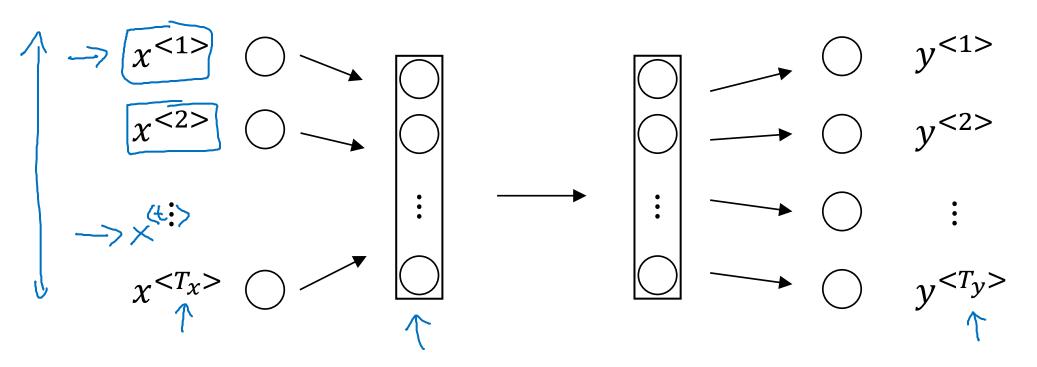
$$\chi$$
<1>  $\chi$ <2>  $\chi$ <3> ...  $\chi$ <9>

And = 367 Invented = 4700 A = 1 New = 5976 Spell = 8376 Harry = 4075 Potter = 6830 Hermione = 4200 Gran... = 4000



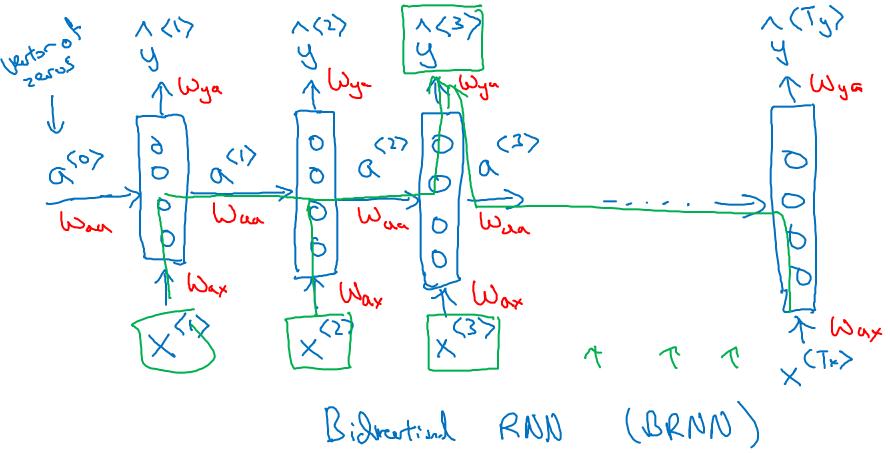
# Recurrent Neural Network Model

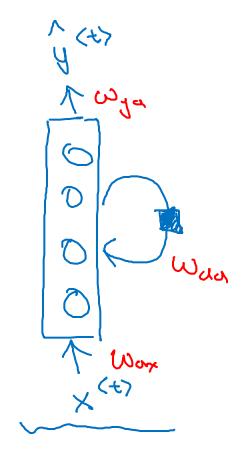
### Why not a standard network?



#### Problems:

- Inputs, outputs can be different lengths in different examples.
- > Doesn't share features learned across different positions of text.





He said, "Teddy Roosevelt was a great President."

He said, "Teddy bears are on sale!"

Forward Propagation a - Wax x  $(a^{<1})$  $a^{<T_{\chi}-1>}$  $a^{(0)} = \vec{b}$ .  $a^{(1)} = g_1(W_{00} a^{(0)} + W_{00} x^{(1)} + b_0) \in tonh | Rely$   $a^{(0)} = \vec{b}$ .  $a^{(1)} = g_1(W_{00} a^{(0)} + W_{00} x^{(1)} + b_0) \in signoid$ act = g(Waa act-1) + Wax x + ba)

g(t) = g(Wya act) + by)

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Simplified RNN notation

$$a^{} = g(\underbrace{W_{aa}} a^{} + \underbrace{W_{ax}} x^{} + b_a)$$

$$\hat{y}^{} = g(\underbrace{W_{ya}} a^{} + b_y)$$

$$\hat{y}^{} = g(\underbrace{W_{ya}} a^{} + b_y)$$

$$\hat{y}^{} = g(\underbrace{W_{ya}} a^{} + b_y)$$

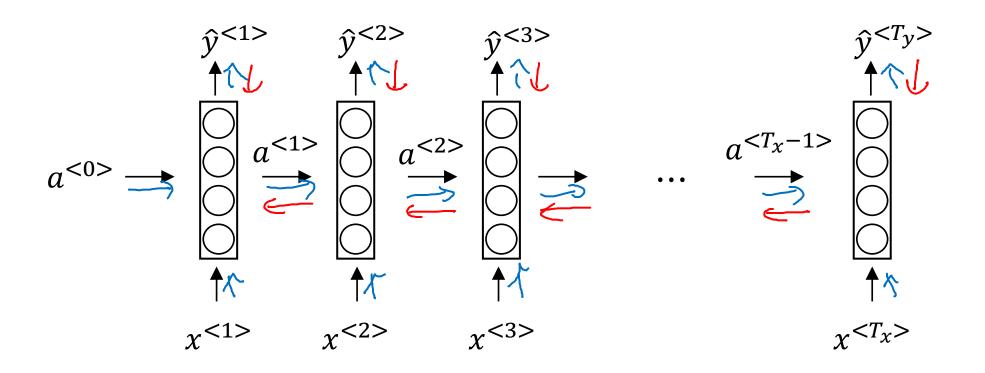
$$\hat{y}^{

$$\hat{y}^{$$

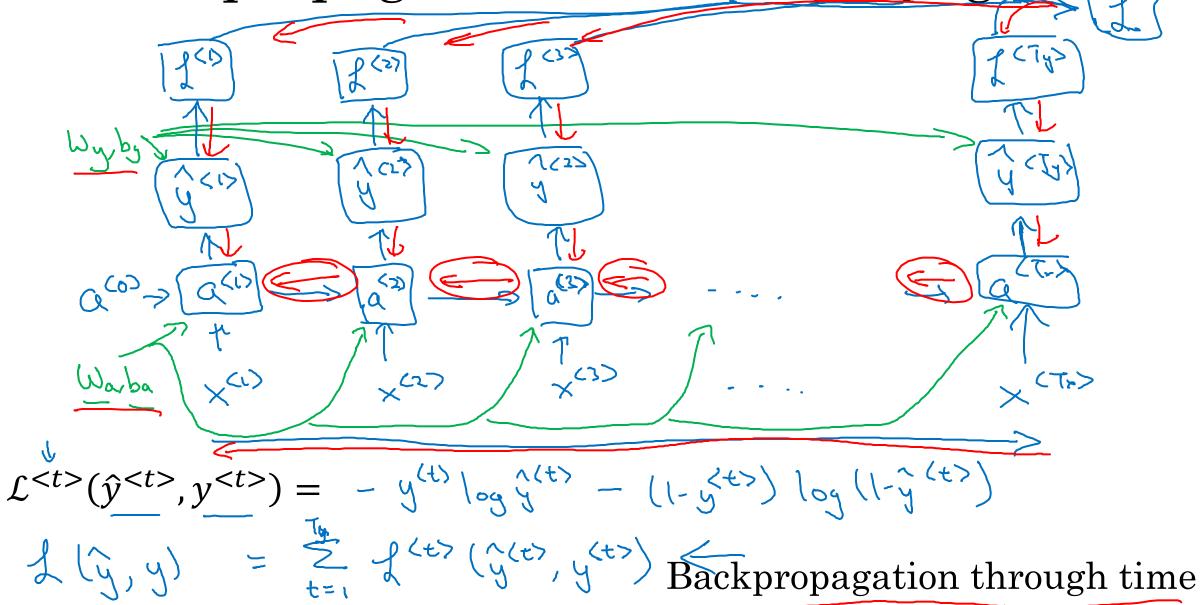


# Backpropagation through time

### Forward propagation and backpropagation



Forward propagation and backpropagation





# Different types of RNNs

## Examples of sequence data

Speech recognition

Music generation

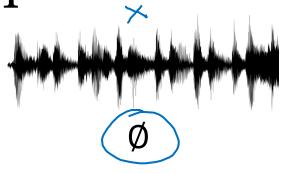
Sentiment classification

DNA sequence analysis

Machine translation

Video activity recognition

Name entity recognition



"There is nothing to like in this movie."

AGCCCCTGTGAGGAACTAG

Voulez-vous chanter avec



Yesterday, Harry Potter met Hermione Granger. "The quick brown fox jumped over the lazy dog."



AGCCCCTGTGAGGAACTAG

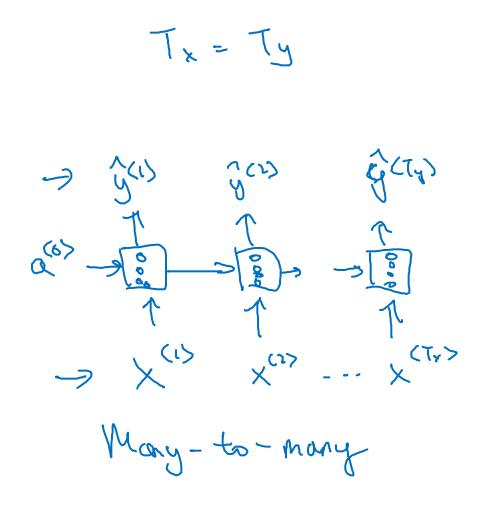
Do you want to sing with me?

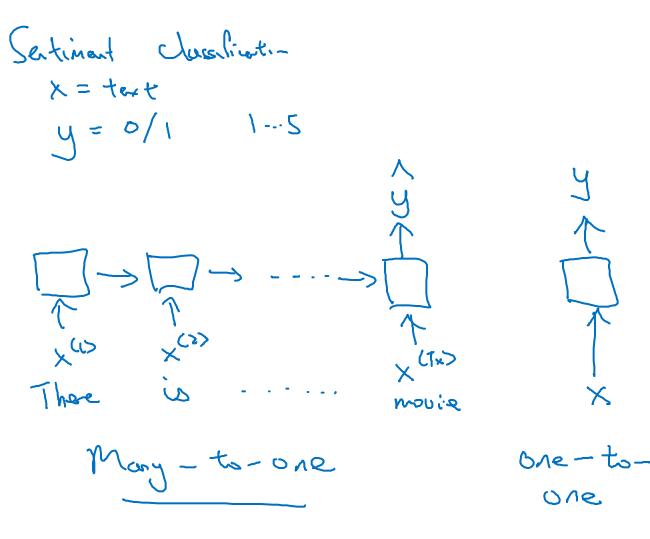
Running

Yesterday, Harry Potter met Hermione Granger.

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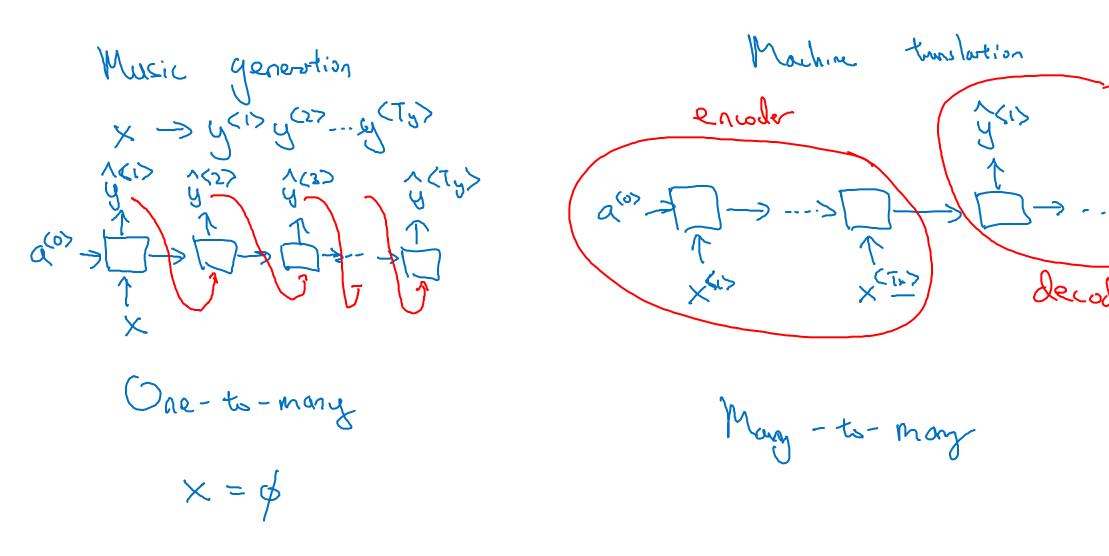
### Examples of RNN architectures



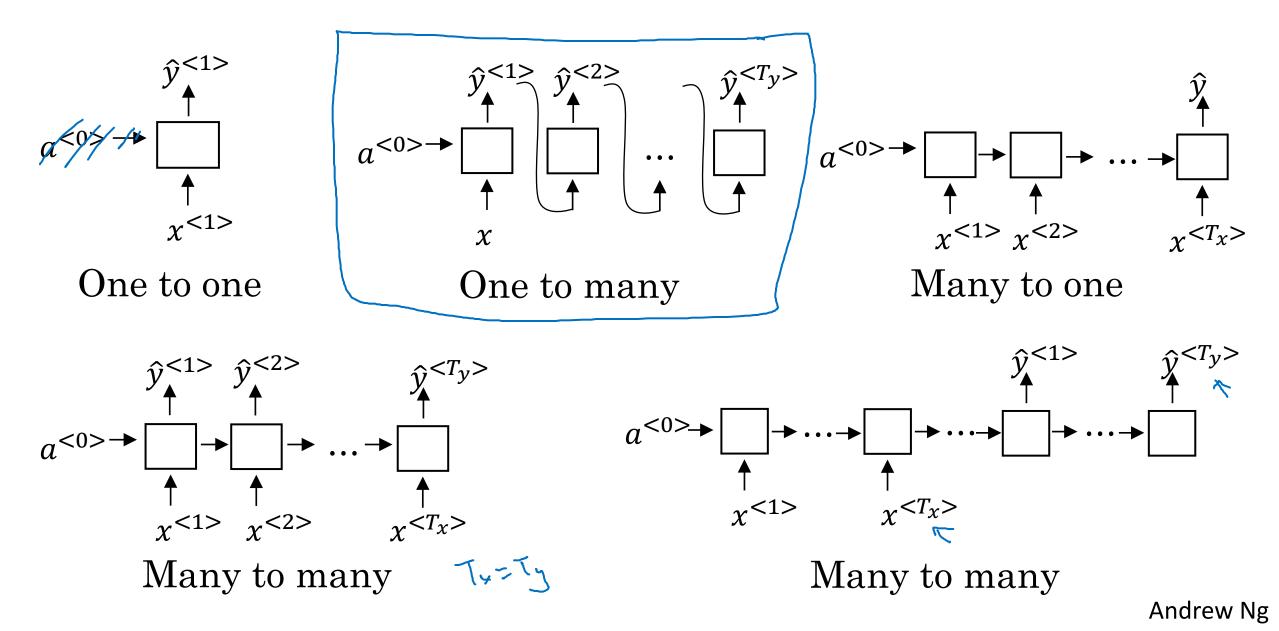


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### Examples of RNN architectures



# Summary of RNN types





Language model and sequence generation

## What is language modelling?

Speech recognition

The apple and pair salad.

→ The apple and pear salad.

$$P(\text{The apple and pair salad}) = 3.2 \times 10^{-13}$$

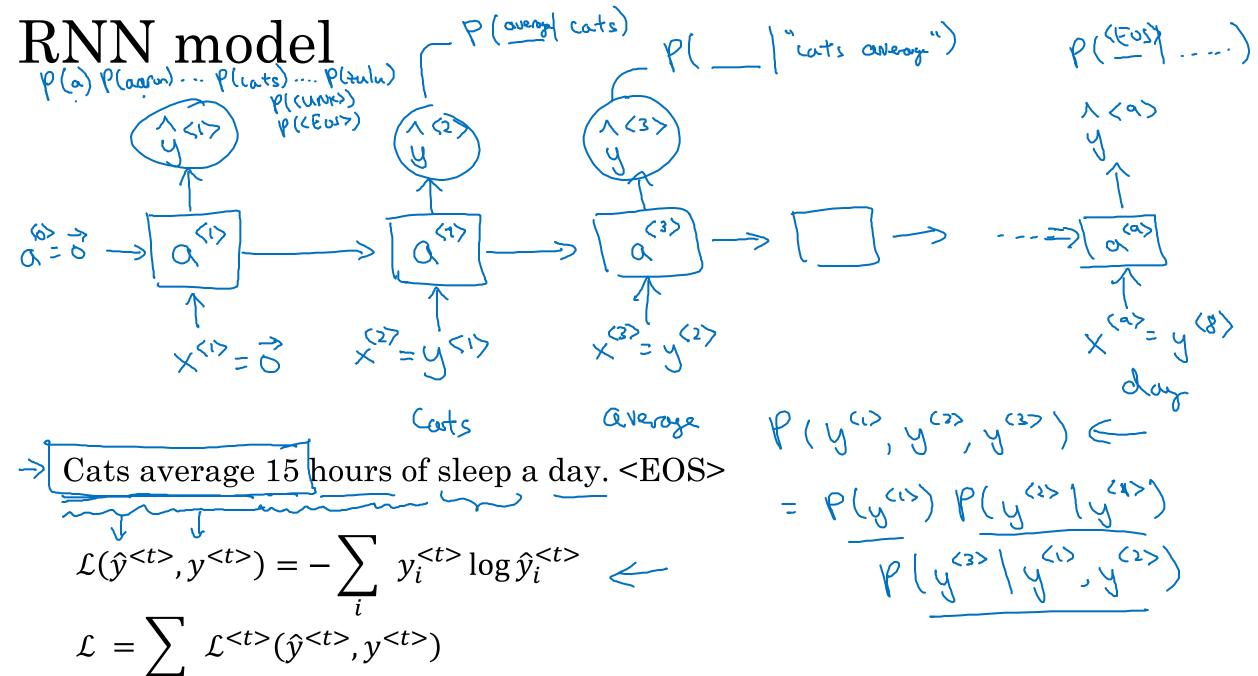
$$P(\text{The apple and pear salad}) = 5.7 \times 10^{-10}$$

# Language modelling with an RNN

Training set: large corpus of english text.

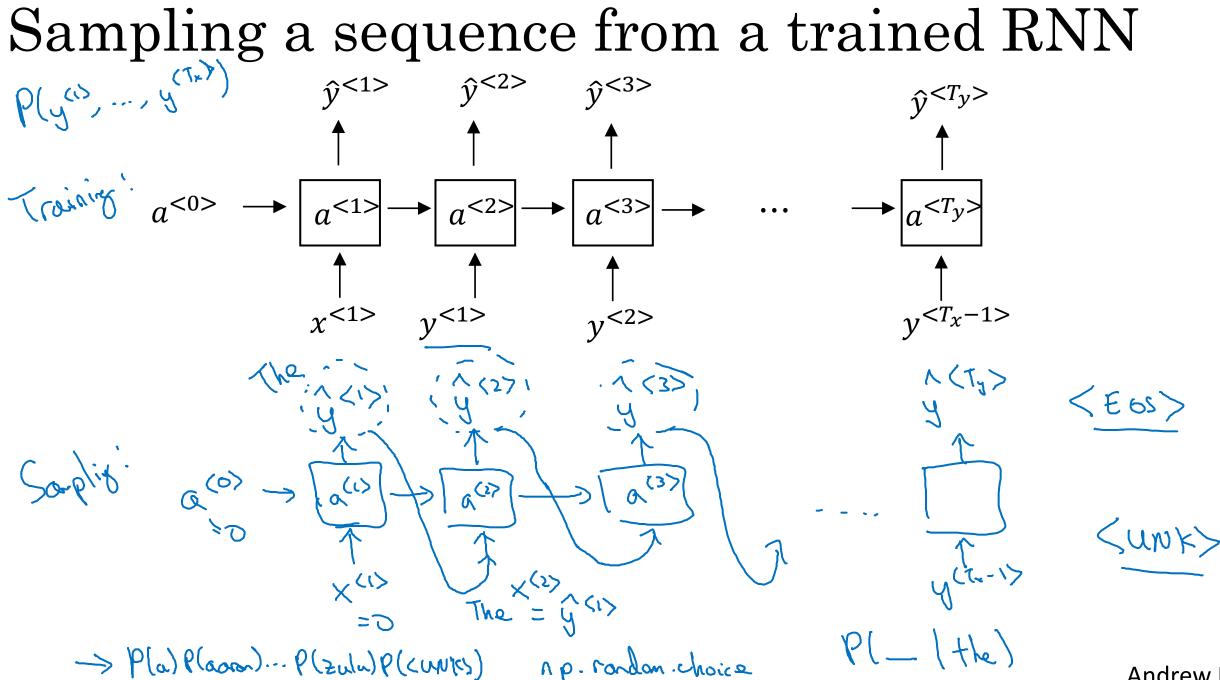
 $\langle u n k \rangle$ 

The Egyptian Mau is a bread of cat. <EOS>





# Sampling novel sequences



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### Character-level language model

> Vocabulary = [a, aaron, ..., zulu, <UNK>] > Vocabulag = [a,b,c,...,2, u,o,i,0,...,9,A,...,2] y(1) y (2) y (2) (a) Cat owninge  $\hat{v}^{<1>}$   $\hat{v}^{<2>}$  $a^{<1>|}$  $a^{<2>|}$  $a^{<3>}$ 

# Sequence generation

#### News

President enrique peña nieto, announced sench's sulk former coming football langston paring.

"I was not at all surprised," said hich langston.

"Concussion epidemic", to be examined.

The gray football the told some and this has on the uefa icon, should money as.

#### Shakespeare

The mortal moon hath her eclipse in love.

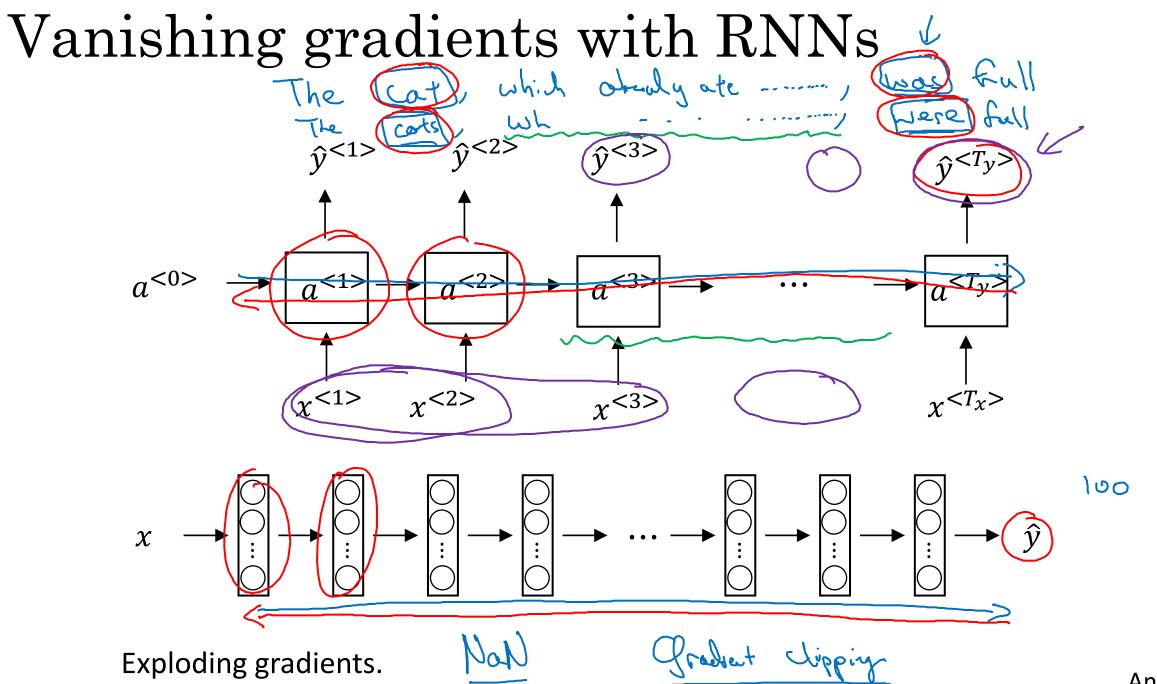
And subject of this thou art another this fold.

When besser be my love to me see sabl's.

For whose are ruse of mine eyes heaves.



# Vanishing gradients with RNNs

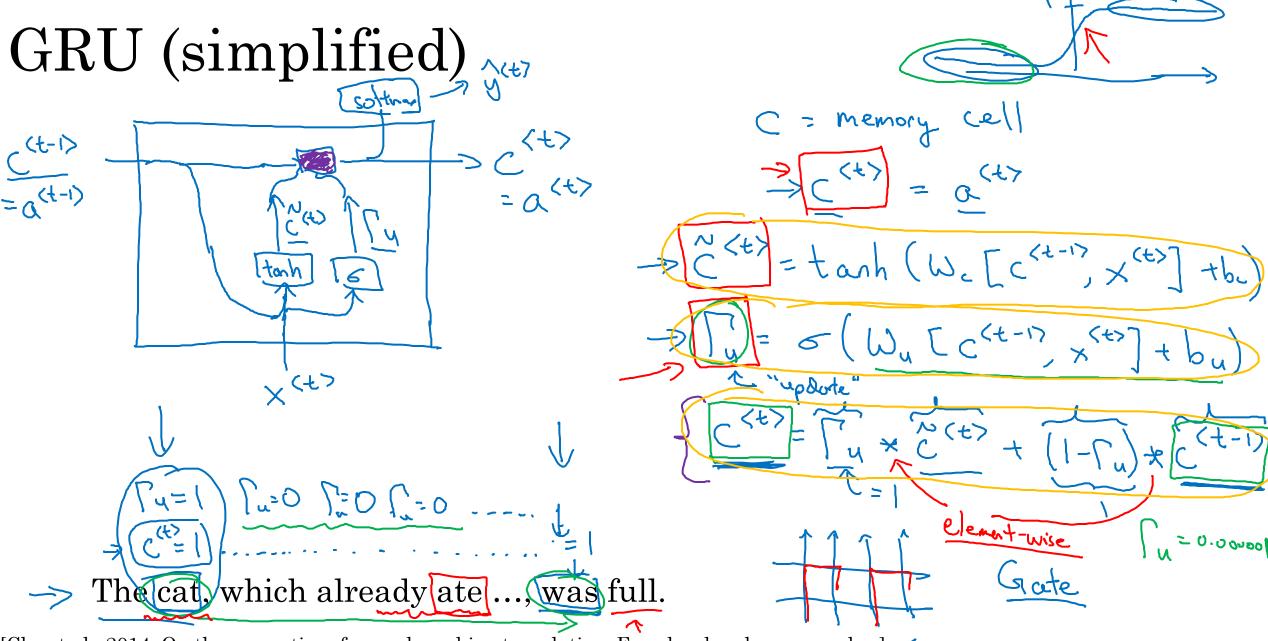




# Gated Recurrent Unit (GRU)

# RNN unit 9 (F) < E-1> (t) tanh

$$a^{} = g(W_a[a^{}, x^{}] + b_a)$$



[Cho et al., 2014. On the properties of neural machine translation: Encoder-decoder approaches] [Chung et al., 2014. Empirical Evaluation of Gated Recurrent Neural Networks on Sequence Modeling]

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#### Full GRU

$$\tilde{c}^{} = \tanh(W_c[\tilde{c}^{}] + b_c)$$

$$U \cap \Gamma_u = \sigma(W_u[c^{}] + b_u)$$

$$\Gamma_c = \sigma(W_c[c^{}] + b_c)$$

$$C^{} = \Gamma_u * \tilde{c}^{} + (1 - \Gamma_u) + c^{}$$

The cat, which ate already, was full.



LSTM (long short term memory) unit

#### GRU and LSTM

#### GRU

#### LSTM

$$\underbrace{\tilde{c}^{< t>}}_{c} = \tanh(W_{c}[\Gamma_{r} * \underline{c^{< t-1>}}, x^{< t>}] + b_{c}) \qquad \underbrace{\tilde{c}^{< t>}}_{c} = \tanh(W_{c}[\Gamma_{r} * \underline{c^{< t-1>}}, x^{< t>}] + b_{c}) \qquad \underbrace{\tilde{c}^{< t>}}_{c} = \tanh(W_{c}[\Gamma_{r} * \underline{c^{< t-1>}}, x^{< t>}] + b_{c}) \qquad \underbrace{\tilde{c}^{< t>}}_{c} = \sigma(W_{u}[c^{< t-1>}, x^{< t>}] + b_{u}) \qquad \underbrace{\tilde{c}^{< t>}}_{c} = \sigma(W_{u}[c^{< t-1>}, x^{< t>}] + b_{u}) \qquad \underbrace{\tilde{c}^{< t>}}_{c} = \sigma(W_{u}[c^{< t-1>}, x^{< t>}] + b_{u}) \qquad \underbrace{\tilde{c}^{< t>}}_{c} = \sigma(W_{u}[c^{< t-1>}, x^{< t>}] + b_{u}) \qquad \underbrace{\tilde{c}^{< t>}}_{c} = \sigma(W_{u}[c^{< t-1>}, x^{< t>}] + b_{u}) \qquad \underbrace{\tilde{c}^{< t>}}_{c} = \sigma(W_{u}[c^{< t-1>}, x^{< t>}] + b_{u}) \qquad \underbrace{\tilde{c}^{< t>}}_{c} = \sigma(W_{u}[c^{< t-1>}, x^{< t>}] + b_{u}) \qquad \underbrace{\tilde{c}^{< t>}}_{c} = \sigma(W_{u}[c^{< t-1>}, x^{< t>}] + b_{u}) \qquad \underbrace{\tilde{c}^{< t>}}_{c} = \sigma(W_{u}[c^{< t-1>}, x^{< t>}] + b_{u}) \qquad \underbrace{\tilde{c}^{< t>}}_{c} = \sigma(W_{u}[c^{< t-1>}, x^{< t>}] + b_{u}) \qquad \underbrace{\tilde{c}^{< t>}}_{c} = \sigma(W_{u}[c^{< t-1>}, x^{< t>}] + b_{u}) \qquad \underbrace{\tilde{c}^{< t>}}_{c} = \sigma(W_{u}[c^{< t-1>}, x^{< t>}] + b_{u}) \qquad \underbrace{\tilde{c}^{< t>}}_{c} = \sigma(W_{u}[c^{< t-1>}, x^{< t>}] + b_{u}) \qquad \underbrace{\tilde{c}^{< t>}}_{c} = \sigma(W_{u}[c^{< t-1>}, x^{< t>}] + b_{u}) \qquad \underbrace{\tilde{c}^{< t>}}_{c} = \sigma(W_{u}[c^{< t-1>}, x^{< t>}] + b_{u}) \qquad \underbrace{\tilde{c}^{< t>}}_{c} = \sigma(W_{u}[c^{< t-1>}, x^{< t>}] + b_{u}) \qquad \underbrace{\tilde{c}^{< t>}}_{c} = \sigma(W_{u}[c^{< t-1>}, x^{< t>}] + b_{u}) \qquad \underbrace{\tilde{c}^{< t>}}_{c} = \sigma(W_{u}[c^{< t-1>}, x^{< t>}] + b_{u}) \qquad \underbrace{\tilde{c}^{< t>}}_{c} = \sigma(W_{u}[c^{< t-1>}, x^{< t>}] + b_{u}) \qquad \underbrace{\tilde{c}^{< t>}}_{c} = \sigma(W_{u}[c^{< t-1>}, x^{< t>}] + b_{u}) \qquad \underbrace{\tilde{c}^{< t>}}_{c} = \sigma(W_{u}[c^{< t-1>}, x^{< t>}] + b_{u}) \qquad \underbrace{\tilde{c}^{< t}}_{c} = \sigma(W_{u}[c^{< t-1>}, x^{< t>}] + b_{u}) \qquad \underbrace{\tilde{c}^{< t}}_{c} = \sigma(W_{u}[c^{< t-1>}, x^{< t>}] + b_{u}) \qquad \underbrace{\tilde{c}^{< t}}_{c} = \sigma(W_{u}[c^{< t-1>}, x^{< t}] + b_{u}) \qquad \underbrace{\tilde{c}^{< t}}_{c} = \sigma(W_{u}[c^{< t-1>}, x^{< t}] + b_{u}) \qquad \underbrace{\tilde{c}^{< t}}_{c} = \sigma(W_{u}[c^{< t-1>}, x^{< t}] + b_{u}) \qquad \underbrace{\tilde{c}^{< t}}_{c} = \sigma(W_{u}[c^{< t-1>}, x^{< t}] + b_{u}) \qquad \underbrace{\tilde{c}^{< t}}_{c} = \sigma(W_{u}[c^{< t-1>}, x^{< t}] + b_{u}) \qquad \underbrace{\tilde{c}^{< t}}_{c} = \sigma(W_{u}[c^{< t-1>}, x^{< t}] + b_{u}) \qquad \underbrace{\tilde{c}^{< t}}_{c} = \sigma(W_{u}[c^{< t-1>},$$

#### LSTM units

#### **GRU**

$$\tilde{c}^{< t>} = \tanh(W_c[\Gamma_r * c^{< t-1>}, x^{< t>}] + b_c)$$

$$\tilde{c}^{< t>} = \tanh(W_c[a^{< t-1>}, x^{< t>}] + b_c)$$

$$\Gamma_u = \sigma(W_u[c^{< t-1>}, x^{< t>}] + b_u)$$

$$\Gamma_u = \sigma(W_u[a^{< t-1>}, x^{< t>}] + b_u)$$

$$\Gamma_r = \sigma(W_r[c^{< t-1>}, x^{< t>}] + b_r)$$

$$\Gamma_f = \sigma(W_f[a^{< t-1>}, x^{< t>}] + b_f)$$

$$c^{} = \Gamma_u * \tilde{c}^{} + (1 - \Gamma_u) * c^{}$$

$$\Gamma_o = \sigma(W_o[a^{< t-1>}, x^{< t>}] + b_o)$$

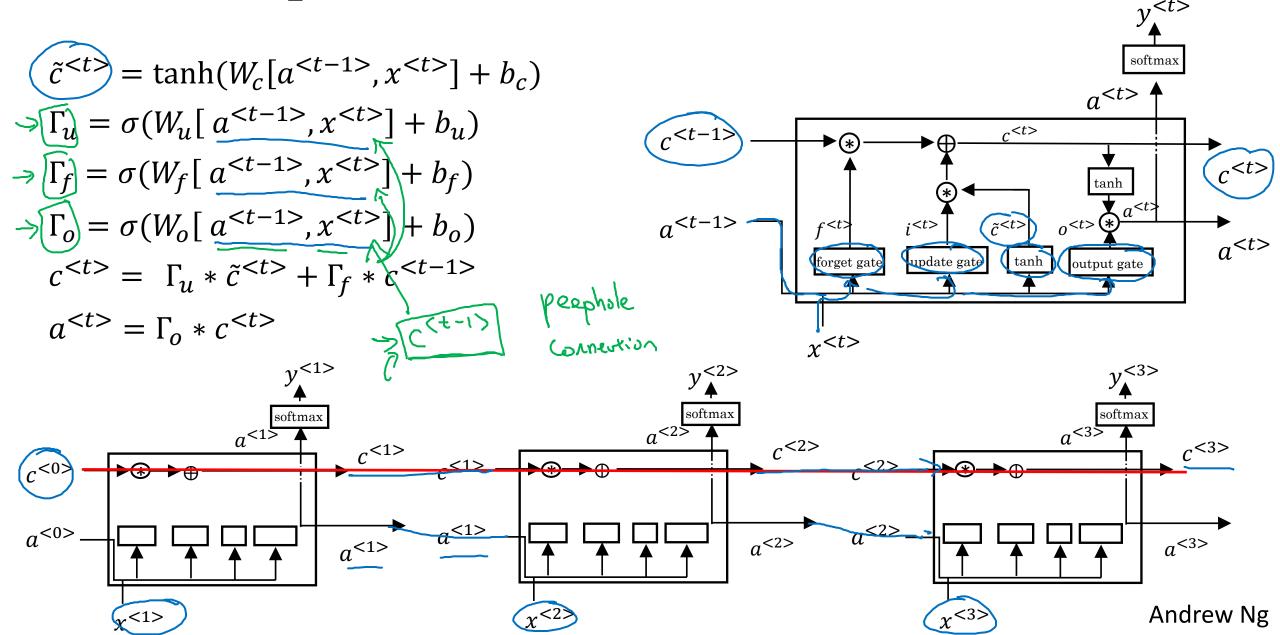
$$a^{< t>} = c^{< t>}$$

$$c^{< t>} = \Gamma_u * \tilde{c}^{< t>} + \Gamma_f * c^{< t-1>}$$

$$a^{< t>} = \Gamma_o * c^{< t>}$$

[Hochreiter & Schmidhuber 1997. Long short-term memory]

#### LSTM in pictures





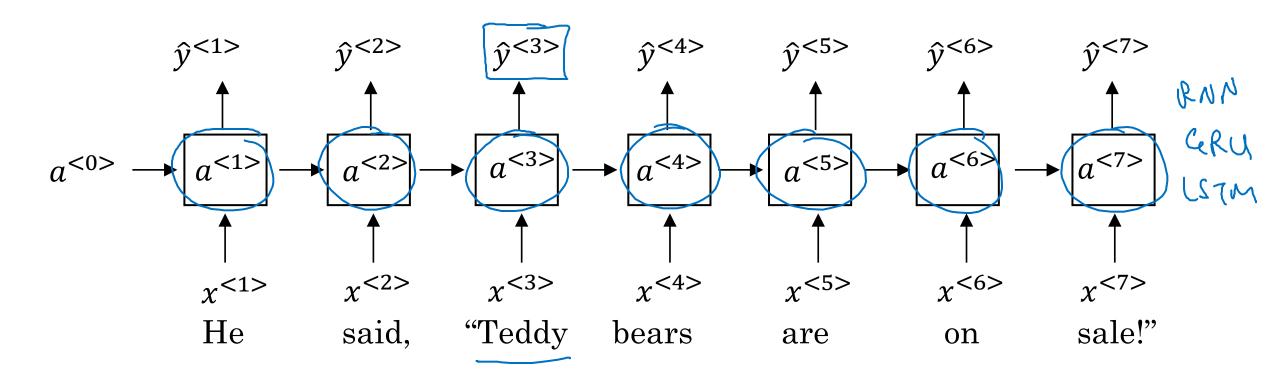
### Recurrent Neural Networks

#### **Bidirectional RNN**

#### Getting information from the future

He said, "Teddy bears are on sale!"

He said, "Teddy Roosevelt was a great President!"



Bidirectional RNN (BRNN) 1 4 4 14 <1> SCA ₹(4s (2> (1) Acydic graph Telly

WILSTM

BRNN

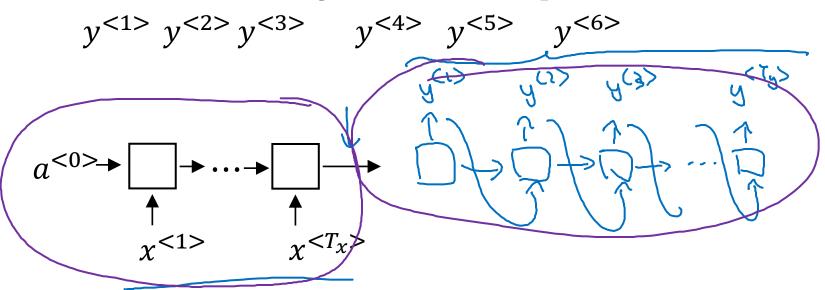


#### Basic models

$$\chi$$
<1>  $\chi$ <2>  $\chi$ <3>  $\chi$ <4>  $\chi$ <5>

Jane visite l'Afrique en septembre

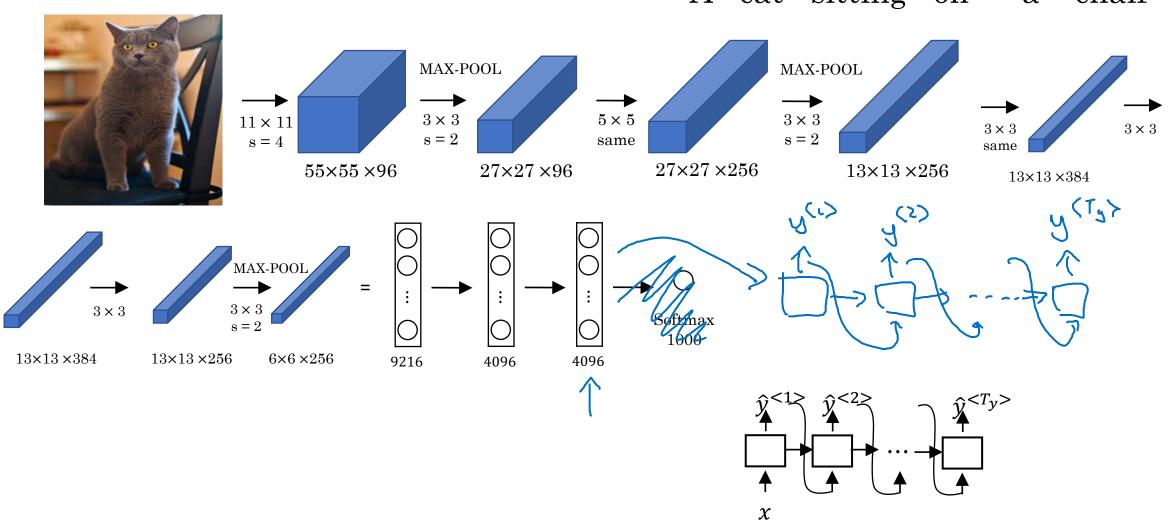
→ Jane is visiting Africa in September.





#### Image captioning

 $y^{<1>}y^{<2>}$   $y^{<3>}$   $y^{<4>}$   $y^{<5>}$   $y^{<6>}$  A cat sitting on a chair

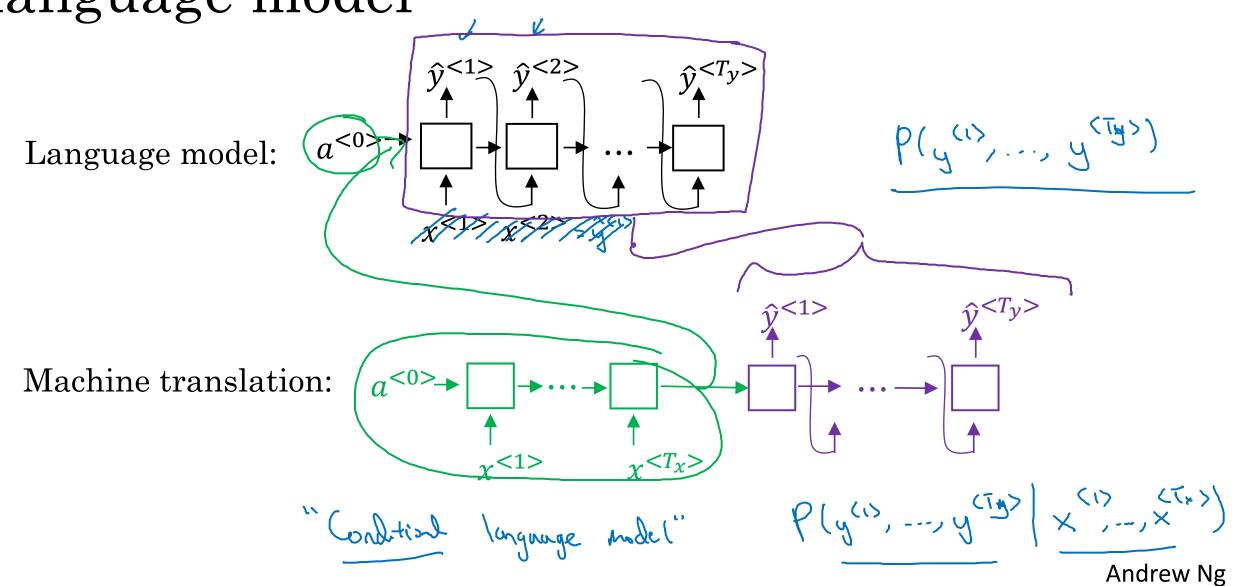


[Mao et. al., 2014. Deep captioning with multimodal recurrent neural networks]
[Vinyals et. al., 2014. Show and tell: Neural image caption generator] 
[Karpathy and Li, 2015. Deep visual-semantic alignments for generating image descriptions]



# Picking the most likely sentence

Machine translation as building a conditional language model



Finding the most likely translation

French

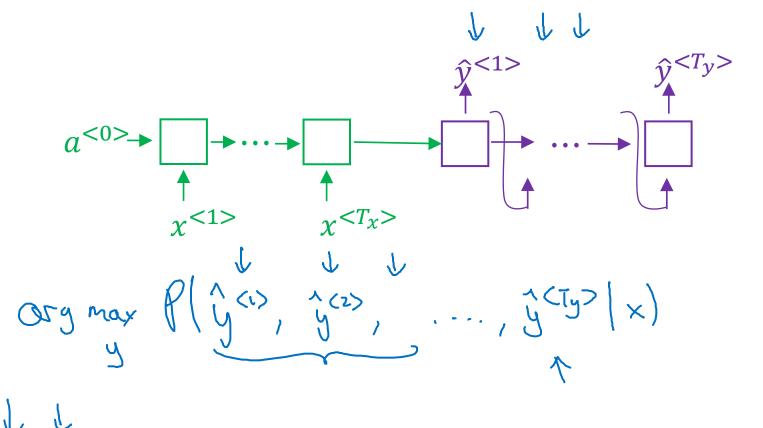
Jane visite l'Afrique en septembre.

$$P(y^{<1>}, ..., y^{} | x)$$

- → Jane is visiting Africa in September.
- → Jane is going to be visiting Africa in September.
- → In September, Jane will visit Africa.
- Her African friend welcomed Jane in September.

$$\underset{y<1>,...,y}{\text{arg max}} P(y^{<1>},...,y^{} | x)$$

#### Why not a greedy search?

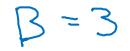


- → Jane is visiting Africa in September.
- Jane is going to be visiting Africa in September. P(Jan is 50ig (x)) > P(Jone is 1)



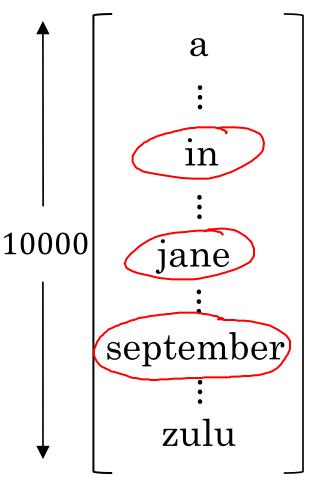
#### Beam search

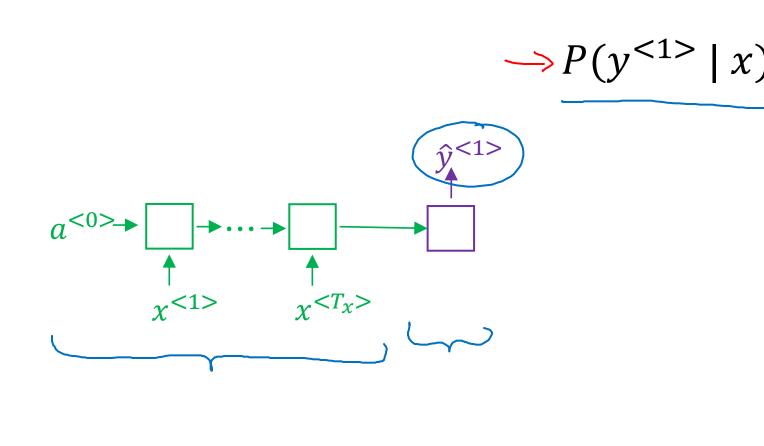
#### Beam search algorithm

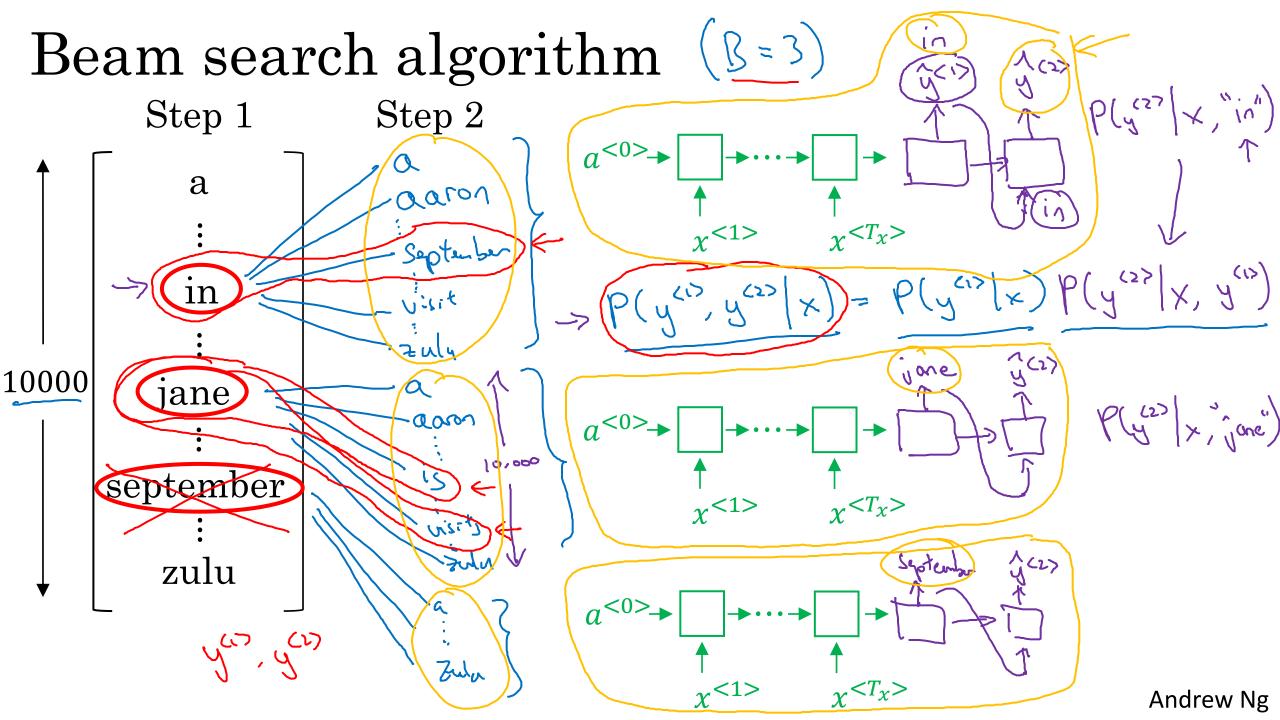


B=3 (bean width)





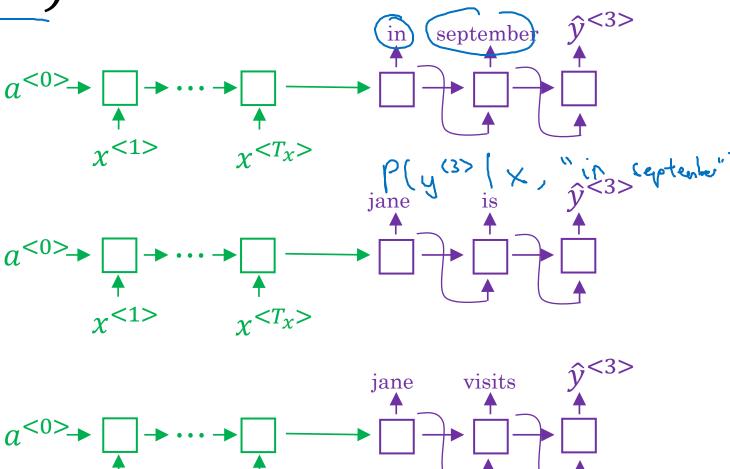




#### Beam search (B = 3)

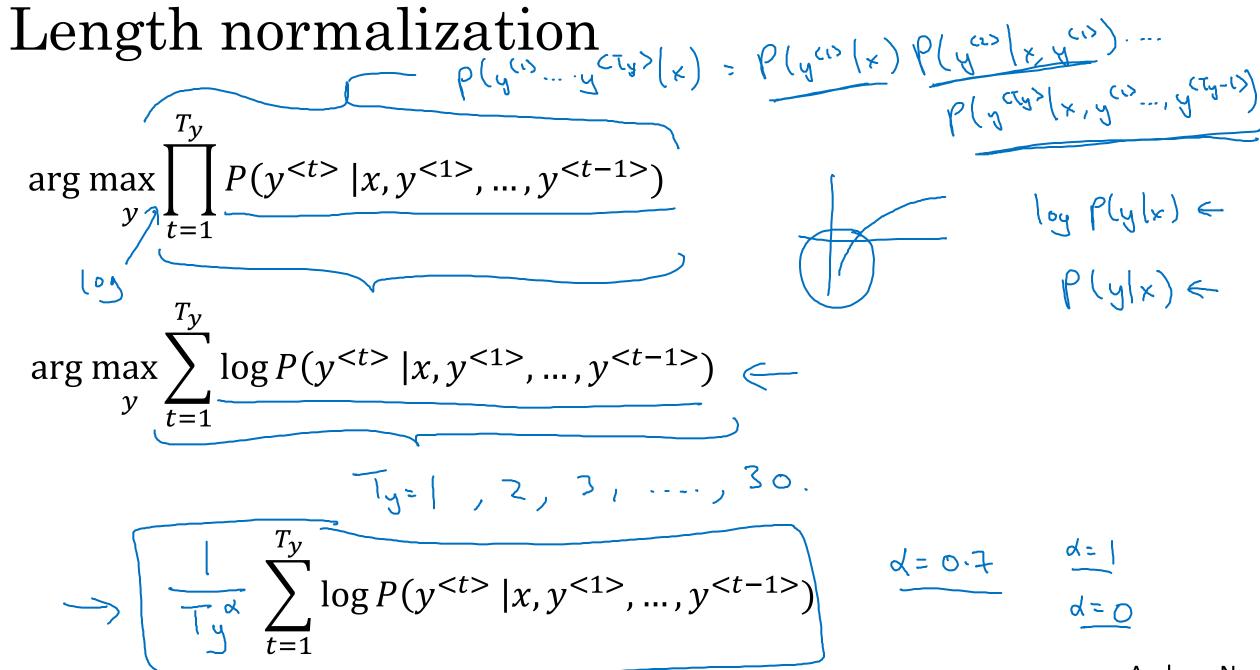


$$P(v^{<1} > v^{<2} | x)$$





# Refinements to beam search



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#### Beam search discussion

large B: better result, slower small B: worse result, faster

Beam width B?

Unlike exact search algorithms like BFS (Breadth First Search) or DFS (Depth First Search), Beam Search runs faster but is not guaranteed to find exact maximum for arg max P(y|x).

y



# Error analysis on beam search

#### Example

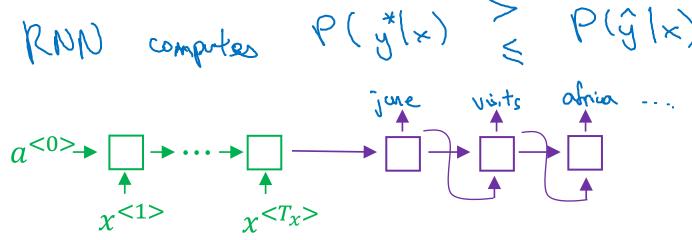
-> RNN -> Beam Seath

BT

Jane visite l'Afrique en septembre.

Human: Jane visits Africa in September.

Algorithm: Jane visited Africa last September.  $(\hat{y}) \leftarrow RNN$  comprles  $P(\hat{y}|x) \geq P(\hat{y}|x)$ 



#### Error analysis on beam search

p( y\*(x)

Human: Jane visits Africa in September.  $(y^*)$ 

Algorithm: Jane visited Africa last September.  $(\hat{y})$ 

Case 1: 
$$P(y^*|x) > P(\hat{y}|x) \leftarrow$$

ag mox P(y/x)

Beam search chose  $\hat{y}$ . But  $y^*$  attains higher P(y|x).

Conclusion: Beam search is at fault.

Case 2: 
$$P(y^*(x) \leq P(\hat{y}(x) \leq$$

 $y^*$  is a better translation than  $\hat{y}$ . But RNN predicted  $P(y^*|x) < P(\hat{y}|x)$ .

Conclusion: RNN model is at fault.

#### Error analysis process

Human	Algorithm	$P(y^* x)$	$P(\hat{y} x)$	At fault?
Jane visits Africa in September.	Jane visited Africa last September.	2 x 10 - 10	1 × 10-10	BR CRR.

Figures out what faction of errors are "due to" beam search vs. RNN model



Bleu score (optional)

#### Evaluating machine translation

French: Le chat est sur le tapis.

Reference 1: The cat is on the mat.

Reference 2: There is a cat on the mat.

MT output: the the the the the the.

Precision: Modified precision:

Bley mobilisqued enderstudy

#### Bleu score on bigrams

Example: Reference 1: The cat is on the mat.

Reference 2: There is a cat on the mat. <

MT output: The cat the cat on the mat. ←

	Count	Courtclip	
the cat	2 ←		
cat the	( ←		4
cat on	( <	( —	
on the	1 ←	1 6	
the mat	<b>←</b>	( 6	

[Papineni et. al., 2002. Bleu: A method for automatic evaluation of machine translation]

#### Bleu score on unigrams

Example: Reference 1: The cat is on the mat.

Reference 2: There is a cat on the mat.

→ MT output: The cat the cat on the mat.

migrames count (unigram)

unigrames

count (unigram)

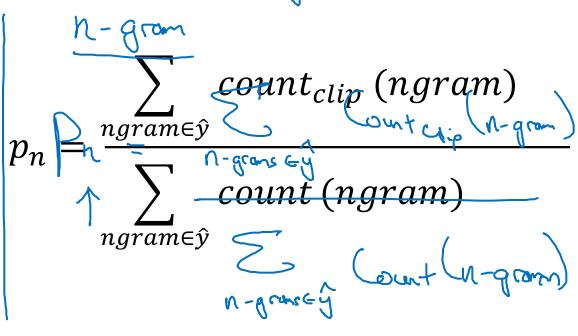
unigrames

unigrames

unigrames

unigrames

unigrames



#### Bleu details

 $p_n = \text{Bleu score on n-grams only}$ 

Combined Bleu score: BP 
$$\exp\left(\frac{1}{4}\sum_{n=1}^{4}P_{n}\right)$$

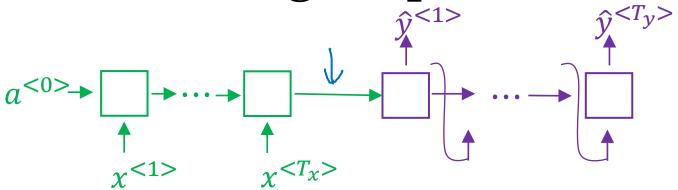
$$BP = \begin{cases} 1 & \text{if MT\_output\_length} > \text{reference\_output\_length} \\ \exp(1 - \text{MT\_output\_length}/\text{reference\_output\_length}) & \text{otherwise} \end{cases}$$





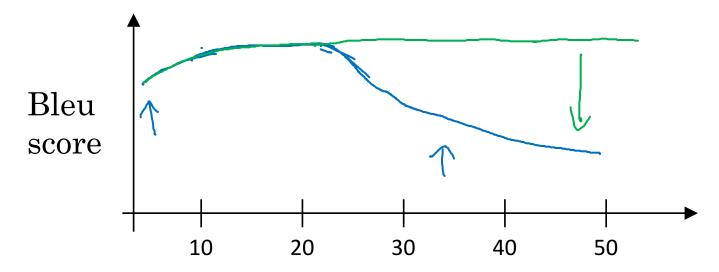
# Attention model intuition

#### The problem of long sequences



Jane s'est rendue en Afrique en septembre dernier, a apprécié la culture et a rencontré beaucoup de gens merveilleux; elle est revenue en parlant comment son voyage était merveilleux, et elle me tente d'y aller aussi.

Jane went to Africa last September, and enjoyed the culture and met many wonderful people; she came back raving about how wonderful her trip was, and is tempting me to go too.

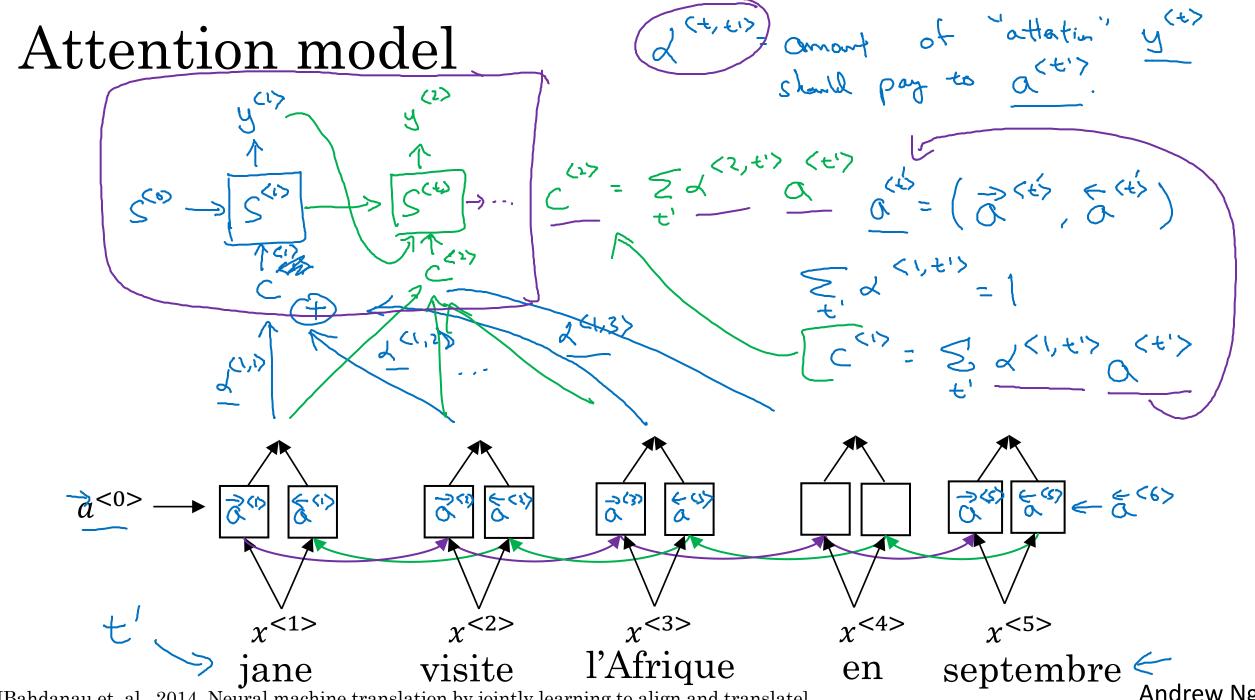


Sentence length

Attention model intuition Africa visits -Jone <o>> م ديري ( الرديد) 2/(1/1) **\$**<2>  $\hat{v}^{<3>}$  $a^{<0>}$  $\dot{\chi}$ <1> l'Afrique en visite septembre jane



#### Attention model



[Bahdanau et. al., 2014. Neural machine translation by jointly learning to align and translate]

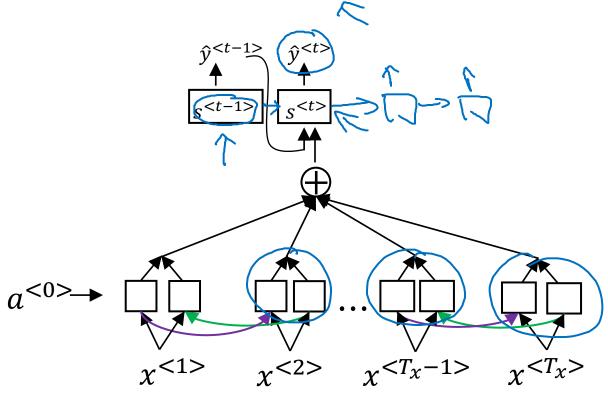
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### Computing attention $\alpha^{\langle t,t'\rangle}$

 $\alpha^{< t, t'>}$  = amount of attention  $y^{< t>}$  should pay to  $\alpha^{< t'>}$ 

$$\alpha^{\langle t,t'\rangle} = \frac{\exp(e^{\langle t,t'\rangle})}{\sum_{t'=1}^{T_x} \exp(e^{\langle t,t'\rangle})}$$

$$\underbrace{s^{< t-1>}}_{a^{< t'>}} \underbrace{e^{< t, t'>}}_{a^{< t, t'>}}$$

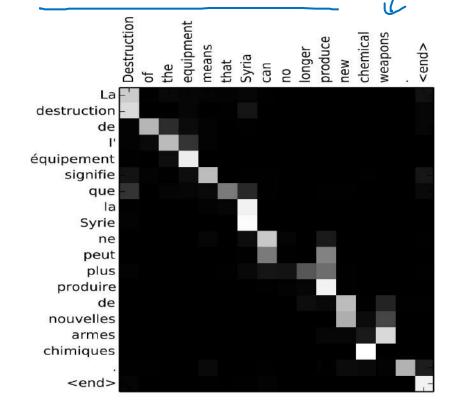


#### Attention examples

July 20th 1969  $\longrightarrow$  1969 - 07 - 20

23 April, 1564 →

1564 - 04 - 23



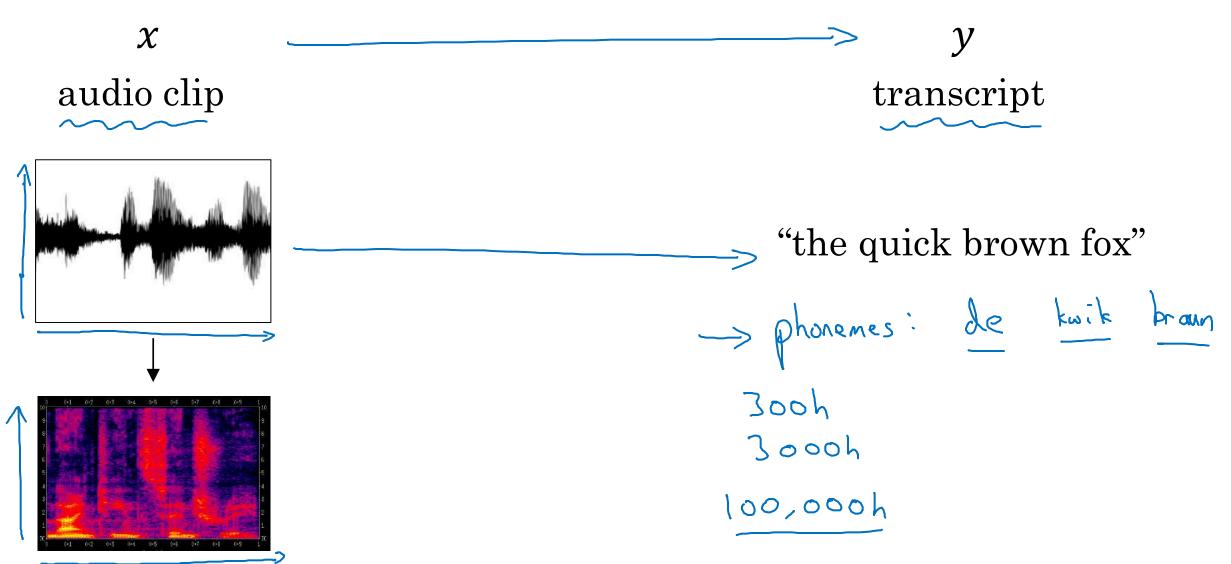
Visualization of  $\alpha^{\langle t,t'\rangle}$ :



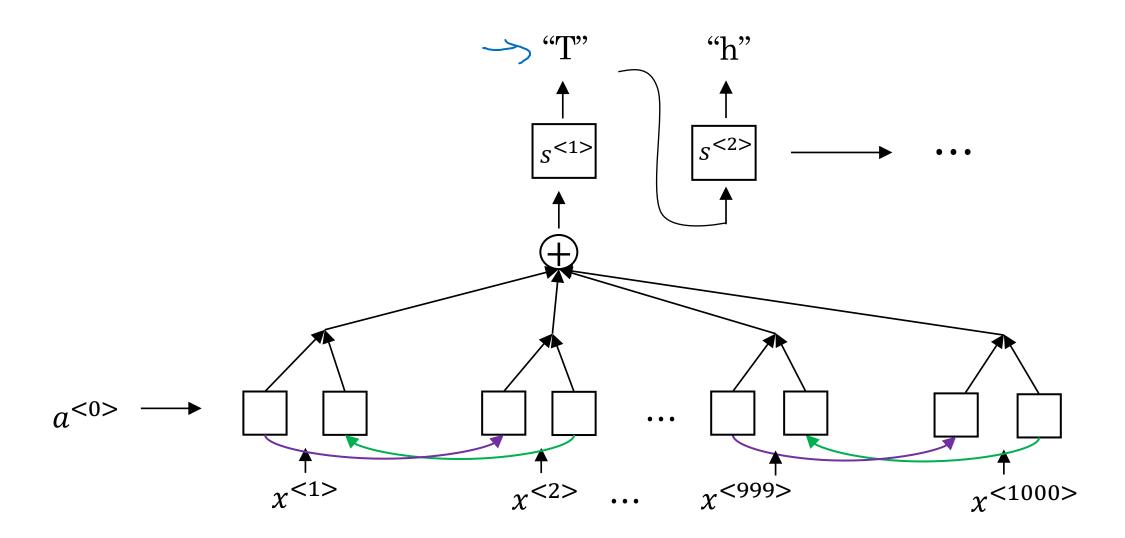
#### Audio data

### Speech recognition

#### Speech recognition problem

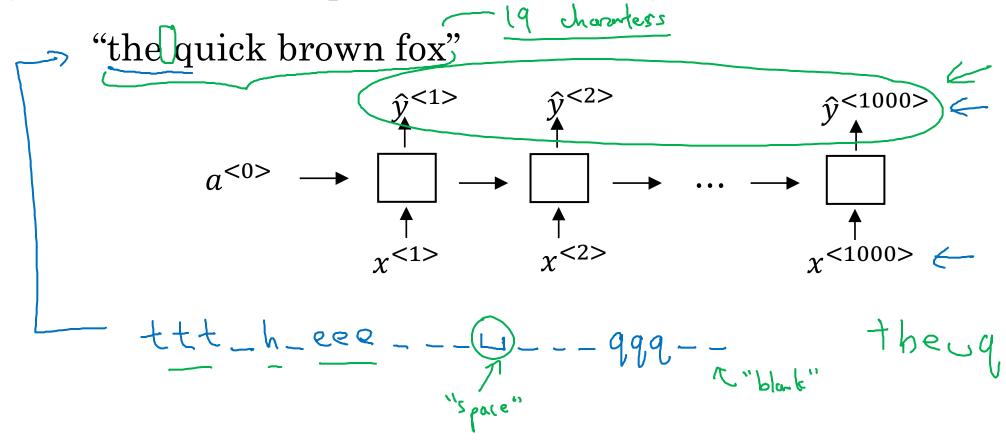


#### Attention model for speech recognition



#### CTC cost for speech recognition

(Connectionist temporal classification)



Basic rule: collapse repeated characters not separated by "blank"



#### Audio data

# Trigger word detection

#### What is trigger word detection?



Amazon Echo (Alexa)



Baidu DuerOS (xiaodunihao)



Apple Siri (Hey Siri)



Google Home (Okay Google)

#### Trigger word detection algorithm

