



未画 deterministic PDA，用PDA去完成判断 string 是否 valid 的过程

- * Pumping Lemma for CEG
- * left factoring / left recursive
- * 判断可不可由 RDP



Follow First

- * Simple assignment statement
- * specification



找左 表达式

先找左表达式?

Pushdown Automata (PDA) → 借由读取一个容量无限的 stack, 扩充一个能做 L - 种类的 非确定有限状态机

$\rightarrow \Sigma \rightarrow \Gamma$

→ Machine Model of language recognition that allows a similar correspondence to be established with the class of all context-free language. → 依据 context-free language 建立一个 similar correspondence

→ the Finite State machine (described by State-transition diagram 很像)

→ 有限状态自动机: 表示有限个状态以及在这些状态之间的转移和动作等行为的数学计算模型

Ex: PDA 有 "Push down store" or stack of unbounded depth

→ Notation: $C, d \mapsto w$: Current input string token is C and the token at the top of the stack is d

C : vocabulary token
 d : stack token, be popped
 w : string of stack tokens

↓ 上面是目前的状态, 下面是作用
Read the input token and replace the top of the stack by the components of w , with the left most component of w becoming the new top of the stack

→ $C \xrightarrow{\epsilon} C$: state change without reading an input token

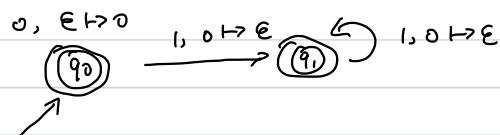
$d \xrightarrow{\epsilon} d$: state change, a stack token string is pushed into the stack, but without popping out anything

→ 基于, 可以在没有东西被弹掉的情况下添加东西, 刚刚 stack 可以为空

→ An input string is accepted if there is a directed path from the starting state to an accepting state whose sequence of input tokens spells out the string and the stack

→ Acceptance at the end of input, stack and input is empty

Example: $\{0^i 1^j 1^k 0^l\}$ over $\{0, 1\}$:



指的是如果 input 是 0, 那么把 ϵ 从 stack 弹掉 (即什么都不放), 然后

把 0 加入 stack, 这里的加入,

指的 replace !!! 不是 pop!

比如, $c, d \mapsto w$, 并不是既

把 d 给 pop 掉, 再把 w 给

push 进去], 而是 [把 d 给接

成 w], 区别在于, stack 是 FIFO,

如果是第一种方法的话, w 会被放在

stack 的最后

Example string: 000 111

→ 根据具体的图以及上面的

State	input	read	stack
0	000 111	/	ϵ
1	00 111	0	0
2	0 111	0	00
3	1 11	0	000
4	1 1	1	00
5	1	1	0
6	ϵ	1	ϵ

Acceptance

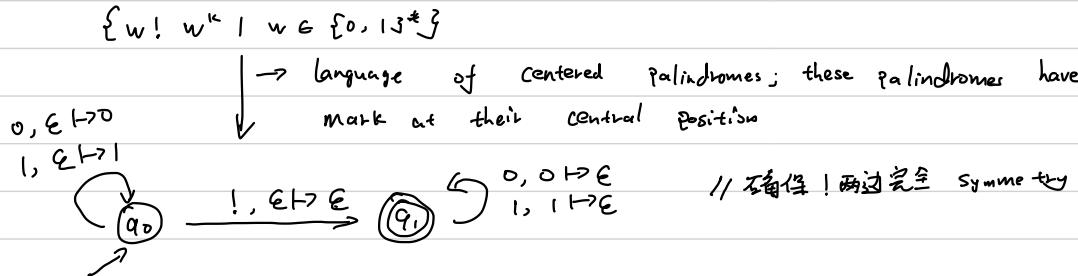
↓
如果到最后 input 和 stack 均只

剩下 ϵ , 则是 accepted

注意! 上课笔记本中

只有三个 column: State, Remain input, Stack

Example 2

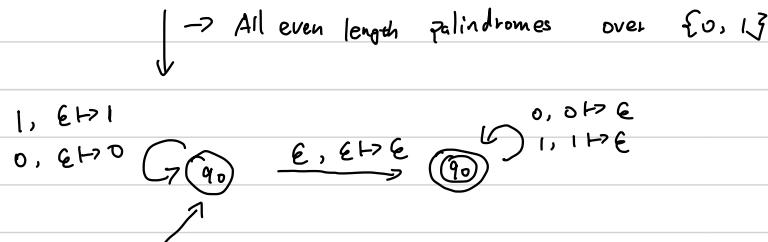


Example string: 1|0!0||

state	input	read	stack
0	1 0!0	/	ε
1	0!0		
2	0!0		
3	!0	0	0
4	0	!	0
5		0	
6			
7	ε		→ Acceptance

Example 3

$$\{ww^* \mid w \in \{0, 1\}^*\}$$



Example String: 1|00|| ↴

state	remain	input	stack
0	1 00		ε
1	00		
2	00		
3	0		0
4			
5			
6	ε		→ Accepted

PDA & Context free language

For any context-free language, we may construct a PDA that recognizes the language.

→ 首先，对于一个 context free grammar 来说：

打比方：

$$\begin{array}{l}
 \text{nonterminal symbol} \quad \{ a^{3i} b^k c^{2i+3} \mid i \geq 1, k \geq 1 \} \\
 \vdash S \rightarrow \{ a^3 S c^2 \mid \underbrace{a^3 \times c^2 c^3} \} \quad \text{production} \\
 X \rightarrow \{ \underbrace{b^i \times b^j} \} \quad \rightarrow \text{terminal symbol}
 \end{array}$$

在画 according 的 PDA 时，只有两个 state: q_0 (initial state) 和 q_1 (accepting state)

$\xrightarrow{q_0, q_0 \mapsto S} \xrightarrow{\quad} q_1$ → 首先肯定得有这个 transition, 才让接下来 PDA 可以 recognize

CEG

PDA

Non deterministic

然后，需要有 for each possible input taken (terminal symbol) C , there is to be a transition from

$$q_1 \xrightarrow{\text{to itself}} q_1 : c, c \mapsto e \quad \xrightarrow{\text{to } q_0} q_0 : e, e \mapsto s \quad \xrightarrow{\text{to } q_1} q_1 : b, b \mapsto e \quad \xrightarrow{\text{to } q_2} q_2 : c, c \mapsto e$$

For each production N , there is to be a transition from q_1 to itself of the form
 $\overset{N}{\overrightarrow{q_1 q_1}}$, where $N \mapsto \text{the production}$

Diagram illustrating a function mapping from a domain S to a codomain T :

- Domain S contains elements a, b, c .
- Codomain T contains elements e, f, g .
- Mappings:
 - $a \mapsto e$
 - $b \mapsto e$
 - $c \mapsto g$
- Element g in the codomain is circled in red.

Example String: $a^3 b c^5$ = a aa b ccccc

State	Remain input	Stack
0	aaa b ccccc	ϵ
1	aaa b ccccc	S
2.	aaa b ccccc	aaa X cc ccc
3.	aaa b ccccc	aaa X cccc
⋮	#进 a	
4.	b ccc cc	X ccc cc
5.	b ccc cc	b ccc cc
⋮	#进 b, c	
6.	ϵ	ϵ

例題：

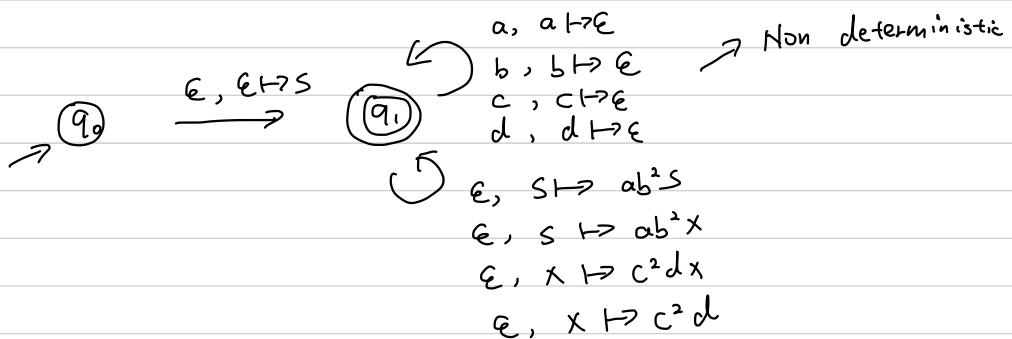
Assignment 5 2019

Design a deterministic pushdown automaton that recognizes the language

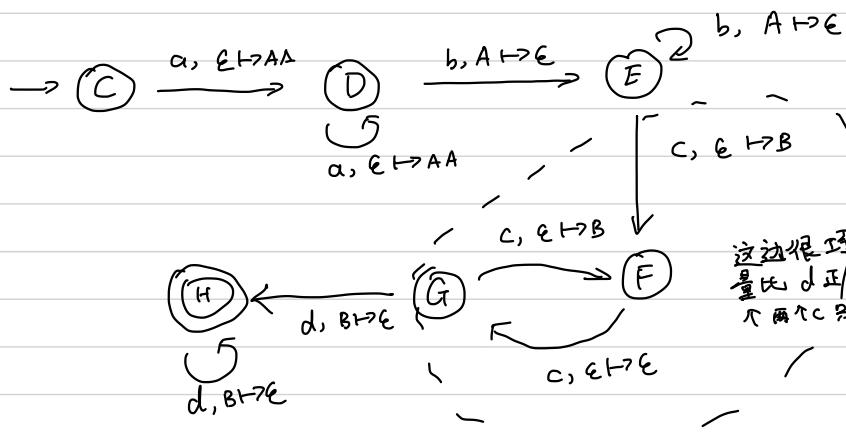
$$L = \{a^k b^{2^k} c^{2^i} d^i \mid i \geq 1, k \geq 1\}$$

$$S \rightarrow ab^2S \mid ab^2X$$

$$X \rightarrow c^2dX \mid c^2d$$



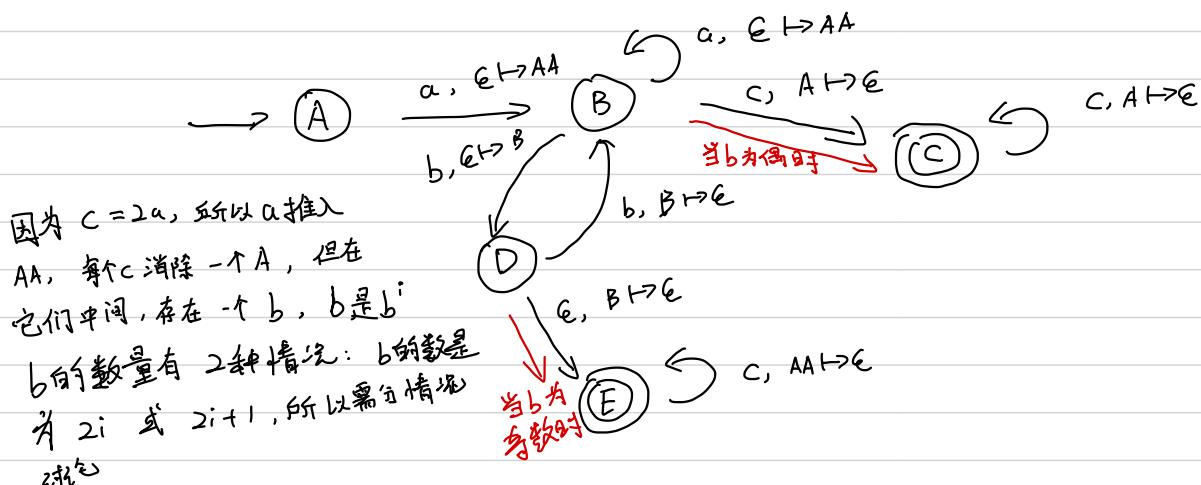
Deterministic:



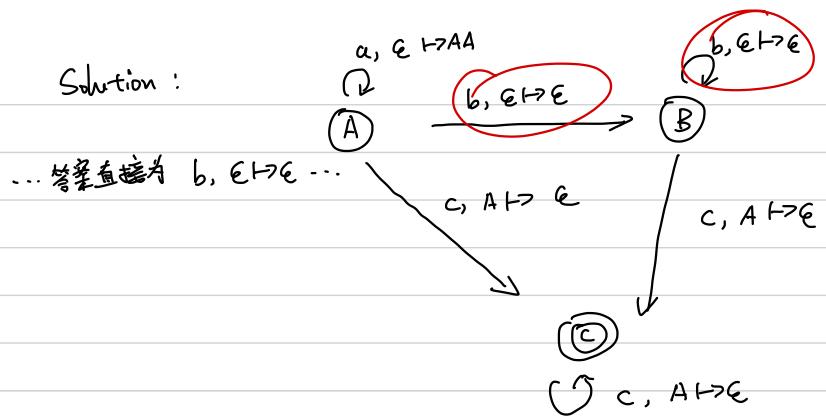
Assignment 5, 2020

Design a deterministic pushdown automaton that recognizes the language

$$L = \{a^k b^i c^{2^k} \mid i \geq 0, k \geq 1\}$$



Solution :



... 答案應該為 $b, \epsilon \rightarrow \epsilon$...

Pumping Lemma For Context-Free Languages CFG

→ Suppose that a language L is CFG.

There exist a constant p such that any string $s \in L$ of length at least p

s can be written as $s = uvwxy$
where:

P₁) $v \neq \epsilon$ or $x \neq \epsilon$

P₂) $|vwx| \leq p$

P₃) $uv^iwx^iy \in L$ for all $i \geq 0$



Example: Prove $A = \{a^i b^i c^i \mid i \geq 0\}$ is not context free

↪ Assume that A is context free

∴ Let p be the constant

Let s be $s = a^p b^p c^p$

∴ $|s| > p$

∴ By Pumping Lemma

s can be written as $s = uvwxy$

∴ By Pumping Lemma

$uv^2wx^2y \in L$

However:

if v, x contain more than one variable: number of a, b, c is unequal

$uv^2wx^2y \notin L$

if v, x contain only one variable: number of a, b, c is unequal

$uv^2wx^2y \notin L$

∴ $uv^2wx^2y \notin L$

∴ p -L for L doesn't hold

∴ L is not context free

例題:

Are the following languages context free or non-context free?

(a) $A = \{a^i b^k c^k \mid i \geq k \geq 1\}$

Assume A is context free

Let p be the constant

Let $s = a^p b^p c^p$ be the string

∴ $|abc| \geq p$

∴ $s = a^p b^p c^p$ can be written as $uvwxy$

∴ $|vwx| \leq p$

∴ vwx can only be b or ab

Consider uv^2wx^2y

when vwx is ab , the number of b ≠ $|p|$

∴ $uv^2wx^2y \notin L$

when vwx is b , the number of b ≠ $|p|$

∴ $uv^2wx^2y \notin L$ # 或者可以說如果這樣的話 $|b| > |a|$

∴ $uv^2wx^2y \notin L$

∴ L is not CFG

2. (a) We show that A is not context-free. Assume that A is context-free and let p be the constant given by the pumping lemma. We consider the string $s = a^p b^p c^p \in A$. Since $|s| \geq p$, we can write $s = uvwxy$ where the parts u, v, w, x, y satisfy the conditions of the pumping lemma.

i. If v or x contains occurrences of two or more distinct symbols, then uv^2wx^2y contains symbols in "incorrect order" and is not in $a^*b^*c^*$, and hence uv^2wx^2y

由第一个失足数量上矛盾

is not in A .

In the following cases, we can then assume that v and x each contains occurrences of at most one symbol.

- ii. If v and x do not contain any occurrences of symbol a , then uv^2wx^2y contains more b 's than a 's or more c 's than a 's. This means that uv^2wx^2y is not in A .
- iii. The remaining possibility is that v or x (or both) contains occurrences of the symbol a . Since v and x each contain only one type of symbol, this means that vx has no b 's or vx has no c 's. Thus $uv^0wx^0y = uwy$ has fewer a 's than b 's or fewer a 's than c 's. Thus, uv^0wx^0y is not in A .

We have shown that all cases lead to a contradiction. This implies that A is not context-free.

$$(b) B = \{a^{2i} b^{k+1} c^{3i} d^{2m} \mid i \geq 1, k \geq 1, m \geq 1\}$$

$$S \rightarrow X \mid Y$$

$$X \rightarrow a^2 X \mid c^3 \quad a^2 \geq c^3$$

$$Z \rightarrow b b Z \mid b$$

$$Y \rightarrow d^2 Y \mid d^2$$

Assignment 5, 2020

$$(a) A = \{a^i b^k c^l d^i \mid i \geq 1, k \geq 1\}$$

Assume A is CFG

Let p be constant

$$\text{Let } S = a^p b^p c^p d^p$$

$$\therefore |S| > p$$

$\therefore S$ can be written as $uvwxy$

Consider $uv^iw^jx^ky$:

if v, x contain more than one elem

then uv^2wx^2y is not in A

So v, x can only contain at most one elem

Suppose v, x contain b , then $uv^0wx^0y = uwy$ which don't have $b \rightarrow uv^0wx^0y \notin A$ 并用 b 的数量因为定义时, b 的指数量为 a, c, d 不

Suppose v, x each contain at most one of a, c, d ,

then uv^2wx^2y makes the occurrences of a, c, d not equal

$\therefore uv^2wx^2y$ not CFG

$\therefore A$ is not CFG

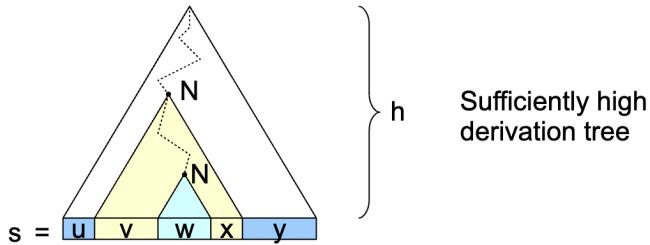
$$(2) B = \{a^{2k} b^k c^{3k} d^i \mid i \geq 0, k \geq 1\}$$

$$S \rightarrow a^2 S d^3 \mid a^2 \times d^3$$

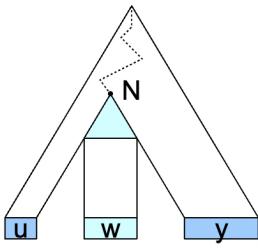
$$X \rightarrow b c^3 X \mid b c^3$$

↳ Pumping Lemma for CFL

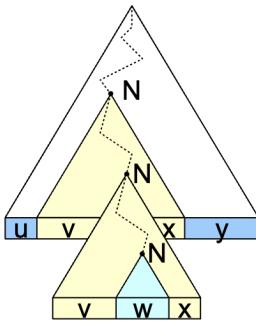
对于一个符合某CFL的字符串，可以按照规则重复 pump 其中的若干部分，得到的新字符串依然属于这个字符串



也就是说，在一个足够长的字符串里面，根据鸽子洞原理，肯定会有non terminal是重复的，那么如果向下继续延展这些non terminal，得出的字符串依然属于这个cfl中



Generating $uv^0 w^0 x^0 y^0$



Generating $uv^2 w^2 x^2 y^2$

一些证明的例子：

$L = \{a^n b^n c^n \mid n \geq 1\}$ 或 $L = \{a^i b^j c^i \mid i \geq 0\}$ 或 $L = \{a^i b^i c^i \mid i \geq 2\}$ ，换句话说， L 就是包含 $a^* b^* c^*$ 所有字串且 a, b, c 三者数目相同的语言。

令 n 为泵引理常数， $w = a^n b^n c^n$ 属于 L ， $w = uvxyz$ ，而 $|vxy| \leq n$, $|vy| \geq 1$ ，则 vxy 不可能同时包含 a 与 c 。

1. 当 vxy 不包含 a 时， vy 只可能包含 b 或 c ，则 uxz 包含 n 个 a 及不到 n 个的 b 或 c ，使得 uxz 不属于 L 。

2. 当 vxy 不包含 c 时， uxz 会包含 n 个 c 及不到 n 个的 a 或 b ，使得 uxz 不属于 L 。

因此，无论是上述何种状况， L 都不会是上下文无关语言。

$L = \{a^i b^j \mid j = i^2\}$

令 n 为泵引理常数， $w = a^n b^{n^2}$ ， $w = uvxyz$ ，而 $|vxy| \leq n$, $|vy| \geq 1$

1. 若 vxy 只包含 a ，则 uxz 会包含不到 n 个 a 及 n^2 个 b ，不属于 L ；

2. 若 vxy 只包含 b ，则 uxz 会包含 n 个 a 及不到 n^2 个 b ，不属于 L ；

3. 若 vxy 里有 a 也有 b ，

1. 若 v 或 y 包含 a 与 b ， $uv^2 xy^2 z$ 不在 $\{a^i b^j\}$ 里；

2. 若 v 只包含 a ，且 y 只包含 b ， $uv^{1+k} xy^{1+k} z$ 会包含 $n + lk$ 个 a 与 $n^2 + mk$ 个 b ，由于两者都是线性成长，不可能永远满足 $\{a^i b^j \mid j = i^2\}$ 的条件，不属于 L 。

因此，无论是上述何种状况， L 都不会是上下文无关语言。

$L = \{ww \mid w \in \{0, 1\}^*\}$

令 n 为泵引理常数， $w = 0^n 1^n 0^n 1^n$ 属于 L ， $w = uvxyz$ ，而 $|vxy| \leq n$ ，则 vxy 必然为 $0^i 1^j$ 或 $1^j 0^i$ 形式（此处有 $i, j \in \mathbb{N}, i + j \neq 0$ ）。即 vxy 无法同时包含前后两组0，也无法同时包含前后两组1。将 $uvxyz$ 转变成 uxz 必然导致前后两组0或两组1的数目产生差异。使得 uxz 不再满足 ww 形式。亦即 uxz 不属于 L 。

因此， L 都不会是上下文无关语言。

$L = \{x^i y^j z^k \mid i \neq j \text{ and } j \neq k\}$

$L = \{b^n a^{2n} b^n \mid n \geq 0\}$

$L = \{a^n b^m c^m \mid n, m \geq 0\}$

Parsing

- ↳ Parsing is the process of determining whether a string of tokens can be generated by a grammar
 - ↪ Brute Force: 就是系统地生成这个 grammar 中能生成的所有 string, 然后和这个 string 对比
 - ↪ 可能是考点: 用 dynamic programming 的一个算法可以完成 parsing in $O(n^3)$
 - ↪ Deterministic context-free language can be parsed in linear time - sophisticated parsing table construction
 - ↪ Recursive Descent (Simple, relatively efficient approach)

↪ Basic idea:

并不要求知道具体如何定义 * A recognizing function is coded for each nonterminal symbol in the grammar

每个 grammar 的 nonterminal 都有一个 function

* The current input token is used to decide which of several possible productions is the appropriate one to use

Example: set of balanced strings $\{0^i 1^i \mid i \geq 0\}$

grammar: $\langle \text{balanced} \rangle \rightarrow 0 \langle \text{balanced} \rangle 1 \mid \epsilon$

idea: 就是该如何定义 function:

if next token is 0:

use $\langle \text{balanced} \rangle \rightarrow \epsilon$ # 有多少个 0 就有多少个 1

if next token is 1 or End of String (EOS)

use $\langle \text{balanced} \rangle \rightarrow \epsilon$ # 所以在读到 1 时直接 ϵ

Program 11.1

```
typedef enum { ZERO, ONE, EOS } vocab;  
  
vocab gettoken(void) { ... }  
  
vocab t;  
  
void MustBe(vocab ThisToken)  
{ ASSERT( ThisToken != EOS )  
/* verifies and then updates current token t */  
if (t != ThisToken)  
{ printf("String not accepted.\n"); exit(0); }  
t = gettoken();  
}  
  
void Balanced(void)  
{ switch (t)  
{ case ONE:  
    case EOS: /* <empty> */  
    break;  
default: /* 0 <balanced> 1 */  
    MustBe(ZERO);  
    Balanced();  
    MustBe(ONE);  
}  
}  
  
int main(void)  
{ t = gettoken();  
Balanced();  
if (t != EOS) printf("String not accepted.\n");  
return 0;  
}
```

RDP (Recursive descent parsing) 存在的问题：

① 因为是根据 token 选择 production，如果一个 token 实际指向了多个 production，Parser 不知道该选哪个

→ token 0 both begins a production and appears after <PA1>, then Parser can't know when to use α -production

→ 怎么解决这个问题呢？：使用 Concatenation 的 left distributivity: $\underline{R} S + \underline{R} T = \underline{R}(S+T)$

$\langle \text{sequence} \rangle \rightarrow \langle \text{statement} \rangle | \langle \text{statement} \rangle ; \langle \text{sequence} \rangle$

|| equivalent to

$\langle \text{Sequence} \rangle \rightarrow \langle \text{Statement} \rangle \langle \text{Sequence Tail} \rangle$

$\langle \text{Sequence Tail} \rangle \rightarrow \langle \text{Empty} \rangle | ; \langle \text{Sequence} \rangle$

这样就叫 left factoring

或是另外一个差不多的例子：

$A \rightarrow \alpha A_1 | \alpha A_2$

↓

$A \rightarrow \alpha A'$

$A' \rightarrow A_1 | A_2$

Example:

$S \rightarrow abSa | abcSc | abdc | bcc$

↓ \Rightarrow 注意者是 $ab c Sc$ 而不是 $abSc$

$S \rightarrow abS' | bcc$

$S' \rightarrow SS'' | dc$ or

$S'' \rightarrow a | c$ X

$S \rightarrow abS' | bcc$

$S' \rightarrow sa | csc | dc$

* 书上 Pg 233-234 展示了一个用 left factoring 会令 grammar ambiguous 的例子

Palindromes (RDP 无法解决的问题)

→ 这个 palindrome 是这样定义的：

$\langle \text{Palindrome} \rangle ::= \langle \text{empty} \rangle | 0 | 1 | 0 \langle \text{Palindrome} \rangle 0 | 1 \langle \text{Palindrome} \rangle 1$

$\langle \text{empty} \rangle ::=$

两个问题：

1. $\langle \text{empty} \rangle$ 是由 $\langle \text{Palindrome} \rangle$ 生成的，但是，0, 1, EOS 都是紧跟 $\langle \text{Palindrome} \rangle$ 后，所以当 process 到 $\langle \text{Palindrome} \rangle$ 时，RDP 不知道该不该选择 $\langle \text{empty} \rangle$

2. Two productions both have 0 as their first tokens and two other productions both have 1 as their first tokens. RDP 不知道选哪个

∴ Left factoring not improve the situation

∴ RDP 根本用不了

(b) Does the grammar allow the use of RDP?

RDP1: ✓

RDP2:

$$\text{Follow}(CB) \cap \text{FIRST}(B) = \emptyset \quad \left\{ \begin{array}{l} \text{这里要用对比那些可以 derive} \\ \text{Follow}(D) \cap \text{FIRST}(O) = \emptyset \end{array} \right. \quad \left\{ \begin{array}{l} \text{到 E 的 production 就可以} \\ \text{derive} \end{array} \right.$$

Left factoring / Left recursive:

(a) $S \rightarrow abSa \mid abcSc \mid abdc \mid bcc$

↓

$$S \rightarrow abS' \mid bcc$$

$$S' \rightarrow Sa \mid cSc \mid dc$$

(b) $S \rightarrow bSa \mid ccasb \mid cabSa \mid abc$

↓

$$S \rightarrow ccS' \mid bSa \mid abc$$

$$S' \rightarrow asb \mid bsa$$

这样的 CC 来在 S 中但用 CCS' 替代
保持子 S 中

* (c) $\left\{ \begin{array}{l} S \rightarrow sa \mid sbc \mid cc \mid \epsilon \\ \downarrow \\ S \rightarrow ccS' \mid s' \\ S' \rightarrow as' \mid bcS' \mid \epsilon \end{array} \right\}$ * 把 $sbc \rightarrow bcs'$ 放在 S' 中
ε 保持在 S' 中
这里的 S' 实际上是 $\epsilon S'$, 所以如果 S' 中
没 ε 的话就不需要 S' , 看 ↓

* (d) $S \rightarrow SB \mid \epsilon$ $\Rightarrow S \rightarrow s'$
 $B \rightarrow Bb \mid a$ $\Rightarrow S' \rightarrow B'S' \mid \epsilon$ ✓
 $B \rightarrow B' \times B \rightarrow aB'$
 $B' \rightarrow bB' \mid \otimes \times \rightarrow \epsilon$

* (e) $S \rightarrow abcSd \mid abdds \mid cdabs \mid cdbb$
↓
 $S \rightarrow abS' \mid cdS'$ or
 $S' \rightarrow csd \mid dds \mid abs \mid bb$
 $S'' \rightarrow abs \mid bb$ 两个一样的 prefix, 它们
分开放

(f) $S \rightarrow Sab \mid Sac \mid b \mid c$
↓ → left recursion

$$S \rightarrow S' \mid bS' \mid cS'$$

$$S' \rightarrow abs' \mid acs' \mid \epsilon$$

↓
 $S \rightarrow S' \mid bS' \mid cS'$
 $S' \rightarrow as'' \mid \epsilon$
 $S'' \rightarrow bs' \mid cs'$ 不需要

Assignment 6. 2020

$$(a) S \rightarrow acSa \mid acbSb \mid acdb \mid cbb$$

↓

$$S \rightarrow acS' \mid cbb$$

$$S' \rightarrow Sa \mid bSb \mid db$$

$$(b) S \rightarrow bSa \mid ccaSb \mid cabSa \mid abc$$

↓

$$S \rightarrow bSa \mid ccS' \mid abc$$

$$S' \rightarrow asb \mid bSa$$

$$(c) S \rightarrow Sa \mid Sbc \mid cc \mid \epsilon$$

↓

$$S \rightarrow ccS' \mid S'$$

$$S' \rightarrow as' \mid bcs' \mid \epsilon$$

$$(d) S \rightarrow SA \mid \epsilon \Rightarrow S \rightarrow S'$$

$$A \rightarrow Ab \mid a \qquad S' \rightarrow As' \mid \epsilon$$

$$A \rightarrow aA' \qquad A' \rightarrow bA' \mid \epsilon$$

Assignment 6. 2020

$$S \rightarrow cAa \mid aAb \mid LB$$

$$A \rightarrow dAb \mid cB \mid \epsilon$$

$$B \rightarrow bB \mid cBa \mid \epsilon$$

$$\text{Follow}(S) : \{EoS\}$$

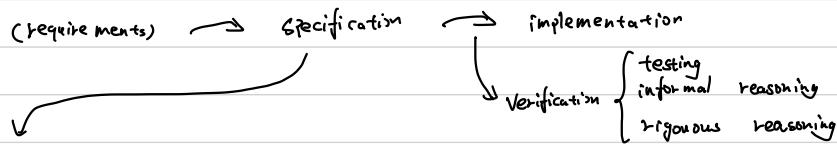
$$\text{Follow}(A) : S \rightarrow cAa \rightarrow \{a\} \qquad \left. \begin{array}{l} \{a\} \\ \{b\} \end{array} \right\} \{a, b\}$$

$$\text{Follow}(B) : S \rightarrow cAa \rightarrow cdAb \rightarrow cdCBb \rightarrow \{b\} \qquad \left. \begin{array}{l} \{b\} \\ \{a\} \end{array} \right\} \{a, b\}$$

$$(b) \text{Follow}(B) \cap \text{FIRST}(B) = \{b\} \rightarrow \text{break ROP 2} \rightarrow \text{Cant ROP}$$

Intro to Specification:

* 在设计一个程序 / 算法时，往往需要考虑很多问题 / 要求，如何创建一个程序的过程分为以下几步：



Specification is a contract:

Total Correctness: Program started in a state satisfying the pre-condition && terminates in a state that satisfying the post-condition 即满足 pre condition, 又以满足 post condition 的方式结束

Partial correctness: If the program terminates, then the variable at end satisfying the post-condition
总而言之，Specification 就是用来判断 program 应该做什么的，是非常细节的，比如一个 Array Search 简单地 program，就需要非常充分的 specification，像什么：input 是啥，我们假设前有哪些范围，……

* Specification 可以分为 **Static** 或是 **Dynamic** 的

↓ 立志的 dynamic 的 assertions

一般指的是 identifier 的 type, identifier 被定义为什么类型

↓ 比如刚刚 Array Search 的例子：

const int max; /* maximum number of entries */
const Entry Entry; /* type of entries, use == for equality */
const int n; /* number of entries */
const Entry x; /* search target */
Entry A[max]; /* A[0:n-1] are the entries to search */
bool present; /* search result */

那就像 dynamic Specification 则是诸如：

↓

- { The requirements on the value of present after the search
- { The assumptions on the value of n before the search
- The requirement that the Array segment A[0:n-1] not be changed

诸如这些都是用 Assertion 来写

↓ 用 logical formula 表写的

↓ &&, ||, !, ==, implies, iff

!, ∃, ∀

Implies 说： if P implies Q holds, then P is said to be stronger than Q and Q is said to be weaker than P

and Q do not imply P, then P is strictly stronger than Q

else (Q implies P) : P iff Q

Bound : $\forall I, P \leftrightarrow \exists I, P \quad \left\{ \begin{array}{l} I \text{ is set to be bound} \\ \text{Exists } (I) P \leftrightarrow \exists I, P \end{array} \right.$

Correctness statement :

ASSERT(P) /* 代码满足 pre condition

C → code Segment

ASSERT(Q) /* 在运行 C 结束之后，代码满足 post condition

$P \{ c \} Q$

注意， $P \{ c \} Q$ 是一个 correctness statement, 但是不是 assertion, a correctness statement is either true (valid) or false (invalid), independent of any particular state of a computation. 但是, an assertion can be true/false given different state

$\left\{ \begin{array}{l} \text{a correctness statement is a statement about code satisfying a pre- and post-condition} \\ \text{an assertion asserts a property of computational states} \end{array} \right.$

Simple assignment statement

\hookrightarrow 所以在这里面做到的题都是 correctness statement $\vdash \{C\} Q$

$\vdash \{C\} Q$

\downarrow 一个例子

$n = n_0 \quad \{n = n - 1\} \quad n = n_0 - 1$

\downarrow 可以写成要 generalize 的样子

Axiom Scheme: $V := I \quad \{V = E\} \quad V := [E] \quad \{V \rightarrow I\}$

\hookrightarrow Expression E with occurrences of V substituted by I

Ex 1: $x = y \quad \{x = \underbrace{x + 2}_{x \rightarrow y}\} \quad x = y + 2$

Ex 2: $x = y \quad \{x = \underbrace{3 * x + 2}_{x \rightarrow y}\} \quad x = 3 * y + 2$

但是 Axiom Scheme 是有限制的，那就是只有当 pre condition 是 $V = I$ 时才可以用，在这种基础上，提出

Hoare's axiom scheme: $[Q] (V \rightarrow E) \quad \{V = E\} \quad Q$

\downarrow Assertion $Q \leftarrow$ 把 occurrences of V 换成 E

Lecture 上给的概念：Given post condition, determine most general pre condition that guarantee post condition

Ex: $n - 1 \geq 0 \quad \{n = n - 1\} \quad n \geq 0$

Substitution:

在做 Substitution 的时候：

如果被替换的是一个 un bound / free 的 variable, 那没关系直接换

如果被替换的是一个 bound 的 variable, 那么换不成

如果 a 是不 free 的, 要替换 a , 但 $a = a$, 那要先给被 bound 的 a 换名字, 比如 t , 再正常替换

书上练习:

- (i) $\vdash \{x = 0\} \quad x = 0 \quad \text{?} : 0 = 0 \quad \checkmark$
- (ii) $\vdash \{x = 0\} \quad x \geq 0 \quad \text{?} : 0 \geq 0 \quad \checkmark$
- (iii) $\vdash \{x = 0\} \quad x > 0 \quad \text{?} : 0 > 0 \quad \times$
- (iv) $\vdash \{x = 0\} \quad y > 0 \quad \text{?} : y > 0$
- (v) $\vdash \{x = x + 1\} \quad x = 1 \quad \text{?} : x + 1 = 1$
- (vi) $\vdash \{x = x + 1\} \quad x > 0 \quad \text{?} : x + 1 > 0$
- (vii) $\vdash \{x = x + 1\} \quad x = y \quad \text{?} : x + 1 = y$
- (viii) $\vdash \{x = x - 1\} \quad x = y - 1 \quad \text{?} : x - 1 = y - 1$

Assignment 7 2019

- (a) $P \{ x = 2; \} x == 1$
 (b) $P \{ x = 2; \} x > 2$
 (c) $P \{ x = y + z; \} x < y + z$
 (d) $P \{ x = x + y + z; \} z > x*x + 2$
 (e) $P \{ x = x + y + z; \} y*y > z + 5$
 (f) $P \{ x = y + z \} \text{Exists}(w = 0; w < 10) x + w == 50$
 (g) $P \{ x = y + z; \} \text{ForAll}(z = 1; z < 100) x + 2*z > w + 2$
 (h) $P \{ x = y + z; \} \text{ForAll}(x = 1; x < z) x + y + 2 < 100$
 (i) $P \{ x = y + z; \} \text{ForAll}(y = 1; y < n) \text{Exists}(z = 1; z < n) x*y <= 3*z+w$
 (j) $P \{ x = y + z; \} \text{ForAll}(y = 1; y < n) \text{Exists}(x = 1; x < n) x*y <= 3*z+w$

(a) $? : 2 == 1 \quad x$

(b) $? : 2 > 2 \quad x$

(c) $y + z > y + z$

(d) $z > (x+y+z) * (x+y+z) + 2$

(e) $y * y > z + 5$

(f) $\text{Exists } (w = 0; w < 10) y + z + w == 50$

(g) $\text{ForAll } (t = 1; t < 100) y + z + 2*t > w + 2 \rightarrow \text{类推各式}$

(h) $\text{ForAll } (x = 1; x < z) x + y + z < 100$

(i) $\text{ForAll } (t = 1; t < n) \text{Exists } (h = 1; h < n) (y + z) * t < 3 * h + w$

(j) $\text{ForAll } (y = 1; y < n) \text{Exists } (x = 1; x < h) x * y <= 3 * z + w$

Assignment 6 2020

- (a) $P \{ x = 1; \} x == 1$
 (b) $P \{ x = 1; \} x == 2$
 (c) $P \{ x = y + z; \} 0 < x + y + z$
 (d) $P \{ x = x*z + 3; \} x*x > y + 2$
 (e) $P \{ x = x*y*z + 1; \} y*y > x + 5$
 (f) $P \{ z = y + 1; \} \text{Exists}(y = 0; y < 10) z + y == 50$
 (g) $P \{ x = y + 1; \} \text{ForAll}(z = 1; z < 100) x + 2*z > w + 2$
 (h) $P \{ x = z + 1; \} \text{ForAll}(z = 1; z < x) x + y + z < 100$
 (i) $P \{ x = x + y; \} \text{Exists}(x = 0; x < 10) x*x + z == 10$
 (j) $P \{ x = x + y; \} \text{Exists}(y = 0; y < 100) (x + y == 15 \quad || \quad z*x + y < 100)$

Using Mathematical Facts

* 首先，了解下 mathematical facts 这个概念是怎么来的

→ ASSERT($n > 0$)

$\{ \} n > 0 \text{ implies } n-1 \geq 0 \rightarrow \text{这一行就是 mathematical fact, 这一行 is true in every state}$

→ ASSERT($n-1 \geq 0$)

HA
 $n = n-1 ;$

ASSERT($n \geq 0$)

像这样子的，用 mathematical fact to strengthen a pre condition is formalized by

the condition strengthening :

$$\frac{P' \{ C \} Q \quad P \text{ implies } P'}{P \{ C \} Q} \rightarrow$$

或者，从 post condition 出发，并可以得到 mathematical fact，可以用

post condition weakening :

$$\frac{P \{ C \} Q \quad Q \text{ implies } Q'}{P \{ C \} Q'} \rightarrow$$

以上均是 inference rule：在 inference rule 中，只要上面的被证明了，下面的就是对的

More inference rule:

Sequencing :

$$\frac{P \{ C_0 \} Q \quad Q \{ C_1 \} R}{P \{ C_0 C_1 \} R} \rightarrow$$

这两个 inference rule 基本上是对等的

$$\frac{P \{ C_0 \} Q \quad Q \text{ implies } Q' \quad Q' \{ C_1 \} R}{P \{ C_0 C_1 \} R} \rightarrow$$

然后书里面提到了为什么用 formal proof 太麻烦，formal proof 是证明的一种形式，但用 formal proof is difficult to read

所以改用 proof tableaux

- short hand notation for a formal proof
- contains: pre-condition, post-condition

就像：

ASSERT($n > 1$)

ASSERT($n-1 \geq 0$)

$n = n-1$

ASSERT($n \geq 0$)

ASSERT($n \geq 1$)

Example:

Are the following correctness valid? (P19 & 23)

ASSERT ($n \geq 0$)

// Mathematical fact, $n \geq 0$ implies $n * n \geq 0$

→ ASSERT ($n * n \geq 0$)

H.A
n = n * n

ASSERT ($n \geq 0$)

Sequencing 的更深层例子：

ASSERT ($x == x_0 \ \&\ y == y_0$)

→ ASSERT ($x == x_0 \ \&\ y == y_0$)

H.A
z = x

→ ASSERT ($z == x_0 \ \&\ y == y_0$)

H.A
x = y

→ ASSERT ($z == x_0 \ \&\ x == y_0$)

H.A
y = z

ASSERT ($y == x_0 \ \&\ x == y_0$)

Each intermediate assertion is both a post and a pre condition, recall the sequencing inference rule

Sequencing:

$P \{ C_0 \} Q \quad Q \{ C_1 \} R$

$P \{ C_0 \} Q$

\Downarrow

$P \{ C_0 \} Q \quad Q \text{ implies } Q' \quad Q' \{ C_1 \} R$

$P \{ C_0 \} Q$

\Downarrow

Example: Verify the validity:

ASSERT ($i \geq 0 \ \&\ y == \text{power}(x, i)$)

This is valid since $i \geq 0$ implies $i + t \geq 0$ and $y == x^i$ implies $y * x == x^{i+1}$

ASSERT ($i + t \geq 0 \ \&\ y * x == \text{power}(x, i + t)$)

H.A
y = y * x

ASSERT ($i + t \geq 0 \ \&\ y == \text{power}(x, i + t)$)

H.A
i + t ;

ASSERT ($i \geq 0 \ \&\ y == \text{power}(x, i)$)

Assignment 8, 2019

1. Verify following statement: valid!

ASSERT ($y == 0 \ \&\ z > -1$)

// if $y == 0$, then $z == z - 2y$ hold, if $z > -1$ then $z \geq 0$ hold

ASSERT ($z \geq 0 \ \&\ z == z - 2y$)

ASSERT ($z - y \geq -y \ \&\ z - y + y == z - y - y$)

H.A
x = z - y;

ASSERT ($x \geq -y \ \&\ x + y == x + x - (x + y)$)

H.A
z = x + y;

ASSERT ($x \geq -z \ \&\ z == x + x - z$)

H.A
y = x - z;

ASSERT ($x \geq y \ \&\ z == x + y$)

每一步都力求
把 ASSERT 里
正确的東西都
化得最简

→ 先替换 $z == x$

→ 先替换 $z == 0$

Solution:

```
ASSERT( y == 0 || z > -1 ) // z > -1 implies z>=0 when z is integer  
ASSERT( z-y+y >= 0 || y == 0 )  
x = z-y;  
ASSERT( x+y >= 0 || x+y == x )  
z = x+y; 化简等式  
ASSERT( z >= 0 || z == x ) //arithmetic simplification  
ASSERT( x >= x-z || z == x+x-z )  
y = x-z;  
ASSERT( x >= y || z == x+y )
```

Example 2: Verify the validity of

$\text{assert}(k \geq 0 \& f == \text{fib}(k) \& g == \text{fib}(k-1))$

$\text{HA} \quad t = fg$ // ?? 不知道怎么 imply

$\text{HA} \quad g = f$ // $k \geq 0$ can't imply $k \geq 0$, so this is invalid X

$\text{HA} \quad f = t$

$\text{assert}(k \geq 0 \& f == \text{fib}(k+1) \& g == \text{fib}(k))$

$\text{HA} \quad \text{应该是}$ V 这是个可行的 assertion

$\text{HA} \quad t = fg$

$\text{HA} \quad g = f$

$\text{HA} \quad f = t$

$\text{assert}(k \geq 0 \& f == \text{fib}(k+1) \& g == \text{fib}(k))$

$\text{assert}(k \geq 0 \& f == \text{fib}(k+1) \& g == \text{fib}(k))$

If- Statement

直接上： $\{ \{ \text{if } C_B \} C_0 \text{ else } C_1 \} Q$ 有 else
 $\{ \{ \text{if } (B) \} C_0 \} Q$ 没 else

inference rule:

$$\frac{\begin{array}{c} \{ \& B \{ C_1 \} Q \\ \{ \& !B \{ C_2 \} Q \end{array}}{\{ \{ \text{if } (B) \} C_0 \text{ else } C_1 \} Q}$$

上面这个 inference rule 给出了下面这个 proof tableau scheme:

```

ASSERT(C P)
if(CB)
  ASSERT(CP && B)
    C0
    ASSERT(Q)
else
  ASSERT(CP && !B)
    C1
    ASSERT(CQ)
  ASSERT(CQ)

```

Example: ASSERT(C $\geq z = y$)

if(C $w > z \vee y > x$)
 $\{ w = z - 1 ; x = y ; \}$

$\{ \{ w = z - 1 \leq z \leq x \leq x \} \}$
 corresponding proof tableau

ASSERT(C $\geq z = y$)

if(C $w > z \vee y > x$)

ASSERT(C $\geq z = y \wedge (w > z \vee y > x)$)

HA $w = z - 1$
 $\{ \{ w = z - 1 \leq z \leq x \leq x \} \}$

HA $x = y$
 $\{ \{ w = z - 1 \leq z \leq y \leq y \} \}$

ASSERT(C $w = z = y \leq x$)

ASSERT(C $w = z = y \leq x$)

X =>

ASSERT(C $\geq z = y$)

if(C $w > z \vee y > x$)

ASSERT(C $\geq z = y \wedge (w > z \vee y > x)$)

HA $w = z - 1$
 $\{ \{ w = z - 1 \leq z \leq y \leq y \} \}$

ASSERT(C $w = z = y \leq y$)

HA $x = y$

ASSERT(C $w = z = y \leq x$)

即使在 else 中没有任何东西，也一定要写一个 else

ASSERT(C $\geq z = y \wedge ! (w > z \vee y > x)$)

$\| ! (w > z \vee y > x)$ require $w = z$ and $y = x$

ASSERT(C $w = z = y \leq x$)

ASSERT(C $w = z = y \leq x$)

Example 2 :

```
ASSERT (y == y₀ && z == z₀)
if (y <= z)
    { y = z + 1 ; z = z + 2 ; }
else
    { z = y + 2 ; y = y + 1 ; }
ASSERT (max(y₀, z₀) < y < z)
```

↳ corresponds proof tableau

ASSERT (y == y₀ && z == z₀)

if (y <= z)

ASSERT (y == y₀ && z == z₀ && y <= z)

// y <= z implies the following mathematical fact

H.A ↗ ASSERT (max(y₀, z₀) < z + 1 < z + 2) ↘ y == y₀ && z == z₀ && y <= z
y = z + 1 implies y₀ < z + 1 < z + 2, which
ASSERT (max(y₀, z₀) < y < z + 2) implies max(y₀, z₀) < z + 1 < z + 2
H.A ↗ z = z + 2

ASSERT (max(y₀, z₀) < y < z)

else

ASSERT (y == y₀ && z == z₀ && !(y <= z))

// ∵ !(y <= z) implies y > z, so the following holds

H.A ↗ ASSERT (max(y₀, z₀) < y + 1 < y + 2)

H.A ↗ z = y + 2 ↗ ASSERT (max(y₀, z₀) < y + 1 < z)

H.A ↗ y = y + 1 ↗ ASSERT (max(y₀, z₀) < y < z)

ASSERT (max(y₀, z₀) < y < z)



然后书介绍了只有 if 的情况，和之前笔记里介绍的一样，即使没有定义 else part，也要在 proof tableau 中有 else 的部分

↳ 一道可以思考的题目： why (B implies p₀) && (!B implies p₁) is valid as a pre-condition p.

```
ASSERT(p)
if (B)
    ASSERT(p₀)
    C₀
    ASSERT(Q)
else
    ASSERT(p₁)
    C₁
    ASSERT(Q)
ASSERT(p₂)
```

While Statement

↳ 提到了 loop, 就不得不提一下 loop Invariant: A single well-chosen assertion
Loop Invariant

*1. Formalization of intuition \rightarrow 循环都是 True 的

\hookrightarrow Incremental (True at every iteration)

*2. In a list, invariants usually say something about the "part of list"
 \hookrightarrow 至少这个在 223 中最常见

\hookrightarrow often talks about mathematical relationship / size bound $A[0 \dots i-1]$
 $A[j \dots i]$

*3. Invariants have no concept of time

\hookrightarrow can tell you: the statement about variable at some moment is true

*4. Loop Variant \neq loop condition

书上: invariant may follow directly from some pre condition / some code like initializing assignment may be needed immediately before the loop

循环不变式: 循环不变式是一种条件式, 对循环而言是保持不变的, 无论循环执行了多少次. 循环语句每执行一次, 就要求中间的结果必须不变式的成立

c1) 进入循环语句时, 不变式必须成立

c2) 循环语句的循环体不能破坏循环不变式, 也就是说, 循环体开始循环时不成立, 结束时也必须成立

(3) 如果循环语句终止时不成立, 那么逐步说明, 循环在保持循环不变式上没有犯错
是否可以说明, 当循环破坏了不变式的话, 循环必定错, 当循环满足不变式时, 循环也不一定对

while:

Inference rule

$$\frac{I \& B \models C \models I}{I \models \text{while}(B) \leftarrow C \models I \& B}$$

↳

ASSERT(I) \rightarrow 可以看到 ASSERT(I) 也是 loop 的 pre condition

while(B)

ASSERT(I && B)

C \rightarrow 在运行 C 的时候, I 有可能会 False 一下, 但出来的时候, I 必须为 true
ASSERT(C)

ASSERT(I && !B)

Example:

```

while (i != n)
{   y = y * n;      =>
    i++;           j
}

```

书上:

$\text{ASSERT } C_1 \Rightarrow$ 为什么当 $i \geq 0$? \Rightarrow $i \geq 0$

we may prove following use assignment axiom scheme and sequencing rule:

while ($i \neq n$)
 $\text{ASSERT } C_1 \& i \neq n$

$y = y * x$
 $i++$
 $\text{ASSERT } C_1$
 $\text{ASSERT } (i \geq 0 \& \neg b)$

$\left\{ \begin{array}{l} \text{H.A. } y = y * x \\ \text{H.A. } i++ \\ \text{ASSERT } C_1 \& i \geq 0 \& y = \text{power}(x, i+1) \end{array} \right.$

Then we find following mathematic fact:

$\left\{ \begin{array}{l} y = \text{power}(x, i) \text{ implies } y * x = (x, i+1) \\ i \geq 0 \text{ implies } i+1 \geq 0 \end{array} \right.$

Then use pre conditioning rule to derive

$\text{ASSERT } (y = \text{power}(x, i) \& i \geq 0 \& i \neq n)$

$\left\{ \begin{array}{l} y = y * x \\ i++ \\ \text{ASSERT } (i \geq 0 \& y = \text{power}(x, i)) \end{array} \right.$

$\text{ASSERT } (y = \text{power}(x, i) \& i \geq 0)$ 是 invariant

$\text{ASSERT } (\text{true})$

$\Rightarrow \text{ASSERT } (i == \text{power}(x, 0) \& 0 \geq 0)$

H.A. $i = 0;$ // 新增的两个 assignment
 $\Rightarrow \text{ASSERT } (i == \text{power}(x, i) \& i \geq 0)$

H.A. $y = 1;$ //
 $\text{ASSERT } (y == \text{power}(x, i) \& i \geq 0)$ // I在进入循环前也应该是正确的
 $\text{while } (i \neq n)$

$\text{ASSERT } (y == \text{power}(x, i) \& i \geq 0 \& i \neq n)$

// $i \geq 0$ implies $i+1 \geq 0$, $y == \text{power}(x, i)$ implies $y * x == \text{power}(x, i+1)$

$\Rightarrow \text{ASSERT } (y * x == \text{power}(x, i+1) \& i+1 \geq 0)$

H.A. $y = y * x$
 $\Rightarrow \text{ASSERT } (y == \text{power}(x, i+1) \& i+1 \geq 0)$

H.A. $i++$
 $\text{ASSERT } (y == \text{power}(x, i) \& i \geq 0)$

$\text{ASSERT } (y == \text{power}(x, i) \& i \geq 0 \& i == n)$

// $y == \text{power}(x, i) \& i == n$ implies $y == \text{power}(x, n)$

$\text{ASSERT } (y == \text{power}(x, n))$

* 对于循环的 proof tableau 时一定需注意：

ASSERT (true)

assignment - C --

ASSERT (I) → 这个 assertion 是在 assignment 操作之后的
while (B)

ASSERT (I && B)

C

ASSERT (I)

ASSERT (I && !B) → 如果 I && !B 就是 post condition 的话，就可以结束了，但如果
ASSERT (Q) 有个另外的 post condition Q，在这里要表示 I && !B implies Q

Example 2:

I: $i+j = 100 \ \&\& \ 0 \leq i \leq 101$

$i = 0; j = 100;$

while ($i \leq 100$) {

$i = i+1; j = j-1; \}$

ASSERT (true)
 \Rightarrow H.A (i = 0; j = 100;)
ASSERT (i + 100 = 100 $\&\& \ 0 \leq i \leq 101$)
H.A (j = 100;)
ASSERT (i + j = 100 $\&\& \ 0 \leq i \leq 101$)
while ($i \leq 100$) {
ASSERT (i + j = 100 $\&\& \ 0 \leq i \leq 101 \&\& i \leq 100$)
// $i+j = 100$ implies $i+j = 100, 0 \leq i \leq 101 \&\& i \leq 100$ implies $0 \leq i+1 \leq 101$
ASSERT (i + j = 100 $\&\& \ 0 \leq i+1 \leq 101$)
H.A (i = i+1; j = 100;)
ASSERT (i + j = 100 $\&\& \ 0 \leq i \leq 101$)
H.A (j = j-1)
ASSERT (i + j - 1 = 100 $\&\& \ 0 \leq i \leq 101$)
ASSERT (i + j = 100 $\&\& \ 0 \leq i \leq 101$)
}
ASSERT (i + j = 100 $\&\& \ 0 \leq i \leq 101 \&\& ! (i \leq 100)$)
// $i+j = 100 \&\& ! (i \leq 100)$ implies $i = 101 \&\& j = -1$
ASSERT (i = 101 $\&\& \ j = -1$)

$i = 0; j = 100;$

while ($i \neq n$) → 如果 $n \leq 0$, 这永不停

INVAR ($i=0 \&\& j = \text{power}(x, i)$)

{ $y = y * x;$

$i++;$

}

$i \leq 101 \&\& i > 100$ implies $i = 101$

$i = 101 \&\& i + j = 100$ implies $j = -1$

Example 3:

INVAR I: $y == \text{power}(x, n-k) \ \& \ 0 \leq k \leq n$
 ASSERT ($n \geq 0$)
 $k = n;$
 $y = 1;$
 while ($k > 0$) {
 INVAR (I)
 $y = y * x;$
 $k = k - 1;$
 }

ASSERT ($n \geq 0$) // $n \geq 0$ implies $n \geq 0$
 ASSERT ($I == \text{power}(x, 0) \ \& \ 0 \leq n - k \leq n$)
 H.A
 \Rightarrow
 ASSERT ($I == \text{power}(x, n-k) \ \& \ 0 \leq k \leq n$)
 ASSERT ($y == \text{power}(x, n-k) \ \& \ 0 \leq k \leq n$)
 while ($k > 0$) {
 ASSERT ($y == \text{power}(x, n-k) \ \& \ 0 \leq k \leq n \ \& \ k > 0$)
 // $y == \text{power}(x, n-k)$ implies $y * x == \text{power}(x, n-k+1)$
 // $k > 0 \ \& \ k \leq n$ implies $0 \leq k-1 \leq n$
 ASSERT ($y * x == \text{power}(x, n-k+1) \ \& \ 0 \leq k-1 \leq n$)
 H.A
 \Rightarrow
 ASSERT ($y == \text{power}(x, n-k+1) \ \& \ 0 \leq k-1 \leq n$)
 ASSERT ($y == \text{power}(x, n-(k-1)) \ \& \ 0 \leq k-1 \leq n$)
 H.A
 \Rightarrow
 ASSERT ($y == \text{power}(x, n-k) \ \& \ 0 \leq k \leq n$)
 }

ASSERT ($y == \text{power}(x, n-k) \ \& \ 0 \leq k \leq n \ \& \ !(k > 0)$)
 // $k \geq 0$ and $k \leq 0$ implies $k = 0$
 // $k = 0$ implies $y == \text{power}(x, n)$
 ASSERT ($y == \text{power}(x, n)$)

Find a proper Invariant I

Often, the invariant may be obtained by generalizing the post-condition of the specification.

↪ A simple technique that frequently works is to replace a "size" constant by a variable that is used as a counter.

↪ For example, 在这个例子中，the assertion $y == \text{power}(x, i)$ used in the invariant for the preceding loop is obtained from the post condition $y == \text{power}(x, n)$ by replacing n by i :

↪ 在此基础上, it will usually be necessary to add range conditions on such a counter, 继续刚刚的例子: we added the assertion $i \geq 0$ to the invariant to ensure the $\text{power}(x, i)$ was meaningful.

合适的 invariant 必须至少符合以下的条件:

1. It's preserved by loop body
2. It may be established initially by suitable assignment, taking into consideration the assumed pre condition
3. Together with the negation of the loop condition, it implies the desired post condition, perhaps after some finalizing code is executed

2nd extra page.

```

ASSERT( 1 <= n < max )
{ int i; i = 1;
  A[0] = 1;
  while( i < n ) { A[i] = A[i-1] + 3*i + 2;
                     i = i+1;
                  } //end while
}
ASSERT(ForAll(k = 0; k < n) A[k] == (3*k*k + 7*k + 2)/2 )

```

找 invariant

↪ ASSERT (1<=n <max)

{ int i;

i = 1
A[0] = 1

ASSERT (I)

while (i<n) { --- }

i = i+1 }

② 已知

ForAll (k=0; k<i) --- 在 invariant 中
根据这个来判断 变量值 i 和 k 的关系

i+=1 : 变量值 i 比 k 小

∴ 1 <= i , 又: !(i < n) → i >= n 要和 I 结合形成
post condition , ∴ 1 <= i <= n 在 I 中

ASSERT (I && !(i < n))

ASSERT (ForAll (k=0; k<n) A[k] == (3*k*k + 7*k + 2)/2)

Invariant: 1 <= i <= n && ForAll (k=0; k < i) ---

① I 经过两次替换后 必定形成一个没有
forAll 的式子，即
ForAll (k=0; k<n) 这个范围内
只能有一个元素，且这个元素必
定是 k=0，因为他 A[0] = 1

利用 i=1，得知，此时 k 已经等于 0，要
缩小范围，从 n 下手， i=1 ,
∴ ForAll (k=0; k < i) ---

必须在 invariant 中

Array Search

这是一个关于如何从一个嵌套代码中写 proof tableau 的
例证，看清楚 ASSERT 是怎样继承的

```

ASSERT (0 ≤ n ≤ max)
{
    int i;
    present = false;
    i = 0;
    while (i <= n) {
        if (A[i] == x) present = true;
        i++;
    }
}
// end while
ASSERT (present iff x in A[0: n-1])
Invariants: present iff x in A[0: i-1]
&& 0 ≤ i ≤ n

```

proof tableau:

补上最上面这行以及最下面那行的原因是 i 等均属于 local variable, the pre- and post- conditions do not mention i

↳ Inference rule for local variable:
 $\frac{P \models C \wedge Q}{P \models T \wedge C \models Q}$
 T 的 type

```

ASSERT (0 ≤ n ≤ max)
{
    int i;
    ASSERT (0 ≤ n ≤ max)
    ASSERT (false iff x in A[0:-1] && 0 ≤ 0 ≤ n)
    present = false;
    ASSERT (present iff x in A[0:-1] && 0 ≤ 0 ≤ n)
    i = 0
    ASSERT (present iff x in A[0:i-1] && 0 ≤ i ≤ n)
    while (i <= n) {
        ASSERT (present iff x in A[0:i-1] && 0 ≤ i ≤ n && i != n)
        // i ≥ 0 implies i >= 0, i != n && i < n implies i < n
        ASSERT (present iff x in A[0:i] && 0 ≤ i < n)
        if (A[i] == x) {
            ASSERT (present iff x in A[0:i-1] && 0 ≤ i ≤ n && i != n && A[i] == x)
            ASSERT (true iff x in A[0:i-1] && 0 ≤ i ≤ n)
            present = true
            ASSERT (present iff x in A[0:i-1] && 0 ≤ i ≤ n) } // end if
        else {
            ASSERT (present iff x in A[0:i-1] && 0 ≤ i ≤ n && i != n && A[i] != x)
            // present iff x in A[0:i-1] implies present iff x in A[0:i-1]
            ASSERT (present iff x in A[0:i-1] && 0 ≤ i ≤ n) } // end else
        ASSERT (present iff x in A[0:i] && 0 ≤ i < n)
        ASSERT (present iff x in A[0:i] && 0 ≤ i < n)
        i++
        ASSERT (present iff x in A[0:i-1] && 0 ≤ i ≤ n)
    } // end while
    ASSERT (present iff x in A[0:i-1] && 0 ≤ i ≤ n && !(i != n)) ✓
    // !(i != n) && i ≤ n implies i == n
    // i == n implies present iff x in A[0:n-1]
    ASSERT (present iff x in A[0:n-1]) ✓
    ASSERT (present iff x in A[0:n-1]) ✗

```

H.A

单因为 if / else 语句中
最后一条 assertion，应该
是紧跟 if / else 的
所以

应该先进行，因为从 if
出来之后才进行的 i++，才
得到这个

还有许多 Example:

① $f = 1; g = 0; k = 1;$
while ($k \neq n$)
INVAR $(k > 0 \ \& \ f == \text{fib}(k) \ \& \ g == \text{fib}(k-1))$
 $\{ \quad t = f + g; \quad g = f; \quad f = t; \quad k++; \quad \}$

Proof tableau:

ASSERT (true)
ASSERT ($1 > 0 \ \& \ 1 == \text{fib}(1) \ \& \ 0 == \text{fib}(0))$
 $f = 1;$
ASSERT ($1 > 0 \ \& \ f == \text{fib}(1) \ \& \ 0 == \text{fib}(0))$
 $g = 0;$
 \rightarrow ASSERT ($1 > 0 \ \& \ f == \text{fib}(1) \ \& \ g == \text{fib}(0))$
H.A \curvearrowleft $k = 1;$
ASSERT ($k > 0 \ \& \ f == \text{fib}(k) \ \& \ g == \text{fib}(k-1))$
while ($k \neq n$)
ASSERT ($k > 0 \ \& \ f == \text{fib}(k) \ \& \ g == \text{fib}(k-1) \ \& \ k \neq n$)
 $\text{fib}(n) = \text{fib}(n-1) + \text{fib}(n-2)$ \rightarrow // $k > 0$ implies $k+1 > 0$, $f == \text{fib}(k) \ \& \ g == \text{fib}(k-1)$ implies $f+g == \text{fib}(k+1)$
H.A \curvearrowleft $t = f + g;$
 \rightarrow ASSERT ($k+1 > 0 \ \& \ t == \text{fib}(k+1) \ \& \ f == \text{fib}(k))$
H.A \curvearrowleft $g = f$
 \rightarrow ASSERT ($k+1 > 0 \ \& \ t == \text{fib}(k+1) \ \& \ g == \text{fib}(k))$
H.A \curvearrowleft $f = t;$
 \rightarrow ASSERT ($k+1 > 0 \ \& \ f == \text{fib}(k+1) \ \& \ g == \text{fib}(k))$
H.A \curvearrowleft $k++;$
ASSERT ($k > 0 \ \& \ f == \text{fib}(k) \ \& \ g == \text{fib}(k-1))$
? // end while
ASSERT ($k > 0 \ \& \ f == \text{fib}(k) \ \& \ g == \text{fib}(k-1) \ \& \ !(k \neq n))$

For Statements :

for (A₀; B; A₁) C Example \Rightarrow for (i=0; i < n; i++) C

A₀ and A₁ are assignments

B is a boolean condition

C is a statement

↓ 等同于以下这个 while Statement

 A₀; while (B) {C A₁}

Corresponding proof tableau

ASSERT (P)

(A₀) \rightarrow while 外面执行

ASSERT (I)

while (B) {

 ASSERT (I && B)

 (A₁) \rightarrow while 里面执行

 ASSERT (I)

} // end while

 ASSERT (I && !B)

 ASSERT (Q)

Example :

ASSERT (0 ≤ n ≤ max)

{ int i;
 present = false;
 for (i = 0; i < n; i++)
 if (A[i] == x) present = true
 }

ASSERT (present iff x in A[0:n-1])

ASSERT (0 ≤ n ≤ max)

{ int i;
 present = false;
 i = 0;
 ASSERT (I)

 while (i < n) {

 ASSERT (I && i < n)

 if (A[i] == x) {

 ASSERT (I && i < n && A[i] == x)

 present = true

 ASSERT (I)

 else

 ASSERT (I && i < n && !A[i] == x)

 ASSERT (I)

 i++

 ASSERT (I) // end while

 ASSERT (I && !(i < n))

Array Component assignment → Formalize reasoning about array-component assignments

Code to interchange two array elements — assume all subscripts are within range of subscripts for A.

```

    } ASSERT(A[i] == x0 && A[j] == y0
          A[i] = A[i] - A[j];
          A[j] = A[i] + A[j];
          A[i] = A[j] - A[i];
    } ASSERT(A[i] == y0 && A[j] == x0)
  
```

Not good

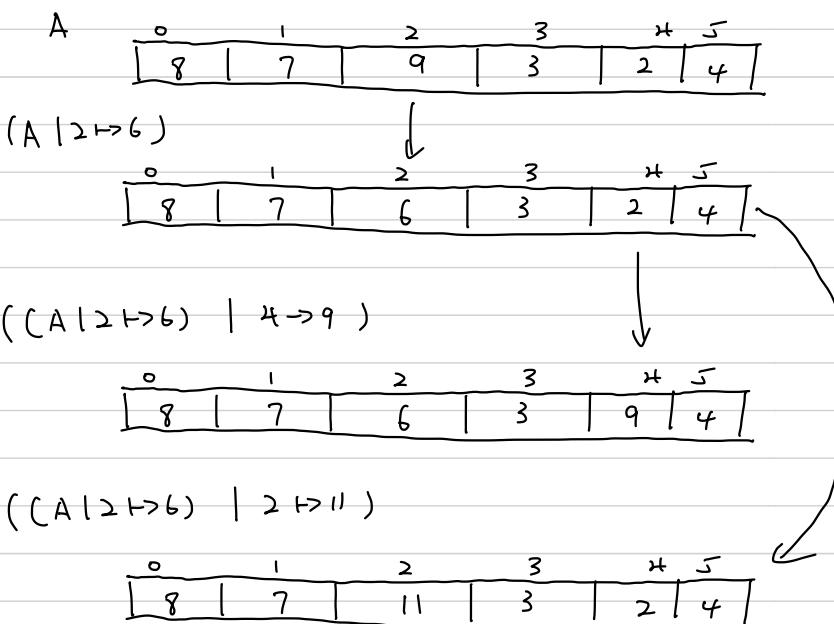
what can go wrong?

↪ if $i == j$, code does not work

Instead of modifying individual array elements, we have to modify the entire Array

Notation: $(A \mid I \mapsto E) [I'] = \begin{cases} E & \text{if } I == I' \\ A[I'] & \text{if } I' \neq I \end{cases}$ 就是在 A 中把 $A[I]$ 这个位置的值替换为 E , 然后取 $A[I']$ 的值

Example (notation use):



$$(A \mid I \mapsto E) \mid I \mapsto E' = (A \mid I \mapsto E')$$

Modified Hoare's Axiom Scheme

$$[Q] (A \mapsto A') \{ A[I] = E; \} Q \Rightarrow \begin{cases} A[I] = E \\ \text{assert } Q \end{cases}$$

where A' is $(A \mid I \mapsto E)$

\rightarrow assert Q → Q 中若提及 Array A, 则

\rightarrow 把上面的这个 Q 替换为 A

的部分换成 $(A \mid I \mapsto E)$

Example:

$$\begin{array}{l} \text{H.A. } \left(\begin{array}{l} \text{assert } (A \mid i \mapsto 3) [j] \geq (A \mid i \mapsto 3) [i]; \\ A[i] = 3; \\ \text{assert } (A \mid j) \geq (A \mid i); \end{array} \right) \end{array}$$

Rewrite pre-condition inform that does not have array component substitution

$$i = j : 3 \geq 3 \quad \text{true}$$

$$i \neq j : A[j] \geq 3$$

logical formula precondition becomes

$$\text{assert } (A \mid j) \geq 3 \text{ || } i = j$$

Example 2 \rightarrow assert $((A \mid i \mapsto x) \mid k \mapsto j \mid j == 0)$

$$\text{H.A. } \left(\begin{array}{l} A[i] = x \\ A[k] = j \end{array} \right)$$

$$\rightarrow \text{assert } (A \mid k \mapsto j) [j] == 0$$

$$\text{H.A. } \left(\begin{array}{l} A[k] = j \\ \text{assert } (A \mid j) == 0 \end{array} \right)$$

Example:

```
ASSERT (0 <= n <= max) // Invariant I = 0 <= i <= n && x != A[0:i-1] && A[n] == x
{ int i;
 ASSERT (0 <= n <= max)
    → ASSERT (0 <= 0 <= n && x != (A | n ↦ x)[0:-1] && (A | n ↦ x)[n] == x)
        H.A (i = 0)
        ASSERT (0 <= i <= n && x != A[0:i-1] && A[n] == x)
        while (A[i] != x) {
            ASSERT (0 <= i <= n && x != A[0:i-1] && A[n] == x && A[i] != x)
            // A[n] == x and A[i] != x implies i != n, 0 <= i <= n and i != n implies i < n
            // 0 <= i < n implies 0 <= i+1 <= n, A[i] != x implies x != A[0:i]
            → ASSERT (0 <= i+1 <= n && x != A[0:i] && A[n] == x)
                H.A (i++)
                ASSERT (0 <= i <= n && x != A[0:i-1] && A[n] == x)
            } // end - while
            ASSERT (0 <= i <= n && x != A[0:i-1] && A[n] == x && ! (A[i] != x))
            // A[i] == x && A[n] == x implies i == n, which implies following
            → ASSERT (i <= n) iff x in A[0:n-1]
                H.A (present = (i <= n))
                ASSERT (present iff x in A[0:n-1])
```

Example:

```
ASSERT (A[j] == y && A[i] == x)
    → ASSERT (A[j] == y && A[i] == x)
        { int z;
        ASSERT (((A | i ↦ A[j]) | j ↦ z)[i] == y && A[i] == x)
            H.A (z = A[i])
            ASSERT (((A | i ↦ A[j]) | j ↦ z)[i] == y && z == x)
            H.A (A[i] = A[j])
            ASSERT ((A | j ↦ z)[i] == y && (z == x))
            → ASSERT ((A | j ↦ z)[i] == y && (A | j ↦ z)[j] == x)
                H.A (z)
                ASSERT (A[i] == y && A[j] == x)
```

Example 2

$$\text{assert}((A[i \mapsto x] \mid k \mapsto j) \rightarrow [j] == 0)$$

$\vdash A$

$$\text{assert}(A[k \mapsto j] \rightarrow [j] == 0)$$

$\vdash A$

$$\text{assert}(A[j] == 0)$$

Rewrite logical formula:

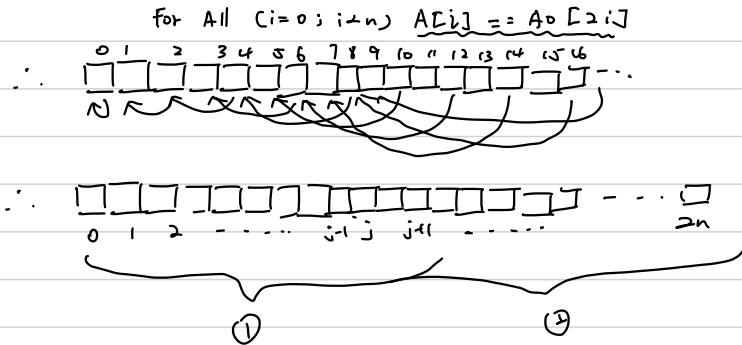
有的时候不一定都 hold, 需讨论

$$\begin{aligned} j &= k : \quad j = 0 \quad \text{false} \\ j &\neq k : \quad j = i : \quad x = 0 \\ j &\neq i : \quad A[j] == 0 \\ \Rightarrow (j \neq k \& j = i \& x = 0) \vee (j \neq k \& j \neq i \& A[j] == 0) \end{aligned}$$

Example: Shifting array elements from even numbered positions to a "contiguous chunk" in the beginning

interface const int n;
Entry $A[2n]$; // entries numbered $0, \dots, 2n-1$
Precondition
 $n \geq 0 \& A == A_0$

Post condition



c1) ForAll $(i=0; i \leq j) A[i] == A_0[2i]$:

Says what are values in modified part of array.

At end of loop implies post condition

c2) ForAll $(i=j; i \leq 2n) A[i] = A_0[i]$:

Says what are values in unmodified part of array

This is needed to verify that Assignment $A[i] = A_0[i]$ modifies invariant correctly

是前面的 while loop 的一个概念; True before entering the loop; preserved by loop body

* Second part is needed because the code "moves around" element of the array (from unmodified \rightarrow modified)

三]

ASSERT ($n \geq 1 \ \&\& \ A == A_0$)

$j = 1;$

while ($j \neq n$) {
 $A[j] = A[2*j];$
 $j++;$
}

ASSERT (ForAll ($i=0; i < n$) $A[i] == A_0[2i]$)

invariant I: $1 \leq j \leq n \ \&\&$
 $\forall i (i=0, i < j) A[i] == A_0[2i] \ \&\&$
 $\forall i (i=j, i < 2n) A[i] == A_0[i]$

刚刚讲的本题所以是拿来做 invariant 3

invariant I:

$1 \leq j \leq n \text{ } \& \& \text{ ForAll}(i=0; i < j) A[i] == A_0[2*i] \text{ } \& \& \text{ ForAll}(i=j; i < 2n) A[i] == A_0[i]$

ASSERT($n \geq 1 \text{ } \& \& A == A_0$) /*implies below assertion*/

ASSERT($1 \leq j \leq n \text{ } \& \& \text{ ForAll}(i=0; i < j) A[i] == A_0[2*i] \text{ } \& \& \text{ ForAll}(i=j; i < 2n) A[i] == A_0[i]$)

j = 1;
ASSERT(I)

while(j != n) {

• ASSERT($I \text{ } \& \& j \neq n$) /* implies below assertion: */
/* explain in class */

ASSERT($1 \leq j+1 \leq n \text{ } \& \& \text{ ForAll}(i=0; i < j+1) (A[j] \rightarrow A[2*j]) [i] == A_0[2*i] \text{ } \& \&$
 $\text{ForAll}(i=j+1; i < 2n) (A[j] \rightarrow A[2*j]) [i] == A_0[i]$)

A[j] = A[2*j];

ASSERT($1 \leq j+1 \leq n \text{ } \& \& \text{ ForAll}(i=0; i < j+1) A[i] == A_0[2*i] \text{ } \& \&$
 $\text{ForAll}(i=j+1; i < 2n) A[i] == A_0[i]$)

j++;

• ASSERT(I)

} //end while

• ASSERT($I \text{ } \& \& j == n$) /*implies below assertion*/

• ASSERT(ForAll($i=0; i < n$) A[i] == A_0[2*i])

this
~~next~~ page

F₁

→

F₂

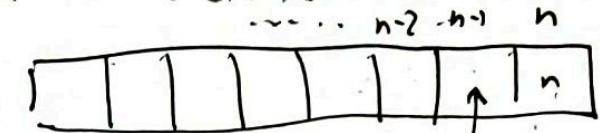
→

- $j \leq n \text{ } \& \& j \neq n$ implies $j+1 \leq n$

- F_1 with $j=i$ gives $A[2*i] == A_0[2*i]$ true by 2nd
 $j \neq i$ ($i=0, \dots, j-1$) is the first ForAll statement in I for all in invariant

- F_2 is the same as 2nd ForAll of I except
range of values is smaller

Example. All entries in segment $A[0: \text{max}]$ are defined.



ASSERT($1 \leq n < \text{max}$)

{ int j; $j = n - 1;$ }
 $A[n] = n;$ $\leftarrow \text{ASSERT}(I)$ { $j = n - 1$ }
 { $A[n] == n$ }

while ($j > 0$) { $A[j] = A[j + 1] + j;$
 $j = j - 1;$ } //end-while
}

ASSERT($j=0$ ForAll($k = 1; k < n + 1$) $A[k] == (n - k + 1) * (n + k) / 2$)

invariant $I : 0 \leq j \leq n - 1 \ \&\&$

ForAll ($k = j + 1; k < n + 1$) $A[k] == (n - k + 1) * (n + k) / 2$)

invariant says what
are values in
modified part of
array

2. As the invariant I we choose:

12Q

$0 \leq j \leq n-1 \&& \text{ForAll}(k = j+1; k < n+1) A[k] == (n-k+1)*(n+k)/2$

The proof tableau is as follows:

(1)

```
• ASSERT(1 <= n < max) // n>=1 implies n-1 >= 0
  { ASSERT(0<=n-1 && true ) // variable declaration does not affect reasoning
    { int j;
      ASSERT(0<=n-1 && n == (n-n+1)*(n+n)/2 ) //range of ForAll consists of n
      ASSERT(0<=n-1<=n-1 && ForAll(k = n; k<n+1) (A|n+->n)[k] == (n-k+1)*(n+k)/2 )
        j = n-1;
      ASSERT(0 <= j <= n-1 && ForAll(k = j+1; k<n+1) (A|n+->n)[k] == (n-k+1)*(n+k)/2 )
        A[n] = n;
      • ASSERT(I)
        while (j > 0) {
          • ASSERT( I && j > 0)
            // j>0 implies j-1 >= 0 when j int
            // I implies below ForAll() statement,
            // and I implies A[j+1] + j == (n-j-1+1)*(n+j+1)/2 + j
            // == (n*n + n - j*j + j)/2 == (n-j+1)(n+j)/2
            ASSERT(0<=j-1<=n-1 && A[j+1]+j == (n-j+1)*(n+j)/2 &&
                  ForAll(k=j+1; k<n+1) (A|j+->A[j+1]+j)[k] == (n-k+1)*(n+k)/2)
            //in below assertion write separately the equation when k==j
            //and note (A|j+->A[j+1]+j)[j] == A[j+1] + j
            ASSERT(0<=j-1<=n-1 && ForAll(k=j; k<n+1) (A|j+->A[j+1]+j)[k]==(n-k+1)*(n+k)/2)
              A[j] = A[j+1] + j;
            ASSERT( 0 <= j-1 <= n-1 && ForAll(k = j-1+1; k<n+1) A[k] == (n-k+1)*(n+k)/2)
              j = j-1;
          • ASSERT(I)
        } //end-while
      }
    
```

(2)

```
• ASSERT(I && j <= 0) //j<=0 && 0<=j implies j == 0
  //j==0 && I implies postcondition
  • ASSERT( ForAll(k = 1; k < n+1) A[k] == (n-k+1)*(n+k)/2 )
```

(4) The loop terminates, because, by the invariant, j is non-negative and each iteration of the loop decrements j by one.

Example ASSERT $((A|j \mapsto 3) | k \mapsto x+2)[m] > x+2$

$$\begin{array}{l} \text{H.P. } A[j] = 3 \\ \text{ASSERT } ((A | k \mapsto x+2)[m] > (\underbrace{A | k \mapsto x+2}_{x+2})[k]) \\ \text{H.P. } A[k] = x+2 \\ \text{ASSERT } A[m] > A[k] \end{array}$$

Rewrite ^{pre-condition} as logical formula w/o array component assignment notation

- $m == k : x+2 > x+2$ false
- $\underbrace{m != k : j == m : 3 > x+2}_{j != m : A[m] > x+2}$

Formula:

$$(k \neq m \& j = m \& 3 > x+2) \vee (k \neq m \& j \neq m \& A[m] > x+2)$$

在做此类型的有关 Array 的 "modified leave axiom" 时，推到上面 pre condition 之后，需要推出 \uparrow 类似这样的逻辑关系，再根据这一层逻辑关系，确定了这个

Non-interference Principle

$\text{ASSERT}(P)$

C

$\text{ASSERT}(P)$

is valid if

i) C has no assignment to variables used in P and

ii) C has no side-effects to variables in P

\therefore 如果这个 hold \rightarrow C 不影响 P

E.g. $\text{ASSERT}(A == A_0) \Rightarrow$ implies

- $\text{ASSERT}(\text{ForAll } (i=0; i \leq n) A[i] == A_0[i])$

Non
Interference
Principle

```
int k;
A[n] = target;
k = 0;
while (A[k] != target) k++;
present = (k < n);
```

} No assignment / side effect
to A[0 : n-1]

$\text{ASSERT}(\text{ForAll } (i=0, i \leq n) A[i] == A_0[i])$

We have verified two correctness statement for the array search code C

Can combine w/ bounds as

$\text{ASSERT}(0 \leq n \leq \text{max})$
 $\& A == A_0$

C

$\text{ASSERT}(\text{present iff target in } A[0 : n-1])$

$\& \text{ForAll } (i=0; i \leq n) A[i] == A_0[i]$

} No assignment / side effect

inference rule

$$\frac{P_1 \{ \subseteq Q_1 \quad P_2 \{ \subseteq Q_2 \}}{P_1 \& P_2 \{ \subseteq Q_1 \& Q_2 \}}$$

Justification Using precondition strengthening

$P_i \& P_2 \{ \subseteq Q_i \}$, $i=1, 2$

New inference rules Post-condition conjunction

$$\frac{P \{ \subseteq Q_1 \quad P \{ \subseteq Q_2 \}}{P \{ \subseteq Q_1 \& Q_2 \}}$$

$$\frac{P_1 \{ \subseteq Q_1 \quad P_2 \{ \subseteq Q_2 \}}{P_1 \parallel P_2 \{ \subseteq Q_1 \parallel Q_2 \}}$$

Intro to Computability (Ch 12)

Algorithmic problem:

- potentially infinite set of inputs
- a function that associates an output to each input

Solution for algorithmic problems:

- Algorithm that for each input computes the correct output

Unimplementable / Unsolvble

- many well defined and natural algorithmic problems cannot be solved (i.e. an algorithm does not exist)

Halting problem: Specification #判断一个函数是否在接收到了一个 arg 时停了

Implement a function HALTS in C that receives two file parameters func and arg

i) Must return true if the file func contains a C function definition with one file parameter, and the function terminates if applied to arg.

file.c
bool test(FILE *f)
{ ... }

ii) Returns false otherwise

Diagonalization

Technique for solving unsolvability

We assume HALTS can be implemented and derive a contradiction

bool halts(FILE func, FILE *arg) { ... }
assume this returns properly

test.c

```
int test(FILE *f) {
    FILE *a = tempfile();
    copy(f, a); // Copies file f to a at/
    if (halts(f, a)) while (true) {}
    else return 0;
}
```

用 test 跑 halts (test, a)

停了的语言是 infinite loop

不停的语言就 return false

Run test on test

* if halts return true → program will infinite loops
should be false

$\Rightarrow \perp$

* if halts return false → program terminates
 $\Rightarrow \perp$ (should be true)

Assumption

HALTS

returns properly is false

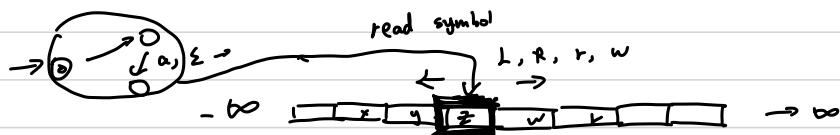
\Rightarrow HALTS is not solvable

为了理解第2部分的矛盾：如果 halts 是 false，它停不下来， \Rightarrow return 0

From a computability point of view, all high level programming languages (C, Java, Python) are equivalent: exact same function can be implemented in each language

* There exist various simple theoretical models that are equivalent to general purpose programming language

- most well known is Turing Machine
- Turing machine is a pushdown automata where the reading head can go into the stack and rewrite symbol inside of it.



Church-Turing thesis

Any function that can be implemented by an informal algorithm can be implemented on a Turing Machine

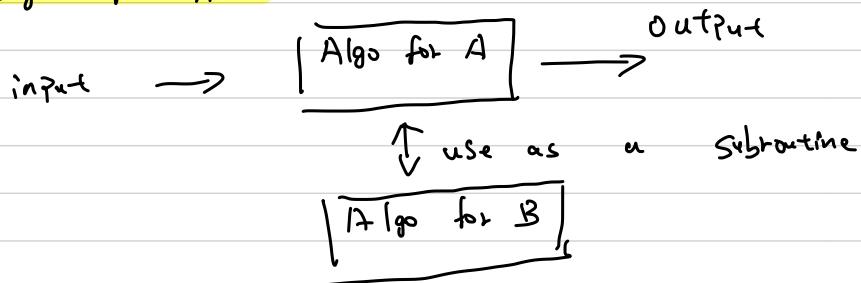
- C.T is believed to be true
- impossible to prove it, because "informal algorithm" doesn't have a great definition

Reductions (和 365 的一样)

解决 B 的方法可以拿来解决 A
A reduces to B

Algorithmic problem A reduces to problem B

if a (supposed) algorithm for B can be used to construct algo for A



Note the definition does not imply B has an algorithm

Example Know HALTs (\Leftrightarrow) is unsolvable

bool Halts On Empty (FILE func)

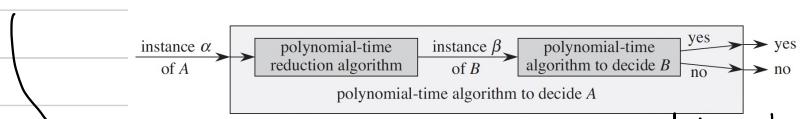
- * Returns true if func is a definition of an int function with one file parameter and that function halts when applied to the empty file
- * Returns false otherwise

i) Halts on Empty reduces to general halts
(is a special case of halts)

ii) In order to show Halts on Empty is unsolvable, need to reduce halts to halts on Empty.

\hookrightarrow Reduction!

“在解决一个问题之后，这个问题的答案可以解决另一个问题，那么另一个问题必定比直接解决的问题更简单”



Any instance of A can be transformed in polynomial time into an instance of B in an answer preserving way

P₁: Given a set S of n integers, does S contain the value 4?

P₂: Given a set S of n integers, does S contain the target integer k?

$\hookrightarrow P_1$ 比 P_2 简单 $\Rightarrow P_1$ reduce to $P_2 \vee \Rightarrow P_1$ 比 P_2 简单

P_2 比 P_1 简单 $\Rightarrow P_2$ reduce to $P_1 \Rightarrow \checkmark$

S' 变成 $S - k + 4 \rightarrow$ 存在 4 和 存在 k

223 Notation : halts \leq_p halts on Empty
reduces to polynomial time

halts \leq , Halts On Empty Reduction

即解：用 haltsOnEmpty 解决 halts

func, arg \rightarrow func, Arg

halts (Func, arg)

returns true if
func applied to Arg
func (arg)

Implement a file transformation

merge (func, arg, FuncArg)

if func contains

int f (FILE* a) { ... }

then merge writes FuncArg :

int f(FILE* b) {

 new description, function ignores this

FILE* a = fopen(FILE(i))

fprintf(a, "%s", "arg")

 contents of file arg

C

}

Note : The code C uses file *a

function written to FuncArg on any input behaves like func on input arg

SSM: FuncArg 是把原来的 Func 在 arg 上 copy 下去

```
bool halts(FILE* func, FILE* arg) {
    FILE* FuncArg = tempfile();
    merge (Func, arg, FuncArg);
    return HaltsOnEmpty(FuncArg);
}
```

function written to FuncArg on the empty file behaves as
func on input arg

Assuming HaltsOnEmpty satisfies its specifications, then implementation
of halts is correct.

Halts reduce to HaltsOnEmpty \rightarrow Halts On Empty is unimplementable / unsolvable

上面的实现已经解决了 Halts On Empty 问题，所以 Halts,

Rice's Theorem \leftarrow formalized as

Using similar "programming tricks" we can show that "practically all" algorithmic problem related to program correctness or termination are unsolvable

Decision Problem

Output is yes or no

Semantic Property of program:

Property that relates to behaviour of program:

- on input x , on output y
- terminates on input x

Syntactic Property property depending on the code

- program has correct C syntax
- program has loop lines

Non-trivial decision property:

some inputs have the property and some do not

Rice's Theorem

All non-trivial semantic decision properties of programs are unsolvable

Semantic property: relates to input / output behaviour of the program

Unsolvable problems for strings

Post Correspondence Problem (PCP)

Input: two sequences of strings

$(u_1, \dots, u_k) \quad (v_1, \dots, v_k)$

Question: Does there exist a sequence of indices i_1, \dots, i_m such that $u_{i_1}, u_{i_2}, \dots, u_{i_m} = v_{i_1}, \dots, v_{i_m}$

Note

the $1, \dots, k$ may repeat in the sequence i_1, \dots, i_m

Example

$(a^2, b^2, ab^2) \quad (a^2b, ba, b)$

Solution: $\begin{array}{c} aa \\ \text{aab} \end{array} \left\{ \begin{array}{c} bb \\ ba \end{array} \right\} \begin{array}{c} aa \\ aab \end{array} \left\{ \begin{array}{c} abb \\ b \end{array} \right\}$

Turing Machine halting problem reduces to PCP

\hookrightarrow PCP is unsolvable

Using a reduction from PCP we can show various problems for CFG (context free grammar) are unsolvable.

- CFG equivalence
- does a CFG generate all terminal strings
- deciding ambiguity of CFG

Note CFG are useful because parsing can be done efficiently

Regular languages

- all "natural" decision problems are solvable for regular language
 - ↳ solvable in principle: we do not care about resources used by the algorithm
- There are known examples of unsolvable problems but have in "unnatural" / "Artificial" definition
- Computational complexity
 - * Existence of an algorithm does not imply it's solvable in practice
 - * Integer factorization
 - Trivially solvable in the computability sense
 - No efficient algorithm known
also non hardness
 - * Many problems for regular language that can't be solved efficiently:
 - NFA minimization, NFA equivalence
 - regex minimization, regex equivalence
 - problems are PSPACE-complete