

第七章 进化计算

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 - Genetic Algorithm(GA遗传算法)
 - Evolutionary Programming(EP进化策略)
 - Evolutionary Strategies(ES进化规划)
 - Genetic Programming (GP遗传规划)
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Part I : Biological Inspiration to Search

1. Major Agents of Genetic Change in Individuals:
 - **Recombination**
 - **Mutation**

2. 进化机制可以分为 **自然选择**、**重组**、**突变** 三种类型

3. **Evolutionary Computation:**

Adoption of the evolutionary paradigm to computation and other problems can help us find optimal solutions

Part II : DNA Computing

1. DNA acts as a massive memory, but complementary bases react with each other can be used to compute things.
2. Uniqueness of DNA:
 - Extremely dense information storage.

The 1 gram of DNA can hold about 1×10^{14} MB of data.

- Enormous parallelism.

contain trillions of strands. 3×10^{14}

- Extraordinary energy efficiency.

2×10^{19} operations per joule.

3. [DNA计算](#)

Part III: What is Evolutionary Computation?

1. The Metaphor between **evolution** and **search**

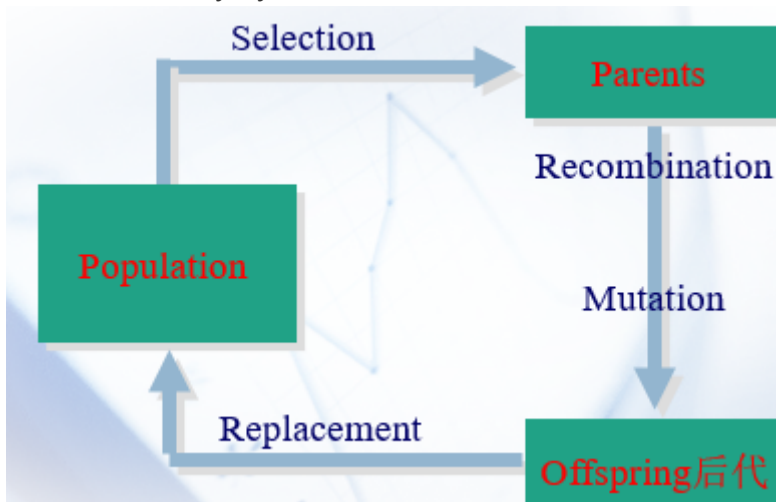
Environment -> Problem

Individual -> Candidate Solution

Fitness -> Quality(Value or Cost)

2. 进化机制可以分为 **自然选择**、**重组**、**突变** 三种类型

3. The Evolutionary Cycle



4. 典型进化算法

确定问题的表达方式，基因型还是表现型，编码方式

```

BEGIN
  INITIALISE population with random candidate solutions;
  EVALUATE each candidate;
  REPEAT UNTIL ( TERMINATION CONDITION is satisfied ) DO
    1 SELECT parents;
    2 RECOMBINE pairs of parents;
    3 MUTATE the resulting offspring;
    4 EVALUATE new candidates;
    5 SELECT individuals for the next generation;
  OD
END

```

5. 五个要素factor

- > **Representation** Genotypic vs. Phenotypic
- > **Fitness Evaluation**
- > **Genetic Operations**
 - > - Recombination
 - > - Mutation
- > **Selection Strategies**
 - > - Parent Selection
 - > - Survivor Selection 初始化终止方案
- > **Initialization\Termination Schemes**

6. 突变exploration和选择exploitation的平衡

选择太多会导致局部最优解
突变太多会导致不收敛

7. 如何设计一个进化算法?

- Step1. Design a representation
- Step2. Design a way of mapping a genotype to a phenotype (not necessarily)
- Step3. Design a fitness function
- Step4. Design suitable genetic operators: mutation and/or recombination
- Step5. Decide how to select parents and survivors
- Step6. Decide how to initialise a population and when to stop the algorithm

8. [Example: 8 Queen](#)

Part IV : Evolutionary Algorithms

1. Genetic algorithms

2. Evolutionary Programming

- 主要特点

表现型观点

只存在突变不存在重组

进化发生在个体上

先产生新的群体，然后在新旧两个群体上平等选择

- 理论基础

One point in the search space stands for a species, not for an individual and there can be no crossover between species

- 选择算子

Parent selection(一个父代个体通过突变产生一个子代个体)

Survivor selection (随机型q-竞争法)

- [Example application: evolving checkers players](#)

3. Evolutionary Strategies

- 进化策略主要特点

applied to numerical optimization

fast, good optimizer for real-valued optimization, relatively much theory

self-adaptation of (mutation) parameters standard

自然选择按照确定的方式执行，有别于遗传算法和进化规划中的随机选择方式

- 进化策略的不同形式

- $(1+1)$ -ES, only mutation
- $(u + 1)$ -ES, mutation and recombination
- $(u + \lambda)$ -ES, mutation and recombination

- 进化策略中的遗传操作

- 重组
 - 离散重组
 - 中值重组
 - 混杂重组
- 变异
 - 简单突变算子
 - 二元突变算子

- 三元突变算子

$$\begin{cases} \sigma'_i = \sigma_i \cdot \exp(\tau' \cdot N(0,1) + \tau \cdot N_i(0,1)) \\ \alpha'_{ij} = \alpha_{ij} + \beta \cdot N_j(0,1) \\ x'_i = x_i + z_i \end{cases}$$

- 选择

严格按照适应度大小选择

- 算法流程

Step1 确定问题的表达方式

Step2 随机生成初始种群，计算其中每个个体的适应度

Step3 用以下操作生成新群体，实现进化：

Step3-1. 选择某种重组方式进行个体重组

Step3-2. 选择某种突变方式对重组后的个体进行突变

Step3-3. 计算新个体适应度 Step3-3. 选择适应度最高的前若干个优良个体组成下一代群体

Step4 反复执行Step3，直到终止条件满足，从群体中选择最优个体作为最优解。这里，终止条件与进化规划算法的终止条件类似。