# Abstract interpretation with bounded numeric intervals

Second assignment of the Software Verification course, A.Y. 2022/2023

Christian Micheletti January 6, 2024



### Outline



- 1 The Language
  - Arithmetic Expressions
  - Boolean Expressions
- 2 Abstract Interpreter
  - Abstract States
  - Abstract Semantics
- 3 Implementation
  - The Bounded Intervals Domain
  - Usage
- 4 Introduction
- 5 First section

### The Language



The language is a variation of the While language seen in class. It differs on:

- it admits some syntactic sugar (it's not minimal);
- its semantic functions model divergence and state changes in both arithmetic and boolean expressions.

### Arithmetic Expressions - Syntax



$$AExp ::= n \mid x \mid -e \mid (e)$$
  
 $\mid e_1 + e_2 \mid e_1 - e_2 \mid e_1 * e_2 \mid e_1/e_2$   
 $\mid x++ \mid ++x \mid x-- \mid --x$ 

The syntax allows arithmetic expression that change the state, such as x++ and x--.

The operator  $(\cdot/\cdot): \mathbb{N} \to \mathbb{N} \to \mathbb{N}$  returns the quotient of the two arguments. It's undefined when the second argument is 0.

### Arithmetic Expressions - Semantics (1)



#### $\mathcal{A}: AExp \rightarrow State \hookrightarrow \mathbb{Z} \times State$

$$\mathcal{A}[\![n]\!]\varphi = (n_{\mathbb{Z}}, \varphi)$$

$$\mathcal{A}[\![x]\!]\varphi = (\varphi(x), \varphi)$$

$$\mathcal{A}[\![(e)]\!]\varphi = \mathcal{A}[\![e]\!]\varphi$$

$$\mathcal{A}[\![-e]\!]\varphi = \begin{cases} (-a, \varphi') & \mathcal{A}[\![e]\!]\varphi = (a, \varphi') \\ \uparrow & (\mathcal{A}[\![e]\!]\varphi) \uparrow \end{cases}$$

### Arithmetic Expressions - Semantics (2)



#### $\mathcal{A}: AExp \rightarrow State \hookrightarrow \mathbb{Z} \times State$

$$\mathcal{A}[\![e_1/e_2]\!]\varphi = \begin{cases} (a_1 \div a_2, \varphi'') & \mathcal{A}[\![e_1]\!]\varphi = (a_1, \varphi') \\ & \wedge \mathcal{A}[\![e_2]\!]\varphi' = (a_2, \varphi'') \\ & \wedge a_2 \neq 0 \\ \uparrow & \text{otherwise} \end{cases}$$

$$\mathcal{A}[\![e_1 \text{ op } e_2]\!]\varphi = \begin{cases} (a_1 \text{ op } a_2, \varphi'') & \mathcal{A}[\![e_1]\!]\varphi = (a_1, \varphi') \\ & \wedge \mathcal{A}[\![e_2]\!]\varphi' = (a_2, \varphi'') \\ \uparrow & \text{otherwise} \end{cases}$$

# Arithmetic Expressions - Semantics (3)



#### $\mathcal{A}: AExp \rightarrow State \hookrightarrow \mathbb{Z} \times State$

$$\mathcal{A}[\![x++]\!]\varphi = (\varphi(x), \varphi[x \mapsto x+1])$$

$$\mathcal{A}[\![++x]\!]\varphi = let \ \varphi' = \varphi[x \mapsto x+1]$$

$$in \ (\varphi'(x), \varphi')$$

$$\mathcal{A}[\![x--]\!]\varphi = (\varphi(x), \varphi[x \mapsto x-1])$$

$$\mathcal{A}[\![--x]\!]\varphi = let \ \varphi' = \varphi[x \mapsto x-1]$$

$$in \ (\varphi'(x), \varphi')$$

### Boolean Expressions - Syntax



BExp ::=true | false | (b) | 
$$b_1$$
 and  $b_2$  |  $b_1$  or  $b_2$   
|  $e_1 = e_2$  |  $e_1$  !=  $e_2$  |  $e_1$  <  $e_2$  |  $e_1$  >=  $e_2$   
|  $e_1$  >  $e_2$  |  $e_1$  <=  $e_2$ 

The operator  $(\neg \cdot) : \mathbb{T} \to \mathbb{T}$  is not in the minimal definition: it is defined as syntactic sugar later on.

### Boolean Expressions - Semantics (1)



Operators between booleans short-circuit evaluation:

#### $\mathcal{B}: \textit{BExp} \rightarrow \textit{State} \hookrightarrow \mathbb{T} \times \textit{State}$

$$\mathcal{B}[\![\mathsf{true}]\!]\varphi = (\mathsf{tt}, \varphi)$$

$$\mathcal{B}[\![\mathsf{false}]\!]\varphi = (\mathsf{ff}, \varphi)$$

$$\mathcal{B}[\![(b)]\!]\varphi = \mathcal{B}[\![b]\!]\varphi$$

$$\mathcal{B}[\![b_1]\!]\varphi = \begin{cases} (\mathsf{ff}, \varphi') & \mathcal{B}[\![b_1]\!]\varphi = (\mathsf{ff}, \varphi') \\ \\ \mathcal{B}[\![b_2]\!]\varphi' & \mathcal{B}[\![b_1]\!]\varphi = (\mathsf{tt}, \varphi') \\ \\ \uparrow & \text{otherwise} \end{cases}$$

$$\mathcal{B}[\![b_1]\!]\varphi = (\mathsf{tt}, \varphi')$$

$$\mathcal{B}[\![b_1]\!]\varphi = (\mathsf{ff}, \varphi')$$

$$\mathcal{B}[\![b_2]\!]\varphi' & \mathcal{B}[\![b_1]\!]\varphi = (\mathsf{ff}, \varphi')$$

$$\uparrow & \text{otherwise} \end{cases}$$

### Boolean Expressions - Semantics (2)



Comparison operators propagate the state transition(s):

### $\mathcal{B}: \mathsf{BExp} o \mathsf{State} \hookrightarrow \mathbb{T} imes \mathsf{State}$

$$\mathcal{B}\llbracket e_1 = e_2 \rrbracket \varphi = \begin{cases} (a_1 = a_2, \varphi'') & \mathcal{A}\llbracket e_1 \rrbracket \varphi = (a_1, \varphi') \\ & \wedge \mathcal{A}\llbracket e_2 \rrbracket \varphi' = (a_2, \varphi'') \\ \uparrow & \text{otherwise} \end{cases}$$

$$\mathcal{B}\llbracket e_1 != e_2 \rrbracket \varphi = \begin{cases} (a_1 \neq a_2, \varphi'') & \mathcal{A}\llbracket e_1 \rrbracket \varphi = (a_1, \varphi') \\ & \wedge \mathcal{A}\llbracket e_2 \rrbracket \varphi' = (a_2, \varphi'') \\ \uparrow & \text{otherwise} \end{cases}$$

### Boolean Expressions - Semantics (3)



#### $\mathcal{B}: \textit{BExp} \rightarrow \textit{State} \hookrightarrow \mathbb{T} \times \textit{State}$

$$\mathcal{B}\llbracket e_1 < e_2 \rrbracket \varphi = \begin{cases} (a_1 < a_2, \varphi'') & \mathcal{A}\llbracket e_1 \rrbracket \varphi = (a_1, \varphi') \\ & \wedge \mathcal{A}\llbracket e_2 \rrbracket \varphi' = (a_2, \varphi'') \\ \uparrow & \text{otherwise} \end{cases}$$

$$\mathcal{B}\llbracket e_1 >= e_2 \rrbracket \varphi = \begin{cases} (a_1 \geq a_2, \varphi'') & \mathcal{A}\llbracket e_1 \rrbracket \varphi = (a_1, \varphi') \\ & \wedge \mathcal{A}\llbracket e_2 \rrbracket \varphi' = (a_2, \varphi'') \\ \uparrow & \text{otherwise} \end{cases}$$

### Boolean Expressions - Semantics (4)



#### $\mathcal{B}: \textit{BExp} \rightarrow \textit{State} \hookrightarrow \mathbb{T} \times \textit{State}$

$$\mathcal{B}[\![e_1 > e_2]\!]\varphi = \begin{cases} (a_1 > a_2, \varphi'') & \mathcal{A}[\![e_1]\!]\varphi = (a_1, \varphi') \\ & \wedge \mathcal{A}[\![e_2]\!]\varphi' = (a_2, \varphi'') \\ \uparrow & \text{otherwise} \end{cases}$$

$$\mathcal{B}[\![e_1 \iff e_2]\!]\varphi = \begin{cases} (a_1 \le a_2, \varphi'') & \mathcal{A}[\![e_1]\!]\varphi = (a_1, \varphi') \\ & \wedge \mathcal{A}[\![e_2]\!]\varphi' = (a_2, \varphi'') \\ \uparrow & \text{otherwise} \end{cases}$$

### Boolean Expressions - Syntactic Sugar



#### Rule

Since boolean expressions induce state transitions, the evaluation order and quantity must be preserved in the desugared code.

This is the reason why we couldn't model the operators  $(\cdot > \cdot), (\cdot \leq \cdot) : \mathbb{N} \to \mathbb{N} \to \mathbb{T}$  as syntactic sugar. There is no way to encode those operators only with  $(\cdot < \cdot), (\cdot >= \cdot), (\cdot = \cdot)$  and  $(\cdot ! = \cdot)$  respecting this rule.

### Boolean Expressions - Negation



not true 
$$\stackrel{\mathsf{def}}{=}$$
 false

not false  $\stackrel{\mathsf{def}}{=}$  true

not  $(b_1 \text{ and } b_2) \stackrel{\mathsf{def}}{=}$  not  $b_1$  or not  $b_2$ 

not  $(b_1 \text{ or } b_2) \stackrel{\mathsf{def}}{=}$  not  $b_1$  and not  $b_2$ 

not  $e_1 = e_2 \stackrel{\mathsf{def}}{=} e_1 != e_2$ 

not  $e_1 != e_2 \stackrel{\mathsf{def}}{=} e_1 = e_2$ 

not  $e_1 < e_2 \stackrel{\mathsf{def}}{=} e_1 >= e_2$ 

not  $e_1 < e_2 \stackrel{\mathsf{def}}{=} e_1 < e_2$ 

not  $e_1 > e_2 \stackrel{\mathsf{def}}{=} e_1 < e_2$ 

not  $e_1 > e_2 \stackrel{\mathsf{def}}{=} e_1 < e_2$ 

### Statements - Syntax



```
While ::= x := e \mid \text{skip} \mid \{S\} \mid S_1 ; S_2 | if b then S_1 else S_2 \mid \text{while } b do S
```

# Statements - Semantics (1)



#### $\mathcal{S}_{ds}: While \rightarrow State \hookrightarrow State$

$$\mathcal{S}_{ds}[\![x := e]\!]\varphi = \begin{cases} \varphi'[x \mapsto a] & \mathcal{A}[\![e]\!]\varphi = (a, \varphi') \\ \uparrow & \text{otherwise} \end{cases}$$

$$\mathcal{S}_{ds}[\![skip]\!]\varphi = \varphi$$

$$\mathcal{S}_{ds}[\![S]\!]\varphi = \mathcal{S}_{ds}[\![S]\!]\varphi$$

### Statements - Semantics (2)



#### $\mathcal{S}_{ds}: While \rightarrow State \hookrightarrow State$

$$\begin{split} \mathcal{S}_{ds} \llbracket S_1 \; ; \; S_2 \rrbracket \varphi = & (\mathcal{S}_{ds} \llbracket S_2 \rrbracket \circ \mathcal{S}_{ds} \llbracket S_1 \rrbracket) \varphi \\ \mathcal{S}_{ds} \llbracket \text{if } b \text{ then } S_1 \text{ else } S_2 \rrbracket \varphi = & cond (\mathcal{B} \llbracket b \rrbracket, \mathcal{S}_{ds} \llbracket S_1 \rrbracket, \mathcal{S}_{ds} \llbracket S_2 \rrbracket) \\ \mathcal{S}_{ds} \llbracket \text{while } b \text{ do } S \rrbracket \varphi = & \text{FIX} (\lambda g.cond (\mathcal{B} \llbracket b \rrbracket, g \circ \mathcal{S}_{ds} \llbracket S \rrbracket, id)) \end{split}$$

Where

$$cond(pred, g_1, g_2) = egin{cases} g_1(arphi') & pred(arphi) = (\mathbf{tt}, arphi') \ g_2(arphi') & pred(arphi) = (\mathbf{ff}, arphi') \ \uparrow & \text{otherwise} \end{cases}$$

### Abstract States (1)



We define for any abstract domain A, which is a complete lattice as well, the abstract state type  $\mathbb{S}_A = Map(Var, A)$ .

#### Assumption

When a variable is used before its definition, then its value is assumed to be "unknown"  $(\top_A)$ . This is due to the fact that we assume that all referenced variables in the program are initialized.

# Abstract States (2)



Moreover,  $\perp_{\mathbb{S}_A}$  represents an abnormal termination (no update operation can be performed over this state):

$$s(x) = \begin{cases} a & (x, a) \in s \\ \top_A & \text{otherwise} \end{cases}$$

$$s[x \mapsto a] = \begin{cases} \bot_{\mathbb{S}_A} & s = \bot_{\mathbb{S}_A} \\ \{(k, v) \mid (k, v) \in s, \ k \neq x\} & a \neq \top_A, \ s \neq \bot_{\mathbb{S}_A} \\ \{(k, v) \mid (k, v) \in s, \ k \neq x\} & \text{otherwise} \end{cases}$$

### Abstract States (3)



#### $\mathbb{S}_A$ is partially ordered

$$s_1 \leq_{\mathbb{S}_A} s_2 \Longleftrightarrow s_1(x) \leq_A s_2(x) \ \forall x \in Var$$

#### $\mathbb{S}_A$ is a complete lattice

$$\bot_{\mathbb{S}_{A}} = \{(x, \bot_{A}) \mid x \in Var\} 
\top_{\mathbb{S}_{A}} = \emptyset 
s_{1} \lor_{\mathbb{S}_{A}} s_{2} = \{(var, a_{1} \lor_{A} a_{2}) \mid (var, a_{1}) \in s_{1}, (var, a_{2}) \in s_{2}\} 
s_{1} \land_{\mathbb{S}_{A}} s_{2} = \{(var, a_{1} \land_{A} a_{2}) \mid (var, a_{1}) \in s_{1}, (var, a_{2}) \in s_{2}\} 
\cup \{e \mid e \in s_{1}, e \notin s_{2}\} \cup \{e \mid e \notin s_{1}, e \in s_{2}\}$$

### **Abstract Semantics**



The abstract semantic functions are:

- $\blacksquare \ \mathcal{A}^{\sharp} : AExp \to \mathbb{S}_A \to A \times \mathbb{S}_A:$ 
  - the first element of the tuple approximates the possible results of the arithmetic expression;
  - the second element approximates the possible states after the transition induced by the expression;
- $\blacksquare \mathcal{B}^{\sharp} : BExp \to \mathbb{S}_A \to \mathbb{S}_A \times \mathbb{S}_A;$ 
  - the first element of the tuple approximates the states where the boolean expression can evaluate to **tt**;
  - the second element approximates the states where the boolean expression can evaluate ff.

This function returns two states, instead of one, in order to preserve the short circuit behavior of boolean operators along with a compositional definition.

lacksquare  $\mathcal{D}^{\sharp}: While o \mathbb{S}_{\mathcal{A}} o \mathbb{S}_{\mathcal{A}}.$ 

# Abstract Semantics - Statements (1)



### $\mathcal{D}^{\sharp}: \mathit{While} ightarrow \mathbb{S}_{\mathit{A}} ightarrow \mathbb{S}_{\mathit{A}}$

$$\mathcal{D}^{\sharp} \llbracket x \ := \ e \rrbracket s^{\sharp} \stackrel{\mathsf{def}}{=} \begin{cases} s'^{\sharp} \llbracket x \mapsto a \rrbracket & (a, s'^{\sharp}) = \mathcal{A}^{\sharp} \llbracket e \rrbracket s^{\sharp} \\ & \land a \neq \bot_{A} \\ \bot_{\mathbb{S}_{A}} & \textit{otherwise} \end{cases}$$

$$\mathcal{D}^{\sharp} \llbracket \mathsf{skip} \rrbracket s^{\sharp} \stackrel{\mathsf{def}}{=} s^{\sharp}$$

$$\mathcal{D}^{\sharp} \llbracket S_{1} \ ; \ S_{2} \rrbracket s^{\sharp} \stackrel{\mathsf{def}}{=} (\mathcal{D}^{\sharp} \llbracket S_{1} \rrbracket \circ \mathcal{D}^{\sharp} \llbracket S_{2} \rrbracket) s^{\sharp}$$

### Abstract Semantics - Statements (2)



#### $\mathcal{D}^{\sharp}: While ightarrow \mathbb{S}_{A} ightarrow \mathbb{S}_{A}$

$$\mathcal{D}^{\sharp} \llbracket \text{if } b \text{ then } S_{1} \text{ else } S_{2} \rrbracket s^{\sharp} \stackrel{\text{def}}{=} (\mathcal{B}^{\sharp} \llbracket S_{1} \rrbracket s^{\sharp}_{\mathbf{tt}}) \vee_{\mathbb{S}_{A}} (\mathcal{B}^{\sharp} \llbracket S_{2} \rrbracket s^{\sharp}_{\mathbf{ff}})$$

$$\qquad where \qquad (s^{\sharp}_{\mathbf{tt}}, s^{\sharp}_{\mathbf{ff}}) = \mathcal{B}^{\sharp} \llbracket b \rrbracket s^{\sharp}$$

$$\mathcal{D}^{\sharp} \llbracket \text{while } b \text{ do } S \rrbracket s^{\sharp} \stackrel{\text{def}}{=} \pi_{2} (\mathcal{B}^{\sharp} \llbracket b \rrbracket (\mathsf{GFP}_{\mathsf{FIX}} F(\lambda s.s \wedge_{\mathbb{S}_{A}} F s)))$$

$$\qquad where \qquad F : \mathbb{S}_{A} \to \mathbb{S}_{A}$$

$$\qquad F s = s^{\sharp} \vee_{\mathbb{S}_{A}} (\mathcal{D}^{\sharp} \llbracket S \rrbracket \circ \pi_{1} \circ \mathcal{B}^{\sharp} \llbracket b \rrbracket s)$$

Where FIX F refers to the fixed point of the function F and  $GFP_s$  f is the greatest fixed point of f found starting from s.

### Bounded Intervals - Definition



$$I_{m,n}\subset\wp(\mathbb{Z})$$
 with  $m,n\in\mathbb{Z}\cup-\infty,\infty$ 

$$I_{m,n} = \{ \mathbb{Z}, \emptyset \} \cup \{ \{z\} \mid z \in \mathbb{Z} \}$$

$$\cup \{ \{x \mid w \le x <= z\} \mid x, w, z \in \mathbb{Z} \text{ s.t. } m <= w <= z <= n \}$$

$$\cup \{ \{x \mid x \le z\} \mid x, z \in \mathbb{Z} \text{ s.t. } m <= z <= n \}$$

$$\cup \{ \{x \mid x \ge z\} \mid x, z \in \mathbb{Z} \text{ s.t. } m <= z <= n \}$$

# Bounded Intervals - Properties (1)



### $I_{m,n}$ is partially ordered

$$i_1 \leq i_2 \Longleftrightarrow i_1 \subseteq i_2$$

#### $I_{m,n}$ is a complete lattice

# Bounded Intervals - Properties (2)



- $I_{m,n}$  has no infinite ascending chains when  $m \neq -\infty \land n \neq \infty$ :
  - when  $m, n \in \mathbb{N}$  the fixed-point iteration sequence induced by  $\mathcal{D}^{\#}[S_1]s \ \forall s \in S_A, \ S_1 \in \mathbf{While}$  converges in finite time;
  - otherwise, we must make use of the widening operator  $\nabla : \mathbb{S}_A \to \mathbb{S}_A \to \mathbb{S}_A$  in order to enforce convergence.
- $\blacksquare$   $I_{m,n}$  has no infinite descending chains:
  - any descending greatest fixed-point search converges in finite time;
  - there is no need for a narrowing operator  $\Delta : \mathbb{S}_A \to \mathbb{S}_A \to \mathbb{S}_A$ .

### Usage



The program runs with the command

\$ cabal run ai -- path/to/file.whl

This command will read the file given as input and as output:

- it will output the invariant after the last program point;
- it will rewrite the input into a file called as the input plus .inv, with the invariants as comments at any program point.

### **Program Points**



The program points are located along with the statements:

- the terminals *x*:=*e* and skip are followed by one program point;
- the then and else sub-statements in the branch statement are preceded by one program point each;
- while statements are preceded by a program point, whose invariant is the loop invariant of that loop;
- the do sub-statement in the loop statement is preceded by one program point;
- while statements are followed by one program point, which is the invariant after the loop exit.

# $\overline{\mathsf{Examples}}$ (1)



#### Input

```
x := 0;
while x < 10 do {
    x := x + 2
}</pre>
```

#### Output

```
x := 0; // {"x": [0, 0]}
skip; // {"x": [0, 11]}
while x < 10 do {
skip; // {"x": [0, 9]}
x := (x + 2); // {"x": [2, 11]}
};
skip; // {"x": [10, 11]}</pre>
```

# Examples (2)



### Input

```
x := 10;
while x > 0 do x := x + 1;
y := 0
```

#### Output

```
x := 10; // {"x": [10, 10]}
skip; // {"x": [10, Inf]}
while x > 0 do {
skip; // {"x": [10, Inf]}
x := (x + 1); // {"x": [11, Inf]}
};
skip; // BOTTOM STATE
y := 0; // BOTTOM STATE
```

### Examples (3)



#### Input

```
if y > 0 then x := -10 else x := 10;
if x / 2 = x then y := x else y := 0
```

#### Output

```
if y > 0 then {
skip; // {"y": [1, Inf]}
x := (-10); // \{"y": [1, Inf], "x": [-10, -10]\}
} else {
skip; // {"y": [-Inf, 0]}
x := 10; // \{"y": [-Inf, 0], "x": [10, 10]\}
skip; // {"y": [-Inf, Inf], "x": [-10, 10]}
if (x / 2) = x then {
skip; // \{"y": [-Inf, Inf], "x": [-5, 5]\}
y := x; // \{"y": [-5]
```

#### Introduction



Etiam eu interdum ligula Nunc mi eros, vulputate in ornare a, viverra eget quam

- Morbi vitae lacus porta neque tincidunt sodales
- Proin tincidunt, neque at tincidunt mollis
- Ut lacinia sem a nibh consequat porttitor

#### First section



#### Normal block

Fusce luctus venenatis felis quis semper

#### Alert block

$$E = (x_1 \vee \neg x_2 \vee \neg x_3) \wedge (x_1 \vee x_2 \vee x_4)$$

#### Example block

Proin tincidunt, neque at tincidunt mollis