# **Shapes Constraint Language (SHACL)**

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SHACL Test Suite

Please check the errata for any errors or issues reported since publication.

See also translations.

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# Abstract

This document defines the SHACL Shapes Constraint Language, a language for validating RDF graphs against a set of conditions. These conditions are provided as shapes and other constructs expressed in the form of an RDF graph. RDF graphs that are used in this manner are called "shapes graphs" in SHACL and the RDF graphs that are validated against a shapes graph are called "data graphs". As SHACL shape graphs are used to validate that data graphs satisfy a set of conditions they can also be viewed as a description of the data graphs that do satisfy these conditions. Such descriptions may be used for a variety of purposes beside validation, including user interface building, code generation and data integration.

### Status of This Document

This section describes the status of this document at the time of its publication. Other documents may supersede this document. A list of current <u>W\_3C</u> publications and the latest revision of this technical report can be found in the <u>W\_3C</u> technical reports index at https://www.w3.org/TR/.

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Please see the Working Group's implementation report.

This document has been reviewed by W3C Members, by software developers, and by other W3C groups and interested parties, and is endorsed by the Director as a W3C Recommendation. It is a stable document and may be used as reference material or cited from another document. W3C's role in making the Recommendation is to draw attention to the specification and to promote its widespread deployment. This enhances the functionality and interoperability of the Web.

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# **Document Outline**

The introduction includes a Terminology section.

The sections 2 - 4 cover the SHACL Core language and may be read independently from the later sections.

The sections 5 and 6 are about the features that <u>SHACL-SPARQL</u> has in addition to the Core language. These advanced features are SPARQL-based constraints and constraint components.

The syntax of SHACL is RDF. The examples in this document use Turtle [turtle] and (in one instance) JSON-LD [json-ld]. Other RDF serializations such as RDF/XML may be used in practice. The reader should be familiar with basic RDF concepts [rdf11-concepts] such as triples and, for the advanced concepts of SHACL, with SPARQL [sparq111-query].

# 1. Introduction

This document specifies SHACL (Shapes Constraint Language), a language for describing and validating RDF graphs. This section introduces SHACL with an overview of the key terminology and an example to illustrate basic concepts.

# 1.1 Terminology

Throughout this document, the following terminology is used.

Terminology that is linked to portions of RDF 1.1 Concepts and Abstract Syntax is used in SHACL as defined there. Terminology that is linked to portions of SPARQL 1.1 Query Language is used in SHACL as defined there. A single linkage

is sufficient to provide a definition for all occurences of a particular term in this document.

Definitions are complete within this document, i.e., if there is no rule to make some situation true in this document then the situation is false.

### Basic RDF Terminology

This document uses the terms <u>RDF graph</u>, <u>RDF triple</u>, <u>IRI</u>, <u>literal</u>, <u>blank node</u>, <u>node</u> of an RDF graph, <u>RDF term</u>, and <u>subject</u>, <u>predicate</u>, and <u>object</u> of RDF triples, and <u>datatype</u> as defined in RDF 1.1 Concepts and Abstract Syntax [rdf11-concepts]. <u>Language tags</u> are defined as in [BCP47].

### Property Value and Path

A *property* is an <u>IRI</u>. An <u>RDF term</u> n has a *value* v for property p in an <u>RDF graph</u> if there is an <u>RDF triple</u> in the graph with <u>subject</u> n, <u>predicate</u> p, and <u>object</u> v. The phrase "Every value of P in graph G ..." means "Every object of a triple in G with predicate P ...". (In this document, the verbs *specify* or *declare* are sometimes used to express the fact that an RDF term has values for a given predicate in a graph.)

SPARQL property paths are defined as in SPARQL 1.1. An RDF term n has value v for SPARQL property path expression p in an RDF graph G if there is a solution mapping in the result of the SPARQL query SELECT ?s ?o WHERE { ?s p' ?o } on G that binds ?s to n and ?o to v, where p' is SPARQL surface syntax for p.

#### SHACL Lists

A **SHACL list** in an RDF graph G is an <u>IRI</u> or a <u>blank node</u> that is either <u>rdf:nil</u> (provided that <u>rdf:nil</u> has no <u>value</u> for either <u>rdf:first</u> or <u>rdf:rest</u>), or has exactly one <u>value</u> for the property <u>rdf:first</u> in G and exactly one <u>value</u> for the property <u>rdf:rest</u> in G that is also a SHACL list in G, and the list does not have itself as a value of the property <u>path rdf:rest+</u> in G.

The *members* of any SHACL list except rdf:nil in an RDF graph G consist of its value for rdf:first in G followed by the members in G of its value for rdf:rest in G. The SHACL list rdf:nil has no members in any RDF graph.

### Binding, Solution

A *binding* is a pair (variable, <u>RDF term</u>), consistent with the term's use in <u>SPARQL</u>. A *solution* is a set of bindings, informally often understood as one row in the body of the result table of a SPARQL query. Variables are not required to be bound in a solution.

### SHACL Subclass, SHACL superclass

A <u>node Sub</u> in an <u>RDF graph</u> is a <u>SHACL subclass</u> of another <u>node Super</u> in the <u>graph</u> if there is a sequence of <u>triples</u> in the <u>graph</u> each with predicate <u>rdfs:subClassOf</u> such that the <u>subject</u> of the first <u>triple</u> is <u>Sub</u>, the <u>object</u> of the last triple is <u>Super</u>, and the <u>object</u> of each <u>triple</u> except the last is the <u>subject</u> of the next. If <u>Sub</u> is a <u>SHACL subclass</u> of <u>Super</u> in an <u>RDF graph</u> then <u>Super</u> is a <u>SHACL superclass</u> of <u>Sub</u> in the <u>graph</u>.

### SHACL Type

The *SHACL types* of an <u>RDF term</u> in an <u>RDF graph</u> is the set of its <u>values</u> for <u>rdf:type</u> in the <u>graph</u> as well as the <u>SHACL superclasses</u> of these <u>values</u> in the <u>graph</u>.

### SHACL Class

<u>Nodes</u> in an <u>RDF graph</u> that are subclasses, superclasses, or types of <u>nodes</u> in the <u>graph</u> are referred to as **SHACL** *class*.

#### SHACL Class Instance

A node n in an RDF graph G is a SHACL instance of a SHACL class C in G if one of the SHACL types of n in G is C.

# SHACL Core and SHACL-SPARQL

The SHACL specification is divided into SHACL Core and SHACL-SPARQL. **SHACL Core** consists of frequently needed features for the representation of shapes, constraints and targets. All SHACL implementations must at least

implement SHACL Core. **SHACL-SPARQL** consists of all features of SHACL Core plus the advanced features of SPARQL-based constraints and an extension mechanism to declare new constraint components.

### 1.2 Document Conventions

Within this document, the following namespace prefix bindings are used:

Prefix	Namespace
rdf:	http://www.w3.org/1999/02/22-rdf-syntax-ns#
rdfs:	http://www.w3.org/2000/01/rdf-schema#
sh:	http://www.w3.org/ns/shacl#
xsd:	http://www.w3.org/2001/XMLSchema#
ex:	http://example.com/ns#

Note that the URI of the graph defining the SHACL vocabulary itself is equivalent to the namespace above, i.e. it includes the #. References to the SHACL vocabulary, e.g. via owl:imports should include the #.

Throughout the document, color-coded boxes containing RDF graphs in Turtle will appear. These fragments of Turtle documents use the prefix bindings given above.

```
Example shapes graph
# This box represents an input shapes graph
# Triples that can be omitted are marked as grey e.g.
<s>  <o> .
```

```
# This box represents an input data graph.

# When highlighting is used in the examples:

# Elements highlighted in blue are focus nodes
ex:Bob a ex:Person .

# Elements highlighted in red are focus nodes that fail validation
ex:Alice a ex:Person .
```

```
# This box represents an output results graph
```

SHACL Definitions appear in blue boxes:

```
SPARQL or TEXTUAL DEFINITIONS
# This box contains SPARQL or textual definitions.
```

Grey boxes such as this include syntax rules that apply to the shapes graph.

true denotes the RDF term "true"^^xsd:boolean. false denotes the RDF term "false"^^xsd:boolean.

### 1.3 Conformance

As well as sections marked as non-normative, all authoring guidelines, diagrams, examples, and notes in this specification are non-normative. Everything else in this specification is normative.

The key words may, must, must not, and should are to be interpreted as described in [RFC2119].

This document defines the SHACL Core language, also referred to as just SHACL, as described in Part A, and the SHACL-SPARQL language that extends SHACL Core with constructs described in Part B. This specification describes conformance criteria for:

- SHACL Core processors as processors that support validation with the SHACL Core Language
- SHACL-SPAROL processors as processors that support validation with the SHACL-SPAROL Language

This document includes syntactic rules that shapes and other nodes need to fulfill in the shapes graph. These rules are typically of the form A shape must have... or The values of X are literals or All objects of triples with predicate P must be IRIs. The complete list of these rules can be found in the appendix. Nodes that violate any of these rules are called ill-formed. Nodes that violate none of these rules are called well-formed. A shapes graph is ill-formed if it contains at least one ill-formed node.

The remainder of this section is informative.

SHACL Core processors that do not also support SHACL-SPARQL ignore any SHACL-SPARQL constructs such as sh:sparql triples.

# 1.4 SHACL Example

This section is non-normative.

The following example data graph contains three SHACL instances of the class ex:Person.

```
example data graph

ex:Alice
    a ex:Person;
    ex:ssn "987-65-432A" .

ex:Bob
    a ex:Person;
    ex:ssn "123-45-6789";
    ex:ssn "124-35-6789" .

ex:Calvin
    a ex:Person;
    ex:birthDate "1971-07-07"^^xsd:date;
    ex:worksFor ex:UntypedCompany .
```

The following conditions are shown in the example:

- A <u>SHACL instance</u> of <u>ex:Person</u> can have at most one <u>value</u> for the property <u>ex:ssn</u>, and this <u>value</u> is a <u>literal</u> with the datatype <u>xsd:string</u> that matches a specified regular expression.
- A <u>SHACL instance</u> of ex:Person can have unlimited <u>values</u> for the property ex:worksFor, and these <u>values</u> are <u>IRIs</u> and <u>SHACL instances</u> of ex:Company.
- A <u>SHACL instance</u> of ex:Person cannot have <u>values</u> for any other property apart from ex:ssn, ex:worksFor and rdf:type.

The aforementioned conditions can be represented as shapes and constraints in the following shapes graph:

```
Example shapes graph
```

```
ex:PersonShape
  a sh:NodeShape ;
  sh:targetClass ex:Person;
                                # Applies to all persons
  sh:property [
                                # :b1
     sh:path ex:ssn ;
                                # constrains the values of ex:ssn
     sh:maxCount 1 ;
     sh:datatype xsd:string ;
     sh:pattern ^{\d{3}-\d{2}-\d{4}};
  ];
  sh:property [
                                # :b2
     sh:path ex:worksFor :
     sh:class ex:Company ;
     sh:nodeKind sh:IRI ;
  ];
  sh:closed true ;
  sh:ignoredProperties ( rdf:type ) .
```

The example below shows the same shape definition as a possible JSON-LD [json-ld] fragment. Note that we have left out a <code>@context</code> declaration, and depending on the <code>@context</code> the rendering may look quite different. Therefore this example should be understood as an illustration only.

```
Example shapes graph
{
   "@id" : "ex:PersonShape",
   "@type" : "NodeShape",
   "targetClass" : "ex:Person",
   "property" : [
      {
         "path" : "ex:ssn",
         "maxCount" : 1,
         "datatype" : "xsd:string",
         "pattern" : "^\\d{3}-\\d{2}-\\d{4}$"
      },
      {
         "path" : "ex:worksFor",
         "class" : "ex:Company",
         "nodeKind" : "sh:IRI"
      }
  1.
   "closed" : true,
   "ignoredProperties" : [ "rdf:type" ]
}
```

We can use the shape declaration above to illustrate some of the key terminology used by SHACL. The <u>target</u> for the <u>shape</u> ex:PersonShape is the set of all <u>SHACL instances</u> of the <u>class ex:Person</u>. This is specified using the property sh:targetClass. During the validation, these target nodes become <u>focus nodes</u> for the shape. The <u>shape ex:PersonShape</u> is a <u>node shape</u>, which means that it applies to the focus nodes. It declares <u>constraints</u> on the <u>focus nodes</u>, for example using the <u>parameters sh:closed</u> and <u>sh:ignoredProperties</u>. The <u>node shape</u> also declares two other constraints with the property <u>sh:property</u>, and each of these is backed by a <u>property shape</u>. These <u>property shapes</u> declare additional constraints using parameters such as sh:datatype and sh:maxCount.

Some of the <u>property shapes</u> specify parameters from multiple <u>constraint components</u> in order to restrict multiple aspects of the <u>property values</u>. For example, in the <u>property shape</u> for <u>ex:ssn</u>, parameters from three <u>constraint components</u> are used. The <u>parameters</u> of these <u>constraint components</u> are <u>sh:datatype</u>, sh:pattern and <u>sh:maxCount</u>. For each <u>focus node</u> the property values of <u>ex:ssn</u> will be validated against all three components.

SHACL <u>validation</u> based on the provided <u>data graph</u> and <u>shapes graph</u> would produce the following <u>validation report</u>. See the section Validation Report for details on the format.

```
Example validation results
```

```
[ a sh:ValidationReport ;
   sh:conforms false;
   sh:result
   [ a sh:ValidationResult ;
      sh:resultSeverity sh:Violation ;
      sh:focusNode ex:Alice ;
      sh:resultPath ex:ssn ;
      sh:value "987-65-432A";
      sh:sourceConstraintComponent sh:RegexConstraintComponent;
      sh:sourceShape ... blank node :b1 on ex:ssn above ...;
   1,
   [ a sh:ValidationResult ;
      sh:resultSeverity sh:Violation ;
      sh:focusNode ex:Bob :
      sh:resultPath ex:ssn :
      sh:sourceConstraintComponent sh:MaxCountConstraintComponent;
      sh:sourceShape ... blank node _:b1 on ex:ssn above ...;
   ],
   [ a sh:ValidationResult ;
      sh:resultSeverity sh:Violation ;
      sh:focusNode ex:Calvin;
      sh:resultPath ex:worksFor ;
      sh:value ex:UntypedCompany ;
      sh:sourceConstraintComponent sh:ClassConstraintComponent ;
      sh:sourceShape ... blank node _:b2 on ex:worksFor above ...;
   ],
   [ a sh:ValidationResult;
      sh:resultSeverity sh:Violation ;
      sh:focusNode ex:Calvin ;
      sh:resultPath ex:birthDate :
      sh:value "1971-07-07"^^xsd:date ;
      sh:sourceConstraintComponent sh:ClosedConstraintComponent ;
      sh:sourceShape sh:PersonShape ;
   ]
] .
```

The <u>validation results</u> are enclosed in a <u>validation report</u>. The first <u>validation result</u> is produced because <u>ex:Alice</u> has a <u>value</u> for <u>ex:ssn</u> that does not match the regular expression specified by the property <u>sh:regex</u>. The second <u>validation result</u> is produced because <u>ex:Bob</u> has more than the permitted number of <u>values</u> for the property <u>ex:ssn</u> as specified by the <u>sh:maxCount</u> of 1. The third <u>validation result</u> is produced because <u>ex:Calvin</u> has a <u>value</u> for <u>ex:worksFor</u> that does not have an <u>rdf:type</u> triple that makes it a <u>SHACL instance</u> of <u>ex:Company</u>. The forth <u>validation result</u> is produced because the <u>shape</u> <u>ex:PersonShape</u> has the property <u>sh:closed</u> set to <u>true</u> but <u>ex:Calvin</u> uses the property <u>ex:birthDate</u> which is neither one of the predicates from any of the <u>property shapes</u> of the shape, nor one of the properties listed using <u>sh:ignoredProperties</u>.

### 1.5 Relationship between SHACL and RDFS inferencing

SHACL uses the RDF and RDFS vocabularies, but full RDFS inferencing is not required.

However, SHACL processors may operate on RDF graphs that include entailments [sparql11-entailment] - either precomputed before being submitted to a SHACL processor or performed on the fly as part of SHACL processing (without modifying either data graph or shapes graph). To support processing of entailments, SHACL includes the property sh:entailment to indicate what inferencing is required by a given shapes graph.

The <u>values</u> of the property <u>sh:entailment</u> are IRIs. Common values for this property are covered by [<u>sparql11-entailment</u>].

SHACL implementations may, but are not required to, support entailment regimes. If a shapes graph contains any triple with the predicate sh:entailment and object E and the SHACL processor does not support E as an entailment regime for the given data graph then the processor must signal a failure. Otherwise, the SHACL processor must provide the entailments for

all of the values of sh:entailment in the shapes graph, and any inferred triples must be returned by all queries against the data graph during the validation process.

### 1.6 Relationship between SHACL and SPARQL

This section is non-normative.

For SHACL Core this specification uses parts of SPARQL 1.1 in non-normative alternative definitions of the semantics of constraint components and targets. While these may help some implementers, SPARQL is not required for the implementation of the SHACL Core language.

SHACL-SPARQL is based on SPARQL 1.1 and uses it as a mechanism to declare constraints and constraint components. Implementations that cover only the SHACL Core features are not required to implement these mechanisms.

SPARQL variables using the \$ marker represent external <u>bindings</u> that are <u>pre-bound</u> or, in the case of \$PATH, <u>substituted</u> in the SPARQL query before execution (as explained in 6.3 Validation with SPARQL-based Constraint Components).

The definition of some <u>constraints</u> requires or is simplified through access to the <u>shapes graph</u> during query execution. SHACL-SPARQL processors <u>may pre-bind</u> the variable <u>shapesGraph</u> to provide access to the <u>shapes graph</u>. Access to the <u>shapes graph</u> is not a requirement for supporting the SHACL Core language. The variable <u>shapesGraph</u> can also be used in <u>SPARQL-based constraints</u> and <u>SPARQL-based constraint components</u>. However, such <u>constraints</u> may not be interoperable across different SHACL-SPARQL processors or not applicable to remote RDF datasets.

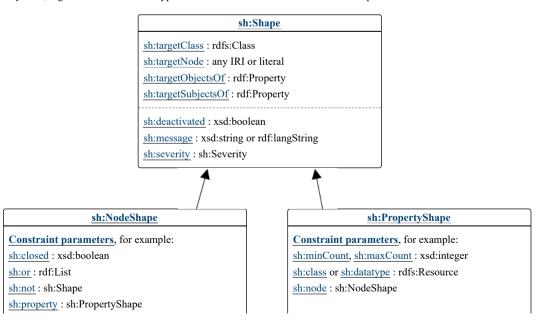
Note that at the time of writing, SPARQL EXISTS has been imperfectly defined and implementations vary. While a <u>W3C</u> <u>Community Group</u> is working on improving this situation, users of SPARQL are advised that the use of EXISTS may have inconsistent results and should be approached with care.

# Part 1: SHACL Core

# 2. Shapes and Constraints

The following introduction is non-normative.

The following informal diagram provides an overview of some of the key classes in the SHACL vocabulary. Each box represents a class. The content of the boxes under the class name lists some of the properties that instances of these classes may have, together with their value types. The arrows indicate rdfs:subClassOf triples.



sh:name : xsd:string or rdf:langString
sh:description : xsd:string or rdf:langString
sh:defaultValue : any
sh:group : sh:PropertyGroup

sh:path : rdfs:Resource

The Turtle serialization of the SHACL vocabulary contains the complete SHACL vocabulary.

### 2.1 Shapes

A shape is an IRI or blank node s that fulfills at least one of the following conditions in the shapes graph:

- s is a SHACL instance of sh: NodeShape or sh: PropertyShape.
- s is <u>subject</u> of a triple that has sh:targetClass, sh:targetNode, sh:targetObjectsOf or sh:targetSubjectsOf as <u>predicate</u>.
- s is subject of a triple that has a parameter as predicate.
- s is a value of a shape-expecting, non-list-taking parameter such as sh:node, or a member of a SHACL list that is a value of a shape-expecting and list-taking parameter such as sh:or.

Note that the definition above does not include all of the syntax rules of <u>well-formed</u> shapes. Those are found throughout the document and summarized in Appendix <u>B. Summary of SHACL Syntax Rules</u>. For example, shapes that have <u>literals</u> as values for <u>sh:targetClass</u> are <u>ill-formed</u>.

Informally, a shape determines how to validate a <u>focus node</u> based on the <u>values</u> of properties and other characteristics of the focus node. For example, shapes can declare the condition that a focus node be an IRI or that a focus node has a particular value for a property and also a minimum number of values for the property.

The SHACL Core language defines two types of shapes:

- shapes about the <u>focus node</u> itself, called <u>node shapes</u>
- shapes about the <u>values</u> of a particular property or path for the focus node, called <u>property shapes</u>

sh:Shape is the SHACL superclass of those two shape types in the SHACL vocabulary. Its subclasses sh:NodeShape and sh:PropertyShape can be used as SHACL type of node and property shapes, respectively.

# 2.1.1 Constraints, Parameters and Constraint Components

Shapes can declare constraints using the parameters of constraint components.

A *constraint component* is an <u>IRI</u>. Each constraint component has one or more *mandatory parameters*, each of which is a property. Each constraint component has zero or more *optional parameters*, each of which is a property. The *parameters* of a constraint component are its mandatory parameters plus its optional parameters.

For example, the <u>component</u> sh:MinCountConstraintComponent declares the <u>parameter</u> sh:minCount to represent the restriction that a <u>node</u> has at least a minimum number of <u>values</u> for a particular property.

For a constraint component C with mandatory parameters p1, ... pn, a shape s in a shapes graph SG declares a constraint that has kind C with mandatory parameter values <p1, v1>, ... <pn, vn> in SG when s has vi as a value for pi in SG. For constraint components with optional parameters, the constraint declaration consists of the values that the shape has for all mandatory and optional parameters of that component.

Some constraint components declare only a single parameter. For example <a href="mailto:sh:ClassConstraintComponent">sh:ClassConstraintComponent</a> has the single parameter <a href="mailto:sh:class">sh:ClassConstraintComponent</a> has the single parameter <a href="mailto:sh:class">sh:ClassConstraint</a> of such a parameter declares an individual constraint. The interpretation of such declarations is conjunction, i.e. all constraints apply. The

following example specifies that the values of ex:customer have to be <u>SHACL instances</u> of both ex:Customer and ex:Person.

```
ex:InvoiceShape
  a sh:NodeShape ;
  sh:property [
     sh:path ex:customer ;
     sh:class ex:Customer ;
     sh:class ex:Person ;
  ] .
```

Some constraint components such as <a href="mailto:shapes">sh:PatternConstraintComponent</a> declare more than one parameter. Shapes that have more than one value for any of the parameters of such components are ill-formed.

One way to bypass this syntax rule is to spread the constraints across multiple (property) shapes, as illustrated in the following example.

```
Example shapes graph

ex:MultiplePatternsShape
   a sh:NodeShape ;
   sh:property [
      sh:path ex:name ;
      sh:pattern "^Start" ;
      sh:flags "i" ;
   ];
   sh:property [
      sh:path ex:name ;
      sh:pattern "End$" ;
   ].
```

Constraint components are associated with *validators*, which provide instructions (for example expressed via SPARQL queries) on how the parameters are used to validate data. Validating an <u>RDF term</u> against a <u>shape</u> involves validating the term against each <u>constraint</u> where the shape has <u>values</u> for all <u>mandatory parameters</u> of the <u>component</u> of the <u>constraint</u>, using the validators associated with the respective component.

The list of constraint components included in SHACL Core is described in <u>section 4</u>. SHACL-SPARQL can be used to declare additional <u>constraint components based on SPARQL</u>.

# 2.1.2 Focus Nodes

An RDF term that is validated against a shape using the triples from a data graph is called a focus node.

The remainder of this section is informative.

The set of <u>focus nodes</u> for a <u>shape</u> may be identified as follows:

- specified in a shape using target declarations
- specified in any <u>constraint</u> that references a <u>shape</u> in parameters of <u>shape-expecting constraint parameters</u> (e.g. sh: node)
- specified as explicit input to the SHACL processor for validating a specific RDF term against a shape

#### 2.1.3 Targets

Target declarations of a shape in a shapes graph are triples with the shape as the subject and certain properties described in this document (e.g., sh:targetClass) as predicates. Target declarations can be used to produce focus nodes for a shape.

The *target* of a <u>target declaration</u> is the set of RDF terms produced by applying the rules described in the remainder of this section to the <u>data graph</u>. The *target of a shape* is the union of all RDF terms produced by the individual <u>targets</u> that are declared by the shape in the shapes graph.

SHACL Core includes the following kinds of targets: <u>node targets</u>, <u>class-based targets</u> (including <u>implicit class-based</u> targets), subjects-of targets, and objects-of targets.

The remainder of this introduction is informative.

RDF terms produced by targets are not required to exist as nodes in the <u>data graph</u>. Targets of a shape are ignored whenever a focus node is provided directly as input to the validation process for that shape. This includes the cases where the shape is a value of one of the <u>shape-expecting constraint parameters</u> (such as <u>sh:node</u>) and a focus node is determined during the validation of the corresponding constraint component (such as <u>sh:NodeConstraintComponent</u>). In such cases, the provided focus node does not need to be in the target of the shape.

### 2.1.3.1 Node targets (sh:targetNode)

A *node target* is specified using the sh:targetNode predicate. Each <u>value</u> of sh:targetNode in a shape is either an <u>IRI</u> or a <u>literal</u>.

#### TEXTUAL DEFINITION

If s is a  $\underline{\text{shape}}$  in a  $\underline{\text{shapes graph}}$  SG and s has  $\underline{\text{value}}$  t for sh:targetNode in SG then { t } is a  $\underline{\text{target}}$  from any data graph for s in SG.

The remainder of this section is informative.

With the example data below, only ex:Alice is the target of the provided shape:

```
Example shapes graph

ex:PersonShape
   a sh:NodeShape;
   sh:targetNode ex:Alice.

Example data graph
```

```
ex:Alice a ex:Person .
ex:Bob a ex:Person .
```

The following query expresses a potential definition of node targets in SPARQL. The variable targetNode will be <u>pre-</u>bound to the given value of sh:targetNode. All bindings of the variable this from the solution become focus nodes.

```
POTENTIAL DEFINITION IN SPARQL

SELECT DISTINCT ?this  # ?this is the focus node

WHERE {

BIND ($targetNode AS ?this)  # $targetNode is pre-bound to ex:Alice
}
```

# 2.1.3.2 Class-based Targets (sh:targetClass)

A *class target* is specified with the sh:targetClass predicate. Each value of sh:targetClass in a shape is an  $\overline{IRI}$ .

TEXTUAL DEFINITION

If s is a shape in a shapes graph SG and s has <u>value</u> c for sh:targetClass in SG then the set of <u>SHACL instances</u> of c in a data graph DG is a <u>target</u> from DG for s in SG.

The remainder of this section is informative.

```
ex:PersonShape
  a sh:NodeShape ;
  sh:targetClass ex:Person .
```

```
Example data graph

ex:Alice a ex:Person .

ex:Bob a ex:Person .

ex:NewYork a ex:Place .
```

In this example, only ex:Alice and ex:Bob are focus nodes. Note that, according to the <u>SHACL instance</u> definition, all the <u>rdfs:subClassOf</u> declarations needed to walk the class hierarchy need to exist in the <u>data graph</u>. However, the ex:Person a <u>rdfs:Class</u> triple is not required to exist in either graphs.

In the following example, the selected focus node is only ex: Who.

```
Example data graph

ex:Doctor rdfs:subClassOf ex:Person .

ex:Who a ex:Doctor .

ex:House a ex:Nephrologist .
```

The following query expresses a potential definition of class targets in SPARQL. The variable targetClass will be <u>prebund</u> to the given value of sh:targetClass. All bindings of the variable this from the solutions become focus nodes.

```
POTENTIAL DEFINITION IN SPARQL

SELECT DISTINCT ?this  # ?this is the focus node

WHERE {
    ?this rdf:type/rdfs:subClassOf* $targetClass .  # $targetClass is pre-bound to ex:Person
}
```

### 2.1.3.3 Implicit Class Targets

Informally, if a shape is also declared to be a <u>class</u> in the <u>shapes graph</u> then all <u>SHACL instances</u> of this class are a target for the shape.

If s is a  $\underline{SHACL\ instance}$  of sh:NodeShape or sh:PropertyShape in an RDF graph G and s is also a  $\underline{SHACL\ instance}$  of rdfs:Class in G and s is not an  $\underline{IRI}$  then s is an  $\underline{ill\ -formed}$  shape in G.

## TEXTUAL DEFINITION

If s is a <u>SHACL instance</u> of sh:NodeShape or sh:PropertyShape in a <u>shapes graph SG</u> and s is also a <u>SHACL</u> instance of <u>rdfs:Class</u> in <u>SG</u> then the set of <u>SHACL instances</u> of s in a data graph <u>DG</u> is a <u>target</u> from <u>DG</u> for s in <u>SG</u>.

The remainder of this section is informative.

In the following example, ex:Alice is a focus node, because it is a <u>SHACL instance</u> of ex:Person which is both a class and a shape in the shapes graph.

```
Example shapes graph
```

```
ex:Person
a rdfs:Class, sh:NodeShape .

Example data graph
ex:Alice a ex:Person .
ex:NewYork a ex:Place .
```

#### 2.1.3.4 Subjects-of targets (sh:targetSubjectsOf)

A *subjects-of target* is specified with the predicate sh:targetSubjectsOf. The <u>values</u> of sh:targetSubjectsOf in a shape are IRIs.

# TEXTUAL DEFINITION

If s is a shape in a shapes graph SG and s has <u>value</u> p for sh:targetSubjectsOf in SG then the set of nodes in a data graph DG that are subjects of triples in DG with predicate p is a target from DG for s in SG.

The remainder of this section is informative.

```
Example shapes graph

ex:TargetSubjectsOfExampleShape
  a sh:NodeShape ;
  sh:targetSubjectsOf ex:knows .
```

```
ex:Alice ex:knows ex:Bob .
ex:Bob ex:livesIn ex:NewYork .
```

In the example above, only ex:Alice is validated against the given shape, because it is the <u>subject</u> of a <u>triple</u> that has ex:knows as its predicate.

The following query expresses a potential definition of subjects-of targets in SPARQL. The variable targetSubjectsOf will be <u>pre-bound</u> to the given value of sh:targetSubjectsOf. All <u>bindings</u> of the variable this from the <u>solutions</u> become focus nodes.

```
POTENTIAL DEFINITION IN SPARQL

SELECT DISTINCT ?this  # ?this is the focus node

WHERE {
    ?this $targetSubjectsOf ?any .  # $targetSubjectsOf is <u>pre-bound</u> to ex:knows
}
```

# 2.1.3.5 Objects-of targets (sh:targetObjectsOf)

An *objects-of target* is specified with the predicate sh:targetObjectsOf. The <u>values</u> of sh:targetObjectsOf in a shape are IRIs.

### TEXTUAL DEFINITION

If s is a shape in a shapes graph SG and s has <u>value</u> p for sh:targetObjectsOf in SG then the set of nodes in a data graph DG that are <u>objects</u> of triples in DG with <u>predicate</u> p is a <u>target</u> from DG for s in SG.

The remainder of this section is informative.

```
Example shapes graph

ex:TargetObjectsOfExampleShape
a sh:NodeShape;
sh:targetObjectsOf ex:knows.
```

```
Example data graph

ex:Alice ex:knows ex:Bob .
ex:Bob ex:livesIn ex:NewYork .
```

In the example above, only ex:Bob is validated against the given shape, because it is the <u>object</u> of a <u>triple</u> that has ex:knows as its <u>predicate</u>.

The following query expresses a potential definition of objects-of targets in SPARQL. The variable targetObjectsOf will be <u>pre-bound</u> to the given value of sh:targetObjectsOf. All <u>bindings</u> of the variable this from the <u>solutions</u> become focus nodes.

### 2.1.4 Declaring the Severity of a Shape

Shapes can specify one <u>value</u> for the property <u>sh:severity</u> in the <u>shapes graph</u>. Each value of <u>sh:severity</u> in a shape is an <u>IRI</u>.

The values of sh:severity are called *severities*. SHACL includes the three IRIs listed in the table below to represent severities. These are declared in the SHACL vocabulary as SHACL instances of sh:Severity.

Severity	Description
sh:Info	A non-critical constraint violation indicating an informative message.
sh:Warning	A non-critical constraint violation indicating a warning.
sh:Violation	A constraint violation.

The remainder of this section is informative.

The specific values of sh:severity have no impact on the validation, but may be used by user interface tools to categorize validation results. The values of sh:severity are used by SHACL processors to populate the sh:resultSeverity field of validation results, see section on severity in validation results. Any IRI can be used as a severity.

For every shape, sh: Violation is the default if sh: severity is unspecified. The following example illustrates this.

```
Example shapes graph

ex:MyShape
    a sh:NodeShape ;
    sh:targetNode ex:MyInstance ;
    sh:property [ # _:b1
        # Violations of sh:minCount and sh:datatype are produced as warnings
        sh:path ex:myProperty ;
        sh:minCount 1 ;
        sh:datatype xsd:string ;
        sh:severity sh:Warning ;
    ];
```

```
sh:property [ # _:b2
  # The default severity here is sh:Violation
  sh:path ex:myProperty;
  sh:maxLength 10;
  sh:message "Too many characters"@en;
  sh:message "Zu viele Zeichen"@de;
] .
```

```
Example data graph

ex:MyInstance
ex:myProperty "http://toomanycharacters"^^xsd:anyURI .
```

```
Example validation results
[ a sh:ValidationReport;
   sh:conforms false;
   sh:result
   [ a sh:ValidationResult ;
      sh:resultSeverity sh:Warning ;
      sh:focusNode ex:MyInstance ;
      sh:resultPath ex:myProperty ;
      sh:value "http://toomanycharacters"^^xsd:anyURI ;
      sh:sourceConstraintComponent sh:DatatypeConstraintComponent;
      sh:sourceShape _:b1 ;
  ],
   [ a sh:ValidationResult ;
      sh:resultSeverity sh:Violation ;
      sh:focusNode ex:MyInstance ;
      sh:resultPath ex:myProperty ;
      sh:value "http://toomanycharacters"^^xsd:anyURI ;
     sh:resultMessage "Too many characters"@en ;
      sh:resultMessage "Zu viele Zeichen"@de ;
     sh:sourceConstraintComponent sh:MaxLengthConstraintComponent ;
      sh:sourceShape _:b2 ;
  ]
] .
```

# 2.1.5 Declaring Messages for a Shape

Shapes can have values for the property sh:message. The values of sh:message in a shape are either xsd:string literals or literals with a language tag. A shape should not have more than one value for sh:message with the same language tag.

If a shape has at least one value for sh:message in the shapes graph, then all <u>validation results</u> produced as a result of the shape will have exactly these messages as their value of sh:resultMessage, i.e. the values will be copied from the shapes graph into the results graph. (Note that in SHACL-SPARQL, <u>SPARQL-based constraints</u> and <u>SPARQL-based constraint</u> <u>components</u> provide additional means to declare such messages.)

The remainder of this section is informative.

The example from the previous section uses this mechanism to supply the second validation result with two messages. See the <a href="mailto:section.on.sh:resultMessage">section.on.sh:resultMessage</a> in the validation results on further details on how the values of <a href="mailto:sh:resultMessage">sh:resultMessage</a> are populated.

### 2.1.6 Deactivating a Shape

Shapes can have at most one value for the property sh:deactivated. The value of sh:deactivated in a shape must be either true or false.

A shape that has the <u>value</u> true for the property sh:deactivated is called *deactivated*. All RDF terms <u>conform</u> to a deactivated shape.

The remainder of this section is informative.

Use cases of this feature include shape reuse and debugging. In scenarios where shapes from other graphs or files are imported into a given <a href="mailto:shapes graph">sh:deactivated</a> can be set to <a href="mailto:true">true</a> in the local shapes graph for imported shapes to exclude shapes that do not apply in the current application context. This makes it possible to reuse SHACL graphs developed by others even if you disagree with certain assumptions made by the original authors. If a shape author anticipates that a shape may need to be disabled or modified by others, it is a good practice to use <a href="mailto:IRIs">IRIs</a> instead of <a href="mailto:blank nodes">blank nodes</a> for the actual shapes. For example, a <a href="mailto:property shape">property shape</a> for the property <a href="mailto:ex:PersonShape-name">ex:PersonShape-name</a>. Another typical use case of <a href="mailto:shape-name">sh:deactivated</a> is during the development and testing of shapes, to (temporarily) disable certain shapes.

The following example illustrates the use of sh:deactivated to deactivate a shape. In cases where shapes are imported from other graphs, the sh:deactivated true triple would be in the importing graph.

```
ex:PersonShape
  a sh:NodeShape;
  sh:targetClass ex:Person;
  sh:property ex:PersonShape-name .

ex:PersonShape-name
  a sh:PropertyShape;
  sh:path ex:name;
  sh:minCount 1;
  sh:deactivated true .
```

With the following data, no constraint violation will be reported even though the instance does not have any value for ex; name.

```
Example data graph

ex:JohnDoe a ex:Person .
```

# 2.2 Node Shapes

A *node shape* is a <u>shape</u> in the <u>shapes graph</u> that is not the <u>subject</u> of a <u>triple</u> with <u>sh:path</u> as its <u>predicate</u>. It is recommended, but not required, for a <u>node shape</u> to be declared as a <u>SHACL instance</u> of <u>sh:NodeShape</u>. <u>SHACL instances</u> of <u>sh:NodeShape</u> cannot have a <u>value</u> for the property <u>sh:path</u>.

Informally, node shapes specify constraints that need to be met with respect to <u>focus nodes</u>. In contrast to <u>property shapes</u> they primarily apply to the focus node itself, not to its property values.

### 2.3 Property Shapes

A property shape is a shape in the shapes graph that is the subject of a triple that has sh:path as its predicate. A shape has at most one value for sh:path. Each value of sh:path in a shape must be a well-formed SHACL property path. It is recommended, but not required, for a property shape to be declared as a SHACL instance of sh:PropertyShape.

SHACL instances of sh:PropertyShape have one value for the property sh:path.

Informally, property shapes specify constraints that need to be met with respect to <u>nodes</u> that can be reached from the <u>focus</u> node either by directly following a given property (specified as an <u>IRI</u>) or any other <u>SHACL property path</u>, specified using sh:path.

Note that the definitions of well-formed property shapes and node shapes make these two sets of nodes disjoint.

The following example illustrates some syntax variations of property shapes.

```
Example shapes graph
ex:ExampleNodeShapeWithPropertyShapes
   a sh:NodeShape ;
   sh:property [
      sh:path ex:email ;
      sh:name "e-mail" ;
      sh:description "We need at least one email value";
      sh:minCount 1;
   1;
   sh:property [
      sh:path (ex:knows ex:email) ;
      sh:name "Friend's e-mail" ;
      sh:description "We need at least one email for everyone you know";
      sh:minCount 1;
   ] .
ex:ExamplePropertyShape
   a sh:PropertyShape ;
   sh:path ex:email :
   sh:description "We need at least one email value";
   sh:minCount 1 .
```

# 2.3.1 SHACL Property Paths

SHACL includes RDF terms to represent the following subset of <u>SPARQL property paths</u>: PredicatePath, InversePath, SequencePath, AlternativePath, ZeroOrMorePath, OneOrMorePath and ZeroOrOnePath.

The following sub-sections provide syntax rules of <u>well-formed SHACL property paths</u> together with mapping rules to <u>SPARQL 1.1 property paths</u>. These rules define the *path mapping* path(p,G) in an RDF graph G of an RDF term p that is a SHACL property path in G. Two SHACL property paths are considered *equivalent paths* when they map to the exact same SPARQL property paths.

A node in an RDF graph is a <u>well-formed</u> **SHACL property path** p if it satisfies exactly one of the syntax rules in the following sub-sections. A node p is not a <u>well-formed</u> SHACL property path if p is a blank node and any path mappings of p directly or transitively reference p.

The following example illustrates some valid SHACL property paths, together with their SPARQL 1.1 equivalents.

```
SPARQL Property path: ex:parent
SHACL Property path: ex:parent

SPARQL Property path: ^ex:parent
SHACL Property path: [ sh:inversePath ex:parent ]

SPARQL Property path: ex:parent/ex:firstName
SHACL Property path: ( ex:parent ex:firstName )

SPARQL Property path: rdf:type/rdfs:subClassOf*
SHACL Property path: ( rdf:type [ sh:zeroOrMorePath rdfs:subClassOf ] )
```

```
SPARQL Property path: ex:father|ex:mother

SHACL Property path: [ sh:alternativePath ( ex:father ex:mother ) ]
```

### 2.3.1.1 Predicate Paths

A predicate path is an IRI.

If p is a predicate path then path(p,G) is a SPARQL PredicatePath with p as iri.

#### 2.3.1.2 Sequence Paths

A *sequence path* is a <u>blank node</u> that is a <u>SHACL list</u> with at least two <u>members</u> and each member is a <u>well-formed</u> SHACL property path.

If p is a sequence path in G with list members  $v_1, v_2, ..., v_n$  then path(p,G) is a SPARQL SequencePath of path( $v_1$ ,G) as elt1, and the results of the path mapping of the list node of  $v_2$  as elt2.

 $Informal \ note: the \ \underline{nodes} \ in \ such \ a \ \underline{SHACL \ list} \ should \ not \ have \ \underline{values} \ for \ other \ properties \ beside \ \underline{rdf:first} \ and \ \underline{rdf:rest}.$ 

#### 2.3.1.3 Alternative Paths

An *alternative path* is a <u>blank node</u> that is the subject of exactly one triple in G. This triple has <u>sh:alternativePath</u> as predicate, L as object, and L is a <u>SHACL list</u> with at least two <u>members</u> and each member of L is a <u>well-formed</u> SHACL property path.

If p is an <u>alternative path</u> in G then, for the members of its SHACL list L:  $v_1$ ,  $v_2$ , ...,  $v_n$ , path(p,G) is a SPARQL AlternativePath with path( $v_1$ ,G) as elt1 followed by an AlternativePath for  $v_2$  as elt2, ..., up to path( $v_n$ ,G).

#### 2.3.1.4 Inverse Paths

An *inverse path* is a <u>blank node</u> that is the <u>subject</u> of exactly one <u>triple</u> in G. This triple has <u>sh:inversePath</u> as predicate, and the <u>object</u> v is a <u>well-formed</u> SHACL property path.

If p is an inverse path in G then path(p,G) is a SPARQL InversePath with path(v,G) as its elt.

### 2.3.1.5 Zero-Or-More Paths

A zero-or-more path is a blank node that is the subject of exactly one triple in G. This triple has sh: zeroOrMorePath as predicate, and the object v is a well-formed SHACL property path.

If p is a  $\underline{\text{zero-or-more path}}$  in G then  $\underline{\text{path}}(p,G)$  is a SPARQL  $\underline{\text{ZeroOrMorePath}}$  with  $\underline{\text{path}}(v,G)$  as its elt.

# 2.3.1.6 One-Or-More Paths

A *one-or-more path* is a <u>blank node</u> that is the <u>subject</u> of exactly one <u>triple</u> in G. This triple has <u>sh:oneOrMorePath</u> as <u>predicate</u>, and the <u>object</u> v is a <u>well-formed</u> SHACL property path.

If p is a  $\underline{\text{one-or-more path}}$  in G then  $\underline{\text{path}}(p,G)$  is a SPARQL  $\underline{\text{OneOrMorePath}}$  with  $\underline{\text{path}}(v,G)$  as its elt.

#### 2.3.1.7 Zero-Or-One Paths

A zero-or-one path is a <u>blank node</u> that is the <u>subject</u> of exactly one <u>triple</u> in G. This triple has <u>sh:zeroOrOnePath</u> as predicate, and the object v is a well-formed SHACL property path.

If p is a zero-or-one path in G then path(p,G) is a SPARQL ZeroOrOnePath with path(v,G) as its elt.

#### 2.3.2 Non-Validating Property Shape Characteristics

This section is non-normative.

While the previous sections introduced properties that represent validation conditions, this section covers properties that are ignored by SHACL processors. The use of these so-called *non-validating properties* is entirely optional and not subject to formal interpretation contracts. They may be used for purposes such as form building or predictable printing of RDF files.

#### 2.3.2.1 sh:name and sh:description

Property shapes may have one or more <u>values</u> for <u>sh:name</u> to provide human-readable labels for the property in the target where it appears. If present, tools <u>should</u> prefer those locally specified labels over globally specified labels at the <u>rdf:Property</u> itself. For example, if a form displays a node that is in the target of a given property shape with an <u>sh:name</u>, then the tool <u>should</u> use the provided name. Similarly, property shape may have values for <u>sh:description</u> to provide descriptions of the property in the given context. Both <u>sh:name</u> and <u>sh:description</u> may have multiple <u>values</u>, but should only have one <u>value</u> per language tag.

#### 2.3.2.2 sh:order

Property shapes may have one <u>value</u> for the property <u>sh:order</u> to indicate the relative order of the property shape for purposes such as form building. The values of <u>sh:order</u> are decimals. <u>sh:order</u> is not used for validation purposes and may be used with any type of subjects. If present at property shapes, the recommended use of <u>sh:order</u> is to sort the property shapes in an ascending order, for example so that properties with smaller order are placed above (or to the left) of properties with larger order.

### 2.3.2.3 sh:group

Property shapes may link to an <u>SHACL instance</u> of the class <u>sh:PropertyGroup</u> using the property <u>sh:group</u> to indicate that the shape belongs to a group of related property shapes. Each group may have additional triples that serve application purposes, such as an <u>rdfs:label</u> for form building. Groups may also have an <u>sh:order</u> property to indicate the relative ordering of groups within the same form.

#### 2.3.2.4 sh:defaultValue

Property shapes may have a single value for sh:defaultValue. The default value does not have fixed semantics in SHACL, but may be used by user interface tools to pre-populate input widgets. The value type of the sh:defaultValue should align with the specified sh:datatype or sh:class of the same shape.

The following example illustrates the use of these various features together.

```
Example shapes graph

ex:PersonFormShape
  a sh:NodeShape ;
  sh:property [
    sh:path ex:firstName ;
    sh:name "first name" ;
```

```
sh:description "The person's given name(s)";
      sh:order 0;
      sh:group ex:NameGroup;
  ];
   sh:property [
      sh:path ex:lastName ;
     sh:name "last name";
     sh:description "The person's last name" ;
      sh:order 1;
     sh:group ex:NameGroup ;
  ];
   sh:property [
     sh:path ex:streetAddress;
      sh:name "street address" ;
     sh:description "The street address including number";
      sh:order 11;
      sh:group ex:AddressGroup ;
  ];
   sh:property [
      sh:path ex:locality;
     sh:name "locality";
      sh:description "The suburb, city or town of the address";
      sh:order 12;
      sh:group ex:AddressGroup ;
  ];
   sh:property [
      sh:path ex:postalCode ;
      sh:name "postal code" ;
      sh:name "zip code"@en-US;
      sh:description "The postal code of the locality";
      sh:order 13;
      sh:group ex:AddressGroup ;
  ] .
ex:NameGroup
   a sh:PropertyGroup ;
  sh:order 0;
  rdfs:label "Name" .
ex:AddressGroup
  a sh:PropertyGroup;
   sh:order 1;
   rdfs:label "Address" .
```

A form building application may use the information above to display information as follows:

### Name

first name: John last name: Doe

### Address

street address: 123 Silverado Ave locality: Cupertino zip code: 54321

# 3. Validation and Graphs

<u>Validation</u> takes a <u>data graph</u> and a <u>shapes graph</u> as input and produces a <u>validation report</u> containing the results of the validation. <u>Conformance checking</u> is a simplified version of validation, producing a boolean result. A system that is capable of performing validation is called a *processor*, and the verb *processing* is sometimes used to refer to the validation process.

SHACL defines an RDF <u>Validation Report Vocabulary</u> that can be used by processors that produce validation reports as RDF results graphs. This specification uses the SHACL results vocabulary for the normative definitions of the <u>validators</u> associated with the <u>constraint components</u>. Only SHACL implementations that can produce all of the mandatory properties of the <u>Validation Report Vocabulary</u> are standards-compliant.

#### 3.1 Shapes Graph

A *shapes graph* is an RDF graph containing zero or more shapes that is passed into a SHACL <u>validation</u> process so that a data graph can be validated against the shapes.

The remainder of this section is informative.

In addition to shape declarations, the shapes graph may contain additional information for the SHACL processor such as sh:entailment statements.

### 3.2 Data Graph

Any RDF graph can be a data graph.

The remainder of this section is informative.

A data graph is one of the inputs to the SHACL processor for <u>validation</u>. SHACL processors treat it as a general RDF graph and makes no assumption about its nature. For example, it can be an in-memory graph or a named graph from an RDF dataset or a SPARQL endpoint.

SHACL can be used with RDF graphs that are obtained by any means, e.g. from the file system, HTTP requests, or <u>RDF</u> <u>datasets</u>. SHACL makes no assumptions about whether a graph contains triples that are entailed from the graph under any RDF entailment regime.

The data graph is expected to include all the ontology axioms related to the data and especially all the rdfs:subClassOf triples in order for SHACL to correctly identify class targets and validate Core SHACL constraints.

### 3.3 Linking to shapes graphs (sh:shapesGraph)

A <u>data graph</u> can include triples used to suggest one or more graphs to a SHACL processor with the predicate sh: shapesGraph. Every <u>value</u> of sh: shapesGraph is an <u>IRI</u> representing a graph that should be included into the <u>shapes graph</u> used to validate the <u>data graph</u>.

In the following example, a SHACL processor should use the union of ex: graph-shapes1 and ex: graph-shapes2 graphs (and their owl:imports) as the shapes graph when validating the given graph.

```
Example data graph

<http://example.com/myDataGraph>
   sh:shapesGraph ex:graph-shapes1;
   sh:shapesGraph ex:graph-shapes2.
```

#### 3.4 Validation

Validation is a mapping from some input to validation results, as defined in the following paragraphs.

*Validation of a data graph against a shapes graph:* Given a <u>data graph</u> and a <u>shapes graph</u>, the <u>validation results</u> are the union of results of the validation of the data graph against all shapes in the shapes graph.

*Validation of a data graph against a shape:* Given a <u>data graph</u> and a <u>shape</u> in the <u>shapes graph</u>, the <u>validation results</u> are the union of the results of the validation of all focus nodes that are in the target of the shape in the data graph.

Validation of a focus node against a shape: Given a focus node in the data graph and a shape in the shapes graph, the validation results are the union of the results of the validation of the focus node against all constraints declared by the shape, unless the shape has been deactivated, in which case the validation results are empty.

Validation of a focus node against a constraint: Given a focus node in the data graph and a constraint of kind C in the shapes graph, the validation results are defined by the validators of the constraint component C. These validators typically take as input the focus node, the specific values of the parameters of C of the constraint in the shapes graph, and the value nodes of the shape that declares the constraint.

During validation, the <u>data graph</u> and the <u>shapes graph must</u> remain immutable, i.e. both graphs at the end of the validation must be identical to the graph at the beginning of validation. SHACL processors <u>must not</u> change the graphs that they use to construct the shapes graph or the data graph, even if these graphs are part of an RDF store that allows changes to its stored graphs. SHACL processors <u>may</u> store the graphs that they create, such as a graph containing validation results, and this operation <u>may</u> change existing graphs in an RDF store, but not any of the graphs that were used to construct the shapes graph or the data graph. SHACL processing is thus idempotent.

#### 3.4.1 Failures

<u>Validation</u> and <u>conformance checking</u> can result in a *failure*. For example, a particular SHACL processor might allow recursive shapes but report a failure if it detects a loop within the data. Failures can also be reported due to resource exhaustion. Failures are signalled through implementation-specific channels.

# 3.4.2 Handling of Ill-formed Shapes Graphs

If the <u>shapes graph</u> contains <u>ill-formed</u> nodes, then the result of the validation process is *undefined*. A SHACL processor <u>should</u> produce a <u>failure</u> in this case. See also <u>3.6.1.3 Syntax Checking of Shapes Graph (sh:shapesGraphWellFormed)</u>.

#### 3.4.3 Handling of Recursive Shapes

The following properties are the so-called *shape-expecting constraint parameters* in SHACL Core:

- sh:and
- sh:not
- sh:or
- · sh:property
- sh:qualifiedValueShape
- sh:node
- sh:xone

The following properties are the so-called *list-taking constraint parameters* in SHACL Core:

- sh:and
- sh:in
- · sh:languageIn
- sh:or
- sh:xone

A shape s1 in an RDF graph 6 *refers* to shape s2 in G if it has s2 as <u>value</u> for some non-list-taking, shape-expecting parameter of some constraint component or s2 as a <u>member</u> of the <u>value</u> for some list-taking, shape-expecting parameter of some constraint component. A shape in an RDF graph G is a *recursive shape* in G if it is related to itself by the transitive closure of the refers relationship in G.

The <u>validation</u> with <u>recursive</u> shapes is not defined in SHACL and is left to SHACL processor implementations. For example, SHACL processors may support recursion scenarios or produce a failure when they detect recursion.

The remainder of this section is informative.

The recursion policy above has been selected to support a large variety of implementation strategies. By leaving recursion undefined, implementations may chose to not support recursion so that they can issue a static set of SPARQL queries (against SPARQL end points) without having to support cycles. The Working Group is aware that other implementations may support recursion and that some shapes graphs may rely on these specific characteristics. The expectation is that future work, for example in W3C Community Groups, will lead to the definition of specific dialects of SHACL where recursion is well-defined.

### 3.5 Conformance Checking

A <u>focus node</u> <u>conforms</u> to a <u>shape</u> if and only if the set of result of the <u>validation</u> of the <u>focus node</u> against the <u>shape</u> is empty and no failure has been reported by it.

Conformance checking produces true if and only if a given focus node conforms to a given shape, and false otherwise.

Note that some <u>constraint components</u> of SHACL Core (e.g., those of sh:not, sh:or and sh:node) rely on conformance checking. In these cases, the <u>validation results</u> used to determine the outcome of conformance checking are separated from those of the surrounding validation process and typically do not end up in the same validation report (except perhaps as values of sh:detail).

### 3.6 Validation Report

The *validation report* is the result of the <u>validation</u> process that reports the <u>conformance</u> and the set of all *validation results*. The validation report is described with the SHACL *Validation Report Vocabulary* as defined in this section. This vocabulary defines the RDF properties to represent structural information that may provide guidance on how to identify or fix violations in the data graph.

SHACL-compliant processors must be capable of returning a validation report with all required validation results described in this specification. SHACL-compliant processors may support optional arguments that make it possible to limit the number of returned results. This flexibility is for example needed in some large-scale dataset validation use cases.

The following graph represents an example of a validation report for the validation of a data graph that conforms to a shapes graph.

```
Example validation results

[ a sh:ValidationReport;
 sh:conforms true;
].
```

The following graph represents an example of a validation report for the validation of a data graph that does not conform to a shapes graph. Note that the specific value of sh:resultMessage is not mandated by SHACL and considered implementation-specific.

```
Example validation results

[ a sh:ValidationReport ;
  sh:conforms false ;
  sh:result [
    a sh:ValidationResult ;
```

```
sh:resultSeverity sh:Violation;
sh:focusNode ex:Bob;
sh:resultPath ex:age;
sh:value "twenty two";
sh:resultMessage "ex:age expects a literal of datatype xsd:integer.";
sh:sourceConstraintComponent sh:DatatypeConstraintComponent;
sh:sourceShape ex:PersonShape-age;
]
] .
```

#### 3.6.1 Validation Report (sh: ValidationReport)

The result of a <u>validation</u> process is an RDF graph with exactly one <u>SHACL instance</u> of <u>sh:ValidationReport</u>. The RDF graph <u>may</u> contain additional information such as provenance metadata.

#### 3.6.1.1 Conforms (sh:conforms)

Each SHACL instance of sh:ValidationReport in the results graph has exactly one value for the property sh:conforms and the value is of datatype xsd:boolean. It represents the outcome of the conformance checking. The value of sh:conforms is true if and only if the validation did not produce any validation results, and false otherwise.

#### 3.6.1.2 Result (sh:result)

For every validation result that is produced by a <u>validation</u> process (except those mentioned in the context of <u>conformance checking</u>), the SHACL instance of <u>sh:ValidationReport</u> in the results graph has a value for the property <u>sh:result</u>. Each value of <u>sh:result</u> is a <u>SHACL instance</u> of the class <u>sh:ValidationResult</u>.

#### 3.6.1.3 Syntax Checking of Shapes Graph (sh:shapesGraphWellFormed)

SHACL validation engines are not strictly required to check whether the <a href="mailto:shapes graph">shapes graph</a> is <a href="mailto:well-formed">well-formed</a>. Implementations that do perform such checks (e.g., when the shapes graph is installed in the system, or before or during the validation) should use the property <a href="mailto:shapesGraphWellFormed">sh:shapesGraphWellFormed</a> to inform the consumer of the validation report about this fact. If a SHACL instance of <a href="mailto:shapesGraphWellFormed">sh:ValidationReport</a> in the results graph has <a href="mailto:true">true</a> as the <a href="mailto:value">value</a> for <a href="mailto:shapesGraphWellFormed">sh:shapesGraphWellFormed</a> then the <a href="mailto:processor">processor</a> was certain that the <a href="mailto:shapes graph">shapes graph</a> that was used for the <a href="mailto:validation">validation</a> process has passed all SHACL syntax rules (as summarized in <a href="mailto:B. Summary of SHACL Syntax Rules">B. Summary of SHACL Syntax Rules</a>) during the validation process.

### 3.6.2 Validation Result (sh: ValidationResult)

SHACL defines sh:ValidationResult as a subclass of sh:AbstractResult to report individual SHACL <u>validation</u> results. SHACL implementations may use other <u>SHACL subclasses</u> of sh:AbstractResult, for example, to report successfully completed constraint checks or accumulated results.

All the properties described in the remaining sub-sections of this section can be specified in a sh:ValidationResult. The properties sh:focusNode, sh:resultSeverity and sh:sourceConstraintComponent are the only properties that are mandatory for all validation results.

# 3.6.2.1 Focus node (sh:focusNode)

Each validation result has exactly one value for the property sh: focusNode that is equal to the focus node that has caused the result. This is the focus node that was validated when the validation result was produced.

#### 3.6.2.2 Path (sh:resultPath)

Validation results may have a value for the property sh:resultPath pointing at a well-formed SHACL property path. For results produced by a property shape, this SHACL property path is equivalent to the value of sh:path of the shape, unless stated otherwise.

#### 3.6.2.3 *Value* (sh:value)

Validation results may include, as a <u>value</u> of the property sh:value, at most one RDF term that has caused the result. The textual definitions of the validators of the SHACL Core components specify how this value is constructed - often they are the value nodes that have violated a constraint.

#### 3.6.2.4 Source (sh:sourceShape)

Validation results may include, as the only <u>value</u> of the property <u>sh:sourceShape</u>, the <u>shape</u> that the given <u>sh:focusNode</u> was validated against.

### 3.6.2.5 Constraint Component (sh:sourceConstraintComponent)

Validation results have exactly one value for the property sh:sourceConstraintComponent and this value is the <u>IRI</u> of the <u>constraint component</u> that caused the result. For example, results produced due to a violation of a constraint based on a value of sh:minCount would have the source constraint component sh:MinCountConstraintComponent.

### 3.6.2.6 Details (sh:detail)

The property sh:detail may link a (parent) result with one or more SHACL instances of sh:AbstractResult that can provide further details about the cause of the (parent) result. Depending on the capabilities of the SHACL processor, this may for example include violations of constraints that have been evaluated as part of conformance checking via sh:node.

#### 3.6.2.7 Message (sh:resultMessage)

Validation results may have values for the property sh:resultMessage, for example to communicate additional textual details to humans. While sh:resultMessage may have multiple values, there should not be two values with the same language tag. These values are produced by a validation engine based on the values of sh:message of the constraints in the shapes graph, see <a href="Declaring Messages for a Shape">Declaring Messages for a Shape</a>. In cases where a constraint does not have any values for sh:message in the shapes graph the SHACL processor may automatically generate other values for sh:resultMessage.

### 3.6.2.8 Severity (sh:resultSeverity)

Each validation result has exactly one <u>value</u> for the property <u>sh:resultSeverity</u>, and this value is an <u>IRI</u>. The value is equal to the <u>value</u> of <u>sh:severity</u> of the <u>shape</u> in the <u>shapes graph</u> that caused the result, defaulting to <u>sh:Violation</u> if no <u>sh:severity</u> has been specified for the shape.

### 3.7 Value Nodes

The validators of most constraint components use the concept of value nodes, which is defined as follows:

- For <u>node shapes</u> the <u>value nodes</u> are the individual <u>focus nodes</u>, forming a set with exactly one member.
- For property shapes with a value for sh:path p the value nodes are the set of nodes in the data graph that can be reached from the focus node with the path mapping of p. Unless stated otherwise, the value of sh:resultPath of each

validation result is a SHACL property path that represents an equivalent path to the one provided in the shape.

# 4. Core Constraint Components

This section defines the built-in SHACL Core <u>constraint components</u> that <u>must</u> be supported by all SHACL Core processors. The definition of each constraint component contains its IRI as well as a table of its <u>parameters</u>. Unless stated otherwise, all these parameters are <u>mandatory parameters</u>. Shapes that violate any of the syntax rules enumerated in those parameter tables are ill-formed.

Each constraint component also includes a textual definition, which describes the <u>validator</u> associated with the component. These textual definitions refer to the values of the parameters in the constraint by variables of the form \$paramName where paramName is the part of the parameter's <u>IRI</u> after the sh: namespace. For example, the textual definition of sh:ClassConstraintComponent refers to the value of sh:class using the variable \$class. Note that these validators define the *only* validation results that are being produced by the component. Furthermore, the validators always produce *new* result nodes, i.e. when the textual definition states that "...there is a validation result..." then this refers to a distinct new node in a results graph.

The remainder of this section is informative.

The choice of constraint components that were included into the SHACL Core was made based on the requirements collected by the [shacl-ucr] document. Special attention was paid to the balance between trying to cover as many common use cases as possible and keeping the size of the Core language manageable. Not all use cases can be expressed by the Core language alone. Instead, SHACL-SPARQL provides an extension mechanism, described in the second part of this specification. It is expected that additional reusable libraries of constraint components will be maintained by third parties.

Unless stated otherwise, the Core constraint components can be used both in <u>property shapes</u> and <u>node shapes</u>. Some constraint parameters have syntax rules attached to them that would make <u>node shapes</u> that use these parameters <u>ill-formed</u>. Examples of this include <u>sh:minCount</u> which is only supported for property shapes.

The SPARQL definitions in this section represent potential <u>validators</u>. They are included for illustration purposes only and have no formal status otherwise. Many constraint components are written as SPARQL ASK queries. These queries are interpreted against each <u>value node</u>, bound to the variable <u>value</u>. If an ASK query does not evaluate to <u>true</u> for a <u>value node</u>, then there is a <u>validation result</u> based on the rules outlined in the <u>section on ASK-based validators</u>. Constraint components that are described using a SELECT query are interpreted based on the rules outlined in the <u>section on SELECT-based validators</u>. In particular, for <u>property shapes</u>, the variable <u>PATH</u> is <u>substituted</u> with a path expression based on the value of <u>sh:path</u> in the shape. All SPARQL queries also require the variable bindings and result variable mapping rules detailed in the <u>section on SPARQL-based Constraints</u>. The variable <u>this</u> represents the currently validated <u>focus node</u>. Based on the parameter IRIs on the tables, pre-bound variables are derived using the syntax rules for parameter names.

# 4.1 Value Type Constraint Components

The constraint components in this section have in common that they can be used to restrict the type of value nodes. Note that it is possible to represent multiple value type alternatives using sh:or.

### 4.1.1 sh:class

The condition specified by sh: class is that each value node is a SHACL instance of a given type.

Constraint Component IRI: sh:ClassConstraintComponent

#### Parameters:

Property	Summary and Syntax Rules
sh:class	The type of all value nodes. The values of sh:class in a shape are IRIs.

# TEXTUAL DEFINITION

For each <u>value node</u> that is either a <u>literal</u>, or a non-literal that is not a <u>SHACL instance</u> of \$class in the <u>data graph</u>, there is a <u>validation result</u> with the <u>value node</u> as sh:value.

The remainder of this section is informative.

Note that multiple values for sh:class are interpreted as a conjunction, i.e. the values need to be SHACL instances of all of them.

```
POTENTIAL DEFINITION IN SPARQL (Must evaluate to true for each value node $value)

ASK {
    $value rdf:type/rdfs:subClassOf* $class .
}
```

```
Example shapes graph

ex:ClassExampleShape
  a sh:NodeShape ;
  sh:targetNode ex:Bob, ex:Alice, ex:Carol ;
  sh:property [
    sh:path ex:address ;
    sh:class ex:PostalAddress ;
] .
```

```
ex:Alice a ex:Person .
ex:Bob ex:address [ a ex:PostalAddress ; ex:city ex:Berlin ] .
ex:Carol ex:address [ ex:city ex:Cairo ] .
```

# 4.1.2 sh:datatype

sh:datatype specifies a condition to be satisfied with regards to the datatype of each value node.

Constraint Component IRI: sh:DatatypeConstraintComponent

# Parameters:

Property	Summary and Syntax Rules
	The datatype of all value nodes (e.g., xsd:integer). The values of sh:datatype in a shape are <u>IRIs</u> .  A shape has at most one value for sh:datatype.

### TEXTUAL DEFINITION

For each <u>value node</u> that is not a <u>literal</u>, or is a <u>literal</u> with a datatype that does not match <u>\$datatype</u>, there is a <u>validation result</u> with the <u>value node</u> as <u>sh:value</u>. The datatype of a literal is determined following the <u>datatype</u> function of SPARQL 1.1. A <u>literal</u> matches a datatype if the <u>literal</u>'s datatype has the same <u>IRI</u> and, for the datatypes supported by SPARQL 1.1, is not an <u>ill-typed</u> literal.

The remainder of this section is informative.

The values of sh:datatype are typically <u>datatypes</u>, such as xsd:string. Note that using rdf:langString as value of sh:datatype can be used to test if value nodes have a language tag.

```
Example shapes graph
```

```
ex:DatatypeExampleShape
  a sh:NodeShape ;
  sh:targetNode ex:Alice, ex:Bob, ex:Carol ;
  sh:property [
    sh:path ex:age ;
    sh:datatype xsd:integer ;
] .
```

```
ex:Alice ex:age "23"^^xsd:integer .
ex:Bob ex:age "twenty two" .
ex:Carol ex:age "23"^^xsd:int .
```

#### 4.1.3 sh:nodeKind

sh:nodeKind specifies a condition to be satisfied by the RDF node kind of each value node.

Constraint Component IRI: sh:NodeKindConstraintComponent

#### Parameters:

Property	Summary and Syntax Rules
sh:nodeKind	The node kind (IRI, blank node, literal or combinations of these) of all value nodes. The values of sh:nodeKind in a shape are one of the following six instances of the class sh:NodeKind:
	sh:BlankNode, sh:IRI, sh:Literal sh:BlankNodeOrIRI, sh:BlankNodeOrLiteral and
	sh:IRIOrLiteral. A shape has at most one value for sh:nodeKind.

#### TEXTUAL DEFINITION

For each <u>value node</u> that does not match \$nodeKind, there is a <u>validation result</u> with the <u>value node</u> as sh:value. Any <u>IRI</u> matches only sh:IRI, sh:BlankNodeOrIRI and sh:IRIOrLiteral. Any <u>blank node</u> matches only sh:BlankNodeOrLiteral. Any <u>literal</u> matches only sh:Literal, sh:BlankNodeOrLiteral and sh:IRIOrLiteral.

The remainder of this section is informative.

ex:Alice ex:knows "Bob" .

```
POTENTIAL DEFINITION IN SPARQL (Must evaluate to true for each value node $value)

ASK {

FILTER ((isIRI($value) && $nodeKind IN ( sh:IRI, sh:BlankNodeOrIRI, sh:IRIOrLiteral ) ) ||

(isLiteral($value) && $nodeKind IN ( sh:Literal, sh:BlankNodeOrLiteral, sh:IRIOrLiteral )

(isBlank($value) && $nodeKind IN ( sh:BlankNode, sh:BlankNodeOrIRI, sh:BlankNodeOrLiteral)
}
```

The following example states that all values of ex: knows need to be IRIs, at any subject.

```
Example shapes graph

ex:NodeKindExampleShape
a sh:NodeShape;
sh:targetObjectsOf ex:knows;
sh:nodeKind sh:IRI.

Example data graph
ex:Bob ex:knows ex:Alice .
```

# 4.2 Cardinality Constraint Components

The following constraint components represent restrictions on the number of value nodes for the given focus node.

#### 4.2.1 sh:minCount

sh:minCount specifies the minimum number of <u>value nodes</u> that satisfy the condition. If the minimum cardinality value is 0 then this constraint is always satisfied and so may be omitted.

Constraint Component IRI: sh:MinCountConstraintComponent

#### **Parameters:**

Property	Summary and Syntax Rules
	The minimum cardinality. Node shapes cannot have any value for sh:minCount. A property shape has at most one value for sh:minCount. The values of sh:minCount in a property shape are literals with
	datatype xsd:integer.

### TEXTUAL DEFINITION

If the number of value nodes is less than \$minCount, there is a validation result.

The remainder of this section is informative.

```
Example shapes graph

ex:MinCountExampleShape
  a sh:PropertyShape ;
  sh:targetNode ex:Alice, ex:Bob ;
  sh:path ex:name ;
  sh:minCount 1 .
```

```
Example data graph

ex:Alice ex:name "Alice" .

ex:Bob ex:givenName "Bob"@en .
```

# 4.2.2 sh:maxCount

sh:maxCount specifies the maximum number of <u>value nodes</u> that satisfy the condition.

Constraint Component IRI: sh:MaxCountConstraintComponent

### Parameters:

Property	Summary and Syntax Rules
	The maximum cardinality. Node shapes cannot have any value for sh:maxCount. A property shape has at most one value for sh:maxCount. The values of sh:maxCount in a property shape are literals with datatype xsd:integer.

# TEXTUAL DEFINITION

If the number of <u>value nodes</u> is greater than \$maxCount, there is a <u>validation result</u>.

The remainder of this section is informative.

Example shapes graph

```
ex:MaxCountExampleShape
  a sh:NodeShape ;
  sh:targetNode ex:Bob ;
  sh:property [
    sh:path ex:birthDate ;
    sh:maxCount 1 ;
] .
```

```
Example data graph

ex:Bob ex:birthDate "May 5th 1990" .
```

# 4.3 Value Range Constraint Components

The following constraint components specify value range conditions to be satisfied by value nodes that are comparable via operators such as <, <=, > and >=. The following example illustrates a typical use case of these constraint components.

```
Example shapes graph

ex:NumericRangeExampleShape
  a sh:NodeShape ;
  sh:targetNode ex:Bob, ex:Alice, ex:Ted ;
  sh:property [
    sh:path ex:age ;
    sh:minInclusive 0 ;
    sh:maxInclusive 150 ;
] .
```

```
Example data graph

ex:Bob ex:age 23 .

ex:Alice ex:age 220 .

ex:Ted ex:age "twenty one" .
```

### 4.3.1 sh:minExclusive

Constraint Component IRI: sh:MinExclusiveConstraintComponent

### **Parameters:**

Property	Summary and Syntax Rules
	The minimum exclusive value. The values of sh:minExclusive in a shape are <u>literals</u> . A shape has at most one value for sh:minExclusive.

# TEXTUAL DEFINITION

For each <u>value node</u> v where the SPARQL expression <u>\$minExclusive</u> < v does not return <u>true</u>, there is a <u>validation</u> result with v as sh:value.

The remainder of this section is informative.

The SPARQL expression produces an error if the value node cannot be compared to the specified range, for example when someone compares a string with an integer. If the comparison cannot be performed, then there is a validation result. This is different from, say, a plain SPARQL query, in which such errors would silently not lead to any results.

POTENTIAL DEFINITION IN SPARQL (Must evaluate to true for each value node \$value)

```
ASK {
    FILTER ($minExclusive < $value)
}
```

# 4.3.2 sh:minInclusive

Constraint Component IRI: sh:MinInclusiveConstraintComponent

#### Parameters:

Property	Summary and Syntax Rules
sh:minInclusive	The minimum inclusive value. The values of sh:minInclusive in a shape are literals. A shape
	has at most one value for sh:minInclusive.

### TEXTUAL DEFINITION

For each <u>value node</u> v where the SPARQL expression **\$minInclusive** <= v does not return true, there is a validation result with v as sh:value.

The remainder of this section is informative.

```
POTENTIAL DEFINITION IN SPARQL (Must evaluate to true for each value node $value)

ASK {
    FILTER ($minInclusive <= $value)
}
```

### 4.3.3 sh:maxExclusive

Constraint Component IRI: sh:MaxExclusiveConstraintComponent

### **Parameters:**

Property	Summary and Syntax Rules
sh:maxExclusive	The maximum exclusive value. The values of sh:maxExclusive in a shape are <u>literals</u> . A shape has at most one value for sh:maxExclusive.

# TEXTUAL DEFINITION

For each <u>value node</u> v where the SPARQL expression <u>maxExclusive</u> > v does not return <u>true</u>, there is a <u>validation</u> result with v as <u>sh:value</u>.

The remainder of this section is informative.

```
POTENTIAL DEFINITION IN SPARQL (Must evaluate to true for each value node $value)

ASK {
    FILTER ($maxExclusive > $value)
}
```

### 4.3.4 sh:maxInclusive

Constraint Component IRI: sh:MaxInclusiveConstraintComponent

# **Parameters:**

Property	Summary and Syntax Rules
sh:maxInclusive	The maximum inclusive value. The values of sh:maxInclusive in a shape are literals. A shape
	has at most one value for sh:maxInclusive.

# TEXTUAL DEFINITION

For each <u>value node</u> v where the SPARQL expression <u>\$maxInclusive</u> >= v does not return <u>true</u>, there is a <u>validation result</u> with v as <u>sh:value</u>.

The remainder of this section is informative.

```
POTENTIAL DEFINITION IN SPARQL (Must evaluate to true for each value node $value)

ASK {
    FILTER ($maxInclusive >= $value)
}
```

# 4.4 String-based Constraint Components

The constraint components in this section have in common that they specify conditions on the string representation of <u>value</u> nodes.

### 4.4.1 sh:minLength

sh:minLength specifies the minimum string length of each value node that satisfies the condition. This can be applied to any literals and IRIs, but not to blank nodes.

Constraint Component IRI: sh:MinLengthConstraintComponent

### Parameters:

Property	Summary and Syntax Rules
sh:minLength	The minimum length. The values of sh:minLength in a shape are literals with datatype
	xsd:integer. A shape has at most one value for sh:minLength.

# TEXTUAL DEFINITION

For each <u>value node</u> v where the length (as defined by the <u>SPARQL STRLEN function</u>) of the string representation of v (as defined by the <u>SPARQL str function</u>) is less than \$minLength, or where v is a <u>blank node</u>, there is a <u>validation</u> result with v as sh:value.

The remainder of this section is informative.

Note that if the value of sh:minLength is 0 then there is no restriction on the string length but the constraint is still violated if the value node is a blank node.

```
POTENTIAL DEFINITION IN SPARQL (Must evaluate to true for each value node $value)

ASK {
    FILTER (STRLEN(str($value)) >= $minLength) .
}
```

# 4.4.2 sh:maxLength

sh:maxLength specifies the maximum string length of each <u>value node</u> that satisfies the condition. This can be applied to any <u>literals</u> and <u>IRIs</u>, but not to <u>blank nodes</u>.

### Constraint Component IRI: sh: MaxLengthConstraintComponent

#### **Parameters:**

Property	Summary and Syntax Rules
sh:maxLength	The maximum length. The values of sh:maxLength in a shape are literals with datatype
	xsd:integer. A shape has at most one value for sh:maxLength.

# TEXTUAL DEFINITION

For each <u>value node</u> v where the length (as defined by the <u>SPARQL STRLEN function</u>) of the string representation of v (as defined by the <u>SPARQL str function</u>) is greater than \$maxLength, or where v is a <u>blank node</u>, there is a <u>validation result</u> with v as sh:value.

The remainder of this section is informative.

```
POTENTIAL DEFINITION IN SPARQL (Must evaluate to true for each value node $value)

ASK {
    FILTER (STRLEN(str($value)) <= $maxLength) .
}
```

```
Example shapes graph

ex:PasswordExampleShape
  a sh:NodeShape ;
  sh:targetNode ex:Bob, ex:Alice ;
  sh:property [
    sh:path ex:password ;
    sh:minLength 8 ;
    sh:maxLength 10 ;
  ] .
```

```
Example data graph

ex:Bob ex:password "123456789" .

ex:Alice ex:password "1234567890ABC" .
```

# 4.4.3 sh:pattern

sh:pattern specifies a regular expression that each <u>value node</u> matches to satisfy the condition.

Constraint Component IRI: sh:PatternConstraintComponent

### Parameters:

Property	Summary and Syntax Rules
sh:pattern	A regular expression that all value nodes need to match. The values of sh:pattern in a shape are literals with datatype xsd:string. The values of sh:pattern in a shape are valid pattern arguments for the <a href="SPARQL REGEX function">SPARQL REGEX function</a> .
sh:flags	An optional string of flags, interpreted as in <u>SPARQL 1.1 REGEX</u> . The values of sh:flags in a shape are literals with datatype xsd:string.

# TEXTUAL DEFINITION

For each <u>value node</u> that is a blank node or where the string representation (as defined by the <u>SPARQL str function</u>) does not match the regular expression **\$pattern** (as defined by the <u>SPARQL REGEX</u> function), there is a validation

result with the <u>value node</u> as sh:value. If \$flags has a value then the matching must follow the definition of the 3-argument variant of the SPARQL REGEX function, using \$flags as third argument.

The remainder of this section is informative.

```
POTENTIAL DEFINITION IN SPARQL (Must evaluate to true for each value node $value)

ASK {
    FILTER (!isBlank($value) && IF(bound($flags), regex(str($value), $pattern, $flags), regex(str)}
}
```

```
Example shapes graph

ex:PatternExampleShape
  a sh:NodeShape ;
  sh:targetNode ex:Bob, ex:Alice, ex:Carol ;
  sh:property [
    sh:path ex:bCode ;
    sh:pattern "^B" ; # starts with 'B'
    sh:flags "i" ; # Ignore case
] .
```

```
Example data graph

ex:Bob ex:bCode "b101" .

ex:Alice ex:bCode "B102" .

ex:Carol ex:bCode "C103" .
```

### 4.4.4 sh:languageIn

The condition specified by sh:languageIn is that the allowed language tags for each <u>value node</u> are limited by a given list of language tags.

Constraint Component IRI: sh:LanguageInConstraintComponent

# Parameters:

Property	Summary and Syntax Rules
	A list of basic language ranges as per [BCP47]. Each value of sh:languageIn in a shape is a SHACL list. Each member of such a list is a literal with datatype xsd:string. A shape has at most one value for sh:languageIn.

# TEXTUAL DEFINITION

For each <u>value node</u> that is either not a <u>literal</u> or that does not have a language tag matching any of the basic language ranges that are the <u>members</u> of <u>\$languageIn</u> following the filtering schema defined by the <u>SPARQL langMatches</u> function, there is a <u>validation result</u> with the <u>value node</u> as <u>sh:value</u>.

The remainder of this section is informative.

The following example shape states that all values of ex:prefLabel can be either in English or Māori.

```
Example shapes graph

ex:NewZealandLanguagesShape
  a sh:NodeShape ;
  sh:targetNode ex:Mountain, ex:Berg ;
  sh:property [
```

```
sh:path ex:prefLabel ;
sh:languageIn ( "en" "mi" ) ;
] .
```

From the example instances, ex:Berg will lead to constraint violations for all of its labels.

```
Example data graph

ex:Mountain
    ex:prefLabel "Mountain"@en;
    ex:prefLabel "Hill"@en-NZ;
    ex:prefLabel "Maunga"@mi .

ex:Berg
    ex:prefLabel "Berg";
    ex:prefLabel "Berg"@de;
    ex:prefLabel ex:BergLabel .
```

## 4.4.5 sh:uniqueLang

The property sh:uniqueLang can be set to true to specify that no pair of value nodes may use the same language tag.

Constraint Component IRI: sh:UniqueLangConstraintComponent

### Parameters:

Property	Summary and Syntax Rules	
	true to activate this constraint. The values of sh:uniqueLang in a shape are literals with datatype xsd:boolean. A property shape has at most one value for sh:uniqueLang. Node shapes cannot have any value for sh:uniqueLang.	

# TEXTUAL DEFINITION

If  $\frac{\mbox{$$}\mbox{$$}\mbox{$$}\mbox{$}\mbo$ 

The remainder of this section is informative.

```
Example shapes graph

ex:UniqueLangExampleShape
   a sh:NodeShape ;
   sh:targetNode ex:Alice, ex:Bob ;
   sh:property [
      sh:path ex:label ;
      sh:uniqueLang true ;
   ] .
```

```
ex:Alice
  ex:label "Alice";
  ex:label "Alice"@en;
  ex:label "Alice"@fr.

ex:Bob
  ex:label "Bobb"@en;
  ex:label "Bobby"@en.
```

## 4.5 Property Pair Constraint Components

The constraint components in this section specify conditions on the sets of <u>value nodes</u> in relation to other properties. These constraint components can only be used by property shapes.

## 4.5.1 sh:equals

sh:equals specifies the condition that the set of all <u>value nodes</u> is equal to the set of <u>objects</u> of the <u>triples</u> that have the <u>focus node</u> as <u>subject</u> and the <u>value</u> of <u>sh:equals</u> as <u>predicate</u>.

Constraint Component IRI: sh: EqualsConstraintComponent

### Parameters:

Property	Summary and Syntax Rules	
sh:equals	The property to compare with. The values of sh: equals in a shape are <u>IRIs</u> .	

#### TEXTUAL DEFINITION

For each <u>value node</u> that does not exist as a <u>value</u> of the property <u>\$equals</u> at the <u>focus node</u>, there is a <u>validation</u> <u>result</u> with the <u>value node</u> as <u>sh:value</u>. For each <u>value</u> of the property <u>\$equals</u> at the <u>focus node</u> that is not one of the <u>value nodes</u>, there is a <u>validation result</u> with the <u>value</u> as <u>sh:value</u>.

The remainder of this section is informative.

The following example illustrates the use of sh:equals in a shape to specify that certain focus nodes need to have the same set of values for ex:firstName and ex:givenName.

```
Example shapes graph

ex:EqualExampleShape
  a sh:NodeShape ;
  sh:targetNode ex:Bob ;
  sh:property [
    sh:path ex:firstName ;
    sh:equals ex:givenName ;
] .
```

```
ex:Bob
  ex:firstName "Bob";
  ex:givenName "Bob".
```

## 4.5.2 sh:disjoint

sh:disjoint specifies the condition that the set of <u>value nodes</u> is disjoint with the set of <u>objects</u> of the <u>triples</u> that have the <u>focus node</u> as <u>subject</u> and the <u>value</u> of <u>sh:disjoint</u> as <u>predicate</u>.

Constraint Component IRI: sh:DisjointConstraintComponent

# Parameters:

Property	Summary and Syntax Rules	
sh:disjoint	The property to compare the values with. The values of sh:disjoint in a shape are <u>IRIs</u> .	

TEXTUAL DEFINITION

For each <u>value node</u> that also exists as a <u>value</u> of the property **\$disjoint** at the <u>focus node</u>, there is a <u>validation result</u> with the <u>value node</u> as **sh:value**.

The remainder of this section is informative.

```
POTENTIAL DEFINITION IN SPARQL (Must return no results for the given $PATH)

SELECT DISTINCT $this ?value

WHERE {
    $this $PATH ?value .
    $this $disjoint ?value .
}
```

The following example illustrates the use of sh:disjoint in a shape to specify that certain focus nodes cannot share any values for ex:prefLabel and ex:altLabel.

```
Example shapes graph

ex:DisjointExampleShape
  a sh:NodeShape ;
  sh:targetNode ex:USA, ex:Germany ;
  sh:property [
    sh:path ex:prefLabel ;
    sh:disjoint ex:altLabel ;
  ] .
```

```
ex:USA
  ex:prefLabel "USA";
  ex:altLabel "United States".

ex:Germany
  ex:prefLabel "Germany";
  ex:altLabel "Germany".
```

## 4.5.3 sh:lessThan

sh:lessThan specifies the condition that each <u>value node</u> is smaller than all the <u>objects</u> of the <u>triples</u> that have the <u>focus</u> node as <u>subject</u> and the <u>value</u> of sh:lessThan as predicate.

Constraint Component IRI: sh:LessThanConstraintComponent

#### Parameters:

Property	Summary and Syntax Rules	
sh:lessThan	The property to compare the values with. The values of sh:lessThan in a shape are IRIs. Node	
	shapes cannot have any value for sh:lessThan.	

## TEXTUAL DEFINITION

For each pair of <u>value nodes</u> and the values of the property \$lessThan at the given <u>focus node</u> where the first <u>value</u> is not less than the second <u>value</u> (based on SPARQL's < operator) or where the two values cannot be compared, there is a <u>validation result</u> with the <u>value node</u> as sh:value.

The remainder of this section is informative.

POTENTIAL DEFINITION IN SPARQL (Must return no results for the given \$PATH)

```
SELECT $this ?value
WHERE {
    $this $PATH ?value .
    $this $lessThan ?otherValue .
    BIND (?value < ?otherValue AS ?result) .
    FILTER (!bound(?result) || !(?result)) .
}</pre>
```

The following example illustrates the use of sh:lessThan in a shape to specify that all values of ex:startDate are "before" the values of ex:endDate.

```
Example shapes graph

ex:LessThanExampleShape
  a sh:NodeShape ;
  sh:property [
    sh:path ex:startDate ;
    sh:lessThan ex:endDate ;
  ] .
```

### 4.5.4 sh:lessThanOrEquals

sh:lessThanOrEquals specifies the condition that each <u>value node</u> is smaller than or equal to all the <u>objects</u> of the <u>triples</u> that have the <u>focus node</u> as <u>subject</u> and the <u>value</u> of sh:lessThanOrEquals as <u>predicate</u>.

Constraint Component IRI: sh:LessThanOrEqualsConstraintComponent

#### **Parameters:**

Property	Summary and Syntax Rules
sh:lessThanOrEquals	The property to compare the values with. The values of sh:lessThanOrEquals in a shape
	are IRIs. Node shapes cannot have any value for sh:lessThanOrEquals.

### TEXTUAL DEFINITION

For each pair of <u>value nodes</u> and the values of the property \$lessThanOrEquals at the given <u>focus node</u> where the first <u>value</u> is not less than or equal to the second <u>value</u> (based on SPARQL's <= operator) or where the two values cannot be compared, there is a validation result with the value node as sh:value.

The remainder of this section is informative.

```
POTENTIAL DEFINITION IN SPARQL (Must return no results for the given $PATH)

SELECT $this ?value

WHERE {
    $this $PATH ?value .
    $this $lessThan ?otherValue .
    BIND (?value <= ?otherValue AS ?result) .
    FILTER (!bound(?result) || !(?result)) .
}
```

## 4.6 Logical Constraint Components

The constraint components in this section implement the common logical operators *and*, *or* and *not*, as well as a variation of *exclusive or*.

# 4.6.1 sh:not

sh:not specifies the condition that each <u>value node</u> cannot <u>conform</u> to a given <u>shape</u>. This is comparable to negation and the logical "not" operator.

Constraint Component IRI: sh:NotConstraintComponent

### Parameters:

Property	Summary and Syntax Rules	
sh:not	The shape to negate. The values of sh:not in a shape must be well-formed shapes.	

### TEXTUAL DEFINITION

For each <u>value node</u> v: A <u>failure</u> <u>must</u> be reported if the <u>conformance checking</u> of v against the shape <u>\$not</u> produces a failure. Otherwise, if v conforms to the shape <u>\$not</u>, there is validation result with v as <u>sh:value</u>.

The remainder of this section is informative.

The following example illustrates the use of sh:not in a shape to specify the condition that certain focus nodes cannot have any value of ex:property.

```
Example shapes graph

ex:NotExampleShape
  a sh:NodeShape ;
  sh:targetNode ex:InvalidInstance1 ;
  sh:not [
    a sh:PropertyShape ;
    sh:path ex:property ;
    sh:minCount 1 ;
  ] .
```

```
Example data graph

ex:InvalidInstance1 ex:property "Some value" .
```

### 4.6.2 sh:and

sh: and specifies the condition that each <u>value node</u> conforms to all provided shapes. This is comparable to conjunction and the logical "and" operator.

Constraint Component IRI: sh:AndConstraintComponent

#### Parameters:

Property	Summary and Syntax Rules	
sh:and	A SHACL list of shapes to validate the value nodes against. Each value of sh: and in a shape is a SHACL	
	<u>list</u> . Each <u>member</u> of such list must be a <u>well-formed shape</u> .	

## TEXTUAL DEFINITION

For each <u>value node</u> v: A <u>failure</u> <u>must</u> be produced if the <u>conformance checking</u> of v against any of the <u>members</u> of \$and produces a <u>failure</u>. Otherwise, if v does not <u>conform</u> to each <u>member</u> of \$and, there is a <u>validation result</u> with v as sh:value.

The remainder of this section is informative.

Note that although sh: and has a <u>SHACL list</u> of shapes as its value, the order of those shapes does not impact the validation results.

The following example illustrates the use of sh:and in a shape to specify the condition that certain focus nodes have exactly one value of ex:property. This is achieved via the conjunction of a separate named shape (ex:SuperShape) which specifies the minimum count, and a blank node shape that additionally specifies the maximum count. As shown here, sh:and can be used to implement a specialization mechanism between shapes.

```
Example shapes graph
ex:SuperShape
  a sh:NodeShape ;
  sh:property [
      sh:path ex:property ;
      sh:minCount 1;
  ] .
ex:ExampleAndShape
  a sh:NodeShape ;
  sh:targetNode ex:ValidInstance, ex:InvalidInstance;
   sh:and (
     ex:SuperShape
         sh:path ex:property ;
         sh:maxCount 1;
     ]
  ) .
```

```
Example data graph

ex:ValidInstance
    ex:property "One" .

# Invalid: more than one property
ex:InvalidInstance
    ex:property "One";
    ex:property "Two" .
```

## 4.6.3 sh:or

sh:or specifies the condition that each <u>value node</u> conforms to at least one of the provided shapes. This is comparable to disjunction and the logical "or" operator.

Constraint Component IRI: sh:OrConstraintComponent

# Parameters:

Property	Summary and Syntax Rules	
sh:or	A <u>SHACL list</u> of shapes to validate the value nodes against. Each value of sh: or in a shape is a <u>SHACL</u> <u>list</u> . Each <u>member</u> of such list must be a <u>well-formed</u> shape.	

## TEXTUAL DEFINITION

For each <u>value node</u> v: A <u>failure</u> <u>must</u> be produced if the <u>conformance checking</u> of v against any of the <u>members</u> produces a <u>failure</u>. Otherwise, if v <u>conforms</u> to none of the <u>members</u> of <u>sor</u> there is a <u>validation result</u> with v as <u>sh:value</u>.

The remainder of this section is informative.

Note that although sh:or has a <u>SHACL list</u> of shapes as its value, the order of those shapes does not impact the validation results.

The following example illustrates the use of sh:or in a shape to specify the condition that certain focus nodes have at least one value of ex:firstName or at least one value of ex:givenName.

```
Example shapes graph

ex:OrConstraintExampleShape
  a sh:NodeShape ;
  sh:targetNode ex:Bob ;
  sh:or (
    [
        sh:path ex:firstName ;
        sh:minCount 1 ;
    ]
    [
        sh:path ex:givenName ;
        sh:minCount 1 ;
    ]
    [
        sh:path ex:givenName ;
        sh:minCount 1 ;
    ]
    ) .
```

```
Example data graph

ex:Bob ex:firstName "Robert" .
```

The next example shows how sh:or can be used in a property shape to state that the values of the given property ex:address may be either literals with datatype xsd:string or SHACL instances of the class ex:Address.

```
Example data graph

ex:Bob ex:address "123 Prinzengasse, Vaduz, Liechtenstein" .
```

## 4.6.4 sh:xone

sh:xone specifies the condition that each <u>value node</u> conforms to *exactly one* of the provided shapes.

Constraint Component IRI: sh:XoneConstraintComponent

## **Parameters:**

Property	Summary and Syntax Rules	
sh:xone	A SHACL list of shapes to validate the value nodes against. Each value of sh:xone in a shape is a	
	SHACL list. Each member of such list must be a well-formed shape.	

# TEXTUAL DEFINITION

For each <u>value node</u> v let N be the number of the <u>shapes</u> that are <u>members</u> of <u>some</u> where v <u>conforms</u> to the shape. A <u>failure</u> <u>must</u> be produced if the <u>conformance checking</u> of v against any of the <u>members</u> produces a <u>failure</u>. Otherwise, if N is not exactly 1, there is a <u>validation result</u> with v as <u>sh:value</u>.

The remainder of this section is informative.

Note that although sh:xone has a <u>SHACL list</u> of shapes as its value, the order of those shapes does not impact the validation results.

The following example illustrates the use of sh:xone in a shape to specify the condition that certain focus nodes must either have a value for ex:fullName or values for ex:firstName and ex:lastName, but not both.

```
Example shapes graph
ex:XoneConstraintExampleShape
   a sh:NodeShape ;
   sh:targetClass ex:Person ;
   sh:xone (
         sh:property [
            sh:path ex:fullName ;
            sh:minCount 1;
         ]
      ]
         sh:property [
            sh:path ex:firstName ;
            sh:minCount 1;
         ];
         sh:property [
            sh:path ex:lastName ;
            sh:minCount 1;
         ]
      ]
   ) .
```

```
Example data graph

ex:Bob a ex:Person;
  ex:firstName "Robert";
  ex:lastName "Coin" .

ex:Carla a ex:Person;
  ex:fullName "Carla Miller" .

ex:Dory a ex:Person;
  ex:firstName "Dory";
  ex:lastName "Dunce";
  ex:fullName "Dunce";
  ex:fullName "Dory Dunce" .
```

# 4.7 Shape-based Constraint Components

The constraint components in this section can be used to specify complex conditions by validating the value nodes against certain shapes.

## 4.7.1 sh:node

sh:node specifies the condition that each value node conforms to the given node shape.

### Constraint Component IRI: sh:NodeConstraintComponent

#### **Parameters:**

Property	Summary and Syntax Rules	
sh:node	The <u>node shape</u> that all value nodes need to conform to. The values of sh:node in a shape must be <u>well-</u>	
	<u>formed</u> <u>node shapes</u> .	

## TEXTUAL DEFINITION

For each <u>value node</u> v: A <u>failure</u> must be produced if the <u>conformance checking</u> of v against <u>\$node</u> produces a <u>failure</u>.

Otherwise, if v does not <u>conform</u> to <u>\$node</u>, there is a <u>validation result</u> with v as <u>sh:value</u>.

The remainder of this section is informative.

In the following example, all values of the property ex:address must fulfill the constraints expressed by the <a href="mailto:shape"><u>shape</u></a> ex:AddressShape.

```
Example shapes graph
ex:AddressShape
   a sh:NodeShape ;
   sh:property [
      sh:path ex:postalCode ;
      sh:datatype xsd:string ;
      sh:maxCount 1;
   ] .
ex:PersonShape
   a sh:NodeShape ;
   sh:targetClass ex:Person;
   sh:property [ # :b1
      sh:path ex:address;
      sh:minCount 1;
      sh:node ex:AddressShape ;
   ] .
```

```
Example data graph

ex:Bob a ex:Person;
  ex:address ex:BobsAddress.

ex:BobsAddress
  ex:postalCode "1234" .

ex:Reto a ex:Person;
  ex:address ex:RetosAddress .

ex:RetosAddress
  ex:postalCode 5678 .
```

```
Example validation results

[ a sh:ValidationReport ;
  sh:conforms false ;
  sh:result [
    a sh:ValidationResult ;
    sh:resultSeverity sh:Violation ;
    sh:focusNode ex:Reto ;
    sh:resultPath ex:address ;
    sh:value ex:RetosAddress ;
    sh:resultMessage "Value does not conform to shape ex:AddressShape." ;
```

```
sh:sourceConstraintComponent sh:NodeConstraintComponent;
sh:sourceShape _:b1;
]
] .
```

## 4.7.2 sh:property

sh:property can be used to specify that each value node has a given property shape.

Constraint Component IRI: sh:PropertyShapeComponent

#### Parameters:

Property	Summary and Syntax Rules	
sh:property	A property shape that all value nodes need to have. Each value of sh:property in a shape must be a	
	well-formed property shape.	

#### TEXTUAL DEFINITION

For each <u>value node</u> v: A <u>failure</u> <u>must</u> be produced if the validation of v as <u>focus node</u> against the property shape \*property produces a <u>failure</u>. Otherwise, the validation results are the results of <u>validating</u> v as <u>focus node</u> against the property shape \*property.

The remainder of this section is informative.

Note that there is an important difference between sh:property and sh:node: If a value node is violating the constraint, then there is only a single validation result for sh:node for this value node, with sh:NodeConstraintComponent as its sh:sourceConstraintComponent. On the other hand side, there may be any number of validation results for sh:property, and these will have the individual constraint components of the <u>constraints</u> in the <u>property shape</u> as their values of sh:sourceConstraintComponent.

Like with all other validation results, each time a <u>property shape</u> is reached via <u>sh:property</u>, a validation engine <u>must</u> produce *fresh* validation result nodes. This includes cases where the same <u>focus node</u> is validated against the same <u>property shape</u> although it is reached via different paths in the <u>shapes graph</u>.

## $4.7.3\ sh: qualified Value Shape,\ sh: qualified Min Count,\ sh: qualified Max Count$

sh:qualifiedValueShape specifies the condition that a specified number of <u>value nodes</u> conforms to the given shape. Each sh:qualifiedValueShape can have: one value for sh:qualifiedMinCount, one value for sh:qualifiedMaxCount or, one value for each, at the same subject.

## Parameters:

Property	Summary and Syntax Rules
sh:qualifiedValueShape	The shape that the specified number of value nodes needs to conform to. The values of sh:qualifiedValueShape in a shape must be well-formed shapes.  Node shapes cannot have any value for sh:qualifiedValueShape. This is a mandatory parameter of sh:QualifiedMinCountConstraintComponent and sh:QualifiedMaxCountConstraintComponent.
sh:qualifiedValueShapesDisjoint	This is an optional parameter of sh:QualifiedMinCountConstraintComponent and sh:QualifiedMaxCountConstraintComponent. If set to true then (for the counting) the value nodes must not conform to any of the <a href="mailto:sibling shapes">sibling shapes</a> . The values of sh:qualifiedValueShapesDisjoint in a shape are literals with datatype xsd:boolean.

sh:qualifiedMinCount	The minimum number of value nodes that conform to the shape. The values of sh:qualifiedMinCount in a shape are literals with datatype xsd:integer. This is a mandatory parameter of sh:QualifiedMinCountConstraintComponent.
sh:qualifiedMaxCount	The maximum number of value nodes that can conform to the shape. The values of sh:qualifiedMaxCount in a shape are literals with datatype xsd:integer. This is a mandatory parameter of sh:QualifiedMaxCountConstraintComponent.

## TEXTUAL DEFINITION of Sibling Shapes

Let Q be a <u>shape</u> in <u>shapes graph</u> G that declares a qualified cardinality constraint (by having values for sh:qualifiedValueShape and at least one of sh:qualifiedMinCount or sh:qualifiedMaxCount). Let ps be the set of <u>shapes</u> in G that have Q as a <u>value</u> of <u>sh:property</u>. If Q has <u>true</u> as a <u>value</u> for sh:qualifiedValueShapesDisjoint then the set of *sibling shapes* for Q is defined as the set of all <u>values</u> of the <u>SPARQL property path</u> sh:property/sh:qualifiedValueShape for any <u>shape</u> in ps minus the <u>value</u> of sh:qualifiedValueShape of Q itself. The set of sibling shapes is empty otherwise.

### TEXTUAL DEFINITION of sh:qualifiedMinCount

Let C be the number of <u>value nodes</u> v where v <u>conforms</u> to <u>squalifiedValueShape</u> and where v does not <u>conform</u> to any of the <u>sibling shapes</u> for the <u>current</u> shape, i.e. the shape that v is validated against and which has <u>squalifiedValueShape</u> as its value for <u>sh:qualifiedValueShape</u>. A <u>failure</u> must be produced if any of the said conformance checks produces a <u>failure</u>. Otherwise, there is a <u>validation result</u> if C is less than <u>squalifiedMinCount</u>. The <u>constraint component</u> for <u>sh:qualifiedMinCount</u> is <u>sh:QualifiedMinCountConstraintComponent</u>.

## TEXTUAL DEFINITION of sh:qualifiedMaxCount

Let C be as defined for sh:qualifiedMinCount above. A <u>failure</u> must be produced if any of the said conformance checks produces a <u>failure</u>. Otherwise, there is a <u>validation result</u> if C is greater than \$qualifiedMaxCount. The <u>constraint component</u> for sh:qualifiedMaxCount is sh:QualifiedMaxCountConstraintComponent.

The remainder of this section is informative.

In the following example shape can be used to specify the condition that the property ex:parent has exactly two values, and at least one of them is female.

```
ex:QualifiedValueShapeExampleShape
  a sh:NodeShape;
  sh:targetNode ex:QualifiedValueShapeExampleValidResource;
  sh:property [
    sh:path ex:parent;
    sh:minCount 2;
    sh:maxCount 2;
    sh:qualifiedValueShape [
        sh:path ex:gender;
        sh:hasValue ex:female;
    ];
    sh:qualifiedMinCount 1;
] .
```

```
Example data graph

ex:QualifiedValueShapeExampleValidResource
  ex:parent ex:John;
  ex:parent ex:Jane .
```

```
ex:John
ex:gender ex:male .

ex:Jane
ex:gender ex:female .
```

The following example illustrates the use of sh:qualifiedValueShapesDisjoint to express that a hand must have at most 5 values of ex:property (expressed using sh:maxCount), and exactly one of them must be an instance of ex:Thumb while exactly 4 of them must be an instance of ex:Finger but thumbs and fingers must be disjoint. In other words, on a hand, none of the fingers can also be counted as the thumb.

```
Example shapes graph
ex:HandShape
  a sh:NodeShape :
  sh:targetClass ex:Hand ;
  sh:property [
      sh:path ex:digit ;
      sh:maxCount 5 ;
  ];
   sh:property [
      sh:path ex:digit ;
      sh:qualifiedValueShape [ sh:class ex:Thumb ];
      sh:qualifiedValueShapesDisjoint true ;
     sh:qualifiedMinCount 1;
      sh:qualifiedMaxCount 1;
  ];
   sh:property [
      sh:path ex:digit ;
      sh:qualifiedValueShape [ sh:class ex:Finger ] ;
      sh:qualifiedValueShapesDisjoint true ;
      sh:qualifiedMinCount 4;
      sh:qualifiedMaxCount 4;
  ] .
```

# 4.8 Other Constraint Components

This section enumerates Core constraint components that do not fit into the other categories.

#### 4.8.1 sh:closed, sh:ignoredProperties

The RDF data model offers a huge amount of flexibility. Any node can in principle have values for any property. However, in some cases it makes sense to specify conditions on which properties can be applied to nodes. The SHACL Core language includes a property called sh:closed that can be used to specify the condition that each value node has <u>values</u> only for those properties that have been explicitly enumerated via the <u>property shapes</u> specified for the shape via sh:property.

### Constraint Component IRI: sh:ClosedConstraintComponent

# Parameters:

Property	Summary and Syntax Rules	
sh:closed	Set to true to close the shape. The values of sh:closed in a shape are literals with datatype xsd:boolean.	
sh:ignoredProperties	Optional <u>SHACL list</u> of properties that are also permitted in addition to those explicitly enumerated via <u>sh:property</u> . The values of <u>sh:ignoredProperties</u> in a shape must be <u>SHACL lists</u> . Each <u>member</u> of such a list must be a <u>IRI</u> .	

# TEXTUAL DEFINITION

If \$closed is true then there is a validation result for each triple that has a value node as its subject and a predicate that is not explicitly enumerated as a value of sh:path in any of the property shapes declared via sh:property at the current shape. If \$ignoredProperties has a value then the properties enumerated as members of this SHACL list are also permitted for the value node. The validation result must have the predicate of the triple as its sh:resultPath, and the object of the triple as its sh:value.

The remainder of this section is informative.

The following example illustrates the use of sh:closed in a shape to specify the condition that certain focus nodes only have values for ex:firstName and ex:lastName. The "ignored" property rdf:type would also be allowed.

```
Example shapes graph

ex:ClosedShapeExampleShape
   a sh:NodeShape;
   sh:targetNode ex:Alice, ex:Bob;
   sh:closed true;
   sh:ignoredProperties (rdf:type);
   sh:property [
       sh:path ex:firstName;
   ];
   sh:property [
       sh:path ex:lastName;
   ].
```

```
ex:Alice
  ex:firstName "Alice" .

ex:Bob
  ex:firstName "Bob" ;
  ex:middleInitial "J" .
```

## 4.8.2 sh:hasValue

sh:hasValue specifies the condition that at least one <u>value node</u> is equal to the given RDF term.

Constraint Component IRI: sh:HasValueConstraintComponent

### Parameters:

Property	Summary and Syntax Rules
sh:hasValue	A specific required value.

## TEXTUAL DEFINITION

If the RDF term \$hasValue is not among the value nodes, there is a validation result.

The remainder of this section is informative.

```
Example shapes graph

ex:StanfordGraduate
  a sh:NodeShape ;
  sh:targetNode ex:Alice ;
  sh:property [
```

```
sh:path ex:alumniOf;
sh:hasValue ex:Stanford;
] .
```

```
ex:Alice
  ex:alumniOf ex:Harvard;
  ex:alumniOf ex:Stanford .
```

### 4.8.3 sh:in

sh:in specifies the condition that each value node is a member of a provided SHACL list.

Constraint Component IRI: sh:InConstraintComponent

#### Parameters:

Property	Summary and Syntax Rules
sh:in	A SHACL list that has the allowed values as members. Each value of sh:in in a shape is a SHACL list. A
	shape has at most one value for sh:in.

### TEXTUAL DEFINITION

For each  $\underline{\text{value node}}$  that is not a  $\underline{\text{member}}$  of \$in, there is a  $\underline{\text{validation result}}$  with the  $\underline{\text{value node}}$  as sh:value.

The remainder of this section is informative.

Note that matching of literals needs to be exact, e.g. "04"^^xsd:byte does not match "4"^^xsd:integer.

```
POTENTIAL DEFINITION IN SPARQL (Must evaluate to true for each value node $value)

ASK {
    GRAPH $shapesGraph {
        $in (rdf:rest*)/rdf:first $value .
    }
}
```

```
Example shapes graph

ex:InExampleShape
  a sh:NodeShape;
  sh:targetNode ex:RainbowPony;
  sh:property [
    sh:path ex:color;
    sh:in ( ex:Pink ex:Purple );
  ].
```

```
Example data graph

ex:RainbowPony ex:color ex:Pink .
```

# Part 2: SHACL-SPARQL

Part 1 of this specification introduced features that are built into the Core of SHACL. The goal of this Core is to provide a high-level vocabulary for common use cases to describe shapes. However, SHACL also provides mechanisms to go beyond

the Core vocabulary and represent constraints with greater flexibility. These mechanisms, called <u>SHACL-SPARQL</u>, are described in the following sections.

## 5. SPAROL-based Constraints

SHACL-SPARQL supports a <u>constraint component</u> that can be used to express restrictions based on a SPARQL SELECT query.

Constraint Component IRI: sh:SPARQLConstraintComponent

#### Parameters:

Property	Summary
sh:sparql	A <u>SPARQL</u> -based constraint declaring the SPARQL query to evaluate.

The syntax rules and validation process for SPARQL-based constraints are defined in the rest of this section.

## 5.1 An Example SPARQL-based Constraint

This section is non-normative.

The following example illustrates the syntax of a SPARQL-based constraint.

```
ex:ValidCountry a ex:Country;
  ex:germanLabel "Spanien"@de .

ex:InvalidCountry a ex:Country;
  ex:germanLabel "Spain"@en .
```

The target of the shape above includes all <u>SHACL instances</u> of ex:Country. For those nodes (represented by the variable this), the SPARQL query walks through the values of ex:germanLabel and verifies that they are literals with a German language code. The validation results for the aforementioned data graph is shown below:

```
Example validation results

[ a sh:ValidationReport ;
  sh:conforms false ;
  sh:result [
    a sh:ValidationResult ;
```

```
sh:resultSeverity sh:Violation ;
sh:focusNode ex:InvalidCountry ;
sh:resultPath ex:germanLabel ;
sh:value "Spain"@en ;
sh:sourceConstraintComponent sh:SPARQLConstraintComponent ;
sh:sourceShape ex:LanguageExampleShape ;
# ...
]
```

The SPARQL query returns result set <u>solutions</u> for all bindings of the variable <u>value</u> that violate the constraint. There is a validation result for each <u>solution</u> in that result set, applying the <u>mapping rules</u> explained later. In this example, each validation result will have the <u>binding</u> for the variable <u>this</u> as the <u>sh:focusNode</u>, <u>ex:germanLabel</u> as <u>sh:resultPath</u> and the violating value as <u>sh:value</u>.

The following example illustrates a similar scenario as above, but with a property shape.

```
ex:LanguageExamplePropertyShape
  a sh:PropertyShape ;
  sh:targetClass ex:Country ;
  sh:path ex:germanLabel ;
  sh:sparql [
    a sh:SPARQLConstraint ; # This triple is optional
    sh:message "Values are literals with German language tag." ;
  sh:prefixes ex: ;
  sh:select """
    SELECT $this ?value
    WHERE {
        $this $PATH ?value .
        FILTER (!isLiteral(?value) || !langMatches(lang(?value), "de"))
      }
      """ ;
  ] .
```

# 5.2 Syntax of SPARQL-based Constraints

Shapes may have values for the property sh:sparql, and these values are either <u>IRIs</u> or <u>blank nodes</u>. These values are called *SPARQL-based constraints*.

SPARQL-based constraints have exactly one <u>value</u> for the property sh:select. The value of sh:select is a <u>literal</u> of datatype <u>xsd:string</u>. The class sh:SPARQLConstraint is defined in the SHACL vocabulary and may be used as the <u>type</u> of these constraints (although no type is required). Using the <u>prefix handling rules</u>, the value of <u>sh:select</u> is a valid SPARQL 1.1 SELECT query. The SPARQL query derived from the value of <u>sh:select projects</u> the variable <u>this</u> in the SELECT clause.

The following two properties are similar to their use in shapes:

<u>SPARQL-based constraints</u> may have values for the property sh:message and these are either xsd:string literals or literals with a language tag. <u>SPARQL-based constraints</u> may have at most one value for the property sh:deactivated and this value is either true or false.

SELECT queries used in the context of <u>property shapes</u> use a special variable named <u>PATH</u> as a placeholder for the path used by the shape.

The only legal use of the variable PATH in the SPARQL queries of <u>SPARQL-based constraints</u> and <u>SELECT-based</u> validators is in the <u>predicate</u> position of a <u>triple pattern</u>. A query that uses the variable PATH in any other position is <u>ill-</u>

formed.

#### 5.2.1 Prefix Declarations for SPAROL Oueries

A <u>shapes graph</u> may include declarations of namespace prefixes so that these prefixes can be used to abbreviate the SPARQL queries derived from the same shapes graph. The syntax of such prefix declarations is illustrated by the following example.

```
ex:
    a owl:Ontology ;
    owl:imports sh: ;
    sh:declare [
        sh:prefix "ex" ;
        sh:namespace "http://example.com/ns#"^^xsd:anyURI ;
] ;
    sh:declare [
        sh:prefix "schema" ;
        sh:prefix "schema" ;
        sh:namespace "http://schema.org/"^^xsd:anyURI ;
] .
```

The <u>values</u> of the property <u>sh:declare</u> are <u>IRIs</u> or <u>blank nodes</u>, and these values are called *prefix declarations*. The SHACL vocabulary includes the class <u>sh:PrefixDeclaration</u> as type for such <u>prefix declarations</u> although no <u>rdf:type</u> triple is required for them. <u>Prefix declarations</u> have exactly one value for the property <u>sh:prefix</u>. The values of <u>sh:prefix</u> are <u>literals</u> of datatype <u>xsd:string</u>. <u>Prefix declarations</u> have exactly one value for the property <u>sh:namespace</u>. The values of <u>sh:namespace</u> are <u>literals</u> of datatype <u>xsd:anyURI</u>. Such a pair of values specifies a single mapping of a prefix to a namespace.

The recommended <u>subject</u> for values of <u>sh:declare</u> is the IRI of the named graph containing the shapes that use the prefixes. These IRIs are often declared as an instance of <u>owl:Ontology</u>, but this is not required.

<u>Prefix declarations</u> can be used by <u>SPARQL-based constraints</u>, the <u>validators</u> of <u>SPARQL-based constraint</u> components, and by similar features defined by SHACL extensions. These nodes can use the property <u>sh:prefixes</u> to specify a set of prefix mappings. An example use of the <u>sh:prefixes</u> property can be found in the <u>example</u> above.

The values of sh:prefixes are either <u>IRIs</u> or <u>blank nodes</u>. A SHACL processor collects a set of prefix mappings as the union of all individual prefix mappings that are <u>values</u> of the <u>SPARQL property path</u> sh:prefixes/owl:imports\*/sh:declare of the <u>SPARQL-based constraint</u> or <u>validator</u>. If such a collection of prefix declarations contains multiple namespaces for the same <u>value</u> of sh:prefix, then the <u>shapes graph</u> is <u>ill-formed</u>. (Note that SHACL processors may ignore prefix declarations that are never reached).

A SHACL processor transforms the values of sh:select (and similar properties such as sh:ask) into SPARQL by prepending <a href="PREFIX">PREFIX</a> declarations for all prefix mappings. Each value of sh:prefix is turned into the <a href="PNAME\_NS">PNAME\_NS</a>, while each value of sh:namespace is turned into the <a href="IRIREF">IRIREF</a> in the <a href="PREFIX">PREFIX</a> declaration. For the example shapes graph above, a SHACL-SPARQL processor would produce lines such as <a href="PREFIX">PREFIX</a> ex: <a href="http://example.com/ns#">http://example.com/ns#</a>. The SHACL-SPARQL processor must produce a <a href="failure">failure</a> if the resulting query string cannot be parsed into a valid SPARQL 1.1 query.

In the rest of this document, the sh:prefixes statements may have been omitted for brevity.

# 5.3 Validation with SPARQL-based Constraints

This section explains the <u>validator</u> of sh: SPARQLConstraintComponent. Note that this validator only explains one possible implementation strategy, and SHACL processors may choose alternative approaches as long as the outcome is equivalent.

TEXTUAL DEFINITION

There are no validation results if the SPARQL-based constraint has true as a value for the property sh:deactivated. Otherwise, execute the SPARQL query specified by the SPARQL-based constraint \$sparq1 pre-binding the variables this and, if supported, shapesGraph and currentShape as described in 5.3.1 Pre-bound Variables in SPARQL Constraints (\$this, \$shapesGraph, \$currentShape). If the shape is a property shape, then prior to execution substitute the variable PATH where it appears in the predicate position of a triple pattern with a valid SPARQL surface syntax string of the SHACL property path specified via sh:path at the property shape. There is one validation result for each solution that does not have true as the binding for the variable failure. These validation results must have the property values explained in 5.3.2 Mapping of Solution Bindings to Result Properties. A failure must be produced if and only if one of the solutions has true as the binding for failure.

### 5.3.1 Pre-bound Variables in SPARQL Constraints (\$this, \$shapesGraph, \$currentShape)

When the SPARQL queries of <u>SPARQL-based constraints</u> and the <u>validators</u> of <u>SPARQL-based constraint components</u> are processed, the SHACL-SPARQL processor pre-binds values for the variables in the following table.

Variable	Interpretation
this	The <u>focus node</u> .
shapesGraph (Optional)	Can be used to query the shapes graph as in GRAPH \$shapesGraph { }. If the shapes graph is a named graph in the same dataset as the data graph then it is the <a href="IRI">IRI</a> of the shapes graph in the dataset. Not all SHACL-SPARQL processors need to support this variable. Processors that do not support the variable shapesGraph must report a <a href="failure">failure</a> if they encounter a query that references this variable. Use of GRAPH \$shapesGraph { } should be handled with extreme caution. It may result in constraints that are not interoperable across different SHACL-SPARQL processors and that may not run on remote RDF datasets.
currentShape (Optional)	The current <u>shape</u> . Typically used in conjunction with the variable <u>shapesGraph</u> . The same support policies as for <u>shapesGraph</u> apply for this variable.

# **5.3.2 Mapping of Solution Bindings to Result Properties**

The property <u>values</u> of the validation result nodes are derived by the following rules, through a combination of result solutions and the values of the constraint itself. The rules are meant to be executed from top to bottom, so that the first bound value will be used.

Property	Production Rules
sh:focusNode	1. The binding for the variable this
sh:resultPath	<ol> <li>The binding for the variable path, if that is a <u>IRI</u></li> <li>For results produced by a <u>property shape</u>, a <u>SHACL property path</u> that is equivalent to the <u>value</u> of sh:path of the shape</li> </ol>
sh:value	The binding for the variable value     The value node
sh:resultMessage	The binding for the variable message     SPARQL-based constraints: The values of sh:message of the SPARQL-based constraint. For SPARQL-based constraint components: The values of sh:message of the validator of the SPARQL-based constraint component.

	<ol> <li>For SPARQL-based constraint components: The values of sh:message of the <u>SPARQL-based constraint component</u>.</li> </ol>
	These message literals may include the names of any SELECT result variables via {? varName} or {\$varName}. If the constraint is based on a SPARQL-based constraint component, then the component's parameter names can also be used. These {?varName} and {\$varName} blocks should be replaced with suitable string representations of the values of said variables.
sh:sourceConstraint	1. The SPARQL-based constraint, i.e. the value of sh:sparq1

# 6. SPARQL-based Constraint Components

SPARQL-based constraints provide a lot of flexibility but may be hard to understand for some people or lead to repetition. This section introduces SPARQL-based constraint components as a way to abstract the complexity of SPARQL and to declare high-level reusable components similar to the Core constraint components. Such constraint components can be declared using the SHACL RDF vocabulary and thus shared and reused.

## 6.1 An Example SPARQL-based Constraint Component

This section is non-normative.

The following example demonstrates how SPARQL can be used to specify new constraint components using the SHACL-SPARQL language. The example implements <a href="mailto:sh:pattern">sh:pattern</a> and <a href="mailto

```
Example shapes graph
sh:PatternConstraintComponent
  a sh:ConstraintComponent;
   sh:parameter [
      sh:path sh:pattern ;
  ];
   sh:parameter [
      sh:path sh:flags;
      sh:optional true;
  ];
   sh:validator shimpl:hasPattern .
shimpl:hasPattern
   a sh:SPARQLAskValidator;
   sh:message "Value does not match pattern {$pattern}" ;
   sh:ask """
      ASK {
         FILTER (!isBlank($value) &&
            IF(bound($flags), regex(str($value), $pattern, $flags), regex(str($value), $pattern)))
```

Constraint components provide instructions to validation engines on how to identify and validate <u>constraints</u> within a <u>shape</u>. In general, if a <u>shape S</u> has a <u>value</u> for a property p, and there is a <u>constraint component C</u> that specifies p as a parameter, and S has values for all <u>mandatory parameters</u> of C, then the set of these parameter values (including the <u>optional parameters</u>) declare a <u>constraint</u> and the validation engine uses a suitable <u>validator</u> from C to perform the validation of this constraint. In the example above, sh:PatternConstraintComponent declares the mandatory parameter sh:pattern, the optional parameter sh:flags, and a validator that can be used to perform validation against either node shapes or property shapes.

### 6.2 Syntax of SPARQL-based Constraint Components

A *SPARQL-based constraint component* is an <u>IRI</u> that has <u>SHACL type</u> sh:ConstraintComponent in the <u>shapes</u> graph.

The mechanism to declare new <u>constraint components</u> in this document is limited to those based on SPARQL. However, then general syntax of declaring parameters and validators has been designed to also work for other extension languages such as JavaScript.

## 6.2.1 Parameter Declarations (sh:parameter)

The <u>parameters</u> of a <u>constraint component</u> are declared via the property sh:parameter. The values of sh:parameter are called *parameter declarations*. The class sh:Parameter may be used as <u>type</u> of <u>parameter declarations</u> but no such triple is required. Each <u>parameter declaration</u> has exactly one value for the property sh:path. At <u>parameter declarations</u>, the <u>value</u> of sh:path is an <u>IRI</u>.

The *local name* of an <u>IRI</u> is defined as the longest <u>NCNAME</u> at the end of the <u>IRI</u>, not immediately preceded by the first colon in the <u>IRI</u>. The *parameter name* of a <u>parameter declaration</u> is defined as the <u>local name</u> of the <u>value</u> of <u>sh:path</u>. To ensure that a correct mapping from parameters into SPARQL variables is possible, the following syntax rules apply:

Every <u>parameter name</u> is a valid <u>SPARQL VARNAME</u>. <u>Parameter names</u> must not be one of the following: this, shapesGraph, currentShape, path, PATH, value. A constraint component where two or more <u>parameter declarations</u> use the same parameter names is ill-formed.

The values of sh:optional must be literals with datatype xsd:boolean. A <u>parameter declaration</u> can have at most one value for the property sh:optional. If set to true then the parameter declaration declares an <u>optional parameter</u>. Every constraint component has at least one non-optional parameter.

The class sh:Parameter is defined as a <u>SHACL subclass</u> of sh:PropertyShape, and all properties that are applicable to property shapes may also be used for parameters. This includes descriptive properties such as sh:name and sh:description but also constraint parameters such as sh:class. Shapes that do not <u>conform</u> with the constraints declared for the parameters are <u>ill-formed</u>. Some implementations may use these constraint parameters to prevent the execution of constraint components with invalid parameter values.

## 6.2.2 Label Templates (sh:labelTemplate)

The property sh:labelTemplate can be used at any <u>constraint component</u> to suggest how <u>constraints</u> could be rendered to humans. The values of sh:labelTemplate are strings (possibly with language tag) and are called *label templates*.

The remainder of this section is informative.

<u>Label templates</u> can include the names of the parameters that are declared for the constraint component using the syntaxes {?varName} or {\$varName}, where varName is the name of the <u>parameter name</u>. At display time, these {?varName} and {\$varName} blocks should be replaced with the actual parameter values. There may be multiple label templates for the same subject, but they should not have the same language tags.

## 6.2.3 Validators

For every supported shape type (i.e., <u>property shape</u> or <u>node shape</u>) the constraint component declares a suitable <u>validator</u>. For a given constraint, a validator is selected from the constraint component using the following rules, in order:

1. For node shapes, use one of the values of sh:nodeValidator, if present.

- 2. For property shapes, use one of the values of sh:propertyValidator, if present.
- 3. Otherwise, use one of the values of sh:validator.

If no suitable validator can be found, a SHACL-SPARQL processor ignores the constraint.

SHACL-SPARQL includes two types of validators, based on <u>SPARQL SELECT</u> (for sh:nodeValidator and sh:propertyValidator) or <u>SPARQL ASK</u> queries (for sh:validator).

# 6.2.3.1 SELECT-based Validators

<u>Validators</u> with <u>SHACL type</u> sh:SPARQLSelectValidator are called <u>SELECT-based validators</u>. The values of sh:nodeValidator must be <u>SELECT-based validators</u>. The values of sh:propertyValidator must be <u>SELECT-based validators</u>. The value of sh:select is a valid SPARQL SELECT query using the aforementioned <u>prefix handling rules</u>. The SPARQL query derived from the value of sh:select projects the variable this in its SELECT clause.

The remainder of this section is informative.

The following example illustrates the declaration of a constraint component based on a SPARQL SELECT query. It is a generalized variation of the example from 5.1 An Example SPARQL-based Constraint. That SPARQL query included two constants: the specific property ex:germanLabel and the language tag de. Constraint components make it possible to generalize such scenarios, so that constants get pre-bound with parameters. This allows the query logic to be reused in multiple places, without having to write any new SPARQL.

```
Example shapes graph
ex:LanguageConstraintComponentUsingSELECT
   a sh:ConstraintComponent;
   rdfs:label "Language constraint component";
   sh:parameter [
      sh:path ex:lang ;
      sh:datatype xsd:string ;
      sh:minLength 2;
      sh:name "language";
      sh:description "The language tag, e.g. \"de\".";
  ];
   sh:labelTemplate "Values are literals with language \"{$lang}\"";
   sh:propertyValidator [
      a sh:SPARQLSelectValidator;
      sh:message "Values are literals with language \"{?lang}\"";
      sh:select """
        SELECT DISTINCT $this ?value
         WHERE {
           $this $PATH ?value .
            FILTER (!isLiteral(?value) || !langMatches(lang(?value), $lang))
         }
         ....
   ] .
```

Once a constraint component has been declared (in a shapes graph), its parameters can be used as illustrated in the following example.

```
ex:LanguageExampleShape
  a sh:NodeShape ;
  sh:targetClass ex:Country ;
  sh:property [
    sh:path ex:germanLabel ;
    ex:lang "de" ;
```

```
];
sh:property [
    sh:path ex:englishLabel;
    ex:lang "en";
].
```

The example shape above specifies the condition that all values of ex:germanLabel carry the language tag de while all values of ex:englishLabel have en as their language. These details are specified via two property shapes that have values for the ex:lang parameter required by the constraint component.

#### 6.2.3.2 ASK-based Validators

Many constraint components are of the form in which all <u>value nodes</u> are tested individually against some boolean condition. Writing SELECT queries for these becomes burdensome, especially if a constraint component can be used for both <u>property shapes</u> and <u>node shapes</u>. SHACL-SPARQL provides an alternative, more compact syntax for validators based on ASK queries.

<u>Validators</u> with <u>SHACL type sh:SPARQLAskValidator</u> are called **ASK-based validators**. The values of <u>sh:validator</u> must be <u>ASK-based validators</u>. <u>ASK-based validators</u> have exactly one value for the property <u>sh:ask</u>. The value of <u>sh:ask</u> must be a literal with datatype <u>xsd:string</u>. The value of <u>sh:ask</u> must be a valid SPARQL ASK query using the aforementioned <u>prefix handling rules</u>.

The remainder of this section is informative.

The ASK queries return true if and only if a given <u>value node</u> (represented by the pre-bound variable <u>value</u>) conforms to the constraint.

The following example declares a constraint component using an ASK query.

```
Example shapes graph
ex:LanguageConstraintComponentUsingASK
   a sh:ConstraintComponent;
   rdfs:label "Language constraint component";
   sh:parameter [
      sh:path ex:lang ;
      sh:datatype xsd:string ;
      sh:minLength 2;
      sh:name "language";
      sh:description "The language tag, e.g. \"de\".";
  ];
  sh:labelTemplate "Values are literals with language \"{$lang}\"";
  sh:validator ex:hasLang .
ex:hasLang
   a sh:SPARQLAskValidator;
   sh:message "Values are literals with language \"{$lang}\"";
   sh:ask """
      ASK {
        FILTER (isLiteral($value) && langMatches(lang($value), $lang))
      }
      .....
```

Note that the validation condition implemented by an ASK query is "in the inverse direction" from its SELECT counterpart: ASK queries return true for value nodes that conform to the constraint, while SELECT queries return those value nodes that do not conform.

# 6.3 Validation with SPARQL-based Constraint Components

This section defines the <u>validator</u> of <u>SPARQL-based constraint components</u>. Note that this validator only explains one possible implementation strategy, and SHACL processors may choose alternative approaches as long as the outcome is equivalent.

As the first step, a <u>validator</u> <u>must</u> be selected based on the rules outlined in <u>6.2.3 Validators</u>. Then the following rules apply, producing a set of <u>solutions</u> of SPARQL queries:

- For <u>ASK-based validators</u>: For each <u>value node</u> v where the SPARQL ASK query returns <u>false</u> with v <u>pre-bound</u> to the variable <u>value</u>, create one <u>solution</u> consisting of the bindings (<u>\$this</u>, <u>focus node</u>) and (<u>\$value</u>, v). Let <u>QS</u> be a list of these solutions.
- For <u>SELECT-based validators</u>: If the <u>shape</u> is a <u>property shape</u>, then prior to execution <u>substitute</u> the variable <u>PATH</u>
  where it appears in the <u>predicate</u> position of a <u>triple pattern</u> with a valid SPARQL surface syntax string of the <u>SHACL</u>
  <u>property path</u> specified via <u>sh:path</u> at the <u>property shape</u>. Let <u>QS</u> be the <u>solutions</u> produced by executing the SPARQL
  query.

The SPARQL query executions above <u>must pre-bind</u> the variables <u>this</u> and, if supported, <u>shapesGraph</u> and <u>currentShape</u> as described in <u>5.3.1 Pre-bound Variables in SPARQL Constraints</u> (\$this, \$shapesGraph, \$currentShape). In addition, each <u>value</u> of a <u>parameter</u> of the <u>constraint component</u> in the <u>constraint must</u> be <u>pre-bound</u> as a variable that has the <u>parameter</u> name as its name.

The production rules for the validation results are identical to those for <u>SPARQL-based constraints</u>, using the <u>solutions</u> <u>QS</u> as produced above.

# **Appendix**

# A. Pre-binding of Variables in SPARQL Queries

Some features of SHACL-SPARQL rely on the concept of <u>pre-binding of variables</u> as defined in this section.

The definition of pre-binding used by SHACL requires the following restrictions on SPARQL queries. SHACL-SPARQL processors must report a <u>failure</u> when it is operating on a <u>shapes graph</u> that contains SHACL-SPARQL queries (via <u>sh:select</u> and <u>sh:ask</u>) that violate any of these restrictions. Note that the term *potentially pre-bound variables* includes the variables <u>this</u>, <u>shapesGraph</u>, <u>currentShape</u>, <u>value</u> (for ASK queries), and any variables that represent the <u>parameters</u> of the <u>constraint component</u> that uses the query.

- SPARQL queries must not contain a MINUS clause
- SPARQL queries must not contain a federated query (SERVICE)
- SPARQL queries must not contain a VALUES clause
- SPARQL queries must not use the syntax form AS ?var for any potentially pre-bound variable
- <u>Subqueries</u> must return all potentially pre-bound variables, except <u>shapesGraph</u> and <u>currentShape</u> which are optional as already mentioned in <u>5.3.1 Pre-bound Variables in SPARQL Constraints</u> (<u>\$this</u>, <u>\$shapesGraph</u>, <u>\$currentShape</u>)

**DEFINITION:** Values Insertion

For solution mapping  $\mu$ , define Table( $\mu$ ) to be the multiset formed from  $\mu$ .

```
Table(\mu) = { \mu }
Card[\mu] = 1
```

Define the *Values Insertion* function Replace(X,  $\mu$ ) to replace each occurrence Y of a <u>Basic Graph Pattern</u>, <u>Property Path Expression</u>, <u>Graph(Var, pattern)</u> in X with join(Y, Table( $\mu$ )).

DEFINITION: Pre-binding of variables

The evaluation of the <u>SPARQL Query Q</u> = (E, DS, QF) with *pre-bound* variables  $\mu$  is defined as the evaluation of SPARQL query Q' = (Replace(E,  $\mu$ ), DS, QF).

# B. Summary of SHACL Syntax Rules

This section enumerates all normative syntax rules of SHACL. This section is automatically generated from other parts of this spec and hyperlinks are provided back into the prose if the context of the rule in unclear. Nodes that violate these rules in a shapes graph are ill-formed.

Syntax Rule Id	Syntax Rule Text
SHACL-list	A <u>SHACL list</u> in an RDF graph G is an <u>IRI</u> or a <u>blank node</u> that is either <u>rdf:nil</u> (provided that <u>rdf:nil</u> has no <u>value</u> for either <u>rdf:first</u> or <u>rdf:rest</u> ), or has exactly one <u>value</u> for the property <u>rdf:first</u> in G and exactly one <u>value</u> for the property <u>rdf:rest</u> in G that is also a SHACL list in G, and the list does not have itself as a value of the property path <u>rdf:rest+</u> in G.
entailment-nodeKind	The <u>values</u> of the property <u>sh:entailment</u> are IRIs.
shape	A <u>shape</u> is an <u>IRI</u> or <u>blank node</u> s that fulfills at least one of the following conditions in the <u>shapes graph</u> :  • s is a <u>SHACL instance</u> of <u>sh:NodeShape</u> or <u>sh:PropertyShape</u> .
	<ul> <li>s is <u>subject</u> of a triple that has sh:targetClass, sh:targetNode, sh:targetObjectsOf or sh:targetSubjectsOf as <u>predicate</u>.</li> <li>s is <u>subject</u> of a triple that has a <u>parameter</u> as <u>predicate</u>.</li> </ul>
	• s is a <u>value</u> of a <u>shape-expecting</u> , non- <u>list-taking parameter</u> such as <u>sh:node</u> , or a <u>member</u> of a <u>SHACL list</u> that is a <u>value</u> of a <u>shape-expecting</u> and <u>list-taking</u> parameter such as <u>sh:or</u> .
multiple-parameters	Some constraint components such as

HACL instances of sh:NodeShape cannot have a value for the property :path.  property shape is a shape in the shapes graph that is the subject of a ple that has sh:path as its predicate.  shape has at most one value for sh:path.  ch value of sh:path in a shape must be a well-formed SHACL operty path.  HACL instances of sh:PropertyShape have one value for the property :path.  mode in an RDF graph is a well-formed SHACL property path p if it tisfies exactly one of the syntax rules in the following sub-sections.  mode p is not a well-formed SHACL property path if p is a blank node d any path mappings of p directly or transitively reference p.  sequence path is a blank node that is a SHACL property path.
:path.  property shape is a shape in the shapes graph that is the subject of a ple that has sh:path as its predicate.  shape has at most one value for sh:path.  ch value of sh:path in a shape must be a well-formed SHACL operty path.  HACL instances of sh:PropertyShape have one value for the property :path.  node in an RDF graph is a well-formed SHACL property path p if it tisfies exactly one of the syntax rules in the following sub-sections.  node p is not a well-formed SHACL property path if p is a blank node d any path mappings of p directly or transitively reference p.  sequence path is a blank node that is a SHACL list with at least two
shape has at most one value for sh:path.  sch value of sh:path in a shape must be a well-formed SHACL operty path.  HACL instances of sh:PropertyShape have one value for the property:path.  mode in an RDF graph is a well-formed SHACL property path p if it tisfies exactly one of the syntax rules in the following sub-sections.  mode p is not a well-formed SHACL property path if p is a blank node d any path mappings of p directly or transitively reference p.  sequence path is a blank node that is a SHACL list with at least two
ch value of sh:path in a shape must be a well-formed SHACL operty path.  HACL instances of sh:PropertyShape have one value for the property:path.  node in an RDF graph is a well-formed SHACL property path p if it tisfies exactly one of the syntax rules in the following sub-sections.  node p is not a well-formed SHACL property path if p is a blank node d any path mappings of p directly or transitively reference p.  sequence path is a blank node that is a SHACL list with at least two
ACL instances of sh:PropertyShape have one value for the property:path.  node in an RDF graph is a well-formed SHACL property path p if it tisfies exactly one of the syntax rules in the following sub-sections.  node p is not a well-formed SHACL property path if p is a blank node d any path mappings of p directly or transitively reference p.  sequence path is a blank node that is a SHACL list with at least two
:path.  node in an RDF graph is a <u>well-formed SHACL</u> property path p if it tisfies exactly one of the syntax rules in the following sub-sections.  node p is not a <u>well-formed SHACL</u> property path if p is a blank node d any path mappings of p directly or transitively reference p.  sequence <u>path</u> is a <u>blank node</u> that is a <u>SHACL list</u> with at least two
tisfies exactly one of the syntax rules in the following sub-sections.  node p is not a well-formed SHACL property path if p is a blank node d any path mappings of p directly or transitively reference p.  sequence path is a blank node that is a SHACL list with at least two
d any path mappings of p directly or transitively reference p.  sequence path is a blank node that is a SHACL list with at least two
n <u>alternative path</u> is a <u>blank node</u> that is the subject of exactly one ple in G. This triple has <u>sh:alternativePath</u> as predicate, L as ject, and L is a <u>SHACL list</u> with at least two <u>members</u> and each ember of L is a <u>well-formed</u> SHACL property path.
n <u>inverse path</u> is a <u>blank node</u> that is the <u>subject</u> of exactly one <u>triple</u> in This triple has <u>sh:inversePath</u> as predicate, and the <u>object</u> v is a <u>ell-formed</u> SHACL property path.
zero-or-more path is a blank node that is the <u>subject</u> of exactly one ple in G. This triple has <u>sh:zeroOrMorePath</u> as <u>predicate</u> , and the <u>ject</u> v is a <u>well-formed</u> SHACL property path.
one-or-more path is a <u>blank node</u> that is the <u>subject</u> of exactly one <u>ple</u> in G. This triple has <u>sh:oneOrMorePath</u> as <u>predicate</u> , and the <u>ject</u> v is a <u>well-formed</u> SHACL property path.
zero-or-one path is a <u>blank node</u> that is the <u>subject</u> of exactly one <u>ple</u> in G. This triple has <u>sh:zeroOrOnePath</u> as <u>predicate</u> , and the <u>ject</u> v is a <u>well-formed</u> SHACL property path.
very <u>value</u> of sh:shapesGraph is an <u>IRI</u>
ne values of sh: class in a shape are IRIs.
ne values of sh:datatype in a shape are <u>IRIs</u> .
shape has at most one value for sh:datatype.
ne values of sh:nodeKind in a shape are one of the following six stances of the class sh:NodeKind: sh:BlankNode, sh:IRI, :Literal sh:BlankNodeOrIRI, sh:BlankNodeOrLiteral and :IRIOrLiteral.
shape has at most one value for sh:nodeKind.
ode shapes cannot have any value for sh:minCount.
property shape has at most one value for sh:minCount.

maxCount-scope	Node shapes cannot have any value for sh:maxCount.
maxCount-maxCount	A property shape has at most one value for sh:maxCount.
maxCount-datatype	The values of sh:maxCount in a property shape are literals with datatype xsd:integer.
minExclusive-nodeKind	The values of sh:minExclusive in a shape are literals.
minExclusive-maxCount	A shape has at most one value for sh:minExclusive.
minInclusive-nodeKind	The values of sh:minInclusive in a shape are <u>literals</u> .
minInclusive-maxCount	A shape has at most one value for sh:minInclusive.
maxExclusive-nodeKind	The values of sh:maxExclusive in a shape are <u>literals</u> .
maxExclusive-maxCount	A shape has at most one value for sh:maxExclusive.
maxInclusive-nodeKind	The values of sh:maxInclusive in a shape are <u>literals</u> .
maxInclusive-maxCount	A shape has at most one value for sh:maxInclusive.
minLength-datatype	The values of sh:minLength in a shape are literals with datatype xsd:integer.
minLength-maxCount	A shape has at most one value for sh:minLength.
maxLength-datatype	The values of sh:maxLength in a shape are literals with datatype xsd:integer.
maxLength-maxCount	A shape has at most one value for sh:maxLength.
pattern-datatype	The values of sh:pattern in a shape are literals with datatype xsd:string.
pattern-regex	The values of sh:pattern in a shape are valid pattern arguments for the SPARQL REGEX function.
flags-datatype	The values of sh:flags in a shape are literals with datatype xsd:string.
languageIn-node	Each value of sh:languageIn in a shape is a SHACL list.
languageIn-members-datatype	Each member of such a list is a literal with datatype xsd:string.
languageIn-maxCount	A shape has at most one value for sh:languageIn.
uniqueLang-datatype	The values of sh:uniqueLang in a shape are literals with datatype xsd:boolean.
uniqueLang-maxCount	A property shape has at most one value for sh:uniqueLang.
uniqueLang-scope	Node shapes cannot have any value for sh:uniqueLang.
equals-nodeKind	The values of sh:equals in a shape are <u>IRIs</u> .
disjoint-nodeKind	The values of sh:disjoint in a shape are <u>IRIs</u> .
lessThan-nodeKind	The values of sh:lessThan in a shape are <u>IRIs</u> .
lessThan-scope	Node shapes cannot have any value for sh:lessThan.
lessThanOrEquals-nodeKind	The values of sh:lessThanOrEquals in a shape are <u>IRIs</u> .
lessThanOrEquals-scope	Node shapes cannot have any value for sh:lessThanOrEquals.
not-node	The values of sh:not in a shape must be well-formed shapes.
and-node	Each value of sh: and in a shape is a SHACL list.
and-members-node	Each member of such list must be a well-formed shape.
<u>or-node</u>	Each value of sh: or in a shape is a SHACL list.

or-members-node	Each member of such list must be a well-formed shape.
xone-node	Each value of sh: xone in a shape is a SHACL list.
xone-members-node	Each member of such list must be a well-formed shape.
node-node	The values of sh:node in a shape must be well-formed node shapes.
property-node	Each value of sh: property in a shape must be a well-formed property shape.
<u>qualifiedValueShape-node</u>	The values of sh:qualifiedValueShape in a shape must be well-formed shapes.
qualifiedValueShape-scope	Node shapes cannot have any value for sh:qualifiedValueShape.
qualifiedValueShapesDisjoint-datatype	The values of sh:qualifiedValueShapesDisjoint in a shape are literals with datatype xsd:boolean.
<u>qualifiedMinCount-datatype</u>	The values of sh:qualifiedMinCount in a shape are literals with datatype xsd:integer.
qualifiedMaxCount-datatype	The values of sh:qualifiedMaxCount in a shape are literals with datatype xsd:integer.
closed-datatype	The values of sh:closed in a shape are literals with datatype xsd:boolean.
ignoredProperties-node	The values of sh:ignoredProperties in a shape must be SHACL lists.
ignoredProperties-members-nodeKind	Each member of such a list must be a IRI.
<u>in-node</u>	Each value of sh:in in a shape is a SHACL list.
in-maxCount	A shape has at most one value for sh:in.
sparql-nodeKind	Shapes may have values for the property sh:sparql, and these values are either IRIs or blank nodes.
SPARQLConstraint-select-count	SPARQL-based constraints have exactly one <u>value</u> for the property sh:select
SPARQLConstraint-select-datatype	The value of sh:select is a <u>literal</u> of datatype xsd:string.
select-query-valid	Using the <u>prefix handling rules</u> , the value of sh:select is a valid SPARQL 1.1 SELECT query.
select-query-this	The SPARQL query derived from the value of sh:select projects the variable this in the SELECT clause.
SPARQLConstraint-message-datatype	SPARQL-based constraints may have values for the property sh:message and these are either xsd:string literals or literals with a language tag.
SPARQLConstraint-deactivated-maxCount	SPARQL-based constraints may have at most one value for the property sh:deactivated
PATH-position	The only legal use of the variable PATH in the SPARQL queries of SPARQL-based constraints and SELECT-based validators is in the predicate position of a triple pattern.
declare-nodeKind	The <u>values</u> of the property <u>sh:declare</u> are <u>IRIs</u> or <u>blank nodes</u>
prefix-count	Prefix declarations have exactly one value for the property sh:prefix
prefix-datatype	The values of sh:prefix are <u>literals</u> of datatype xsd:string.
namespace-count	Prefix declarations have exactly one value for the property sh:namespace.
namespace-datatype	The values of sh:namespace are <u>literals</u> of datatype xsd:anyURI.

prefixes-nodeKind	The values of sh:prefixes are either <u>IRIs</u> or <u>blank nodes</u> .
prefixes-duplicates	A SHACL processor collects a set of prefix mappings as the union of all individual prefix mappings that are <u>values</u> of the <u>SPARQL property path</u> <u>sh:prefixes/owl:imports*/sh:declare</u> of the <u>SPARQL-based</u> <u>constraint</u> or <u>validator</u> . If such a collection of prefix declarations contains multiple namespaces for the same <u>value</u> of <u>sh:prefix</u> , then the <u>shapes graph</u> is <u>ill-formed</u> .
ConstraintComponent	A SPARQL-based constraint component is an IRI that has SHACL type sh:ConstraintComponent in the shapes graph.
Parameter-predicate-count	Each <u>parameter declaration</u> has exactly one value for the property sh:path
Parameter	At <u>parameter declarations</u> , the <u>value</u> of sh:path is an <u>IRI</u> .
parameter-name-VARNAME	Every <u>parameter name</u> is a valid <u>SPARQL VARNAME</u> .
parameter-name-not-in	<u>Parameter names</u> must not be one of the following: this, shapesGraph, currentShape, path, PATH, value.
parameter-name-unique	A constraint component where two or more <u>parameter declarations</u> use the same <u>parameter names</u> is <u>ill-formed</u> .
optional-datatype	The values of sh:optional must be literals with datatype xsd:boolean.
optional-maxCount	A parameter declaration can have at most one value for the property sh:optional.
ConstraintComponent-parameter	Every constraint component has at least one non-optional parameter.
Parameter-conformance	Shapes that do not <u>conform</u> with the constraints declared for the parameters are <u>ill-formed</u> .
labelTemplate-datatype	The values of sh:labelTemplate are strings (possibly with language tag)
nodeValidator-class	The values of sh:nodeValidator must be <u>SELECT-based validators</u> .
property Validator-class	The values of sh:propertyValidator must be SELECT-based validators.
SPARQLSelectValidator-select-count	SELECT-based validators have exactly one value for the property sh:select.
validator-class	The values of sh:validator must be ASK-based validators.
ask-count	ASK-based validators have exactly one value for the property sh:ask
ask-datatype	The value of sh:ask must be a literal with datatype xsd:string.
ask-sparql	The value of sh:ask must be a valid SPARQL ASK query using the aforementioned prefix handling rules.
pre-binding-limitations	The definition of pre-binding used by SHACL requires the following restrictions on SPARQL queries. SHACL-SPARQL processors must report a failure when it is operating on a shapes graph that contains SHACL-SPARQL queries (via sh:select and sh:ask) that violate any of these restrictions. Note that the term potentially pre-bound variables includes the variables this, shapesGraph, currentShape, value (for ASK queries), and any variables that represent the parameters of the constraint component that uses the query.  • SPARQL queries must not contain a MINUS clause  • SPARQL queries must not contain a federated query (SERVICE)

- SPARQL queries must not contain a VALUES clause
- SPARQL queries must not use the syntax form AS ?var for any potentially pre-bound variable
- <u>Subqueries</u> must return all potentially pre-bound variables, except <u>shapesGraph</u> and <u>currentShape</u> which are optional as already mentioned in <u>5.3.1 Pre-bound Variables in SPARQL Constraints</u> (\$this, \$shapesGraph, \$currentShape)

## C. SHACL Shapes to Validate Shapes Graphs

The following shapes graph is intended to enforce many of the syntactic constraints related to SHACL Core in this specification. As such, it can be understood as a machine-readable version of a subset of those constraints, and should be understood as normative. If differences are found between the constraints expressed here and elsewhere in this specification, that indicates an error in the following shapes graph. Please see the <a href="Errata Page">Errata Page</a> for an enumeration and analysis of possible errors that have been reported. This shapes graph is available at <a href="http://www.w3.org/ns/shacl-shacl">http://www.w3.org/ns/shacl-shacl</a>. That version may be more up-to-date than this specification as errata are noted against this specification.

```
@prefix rdf: <http://www.w3.org/1999/02/22-rdf-syntax-ns#> .
@prefix rdfs: <http://www.w3.org/2000/01/rdf-schema#> .
@prefix sh: <http://www.w3.org/ns/shacl#> .
@prefix xsd: <http://www.w3.org/2001/XMLSchema#> .
@prefix shsh: <http://www.w3.org/ns/shacl-shacl#> .
   rdfs:label "SHACL for SHACL"@en ;
   rdfs:comment "This shapes graph can be used to validate SHACL shapes graphs against a subset of
   sh:declare [
      sh:prefix "shsh";
      sh:namespace "http://www.w3.org/ns/shacl-shacl#" ;
   1.
shsh:ListShape
   a sh:NodeShape ;
   rdfs:label "List shape"@en ;
   rdfs:comment "A shape describing well-formed RDF lists. Currently does not check for non-recursi
   rdfs:seeAlso <https://www.w3.org/TR/shacl/#syntax-rule-SHACL-list> ;
      sh:path [ sh:zeroOrMorePath rdf:rest ];
      rdfs:comment "Each list member (including this node) must be have the shape shsh:ListNodeShap
      sh:hasValue rdf:nil ;
      sh:node shsh:ListNodeShape ;
   ] .
shsh:ListNodeShape
   a sh:NodeShape;
   rdfs:label "List node shape"@en ;
   rdfs:comment "Defines constraints on what it means for a node to be a node within a well-formed
   sh:or ([
            sh:hasValue rdf:nil ;
            sh:property [
               sh:path rdf:first;
               sh:maxCount 0;
            ];
            sh:property [
               sh:path rdf:rest ;
               sh:maxCount 0;
```

```
];
         1
            sh:not [ sh:hasValue rdf:nil ];
            sh:property [
               sh:path rdf:first;
               sh:maxCount 1 ;
               sh:minCount 1 ;
           ];
            sh:property [
               sh:path rdf:rest;
               sh:maxCount 1 ;
               sh:minCount 1;
           ];
         ]).
shsh:ShapeShape
   a sh:NodeShape ;
   rdfs:label "Shape shape"@en ;
   rdfs:comment "A shape that can be used to validate syntax rules for other shapes."@en ;
   # See https://www.w3.org/TR/shacl/#shapes for what counts as a shape
   sh:targetClass sh:NodeShape ;
   sh:targetClass sh:PropertyShape ;
   sh:targetSubjectsOf sh:targetClass, sh:targetNode, sh:targetObjectsOf, sh:targetSubjectsOf;
   sh:targetSubjectsOf sh:and, sh:class, sh:closed, sh:datatype, sh:disjoint, sh:equals, sh:flags,
      sh:ignoredProperties, sh:in, sh:languageIn, sh:lessThan, sh:lessThanOrEquals, sh:maxCount, sh
      sh:maxInclusive, sh:maxLength, sh:minCount, sh:minExclusive, sh:minInclusive, sh:minLength, s
      sh:not, sh:or, sh:pattern, sh:property, sh:qualifiedMaxCount, sh:qualifiedMinCount, sh:qualif
      sh:qualifiedValueShape, sh:qualifiedValueShapesDisjoint, sh:qualifiedValueShapesDisjoint, sh:
   sh:targetObjectsOf sh:node ;
                                       # node-node
   sh:targetObjectsOf sh:not;
                                       # not-node
   sh:targetObjectsOf sh:property ;
                                       # property-node
   sh:targetObjectsOf sh:qualifiedValueShape ; # qualifiedValueShape-node
   # Shapes are either node shapes or property shapes
   sh:xone ( shsh:NodeShapeShape shsh:PropertyShapeShape ) ;
   sh:property [
      sh:path sh:targetNode ;
      sh:nodeKind sh:IRIOrLiteral ; # targetNode-nodeKind
  ];
   sh:property [
      sh:path sh:targetClass;
      sh:nodeKind sh:IRI ;
                                      # targetClass-nodeKind
   ];
   sh:property [
      sh:path sh:targetSubjectsOf;
      sh:nodeKind sh:IRI ;
                                      # targetSubjectsOf-nodeKind
  ];
   sh:property [
      sh:path sh:targetObjectsOf ;
      sh:nodeKind sh:IRI ;
                                      # targetObjectsOf-nodeKind
  ];
   sh:or ([sh:not[
            sh:class rdfs:Class ;
            sh:or ( [ sh:class sh:NodeShape ] [ sh:class sh:PropertyShape ] )
         1 1
        [ sh:nodeKind sh:IRI ]
                                      # implicit-targetClass-nodeKind
       );
   sh:property [
      sh:path sh:severity ;
```

```
sh:maxCount 1 ;
                                   # severity-maxCount
   sh:nodeKind sh:IRI ;
                                   # severity-nodeKind
];
sh:property [
   sh:path sh:message ;
   sh:or ( [ sh:datatype xsd:string ] [ sh:datatype rdf:langString ] );  # message-datatype
];
sh:property [
   sh:path sh:deactivated ;
   sh:maxCount 1 ;
                                   # deactivated-maxCount
   sh:in ( true false );
                                   # deactivated-datatype
];
sh:property [
   sh:path sh:and ;
   sh:node shsh:ListShape ;
                                   # and-node
];
sh:property [
   sh:path sh:class ;
   sh:nodeKind sh:IRI ;
                                   # class-nodeKind
];
sh:property [
   sh:path sh:closed ;
                                   # closed-datatype
   sh:datatype xsd:boolean ;
   sh:maxCount 1;
                                   # multiple-parameters
];
sh:property [
   sh:path sh:ignoredProperties ;
   sh:node shsh:ListShape ;
                                   # ignoredProperties-node
                                   # multiple-parameters
   sh:maxCount 1;
];
sh:property [
   sh:path ( sh:ignoredProperties [ sh:zeroOrMorePath rdf:rest ] rdf:first );
   sh:nodeKind sh:IRI ;
                                   # ignoredProperties-members-nodeKind
];
sh:property [
   sh:path sh:datatype ;
   sh:nodeKind sh:IRI ;
                                   # datatype-nodeKind
   sh:maxCount 1;
                                   # datatype-maxCount
];
sh:property [
   sh:path sh:disjoint ;
   sh:nodeKind sh:IRI ;
                                   # disjoint-nodeKind
];
sh:property [
   sh:path sh:equals ;
   sh:nodeKind sh:IRI ;
                                   # equals-nodeKind
];
sh:property [
   sh:path sh:in;
   sh:maxCount 1;
                                   # in-maxCount
   sh:node shsh:ListShape ;
                                   # in-node
];
sh:property [
   sh:path sh:languageIn ;
   sh:maxCount 1;
                                   # languageIn-maxCount
   sh:node shsh:ListShape ;
                                   # languageIn-node
];
sh:property [
   sh:path ( sh:languageIn [ sh:zeroOrMorePath rdf:rest ] rdf:first );
   sh:datatype xsd:string ;
                                   # languageIn-members-datatype
];
sh:property [
   sh:path sh:lessThan ;
```

```
sh:nodeKind sh:IRI ;
                                   # lessThan-nodeKind
];
sh:property [
   sh:path sh:lessThanOrEquals ;
   sh:nodeKind sh:IRI ;
                                   # lessThanOrEquals-nodeKind
];
sh:property [
   sh:path sh:maxCount ;
   sh:datatype xsd:integer ;
                                   # maxCount-datatype
   sh:maxCount 1 ;
                                   # maxCount-maxCount
];
sh:property [
   sh:path sh:maxExclusive ;
   sh:maxCount 1;
                                   # maxExclusive-maxCount
                                   # maxExclusive-nodeKind
   sh:nodeKind sh:Literal ;
];
sh:property [
   sh:path sh:maxInclusive ;
   sh:maxCount 1 ;
                                   # maxInclusive-maxCount
   sh:nodeKind sh:Literal ;
                                   # maxInclusive-nodeKind
];
sh:property [
   sh:path sh:maxLength ;
   sh:datatype xsd:integer ;
                                   # maxLength-datatype
   sh:maxCount 1;
                                   # maxLength-maxCount
];
sh:property [
   sh:path sh:minCount;
   sh:datatype xsd:integer ;
                                   # minCount-datatype
                                   # minCount-maxCount
   sh:maxCount 1;
];
sh:property [
   sh:path sh:minExclusive ;
   sh:maxCount 1;
                                   # minExclusive-maxCount
   sh:nodeKind sh:Literal ;
                                   # minExclusive-nodeKind
];
sh:property [
   sh:path sh:minInclusive ;
   sh:maxCount 1;
                                   # minInclusive-maxCount
   sh:nodeKind sh:Literal ;
                                   # minInclusive-nodeKind
];
sh:property [
   sh:path sh:minLength;
   sh:datatype xsd:integer ;
                                   # minLength-datatype
                                   # minLength-maxCount
   sh:maxCount 1;
];
sh:property [
   sh:path sh:nodeKind;
   sh:in ( sh:BlankNode sh:IRI sh:Literal sh:BlankNodeOrIRI sh:BlankNodeOrLiteral sh:IRIOrLitera
   sh:maxCount 1;
                                   # nodeKind-maxCount
];
sh:property [
   sh:path sh:or;
   sh:node shsh:ListShape ;
                                   # or-node
];
sh:property [
   sh:path sh:pattern ;
   sh:datatype xsd:string ;
                                   # pattern-datatype
   sh:maxCount 1;
                                   # multiple-parameters
   # Not implemented: syntax rule pattern-regex
];
sh:property [
   sh:path sh:flags ;
   sh:datatype xsd:string ;
                                   # flags-datatype
```

```
sh:maxCount 1 ;
                                      # multiple-parameters
  ];
   sh:property [
      sh:path sh:qualifiedMaxCount ;
      sh:datatype xsd:integer ;
                                      # qualifiedMaxCount-datatype
      sh:maxCount 1;
                                      # multiple-parameters
  ];
   sh:property [
      sh:path sh:qualifiedMinCount ;
      sh:datatype xsd:integer ;
                                      # qualifiedMinCount-datatype
      sh:maxCount 1 ;
                                      # multiple-parameters
  ];
   sh:property [
      sh:path sh:qualifiedValueShape ;
      sh:maxCount 1;
                                      # multiple-parameters
  ];
   sh:property [
      sh:path sh:qualifiedValueShapesDisjoint;
                                      # qualifiedValueShapesDisjoint-datatype
      sh:datatype xsd:boolean ;
      sh:maxCount 1 ;
                                      # multiple-parameters
  ];
   sh:property [
      sh:path sh:uniqueLang ;
      sh:datatype xsd:boolean ;
                                      # uniqueLang-datatype
                                      # uniqueLang-maxCount
      sh:maxCount 1;
  ];
   sh:property [
      sh:path sh:xone ;
      sh:node shsh:ListShape ;
                                      # xone-node
  ] .
shsh:NodeShapeShape
   a sh:NodeShape ;
   sh:targetObjectsOf sh:node ;
                                       # node-node
   sh:property [
      sh:path sh:path;
      sh:maxCount 0;
                                      # NodeShape-path-maxCount
  ];
   sh:property [
      sh:path sh:lessThan ;
      sh:maxCount 0;
                                      # lessThan-scope
  ];
   sh:property [
      sh:path sh:lessThanOrEquals ;
      sh:maxCount 0;
                                      # lessThanOrEquals-scope
  ];
   sh:property [
      sh:path sh:maxCount ;
      sh:maxCount 0;
                                      # maxCount-scope
  ];
   sh:property [
      sh:path sh:minCount ;
      sh:maxCount 0;
                                      # minCount-scope
  ];
   sh:property [
      sh:path sh:qualifiedValueShape ;
      sh:maxCount 0;
                                      # qualifiedValueShape-scope
  ];
   sh:property [
      sh:path sh:uniqueLang;
      sh:maxCount 0;
                                      # uniqueLang-scope
  ] .
shsh:PropertyShapeShape
```

```
a sh:NodeShape ;
   sh:targetObjectsOf sh:property;
                                      # property-node
   sh:property [
      sh:path sh:path ;
     sh:maxCount 1 ;
                                     # path-maxCount
                                     # PropertyShape-path-minCount
      sh:minCount 1;
      sh:node shsh:PathShape ;
                                     # path-node
  ] .
# Values of sh:and, sh:or and sh:xone must be lists of shapes
shsh:ShapesListShape
  a sh:NodeShape ;
                                      # and-members-node
  sh:targetObjectsOf sh:and;
   sh:targetObjectsOf sh:or ;
                                      # or-members-node
   sh:targetObjectsOf sh:xone ;
                                      # xone-members-node
  sh:property [
      sh:path ( [ sh:zeroOrMorePath rdf:rest ] rdf:first );
     sh:node shsh:ShapeShape ;
  ] .
# A path of blank node path syntax, used to simulate recursion
_:PathPath
  sh:alternativePath (
     ( [ sh:zeroOrMorePath rdf:rest ] rdf:first )
      ( sh:alternativePath [ sh:zeroOrMorePath rdf:rest ] rdf:first )
     sh:inversePath
     sh:zeroOrMorePath
      sh:oneOrMorePath
      sh:zeroOrOnePath
  ) .
shsh:PathShape
  a sh:NodeShape ;
  rdfs:label "Path shape"@en ;
  rdfs:comment "A shape that can be used to validate the syntax rules of well-formed SHACL paths."
  rdfs:seeAlso <https://www.w3.org/TR/shacl/#property-paths> ;
   sh:property [
      sh:path [ sh:zeroOrMorePath _:PathPath ] ;
      sh:node shsh:PathNodeShape ;
  ] .
shsh:PathNodeShape
                                   # path-metarule
  sh:xone (
        [ sh:nodeKind sh:IRI ]
                                      # 2.3.1.1: Predicate path
         [ sh:nodeKind sh:BlankNode ; # 2.3.1.2: Sequence path
           sh:node shsh:PathListWithAtLeast2Members ;
         1
        [ sh:nodeKind sh:BlankNode ; # 2.3.1.3: Alternative path
          sh:closed true ;
           sh:property [
             sh:path sh:alternativePath ;
             sh:node shsh:PathListWithAtLeast2Members ;
             sh:minCount 1;
             sh:maxCount 1;
          1
         [ sh:nodeKind sh:BlankNode ; # 2.3.1.4: Inverse path
           sh:closed true ;
           sh:property [
             sh:path sh:inversePath ;
             sh:minCount 1;
             sh:maxCount 1;
```

```
[ sh:nodeKind sh:BlankNode ;
                                       # 2.3.1.5: Zero-or-more path
           sh:closed true ;
           sh:property [
            sh:path sh:zeroOrMorePath ;
             sh:minCount 1;
             sh:maxCount 1 ;
           1
         [ sh:nodeKind sh:BlankNode ;
                                       # 2.3.1.6: One-or-more path
           sh:closed true ;
           sh:property [
            sh:path sh:oneOrMorePath ;
            sh:minCount 1;
             sh:maxCount 1;
          1
         [ sh:nodeKind sh:BlankNode ; # 2.3.1.7: Zero-or-one path
           sh:closed true ;
           sh:property [
            sh:path sh:zeroOrOnePath ;
            sh:minCount 1;
            sh:maxCount 1;
           1
        ]
      ) .
shsh:PathListWithAtLeast2Members
   a sh:NodeShape ;
  sh:node shsh:ListShape ;
   sh:property [
      sh:path [ sh:oneOrMorePath rdf:rest ];
      sh:minCount 2; # 1 other list node plus rdf:nil
  ] .
shsh:ShapesGraphShape
  a sh:NodeShape ;
  sh:targetObjectsOf sh:shapesGraph ;
  sh:nodeKind sh:IRI .
                                       # shapesGraph-nodeKind
shsh:EntailmentShape
  a sh:NodeShape;
   sh:targetObjectsOf sh:entailment;
   sh:nodeKind sh:IRI .
                                       # entailment-nodeKind
```

# D. Summary of SHACL Core Validators

This section enumerates all normative <u>validators</u> of SHACL Core. This section is automatically generated from other parts of this spec and hyperlinks are provided back into the prose if the context of the validator in unclear.

# Validators by Constraint Component

sh:ClassConstraintComponent: For each value node that is either a literal, or a non-literal that is not a SHACL instance of \$class in the data graph, there is a validation result with the value node as sh:value.

**sh:DatatypeConstraintComponent**: For each <u>value node</u> that is not a <u>literal</u>, or is a <u>literal</u> with a datatype that does not match \$datatype, there is a <u>validation result</u> with the <u>value node</u> as <u>sh:value</u>. The datatype of a literal is determined following the <u>datatype</u> function of SPARQL 1.1. A <u>literal</u> matches a datatype if the <u>literal</u>'s datatype has the same <u>IRI</u> and, for the datatypes supported by SPARQL 1.1, is not an <u>ill-typed</u> literal.

sh:NodeKindConstraintComponent: For each value node that does not match \$nodeKind, there is a validation

result with the value node as sh:value. Any IRI matches only sh:IRI, sh:BlankNodeOrIRI and sh:IRIOrLiteral. Any blank node matches only sh:BlankNode, sh:BlankNodeOrIRI and sh:BlankNodeOrLiteral. Any literal matches only sh:Literal, sh:BlankNodeOrLiteral and sh:IRIOrLiteral.

**sh:MinCountConstraintComponent**: If the number of value nodes is less than **\$minCount**, there is a <u>validation</u> result.

<u>sh:MaxCountConstraintComponent</u>: If the number of <u>value nodes</u> is greater than \$maxCount, there is a <u>validation</u> result.

<u>sh:MinExclusiveConstraintComponent</u>: For each <u>value node</u> v where the SPARQL expression \$minExclusive < v does not return true, there is a validation result with v as sh:value.

sh:MinInclusiveConstraintComponent: For each value node v where the SPARQL expression \$minInclusive <= v does not return true, there is a validation result with v as sh:value.</p>

<u>sh:MaxExclusiveConstraintComponent</u>: For each <u>value node</u> v where the SPARQL expression \$maxExclusive > v does not return true, there is a validation result with v as sh:value.

sh:MaxInclusiveConstraintComponent: For each value node v where the SPARQL expression \$maxInclusive >= v does not return true, there is a validation result with v as sh:value.

sh:MinLengthConstraintComponent: For each value node v where the length (as defined by the SPARQL STRLEN function) of the string representation of v (as defined by the SPARQL str function) is less than \$minLength, or where v is a blank node, there is a validation result with v as sh:value.

**sh:MaxLengthConstraintComponent**: For each <u>value node</u> v where the length (as defined by the <u>SPARQL</u> <u>STRLEN function</u>) of the string representation of v (as defined by the <u>SPARQL str function</u>) is greater than \*maxLength, or where v is a blank node, there is a validation result with v as sh:value.

sh:PatternConstraintComponent: For each <u>value node</u> that is a blank node or where the string representation (as defined by the <u>SPARQL str function</u>) does not match the regular expression \$pattern (as defined by the <u>SPARQL REGEX function</u>), there is a <u>validation result</u> with the <u>value node</u> as sh:value. If \$flags has a value then the matching must follow the definition of the 3-argument variant of the SPARQL REGEX function, using \$flags as third argument.

sh:LanguageInConstraintComponent: For each value node that is either not a <u>literal</u> or that does not have a language tag matching any of the basic language ranges that are the <u>members</u> of <u>\$languageIn</u> following the filtering schema defined by the <u>SPARQL langMatches</u> function, there is a <u>validation result</u> with the <u>value node</u> as <u>sh:value</u>.

sh:UniqueLangConstraintComponent: If \$uniqueLang is true then for each non-empty language tag that is used by at least two value nodes, there is a validation result.

**sh:EqualsConstraintComponent**: For each <u>value node</u> that does not exist as a <u>value</u> of the property \$equals at the <u>focus node</u>, there is a <u>validation result</u> with the <u>value node</u> as <u>sh:value</u>. For each <u>value</u> of the property \$equals at the focus node that is not one of the value nodes, there is a validation result with the value as <u>sh:value</u>.

<u>sh:DisjointConstraintComponent</u>: For each <u>value node</u> that also exists as a <u>value</u> of the property \$disjoint at the <u>focus node</u>, there is a <u>validation result</u> with the <u>value node</u> as sh:value.

<u>sh:LessThanConstraintComponent</u>: For each pair of <u>value nodes</u> and the values of the property \$lessThan at the given <u>focus node</u> where the first <u>value</u> is not less than the second <u>value</u> (based on SPARQL's < operator) or where the two values cannot be compared, there is a validation result with the value node as sh:value.

sh:LessThanOrEqualsConstraintComponent: For each pair of <u>value nodes</u> and the values of the property \$lessThanOrEquals at the given <u>focus node</u> where the first <u>value</u> is not less than or equal to the second <u>value</u> (based on SPARQL's <= operator) or where the two values cannot be compared, there is a <u>validation result</u> with the <u>value</u> <u>node</u> as sh:value.

<u>sh:NotConstraintComponent</u>: For each <u>value node</u> v: A <u>failure</u> must be reported if the <u>conformance checking</u> of v against the shape \$not produces a <u>failure</u>. Otherwise, if v <u>conforms</u> to the shape \$not, there is <u>validation result</u> with v as sh:value.

sh:AndConstraintComponent: For each value node v: A failure must be produced if the conformance checking of vagainst any of the members of a failure. Otherwise, if v does not conform to each member of and,

there is a validation result with v as sh:value.

sh:OrConstraintComponent: For each value node v: A failure must be produced if the conformance checking of vagainst any of the members produces a failure. Otherwise, if v conforms to none of the members of for there is a validation result with v as sh:value.

<u>sh:XoneConstraintComponent</u>: For each <u>value node</u> v let N be the number of the <u>shapes</u> that are <u>members</u> of <u>\$xone</u> where v <u>conforms</u> to the shape. A <u>failure</u> <u>must</u> be produced if the <u>conformance checking</u> of v against any of the <u>members</u> produces a <u>failure</u>. Otherwise, if N is not exactly 1, there is a <u>validation result</u> with v as <u>sh:value</u>.

<u>sh:NodeConstraintComponent</u>: For each <u>value node</u> v: A <u>failure</u> <u>must</u> be produced if the <u>conformance checking</u> of v against \$node produces a <u>failure</u>. Otherwise, if v does not <u>conform</u> to \$node, there is a <u>validation result</u> with v as sh:value.

<u>sh:PropertyConstraintComponent</u>: For each <u>value node</u> v: A <u>failure</u> <u>must</u> be produced if the validation of v as <u>focus node</u> against the property shape <u>property</u> produces a <u>failure</u>. Otherwise, the validation results are the results of validating v as focus node against the property shape <u>property</u>.

sh:QualifiedMinCountConstraintComponent: Let C be the number of value nodes v where v conforms to \$qualifiedValueShape and where v does not conform to any of the sibling shapes for the current shape, i.e. the shape that v is validated against and which has \$qualifiedValueShape as its value for sh:qualifiedValueShape.

A failure must be produced if any of the said conformance checks produces a failure. Otherwise, there is a validation result if C is less than \$qualifiedMinCount. The constraint component for sh:qualifiedMinCount is sh:QualifiedMinCountConstraintComponent.

sh:QualifiedMaxCountConstraintComponent: Let C be as defined for sh:qualifiedMinCount above. A failure must be produced if any of the said conformance checks produces a failure. Otherwise, there is a validation result if C is greater than qualifiedMaxCount. The constraint component for sh:qualifiedMaxCount is sh:QualifiedMaxCountConstraintComponent.

sh:ClosedConstraintComponent: If \$closed is true then there is a validation result for each triple that has a value node as its subject and a predicate that is not explicitly enumerated as a value of sh:path in any of the property shapes declared via sh:property at the current shape. If \$ignoredProperties has a value then the properties enumerated as members of this SHACL list are also permitted for the value node. The validation result must have the predicate of the triple as its sh:resultPath, and the object of the triple as its sh:value.

**sh:HasValueConstraintComponent**: If the RDF term **\$hasValue** is not among the <u>value nodes</u>, there is a <u>validation</u> result.

**<u>sh:InConstraintComponent</u>**: For each <u>value node</u> that is not a <u>member</u> of **\$in**, there is a <u>validation result</u> with the <u>value node</u> as **sh:value**.

# E. Security and Privacy Considerations

This section is non-normative.

Like most RDF-based technologies, SHACL processors may operate on graphs that are combined from various sources. Some applications may have an open "linked data" architecture and dynamically assemble RDF triples from sources that are outside of an organization's network of trust. Since RDF allows anyone to add statements about any resource, triples may modify the originally intended semantics of shape definitions or nodes in a data graph and thus lead to misleading results. Protection against this (and the following) scenario can be achieved by only using trusted and verified RDF sources and eliminating the possibility that graphs are dynamically added via owl:imports and sh:shapesGraph.

SHACL-SPARQL includes all the security issues of SPARQL.

## F. Acknowledgements

This section is non-normative.

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# G. Revision History

This section is non-normative.

The detailed list of changes and their diffs can be found in the Git repository.

Summary of changes to this document since the Proposed Recommendation of 8 June 2017:

· Revision history was removed

## H. References

### H.1 Normative references

#### [BCP47]

<u>Tags for Identifying Languages</u>. A. Phillips; M. Davis. IETF. September 2009. IETF Best Current Practice. URL: https://tools.ietf.org/html/bcp47

### [RFC2119]

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<u>SPARQL 1.1 Entailment Regimes.</u> Birte Glimm; Chimezie Ogbuji. W3C. 21 March 2013. W3C Recommendation. URL: https://www.w3.org/TR/sparql11-entailment/

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## [turtle]

<u>RDF 1.1 Turtle</u>. Eric Prud'hommeaux; Gavin Carothers. W3C. 25 February 2014. W3C Recommendation. URL: https://www.w3.org/TR/turtle/

#### H.2 Informative references

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<u>JSON-LD 1.0.</u> Manu Sporny; Gregg Kellogg; Markus Lanthaler. W3C. 16 January 2014. W3C Recommendation. URL: https://www.w3.org/TR/json-ld/

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<u>1.</u>