



Effortless Investing. Crafted for You.

Account Opening Form - Individual

APPLICATION FORM
KYC / NSE / CDSL

Instructions / checklist for filling KYC form

A. Important points

1. Self attested copy of PAN card is mandatory for all clients, including Promoters /Partners /Karta /Trustees and whole time directors and persons authorized to deal in securities on behalf of company/firm/others.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI)

List of documents admissible as Proof of Identity:

1. Unique Identification Number (UID) (Aadhaar)/ Passport/ Voter ID card/ Driving license.
2. PAN card with photograph.
3. Identity card / document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/ Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA)

List of documents admissible as Proof of Address: (*Documents having an expiry date should be valid on the date of submission.)

1. Passport/ Voters Identity Card/ Ration Card/ Registered Lease or Sale Agreement of Residence/ Driving License.
2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook - Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks / Scheduled Co-Operative Bank / Multinational Foreign Banks / Gazetted Officer / Notary Public / Elected representatives to the Legislative Assembly/ Parliament / Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its departments, Statutory / Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FII / sub account, Power of Attorney given by FII / sub-account to the Custodians (which are duly notarized and / or apostilled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN (*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and /or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities / multilateral agencies exempt from paying taxes / filing tax returns in India.
4. SIP of Mutual Funds up to Rs 50,000/- p.a.
5. In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial / Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy /Consulate General in the country where the client resides are permitted to attest the documents.

F. Additional documents in case of trading in derivatives segments - illustrative list:

Copy of ITR Acknowledgement	Copy of Annual Accounts
In case of salary income - Salary Slip, Copy of Form 16	Net worth certificate
Copy of demat account holding statement.	Bank account statement for last 6 months
Any other relevant documents substantiating ownership of Assets.	Self declaration with relevant supporting documents.

In respect of other clients, documents as per risk management policy of the stock broker need to be provided by the client from time to time.

G. Copy of cancelled cheque leaf/ pass book/bank statement specifying name of the constituent, MICR Code or/ and IFSC Code of the bank should be submitted.

H. Demat master or recent holding statement issued by DP bearing name of the client.

For Individuals:

- a. Stock broker has an option of doing 'in-person' verification through web camera at the branch office of the stock broker/sub-broker's office.
- b. In case of non-resident clients, employees at the stock broker's local office, overseas can do in-person verification. Further, considering the infeasibility of carrying out 'In-person' verification of the non-resident clients by the stock broker's staff, attestation of KYC documents by Notary Public, Court, Magistrate, Judge, Local Banker, Indian Embassy / Consulate General in the country where the client resides may be permitted.

For non-individuals:

- a. Form need to be initialized by all the authorized signatories.
- b. Copy of Board Resolution or declaration (on the letterhead) naming the persons authorized to deal in securities on behalf of company/others & their specimen signatures.

S.No.	Name of the Document	Brief Significance of the Document	Page No		
MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES					
1	Account Opening Form	A.KYC form - Document captures the basic information about the constituent and an instruction/checklist. B.Document captures the additional information about the Constituent relevant to trading account and an instruction /check list.	3 4 – 6		
2	Tariff Sheet	Document detailing the rate/amount of brokerage and other charges levied on the client for trading on the stock exchange(s).	7		
3	Rights and Obligations	Document stating the Rights & Obligations of stock broker /trading member and client for trading on exchanges (including additional rights & obligations in case of internet/wireless technology based trading).	Annexure A		
4	Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the securities market.	Annexure B		
5	Guidance note	Document detailing do's and dont's for trading on exchange for education of investors	Annexure C		
6	Policies and Procedures	Document describing significant policies and procedures of Stock Broker.	Annexure D		
VOLUNTARY AND OPTIONAL DOCUMENTS AS PROVIDED BY THE STOCK BROKER					
7	Undertaking	Contains voluntary undertakings by the client with respect to Shareway Securities Limited's internal operations during the course of the relationship with Shareway Securities Limited.	8 – 9		
8	Running Account Authorization and Mobile Declaration	Letter of Authorization for maintaining a Running Account with Shareway Securities Limited and Client consent letter for receiving SMS from Shareway Securities Limited	10		
9	Client Defaulter Declaration and Client Acknowledgment	Client declaration stating that he is not a defaulter and Client acknowledgment that he has read all the rules & regulations.	11		
10	Nomination Details	Collects details of any nomination made for the account	Annexure 1 A		
Name of the Trading Member		Shareway Securities Limited (hereinafter referred to as "Shareway Securities Limited")			
Name of the Clearing Member		Shareway Securities Limited			
Cash Segment		NSE : Shareway Securities Limited			
Derivatives		NSE: F&O: Shareway Securities Limited SEBI Registration No. INZ000208134			
SEBI Registration Number:					
Shareway Securities Limited: Member of NSE – INZ000208134 CDSL: Depository services through Shareway Securities Limited. – IN-DP-610-2021					
Shareway Securities Limited Registered Office:					
Flat NoE 2nd Floor, Gilli Flower, L block old No 46, New No 6, 23rd Street Chennai - 600102					
Shareway Securities Limited Corporate Office: #2, 3rd floor, Hayes Road Richmond Town, Bangalore - 560025					
Correspondence Address : Same as above					
Compliance Officer: Jinto VT, Phone No. & Email ID: 080-45145100, jinto@fabits.com CEO: Dharmaraj H, Phone No. & Email ID: 080-45145100, Dharma@fabits.com For any grievance please contact Shareway Securities Limited at the above address or email support@ftabits.com Phone no. 080-45145100.					
In case not satisfied with the response, please contact the concerned exchange at:					
1.NSE: ignse@nse.co.in or contact at 022-26598100					

Know Your Client (KYC) Application Form - for Individuals

Please fill this form in English and BLOCK Letters

(Please tick the box on the left margin of the appropriate row where CHANGE/CORRECTION is required and provide the details in the corresponding window)

For office use only (To be filled by the financial institution)

Application Type*	<input type="checkbox"/> New	<input type="checkbox"/> Update	KYC Number	<table border="1" style="display: inline-table; vertical-align: middle;"><tr><td> </td><td> </td></tr></table>																				
Account Type*	<input type="checkbox"/> Normal	<input type="checkbox"/> Simplified (for low risk customers)	<input type="checkbox"/> Small																					

A.Identity details

<input type="checkbox"/>	1. Name (Same as ID Proof)	<table border="1" style="width: 100px; height: 20px;"></table>																
<input type="checkbox"/>	1a. Maiden Name (If any)	<table border="1" style="width: 100px; height: 20px;"></table>																
<input type="checkbox"/>	2. Father's/Spouse's Name	<table border="1" style="width: 100px; height: 20px;"></table>																
<input type="checkbox"/>	2a. Mother's Name	<table border="1" style="width: 100px; height: 20px;"></table>																
<input type="checkbox"/>	3a. Gender	<input type="checkbox"/> Male	<input type="checkbox"/> Female	<input type="checkbox"/> Transgender	3 b. Marital Status	<input type="checkbox"/> Single	<input type="checkbox"/> Married	<input type="checkbox"/> Other	3c. DOB	<table border="1" style="display: inline-table; vertical-align: middle;"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y											
<input type="checkbox"/>	4a. Citizenship	<input type="checkbox"/> Indian	<input type="checkbox"/> Other	(ISO 3166 Country Code)			<table border="1" style="display: inline-table; width: 40px; height: 20px;"></table>											
<input type="checkbox"/>	4b. Residential Status	<input type="checkbox"/> Resident Individual	<input type="checkbox"/> Non Resident Indian	<input type="checkbox"/> Person of Indian Origin	<input type="checkbox"/> Foreign National													

Photograph

Please affix your recent passport size photograph and sign across it



Tick if applicable

Residence for tax purposes in jurisdiction(s) outside India

ISO 3166 Country Code of Jurisdiction of residence

 Place of birth

Tax Identification Number or Equivalent

 ISO3166 Country Code of Birth

5a. PAN

5b. Unique Identification Number (UID) / AADHAR

6. Proof of Identity Submitted Pan Card Other (Please Specify) _____

B.Address details

1.Contact Details

Telephone (Office)	<table border="1" style="display: inline-table; width: 100px; height: 20px;"></table>	Mobile No	<table border="1" style="display: inline-table; width: 100px; height: 20px;"></table>
Telephone (Residence)	<table border="1" style="display: inline-table; width: 100px; height: 20px;"></table>	Email ID	<table border="1" style="display: inline-table; width: 100px; height: 20px;"></table>

2.Residence/Correspondence Address

Address Type:

Residential

Business

Unspecified

Address	<table border="1" style="display: inline-table; width: 100px; height: 20px;"></table>				
City/Town	<table border="1" style="display: inline-table; width: 100px; height: 20px;"></table>	District	<table border="1" style="display: inline-table; width: 100px; height: 20px;"></table>	Pin Code	<table border="1" style="display: inline-table; width: 100px; height: 20px;"></table>
State/U.T Code	<table border="1" style="display: inline-table; width: 100px; height: 20px;"></table>	Country/ISO Code	<table border="1" style="display: inline-table; width: 100px; height: 20px;"></table>		
Specify the Proof of Address Submitted for Residence / Correspondence Address <table border="1" style="display: inline-table; width: 100px; height: 20px;"></table>					

C.DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

I hereby consent to receiving information from Central KYC Registry through SMS/email on the above registered number/email address.

Date :

Client Signature

FOR OFFICE USE ONLY

In Person Verification (IPV) Details:

Name of the Person who has done the IPV: _____

Designation: _____ Employee ID: _____

Name of the Organization: Shareway Securities Limited

Date of the IPV:

 Signature of the Person who has done the IPV

Seal/Stamp of the Intermediary

Originals Verified and Self-Attested Document Copies Received

Date

Signature of the Authorized Signatory

3.Permanent Address

Address						
City/Town	District	Pin Code				
State/U.T Code	Country/ISO Code					

4.Address in the jurisdiction details where applicant is resident outside India for tax purpose (if applicable)

Address						
City/Town	District	Pin Code				
State/U.T Code	Country/ISO Code					

D.Details of related person(In case of additional related persons, please fill below details)

Addition of Related Person Deletion of Related Person

KYC Number of Related Person (if available)

Related Person Type Guardian of Minor Assignee Authorized Representative

Name

(If KYC number & name are provided, below details are optional)

Proof Of Identity Of Related Person

Identity Proof Submitted	Number																		
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Expiry Date :

Others (any document notified by the Central Govt.)	Identification No																		
Simplified Measures Account-Document Type Code	Identification No																		

Trading account related details

A.Bank account details

Account Type: Savings Current Others | In case of NRI Account: NRE NRO

Bank Name	
Branch Address	
Account Number	
MICR Number	IFSC Code

B.Other details

Gross Annual Income Details (please specify): Income Range per annum

Below Rs 1 Lakh 1-5 Lakh 5-10 Lakh 10-25 Lakh 25 Lacs to 1 Cr >1Cr

Or Net-worth as on _____ date _____ (Net worth should not be older than 1year)

Occupation

Private Sector Public Sector Government Service Business Professional Agriculturist Retired

Housewife Student Self Employed Others (please specify) _____

Mode in which you wish to receive the RDD, Rights & Obligations, and Guidance Note: Physical Electronic

Please tick, if applicable: Politically Exposed Person (PEP) Related to a Politically Exposed Person (PEP)

In what capacity do you trade commodities?

Farmer/Farmer Producer Organisation Value Chain Participant Others

C. Past actions

Details of any action/proceedings initiated/pending/ taken by SEBI/ Stock exchange/any other authority against the applicant/ constituent or its partners, promoters/whole time directors/authorized persons in charge of dealing in securities during the last 3 years _____

D. Depository account(s) details

Depository Name: CDSL

Depository Participant Name								
Beneficiary Name								
Depository ID								
	Beneficiary ID							

E. Dealings through other stock brokers

Whether dealing with any other stock broker/sub-broker (in case dealing with multiple stock brokers/sub-brokers, provide details of all)

Name of Stock Broker		Sub Broker, if any	
Client Code		Exchange(s)	
Website			
Details of disputes/dues pending from/to such stock broker			

F. Introducer details (optional)

Name of the Introducer	
Status of the Introducer - Sub-broker/Remisier/Authorized Person/Existing Client	
Address of the Introducer	
Phone Number	Signature (optional)

G. Trading preferences / experience

No Prior Experience

Years in Equities	Years in Derivatives	Years in other Investment Related Field
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Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client.

*If, in future, the client wants to trade on any new segment, a separate authorization/letter should be taken from the client by the broker.

	Cash	F&O
NSE	 F3 (a) Client Signature	 F3 (b) Client Signature

H. Additional details

(I) Whether you wish to receive (a) Physical contract note (b) Electronic Contract Note (ECN)

If ECN Specify your Email ID _____

(II) Whether you wish to avail the facility of internet trading/wireless technology/mobile trading Yes No

I. Nomination details (Please tick the appropriate options)

I / We do wish to nominate (Fill Annexure 1A)

I / We do not wish to nominate

Internet & wireless technology based trading facility provided by stock broker to the client

(All the clauses mentioned in the "Rights and Obligations" document(s) shall be applicable.

Additionally, the clauses mentioned herein shall also be applicable.)

1. Stock broker is eligible for providing Internet Based trading (IBT) and securities trading through the use of wireless technology that shall include the use of devices such as mobile phone, laptop with datacard, etc. which use Internet Protocol (IP). The stock broker shall comply with all requirements applicable to internet based trading/securities trading using wireless technology as may be specified by SEBI & the Exchanges from time to time.
2. The client is desirous of investing/trading in securities and for this purpose, the client is desirous of using either the internet based trading facility or the facility for securities trading through use of wireless technology. The Stock broker shall provide the Stock broker's IBT Service to the Client, and the Client shall avail of the Stock broker's IBT Service, on and subject to SEBI/Exchanges Provisions and the terms and conditions specified on the Stock broker's IBT website provided that they are in line with the norms prescribed by Exchanges/SEBI.
3. The stock broker shall bring to the notice of client the features, risks, responsibilities, obligations and liabilities associated with securities trading through wireless technology/internet/smart order routing or any other technology should be brought to the notice of the client by the stock broker.
4. The stock broker shall make the client aware that the Stock Broker's IBT system itself generates the initial password and its password policy is as stipulated in line with norms prescribed by Exchanges/SEBI.
5. The Client shall be responsible for keeping the Username and Password confidential and secure and shall be solely responsible for all orders entered and transactions done by any person whosoever through the Stock broker's IBT System using the Client's Username and/or Password whether or not such a person was authorized to do so. Also the client is aware that authentication technologies and strict security measures are required for the internet trading/securities trading through wireless technology through order routed system and undertakes to ensure that the password of the client and/or his authorized representative are not revealed to any third party including employees and dealers of the stock broker.
6. The Client shall immediately notify the Stock broker in writing if he forgets his password, discovers security flaw in Stock Broker's IBT System, discovers/suspects discrepancies/unauthorized access through his username/password/account with full details of such unauthorized use, the date, the manner and the transactions effected pursuant to such unauthorized use,etc.
7. The Client is fully aware of and understands the risks associated with availing of a service for routing orders over the internet/securities trading through wireless technology and Client shall be fully liable and responsible for any and all acts done in the Client's Username/password in any manner whatsoever.
8. The stock broker shall send the order/trade confirmation through email to the client at his request. The client is aware that the order/trade confirmation is also provided on the web portal. In case client is trading using wireless technology, the stock broker shall send the order/trade confirmation on the device of the client.
9. The client is aware that trading over the internet involves many uncertain factors and complex hardware, software, systems, communication lines, peripherals, etc. are susceptible to interruptions and dislocations. The Stock broker and the Exchange do not make any representation or warranty that the Stock broker's IBT Service will be available to the Client at all times without any interruption.
10. The Client shall not have any claim against the Exchange or the Stockbroker on account of any suspension, interruption, non-availability or malfunctioning of the Stock broker's IBT System or Service or the Exchange's service or systems or nonexecution of his orders due to any link / system failure at the Client/Stock brokers/Exchange end for any reason beyond the control of the stockbroker/Exchanges.

Declaration

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
2. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
3. I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any.

Client Name _____

Place _____

Date :

D	D	M	M	Y	Y	Y	Y
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F4	Client Signature
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SHAREWAY SECURITIES LIMITED ONLINE TARIFF SHEET

To,
 Shareway Securities Limited
 #2, 3rd floor Hayes Road Richmond Town, Bangalore - 560025

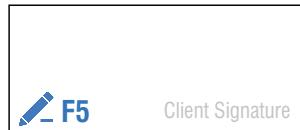
Charges for Shareway Securities Limited online trading services:

I agree to pay the charges as per following charges structure for my Trading account with Shareway: effective
 Client ID: _____ UCC No: _____

Charge Head	Brokerage / Charges
Equity Intraday	Rs 15 per executed order or 0.5% of Turnover whichever is lower
Equity Delivery	Rs 30 per executed order or 0.5% of Turnover whichever is lower
NRI – Equity Delivery	Rs 90 per executed order or 0.5% of Turnover whichever is lower
NRI - NRO non-PIS account	Rs 150 per executed order or 0.5% of Turnover whichever is lower

In addition to the brokerage, following statutory charges will also be levied:

1. Securities / Commodity Transaction Tax (STT / CTT)
2. SEBI Turnover Fees
3. Transaction Charges (includes Exchange Transaction Charges & Clearing Member Charges)
4. Stamp Duty
5. Goods & Service Tax (GST)
6. Call & Trade through dealing desk will be charged @ Rs. 60 per order
7. Intra-day Square-off & Margin Shortfall Square-off orders by Shareway will be charged @ Rs. 30 per order
8. Clients who opt to receive physical contract notes will be charged Rs. 30 per contract note plus courier charges



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UCC Code allotted to client _____ Client ID _____

	Documents verified with originals	Client interviewed by	In-Person Verification done by
Name of the Employee			
Employee Code			
Designation of employee			
Date			
Signature			

I / We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients

Seal/Stamp of the stockbroker

Signature of the Authorised Signatory

Date:

Shareway Securities Limited

#2, 3rd floor, Hayes Road Richmond Town, Bangalore - 560025

Sub: Voluntary Undertaking/Authorisation

With respect to member-constituent relationship and mandatory and voluntary (optional) documents executed between us, I/we do hereby authorize Shareway Securities Limited to do the following:

1.ORDER PLACEMENT INSTRUCTIONS

I understand that you require written instructions from me for placing/modifying/cancelling orders. However, since it is not practical for me to give written instructions for placing/modifying/cancelling order. Even If I have facility to trade online through Internet and wireless technology, I may have to place orders by physically visiting/calling/emailing the call centre/branch specified for the said purpose by Shareway Securities Limited in case of breakdown of internet connectivity or other similar reasons. I hereby request you to kindly accept my verbal orders/instructions, in person or over phone and execute the same. I understand the risk associated with placement of verbal orders and accept the same. I shall not disown orders under the plea that the same were not placed by me provided I am sent ECN/Physical contract notes or trade confirmations through SMS and other approved modes. I/we also agree that non-receipt of bounced mail notification by you shall amount to delivery of contract note at my/our email ID. I indemnify Shareway Securities Limited and its employees against all trade related losses, damages, actions which you may suffer or face, as a consequence carrying out my instructions for orders placed verbally

2.ERRORS AND OMISSIONS

I understand and agree that inadvertent errors may occur, while executing orders placed by me. In such circumstances Shareway Securities Limited shall make all reasonable efforts to rectify the same and ensure that I am not put to any monetary loss. I understand and agree that I shall not hold Shareway Securities Limited responsible beyond this and claim additional damages/loss. I understand and agree that my request to modify or cancel the order shall not be deemed to have been executed unless and until the same is confirmed by Shareway Securities Limited

3.NO MARKET MANIPULATION

I undertake not to execute transactions, either singly or in concert with other clients, which may be viewed as manipulative trades viz. artificially raising, depressing or maintaining the price, creation of artificial volume, synchronized trades, cross trades, self trades, etc or which could be termed as manipulative or fraudulent trades by SEBI/Exchanges. In case I am found to be indulging in such activities, Shareway Securities Limited has every right to inform the Exchange/SEBI/other regulatory authority of the same and suspend/close my trading account.

4.NOT TO ACT AS UNREGISTERED SUB BROKER

I undertake not to act as unregistered Sub-broker and deal only for myself and not on behalf of other clients. In case I wish to deal for other clients also, I undertake to apply to SEBI through Shareway Securities Limited to obtain a sub broker registration. In case Shareway Securities Limited receives that I am acting as an unregistered sub broker, Shareway Securities Limited has the right to immediately suspend my trading account and close all open positions and adjust the credits (across all segments) against the dues owed by me to Shareway Securities Limited without the requirement of any notice from Shareway Securities Limited. Further, Shareway Securities Limited has the right to inform the concerned regulatory authorities about the same. In aforesaid eventuality, I agree and undertake to indemnify Shareway Securities Limited from any loss/ damage/claim arising out of such activity.

5.NOT DEBARRED BY ANY REGULATOR

I confirm and declare that there is no bar on me imposed by any Exchange or any regulatory and/or statutory authority to deal in securities directly or indirectly. I agree to inform Shareway Securities Limited, in writing, of any regulatory action taken by any Exchange or regulatory/statutory authority on me in future. In case I fail to inform the same and Shareway Securities Limited on its own comes to know of such action, Shareway Securities Limited has the right to suspend/close my trading account and refuse to deal with me. Also, Shareway Securities Limited can at its sole discretion, close all the open positions and liquidate collaterals to the extent of trade related debit balances, without any notice to me.

6. PMLA DECLARATION

I declare that I have read and understood the contents and the provisions of the PMLA Act, 2002, which were also explained to me by Shareway Securities Limited officials. I further declare that I shall adhere to all the provisions of PMLA Act, 2002.

I further undertake and confirm that;

a. I do not have any links with any known unlawful persons/institutions

b. I am a genuine person and not involved or indulge knowingly or assisted, directly or indirectly, in any process or activity connected with the proceeds of crime nor I am a party to it. The investment money is derived from proper means and does not involve any black or Hawala money in any manner.

7. INDEMNIFICATION I hereby indemnify and hold Shareway Securities Limited, its Directors and employees harmless from and against all trade related claims, demands, actions, proceedings, losses, damages, liabilities, charges and/or expenses that are occasioned or may be occasioned to the Shareway Securities Limited directly or indirectly, relating to bad delivery of shares/ securities and/ or third party delivery, whether authorized or unauthorized and fake/forged/stolen shares/ securities/transfer documents introduced or that may be introduced by or through me during the course of my dealings/ operations on the Exchange(s) and/ or proof of address, identity and other supporting/ documents provided by me at the time of registration and/ or subsequently.

INDEMNITY OF JOINT HOLDINGS

I hereby agree to indemnify and hold Shareway Securities Limited, harmless from any trade related claims, demands, actions, proceedings, losses, damages, liabilities, charges and/or expenses arising from transactions in securities held jointly by me with any other person or persons, if any.

8. DELAYED PAYMENT CHARGES

I understand that in case my account is in debit balance and/or if I have insufficient funds to manage my trading positions, I will be charged an interest of 0.05% per day as delayed payment charges. I confirm having read the rules & regulations pertaining to the levy of such interest under the policies & procedures page on Shareway Securities Limited's website.

9. NRI DECLARATION

I understand that if the sole/first applicant has or attains NRI Status, investments in scheme of mutual funds can be made only upon providing Foreign Inward Remittance Certificate (FIRC) to Shareway Securities Limited every time the investment is made.

10. THIRD-PARTY PAYMENTS

Shareway Securities Limited shall have the prerogative to refuse payments received from any bank account where the client is not the first holder or which is not mentioned in the KYC or which the client has not got updated subsequently by submitting a written request along with adequate proof thereof as per proforma prescribed by Shareway Securities Limited. Shareway Securities Limited shall not be responsible for any loss or damage arising out of such refusal of acceptance of payments in the situations mentioned above.

However, due to oversight, if any such third-party payment has been accepted by Shareway Securities Limited and the credit for the same has been given in the client's ledger, Shareway Securities Limited shall have the right to immediately reverse such credit entries on noticing or becoming aware of the same. In such a case, Shareway Securities Limited reserves the right to liquidate any of the open positions and/or any of the collaterals received/held on behalf of the client. Shareway Securities Limited, its Directors and employees shall not be responsible for any consequential damages or losses.

11. NO DEALINGS IN CASH

Shareway Securities Limited as a policy neither accepts any funds for pay-in/margin in cash nor makes any payment or allows withdrawal of funds in cash. No claim will be entertained where the client states to have made any cash payment or deposited cash with any Branch/Sub-Broker/ Remisier/Employee/Authorised Person of Shareway Securities Limited.

12. DISCLOSURE OF PROPRIETARY TRADING BY SHAREWAY SECURITIES LIMITED

Pursuant to SEBI Circular Number SEBI/MRD/SEC/Cir-42/2003 dated November 19, 2003, Shareway Securities Limited discloses to its clients about its policies on proprietary trades. Shareway Securities Limited does proprietary trades in the cash and derivatives segment at NSE & BSE.

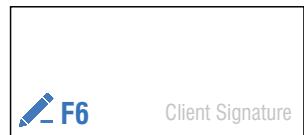
13. DELIVERIES

The client shall ensure that the shares are properly transferred to the designated demat account of Shareway Securities Limited, for effecting delivery to the Exchange against the sale position of the client. Such transfers shall be entered by the client within the time specified by SEBI/Exchanges/Shareway Securities Limited. In case the client fails to transfer the shares on time to Shareway Securities Limited, Shareway Securities Limited shall not be responsible for any loss/damages arising out of such delayed transfers.

14. SQUARING OFF OF POSITIONS & SALE /LIQUIDATION OF COLLATERAL MARGINS (to the extent of Settlement Margin obligation)

The client shall settle the transactions, within the Exchange specified settlement time, by making the requisite payment of funds and/or delivery of the shares. In case the client fails to settle the transactions within the settlement date, then Shareway Securities Limited has the right to square off the open and/or unpaid positions, at an appropriate time, as it deems fit, without any notice to the client. The client shall not have any right or say to decide on the timing of closure of the open positions that needs to be closed. Shareway Securities Limited, its Directors and Employees shall not be responsible for any trade related loss or damages arising out of such square offs. All such square off transactions shall have implied consent and authorization of the client in favour of Shareway Securities Limited.

After such square off of open positions by Shareway Securities Limited, as mentioned in above clauses, if there is a debit balance, the client shall pay the same immediately. However, if the client does not clear off the debit balance, Shareway Securities Limited shall have the right to liquidate the shares and other securities of the client (kept as collateral/margin) to the extent of the debit balance, without any intimation to the client. The client shall not have the right to decide on the timing of liquidation of shares and securities held in collateral/margin and the shares and securities that needs to be sold or liquidated. Shareway Securities Limited, its Directors and employees shall not be responsible for any trade related loss or damages arising out of such selling.



Running account authorization

I/We are dealing through you as a client in Capital Market and/or Future & Option segment and/or Interest Rate future Segment & in order to facilitate ease of operations and upfront requirement of margin for trade.

I/We authorize you as under:

1. I/We request you to maintain running balance in my account & retain the credit balance in any of my/our account and to use the unused funds towards my/our margin/pay-in/other future obligation(s) of any segment(s) of any or all the Exchange(s)/Clearing corporation unless I/We instruct you otherwise.
2. I/We request you to retain securities with you for my/our margin/pay-in/other future obligation(s) of any segment(s) of any or all the Exchange(s)/Clearing Corporation, unless I/We instruct you to transfer the same to my/our account.
3. I/We request you to settle my fund and securities account (choose one option)
 - Once in a calendar Month
 - Once in every calendar Quarter except the funds given towards collaterals margin in form of Bank Guarantee and/or Fixed Deposit Receipt
4. In case I/We have an outstanding obligation on the settlement date, you may retain the requisite securities/funds towards such obligations and may also retain the funds expected to be required to meet margin obligation for next 5 trading days, calculated in the manner specified by the exchanges.
5. I/We confirm you that I will bring to your notice any dispute arising from the statement of account or settlement so made in writing preferably within 7 working days from the date of receipt of funds/securities or statement of account or statement related to it, as the case may be at your registered office.
6. I/We confirm you that I can revoke the above mentioned authority at any time.
7. All accounts with credit balances of Rs. 10000/- or lesser shall be automatically retained and transfer of funds to the bank account shall not be made as per SEBI circular MIRSD/SE/Cir-19/2009 & Exchange Circular Nos. NSE/INSP/24849 & 20091204-7.
8. This running account authorization would continue until it is revoked by me.

Yours faithfully,

Date :

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---


Client Signature
Mobile declaration
Voluntary

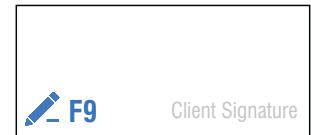
I _____ having PAN _____ do hereby declare that my mobile no. is _____ Further, I authorize Shareway Securities Limited that the same may be used for giving me any information/ alert/SMS.

I further declare the above mentioned statement is true and correct.


Client Signature

Client defaulter declaration

I _____ having PAN _____ do hereby declare that I have not been involved in any unlawful activities and I have not been declared a defaulter or my name is not appearing in defaulter database as per SEBI/ Various Exchange/ Regulatory bodies, etc. I further declare that the above mentioned declaration/ statement is true and correct.



 **F9**
Client Signature

To

Shareway Securities Limited
#2, 3rd floor Hayes Road Richmond Town, Bangalore - 560025

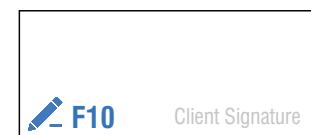
Sub: Acknowledgement

This is to acknowledge the receipt of following documents. I further state and confirm that I have read and understood all the clauses of aforesaid documents.

Sl. No	Brief significance of the Document
1	Duly Executed Copy of KYC
2	Rights and Obligations
3	Risk Disclosure document (RDD) for Capital, Derivatives, and Currencies Segments
4	Guidance Note - Do's and Dont's for trading on the Exchange(s) for investors
5	Shareway Securities Limited Tariff Sheet
6	Policies and Procedures Document pursuant to the SEBI circular dated December 03, 2009
7	General Terms & Conditions governing securities trading and broking services of Shareway Securities Limited
8	Running account authorisation, Mobile declaration & Client defaulter declaration

I also confirm that I have received the relevant clarifications, if any, wherever required from the officials of Shareway Securities Limited
Yours faithfully,

Client Name : _____



 **F10**
Client Signature

Date :

Shareway Securities Limited

#2, 3rd floor Hayes Road Richmond Town, Bangalore - 560025

SEBI Reg, IN-DP-610-2021

Additional KYC Form for Opening a Demat Account

For Individuals

(To be filled by the Depository Participant)

Application No	Date	D	D	M	M	Y	Y	Y	Y	DP Internal Reference No		
DP ID	12093700										Client ID	

(To be filled by the applicant in BLOCK LETTERS in English)

I/We request you to open a demat account in my/ our name as per following details:-

Holder Details

Sole / First Holder's Name			
PAN		UID	
Second Holder's Name			
PAN		UID	
Third Holder's Name			
PAN		UID	

*In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.

Type of Account (Please tick whichever is applicable)

Status	Sub – Status		
<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Individual Promoter <input type="checkbox"/> Individual Margin Trading A/c (MANTRA)	<input type="checkbox"/> Individual-Director <input type="checkbox"/> Individual HUF / AOP <input type="checkbox"/> Minor <input type="checkbox"/> Others(specify)	
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Repatriable Promoter <input type="checkbox"/> NRI – Depository Receipts	<input type="checkbox"/> NRI Non-Repatriable <input type="checkbox"/> NRI Non-Repatriable Promoter <input type="checkbox"/> Others (specify)	
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National <input type="checkbox"/> Foreign National - Depository Receipts	<input type="checkbox"/> Others (specify)	

Details of Guardian (in case the account holder is minor)

Guardian's Name	PAN
Relationship with the applicant	

I / We instruct the DP to receive each and every credit in my / our account [Automatic Credit] Yes No
(If not marked, the default option would be 'Yes')

I / We would like to instruct the DP to accept all the pledge instructions in my /our account without Yes No
any other further instruction from my/our end (If not marked, the default option would be 'No')

Account Statement Requirement As per SEBI Regulation Daily Weekly Monthly Fortnightly

I / We request you to send electronic transaction-cum-holding statement at the following Yes No

Email ID	
----------	--

I / We would like to share the email ID with the RTA Yes No

I / We would like to receive the Annual Report Physical Electronic Both Physical and Electronic
(Tick the applicable box. If not marked the default option would be in Physical)

I/We would wish to avail the following facility:

Basic Service Demat Account facility (BSDA)

Yes No

Nomination details (Please tick the appropriate options)

I / We do wish to nominate (Fill Annexure 1A)

I / We do not wish to nominate

I/We wish to receive dividend/interest directly in to my bank account as given below through ECS. Yes No
 (If not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time]

Bank Details [Dividend Bank Details]

Account type Saving Current Others (specify)

Bank Code (9 digit MICR code)					IFS Code (11 character)						
Account number											
Bank Name											
Branch Name											
Bank Branch Address											
City				State							
Country					PIN code						

(I)Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)

(ii)Photocopy of the bank statement having name and address of the BO

(iii)Photocopy of the passbook having name and address of the BO, (or) (iv) Letter from the Bank.

*In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present/mentioned on the document.

Other Details

Gross Annual Income Details (please specify): Income Range per annum

Below Rs 1 Lakh 1-5 Lakh 5-10 Lakh 10-25 Lakh >25 Lacs

Or Net-worth as on _____ date _____ (Net worth should not be older than 1year)

Occupation : _____

Please tick, if applicable: Politically Exposed Person (PEP) Related to Politically Exposed Person (RPEP)

Any other information: _____

SMS Alert Facility Refer to Terms & Conditions given in Annexure - 2.4	MOBILE NO. +91 _____ [Mandatory, if you are giving Power of Attorney (POA)] (if POA is not granted & you do not wish to avail of this facility, cancel this option).
---	--

Transactions Using Secured Texting Facility (TRUST). Refer to Terms and Conditions Annexure – 2.6	I wish to avail the TRUST facility using the Mobile number registered for SMS Alert Facility. I have read and understood the Terms and Conditions prescribed by CDSL for the same. I/We wish to register the following clearing member IDs under my/our below mentioned BO ID registered for TRUST <input type="checkbox"/> Yes <input type="checkbox"/> No <table border="1"> <tr> <td>Stock Exchange Name/ID</td> <td>Clearing Member Name</td> <td>Clearing Member ID (Optional)</td> </tr> <tr> <td> </td> <td> </td> <td> </td> </tr> <tr> <td> </td> <td> </td> <td> </td> </tr> </table>	Stock Exchange Name/ID	Clearing Member Name	Clearing Member ID (Optional)						
Stock Exchange Name/ID	Clearing Member Name	Clearing Member ID (Optional)								
	To register for easi, please visit our website www.cDSLindia.com . Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online.									

SHAREWAY SECURITIES LIMITED
 OLD NO 46 NEW NO 6, GILLI FLOWER, FLAT E 2ND FLOOR 23RD
 STREET ANNA NAGAR EAST CHENNAI 600102
 DP ID: 12093700

Schedule-A Tariff Structure For Individual / HUF / Corporates	
Stamp Charges payable upfront	Rs. 60/- for POA
Annual Maintenance Charges (Charged Monthly)	
a. General scheme - Individual / Non-Individual (except Corporate)	Rs. 300/- Per Annum + GST
b. General Scheme - Non-Individual Corporate	Rs. 1200/- Per Annum + Depository Charges + GST
c. Additional AMC on Investment Box Scheme - Individual / Non-Individual and Corporate	Nil Charges up to 3 lacs of INR holding value in Investment Boxes. Rs 99/- per month + GST (from 3 lac INR holding value up to 10 lac INR holding value in Investment Boxes). Rs 199/- per month + GST (from 10 lac INR holding value up to 50 lac INR holding value in Investment Boxes). Rs 299/- per month + GST (for INR holding value above 50 lacs in Investment Boxes).
Transaction Charges: (Market Trades)	
Buy (Receive) / Sell (Debit)	Nil / Rs 15/- per sale transaction, Plus Depository Charges & Taxes
Transaction Charges: (Off-Market Trades)	
Buy (Receive) / Sell (Debit)	Nil / 0.3% on the value of stocks, Plus Depository Charges & Taxes
(Charged quarterly)	
Demat (Per Certificate)	Rs. 150/- Per certificate
Remat (Per Certificate)	Rs. 150/- Per certificate + (CDSL Charges)
Courier charges per Demat/Remat/Demat Rejn./ CMR	Rs. 150/-
Pledge Request	Rs. 60/- + Rs. 12 Per request (CDSL Charges)
Unpledge Request	Rs. 60/- + Rs. 12 Per request (CDSL Charges)
Pledge invocation	Rs. 60/- + Rs. 12 Per request (CDSL Charges)
Margin Pledge	Rs. 15/- + Rs. 5 Per request (CDSL Charges)
Margin Unpledge	Rs. 15/- + Rs. 5 Per request (CDSL Charges)
Margin Repledge	Rs. 3/- + Rs. 12 Per request (CDSL Charges)
Periodic Statement	
By Email / Physical	Free / Rs. 60/- + (Courier Charges)
Adhoc / Non-Periodic Statement Requests	
By Email	Rs. 15/- Per request
Physical	Rs. 60/- per request up to 10 pages. Every additional page at Rs. 7.5 + (Courier charges)
Delivery instruction	
First Delivery Instruction Book	
Every Addl Booklet (10 Leaves)	Rs. 150/-
Cheque Bounce Charges	Rs. 510
Failed Transactions	Rs. 60/- per ISIN
Modification in CML	Rs. 30/- per request
KRA Upload / Download	Rs. 60/-

NOTE:

For all purposes the bill date shall be construed as the date demat and the bills will be considered as the bill cum notice for payment and SHAREWAY SECURITIES LIMITED. Reserves the right to freeze depository account for debit transaction in case of non-payment of charges after two days from the bill date.

Interest at 15% pa will be charged on the outstanding bill amount if not paid within the due date. The above tariff is subject to change. Changes if any will be intimated 30 days in advance Annual Maintenance Charges (AMC) is nonrefundable. GST is applicable on all above charges except stamp charges.

 F11 First/Sole Holder or Guardian (in case of Minor)
--

 S14 Second Holder

 T14 Third Holder
--

Date :

To,

Shareway Securities Limited

#2, 3rd floor Hayes Road Richmond Town, Bangalore - 560025

Dear Sir,

Sub : Requesting for bill/transaction/holding statement through email.

I/We request you to send me/us bill, transaction and holding statement of my CDSL Demat account no. 12093700 _____ at following email address: _____

I/We fully agree and are aware of following Terms and Conditions mentioned below.

- (a) that I/We will not receive the bill, transaction and holding statements in paper form.
- (b) that I/We will take all the necessary steps to ensure confidentiality and secrecy of the login name and password of the internet/email account.
- (c) that I/We am/are aware that the bill, transaction and holding statements may be accessed by other entities in case the confidentiality /secrecy of the login name and password is compromised.
- (d) that I/We in case bill, transaction and holding statements are sent by email, I/We will immediately inform the Shareway Securities Limited Broking . about change in email address, if any

In case, the Shareway Securities Limited is not able to provide bill/transaction statement to its Clients by email or on website due to any reason (including bounced emails), Shareway Securities Limited . will ensure that the transaction statement is provided to me/us in paper form as per the time schedule stipulated in the Bye Laws & Business Rules of CDSL.

I/We hereby request you to send the statements:

Daily Fortnightly Weekly Monthly

Yours faithfully,

 F12	First/Sole Holder or Guardian (in case of Minor)
---	--

 S2(a)	Second Holder
--	---------------

 T2(a)	Third Holder
--	--------------

We, the Joint Holders confirm that we would like to designate the First Demat Account Holder (who is a Resident Indian Individual) of our account to receive the CDSL TPIN (or any such other similar PIN as required by any or all Depositories to transact as per the e-DIS facility offered by such Depository) on his/her mobile/email address for execution/permission of all such transactions & ancillary facilities to be availed via such mechanism from any or all Depositories.

Declaration

I/We have received and read the Rights and Obligations documents and terms & conditions and agree to abide by and be bound by the same and the Bye Laws as are in force from time to time. I/We declare that the particulars given by me/us above are true to the best of my/our knowledge as on the date of making this application.

I/We agree and undertake to intimate the DP of any change(s) in the details/particulars mentioned by me/us in this form.

I/We further agree that any false/misleading information given by me/us or suppression of any material information will render my account liable for termination and suitable action.

 F13	First/Sole Holder or Guardian (in case of Minor)
---	--

 S2(b)	Second Holder
--	---------------

 T2(b)	Third Holder
--	--------------

Sign wherever you see 

Annexure E
Option form for issue of DIS booklet
Voluntary

 Date :

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

 DP ID

--	--	--	--	--	--	--	--

 Client ID

--	--	--	--	--	--	--	--

First Holder Name

Second Holder Name

Third Holder Name

To,

Shareway Securities Limited

 #2, 3rd floor Hayes Road Richmond Town, Bangalore - 560025

Dear Sir,

I/We hereby state that: [select one of the option given below]

Option 1:

I/We require you to issue Delivery Instruction Slip (DIS) booklet to me / us immediately on opening my / our CDSL account though I/ We have issued a Power of Attorney (POA) in favour of Shareway Securities Limited . for executing delivery instructions for settling stock exchange trades (Settlement related transactions) effected through such Clearing Member.

OR
Option 2:

I/We do not require the Delivery Instruction Slip(DIS)for the time being ,However, the Delivery Instruction Slip (DIS) booklet should be issued to me / us immediately on my / our request at any later date.

 I/We hereby select Option 1 Option 2

F14 <small>First/Sole Holder or Guardian (in case of Minor)</small>

S5 <small>Second Holder</small>

T5 <small>Third Holder</small>
--

Client E-mail ID
Optional

--

Client Mobile Number

--

Declaration

I hereby declare that the mobile no./email ID mentioned in the Account opening form/ request for change in mobile no./email ID is my own

Request letter for registration of mobile no./email ID of person belonging to the client's family

Please note that the mobile no./email id/both mentioned in the Account opening form/ request for change in mobile no./email ID belongs to Mr/Mrs./Ms. _____ who is my _____ [relationship with the client]

F15 <small>First/Sole Holder or Guardian (in case of Minor)</small>

[Only the mobile no./email id of your spouse, dependent children and dependent parents can be registered in your demat account]

Nomination Details - Annexure 1A

Shareway Securities Limited

Nomination Registration No.	Dated

I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our death.

Name	UCC	Demat ID

Nomination Details

Up to 3 nominations can be made	Details of 1st Nominee	Details of 2nd Nominee	Details of 3rd Nominee
Name of the nominee(s) (Mr./Ms.)			
Share of each nominee <input type="checkbox"/> Distribute equally [If not equally shared, please specify %age]			
Relationship With the Applicant (if any)			
Address of nominee(s)			
Mobile/Telephone no. of nominee(s)			
Email ID of nominee(s)			
Proof of identity submitted			
Fill below details only if the nominee is a minor			
Date of birth			
Name of the guardian			
Address of guardian(s)			
Mobile/Telephone no. of guardian(s)			
Email ID of guardian(s)			
Relationship of guardian with nominee			
Proof of identity submitted			

Details of the Witness

Witnesses (Only applicable in case the account holder has made nomination)	
Witness Name	
Address	Address
Signature	Signature

 F16 First/Sole Holder or Guardian (in case of Minor)

 S6 Second Holder

 T6 Third Holder

Acknowledgement

We have received the nomination request for the account with client ID	
Account holder's name	
Received by	
Signature of authorised signatory	

From,

To,

Shareway Securities Limited

#2, 3rd floor Hayes Road Richmond Town, Bangalore - 560025

Subject: Declaration for Confirmation of Signature

Sir/Madam,

This letter is to confirm that all signatures placed on the account opening forms, and all such other documents towards Shareway Securities Limited or any of its group entities, are done solely by me with complete knowledge of all such terms and clauses contained therein.

I/We, the undersigned, would like to request Shareway Securities Limited or any of its group entities to accept the signed account opening forms and documents, even in case there may be slight variations on a few pages/areas of the document.

I/We, the undersigned, further would like to represent and warrant that in case of any misrepresentation with respect to the signatures placed on the account opening forms or all such documents towards Shareway Securities Limited or any of its group entities by me/us, I/We will indemnify Shareway Securities Limited and its directors/employees/agents for all such actions.

Yours faithfully,

Signature:

XX

Name:

Annexure A1**CENTRAL KYC REGISTRY | Know Your Customer (KYC) Application Form | Related Person****Important Instructions:**

- A) Fields marked with ** are mandatory fields.
- B) Tick ✓ wherever applicable.
- C) Please fill the form in English and in BLOCK letters.
- D) Please fill the date in DD-MM-YYYY format.
- E) For particular section update, please tick (✓) in the box section number and strike off the sections not required to be updated
- F) Please read section wise detailed guidelines / instructions at the end.
- G) List of State / U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
- H) List of two character ISO 3166 country codes is available at the end.
- I) KYC number of applicant is mandatory for update application.



For office use only	Application Type*	<input type="checkbox"/> New <input type="checkbox"/> Update <input type="checkbox"/> Delete
(To be filled by financial institution) KYC Number		<input type="text"/>
(Mandatory for KYC update request)		

□ 1. DETAILS OF RELATED PERSON (Please refer instruction D & E at the end)

Addition of Related Person Deletion of Related Person Updation KYC Number of Related Person (if available*)

Related Person Type*	<input type="checkbox"/> Guardian of Minor	<input type="checkbox"/> Assignee	<input type="checkbox"/> Authorized Representative	
Name*	Prefix	First Name	Middle Name	
	<input type="text"/>	<input type="text"/>	<input type="text"/>	Last Name <input type="text"/>
(If KYC number and name are provided, below details are optional)				
Maiden Name	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Father / Spouse Name	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Mother Name	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Date of Birth*	DD - MM - YYYY			
Gender*	<input type="checkbox"/> M- Male	<input type="checkbox"/> F- Female	<input type="checkbox"/> T-Transgender	<input type="checkbox"/> Form 60 furnished
PAN*	<input type="text"/>			

□ 2. PROOF OF IDENTITY AND ADDRESS*

I Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)

<input type="checkbox"/> A- Passport Number	<input type="text"/>
<input type="checkbox"/> B-Voter ID Card	<input type="text"/>
<input type="checkbox"/> C- Driving Licence	<input type="text"/>
<input type="checkbox"/> D-NREGA Job Card	<input type="text"/>
<input type="checkbox"/> E- National Population Register Letter	<input type="text"/>
<input type="checkbox"/> F - Proof of Possession of Aadhaar	<input type="text"/>
II <input type="checkbox"/> E-KYC Authentication	<input type="text"/>
III <input type="checkbox"/> Offline verification of Aadhaar	<input type="text"/>

PHOTO*



Address	<input type="text"/>
Line 1*	<input type="text"/>
Line 2	<input type="text"/>
Line 3	<input type="text"/>
District*	<input type="text"/>
Pin / Post Code*	<input type="text"/>
City / Town / Village*	<input type="text"/>
State / U.T Code*	<input type="text"/>
ISO 3166 Country Code*	<input type="text"/>

□ 3. CURRENT ADDRESS DETAILS (Please refer instruction B at the end)

Same as above mentioned address (In such cases address details as below need not be provided)

I Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)

<input type="checkbox"/> A- Passport Number	<input type="text"/>
<input type="checkbox"/> B-Voter ID Card	<input type="text"/>
<input type="checkbox"/> C- Driving Licence	<input type="text"/>
<input type="checkbox"/> D-NREGA Job Card	<input type="text"/>
<input type="checkbox"/> E- National Population Register Letter	<input type="text"/>
<input type="checkbox"/> F - Proof of Possession of Aadhaar	<input type="text"/>
II <input type="checkbox"/> E-KYC Authentication	<input type="text"/>
III <input type="checkbox"/> Offline verification of Aadhaar	<input type="text"/>
IV <input type="checkbox"/> Deemed Proof of Address - Document Type code	<input type="text"/>
V <input type="checkbox"/> Self Declaration	<input type="text"/>

Address

Line 1*

Line 1*	Line 2	Line 3	City / Town / Village*	ISO 3166 Country Code*
District*	Pin / Post Code*	State / U.T Code*	Mobile	ISO 3166 Country Code*

□ 4. CONTACT DETAILS

Tel. (Off)

--	--	--	--	--	--	--	--	--	--	--	--

Tel. (Res)

--	--	--	--	--	--	--	--	--	--	--	--

Mobile

--	--	--	--	--	--	--	--	--	--	--	--

Email ID

--	--	--	--	--	--	--	--	--	--	--	--

□ 5. REMARKS (If any)

6. APPLICANT DECLARATION

- I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. Incase any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.
- I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.

Date : DD-MM-YYYY

Place: _____

XX

Signature /Thumb Impression of Applicant

7. ATTESTATION / FOR OFFICE USE ONLY

Documents Received Certified Copies E-KYC data received from UIDAI Data received from Offline verification Digital KYC Process
 Equivalent e-document Video Based KYC

KYC VERIFICATION CARRIED OUT BY

Date DD-MM-YYYY

Emp. Name

Emp. Code

Emp. Designation

Emp. Branch

INSTITUTION DETAILS

Name _____

Code _____

[Employee Signature]

[Institution Stamp]

FEMA Declaration

To,

Shareway Securities Limited

#2, Hayes Road
Richmond Town
Bangalore -560025
Phone: 080-45145100

Dated

Dear Sir,

Re: Application Number: _____

Sub: Application for opening of an NRI/FN/FCB account

This has reference to my/our application for the opening of a trading & demat account with you.

In this connection, I/we hereby declare that I/we have complied and will continue to comply with FEMA Regulations with respect to buying and selling of securities in the Indian Capital Market.

Thanking you,

Yours truly,

Signature of the First/Sole Holder/Authorised signatory

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Power Of Attorney (Voluntary)

To all to whom these presents shall come I/we the below mentioned send greetings

Whereas I/we have established a business relation with Shareway Securities Limited., an entity registered with Securities and Exchange Board of India (SEBI) under Registration No IN-DP-610-2021, by virtue of opening a beneficial owner account with Central Depository Services (India) Limited (CDSL)

And Whereas I/we am/are desirous to buy and sell securities through Shareway Securities Limited (hereinafter referred to as "FABITS"), a body corporate registered under the Companies Act of 2013 under CIN U67120TN2008PLC066952, having its registered office at Flat E, 2nd Floor, "Gilli Flower", No 6/46, 23rd Street, L Block, Annanagar East, Chennai 600 102 and Corporate office at #2, 3rd Floor, Hayes Road Richmond Town, Bangalore 560025 and is a Member (Trading Member) of the National Stock Exchange of India Limited (NSE) respectively (hereinafter referred to as "the Exchange/s"), who is a stock broker registered with SEBI under registration no. INZ000208134 and a member of recognized stock exchange/s.

And Whereas In the course of availing the services and for meeting the settlement obligation thereof on the Exchanges, I/We do hereby nominate, constitute and appoint Shareway Securities Limited (Member Broker), hereinafter referred to as "FABITS" acting through their Director/s and/or duly authorised staff for the purpose, as my/our true and lawful attorneys for my depository account with Shareway Securities Limited Broking Ltd. (Depository Participant) DP ID 12093700 and Client ID _____ as mentioned below, to execute and perform severally all such acts and deeds provided the attorney complies with all applicable conditions of all or any of their services offered by them in their capacity as stock brokers.

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First / Sole Holder Signature

Second Holder Signature

Third Holder Signature

KNOW ALL MEN BY THESE PRESENTS THAT I/WE Mr./Ms./M/s.(First holder) _____
 _____ (Second holder) _____

(Third holder) _____ an individual/a sole proprietary concern/a
 partnership firm / a body corporate / trust, registered / incorporated, under the provisions of the Indian
 Partnership Act, 1932 / the Companies Act 1956, or any relevant Act, having his/her/its residence/registered
 office/place of business at _____

X _____ (hereinafter referred to as
 "Beneficial Owner") wish to avail/have availed the broking/e-broking facilities and services offered (hereinafter
 referred to as "Services") by Shareway Securities Limited who is a Member (Trading Member) of the National
 Stock Exchange of India Limited (NSE) respectively (hereinafter referred to as "the Exchange").

Whereas in the course of availing the services and for meeting the settlement obligation thereof on the
 Exchanges, Shareway Securities Limited would be acting through their director/s and/or duly authorised staff
 for the purpose, as my/our true and lawful attorneys for my depository account with Shareway Securities
 Limited Broking Ltd. (Depository Participant) DP ID 12093700, Client ID _____ to execute and
 perform severally the following acts, deeds, matters and things, provided the attorney complies with all
 applicable conditions of all or any of their services offered by them in their capacity as stock brokers.

1. To have and exercise the powers and/or authority, to do and/or execute the acts, deeds, matters and things specified in Mandatory and Voluntary Client Registration Documents between FABITS and the Beneficial Owner as may be applicable in relation to the transactions executed by the client.
2. To Operate depository account/(s) for the purpose of transferring any collateral to the margin account and honouring delivery obligations for any transaction executed with Shareway Securities Limited Broking Ltd. which is registered as a Depository Participant, as a stock broker registered with Securities and Exchange Board of India (SEBI).
3. To Sign instructions on my behalf with respect to debit/credit the depository account/(s) for the credit or benefit of my/our account with FABITS for the transactions carried by me/us with FABITS.
4. To issue instructions relating, executing delivery/receipt instructions, pledge creation instructions, pledge closure instructions, lending and borrowing instructions, to operate the depository account by issue and receipt of instructions for the above mentioned purpose and such other authorization given by me/us severally on behalf of all of us, or all/any of us jointly, in any electronic form, in any format and at any time either through the portal of FABITS or through the internet will be validly constituted attorney to intimate the same to the Depository Participant for the purpose of debiting or crediting my account opened with the Depository Participant.
5. To validate on my/our behalf any such instruction so given to the Depository Participant(s), in written/physical or other form as may be required by the concerned Depository Participant.
6. For these purposes and to this extent, Shareway Securities Limited is empowered by me/us, to affix their signatures to any document, form or any other record, being a delivery participant, as required by the concerned depository.
7. I/We also undertake to pay such demat charges/fees and such other charges incurred by FABITS under this Power of Attorney and that I/We further authorise FABITS to debit my/our account with FABITS with the said charges as and when the same becomes due for payment and I/we hereby undertake to pay the same immediately on demand made by FABITS. All charges have been mentioned in the Tariff sheet in the application form.
8. To register this power of attorney with the Depository Participant and/or with any other party concerned as is required within the parameters of this Power of Attorney.

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First / Sole Holder Signature

Second Holder Signature

Third Holder Signature

9. To sign all applications, apply for, subscribe to or enter into correspondence with respect to any Initial Public Offers/Offers For Sale of securities of the companies/units of mutual funds, asset management company or any other “investment products” offered through the website www.fabits.com (owned by Shareway Securities Limited Broking Ltd.) or any other means as per the instructions made available to them by me/us as on the website or otherwise.
10. To transfer securities held in my/our aforesaid Demat Account towards Exchange(s) related Margin/delivery obligations arising out of trades executed by me/us through the above said Trading Account with FABITS on NSE or any such other Exchange(s) that FABITS, the Trading Member, may be a member of at such Recognized Stock Exchange(s) (As defined in Securities Contract Regulation Act, 1956); provided that I/We have executed a Member – Constituent Agreement/Account opening application form and accepted the Policies & Procedures of Shareway Securities Limited, the Trading Member, with respect to such Exchange(s)
11. To debit securities and/or transfer the securities for the purpose of delivering the same to the clearing house of the respective Stock Exchange(s) directly or through Shareway Securities Limited’s (Trading Member) Pool Account towards any segment in respect of securities sold by me/us through the Trading Member and to the Member Client Account for the margins towards Exchange cash segments respectively. Shareway Securities Limited (the Trading Member) may from time to time open such other demat accounts in addition to the account numbers mentioned below in Annexure B, for the above purpose from time to time. In case of any change in the below account numbers, Shareway Securities Limited (the Trading Member) is authorized to transfer the securities to the new account number(s) with prior intimation to me/us regarding such change(s).
12. To pledge the securities in favour of Shareway Securities Limited (the Trading Member)/Exchange/clearing house for the limited purpose of meeting my/ our margin requirements in connection with the trades executed by me/us on any Recognized Stock Exchange (s) through Shareway Securities Limited (the Trading Member).
13. To make payment to any party with respect to the aforesaid products mentioned in Point 9 above on my/our behalf to fulfil the transaction requested by me/us.
14. To do all such acts/processes as may be necessary to effect the subscription/purchase/redemption or any other such transaction, in any securities/scrips and/or investment product, for which services are availed from FABITS.
15. To forward all such applications placed through the website to the online-IPO module of the concerned Exchange or owner/issuer of “Investment Product”.
16. To receive intimation from the Exchange and any other party regarding the allocation/ allotment/ rejection/ regret of the securities or such other “Investment Product” applications / subscriptions / withdrawal or any other communications.
17. To authorize Shareway Securities Limited to invest on behalf of me/us and to hold the mutual fund/asset management company(ies) based on the request given by me/us. I confirm not to hold such mutual fund/asset management company/Shareway Securities Limited liable for any transaction processed based on my/our request to correspond with and give notice to the corresponding asset management company/body corporate(s) issuer/registrar and transfer agent of securities including giving instructions with regard to nomination/change in investment plans/any other changes that may be necessitated pursuant to the authorization given by me/us to Shareway Securities Limited in this regard.
18. To deposit/transfer on allotment, the securities, debentures, units of the mutual funds and/or all other investments products applied through Shareway Securities Limited, on allotment, to my/our Beneficial Owner Demat Account opened for the purpose with the Shareway Securities Limited-DP.
19. To do all other acts and things as may be necessary to affect the subscriptions/purchase/redemption or any other transaction in any investment Product for which services are availed from FABITS.

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First / Sole Holder Signature

Second Holder Signature

Third Holder Signature

20. To debit my Trading account towards trade related fees or charges payable to FABITS or a third party service provider or to any of the affiliates/subsidiaries of FABITS by virtue of I/We using/subscribing to any of the facilities/service provided either by FABITS or through a third party service provider or by any other security or financial instrument on behalf of me/us through FABITS or any third party. To bind ourselves with respect to any instruction given by first holder or any other holder to FABITS.
21. In case of any erroneous transfer done by attorney, the attorney may return the same to my account as soon it comes to their notice.
22. To send the consolidated summary of client wise, scrip wise buy and sell position with average rates by email.
23. To authorize Shareway Securities Limited to transfer the securities to any of the demat accounts of Shareway Securities Limited as mentioned in Annexure B
24. We the joint holders of demat account agree, ratify and confirm to bind ourselves to any instructions given by the client herein above mentioned who shall be the exclusive beneficiary of the transactions carried out pursuant to this power of attorney in favour of the Director/(s) and/or the Authorised Signatories, who have in token thereof, subscribed their signature thereto.

That the Power of Attorney herein referred to is revocable at any time without notice subject to such revocation shall not be applicable for any outstanding settlement obligation arising out of the trades carried out prior to receiving request for revocation of POA.

That, I/We hereby declare that all the actions taken by my/our above-mentioned attorney (herein Shareway Securities Limited) in this regards shall be deemed to be an action done by me/us and if necessary shall be ratified by me /us on the instruction of the said attorney and that such actions will be binding on me/us

I/We agree that Shareway Securities Limited is entitled to credit the proceeds of any instruction. This document shall be subject to the jurisdiction of the courts in Bangalore.

In witness whereof I/We have executed this revocable Power of Attorney on the day, date and year herein below mentioned.

Annexure B

Particulars	DP Name	DP ID	Client ID
NSDL NSE Pool A/C	STOCK HOLDING CORPORATION OF INDIA LTD	IN301330	22406609
CDSL NSE Pool A/c	SHAREWAY SECURITIES LIMITED	12093700	00000027
CDSL NSE EARLY Pay-in A/c	NATIONAL SECURITIES CLEARING CORPORATION LTD	11000011	00021398

Dated at Bangalore on this _____ day of _____

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First / Sole Holder Signature

Second Holder Signature

Third Holder Signature

WITNESSES

Witness Name:	Witness Name:
Address:	Address:
Signature:	Signature:



Effortless Investing. Crafted for You.

CONTACT US

Visit us : WWW.FABITS.COM

Calls us : 080 45145100

Mail us : SUPPORT@FABITS.COM

Shareway Securities Limited

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