

Big-Data-Technologien

Kapitel 13: NoSQL – Dokumentendatenbanken

Hochschule Trier
Prof. Dr. Christoph Schmitz

Dokumentenorientierte Datenbanken

- ... speichern **semistrukturierte Daten**
- ... benötigen **kein fixes Schema**
- ... bieten **flexible Abfragemöglichkeiten**
- ... **verallgemeinern** Key-Value-Stores

Semistrukturiert?!

- **Strukturierte** Daten
 - Relationale Tabellen
 - Schema: Felder, Datentypen, ...
- **Unstrukturierte** Daten
 - Fließtext
 - Grafik
 - Video

Semistrukturierte Daten

- Daten sind **maschinenlesbar** und **selbstbeschreibend**
- Schema **möglich**, aber optional
- Oft **hierarchisch** gegliedert
- Vertreter
 - **XML**
 - **JSON**
 - **YAML**



- "Hum**mongo**us Database"
- Schema-freie, **dokumentenorientierte** Datenbank
- Dokumente in **JSON**
- Skalierbar über **Sharding**

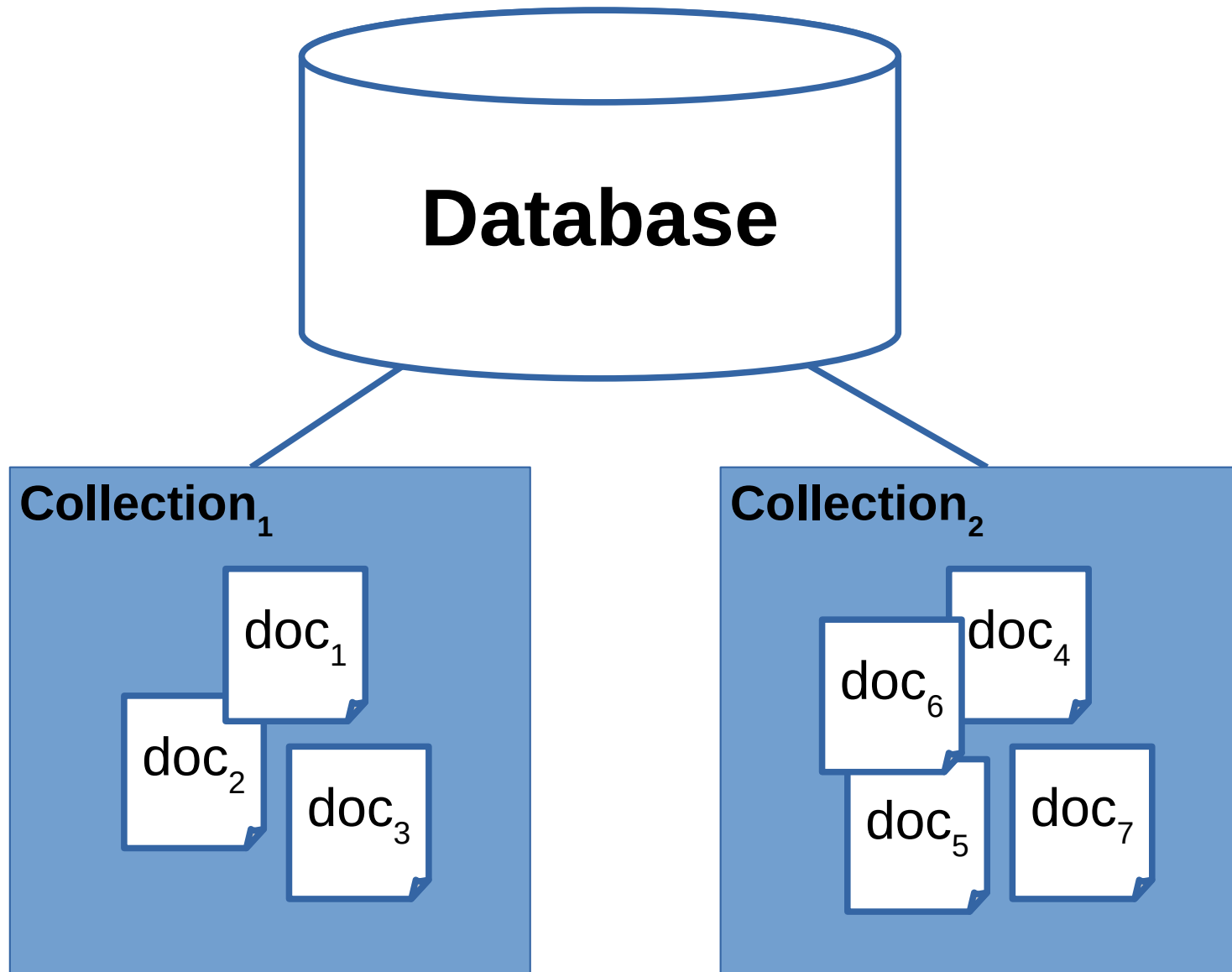
Dokument

```
{ "_id" : ObjectId("5925c3d0"),  
  "name" : "Peter Müller",  
  "country" : "Germany" }
```

Dokument

```
{ "_id" : ObjectId("5925c3d0"),  
  "name" : "Peter Müller",  
  "country" : "Germany",  
  "addresses": [  
    { "street": "Hauptstr. 3",  
      "zip": "54329",  
      "city": "Konz" },  
    { "street": "Kaiserstr. 5",  
      "zip": "76135",  
      "city": "Karlsruhe" }  
  ]  
}
```

Struktur einer Datenbank



Beispielsitzung

```
$ mongo --host ...
```

```
> use bigdata200
```

```
switched to db bigdata200
```

```
> db.createCollection("users")
```

```
{ "ok": 1 }
```

```
> db.users.insert({name: "Eva Meier", age: 23})
```

```
WriteResult({ "nInserted" : 1 })
```

```
> db.users.find()
```

```
{ "_id" : ObjectId("59258a7328d7bc62e9dd0c82"),  
  "name" : "Eva Meier", "age" : 23 }
```

Beispielsitzung

```
> db.users.insert({name: "Eva Meier", age: 23})
WriteResult({ "nInserted" : 1 })
> db.users.insert({name: "Paul Müller", age:
30})
```

...

```
> db.users.find()
{ "_id" : ObjectId("59258a7328d7bc62e9dd0c82"),
  "name" : "Eva Meier", "age" : 23 }
{ "_id" : ObjectId("59258add09d1f714f0dac423"),
  "name" : "Eva Meier", "age" : 23 }
{ "_id" : ObjectId("59258ba209d1f714f0dac424"),
  "name" : "Paul Müller", "age" : 30 }
```

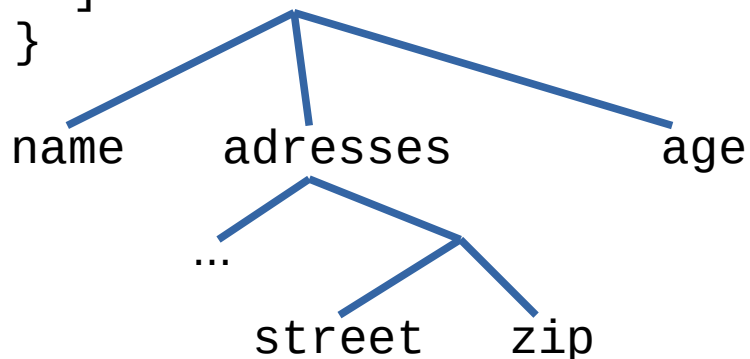
Beispielsitzung

```
> db.users.find({ age: 30 })  
{ "_id" : ObjectId("59258ba209d1f714f0dac424"),  
  "name" : "Paul Müller", "age" : 30 }
```

Datenmodell und Anfragen

- **JSON** (JavaScript Object Notation)/**BSON**
 - **Skalare** (Strings, Zahlen, ...)
 - **Listen**
 - **Dictionaries (Objekte)**

```
{ name: "Eva Meier",  
  age: 23,  
  addresses: [  
    { street: "Paulinstraße 17", zip: 54290, city: "Trier" },  
    { street: "Wilhelmstraße 3", zip: 34117, city: "Kassel" }  
  ]  
}
```



`doc.addresses[0].zip` → 54290

Anfragen

- Formuliert in JSON
- `db.<collection>.find()`

```
db.users.find({ age: 30 })
db.users.find({ _id: ObjectId("ab82...fd") })
db.users.find({ age: { $gt: 30 } })
db.users.find({ "address.zip": 54290 })
```

- Mehrere Bedingungen → UND
- ODER: \$or

```
db.users.find({ $or: [ { age: { $lt: 18 } },
                        { age: { $gt: 80 } } ] })
```

Anfragen: Operatoren

- `$in: {name: {$in: ["Eva", "Paul"]}}`
- `$all: {features: {$all: ["USB-C", "NFC"]}}`
- `$elemMatch: {a: {$elemmatch: {b: 1, c: 2}}}`
- `$mod: {a: {$mod: [3, 0]}}`
- `$type: {a: {$type: 2}}` → bsonspec.org
- `Text: {$text: {$search: "hello"}}`

Anfragen: Operationen

- Nicht durch Indizes unterstützt:
 - Nicht-Enhaltensein: `{a: {$nin: ["foo", "bar"]}}`
 - Array-Länge: `{a: {$size: 3}}`
 - Existenz eines Felds: `{a: {$exists: true}}`
 - Regex: `{a: /foo.*bar/}`
 - Negation: `{a: {$not: {$type: 2}}}`

Anfragen: Projektion

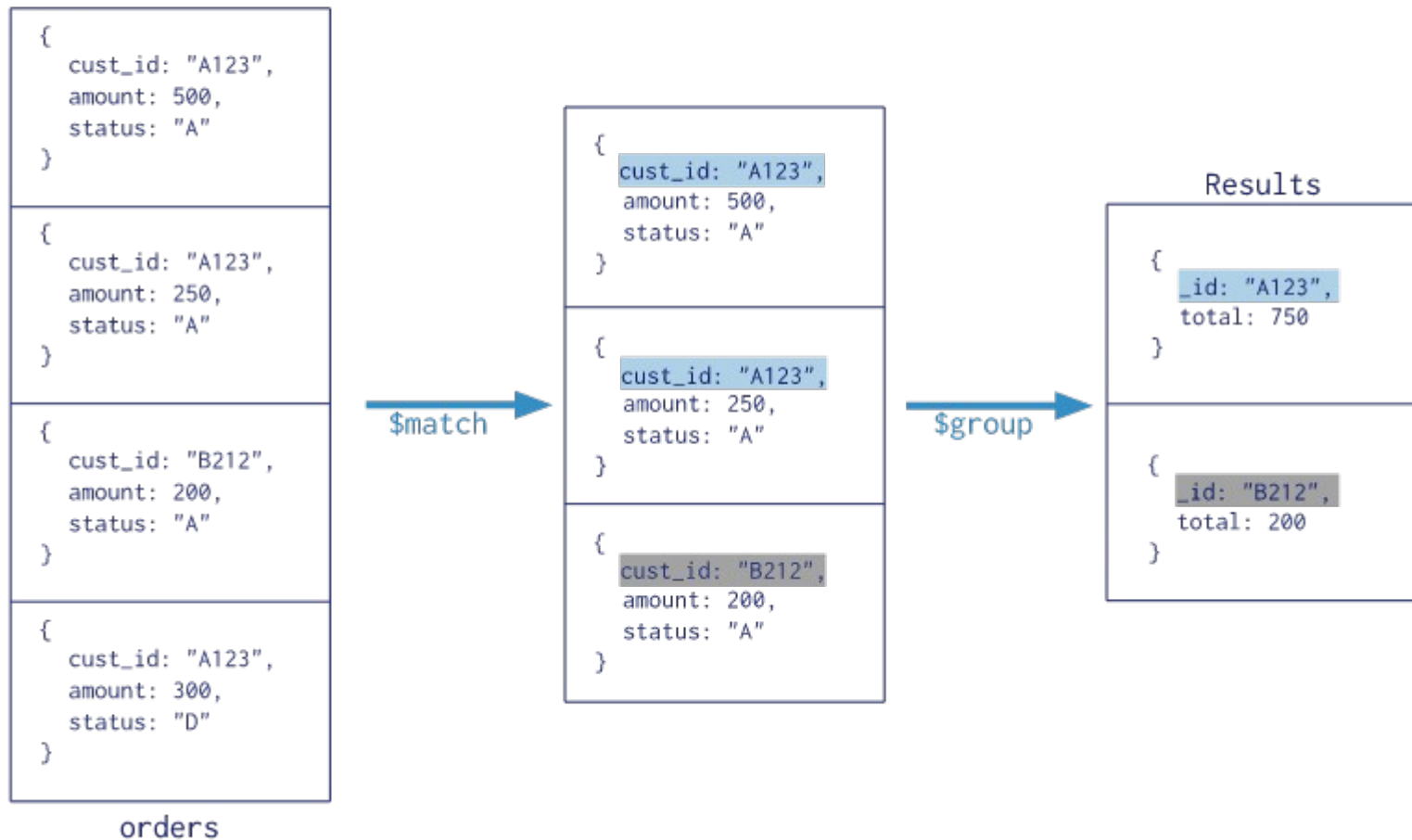
- Projektion auf bestimmte Felder

```
db.users.find({ age: { $gt: 30 }},  
              { "address.zip": 1, _id: 0 })
```

```
{ "address": { "zip": 54329 } }  
{ "address": { "zip": 54290 } }  
...
```


Aggregation: Aggregation Pipeline

Collection
↓
`db.orders.aggregate([`
 \$match stage → `{ $match: { status: "A" } },`
 \$group stage → `{ $group: { _id: "$cust_id", total: { $sum: "$amount" } } }`
 `]`)



Aggregation Pipeline: Operationen

- `$match`, `$skip`, `$limit`, `$redact`, `$sample`
- `$project`, `$addfields`
- `$groupBy`, `$sort`, `$sortByCount`
- `$bucket`, `$bucketAuto`
- `$unwind`
- `$out`, `$count`

Aggregation: Beispiele

```
> db.users.aggregate([ { $bucket: { groupBy: "$age",  
boundaries: [0, 14, 20, 30, 40, 50, 60, 100] } } ])
```

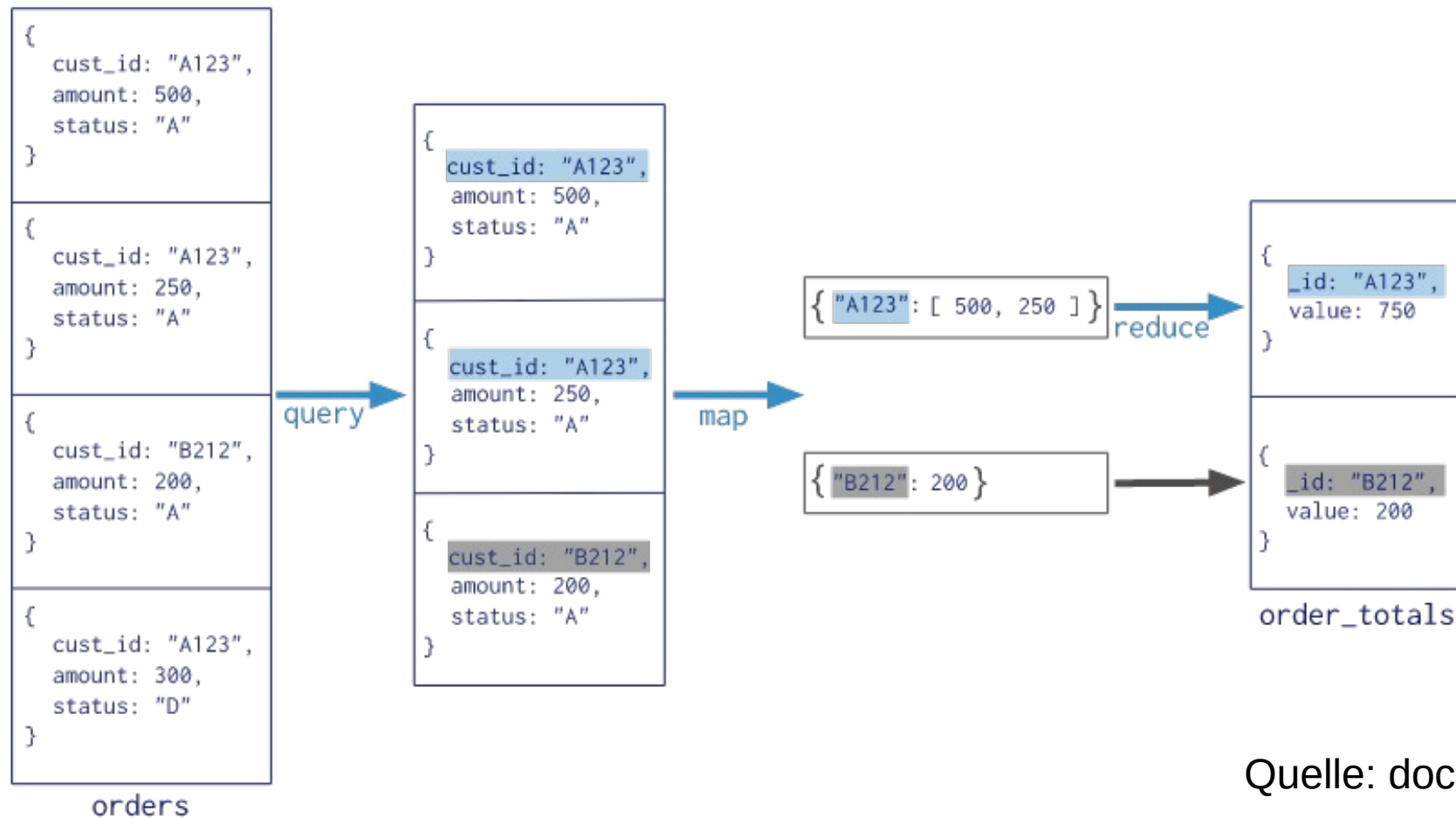
```
{ "_id" : 20, "count" : 2 }  
{ "_id" : 30, "count" : 2 }
```

```
> db.users.aggregate([ { $group: { _id: "$gender",  
average_age: { $avg: "$age" } } },  
{ $sort: {"average_age": -1} } ])
```

```
{ "_id" : "m", "average_age" : 32 }  
{ "_id" : "w", "average_age" : 23 }
```

Aggregation: MapReduce

Collection
↓
db.orders.mapReduce(
 map → function() { emit(this.cust_id, this.amount); },
 reduce → function(key, values) { return Array.sum(values) },
 {
 query → { status: "A" },
 output → "order_totals"
 }
)



Aggregation (vereinfacht)

- `db.collection.count()`
- `db.collection.distinct("cust_id")`

Updates: Vorsicht!

```
> db.c.find()  
{ "_id" : ObjectId("592c1777754e8ed1400cd110"),  
  "name" : "Alice" }
```

```
> db.c.update({"name": "Alice"}, {"age": 23})  
WriteResult({ "nMatched" : 1, "nUpserted" : 0,  
  "nModified" : 1 })
```

```
> db.c.find()  
{ "_id" : ObjectId("592c1777754e8ed1400cd110"),  
  "age" : 23 }
```

Updates

```
> db.c.find()
```

```
{ "_id" : ObjectId("592c1777754e8ed1400cd110"),  
  "name" : "Alice" }
```

```
> db.c.update({"name": "Alice"}, {$set: {"age": 23}})
```

```
WriteResult({ "nMatched" : 1, "nUpserted" : 0,  
  "nModified" : 1 })
```

```
> db.c.find()
```

```
{ "_id" : ObjectId("592c1777754e8ed1400cd110"),  
  "name": "Alice", "age" : 23 }
```

Weitere Update-Operationen

- Inkrementieren: `{$inc: {age: 1}}`
- Max/Min: `{$max: {age: 50}}`
- Multiplikation: `{$mul: {salary: 1.05}}`
- Umbenennen: `{$rename: {salary: "income"}}`
- Bit-Operationen, Datum, Upsert, ...

Updates auf Arrays

- Anfügen: `{ $push: { a: 4 } }`
`{ $push: { a: { $each: [1, 2] } } }`
- Mengen-Vereinigung: `{ $addToSet: { a: 4 } }`
- Entfernen: `$pop`, `$pull`, `$pullAll`

Fremdschlüssel (oder etwas Ähnliches...)

```
db.orders.insert({  
  "item": "abc",  
  "quantity": 2,  
  "price": 89.99  
})
```

```
db.inventory.insert({  
  "sku": "abc",  
  "description": "product 1",  
  "instock": 120  
})
```

Joins: \$lookup

- Left Outer Join
- Nicht in Kombination mit Sharding

```
db.orders.aggregate([
  {
    $lookup:
      {
        from: "inventory",
        localField: "item",
        foreignField: "sku",
        as: "inventory_docs"
      }
  }
])
```

SQL:

```
SELECT ...
FROM orders o LEFT OUTER JOIN inventory i
ON o.item = i.sku
...
```

Joins: \$lookup

orders

```
{ "_id" : 1, "item" : "abc", "price" : 12, "quantity" : 2 }
{ "_id" : 2, "item" : "jkl", "price" : 20, "quantity" : 1 }
{ "_id" : 3 }
```

items

```
{ "_id" : 1, "sku" : "abc", description: "product 1", "instock" : 120 }
{ "_id" : 2, "sku" : "def", description: "product 2", "instock" : 80 }
{ "_id" : 3, "sku" : "ijk", description: "product 3", "instock" : 60 }
{ "_id" : 4, "sku" : "jkl", description: "product 4", "instock" : 70 }
{ "_id" : 5, "sku": null, description: "Incomplete" }
{ "_id" : 6 }
```

Query

```
db.orders.aggregate([
  $lookup:
  {
    from: "inventory",
    localField: "item",
    foreignField: "sku",
    as: "inventory_docs"
  }
])
```

Resultat

```
{ "_id" : 1,
  "item" : "abc",
  "price" : 12,
  "quantity" : 2,
  "inventory_docs" : [
    { "_id" : 1, "sku" : "abc",
      description: "product 1", "instock" : 120 }
  ]
}
...
```

Document Validation

- Vergleichbar mit Trigger in RDBMS
- Beispiel:

```
db.createCollection( "contacts",  
  { validator: { $or:  
    [  
      { phone: { $type: "string" } },  
      { email: { $regex: /@mongodb\.com$/ } },  
      { status: { $in: [ "Unknown", "Incomplete" ] } }  
    ]  
  }  
} )
```

Indizes

- Effiziente **Zugriffspfade** auf Dokumente
 - ... wie in RDBMS
- Ansonsten: Collection Scan
- Standardmäßig auf `_id`

Arten von Indizes

- **Einzelnes Feld**
- **Zusammengesetzt** (z. B. (name, vorname))
- **Multikey** (auf Arrays)
- **Geo-Koordinaten** (räumlich)
- **Text** (Stemming, Stopwords, ...)

Eigenschaften von Indizes

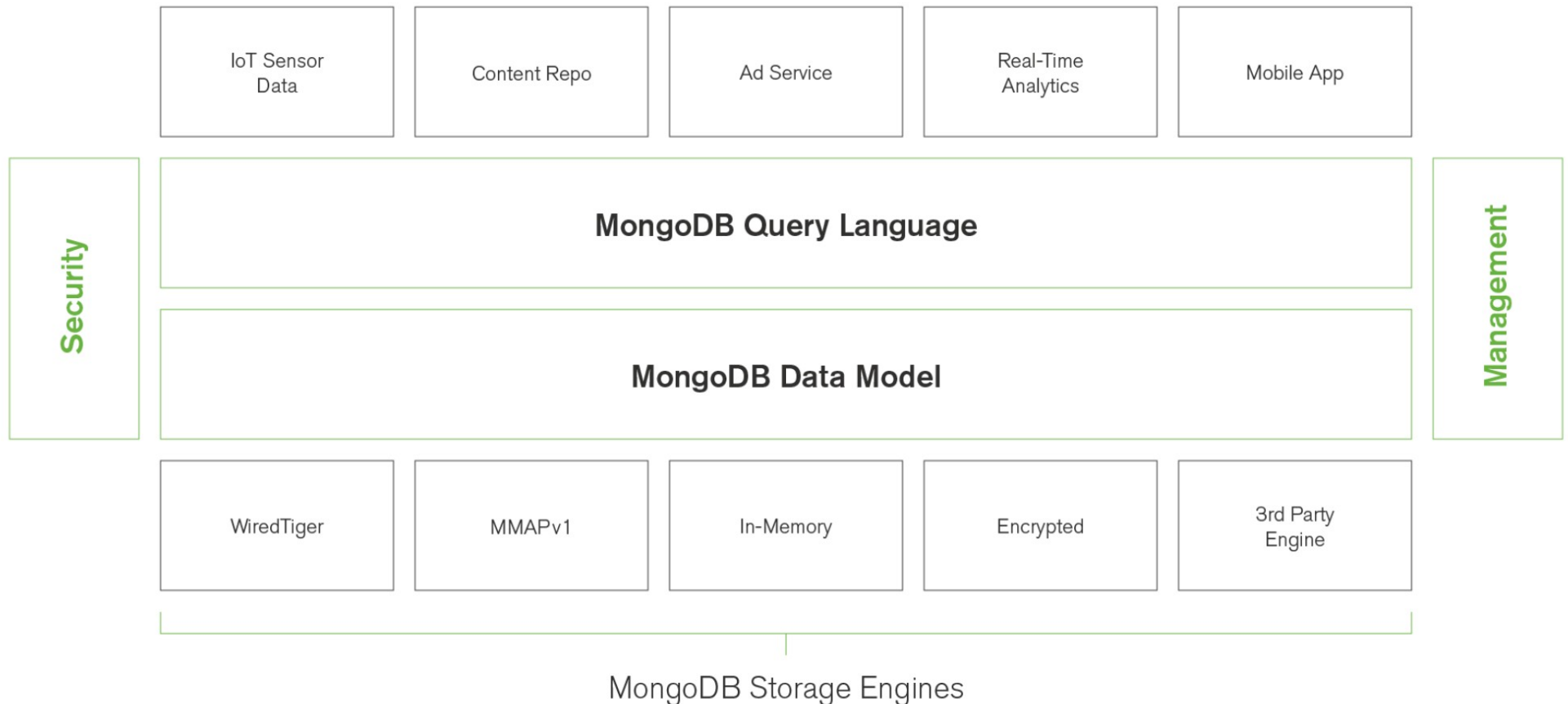
- **Eindeutig**
 - Duplikate ablehnen
- **Eingeschränkt**
 - nur bestimmte Dokumente
- **Sparse**
 - nur Dokumente, die Feld beinhalten
- **TTL**
 - automatisches Herausaltern von Dokumenten

Indizes: Beispiel

```
> db.users.explain().find({age: 23})
{ "queryPlanner" : { ...
  "winningPlan" : { "stage" : "COLLSCAN",
    "filter" : { "age" : { "$eq" : 23 } },
    "direction" : "forward"
  },
  ...
}

> db.users.createIndex({"age": 1})
> db.users.explain().find({age: 23})
{ "queryPlanner" : { ...
  "winningPlan" : { "stage" : "FETCH",
    "inputStage" : { "stage" : "IXSCAN",
      "keyPattern" : { "age" : 1 },
      "indexName" : "age_1",
      ...
      "indexBounds" : { "age" : [ "[23.0, 23.0]" ] }
    },
  },
}
```

MongoDB: Architektur



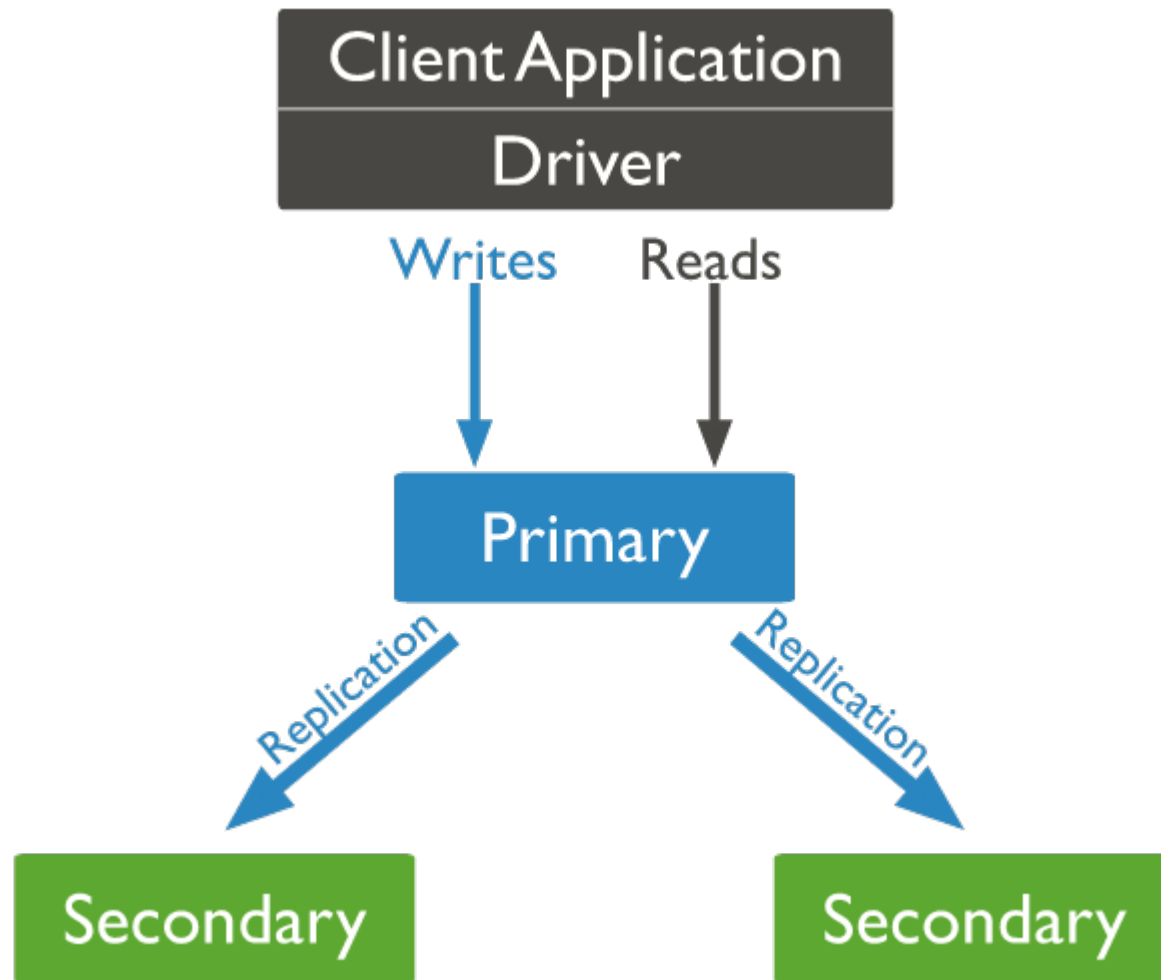
Storage Engines

- **Storage Engine** bestimmt
 - physisches Datenmodell
 - nonfunktionale Eigenschaften
- Mischbetrieb möglich
- Standard
 - **MMAPv1**
 - **WiredTiger**
 - **InMemory**

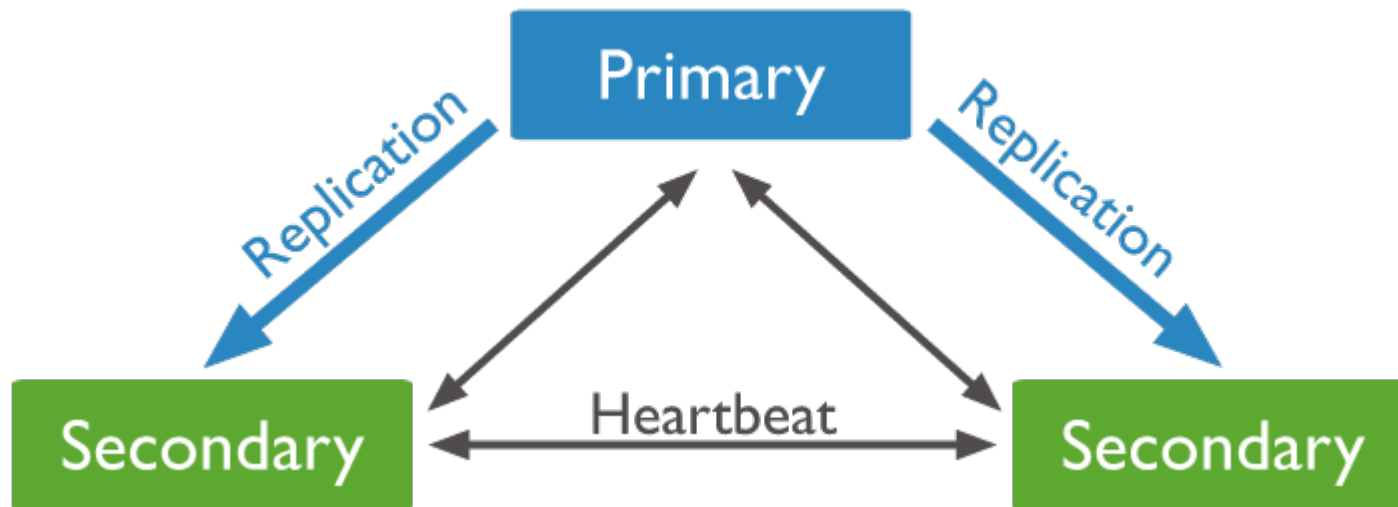
Storage Engine: WiredTiger

- **Transaktionen**
 - **Isolation** auf Dokumenten-Ebene
 - MVCC: **Multi-Version Concurrency Control**
 - Checkpoint bei Beginn der Transaktion
 - Durchführen der Operation
 - Atomares Umschalten auf neuen Stand
 - Fehler/Wiederholung bei Konflikten
 - Ergänzend: **Write-Ahead Log** (Journal)
 - Operationen zwischen Checkpoints

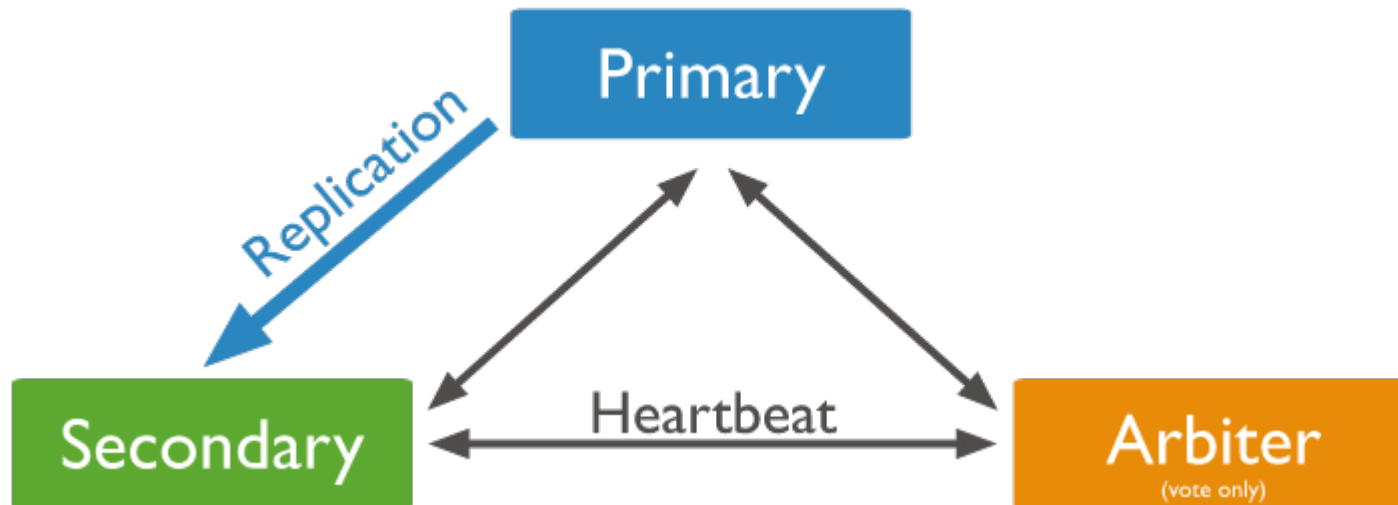
Replikation



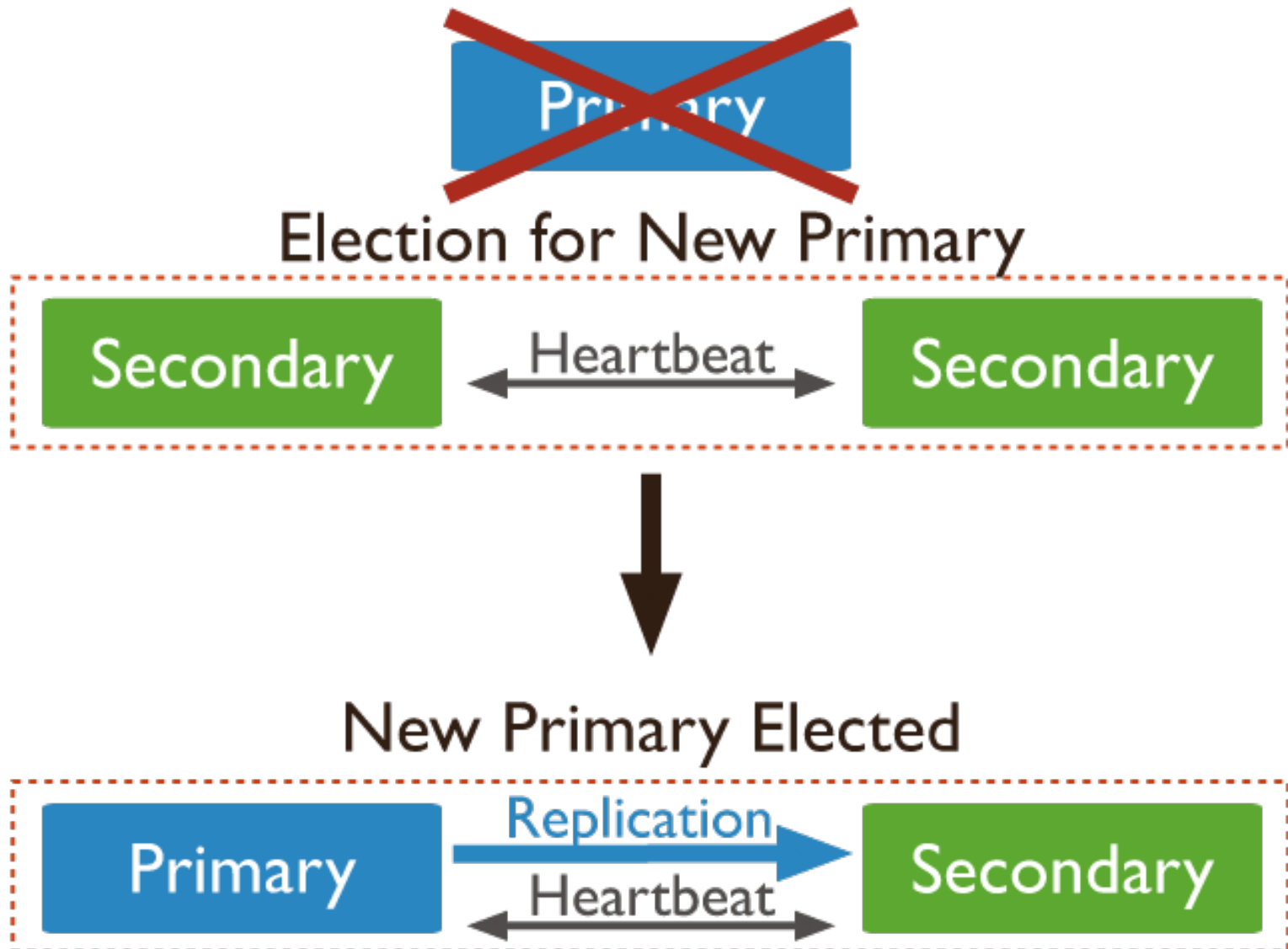
Replikation: Failover



Replikation: Failover



Replikation: Failover



Leseoperationen: "Read Preference"

- Woher soll gelesen werden?
→ Client-Einstellung
- primary/primaryPreferred
- secondary/secondaryPreferred
- nearest

Schreiboperationen: Tuneable Consistency

```
db.products.insert(  
  { item: "envelopes", qty : 100, type: "Clasp" },  
  { writeConcern: { w: 2, wtimeout: 5000 } }  
)
```



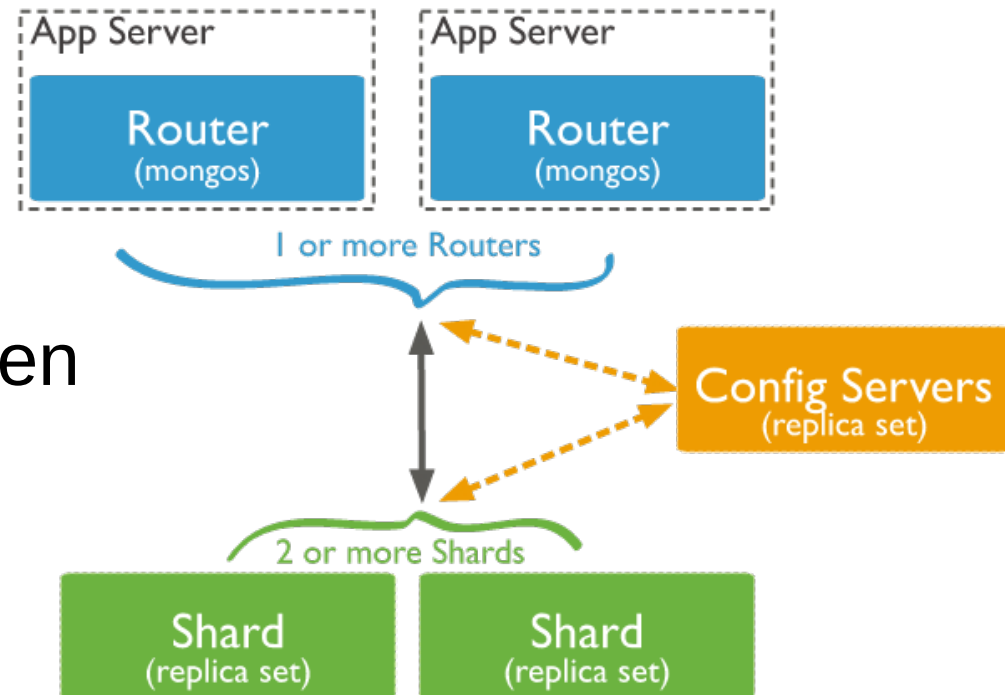
Mindestens
2 Replikas



Timeout nach
5 Sekunden

Sharding

- **Shard**
 - Teilmenge der Daten
 - Möglicherweise ein Replica Set
- **mongos (Query Router)**
 - repräsentiert Cluster für Clients
- **Config Servers**
 - Replica Set mit Metadaten

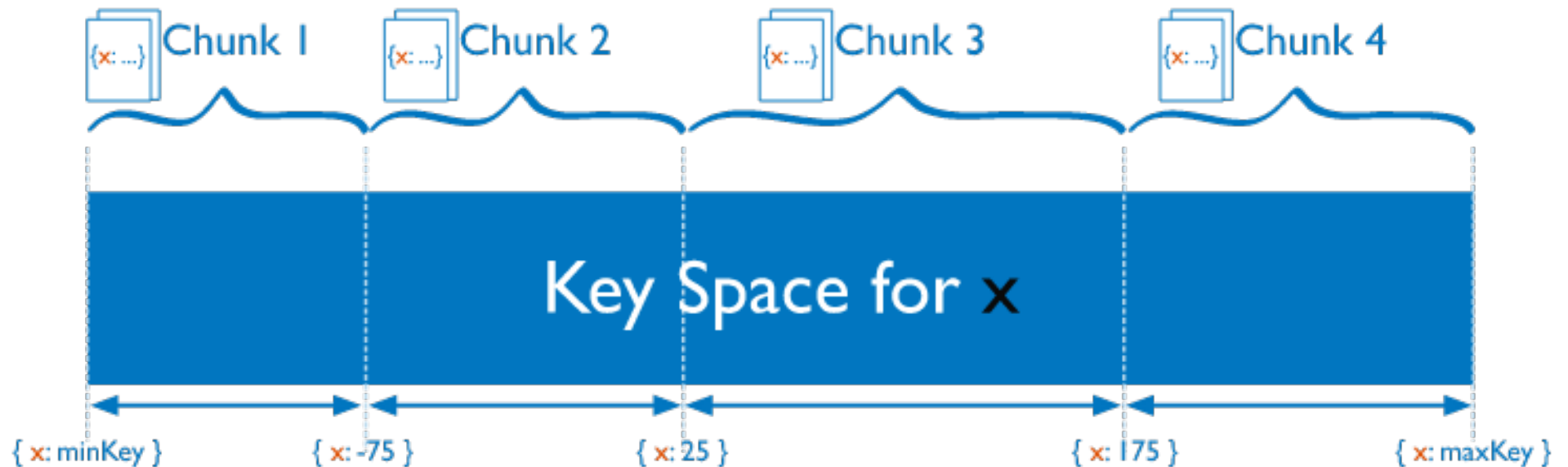


Sharding

- **Shard Key**
 - **Partitionierungskriterium** in den Dokumenten
 - **Unveränderlich**
 - Vorbedingung: **Index** auf Shard Key

```
sh.shardCollection("records.people", { zipcode: 1 } )
```

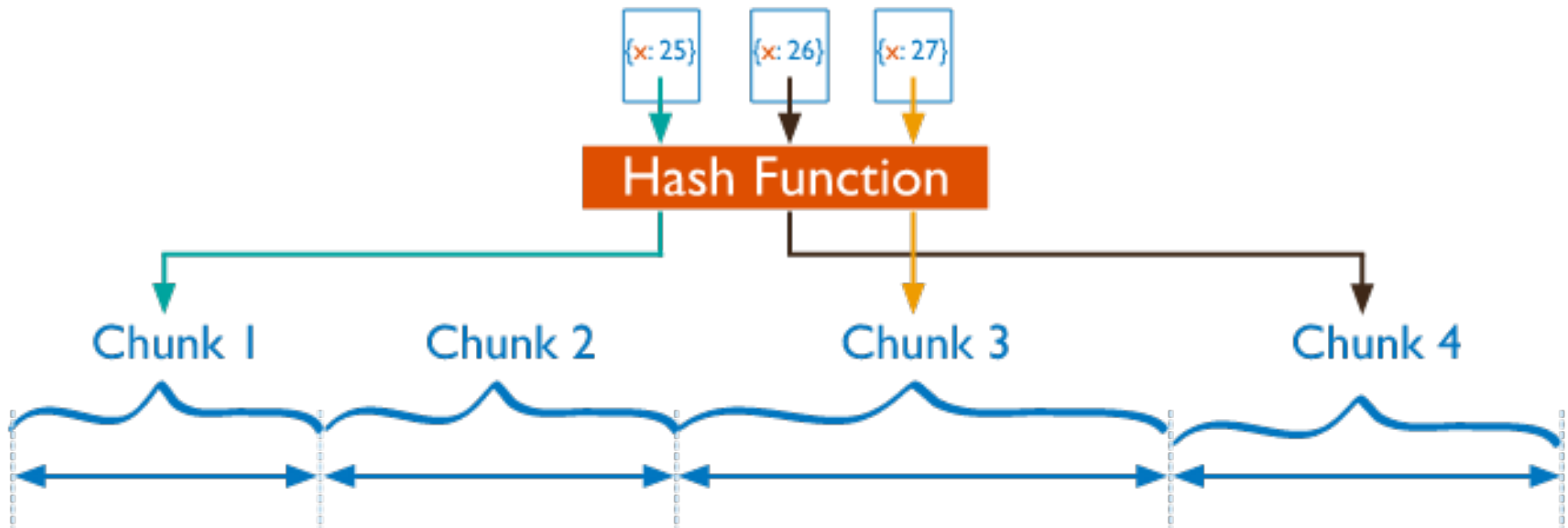
Shards und Chunks



Ranged Sharding

- Typische Chunk-Größe: 64 MB

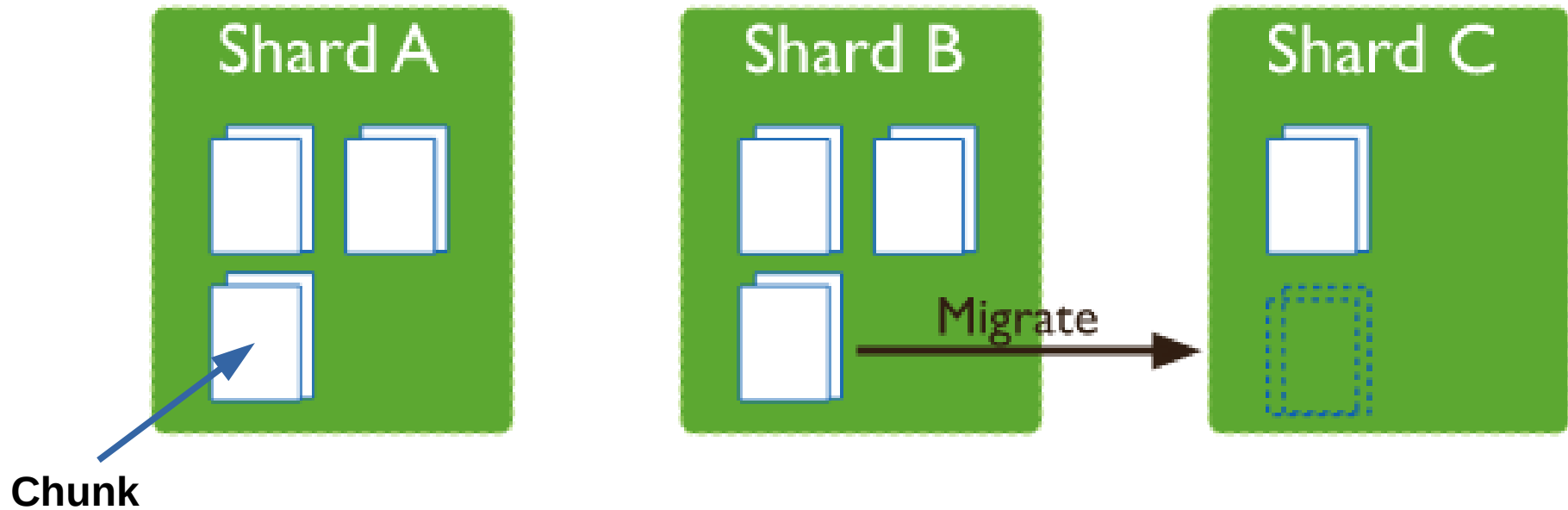
Shards und Chunks



Hashed Sharding

- **Pro:** Gleichmäßigere Verteilung
- **Contra:** Bereichsanfragen?!

Shards und Chunks



Chunk-Metadaten

```
{  
  "_id" : "mydb.foo-a_\\"cat\\",  
  "lastmod" : Timestamp(1000, 3),  
  "lastmodEpoch" : ObjectId("5078407bd58b175c5c225fdc"),  
  "ns" : "mydb.foo",  
  "min" : {  
    "animal" : "cat" ← Untergrenze  
  },  
  "max" : {  
    "animal" : "dog" ← Obergrenze  
  },  
  "shard" : "shard0004" ← Shard-Zuordnung  
}
```

→ Verwaltung in DB "config" auf den Config-Servern

Client-Programmierung (Python)

```
from pymongo import MongoClient

client = MongoClient("infbd02.fh-trier.de")
db = client.bigdata200

# Kerberos!
db.authenticate("bigdata200@BDT.FH-TRIER.DE", mechanism = "GSSAPI")

for user in db.users.find({"name": "Eva Meier"}):
    print user

{  u'gender': u'w', u'age': 23.0, u'_id': ObjectId('59...d0c82'),
   u'name': u'Eva Meier',
   u'addresses': [{ u'city': u'Trier',
                    u'street': u'Simeonstra\xdf 20'}]
  ...
```

Client-Programmierung (Java)

- Eigentlich...

```
MongoClient client = new MongoClient(  
    new ServerAddress(server, 27017));  
MongoCollection<Document> collection =  
    client.getDatabase("bigdata200").getCollection("users");  
BasicDBObject query = new BasicDBObject("name", "Eva Meier");  
  
MongoCursor<Document> cursor = collection.find(query).iterator();  
try {  
    while (cursor.hasNext()) {  
        Document doc = cursor.next();  
        System.out.println(doc.get("name") + ": " + doc.getInteger("age", -1));  
    }  
} finally {  
    cursor.close();  
}  
  
client.close();
```

Client-Programmierung (Java)

- ... doch dann...

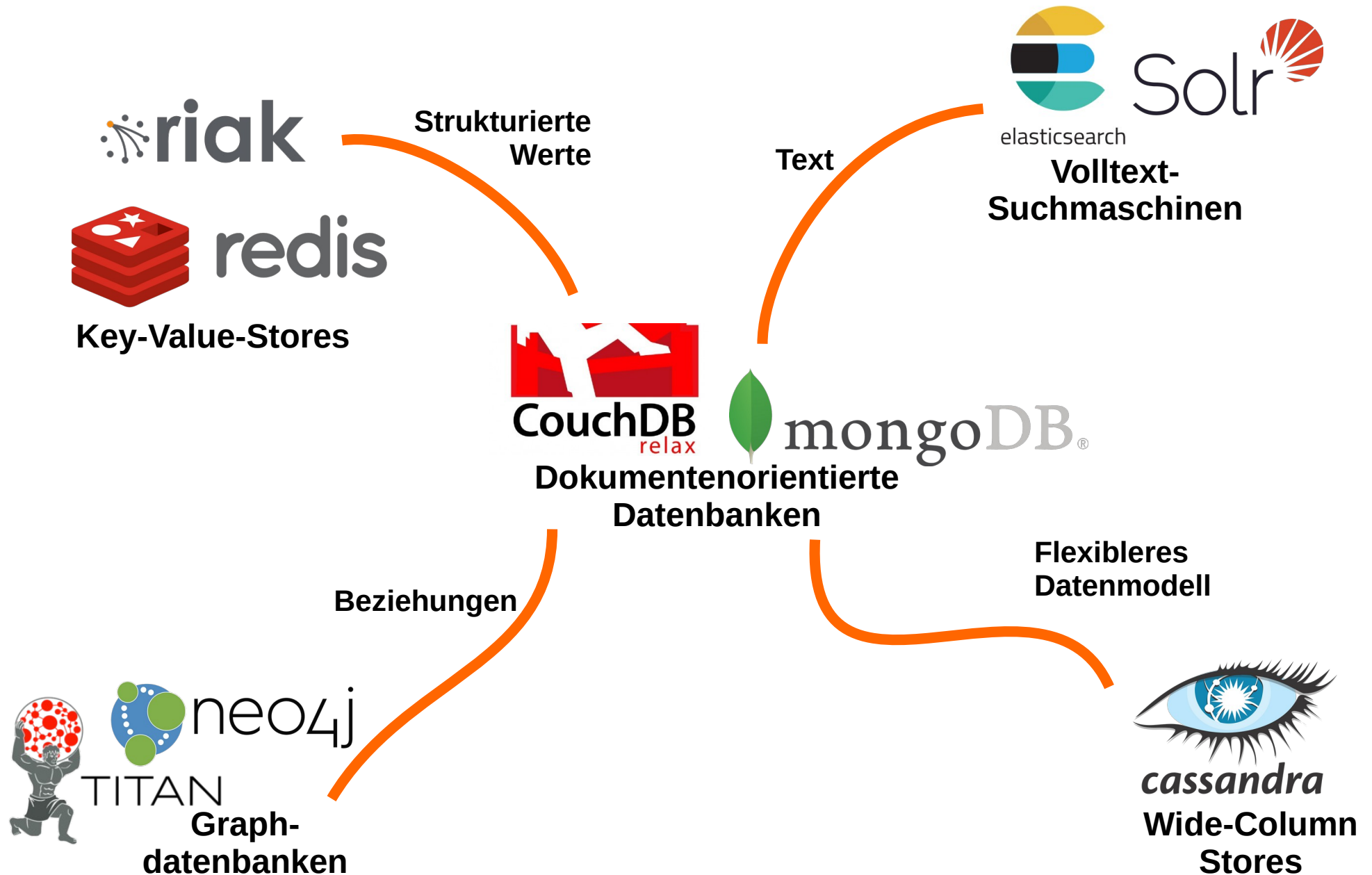
```
System.setProperty("javax.security.auth.useSubjectCredsOnly", "false");
System.setProperty("java.security.krb5.realm", "BDT.FH-TRIER.DE");
System.setProperty("java.security.krb5.kdc", "infbd03.fh-trier.de");

String server = "infbd02.fh-trier.de";
String user = "bigdata200@BDT.FH-TRIER.DE";

MongoCredential credential = MongoCredential.createGSSAPICredential(user);
MongoClient client = new MongoClient(
    new ServerAddress(server, 27017), Arrays.asList(credential));

...
```

Mitbewerber



Fazit: Dokumentenorientierte Datenbanken

- **"Dokumente"** = JSON, XML, ...
- Beliebige **Struktur**
- **Schema** optional
- Reichhaltige **Anfrageoperationen**
- Effizient über **Schlüssel** zugreifbar...
- ... oder über **Indizes**
- **Verteilungsmechanismen** analog zu anderen NoSQL-Technologien