

Miguel Romero, CFOA, CRPSTTM

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| Personal Site: <https://migromero10.github.io/cv/> |

Education

State University of New York at Oswego; Oswego, New York
Double Major: Accounting BS & Economics BA

May 2019

TrendUp Now

Certified Futures and Options Analyst (CFOA)

December 2021

The App Brewery

Software Engineering Bootcamp

Expected Completion October 2022

Work Experience

Registered CXR Level 2, Robinhood, Orlando, FL

September 2021 to Present

- Constantly deliver an outstanding customer experience through email and phone channels
- Driving continuous improvement to delight customers by acting as the voice of the customer in communicating better ways for us to Democratize Finance for All
- Exponentially grow my financial services expertise as I develop deep subject mastery of complex financial concepts like equities trading, margin, and options trading

Sales Support Representative, Mutual of America, New York, NY

October 2020 to August 2021

- Handled inbound enrollment, asset allocation and rollover calls
- Provided support to the Participant Account Representatives and service department by undertaking group education meetings and client visits on their behalf
- Closed over 40 opportunities year to date (2021), these amount closely to one million in rolled assets

Financial Representative, Northwestern Mutual, New York, NY

June 2019 to August 2020

- Presented customized financial solutions tailored to the client's situation through a needs-based analysis
- Collaborated with Northwestern's planning team to effectively implement the recommended solutions
- Developed client leads using referrals, cold calling, and leveraging network platforms such as LinkedIn

Seasonal Tax Analyst, D.E. Caribe Taxes, Bronx, NY

January 2019 to June 2019

- Utilized Drake to generate individual tax returns for the CEO's use when presenting results to clients
- Assisted in delivering and billing tax returns while directing clients on the IRS' payment guidelines
- Provided excellent client experience while conducting routine clerical functions such as reception and answering telephones

Unpaid Experience

Materials Sector Leader, Investment Club, Oswego, NY

May 2018 to May 2019

- Oversaw stocks on the Material Sector's investments, initiating proposals to buy/sell when necessary
- Educated new members on how to use the Buffet investment checklist and Bloomberg terminal
- Consulted with a research team in estimating new stocks to add to a portfolio of over \$300,000

Finance Director, Zeta Beta Tau, Oswego, NY

May 2018 to May 2019

- Received, booked, and banked cash collected from members, readjusting the budget when necessary
- Co-operated with national headquarters and collection agencies in keeping member accounts updated
- Directed a \$16,850 yearly budget while developing projections for the inflow and outflow of income

Activities/ Organizations

Chief Financial Officer, ALPFA, Oswego, NY

Member, Economics Club, Oswego, NY

Member, New York State Society of CPAs

Member, Accounting Society, Oswego, NY

Licenses/ Other

- Chartered Retirement Plans SpecialistTM or CRPSTTM Professional Designation
- Securities Industry Essentials (SIE), Series 7 & Series 63
- Bloomberg Market Concepts Certification
- Programing Languages: HTML, CSS, Bootstrap
- Bilingual Communications: English and Spanish Fluency