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SE COMPS A-3

## **OPERATING SYSTEMS**

### **EXPERIMENT - 7**

### **THEORY**

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**AIM:** Working and Implementation Bankers Algorithm

#### **THEORY:**

The banker's algorithm is a resource allocation and deadlock avoidance algorithm that tests for safety by simulating the allocation for predetermined maximum possible amounts of all resources, then makes an "s-state" check to test for possible activities, before deciding whether allocation should be allowed to continue.

Banker's algorithm is named so because it is used in banking system to check whether loan can be sanctioned to a person or not. Suppose there are  $n$  number of account holders in a bank and the total sum of their money is  $S$ . If a person applies for a loan then the bank first subtracts the loan amount from the total money that bank has and if the remaining amount is greater than  $S$  then only the loan is sanctioned. It is done because if all the account holders comes to withdraw their money then the bank can easily do it.

In other words, the bank would never allocate its money in such a way that it can no longer satisfy the needs of all its customers. The bank would try to be in safe state always.

When working with a banker's algorithm, it requests to know about three things:

1. How much each process can request for each resource in the system. It is denoted by the [**MAX**] request.
2. How much each process is currently holding each resource in a system. It is denoted by the [**ALLOCATED**] resource.
3. It represents the number of each resource currently available in the system. It is denoted by the [**AVAILABLE**] resource.

Following are the important data structures terms applied in the banker's algorithm as follows:

Suppose  $n$  is the number of processes, and  $m$  is the number of each type of resource used in a computer system.

1. **Available**: It is an array of length ' $m$ ' that defines each type of resource available in the system. When  $\text{Available}[j] = K$ , means that ' $K$ ' instances of Resources type  $R[j]$  are available in the system.
2. **Max**: It is a  $[n \times m]$  matrix that indicates each process  $P[i]$  can store the maximum number of resources  $R[j]$  (each type) in a system.
3. **Allocation**: It is a matrix of  $m \times n$  orders that indicates the type of resources currently allocated to each process in the system. When  $\text{Allocation}[i, j] = K$ , it means that process  $P[i]$

is currently allocated K instances of Resources type R[j] in the system.

4. **Need:** It is an M x N matrix sequence representing the number of remaining resources for each process. When the Need[i] [j] = k, then process P[i] may require K more instances of resources type Rj to complete the assigned work.  
$$\text{Need}[i][j] = \text{Max}[i][j] - \text{Allocation}[i][j].$$
5. **Finish:** It is the vector of the order m. It includes a Boolean value (true/false) indicating whether the process has been allocated to the requested resources, and all resources have been released after finishing its task.

The Banker's Algorithm is the combination of the safety algorithm and the resource request algorithm to control the processes and avoid deadlock in a system:

## Safety Algorithm

It is a safety algorithm used to check whether or not a system is in a safe state or follows the safe sequence in a banker's algorithm:

1. There are two vectors **Work** and **Finish** of length m and n in a safety algorithm.

```
Initialize: Work = Available  
Finish[i] = false; for I = 0, 1, 2, 3, 4... n - 1.
```

2. Check the availability status for each type of resources [i], such as:

```
Need[i] <= Work  
Finish[i] == false  
If the i does not exist, go to step 4.
```

3.

```
Work = Work + Allocation(i) // to get new resource allocation  
Finish[i] = true
```

Go to step 2 to check the status of resource availability for the next process.

4. If `Finish[i] == true`; it means that the system is safe for all processes.

## Resource Request Algorithm

A resource request algorithm checks how a system will behave when a process makes each type of resource request in a system as a request matrix.

Let's create a resource request array `R[i]` for each process `P[i]`. If the Resource Request `[j]` equals 'K', which means the process `P[i]` requires 'k' instances of Resources type `R[j]` in the system.

1. When the number of **requested resources** of each type is less than the **Need** resources, go to step 2 and if the condition fails, which means that the process `P[i]` exceeds its maximum claim for the resource. As the expression suggests:

```
If Request(i) <= Need  
Go to step 2;
```

2. And when the number of requested resources of each type is less than the available resource for each process, go to step (3). As the expression suggests:

```
If Request(i) <= Available
```

Else Process `P[i]` must wait for the resource since it is not available for use.

3. When the requested resource is allocated to the process by changing state:

```
Available = Available - Request
```

```
Allocation(i) = Allocation(i) + Request (i)
```

```
Needi = Needi - Requesti
```

When the resource allocation state is safe, its resources are allocated to the process  $P(i)$ . And if the new state is unsafe, the Process  $P(i)$  has to wait for each type of Request  $R(i)$  and restore the old resource-allocation state.

## Advantages

Following are the essential characteristics of the Banker's algorithm:

1. It contains various resources that meet the requirements of each process.
2. Each process should provide information to the operating system for upcoming resource requests, the number of resources, and how long the resources will be held.
3. It helps the operating system manage and control process requests for each type of resource in the computer system.
4. The algorithm has a Max resource attribute that indicates each process can hold the maximum number of resources in a system.

## Disadvantages

1. It requires a fixed number of processes, and no additional processes can be started in the system while executing the process.
2. The algorithm no longer allows the processes to exchange its maximum needs while processing its tasks.
3. Each process has to know and state their maximum resource requirement in advance for the system.
4. The number of resource requests can be granted in a finite time, but the time limit for allocating the resources is one year.

**CONCLUSION:** We learnt implementation of Bankers Algorithm which is used to avoid deadlocks in an operating system by simulating the allocation for predetermined maximum possible amounts of all resources before deciding whether allocation should be allowed to continue.