



Department of Information Engineering (DEI)

Master degree on ICT for Internet and Multimedia Engineering (MIME)

# Internet of Things and Smart Cities 13 – Security

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Special thanks to: Dr. Sara Baldoni

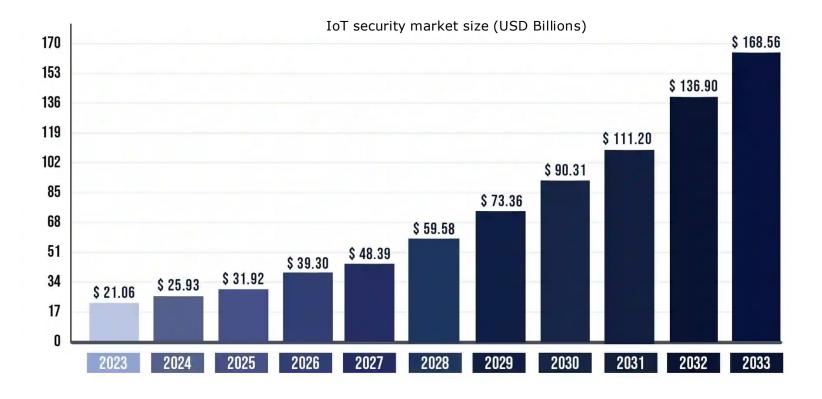
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#### Some numbers...

The investments in IoT security are following a rapid increase.

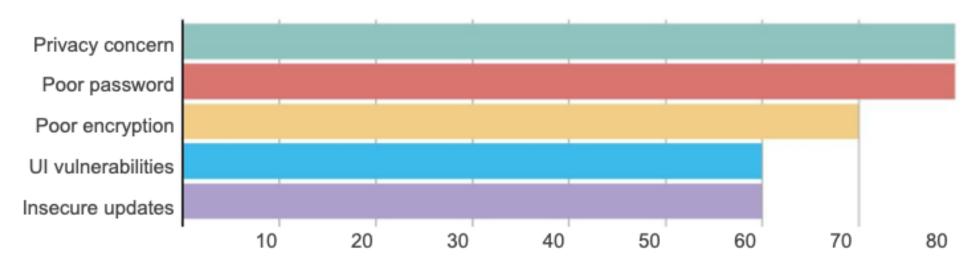


https://www.precedenceresearch.com/i ot-security-market



#### Some numbers...

- More than 70% of IoT devices are highly vulnerable to attacks.
  - Additional efforts are required to guarantee that IoT systems are safe and secure.



Thanks to: Sara Baldoni (sara.baldoni@unipd.it)

- IoT systems come with inherent security concerns:
  - IoT systems are distributed systems with many geographically dispersed heterogeneous nodes with different capabilities, connected via separate physical networks.
  - IoT systems can interact directly with the physical world and can thus impact the health and safety of people.
  - IoT systems are constrained devices (energy, capacity, etc.).
  - IoT systems are deployed in unprotected and unattended environments.
  - IoT systems often deal with confidential data, so privacy becomes a primary concern.

- Still, there are some good features:
  - IoT Edge and many other components are fixed or narrow-function devices, not generalpurpose computing systems.
  - IoT endpoints can be directed to communicate only with a **limited population** of known authenticated entities that may be identified and with whom trust can be established.
  - Additional precautionary measures may be taken to reduce exposure:
    - Not allowing the downloading of unsolicited or unauthenticated software.
    - Closing of ports not used for IoT communication.
    - Elimination of OS features that allow remote login, shell access, or support unsafe protocols.

# Security threats

- IoT security can be defined as "protecting it from unauthorized access or changes."
- Security objectives can be represented as a pyramid (CIA):
  - <u>Confidentiality</u>: ensure that information (data) is available only to authorized parties.
  - <u>Integrity</u>: ensure that information (data) is accurate and unchanged (untampered).
  - <u>A</u>vailability: ensure that information is available for access whenever an authorized user requires it.



# Security attacks

## Physical attacks

- Physical attacks consist in manually attacking the target system:
  - Node substitution/cloning.
  - Physical damage.
  - Creation of faulty measurement (e.g., using a lighter for a thermal sensor).
  - Hinder a measurement (e.g., using a piece of paper in front of a security camera).

# Security attacks

#### Software attacks

- Software attacks can cause different altered behaviors (e.g., modify the way an actuator interacts with the physical world):
  - Viruses and worms.
  - Trojans.
  - Code injection: commanding the actuator to perform unsafe actions.
  - Phishing emails.
  - Configuration of nodes with the default common user and password combinations.

# Security attacks

#### Network attacks

- Network attacks occur on the communication channel:
  - Sniffing or eavesdropping (on wired or wireless channels).
  - Spoofing.
  - Man-in-the-middle.
  - Denial of Service (e.g., flooding a wired channel, jamming a wireless channel).
  - Sinkhole attack (routing): a node declares itself as having exceptional resources and power, thus causing its neighbors to choose it as a routing waypoint.
  - Wormhole attack (routing): two malevolent nodes claim that there is only a single hop between them and thus divert a lot of routed traffic to themselves.
- Wireless networks can provide additional exposure because they can be eavesdropped without requiring physical taps on the communication medium.

## Security principles

### Requirements

- The requirements for IoT systems are:
  - Safety: components need to be certified, and continuous monitoring is needed.
  - Reliability: the system needs to operate continuously (redundancy can help).
  - **Resilience**: the system has to absorb and limit the effects of small issues and resume operation rapidly after major accidents.

## Security principles

#### Guidelines

- Saltzer and Schroeder outlined general design principles for protection:
  - Least privilege: Every user should use the least privileges necessary for a task.
  - Separation of privilege: satisfy more than one condition (e.g., 2 keys for a vault).
  - Least common mechanism: minimize the number of mechanisms shared among users.
  - Economy of mechanism: keep the design simple.
  - Complete mediation: every access should be checked for authorization.
  - Fail-safe default: the default condition should be lack of access.
  - Open design: the design should not be secret (do not rely on the ignorance of attackers).
  - User acceptability: the mechanism needs to be user-friendly.
  - Work factor: stronger security measures should make attackers work harder.
  - Compromise recording: system keeps attack records to discover unauthorized use.





# 13 – Security Security planning and analysis

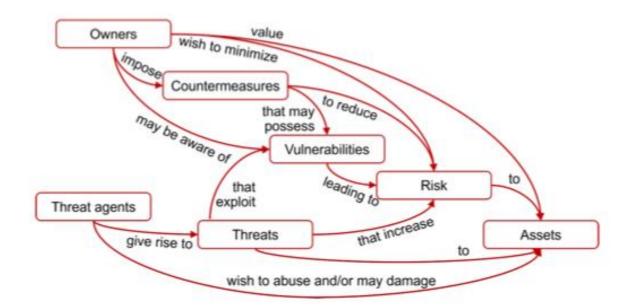
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#### Introduction

 Security should be considered in an IoT system from the initial design, and it is a continuous process.



Thanks to: Sara Baldoni (sara.baldoni@unipd.it)

#### **Definitions**

- Risk can be defined as "the possibility that a threat agent will exploit a vulnerability to damage an asset."
- A vulnerability can be defined as "a software/hardware bug or misconfiguration that a malicious individual can exploit."
  - Simply put, it is a weakness in a system (or countermeasures for protecting it).
  - The vulnerability meaning is related to the threat concept.
- A threat can be defined as "any activity or event that can cause an unwanted outcome (e.g., damage, disruption or loss of an asset)."
  - The relevance of a threat depends on its impact.

#### **Definitions**

- The amount of risk the vulnerability presents depends on:
  - The number of system affected by the vulnerability.
  - The criticality of the affected systems. It represents the measure of how valuable the
    asset is to the organization, if compromised.
  - The exposure those systems present to the organization.
- Therefore, the risk can be computed as:

```
Risk = Vulnerability × Attacks × Threat × Exposure
```

#### **Definitions**

- Risk cannot be eliminated, but an analysis of threats can help in risk mitigation.
- Risk analysis allows to define the proper level of security for satisfying the
  operational objectives at a cost that provides an acceptable return on investment.
- Risk **avoidance** seeks to eliminate the risk by eliminating exposure to the specific threats (e.g., eliminate nonessential features).
- Risk acceptance consists in deciding not to invest in preventive measures if the attack has a very low probability, or its impact can be managed.
- Risk transference consists in transferring a risk to a third party (e.g., insurance company) upon payment. Valid for unanticipated (low-probability) incidents.

- Threat modelling: identify how an attacker can attempt to compromise the system.
- The basic steps in the threat modeling process are:
  - Model the system, i.e., create an architecture diagram (components and flows).
  - Enumerate threats.
  - Mitigate threats.
  - Validate mitigations.

## Model the system

- Usually performed by analyzing the system model.
- It should identify all key components (sensors and actuators), their connections, and data and control flows between specific components and in the overall system.
- The model is evolved by determining the requirements that specify what the system needs to so (e.g., monitor and control the operation of a process).

#### Enumerate threats

- Known threat types are summarized in the STRIDE model.
- Attacks may combine several of these modes.

Threat	Violated property	Description
<u>S</u> poofing	Authentication	Impersonating someone/something else
<u>T</u> ampering	Integrity	Altering hardware, data, code
<u>Repudiation</u>	Non repudiation	Denial of being involved
<u>I</u> nformation disclosure	Confidentiality	Exposing the asset to unauthorized parties
<u>D</u> enial of Service	Availability	Denial of offered services
<u>E</u> levation of privilege	Authorization	Gain capabilities without authorization

#### Enumerate threats

- The DREAD model has been developed to rating the threats.
  - <u>Damage potential</u>: the potential damage that an exploit can cause.
  - <u>Reproducibility</u>: how easy it is to reproduce an exploit.
  - <u>Exploitability</u>: what is required to make the exploit successful.
  - Affected users: the number of users affected by the exploit.
  - **Discoverability**: the ease with which the threat can be uncovered.

## Mitigate and validate threats

- Designing the appropriate defence mechanisms.
- Validate that the chosen mitigations address all identified vulnerabilities.
- When analyzing threats, the general advice is to think like an attacker and to examine ways in which they may attempt to penetrate the system.
  - Reconnaissance.
  - Scanning.
  - Access.
  - Maintain access.
  - Cover the tracks.





# 13 – Security Cryptography

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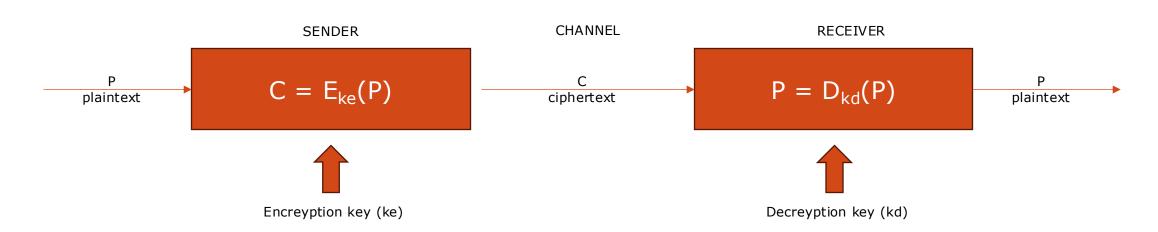
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# Cryptography

#### Overview

- Cryptography is the enciphering and deciphering of messages in secret code.
- It is the principal solution for providing confidentiality, integrity and authentication.
- It allows to convert a message (plaintext) to a secret code (ciphertext).
- The transformation is performed using a key, so that without the correct key it is not possible to perform the inverse conversion.



## Cryptography

#### Threats

- Attacks targeting cryptographic systems can be:
  - Ciphertext only: the attacker sniffs the traffic and has to analyze the encrypted data through cryptanalysis techniques.
  - Known plaintext: the attacker has access to the plaintext and the ciphertext.
  - Chosen plaintext: the attacker is able to get the source system to insert into the system
     a message chosen by him/her.
- Cryptographic systems are subject to brute-force attacks where the ciphertext can be computer-analyzed by rapidly trying many, and in some cases all, different combinations or keys.
  - The process can be aided by exploiting known weaknesses (e.g., language patterns).

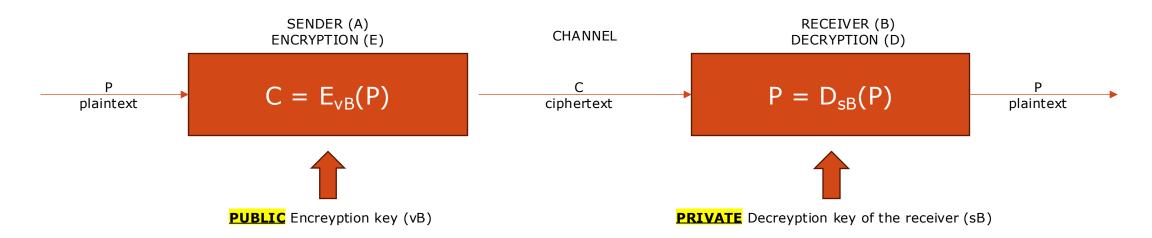
# Cryptography

## Types

- **Symmetric**: Use the same key for both encryption and decryption.
  - The (private) key should be secret.
  - An additional mechanism for secure key distribution is required.
  - This may be accomplished by using separate channels or key exchange mechanisms.
- Asymmetric (or public): Different keys are used for encryption and decryption.
  - Encryption and decryption algorithms are easy to compute.
  - It is computationally easy to generate a key pair.
  - It is computationally infeasible to derive a private key from the corresponding public one.
  - Given the ciphertext and the public key, it is infeasible to reconstruct the plaintext.

## Public-key cryptography

- Asymmetric system.
- Use different keys for encryption and decryption (publicly visible and private).
  - Public keys may be visible to all.
  - Private keys are kept secret by each node and stored in hardware-secured registers.
- Each entity uses a method to compute a matching pair of public and private keys.

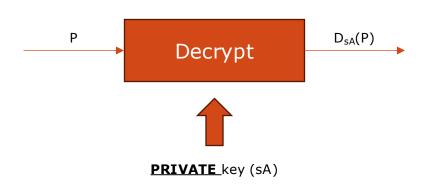


## Public-key cryptography

- The ciphertext is sent to the recipient over insecure communication links.
- Confidentiality: ensured, since only the recipient B knows its private key
  with which the message can be decrypted.
  - An eavesdropper can obtain the ciphertext and access the public key, but finds it computationally infeasible to reconstruct the original message.
- What about integrity and authentication?

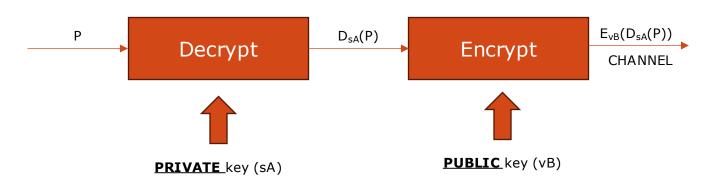
## Public-key cryptography: double transformation

- Used to simultaneously achieve confidentiality, integrity, and authentication.
- The sender (A) performs a decrypt transformation on the message using its private (sequestered) key (sA).



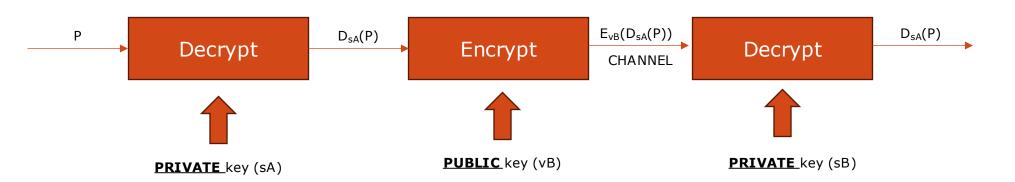
### Public-key cryptography: double transformation

• The sender (A) then **encrypts** the result using the recipient's public key (vB), and sends the message to the receiver (B).



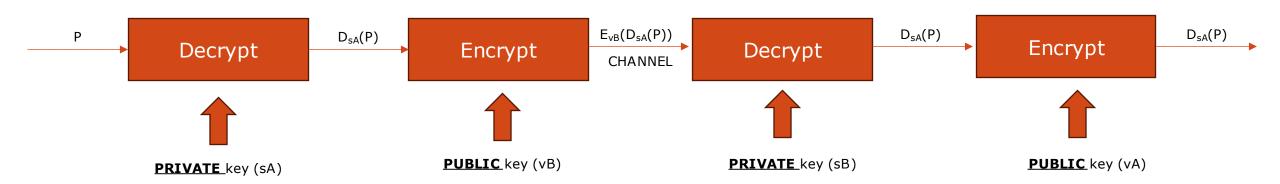
### Public-key cryptography: double transformation

• The receiver (B) also performs a double transformation, starting by **decrypting** the received ciphertext to obtain  $D_{sA}$  (P).



## Public-key cryptography: double transformation

This ciphertext is encrypted using A's public key (vA) to obtain original message.



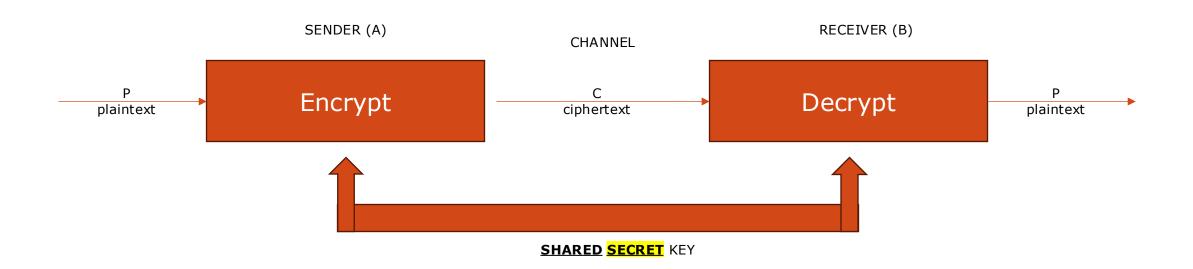
## Digital certificates

- Public keys need to me somehow distributed, and the link between the key
  owner and the key must be certified.
- Moreover, there should be a trusted relationship between public and private key.
- Digital certificates can be employed for this purpose.
- They are managed by a trusted third party: Certificate Authority (CA).
- To obtain a digital certificate, an entity provides its identity and public key to the CA which validates the identity and issues the certificate.
- The certificate includes:
  - Name of the entity that owns it and its public key.
  - Certificate validity/expiration.
  - The CA which issued it (and many more data).
  - It is signed with the CA's private key (anyone with its public key can verify the certificate).

## Public-key cryptography

- The standard cryptographic algorithms may not be directly applied to IoT systems since they are constrained devices.
  - To increase security, use of longer keys is recommended, but it also increases the computational burden on node.
- Some recommendations for IoT systems:
  - Use Lightweight cryptography (LWC) (which does not mean "weak").
  - Coupled with the addition of dedicated hardware assists.
  - Use symmetric cryptography due to the smaller computational cost.
  - Use asymmetric cryptography only to exchange the symmetric keys.

- Use the same key for encryption and decryption.
- Used to ensure confidentiality, integrity, and authentication of messages.
- Communicating nodes must share a secret key.



- Symmetric-key algorithms are public and thus follow the principle of open design.
  - The sending node (A) uses a mutually agreed-upon encryption algorithm to encrypt the message using its copy of the shared private key.
  - The receiving node (B) decrypts the message using the matching algorithm and its copy
    of the shared key.
  - The cryptographic algorithm defines encryption and decryption as **inverses** of each other.
  - Any other recipient (or interceptor) does not have the shared key and cannot recover the original plaintext message sent by A.

## Key exchange

- Nodes using symmetric cryptography need to securely establish secret keys.
- This can be done through a message exchange using public-key cryptography.
  - However, this approach imposes the burden of implementing a public-key crypto system which may be too much overhead if it is only needed for the key exchange.

## Symmetric cryptography

### Key exchange

- Another option: <u>jointly construct</u> the key (**Diffie and Helman**).
  - Execute incrementally a specified algorithm with the exchange of intermediate results and parameters over an insecure channel.
  - By knowing each other's intermediate outputs, both nodes compute the same secret key.
  - It is infeasible for a third party to compute the same secret key by knowing the chosen algorithm, parameters, and partial outputs exchanged by the two nodes.
  - The identical secret key is computed without having to actually exchange it.
  - Susceptible to a man in the middle attack.

# Cryptography

## Comparison

Aspect	Symmetric cryptography	Asymmetric cryptography	
Key usage	Single shared key for encryption and decryption.	Uses a pair of public and private keys.	
Speed	Faster because of simpler algorithms and shorter key lengths.	Slower due to more complex algorithms and longer key lengths.	
Key management	Requires secure distribution of the shared key to all parties.	No need to share private key; public key can be freely distributed.	
Security	Less secure if the shared key is intercepted or leaked.	More secure as private key is never shared.	
Computational overhead	Low computational overhead, efficient for large-scale encryption.	High computational overhead, more suited for initial secure handshakes.	

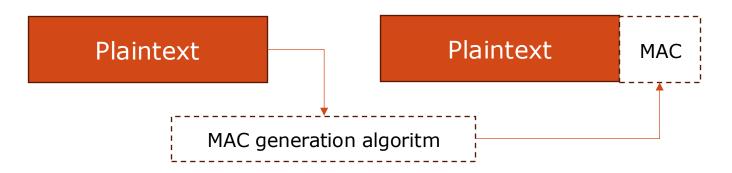
#### Introduction

- Encryption schemes provide various combinations of message confidentiality, integrity, authentication, and nonrepudiation.
- Assurance that the message has not been altered (intentionally or by chance).

<b>Encryption scheme</b>	Confidentiality	Integrity	Authentication	Digital signature
Asymmetric E <sub>vB</sub> (P)	YES	YES	NO	NO
Asymmetric E <sub>sA</sub> (P)	NO	YES	Partial (YES with MAC)	YES
Double transformation	YES	YES YES		YES
Symmetric, shared key	YES	YES	Partial (YES with MAC)	NO

## Message Authentication Code (MAC)

- Authentication is realized by computing a Message Authentication Code (MAC).
- It implies the usage of a shared secret key (possibly different from encryption key).
- Computed by the sender node using a known function and the secret key to produce a relatively short fixed-length value that serves as the authenticator (aka tag).
- Once computed, the MAC is appended to the message.
- MAC-generation algorithms have the property that it is not possible to alter the message without affecting the authentication tag.



### Message Authentication Code (MAC)

- Upon receipt of the message, B uses the shared secret authentication key to compute the MAC on the payload part of the message, and compares it with the MAC that was received.
- If the two **match**, the receiver knows that the message was not tampered with, and A is the only other party that has the secret key used for MAC generation.
- For security of authentication, it should be computationally infeasible to compute a
  valid authenticator (tag) of the given message without knowledge of the key.
- To be of practical value, computation of the MAC itself should be relatively simple when the encryption key is known.

### Message Authentication Code (MAC)

- Hashing is a commonly used way of computing MACs.
  - Hashing functions produce a fixed length signature that may be used for file identification.
  - With the addition of a secret key to compute the hash, hashing can be made secure and computable only by the communicating parties who have the secret key.
- The MAC allows to authenticate the message but it does not provide confidentiality.
  - Okay for some types of IoT applications where data integrity may be more of a concern than confidentiality, such as reports of the non-sensitive sensor data.
- If confidentiality is needed, the message should be encrypted (a/symmetric).
  - Higher security means higher overhead with increased resource requirements and latency.





# 13 – Security Endpoint security

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# Endpoint security

#### Introduction

- Protection in all components, including endpoints.
- Basic objectives of IoT endpoint security include:
  - Node identification and authentication.
  - Secure state (bringing up and maintaining).
  - Secure communications.
  - Security monitoring and attestation.
- There is a wide range of techniques for implementation of endpoint security:
  - Hardware endpoint security.
  - Software endpoint security.



### Hardware Security Modules (HSM)

- Hardware-based dedicated security component (a physical device with its own separate processor and storage) designed to provide high levels of security.
- They typically provide a safe isolated execution environment for implementation of security functions, usually coupled with the capability to generate and safeguard cryptographic keys that can be used for authentication, platform integrity, and secure communication.
- HSM can assist in bringing up of a node in a known good starting state.
- Several modalities:
  - Trusted Platform Module (TPM).
  - Secure Element (SE).
  - Trusted Execution Environments (TEEs).

### Trusted Platform Module (TPM)

- A chip embedded in devices to provide a secure processor for storing cryptographic keys, performing cryptographic operations, and ensuring integrity.
- Some of the key components and capabilities of a TPM include:
  - Secure storage: cryptographic keys, passwords, certificates.
  - Cryptographic functions: key generation, encryption, decryption, hashing, digital signing.
  - Integrity measurement: store the integrity of the system's software (e.g., BIOS, firmware, bootloader) to detect unauthorized modifications.
  - **Attestation**: provide evidence to a remote or local entity that the system's configuration has not been tampered with.

## Trusted Platform Module (TPM)

- Several TPM forms (in decreasing order of security):
  - Discrete: a separate dedicated hardware component.
  - Integrated: into other processing elements.
  - Firmware: in a separate protected execution environment.
  - Software: an emulator.

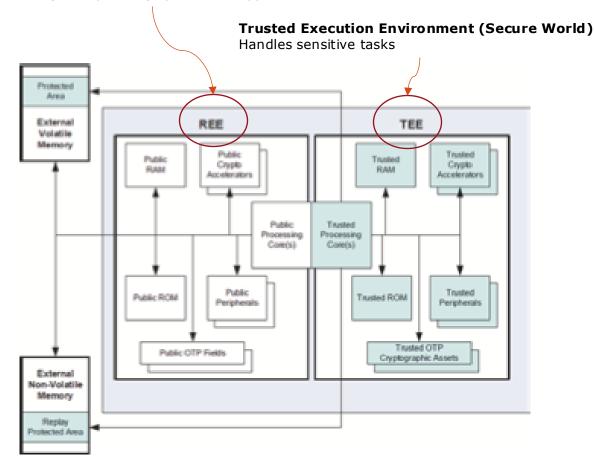


### Trusted Execution Environment (TEE)

- The main processor is divided into the Normal World (REE) and the Secure World (TEE).
- It is a secure area of a main processor that isolates sensitive data and computations from the rest of the system. It may be used to:
  - Storage of cryptographic keys.
  - Execution of security code.

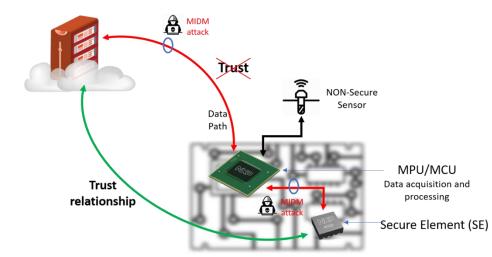
#### Rich Execution Environment (Normal World)

Runs the regular operating system and applications



### Secure Element (SE)

- Tamper-resistant hardware component capable of securely hosting code and confidential data (coupled with dedicated processor to execute secure code).
  - Examples: authentication, identification, signatures, and PIN management.
- It acts as a vault, protecting what is inside the SE (applications and data) from typical malware attacks in the host (i.e., the device operating system).



Thanks to: Adrian Buzescu https://www.linkedin.com/pulse/role-secure-element-securing-

iotembedded-designs-adrian-buzescu/

## Comparison

Feature	ТРМ	SE	TEE
Definition	A hardware chip for cryptographic operations and platform integrity.	A dedicated hardware component for secure data storage and processing.	A secure area in the main processor for running trusted code.
Primary purpose	Platform security and integrity (e.g., attestation, key storage).	Store sensitive data (e.g., payment info, credentials) securely.	Isolate sensitive operations and data from the main OS.
Location	Separate hardware chip or integrated module.	A standalone hardware module (sometimes embedded in the SoC).	A hardware-isolated region within the main processor.
Key use cases	Secure boot, remote attestation, encryption, and signing.	Payment systems (e.g., EMV), SIM cards, and NFC transactions.	Mobile app security, DRM, and secure key handling.
Performance	Dedicated, so highly optimized for security tasks.	Typically slower but highly secure due to dedicated hardware.	Shares resources with the main processor, so faster than SE but less secure.
Hardware isolation	Full isolation as a discrete module.	Full isolation due to dedicated hardware.	Partial isolation (rely on main processor architecture).





# 13 – Security Network security

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#### Introduction

- IoT networks are implemented using a layered design. Therefore, communications can be secured at different layers.
- It is believed that IP will be the base common network protocol for the IoT.
- However, there are (and will be) also devices organized in networks implementing application-specific communication protocols.

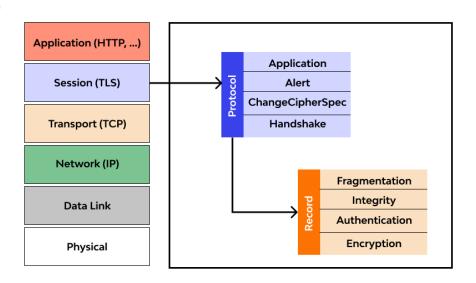
	Internet	IoT
Application	HTTP	CoAP
Transport	TCP	UDP
Network	IP	IPv6/6LowPAN
Link	MAC	MAC
Physical	PHY	PHY

#### Introduction

- For example, at the app level, CoAP does not provide security functionalities.
- Security functionalities are implemented by the application (protecting the data before the CoAP encapsulation) or at one of the underlying layers.
- Application-level security:
  - End-to-end protection can be guaranteed.
  - It simplifies the requirements for underlying layers and reduces the cost in terms of packet size and data processing: per data and not per-packet overhead is introduced.
- Transport/network-level security:
  - The same security mechanism can be shared by multiple applications.

### Transport Layer Security (TLS)

- Designed to work with TCP.
- The main functionalities are:
  - Authenticating the endpoints and define the set of cryptographic keys.
  - Exchanging confidential data through symmetric encryption.
  - Authenticating messages through secure hashing.



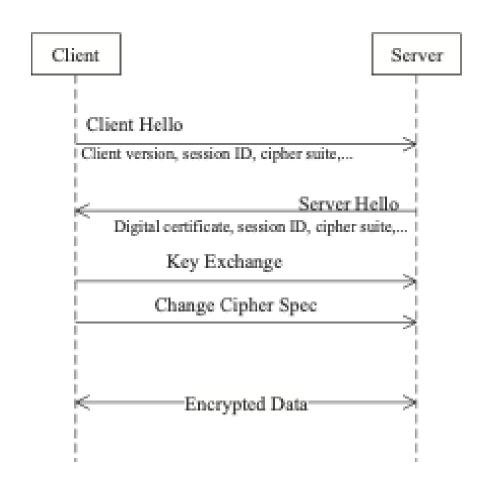
### Transport Layer Security (TLS)

- Two primary components:
  - Handshake protocol: used to negotiate cryptographic modes and parameters, authenticate communicating parties, and establish the shared key material.
  - Record protocol: uses parameters established by the handshake to protect traffic between endpoints, and divides traffic into a series of records, each of which is independently protected using traffic keys.

### Transport Layer Security (TLS): handshake

- Server Hello includes:
  - The level of security protocol;
  - The crypto parameters to use from the client list;
  - Random number combined with datetime, session ID, and compression methods to use;
  - The digital certificate (containing its identity and public encryption key).

The random numbers are used to initiate and complete the key exchange using the agreed-upon protocol.



### Datagram Transport Layer Security (DTLS)

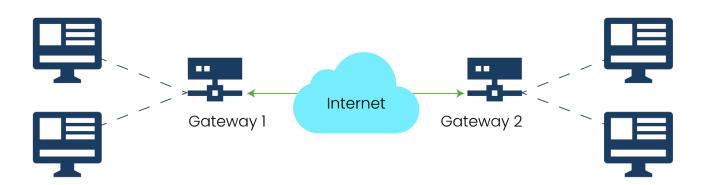
- Designed to work with UDP.
- <u>Problem</u>: overhead caused by DTLS (the underlying protocols, such as IEEE 802.15.4, have limited packet size).
  - Packet optimization and compression mechanisms.
- DTLS creates a point-to-point secure association (through a handshake process similar to TLS handshake): not compatible with multicast IP communications.
- DTLS provides three security modes:
  - PreSharedKey: the devices store symmetric pre-shared keys
  - RawPublicKey: the devices own a private-public key pair without certificate.
  - **Certificate**: the devices store an X.509 certificate.

### Internet Protocol Security (IPsec)

- It provides confidentiality, integrity, data-origin authentication and protection against replay attacks.
- The IPsec protocol suite includes two principal protocols:
  - Authentication Header (AH): it provides source authentication and data integrity.
  - Encapsulation Security Payload (ESP): it also provides confidentiality.
- IPsec has two different packet forms:
  - Tunnel mode.
  - Transport mode.

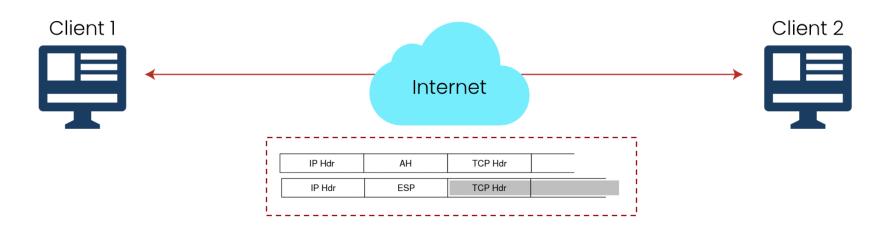
### Internet Protocol Security (IPsec): Tunnel mode

- The entire original IP pck is encapsulated to become the payload of a new IP pck.
- A new IP header is added on top of the original IP packet.
- It protects the whole IP packet.
- Useful for protecting traffic between different nets: the packet is sent with a new IP header, and intermediate routers cannot see anything about the original packet.
- It simplifies the key exchange procedure.



### Internet Protocol Security (IPsec):

- It retains the original IP header.
- <u>Drawback</u>: the IP header is not protected, thus allowing traffic analysis.
- It is employed for end-to-end communication between two hosts that previously established a secure IPsec tunnel.
- Since a new IP header is not created, the process is less complex than tunnelling.



## Internet Protocol Security (IPsec)

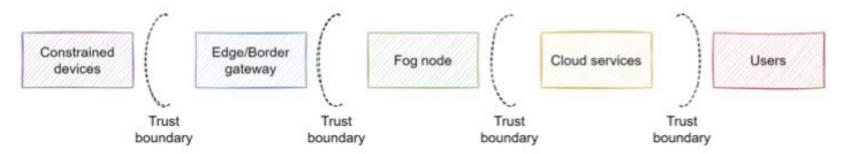
- Before sending IPsec datagrams, the source and destination must create a logical connection called Security Association (SA).
- It is a one-way connection.
- If IPsec traffic has to flow in both directions, so two SAs are needed.
- The state information of a SA include:
  - A Security Parameter Index (SPI) which identifies the SA.
  - The origin and destination interface of the SA (the IP addresses).
  - The security protocol identifier which indicates if it is an AH or ESP security association.
  - The type of encryption to be used.
  - The type of integrity check.

## Internet Protocol Security (IPsec)

- The keys can be dynamically exchanged using the IPsec INternet Key Exchange (IKE) protocol.
- <u>Problem</u>: it uses asymmetric cryptography and is computationally heavy for constrained devices.
  - IKE extensions using lighter algorithms should be considered.
- <u>Problem</u>: strong data overhead due to the additional headers.
  - Header compression techniques are needed.
- <u>Problem</u>: no end-to-end security guarantees if data flows through proxies and application-level gateways.
  - A security gateway is needed.

## Network segmentation

- Different portions of the IoT network may have different security requirements.
- A first classification can be made between the data plane and the control plane.
  - Since the actuation commands have direct impact on the physical world, they may be subject to stronger protection.
- It is a good design practice to divide networks into segments (trust zones).
- Each zone has access control, authentication rules, security policies and protocols.



Thanks to: Sara Baldoni (sara.baldoni@unipd.it)

## Denial of Service (DoS)

- <u>Example</u> for IoT: **denial of sleep**.
- It consists in keeping IoT devices always active (receiving or processing data) thus
  preventing the switch to energy-saving sleep mode.
  - The DTLS handshake poses a relevant issue for DoS attacks towards the server.
  - An adversary may continuously transmit ClientHello messages to the DTLS server and start a large amount of DTLS handshakes.
  - When the handshake starts, the server allocates resources for the connection: waste.
  - If the attacker spoofs the IP of a victim node and starts a DTLS handshake with a server, the server will send reply messages to the innocent node: amplification effect.
- <u>Possible countermeasures</u>: frequency hopping, randomize the wake-up time, etc.

#### **Authorization**

- The process of granting approval to a client to access a resource.
- It allows to answer to the following questions:
  - Which users can access some given data?
  - How should the information be presented to a given user?
  - Which operations is a user allowed to perform?
- There are three main authorization approaches:
  - Discretionary access control (DAC): restrict access based on the subject identity.
  - Role-based access control (RBAC): map permissions to roles assigned to subjects.
  - Attribute-based access control (ABAC): map permissions to attributes for the subjects.

#### **Authorization**

- The Open Authorization (OAuth) protocol has been introduced for allowing authorized third parties to access personal user data.
- It has to be adapted to the IoT scenario to be compatible with constrained devices.
- Header compression minimizing the amount of sent information.
- It defines different roles:
  - Resource owner: the entity that grants access to a protected resource.
  - Resource server (Service Provider SP): a server hosting user-related information.
  - Client (Service Consumer SC): third parting which needs access to user data.
  - Authorization Server (AS): server which issues access tokens to the client after obtaining
    authorization from the resource owner. The tokens are authentication credentials containing
    information about the SC identity and the user identity.

#### **Authorization: IoT-OAS**

- An example of an architecture based on OAuth for IoT is IoT-OAS.
- It defines an external service which can be invoked so that:
  - The smart object keeps its simplicity, since it only needs to invoke an external service.
  - The access control policies at the SP can be dynamically and remotely configured, whereas it
    may be difficult to act directly on the smart object.

### Security monitoring and management

- Security monitoring can follow different strategies:
  - Real-time: keep track of current system state, raise alarm when an anomaly is detected.
  - Predictive: identify patterns identifying when an attacker has breached a portion of the system, or indicating a future attack.
  - **Forensic**: record data concerning past attacks to gain insights and, if possible, enhance the system security in the future.
- Security analytics can be:
  - Rule/signature-based: rely on pre-defined rules or signatures to detect anomalies.
  - Behavioral analysis: nominal behavior patterns are learnt and an anomaly is declared when the current system behavior deviates from the modeled one.

### Security monitoring and management

- After a security breach, an incident response has to be applied:
  - Identify the affected parts.
  - Isolate the affected parts (e.g., disconnection).
  - Start the recovery action to restore normal operation conditions.
  - Perform forensic analysis to determine the root cause and enhance system for the future.





# 13 – Security Privacy

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## Privacy

#### Introduction

- Manufacturing or production-centric organizations will be more focused on the risk scenarios and their impact on business.
- Organizations whose IoT systems are customer-centric will be more concerned with privacy and legal issues.
- Privacy is one of the main issues with IoT systems, due to the use of IoT devices:
  - <u>Example</u>: Home devices; wearable devices; in-vehicle devices.
- In addition, data is recorded regularly and in a continuous fashion.
  - This provides information about habits and trends, leading to profiling issues.

## Privacy

#### Introduction

- User's data should be collected only with the user explicit consent.
- Moreover, the user should be aware of the following information:
  - Which personal data are collected.
  - Who has access to the data.
  - If data are secured during transfer and storage.
  - How long the data are kept.
  - What are the notification procedures when data breaches are detected.

### Privacy in IoT

- <u>Issue with IoT</u>: difficulty of **interacting** with the customers.
  - Many IoT devices do not have screens.
  - Those that have screens may have small ones.
  - Some IoT products are not consumer facing (e.g., a sensor for electricity monitoring).

### Privacy in IoT

- IoT developers should distribute their products in a way that guarantees that the user receives all the relevant information concerning the data collection.
  - Providing consumers with opt-in choices at the time of purchase.
  - Offering video tutorials.
  - Affixing QR codes on devices.
  - Incorporating notices and providing choice as part of set-up wizards.
  - Providing a management portal for privacy settings that consumers can configure and revisit, possibly from a separate device such as a smartphone.
  - Use of icons
  - Communicating with the customer outside the device, for example, through email

#### Data minimization

- A key principle which needs to be followed is data minimization.
- It consists in limiting the amount of data collected, and delete them when they are no longer needed.
- There are many data policies such as:
  - Not to collect data.
  - To collect only the type of data necessary or the functionality of the product being offered.
  - To collect less sensitive data.
  - To deidentify the data collected.
  - To seek consumers' consent for collecting additional, unexpected categories of data.

#### Deidentification

- Deidentification allows to reduce the risk concerning the users' privacy while preserving the value of the collected information.
- It reduces the risk of a privacy breach if the data is lost, stolen or accessed by unauthorized people.
- However, deidentification is <u>not</u> flawless, in some cases it has been demonstrated that deidentified data can be re-identified.

#### Cybersecurity regulations in the EU

- Cybersecurity is regarded as a highly complex issue which requires the active involvement of a range of stakeholders, including the legislator.
- This is a significant change since initially it was perceived as a purely technical matter related to measures ensuring the availability, integrity and confidentiality of information and information systems.
- The first European Union Cybersecurity Strategy (2013) identifies cybersecurity as a new EU policy area:



"an excellent example of an area in which the different policy fields need to be combined (a requirement for horizontal consistency), and where measures need to be taken at the level of both the EU and Member States (calling for vertical consistency) "

- Five strategic EU cybersecurity priorities have been identified:
  - Achieving cyber resilience by establishing minimum requirements for the functioning, cooperation and coordination of national competent authorities for network systems.

- Five strategic EU cybersecurity priorities have been identified:
  - Reducing cybercrime by:
    - Ensuring a swift transposition of the cybercrime related EU Directives;
    - Encouraging ratification of the Council of Europe's Budapest Convention on Cybercrime;
    - Funding programmes for the deployment of operational tools.

- Five strategic EU cybersecurity priorities have been identified:
  - Developing cyberdefence policy and capabilities related to the Common Security and Defence Policy (CSDP) by:
    - Assessing operational EU cyberdefence requirements;
    - Developing the EU cyberdefence policy framework;
    - Promoting dialogue and coordination between civilian and military actors in the EU;
    - Facilitating a dialogue with international partners.

- Five strategic EU cybersecurity priorities have been identified:
  - Developing the industrial and technological resources for cybersecurity by:
    - Establishing a public-private platform on Network and Information Security (NIS) solutions;
    - Providing technical guidelines for the adoption of NIS standards and good practices;
    - Encouraging the development of security standards for technology "with stronger, embedded and user-friendly security features."

- Five strategic EU cybersecurity priorities have been identified:
  - Establishing a coherent international cyberspace policy for the EU and promoting core EU values by mainstreaming cyberspace issues into EU external relations and Common Foreign and Security Policy (CFSP), and by supporting capacity building on cybersecurity and resilient information infrastructures in third countries.

- The main EU legal acts guiding the maintenance of information systems are:
  - **General Data Protection Regulation (GDPR)**: it aims at strengthening and clarifying rights concerning personal data of natural persons. It affects organizations globally if they manage data belonging to users in the European Union.
  - **Directive on Security of Network and Information Systems (NIS)**: it will likely be adopted differently by member states, although it defines what can be considered a minimum level of security responsibilities for information systems.
    - Preventing risks: use measures that are appropriate and proportionate to the risk.
    - Ensuring IT security: ensure a level of security of the information appropriate to the risks.
    - Handling incidents: minimize the impact of incidents on the systems used for the services.

#### Cybersecurity regulations in the EU in IoT

#### In IoT:

- Data quality from data generators, such as sensors, must, in light of the GDPR, be accurate and reliable.
- Meta information concerning for instance data source and access rights, should be recorded along with the data when it becomes associated with a natural person.
- During feature engineering, efforts must be made such that descriptive statistics do not introduce new features that can be considered sensitive.
- The need for transparent processing and transparent data transfers / manipulations / removals may be best met by an immutable data storage solution, such as a ledger-based technology like blockchain.