

Lecture V

1

The Threshold Theorem :

Definitions & level reduction

In the next two lectures we will prove the threshold theorem, one of the most important results in quantum information theory.

Fault-tolerant EC (formal def) ②

Def: FT EC Recovery Property

(ECRP) ② in Lecture 1
p. 13

$\boxed{\text{EC}}$ satisfies ECRP if

whenever $s \leq t$ $t = \left\lfloor \frac{d-1}{2} \right\rfloor$

$$\equiv \boxed{\bar{\text{EC}}} \equiv = \exists \boxed{\text{EC}} \equiv \boxed{f_s} =$$

$\uparrow s$ faults

where $\boxed{f_s}$ is an s -filter

i.e. a projector onto the subspace spanned by codewords w/ $\leq s$ errors

Def : FT EC Correctness

Property (ECCP)

① in
lecture 1
p.12

$\boxed{\text{EC}}$

satisfies ECCP if

whenever $r+s \leq t$

$$\equiv \boxed{f_r} = \boxed{\underset{\uparrow s}{\text{EC}}} = \boxed{d} -$$

||

\curvearrowleft ideal
decoder

$$\equiv \boxed{f_r} = \boxed{d} -$$

Def : FT Gate Error

Propagation Property
(GPP)

If logical gate $\boxed{\bar{u}}$ satisfies
GPP if, whenever $r+s \leq t$,

$$\equiv \boxed{f_r} \equiv \boxed{\bar{u}} \equiv$$

\uparrow_s

"

$$\equiv \boxed{f_r} \equiv \boxed{\bar{u}} \equiv \boxed{f_{r+s}} \equiv$$

\uparrow_s

Def : FT Gate Correctness Property (GCP)

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A 1q logical gate $\boxed{\bar{u}}$ satisfies GCP if, whenever $r+s \leq t$

$$= \boxed{f_r} = \boxed{\bar{u}} = \boxed{d} - \\ \text{r } s$$

"

$$= \boxed{f_r} = \boxed{d} - \boxed{u} -$$

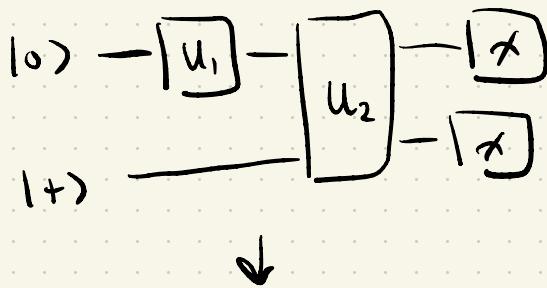
↑ ident
gate

Can check these conditions
for the FT EC & gate
implementations we covered
in prev. lectures.
(Also analogous defns for
state prep. & meas.)

We assume ECRP, ECCP,
GPP, GCP hold.

Extended rectangles (exRecs)

Recall the fault-tolerant version of a circuit

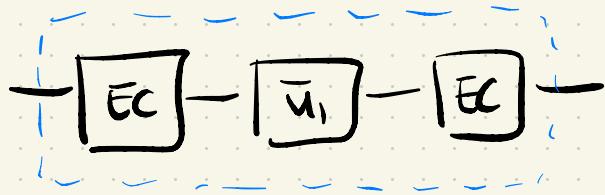


$$\begin{aligned} |\bar{0}\rangle &\equiv \boxed{\text{EC}} = \boxed{\bar{U}_1} = \boxed{\text{EC}} = \boxed{\bar{U}_2} = \boxed{\text{EC}} = \boxed{\bar{x}} \\ |\bar{+}\rangle &\equiv \boxed{\text{EC}} = \boxed{\text{I}} = \boxed{\text{EC}} = \boxed{U_2} = \boxed{\text{EC}} = \boxed{\bar{x}} \end{aligned}$$

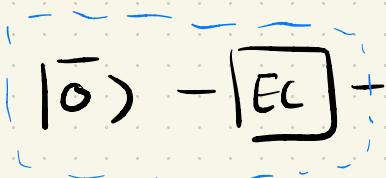
(Encoding in $k=1$ QECC)

An exRec consists of

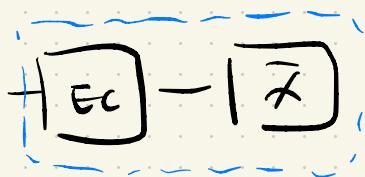
(8)



Logical gate + Ec before & after



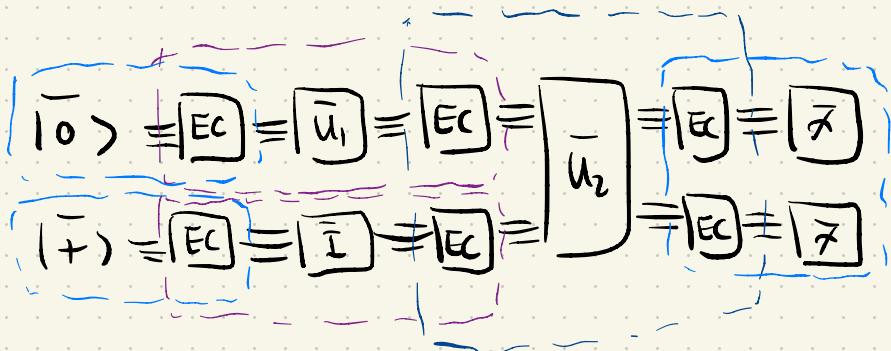
Logical state prep + Ec after



Logical measurement + Ec before

If the underlying QECC
 corrects t errors, an
 exRec is **good** if it
 contains no more than
 t faults and is **bad**
 otherwise.

exRecs overlap



A 1q gate exRec is

correct if

$$\equiv \boxed{\text{EC}} \equiv \boxed{\bar{u}} \equiv \boxed{\text{EC}} \equiv \boxed{D} -$$

ideal
decoher

"

$$\equiv \boxed{\text{EC}} \equiv \boxed{D} - \boxed{u} -$$

ideal
gate

A 2q gate exRec is
correct if

$$\equiv \boxed{\text{EC}} \equiv \boxed{\bar{u}} \equiv \boxed{\text{EC}} \equiv \boxed{D} -$$

$$\equiv \boxed{\text{EC}} \equiv \boxed{u} \equiv \boxed{\text{EC}} \equiv \boxed{D} -$$

"

$$\equiv \boxed{\text{EC}} \equiv \boxed{D} - \boxed{u} -$$

$$\equiv \boxed{\text{EC}} \equiv \boxed{D} - \boxed{u} -$$

 Ideal
gate

A state prep. exRec is correct

If

$$|\bar{0}\rangle = |\underline{\text{Ec}}\rangle = |\underline{\mathcal{D}}\rangle$$

"

$$|0\rangle -$$

\uparrow Ideal state prep.

A measurement exRec is correct if

$$|\underline{\text{Ec}}\rangle = |\bar{\mathcal{F}}\rangle = |\underline{\mathcal{D}}\rangle - |\underline{\mathcal{X}}\rangle$$

\nearrow

ideal
meas n recat

Thm : A good exRec
is a correct exRec

Proof (1g gates)

$$\equiv \boxed{\text{Ec}} \equiv \boxed{\bar{u}} \equiv \boxed{\text{Ec}} \equiv \boxed{0} -$$

$\uparrow s_1$ $\uparrow s_2$ $\uparrow s_2$

$$s_1 + s_2 + s_3 \leq t \quad (\text{Good exRec})$$

Use ECRP

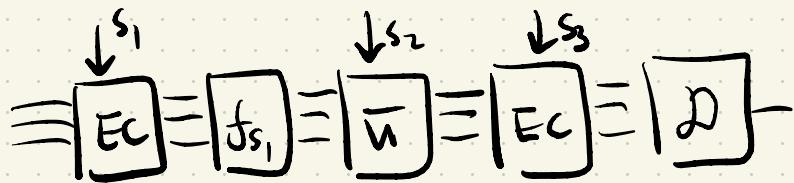
$$\equiv \boxed{\text{Ec}} \equiv \boxed{\bar{u}} \equiv \boxed{\text{Ec}} \equiv \boxed{0} -$$

$\uparrow s_1$ $\uparrow s_2$ $\uparrow s_3$

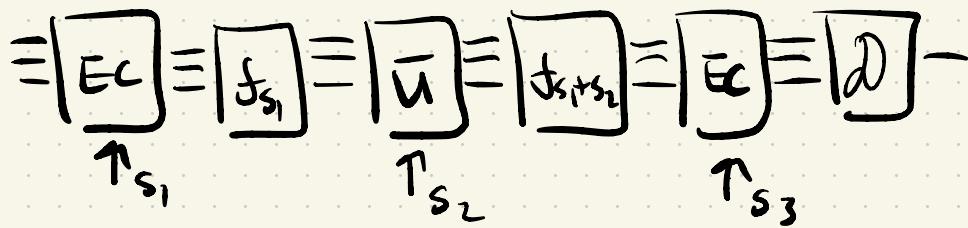
$$\equiv \boxed{\text{Ec}} \equiv \boxed{f_{s_1}} \equiv \boxed{\bar{u}} \stackrel{''}{=} \boxed{\text{Ec}} \equiv \boxed{0} -$$

$\downarrow s_1$ $\downarrow s_2$ $\downarrow s_3$

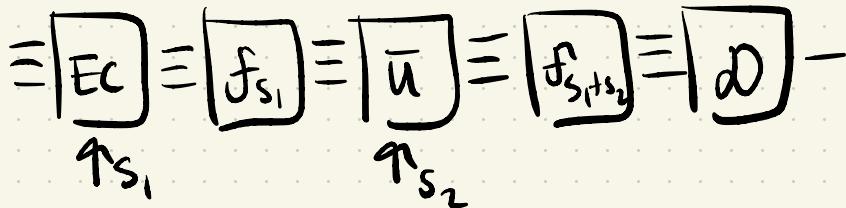
Use GPP



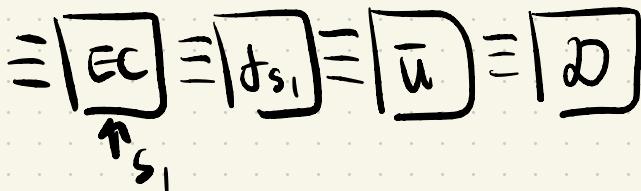
"



" (ECCP)



" (reverse GPP)



Use GCP

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$$\equiv \boxed{EC} \equiv \boxed{js_1} \equiv \boxed{\bar{u}} \equiv \boxed{D}$$

$\uparrow s_1$
" "

$$\equiv \boxed{EC} \equiv \boxed{js_1} \equiv \boxed{D} - \boxed{u} -$$

$\uparrow s_1$
" "

(reverse ECRP)

$$\equiv \boxed{EC} \equiv \boxed{D} - \boxed{u} -$$

$\uparrow s_1$
" "



Proof for other location

types is similar

Corr: If all express in
an FT circuit are
good then the output
distribution is the same
as the output distribution
of the ideal circuit.

Proof sketch:

$$\begin{aligned} |\bar{0}\rangle &\equiv \boxed{\text{Ec}} \equiv \boxed{\bar{u}_1} \equiv \boxed{\text{Ec}} = \begin{bmatrix} - \\ \bar{u}_2 \end{bmatrix} \equiv \boxed{\text{Ec}} \equiv |\bar{x}\rangle \\ |+\rangle &\equiv \boxed{\text{Ec}} \equiv \boxed{I} \equiv \boxed{\text{Ec}} = \begin{bmatrix} + \\ \bar{u}_2 \end{bmatrix} \equiv \boxed{\text{Ec}} \equiv |\bar{x}\rangle \end{aligned}$$

" (Correct meas. express)

$$\begin{aligned} |\bar{0}\rangle &\equiv \boxed{\text{Ec}} \equiv \boxed{\bar{u}_1} \equiv \boxed{\text{Ec}} = \begin{bmatrix} - \\ \bar{u}_2 \end{bmatrix} \equiv \boxed{\text{Ec}} \equiv |\bar{p}\rangle - |\bar{t}\rangle \\ |+\rangle &\equiv \boxed{\text{Ec}} \equiv \boxed{I} \equiv \boxed{\text{Ec}} = \begin{bmatrix} + \\ \bar{u}_2 \end{bmatrix} \equiv \boxed{\text{Ec}} \equiv |\bar{p}\rangle - |\bar{t}\rangle \end{aligned}$$

$$\begin{aligned} |\bar{0}\rangle &= \boxed{\text{EC}} = \boxed{\bar{u}_1} = \boxed{\text{EC}} = \boxed{\bar{u}_2} = \boxed{\text{EC}} = \boxed{D} - \boxed{x} \\ |+\rangle &= \boxed{\text{EC}} = \boxed{I} = \boxed{\text{EC}} = \boxed{u_2} = \boxed{\text{EC}} = \boxed{D} - \boxed{x} \end{aligned}$$

" (Correct 2q exRecs)

$$\begin{aligned} |\bar{0}\rangle &= \boxed{\text{EC}} = \boxed{\bar{u}_1} = \boxed{\text{EC}} = \boxed{D} - \boxed{u_2} - \boxed{x} \\ |+\rangle &= \boxed{\text{EC}} = \boxed{I} = \boxed{\text{EC}} = \boxed{D} - \boxed{u_2} - \boxed{x} \end{aligned}$$

" (Correct 1q exRecs)

$$\begin{aligned} |\bar{0}\rangle &= \boxed{\text{EC}} = \boxed{D} - \boxed{u_1} - \boxed{u_2} - \boxed{x} \\ |+\rangle &= \boxed{\text{EC}} = \boxed{D} - \boxed{u_2} - \boxed{x} \end{aligned}$$

" (Correct state prep. exRecs)

$$\begin{aligned} |\bar{0}\rangle &- \boxed{u_1} - \boxed{u_2} - \boxed{x} \\ |+\rangle &- \boxed{u_2} - \boxed{x} \end{aligned}$$



But what if an exRec
is bad?

We want to replace
bad exRecs with faulty
locations e.g.

$$= \boxed{EC} = \boxed{\bar{u}} = \boxed{EC} = \boxed{D} -$$

$\uparrow s_1 \quad \uparrow s_2 \quad \uparrow s_3$

$\Downarrow \quad (s_1 + s_2 + s_3 > t)$

$$= \boxed{D} - \boxed{\tilde{u}} -$$

noisy gate

But the noisy gate

it will in general depend

on the noise in the exRee

and the error syndrome

of the state entering

the exRee.

The solution is to keep

track of the error syndrome

information.

Def : * - decoder



works just like the ideal decoder but keeps the syndrome information

$$\equiv \boxed{D^*} - \begin{array}{l} \swarrow \text{decoded state} \\ \curvearrowleft \text{syndrome} \end{array}$$

$$\equiv \boxed{D^*} - \quad = \quad \equiv \boxed{D} -$$

One can show

$$\equiv \boxed{Ec} = \boxed{\tilde{u}} = \boxed{Ec} = \boxed{2^{\uparrow}} =$$

"

$$\equiv \boxed{D^*} = \boxed{\tilde{u}} =$$

\uparrow noisy gate that
depends on syndrome

* - decoder is unitary U_*

$$\text{Let } E = \equiv \boxed{Ec} = \boxed{\tilde{u}} = \boxed{Ec} =$$

$$EU_* = U_* U_*^+ EU_*$$

noisy gate \tilde{u}

Recap

We have shown that we can replace good exRecs by ideal locations and bad exRecs by faulty locations.

The obvious next question

is: how many bad exRecs do we expect?

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To answer this question
we must first choose
a noise model.

Def: an error model

is local stochastic if
for any set of faults

$$R, \Pr[S \subseteq R]$$

$$= \sum_{R|S \subseteq R} \Pr[R] \leq p^{|S|}$$

where $0 < p < 1$

This is more general than iid noise and can include adversarial noise.

Assuming a local stochastic noise model, what is the prob. that a single exRec is bad?

Suppose the exRec contains A locations.

exRec is bad if it contains $\geq t$ faults.

Then

union bound



$$\Pr[\text{exRec bad}] \leq \sum_{|S|=t+1} \sum_{R|S \subseteq R} R[R]$$

$$= \binom{A}{t+1} P^{t+1}$$

\uparrow
 includes
 Sets R of
 size $t+2$ etc.
 (In fact we
 overcount
 these.)

We can now prove
 our main theorem, the
level reduction theorem.

Thm : Suppose we have

a fault tolerant circuit

simulating an uncoded

circuit C , subjected to

a local stochastic noise

model w/ error prob. p .

Then the FT circuit

is equivalent to C subjected

to a local stochastic noise

model w/ error prob. p' where

$$p' \leq \binom{A}{t+1} p^{t+1}$$

and A is the number of locations in the largest exec in the FT circuit.

Proof :

For any given run of the FT circuit there will be some set of faults R that occur w/ prob. $\Pr[R]$

For R we assign good
and bad exRecs.

Then the FT circuit is
equivalent to C w/ faults
at the locations corresponding
to bad exRecs.

We need to show :
given a set of r exRecs
in the FT circuit

the probability that there exists a set of faults R such that each of the r exRecs is bad is at most p'^r .

The set of exRecs is bad if every exRec has $t+1$ or more faults.

There are at most

$(A_{t+1})^r$ sets of locations

with $t+1$ locations in each
of the r exRecs.

Each such set has a
total of $(t+1)^r$ locations.

\Rightarrow Prob. of a set of
faults containing all these

locations is $\leq p^{(t+1)^r}$

Union bound

\Rightarrow Rob. of $t+1$ faults
on each of the r exRecs is

$$\left(\binom{A}{t+1}^r p^{(t+1)r} = \left[\left(\binom{A}{t+1} p^{t+1} \right)^r \right]$$

i.e. local stochastic noise

w/ error prob. $p' = \left(\binom{A}{t+1} p^{t+1} \right)^r$

[There is a subtlety □

about overlapping exRecs that
I have neglected, see Gottesman notes]