# Information Retrieval Dictionaries and and tolerant retrieval

Hamid Beigy

Sharif university of technology

October 6, 2018



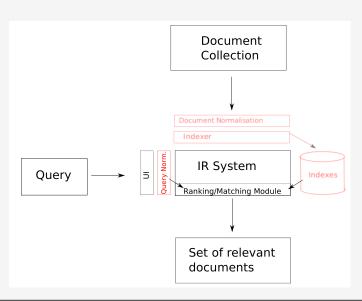
- 1. Introduction
- 2. Hash tables
- 3. Search trees
- 4. Permuterm index
- 5. k-gram indexes
- 6. Spelling correction



- 1 Introduction
- 2 Hash tables
- 3 Search trees
- 4 Permuterm index
- 5 k-gram indexes
- 6 Spelling correction









Brutus 
$$8 \longrightarrow 1 \rightarrow 2 \rightarrow 4 \rightarrow 11 \rightarrow 31 \rightarrow 45 \rightarrow 173 \rightarrow 174$$

Caesar  $9 \longrightarrow 1 \rightarrow 2 \rightarrow 4 \rightarrow 5 \rightarrow 6 \rightarrow 16 \rightarrow 57 \rightarrow 132 \rightarrow 179$ 

Calpurnia  $4 \longrightarrow 2 \rightarrow 31 \rightarrow 54 \rightarrow 101$ 

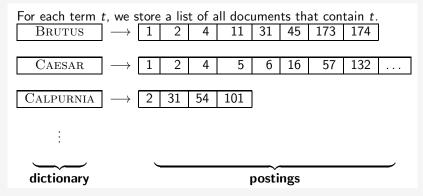


- Data structures for dictionaries
  - Hash tables
  - Trees
  - k-term index
  - Permuterm index
- Tolerant retrieval: What to do if there is no exact match between query term and document term
- Spelling correction

#### Term-document incidence matrix



#### 1 Inverted index



#### **Dictionaries**



- Dictionary: the data structure for storing the term vocabulary.
- Term vocabulary: the data
- 3 For each term, we need to store a couple of items:
  - document frequency
  - pointer to postings list
- 4 How do we look up a query term qi in the dictionary at query time?

# Data structures for looking up terms



- 1 Two different types of implementations:
  - hash tables
  - search trees
- 2 Some IR systems use hash tables, some use search trees.
- 3 Criteria for when to use hash tables vs. search trees:
  - How many terms are we likely to have?
  - Is the number likely to remain fixed, or will it keep growing?
  - What are the relative frequencies with which various terms will be accessed?



- 1 Introduction
- 2 Hash tables
- 3 Search trees
- 4 Permuterm index
- 5 k-gram indexes
- 6 Spelling correction

#### Hash tables



- 1 Hash table: an array with a hash function
  - Input: a key which is a query term
  - output: an integer which is an index in array.
  - Hash function: determine where to store / search key.
  - Hash function that minimizes chance of collisions.
     Use all info provided by key (among others).
- Each vocabulary term (key) is hashed into an integer.
- 3 At query time: hash each query term, locate entry in array.



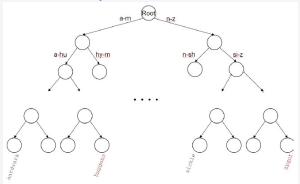
- Advantages
  - Lookup in a hash is faster than lookup in a tree. (Lookup time is constant.)
- 2 disadvantages
  - No easy way to find minor variants (résumé vs. resume )
  - No prefix search (all terms starting with automat)
  - Need to rehash everything periodically if vocabulary keeps growing
  - Hash function designed for current needs may not suffice in a few years' time



- 1 Introduction
- 2 Hash tables
- 3 Search trees
- 4 Permuterm index
- 5 k-gram indexes
- 6 Spelling correction



1 Simplest search tree: binary search tree



- 2 Partitions vocabulary terms into two subtrees, those whose first letter is between a and m, and the rest (actual terms stored in the leafs).
- 3 Anything that is on the left subtree is smaller than what's on the right.

# Binary search tree

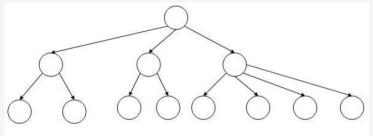


- Cost of operations depends on height of tree.
- Keep height minimum / keep binary tree balanced: for each node, heights of subtrees differ by no more than 1.
- $O(\log M)$  search for balanced trees, where M is the size of the vocabulary.
- 4 Search is slightly slower than in hashes
- 5 But: re-balancing binary trees is expensive (insertion and deletion of terms).

#### B-Tree



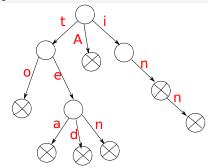
- Need to mitigate re-balancing problem allow the number of sub-trees under an internal node to vary in a fixed interval.
- B-tree definition: every internal node has a number of children in the interval [a, b] where a, b are appropriate positive integers, e.g., [2, 4].



3 Every internal node has between 2 and 4 children.

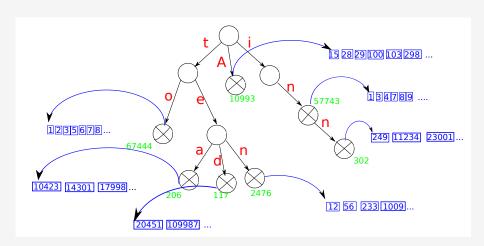


Trie is a search tree



- 2 An ordered tree data structure for strings
  - A tree where the keys are strings (keys tea, ted)
  - Each node is associated with a string inferred from the position of the node in the tree (node stores bit indicating whether string is in collection)





# Wildcard gueries



- 1 Query :hel\*
- Find all docs containing any term beginning with hel
- Easy with trie: follow letters h-e-l and then lookup every term you find there
- 4 Query: \*hel
- Find all docs containing any term ending with hel
- Maintain an additional trie for terms backwards
- Then retrieve all terms in subtree rooted at I-e-h
- In both cases:
  - This procedure gives us a set of terms that are matches for the wildcard queries
  - Then retrieve documents that contain any of these terms

#### How to handle \* in the middle of a term



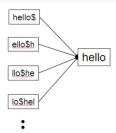
- 1 Query: hel\*o
- We could look up hel\* and \*o in the tries as before and intersect the two term sets (expensive!).
- 3 Solution: permuterm index special index for general wildcard queries



- 1 Introduction
- 2 Hash tables
- 3 Search trees
- 4 Permuterm index
- 5 k-gram indexes
- 6 Spelling correction



- For term hello\$ (given \$ to match the end of a term), store each of these rotations in the dictionary (trie): hello\$, ello\$h, llo\$he, lo\$hel, o\$hell, \$hello : permuterm vocabulary
- Rotate every wildcard query, so that the \* occurs at the end: for hel\*o\$, look up o\$hel\*



3 Problem: Permuterm more than quadrupels the size of the dictionary compared to normal trie (empirical number).



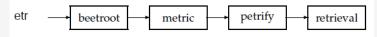
- 1 Introduction
- 2 Hash tables
- 3 Search trees
- 4 Permuterm index
- 5 k-gram indexes
- 6 Spelling correction



- 1 More space-efficient than permuterm index
- Enumerate all character k-grams (sequence of k characters) occurring in a term and store in a dictionary

Example (Character bi-grams from April is the cruelest month) a p p r r i l s i is s the es c r r u u e el le es st t mo on nt th h s

- 3 \$ special word boundary symbol
- 4 A postings list that points to all vocabulary terms containing a k-gram



# k-gram index



- 1 Note that we have two different kinds of inverted indexes:
  - The term-document inverted index for finding documents based on a query consisting of terms
  - The k-gram index for finding terms based on a query consisting of k-grams

# Processing wildcard queries in a (char) bigram index



- Query hel\* can now be run as: \$H AND HE AND EL
- This will show up many false positives like blueheel.
- 3 Post-filter, then look up surviving terms in termdocument inverted index.
- 4 k-gram vs. permuterm index
  - k-gram index is more space-efficient
  - permuterm index does not require post-filtering.



- 1 Introduction
- 2 Hash tables
- 3 Search trees
- 4 Permuterm index
- 5 k-gram indexes
- 6 Spelling correction

# Spelling correction



- Query: an asterorid that fell form the sky
- Query: britney spears queries: britian spears, britneys spears, brandy spears, prittany spears
- In an IR system, spelling correction is only ever run on queries.
- Two different methods for spelling correction:
  - Isolated word spelling correction Check each word on its own for misspelling Will only attempt to catch first typo above
  - Context-sensitive spelling correction Look at surrounding words Should correct both typos above

# Isolated word spelling correction



- There is a list of correct words for instance a standard dictionary (Websters, OED. . . )
- 2 Then we need a way of computing the distance between a misspelled word and a correct word
  - for instance Edit/Levenshtein distance
  - k-gram overlap
- Return the correct word that has the smallest distance to the misspelled word.

information ⇒ information

#### Edit distance



- **I** Edit distance between two strings  $s_1$  and  $s_2$  is defined as the minimum number of basic operations that transform  $s_1$  into  $s_2$ .
- Levenshtein distance: Admissible operations are insert, delete and replace
- 3 Example

```
dog do 1 (delete)
cat cart 1 (insert)
cat cut 1 (replace)
cat act 2 (delete+insert)
```

#### Distance matrix



		S	n	0	W
	0	1	2	3	4
0	1	1	2	3	4
S	2	1	3	3	3
	3	3	2	3	4
0	4	3	3	2	3



		S		n		0		W	
	0	1	1		2	3	3	4	4
o	1	1 2	2 1	2 2	3 2	3	2	3	5 3
s —	2	3	1	2 2	3 2	3	3	4	3
1   -	3	3 4	2 2	3	3 2	3	3	4 4	4
o   -	4	<u>4</u> 5	3	3 4	3	4	2	3	5 <b>3</b>

cost	operation	input	output
1	delete	0	*
0	(copy)	S	S
1	replace	I	n
0	(copy)	0	0
1	insert	*	W

#### Each cell of Levenshtein matrix

Со	st of getting here from	Cost of getting here from my		
my	upper left neighbour (by	upper neighbour (by delete)		
СО	py or replace)			
Со	st of getting here from my	Minimum cost out of these		
lef	t neighbour (by insert)			

# Levenshtein matrix : An example



		S	n	0	w
	0	1 1	2 2	3 3	4 4
0	1	1 2	<b>2</b> 3	2 4	4 5
	1	2 1	2 2	3 <b>2</b>	3 3
s -	2	1 2	<b>2</b> 3	3 3	3 4
	2	3 1	2 2	3 3	4 3
1	3	3 2	<b>2</b> 3	3 4	4 4
	3	4 2	3 <b>2</b>	3 3	4 4
0	4	4 3	3 3	2 4	4 5
	4	5 <b>3</b>	4 3	4 2	3 3

Example: (2, 2):

- Upper left: cost to replace "o" to "s" (cost: 0+1)
- Upper right: come from above where I have already inserted "s": all I need to do is delete "o" (cost: 1+1)
- Bottom left: come from left neighbour where I have deleted "o": all I need to do is insert "s" (cost: 1+1)

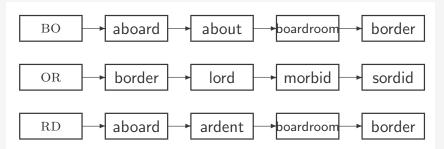


- Given a query, enumerate all character sequences within a pre-set edit distance.
- Intersect this list with our list of correct words.
- 3 Suggest terms in the intersection to user.

## k-gram indexes for spelling correction



- Enumerate all k-grams in the query term
- 2 Misspelled word: bordroom
- Use k-gram index to retrieve correct words that match query term k-grams
- Threshold by number of matching k-grams
- Eg. only vocabularly terms that differ by at most 3 k-grams





- 1 An idea: hit-based spelling correction flew form munich
- Enumerate corrections of each of the query terms  $flew \Rightarrow flea$  $form \Rightarrow from$  $munich \Rightarrow munch$
- 3 Holding all other terms fixed, try all possible phrase queries for each replacement candidate flea form munich  $\Rightarrow$  62 results flew from munich  $\Rightarrow$  78900 results flew form munch  $\Rightarrow$  66 results
- 4 Not efficient. Better source of information: large corpus of queries, not documents



Please read chapter 3 of Information Retrieval Book.