CS121 MIDTERM REVIEW

CS121: Introduction to Relational Database Systems Fall 2014 – Lecture 13

Before We Start...



Midterm Overview

- 6 hours, multiple sittings
- Open book, open notes, open lecture slides
- No collaboration
- Possible Topics:
 - Basically, everything you've seen on homework assignments to this point
 - Relational model
 - relations, keys, relational algebra operations (queries, modifications)
 - SQL DDL commands
 - CREATE TABLE, CREATE VIEW, integrity constraints, etc.
 - Altering existing database schemas
 - Indexes

Midterm Overview (2)

- □ Possible Topics (cont):
 - SQL DML commands
 - SELECT, INSERT, UPDATE, DELETE
 - Grouping and aggregation, subqueries, etc.
 - Aggregates of aggregates ©
 - Translation to relational algebra, performance considerations, etc.
 - Procedural SQL
 - User-defined functions (UDFs)
 - Stored procedures
 - Triggers
 - Cursors

Midterm Overview (2)

- You can use a MySQL database, if you want to
 - e.g. make sure your DDL syntax is correct, check schema-alteration steps, verify that UDFs work
- WARNING: Don't let it become a time-sink!
 - □ I won't necessarily give you actual data for problems
 - Don't waste time making up data just to test your SQL

Assignments and Solution Sets

- Some assignments may not be graded in time for the midterm (e.g. HW4)
- HW1-HW4 solution sets will be on Moodle by the time of the midterm

Relational Model

- □ Be familiar with the relational model:
 - What's a relation? What's a relation schema? What's a tuple? etc.
- Remember, relations are different from SQL tables in a very important way:
 - Relations are <u>sets</u> of tuples. SQL tables are <u>multisets</u> of tuples.

Keys in the Relational Model

- □ Be familiar with the different kinds of keys
 - Keys uniquely identify tuples within a relation
- Superkey
 - Any set of attributes that uniquely identifies a tuple
 - $lue{}$ If a set of attributes K is a superkey, then so is any superset of K
- Candidate key
 - A minimal superkey
 - □ If any attribute is removed, no longer a superkey
- Primary key
 - A particular candidate key, chosen as the <u>primary</u> means of referring to tuples

Keys and Constraints

- Keys constrain the set of tuples that can appear in a relation
 - □ In a relation r with a candidate key K, no two tuples can have the same values for K
- Can also have foreign keys
 - One relation contains the key attributes of another relation
 - Referencing relation has a foreign key
 - Referenced relation has a primary (or candidate) key
 - Referencing relation can only contain values of foreign key that also appear in referenced relation
 - Called <u>referential integrity</u>

Foreign Key Example

- □ Bank example:
 - account(account_number, branch_name, balance)
 depositor(customer_name, account_number)
- depositor is the referencing relation
 - account_number is a foreign-key to account
- account is the referenced relation

A Note on Notation

- Depositor relation:
 - depositor(customer_name, account_number)
- In the relational model:
 - Every (customer_name, account_number) pair in depositor is unique
- When translating to SQL:
 - depositor table could be a multiset...
 - Need to ensure that SQL table is actually a <u>set</u>, not a multiset
 - PRIMARY KEY (customer_name, account_number) after all columns are declared

Referential Integrity in Relational Model

- In the relational model, <u>you</u> must pay attention to referential integrity constraints
 - Make sure to perform modifications in an order that maintains referential integrity
- □ Example: Remove customer "Jones" from bank
 - Customer name appears in customer, depositor, and borrower relations
 - Which relations reference which?
 - depositor references customer
 - borrower references customer
 - Remove Jones records from depositor and borrower first
 - Then remove Jones records from customer

Relational Algebra Operations

Six fundamental operations:

```
σ select operation
```

 Π project operation

 \cup set-union operation

set-difference operation

× Cartesian product operation

 ρ rename operation

- Operations take one or two relations as input
- Each produces another relation as output

Additional Relational Operations

Several additional operations, defined in terms of fundamental operations:

```
    Set-intersection
    Inatural join (also theta-join ⋈<sub>θ</sub>)
    division
    assignment
```

Extended relational operations:

```
\Pi generalized project operation G grouping and aggregation \mathbb{M} \mathbb{M} \mathbb{M} left outer join, right outer join, full outer join
```

Join Operations

- Be familiar with different join operations in relational algebra
- □ Cartesian product $r \times s$ generates every possible pair of rows from r and s
- Summary of other join operations:

s =	attr1	attr3
	b	s2
	С	s3
	d	s4

$r \bowtie s$

attr1	attr2	attr3
b	r2	s2
С	r3	s3

r	\bowtie	S
---	-----------	---

attr1	attr2	attr3
а	r1	null
b	r2	s2
С	r3	s3

r	M	.5
	<i>v</i> 🖳	·

attr1	attr2	attr3
b	r2	s2
С	r3	s3
d	null	s4

r	\mathbb{X}	S
---	--------------	---

attr1	attr2	attr3
а	r1	null
b	r2	s2
С	r3	s3
d	null	s4

Rename Operation

- Mainly used when joining a relation to itself
 - Need to rename one instance of the relation to avoid ambiguities
- lacktriangle Remember you can specify names with both Π and $\mathcal G$
 - Can rename attributes
 - Can assign a name to computed results
 - \blacksquare Naming computed results in Π or G is shorter than including an extra ρ operation
- \square Use ρ when you are <u>only</u> renaming things
 - lacksquare Don't use Π or G just to rename something

Examples

- Schema for an auto insurance database:
 car(license, vin, make, model, year)
 - vin is also a candidate key, but not the primary key customer(<u>driver_id</u>, name, street, city) owner(<u>license</u>, driver_id) claim(<u>driver_id</u>, <u>license</u>, <u>date</u>, description, amount)
- Find names of all customers living in Los Angeles or New York.
 - $\Pi_{\text{name}}(\sigma_{\text{city}=\text{``Los Angeles''}} \lor \text{city}=\text{``New York''}(\text{customer}))$
 - Select predicate can refer to attributes, constants, or arithmetic expressions using attributes
 - \square Conditions combined with \wedge and \vee

Examples (2)

□ Schema:

```
car(<u>license</u>, vin, make, model, year)
customer(<u>driver_id</u>, name, street, city)
owner(<u>license</u>, driver_id)
claim(<u>driver_id</u>, <u>license</u>, <u>date</u>, description, amount)
```

- Find customer name, street, and city of all Toyota owners
 - Need to join customer, owner, car relations
 - Could use Cartesian product, select, etc.
 - Or, use natural join operation:

```
\Pi_{\text{name,street,city}}(\sigma_{\text{make}=\text{"Toyota"}}(\text{customer} \bowtie \text{owner} \bowtie \text{car}))
```

Examples (3)

Schema:

```
car(<u>license</u>, vin, make, model, year)
customer(<u>driver_id</u>, name, street, city)
owner(<u>license</u>, driver_id)
claim(<u>driver_id</u>, <u>license</u>, <u>date</u>, description, amount)
```

- Find how many claims each customer has
 - Don't include customers with no claims...
 - \blacksquare Simple grouping and aggregation operation $_{\textit{driver_id}}\mathcal{G}_{\textit{count}(license)}$ as $_{\textit{num_claims}}(\textit{claim})$
 - The specific attribute that is counted is irrelevant here...
 - Aggregate operations work on <u>multisets</u> by default
 - Schema of result? (driver_id, num_claims)

Examples (4)

- □ Now, include customers with no claims
 - They should have 0 in their values
 - Requires outer join between customer, claim
 - "Outer" part of join symbol is towards relation whose rows should be null-padded
 - Want all customers, and claim records if they are there, so "outer" part is towards customer
 - $driver_{id}G_{count(license)}$ as num_{claims} (customer \bowtie claim)
 - Aggregate functions ignore null values

Selecting on Aggregate Values

 Grouping/aggregation op produces a <u>relation</u>, not an individual scalar value

You cannot use aggregate functions in select predicates!!!

- □ To select rows based on an aggregate value:
 - Create a grouping/aggregation query to generate the aggregate results
 - This is a <u>relation</u>, so...
 - Use Cartesian product (or another appropriate join operation) to combine rows with the relation containing aggregated results
 - Select out the rows that satisfy the desired constraints

Selecting on Aggregate Values (2)

- □ General form of grouping/aggregation:
 - \Box $G_1, G_2, ... G_{F(A_1), F(A_2), ...} (...)$
- Results of aggregate functions are unnamed!
- □ This query is wrong:
 - $\sigma_{F(A_1)} = ...(G_1, G_2, ...G_{F(A_1), F(A_2)}, ...(...))$
 - \blacksquare Attribute in result does <u>not</u> have name $F(A_1)!$
- Must assign a name to the aggregate result
 - $G_1, G_2, ... G_{F(A_1)} \text{ as } V_1, F(A_2) \text{ as } V_2, ... \dots$
- □ Then, can properly select against the result:

$$\sigma_{V_1} = ...(G_1, G_2, ...G_{F(A_1)} \text{ as } V_1, F(A_2) \text{ as } V_2, ...(...))$$

An Aggregate Example

Schema: car(<u>license</u>, vin, make, model, year)
 customer(<u>driver_id</u>, name, street, city)
 owner(<u>license</u>, driver_id)
 claim(<u>driver_id</u>, <u>license</u>, <u>date</u>, description, amount)

- Find the claim(s) with the largest amount
 - Claims are identified by (driver_id, license, date), so just return all attributes of the claim
 - Use aggregation to find the maximum claim amount: $G_{\max(amount) \text{ as } \max_amt}(claim)$
 - This generates a relation! Use Cartesian product to select the row(s) with this value.

```
\Pi_{\text{driver\_id,license,date,description,amount}}
\sigma_{\text{amount}=\text{max\_amt}}(\text{claim} \times \mathcal{G}_{\text{max}(\text{amount}) \text{ as } \text{max\_amt}}(\text{claim})))
```

Another Aggregate Example

- Schema: car(<u>license</u>, vin, make, model, year)
 customer(<u>driver_id</u>, name, street, city)
 owner(<u>license</u>, driver_id)
 claim(<u>driver_id</u>, <u>license</u>, <u>date</u>, description, amount)
- Find the customer with the most insurance claims, along with the number of claims
- This involves two levels of aggregation
 - □ Step 1: generate a count of each customer's claims
 - □ Step 2: compute the maximum count from this set of results
- Once you have result of step 2, can reuse the result of step 1 to find the final result
- Common subquery: computation of how many claims each customer has

Another Aggregate Example (2)

- □ Use assignment operation to store temporary result claim_counts $\leftarrow_{driver_id} \mathcal{G}_{count(license)} = \frac{1}{as} \frac{1}{num_claims} (claim)$ $max_count \leftarrow \mathcal{G}_{max(num_claims)} = \frac{1}{as} \frac{1}{max_claims} (claim_counts)$
- Schemas of claim_counts and max_count?
 claim_counts(driver_id, num_claims)
 max_count(max_claims)
- Finally, select row from claim_counts with the maximum count value
 - Obvious here that a Cartesian product is necessary

```
\Pi_{driver\_id,num\_claims} (\sigma_{num\_claims=max\_claims} (claim\_counts × max_count))
```

Modifying Relations

Can add rows to a relation

```
r \leftarrow r \cup \{ (...), (...) \}
```

- { (...), (...) } is called a <u>constant relation</u>
- Individual tuple literals enclosed by parentheses ()
- Set of tuples enclosed with curly braces { }
- □ Can delete rows from a relation

$$r \leftarrow r - \sigma_{P}(r)$$

Can modify rows in a relation

$$r \leftarrow \Pi(r)$$

Uses generalized project operation

Modifying Relations (2)

Remember to include unmodified rows!

$$r \leftarrow \Pi(\sigma_{p}(r)) \cup \sigma_{\neg p}(r)$$

- Relational algebra is not like SQL for updates!
 - Must <u>explicitly</u> include unaffected rows
- Example:

Transfer \$10,000 in assets to all Horseneck branches.

$$branch \leftarrow \Pi_{branch_name,branch_city,assets+10000}(\sigma_{branch_city="Horseneck"}(branch))$$

Wrong: This version throws out all branches not in Horseneck!

$$branch \leftarrow \Pi_{branch_name,branch_city,assets+10000}(\sigma_{branch_city="Horseneck"}(branch)) \cup \\ \sigma_{branch_city\neq"Horseneck"}(branch)$$

Correct. Non-Horseneck branches are included, unmodified.

Structured Query Language

- Some major differences between SQL and relational algebra!
- □ Tables are like relations, but are multisets
- Most queries generate multisets
 - SELECT queries produce multisets, unless they specify SELECT DISTINCT ...
- Some operations do eliminate duplicates!
 - Set operations: UNION, INTERSECT, EXCEPT
 - Duplicates are eliminated automatically, unless you specify UNION
 ALL, INTERSECT ALL, EXCEPT ALL

SQL Statements

- □ SELECT is most ubiquitous
 - SELECT A_1 , A_2 , ... FROM r_1 , r_2 , ... WHERE P;
 - Equivalent to: $\Pi_{A_1, A_2, ...}(\sigma_P(r_1 \times r_2 \times ...))$
- INSERT, UPDATE, DELETE all have common aspects of SELECT
 - All support WHERE clause, subqueries, etc.
 - Also INSERT ... SELECT statement

Join Alternatives

- □ FROM r1, r2
 - Cartesian product
 - Can specify join conditions in WHERE clause
- \square FROM r1 JOIN r2 ON (r1.a = r2.a)
 - Most like theta-join operator: $r \bowtie_{\theta} s = \sigma_{\theta}(r \times s)$
 - Doesn't eliminate any columns!
- □ FROM r1 JOIN r2 USING (a)
 - Eliminates duplicate column a
- □ FROM r1 NATURAL JOIN r2
 - Uses <u>all</u> common attributes to join **r1** and **r2**
 - Also eliminates <u>all</u> duplicate columns in result

Join Alternatives (2)

Can specify inner/outer joins with JOIN syntax r INNER JOIN s ... r LEFT OUTER JOIN s ... r RIGHT OUTER JOIN s ... r FULL OUTER JOIN s . Can also specify r CROSS JOIN s Cartesian product of r with s Can't specify ON condition, USING, or NATURAL Can actually leave out INNER or OUTER OUTER is implied by LEFT/RIGHT/FULL

If you just say JOIN, this is an INNER join

Self-Joins

- Sometimes helpful to do a self-join
 - A join of a table with itself
- Example: employeesemployee(emp_id, emp_name, salary, manager_id)
- Tables can contain foreign-key references to themselves
 - manager_id is a foreign-key reference to employee table's emp_id attribute
- Example:
 - Write a query to retrieve the name of each employee, and the name of each employee's boss.

```
SELECT e.emp_name, b.emp_name AS boss_name
FROM employee AS e JOIN employee AS b
ON (e.manager_id = b.emp_id);
```

Subqueries

- □ Can include subqueries in **FROM** clause
 - Called a derived relation
 - Nested SELECT statement in FROM clause, given a name and a set of attribute names
- Can also use subqueries in WHERE clause
 - Can compare an attribute to a scalar subquery
 - This is different from the relational algebra!
 - Can also use set-comparison operations to test against a subquery
 - IN, NOT IN set membership tests
 - **EXISTS, NOT EXISTS** empty-set tests
 - ANY, SOME, ALL comparison against a set of values

Scalar Subqueries

- □ Find name and city of branch with the least assets
 - Need to generate the "least assets" value, then use this to select the specific branch records
- □ Query:

```
SELECT branch_name, branch_city FROM branch
WHERE assets = (SELECT MIN(assets) FROM branch);
```

- This is a <u>scalar subquery</u>: one row, one column
- Don't need to name MIN (assets) since it doesn't appear in final result, and we don't refer to it
- Don't do this:

```
WHERE assets=ALL (SELECT MIN(assets) FROM branch)
```

- ANY, SOME, ALL are for comparing a value to a <u>set</u> of values
- Don't need these when comparing to a scalar subquery

Subqueries vs. Views

- Don't create views unnecessarily
 - Views are part of a database's schema
 - Every database user sees the views that are defined
- Views should generally expose "final results," not intermediate results in a larger computation
 - Don't use views to compute intermediate results
- □ If you really want functionality like this, read about the **WITH** clause (Book, 6th ed: §3.8.6, pg. 97)
 - MySQL doesn't support WITH, so unfortunately you can't use it in CS121 ⁽²⁾

WHERE Clause

- □ WHERE clause specifies selection predicate
 - Can use AND, OR, NOT to combine conditions
 - NULL values affect comparisons!
 - Can't use = NULL or <> NULL
 - Never evaluates to true, regardless of other value
 - Must use IS NULL or IS NOT NULL
 - □ Can use BETWEEN to simplify range checks
 - a >= v1 AND a <= v2
 - a BETWEEN v1 AND v2

Grouping and Aggregation

- SQL supports grouping and aggregation
- □ GROUP BY specifies attributes to group on
 - Apply aggregate functions to non-grouping columns in SELECT clause
 - Can filter results of grouping operation using HAVING clause
 - HAVING clause can refer to aggregate values too
- Difference between WHERE and HAVING?
 - WHERE is applied <u>before</u> grouping; HAVING is applied <u>after</u> grouping
 - HAVING can refer to aggregate results, too
 - Unlike relational algebra, can use aggregate functions in HAVING clause

Grouping: SQL, Relational Algebra

- Another difference between relational algebra notation and SQL syntax
- Relational algebra syntax:

$$G_1,G_2,...,G_n$$
 $G_{F_1(A_1),F_2(A_2),...,F_m(A_m)}(E)$

- lacksquare Grouping attributes appear only on <u>left</u> of G
- \square Schema of result: $(G_1, G_2, ..., F_1, F_2, ...)$
 - \blacksquare (Remember, F_i generate <u>unnamed</u> results.)
- SQL syntax:

```
SELECT G_1, G_2, ..., F_1 (A_1), F_2 (A_2), ...
FROM r_1, r_2, ... WHERE P
GROUP BY G_1, G_2, ...
```

To include group-by values in result, specify grouping attributes in SELECT clause and in GROUP BY clause

Grouping and Distinct Results

□ SQL grouping syntax:

```
SELECT G_1, G_2, \ldots, F_1(A_1), F_2(A_2), \ldots
FROM r_1, r_2, \ldots WHERE P
GROUP BY G_1, G_2, \ldots
```

- □ If <u>all</u> grouping attributes are in **SELECT** clause:
 - Are all rows in the results distinct?
 - \square Yes! (G_1, G_2, \ldots) is a superkey on the results.
 - Each group in result has a unique set of values for the set of grouping attributes
 - Don't need to specify **SELECT** <u>DISTINCT</u> G_1, G_2, \ldots if all grouping attributes are listed

Grouping and Results

Another example:

```
SELECT G_3, F_1(A_1), F_2(A_2)
FROM r_1, r_2, ... WHERE P
GROUP BY G_1, G_2, G_3
```

- You can specify only a subset of the grouping attributes in the
 SELECT clause (or even none of the grouping attributes)
 - Results no longer guaranteed to be distinct, of course
- Main constraint (approximately):
 - Can't specify non-grouping attributes in SELECT clause unless they are arguments to an aggregate function
 - Default MySQL configuration allows you to violate this rule, but the results are not well-defined!
 - This has been turned off all term, hopefully this has helped... 🙂

SQL Query Example

□ Schema:

```
car(<u>license</u>, vin, make, model, year)
customer(<u>driver_id</u>, name, street, city)
owner(<u>license</u>, driver_id)
claim(<u>driver_id</u>, <u>license</u>, <u>date</u>, description, amount)
```

- Find customers with more claims than the average number of claims per customer
- □ This is an aggregate of another aggregate
- Each SELECT can only compute <u>one level</u> of aggregation
 - AVG (COUNT (*)) is not allowed in SQL (or in relational algebra, so no big surprise)

Aggregates of Aggregates

- Two steps to find average number of claims
- □ Step 1:
 - Must compute a count of claims for each customer SELECT COUNT(*) AS num_claims FROM claim GROUP BY driver id
 - Then, compute the average in a second SELECT: SELECT AVG(num_claims) FROM (SELECT COUNT(*) AS num_claims FROM claim GROUP BY driver id) AS c
- This generates a single result
 - Can use it as a scalar subquery if we want.

Aggregates of Aggregates (2)

Finally, can compute the full result: SELECT driver id, COUNT(*) AS num claims FROM claim GROUP BY driver id HAVING num claims >= (SELECT AVG(num claims) FROM (SELECT COUNT(*) AS num claims FROM claim GROUP BY driver_id) AS c); Comparison must be in HAVING clause □ This won't work: SELECT driver id, COUNT(*) AS num claims FROM claim GROUP BY driver_id HAVING num claims = AVG(num claims); Tries to do two levels of aggregation in one SELECT

Alternative 1: Make a View

- Knowing each customer's total number of claims could be generally useful...
- □ Define a view for it:

```
CREATE VIEW claim_counts AS

SELECT driver_id, COUNT(*) AS num_claims

FROM claim GROUP BY driver id;
```

■ Then the query becomes:

View hides one level of aggregation

Alternative 2: Use WITH Clause

- □ WITH is like defining a view for a single statement
- □ Using WITH:

- WITH doesn't pollute the database schema with a bunch of random views
- Can specify multiple WITH clauses, too (see book)
- (Unfortunately, MySQL doesn't support WITH...)

SQL Data Definition

- Specify table schemas using CREATE TABLE
 - Specify each column's name and domain
 - Can specify domain constraint: NOT NULL
 - Can specify key constraints
 - PRIMARY KEY
 - UNIQUE (candidate keys)
 - REFERENCES table (column) (foreign keys)
 - Key constraints can go in column declaration
 - Can also specify keys after all column decls.
- Be familiar with common SQL data types
 - INTEGER, CHAR, VARCHAR, date/time types, etc.

DDL Example

Relation schema: car(license, vin, make, model, year) vin is also a candidate key CREATE TABLE statement: CREATE TABLE car (license CHAR(10) PRIMARY KEY, vin CHAR (30) NOT NULL UNIQUE, make VARCHAR (20) NOT NULL, model VARCHAR(20) NOT NULL, INTEGER NOT NULL year

DDL Example (2)

Relation schema: claim(<u>driver_id</u>, <u>license</u>, <u>date</u>, description, amount) CREATE TABLE statement: CREATE TABLE claim (driver id CHAR(12), license CHAR(10), date TIMESTAMP, description VARCHAR (4000) NOT NULL, amount NUMERIC (8,2), PRIMARY KEY (driver id, license, date), FOREIGN KEY driver id REFERENCES customer, FOREIGN KEY license REFERENCES car);

Key Constraints and NULL

- Some key constraints automatically include
 NOT NULL constraints, but not all do.
- PRIMARY KEY constraints
 - Disallows NULL values
- UNIQUE constraints
 - Allows NULL values, unless you specify NOT NULL
- FOREIGN KEY constraints
 - Allows NULL values, unless you specify NOT NULL
- Understand how NULL values affect UNIQUE and FOREIGN KEY constraints that allow NULLs

Referential Integrity Constraints

- Unlike relational algebra, SQL DBs automatically enforce referential integrity constraints for you
 - You still need to perform operations in the correct order, though
- Same example as before:
 - Remove customer "Jones" from the bank database
 - DBMS will ensure that referential integrity is enforced, but you still have to delete rows from depositor and borrower tables first!

```
DELETE FROM depositor WHERE customer_name = 'Jones'
DELETE FROM borrower WHERE customer_name = 'Jones'
DELETE FROM customer WHERE customer_name = 'Jones'
```

Midterm Details

- Midterm posted online around Thursday, October 30
- Due Thursday, November 6 at 2:00AM (the usual time)

No homework to do next week

□ Good luck! ©