

## Susan OConnor

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**From:** sharepoint@milliman.com  
**Sent:** Friday, July 18, 2014 5:59 PM  
**To:** Rob Pipich  
**Cc:** Brandy Wilson  
**Subject:** WELLSPAN HEALTH SCREEN RESULTS  
**Attachments:** 07-18-14WELLSPAN\_HEALTH.pdf

This is to inform you that your client screening request has been completed by Dave Roumonada (Contractor)

### WellSpan Health

**Request Date:** 07-14-2014  
**Requestor:** Rob Pipich  
**Discipline:** Health  
**Company Name:** WellSpan Health ("WELLH")  
**On High Risk Client List? :** No  
**A.M. Best Rating :** N/A  
**Moody's Rating :** N/A  
**D&B Credit Score Class :** 2 (Low to moderate risk of severe payment delinquency over the next 12 months)  
**D&B Financial Stress Class :** 3 (Moderate risk of severe financial stress, such as bankruptcy, over the next 12 months)  
**WorldCheck Rating :** N/A;  
**Ownership :**

WELLH, located in York, PA, is a not-for-profit healthcare system offering 93 patient care locations serving patients in south central Pennsylvania and northern Maryland. WELLH offers diagnostic imaging, laboratory, rehabilitation, primary care, retail pharmacy, walk-in health care and other essential services including two regional home care organizations, WellSpan VNA Home Care and Ephrata Community Home Care and four respected hospitals: WellSpan York Hospital, WellSpan Gettysburg Hospital, WellSpan Ephrata Community Hospital and the WellSpan Surgery & Rehabilitation Hospital.

#### Officers :

The officers named below were profiled using Google and Factiva sources and were screened for criminal/civil litigation using LexisNexis. Insofar as my research indicates, there is no evidence of issues of an adverse nature for the noted officers. The individuals screened are as follows:

• **President & CEO:** Mr. Kevin H. Mosser, MD: Dr. Mosser has been associated with WELLH for more than 30 years, assuming this role in October 2013. Additional bio information is available here: <http://www.wellspan.org/about-wellspan/who-we-are/our-management-team/kevin-h-mosser,-md/>

• **Executive Vice-President & COO:** Mr. Bruce A. Solomon: Mr. Solomon assumed this role with WELLH in January 2014. Brief bio information is available here: <http://www.wellspan.org/about-wellspan/who-we-are/our-management-team/bruce-a-solomon/>

• **Senior Vice-President & CFO:** Mr. Michael F. O'Connor, CPA: Dr. O'Connor joined WELLH in 1998. Additional bio information is available here: <http://www.wellspan.org/about-wellspan/who-we-are/our-management-team/michael-f-oconnor/>

**Prior Health Risk Assessment :**

N/A

**Noteworthy News :**

A scan of local and national newspapers, news wires, trade journals, transcripts and Internet search engines discovered no evidence of adverse media coverage of WELLH, with the majority addressing announcements of executive appointments, newly formed agreements with regional health services providers and a handful of items discovered addressing staffing changes or community information announcements.

**Litigation History :**

Screening for litigation using news sources, Internet search engines, and Lexis' state and federal cases database and dockets discovered moderate evidence of litigation involving WELLH. Cases addressed employee issues such as allegations of wrongful termination or gender discrimination, in addition to only a handful of cases addressing contract disputes or issues over restrictive covenants or non-competition clauses involving physicians employed by WELLH, with a highly cited example in (*Wellspan Health, York Hospital, York Hospital and WellSpan Medical Group, Appellants, v. Phillip Bayliss, M.D., Appellee, Decided: February 22, 2005.*). This appellate court's decision supported the initial decision, finding in favor of the Appellee on the grounds the terms of WELLH's non-competition clause was "unreasonable" and not in the best interest of the community served. Overall, research found no evidence of materially adverse litigation nor did research find evidence of WELLH's involvement in litigation with a financial or actuarial advisor.

**Comments :**

At the management level, WELLH screens acceptably. Insofar as my research indicated, the noted individuals do not appear to be involved currently in any personal litigation, professional misconduct or criminal activity.

At the entity level, research found no issues of concern from either the media or through litigation activity. Regarding WELLH's financial condition, D&B's analysts most recent report of December 4, 2013 offers an acceptable ratings picture with an assigned Credit Score Class rating of "2" and a Financial Stress Class rating of "3", indications of an entity judged to exhibit low to moderate risk of payment issues and moderate risk of financial distress in the near term.

To the extent of my research, I found no evidence to conclude a business engagement for our purposes will pose undue risk to Milliman in the near term.

Rob, should you require additional information regarding WELLH, please do not hesitate to contact me and I will be happy to assist you.

-Dave

NOTE: Please do not reply to this  
automated notice.