

Client Screening Request

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Approved for final distribution by: i:0#.w|root_milliman\chelo.gable

- Client Screening Report

Request Date: 8/6/2015

Additional CC:

Discipline *:

Health

Company Name:

OneCare Vermont Accountable Care Organi...



Practice Director:

Lorraine Mayne;

Moody's Rating:

None

Requestor:

Rob Parke;

D & B Credit Score Class:

Please see "Comments."

A.M. Best Rating:

None

On High Risk Client List?

Yes No

D & B Financial Stress Class:

Please see "Comments."

WorldCheck Rating:

- N/A
- Criminal
- Money Laundering
- Terrorism
- Corruption
-

Ownership:

OneCare Vermont Accountable Care Organization, LLC, d/b/a OneCare Vermont, ("OCV") is a state-wide ACO working with Medicare, Vermont Medicaid and the Commercial Exchange Shared Saving Programs. OCV was created by the University of Vermont Medical Center and Dartmouth-Hitchcock. The ACO is governed by a Board of Managers composed of individuals from the two founding organizations, as well as provider and Medicare beneficiary representation. OCV registered as a limited liability company in May 2012.

Officers:

I profiled the individual listed below using Google and Factiva sources, and screened for involvement in criminal and civil litigation using LexisNexis databases and dockets. Based on my findings, the noted officers listed below have not been involved in any litigation, nor have they been convicted of any criminal wrongdoing in the State of Vermont. Because of the number of results returned when searching the individuals' names, I had to narrow my focus to the State of Vermont.

- **CEO:** Todd Moore: In addition to serving as CEO of OCV, Mr. Moore serves as SVP for Accountable Care and Revenue Strategy at the University of Vermont Health Network.
- **Chief Compliance Officer:** Jennifer Parks: Ms. Parks also serves as Chief Compliance Officer at the University of Vermont Medical Center.
- **Chief Medical Officer:** Norman Ward, MD: Dr. Ward also serves as the Executive Medical Director for Accountable Care at the University of Vermont Medical Center.

Prior Health Risk Assessment: Yes No

Noteworthy News:

A scan of local and national newspapers, news wires, trade journals, transcripts, and Internet search engines reveals no adverse media coverage of OCV. I find only general posts and articles about the general business practices of the ACO. It does not look like the OCV website has a press release or news page.

- **February 2015:** A Bennington hospital says its experience with an accountable care organization has netted positive results for patient care, even if spending goals were not quite reached in the first year. Southwestern Vermont Health Care, along with 12 Vermont hospitals and 500 physician practices, joined OCV about a year ago. Under OCV, providers share Medicare data from patients — who voluntarily provide it — to improve health care and lower spending. (*VT Digger, Bennington Banner*, February 8, 2015, <http://vtidigger.org/2015/02/08/hospitals-report-improvement-onecare-vermont/>)
- **September 2014:** ACOs in Vermont didn't exceed savings targets for treating Medicare patients by enough to receive incentive payments in the first year of a federal initiative to reduce spending and improve care. Nationally, only 52 of 220 ACOs participating in the Medicare Shared Savings Program were able to reduce spending by enough to get a portion of \$300 million in incentive payments from the federal government, according to the Centers for Medicare and Medicaid Services(CMS). Medicare saved \$652 million through the program, a key aspect of the Affordable Care Act's efforts to reform payments to doctors and hospitals. But that's only one one-thousandth of the \$492 billion spent on Medicare in 2013. Two Vermont ACOs were able to reduce Medicare spending in the first year, they said, but not by enough to get a check from the feds. A third Vermont ACO joined the program in 2014. "You have to beat your savings target by a minimum savings rate," said Todd Moore, CEO of OCV, the state's largest ACO, "It's basically to ensure it wasn't a fluke." (*VT Digger, Morgan True*, September 28, 2014, <http://vtidigger.org/2014/09/28/vermont-acos-didnt-earn-federal-incentive-rewards-year-one-payment-reform-program/>)
- **January 2013:** The federal Centers for Medicare and Medicaid Services (CMS) has approved the application of OCV, to administer care to roughly 42,000 Medicare patients in the Green Mountain State. OCV represents an unprecedented collaboration between Fletcher Allen Health Care and Dartmouth-Hitchcock Medical Center. The massive organization includes 13 of Vermont's 14 community hospitals, two Federally Qualified Health Centers, five rural health centers and 58 private practices. It is the second such organization in the state to obtain this federal status. The first ACO was created by a group of private practices under the banner of Health First, Inc., and it is called Green Mountain State, LLC. (*Vermont Digger, Andrew Stein*, January 21, 2013, <https://vtidigger.org/2013/01/21/onecare-gets-federal-approval-accountable-care-organization-will-serve-medicare-patients-in-vermont/>)

Litigation History:

Screening for involvement in legal activity via news sources, Internet search engines, and LexisNexis federal and state court databases and dockets reveals that OCV has not been involved in any litigation whatsoever. I find nothing that suggests OCV has initiated a lawsuit or dispute resolution proceeding against a professional, financial, or actuarial advisor.

Comments:

Of the information available, OCV screens fairly. At the executive level, because of the commonness of the leaders' names, I am unable to make a definitive statement with respect to involvement in legal activity, however, it does not look like any of the individuals have been involved in any litigation in the State of Vermont. News coverage of OCV is fairly neutral, with nothing particularly adverse or noteworthy here. It does not appear that OCV has been involved in any litigation whatsoever since its creation a few years ago.

As for financials, I have attached a D&B report for Accountable Care Organization, LLC, which has the same address as our client. D&B gives the entity a Credit Score Class of "3" and a Financial Stress Class of "4," these scores are in the moderate-to-high risk range but since D&B has no record of payment experiences for the company, the scores do not carry much weight. Due to the lack of payment history for OCV, we do not have much insight into the financial condition or stability of the company. I would therefore request a retainer should you decide to pursue a business relationship with the ACO.

Please let me know if I can be of further assistance. I am happy to help!

Beau

beau.preston@milliman.com

Add attachment

- Screening Details

Screener *:



Completed Date:

Secondary Screener:



Report Status:

New Pending Secondary-Review
 Secondary-Screen Sent/Complete
 Cancel

Due Date:

Health Risk Assessment Form Returned:

Yes No

Client Risk Assessment Statement:

Conditional Acceptance
 Non-Acceptance
 Standard Acceptance

Reason for Secondary S

- Data from Consultant

Date *:

Client *:

Discipline(s) Involved *:

Casualty
 Employee Benefits
 Health
 Life

Client Status *:

New Client Existing Client
 RMAP Referral

Client Website URL (if known):

Casualty consultants: please indicate if this is an 'existing client' being screened to comply with discipline periodic screening requirements.

Type of Client *:

- Public sector/government
- Publicly traded company
- Private company
- New Entity (less than 1 year old)
- Captive Insurance Company

Client Location *:

- US
- International

Consultant *:**Names/Titles of Officers (if known):**

For international screens, please select 'INTL'

State *:**HQ Address:**

OneCare Vermont Accountable Care Organization, LLC
356 Mountain View Drive, Suite 301
Colchester, VT 05446
Phone: 802.847.9611
Fax: 802.847.6214



Do you know of any litigation or other issues of which we should be made aware *: Yes No

Additional Comments to Aid the Screening:

Click here to attach a file

Add Attachment

* -indicates required field