#### What is this? Gum? It's GAN.

: Intuition & Mathematical proof

ISL Lab Seminar Hansol Kang



#### Contents

Introduction Paper review Configuration **Experiment** Summary

Ian Goodfellow



**DCGAN** 

**LSGAN** 

F-GAN

**BEGAN** 

.

InfoGAN

**DiscoGAN** 

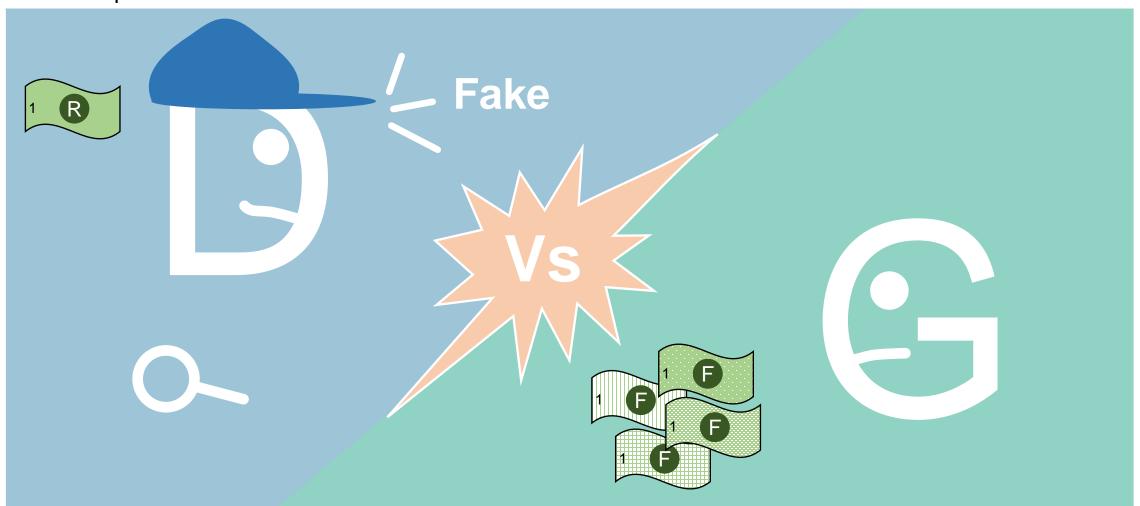
Unrolled GAN

CycleGAN

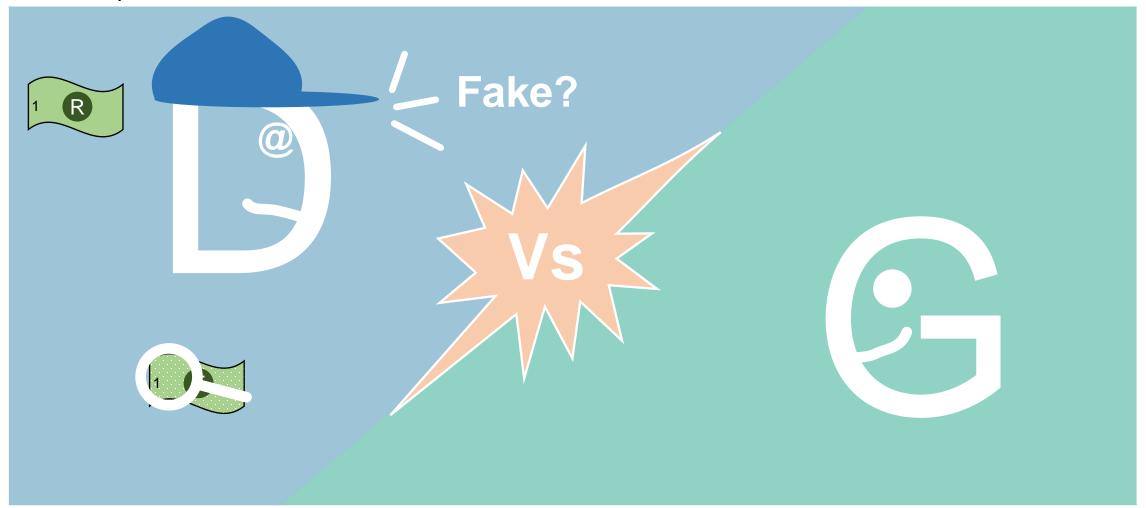


"Generative adversarial nets."

Concept of GAN



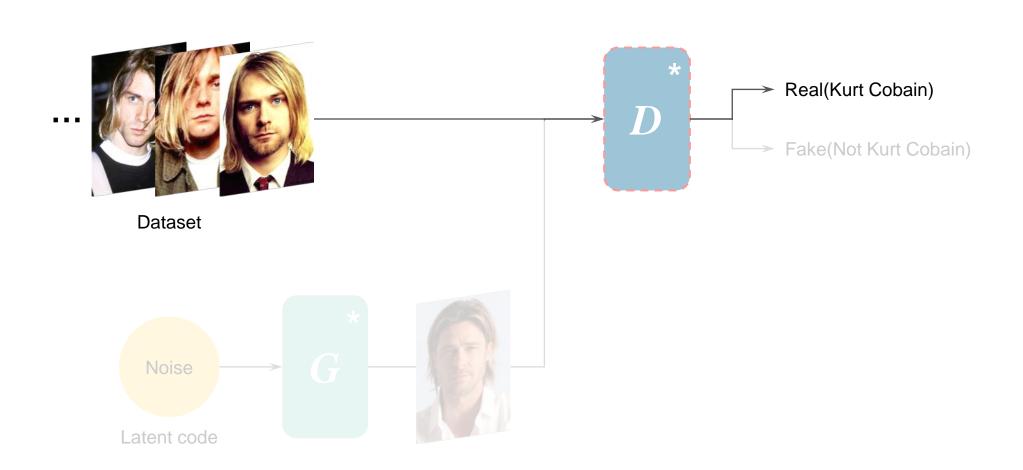
Concept of GAN



Process of Training

Train D

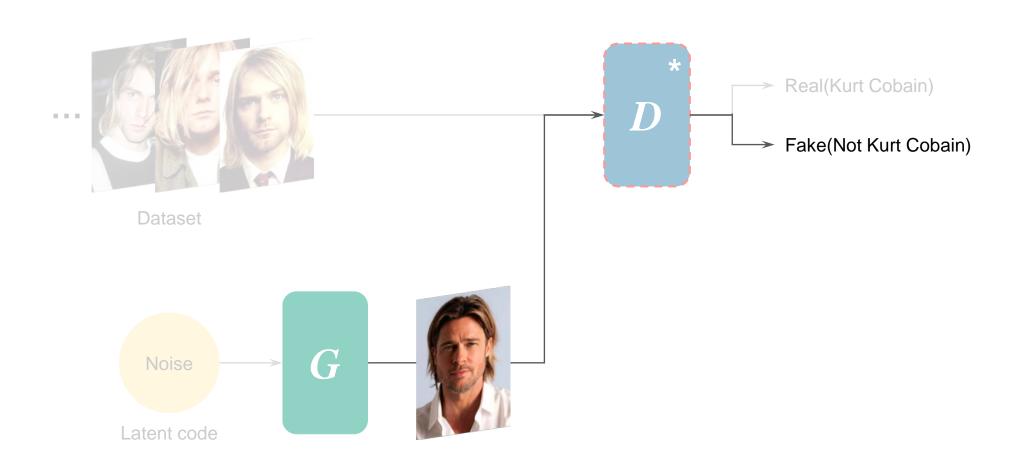
Train G



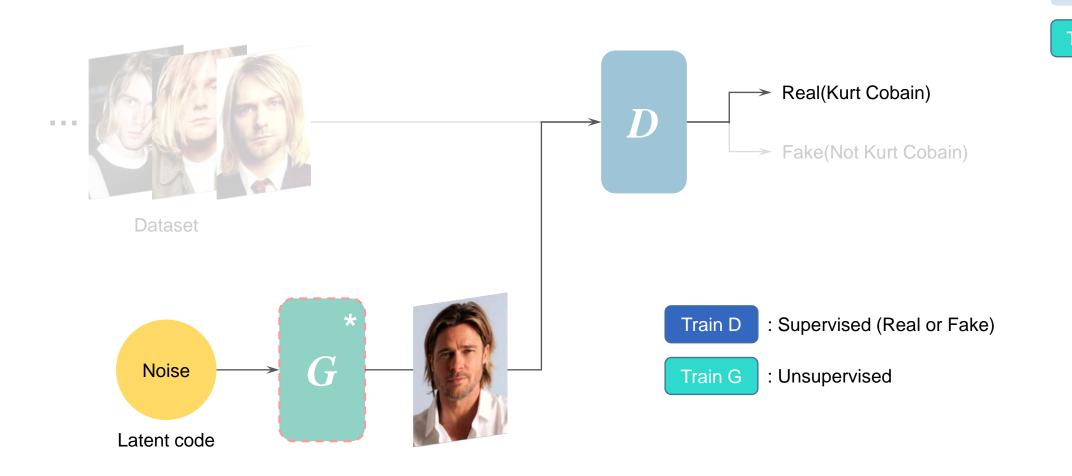
Process of Training

Train D

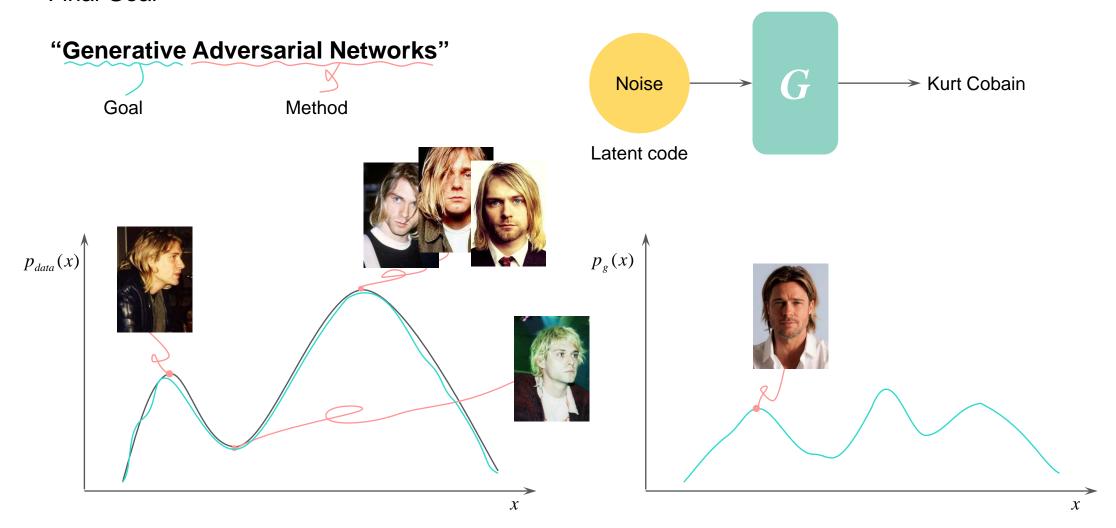
Train G



Process of Training



Final Goal



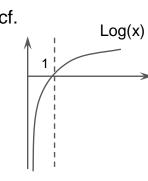
Adversarial nets

$$\min_{G} \max_{D} V(D, G) = E_{x \sim p_{data}(x)} [\log D(x)] + E_{z \sim p_{z}(z)} [\log (1 - D(G(z)))]$$

#### **Smart D**

 $E_{x \sim p_{data}(x)}[\log D(x)] + E_{z \sim p_z(z)}[\log (1 - D(G(z)))] \quad \text{should be 0}$ Real case

Fake case  $E_{x \sim p_{data}(x)}[\log D(x)] + E_{z \sim p_z(z)}[\log (1 - D(G(z)))] \quad \text{should be 0}$ 



#### Stupid D

$$E_{x \sim p_{data}(x)}[\log D(x)] + E_{z \sim p_z(z)}[\log (1 - D(G(z)))] \quad \text{should be negative infinity }$$

 $E_{x \sim p_{data}(x)}[\log D(x)] + E_{z \sim p_z(z)}[\log(1 - D(G(z)))]$ Fake case

should be negative infinity



D perspective, it should be maximum.

Adversarial nets

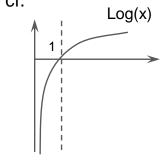
$$\min_{G} \max_{D} V(D,G) = E_{x \sim p_{data}(x)}[\log D(x)] + E_{z \sim p_{z}(z)}[\log(1 - D(G(z)))]$$

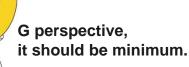
#### Generator

$$\text{Smart G} \qquad E_{x \sim p_{data}(x)}[\log D(x)] + E_{z \sim p_z(z)}[\log (1 - D(G(z)))] \quad \text{should be negative infinity}$$

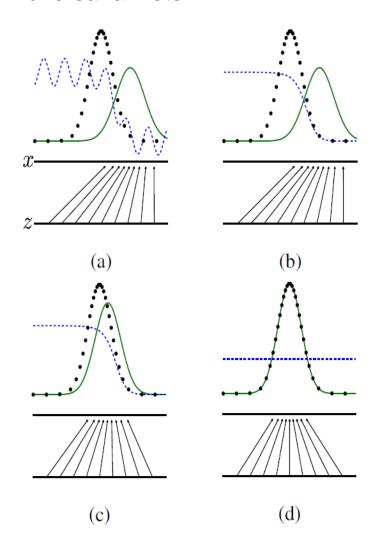


$$E_{x \sim p_{data}(x)}[\log D(x)] + E_{z \sim p_z(z)}[\log(1 - D(G(z)))] \quad \text{should be 0}$$





Adversarial nets



#### Algorithm 1

for number of training iterations do

for k steps do

- Sample minibatch of m noise samples  $\{z^{(1)}, \ldots, z^{(m)}\}$  from noise prior  $p_q(z)$ .
- Sample minibatch of m examples  $\{x^{(1)}, \dots, x^{(m)}\}$  from data generating distribution  $p_{\text{data}}(x)$ .
- Update the discriminator by ascending its stochastic gradient:

$$\nabla_{\theta_d} \frac{1}{m} \sum_{i=1}^m \left[ \log D\left(\boldsymbol{x}^{(i)}\right) + \log\left(1 - D\left(G\left(\boldsymbol{z}^{(i)}\right)\right)\right) \right].$$

#### end for

- Sample minibatch of m noise samples  $\{z^{(1)}, \ldots, z^{(m)}\}$  from noise prior  $p_g(z)$ .
- Update the generator by descending its stochastic gradient:

$$\nabla_{\theta_g} \frac{1}{m} \sum_{i=1}^{m} \log \left( 1 - D \left( G \left( \boldsymbol{z}^{(i)} \right) \right) \right).$$

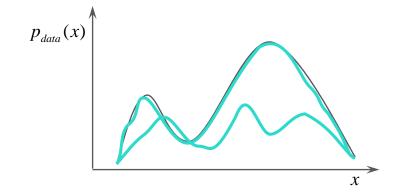
#### end for

The gradient-based updates can use any standard gradient-based learning rule. We used momentum in our experiments.

- Theoretical Results
- 1) Global Optimality of  $p_g = p_{data}$

Proposition 1. For G fixed, the optimal discriminator D is

$$D_G^*(x) = \frac{p_{data}(x)}{p_{data}(x) + p_g(x)}$$



*Proof.* The training criterion for the discriminator D, given any generator G, is to maximize the quantity V(G,D)

$$\begin{split} V(G,D) &= E_{x \sim p_{data}} \left[ \log(D(x)) \right] + E_{z \sim p_{z}} \left[ \log(1 - D(G(z))) \right] \\ &= \int_{x} p_{data}(x) \log(D(x)) dx + \int_{z} p_{z}(z) \log(1 - D(G(z))) dz \\ &= \int_{x} p_{data}(x) \log(D(x)) dx + \int_{x} p_{g}(x) \log(1 - D(x)) dx \end{split} \qquad x = G(z) \\ &= \int_{x} p_{data}(x) \log(D(x)) + p_{g}(x) \log(1 - D(x)) dx \end{split}$$

- Theoretical Results cont.
- 1) Global Optimality of  $p_g = p_{data}$

$$= \int_{x} p_{data}(x) \log(D(x)) + p_{g}(x) \log(1 - D(x)) dx$$

$$p_{data}(x)\log(D(x)) + p_{g}(x)\log(1-D(x)) \longrightarrow \text{Maximize}$$

Substitute 
$$p_{data}(x) = a$$
,  $p_g(x) = b$ ,  $D(x) = y$ 

$$a \log y + b \log(1 - y)$$

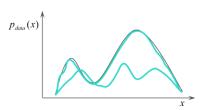
$$y = \frac{a}{a+b} \qquad D(x) = \frac{p_{data}(x)}{p_{data}(x) + p_{g}(x)}$$

#### **Paper review**

- Theoretical Results
- 1) Global Optimality of  $p_g = p_{data}$

Proposition 1. For  ${\it G}$  fixed, the optimal discriminator  ${\it D}$  is

$$D_G^*(x) = \frac{p_{data}(x)}{p_{data}(x) + p_g(x)}$$



- Theoretical Results cont.
- 1) Global Optimality of  $p_g = p_{data}$

$$\begin{split} C(G) &= \max_{D} V(G, D) \\ &= E_{x \sim p_{data}} \left[ \log D_{G}^{*}(x) \right] + E_{z \sim p_{z}} \left[ \log \left( 1 - D_{G}^{*}(G(z)) \right) \right] \\ &= E_{x \sim p_{data}} \left[ \log D_{G}^{*}(x) \right] + E_{x \sim p_{g}} \left[ \log \left( 1 - D_{G}^{*}(x) \right) \right] \\ &= E_{x \sim p_{data}} \left[ \log \frac{p_{data}(x)}{p_{data}(x) + p_{g}(x)} \right] + E_{x \sim p_{g}} \left[ \log \frac{p_{g}(x)}{p_{data}(x) + p_{g}(x)} \right] \end{split}$$

- Theoretical Results cont.
- 1) Global Optimality of  $p_g = p_{data}$

Theorem 1. The global minimum of the virtual training criterion C(G) is achieved if and only if  $P_g = P_{data}$  At that point, C(G) achieves the value  $-\log 4$ 

*Proof.* 

$$C(G) = E_{x \sim p_{data}} \left[ \log D_G^*(x) \right] + E_{x \sim p_g} \left[ \log(1 - D_G^*(x)) \right]$$
$$= E \left[ \log \frac{1}{2} \right] + E \left[ \log(1 - \frac{1}{2}) \right] = \log \frac{1}{4} = -\log 4$$

$$C(G) = -\log 4 + \log 4 + E_{x \sim p_{data}} \left[ \log \frac{p_{data}(x)}{p_{data}(x) + p_{g}(x)} \right] + E_{x \sim p_{g}} \left[ \log \frac{p_{g}(x)}{p_{data}(x) + p_{g}(x)} \right]$$

$$= -\log 4 + \log 2 + \log 2 + \sum_{x} p_{data}(x) \log \frac{p_{data}(x)}{p_{data}(x) + p_{g}(x)} + \sum_{x} p_{g}(x) \log \frac{p_{g}(x)}{p_{data}(x) + p_{g}(x)}$$

- Theoretical Results cont.
- 1) Global Optimality of  $p_g = p_{data}$

$$= -\log 4 + \log 2 + \log 2 + \sum_{x} p_{data}(x) \log \frac{p_{data}(x)}{p_{data}(x) + p_{g}(x)} + \sum_{x} p_{g}(x) \log \frac{p_{g}(x)}{p_{data}(x) + p_{g}(x)}$$

$$= -\log 4 + \sum_{x} p_{data}(x) \log \frac{p_{data}(x)}{\frac{p_{data}(x) + p_{g}(x)}{2}} + \sum_{x} p_{g}(x) \log \frac{p_{g}(x)}{\frac{p_{data}(x) + p_{g}(x)}{2}}$$

$$= -\log 4 + KL \left( p_{data}(x) \| \frac{p_{data}(x) + p_{g}(x)}{2} \right) + KL \left( p_{g}(x) \| \frac{p_{data}(x) + p_{g}(x)}{2} \right)$$

$$= -\log 4 + 2JSD(p_{data}(x) || p_{g}(x))$$
 if  $JSD = 0$ , then  $-\log 4$ 

- cf

Kullback-Leibler divergence

$$KL(P \parallel Q) = \sum_{i} P(i) \log \frac{P(i)}{Q(i)}$$

Jensen-Shannon divergence

$$JSD(P || Q) = \frac{1}{2} KL(P || M) + \frac{1}{2} KL(Q || M)$$

• Theoretical Results cont.

#### 2) Convergence of Algorithm

Proposition 2. If G and D have enough capacity, and at each step of Algorithm 1, the discriminator is allowed to reach its optimum given G, and  $P_g$  is updated so as to improve the criterion

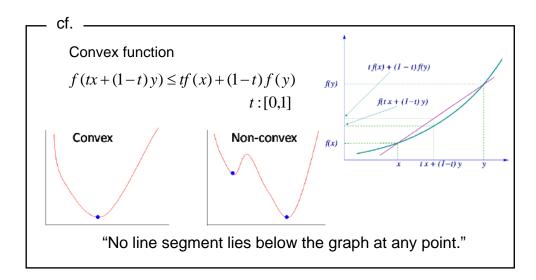
$$E_{x \sim p_{data}} \left[ \log D_G^*(x) \right] + E_{x \sim p_g} \left[ \log (1 - D_G^*(x)) \right]$$

then  $p_g$  converges to  $p_{data}$ 

Theoretical Results cont.

#### 2) Convergence of Algorithm

Proof.



Consider  $V(G,D) = U(p_g,D)$  as a functions of  $p_g$  as done in the above criterion. Note that  $U(p_g,D)$  is convex in  $p_g$ 

The subderivatives of a supremum of convex functions include the derivative of the function at the point where the maximum is attained.

if 
$$f(x) = \sup_{\alpha \in A} f_{\alpha}(x)$$
 and  $f_{\alpha}(x)$  is convex in  $x$  for every  $\alpha$ , then  $\partial f_{\beta}(x) \in \partial f$  if  $\beta = \arg \sup_{\alpha \in A} f_{\alpha}(x)$  g=data? g?  $f(x) = \sup_{\alpha \in A} U_{\alpha}(p_g, D)$ 

This is equivalent to computing a gradient descent update for  $P_s$  at optimal D given the corresponding G

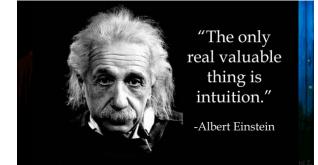
 $\sup_D U(p_g, D)$  is convex in  $P_g$  with a unique global optima as proven in Thm 1, therefore with sufficiently small updates of  $P_g$ ,  $P_g$  converges to  $P_{data}$ , concluding proof.

cf. -

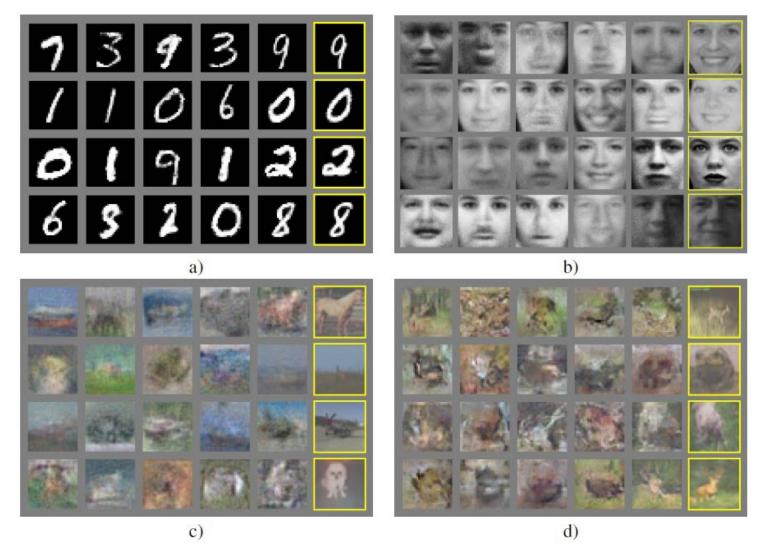
#### supremum

- 실수 b가 집합 A의 모든 원소들보다 크거나 같을때
   즉, a≤b 이면 b를 집합 A의 상계(Upper bound)라 한다. 단, a∈A
- 집합 A의 상계들의 집합을 U라 하면 U의 최소원소를 집합 A의 상한이라 하고  $\sup A$ 라 쓴다.

(http://mathnmath.tistory.com/27)



• Experiment



Deep Learning Framework



Nov. 2010

Written in : Python Interface : Python



Written in : C++

Interface: Python, MATLAB, C++



Jul. 2014 Interface : C, Lua

K Keras \*\*

Mar. 2015

Written in : Python Interface : Python, R



Written in : C++, Python, CUDA

Interface: Python, C/C++, Java, Go, R, Julia



Written in : Python, C, CUDA

Interface : Python

Oct. 2016



Written in : Apr. 2017 Interface :

Interface : Python, C++

DL4J(Java)

Chainer(Python)

MXNet(C++, Python, Julia, MATLAB, JavaScript, Go, R, Scala, Perl)

CNTK(Python, C++),

Dec. 2013

Nov. 2015

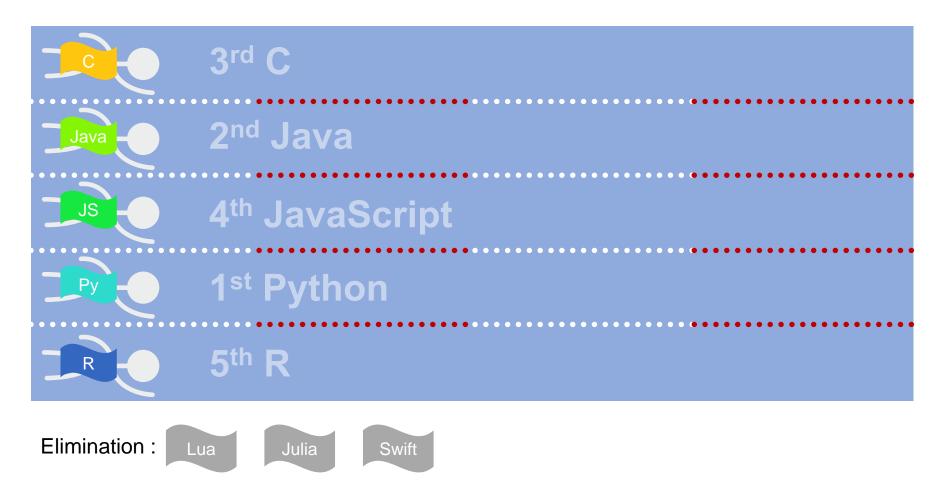
TF Learn(Python)

TF-Slim(Python)

Etc.

Recommend to choose these framework

Language



Development Tool



: Intellisense

: Cell based execution

: Intellisense

: Cell based execution

: Management of python env.



**Visual Studio** 

: Intellisense

: Management of python env.

: GitHub

: Al tool package

: Intellisense

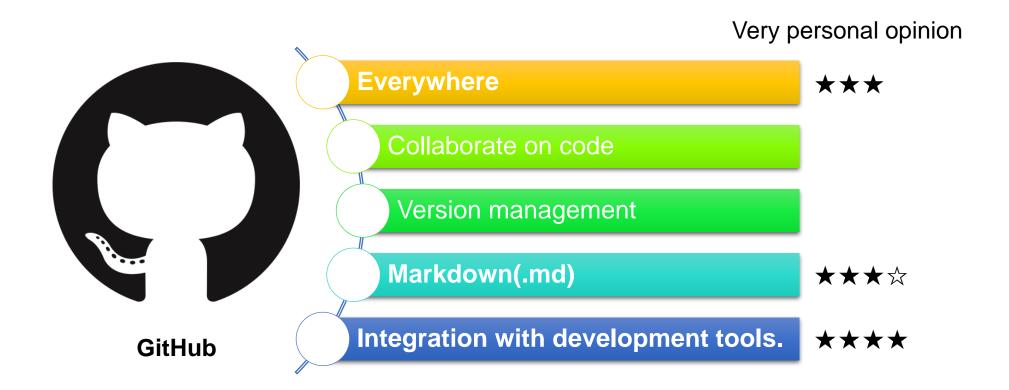
: Environment setting

: Startup file



: Insane extension program

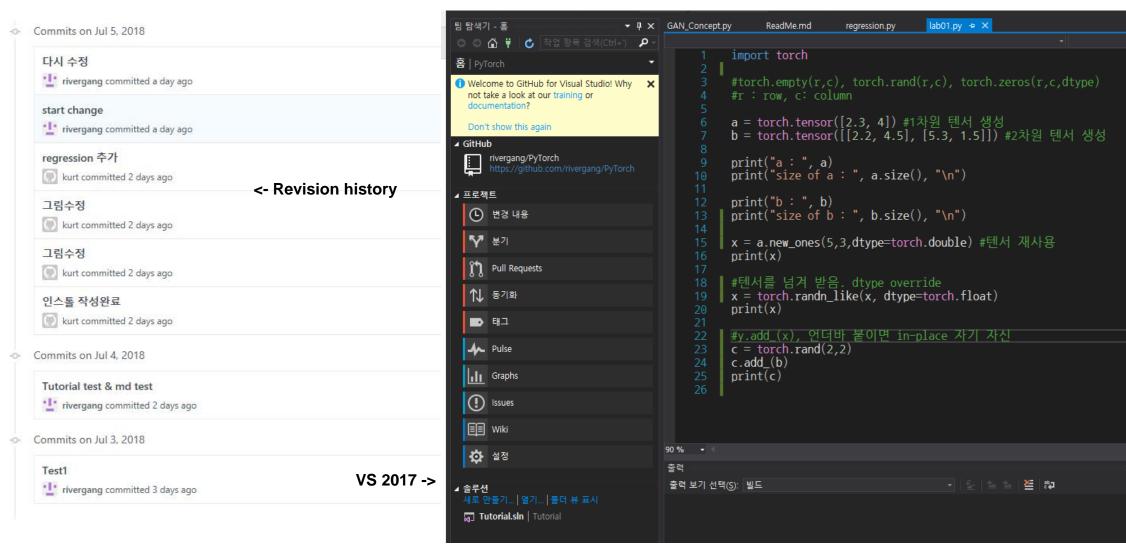
• CM(Configuration Management) Tool



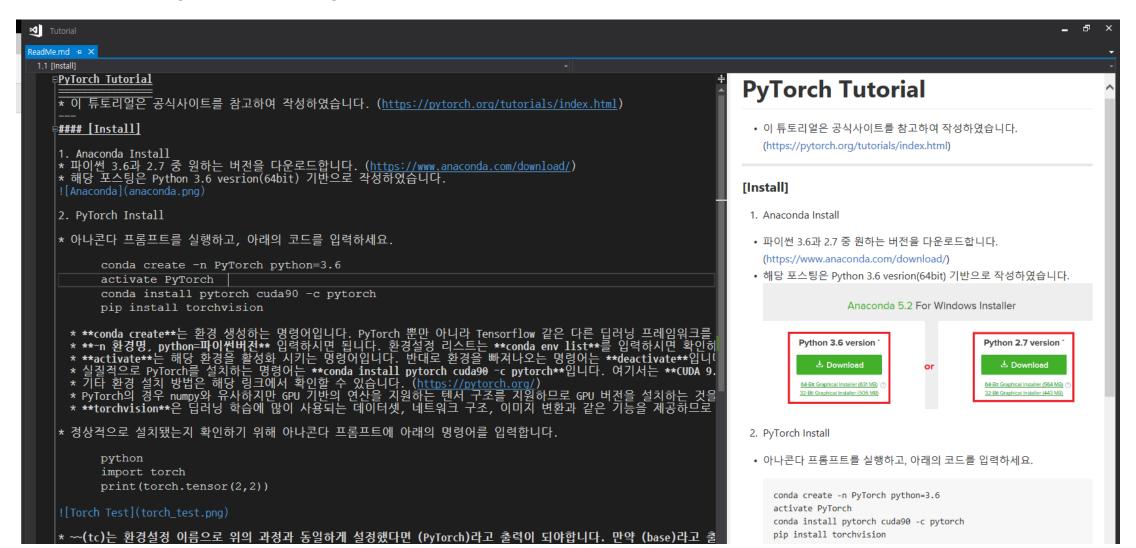
CM(Configuration Management) Tool



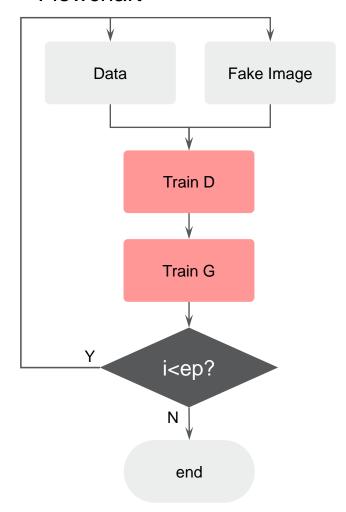
CM(Configuration Management) Tool



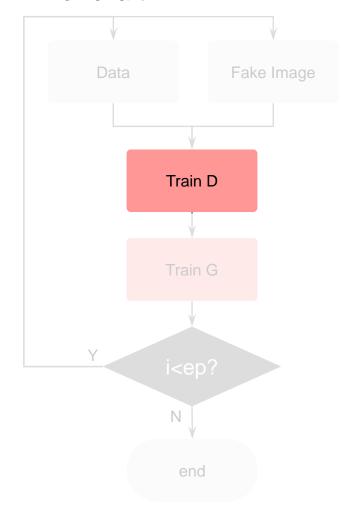
CM(Configuration Management) Tool

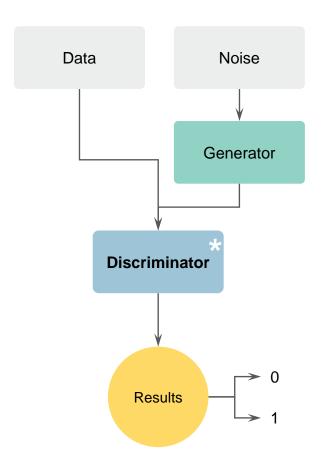


Flowchart

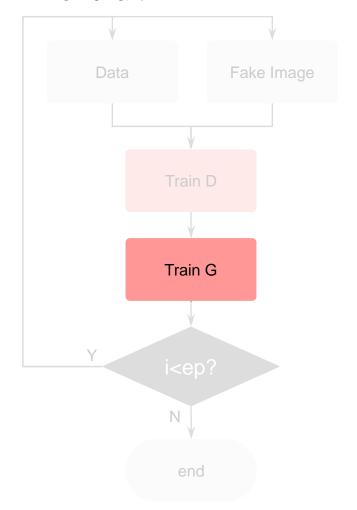


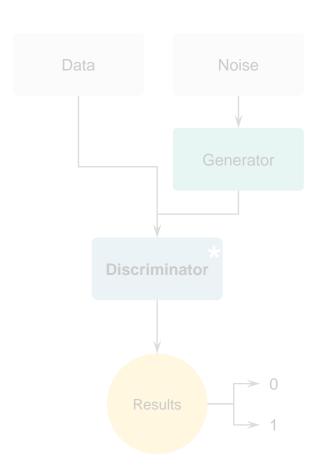
Flowchart

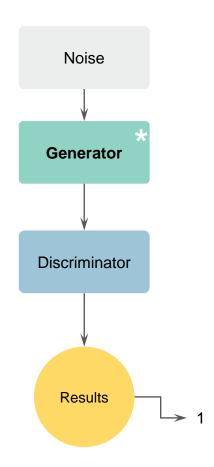




Flowchart







• Implementation

Import Generator

Parameter GPU

Data load Optimizer

Range Train the D

Discriminator

Train the G

#### mport

필요한 라이브러리를 import한다.

- torch: tensor나 network 구조를 구현하기 위한 라이브러리
- torchvision : dataset을 관리하는데 필요한 라이브러리
- os : file path를 불러오기 위한 라이브러리

```
import torch as tc
import torch.nn as nn
import torchvision
import torchvision.transforms as transforms
from torchvision.utils import save_image
import os
```

#### Parameter

학습에 필요한 이미지 크기 및 저장 경로, hyper parameter를 설정한다.

- result\_path: 저장되는 경로이다. 해당 파일인 'GAN\_Simple.py'와 같은 경로에 'simple'이라는 폴더가 존재해야한다.(조절 가능)
- img\_sz: 이미지 크기이다. 여기서는 MNIST이므로(28x28x1)의 크기를 사용한다.
- noise\_sz : Generator의 입력으로 주어지는 latent code의 크기이다.(조절 가능)
- hidden\_sz: Hidden Layer의 크기이다.(조절 가능)
- batch\_sz : 배치 크기이다. (조절 가능. MNIST의 총 데이터 개수가 60,000이므로 이에 나누어 떨어지게 조절해야한다.)
- nEpoch : 에폭 횟수이다.(조절 **가능**)
- nChannel : 채널 크기이다. MNIST이므로 1이다.
- Ir: 학습률(Learning Rate)이다. (조절 가능)

```
result_path = 'simple'
img_sz = 784
noise_sz = 100
hidden_sz = 512
batch_sz = 100
nEpoch = 300
nChannel = 1
```

• Implementation(Import, Parameter, Data load)

• Implementation(Range, Discriminator, Generator)

• Implementation (GPU, Optimizer)

```
loss_func = tc.nn.BCELoss()
d_opt = tc.optim.Adam(D.parameters(), lr=lr)
g_opt = tc.optim.Adam(G.parameters(), lr=lr)
```

• Implementation (Train the D)

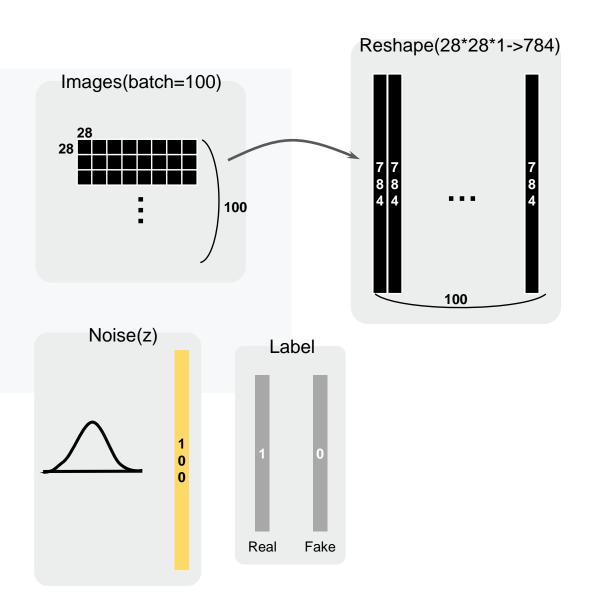
```
for ep in range(nEpoch):
    for step, (images, ) in enumerate(dataloader):
        images = images.reshape(batch sz, -1).to(device)
        z = tc.randn(batch_sz, noise_sz).to(device)

        real_label = tc.ones(batch_sz, 1).to(device)
        fake_label = tc.zeros(batch_sz, 1).to(device)

        loss_real = loss_func(D(images), real_label)
        loss_fake = loss_func(D(G(z)), fake_label)

        d_loss = loss_real + loss_fake

        d_opt.zero_grad()
        d_loss.backward()
        d_opt.step()
```



• Implementation (Train the D) - cont.

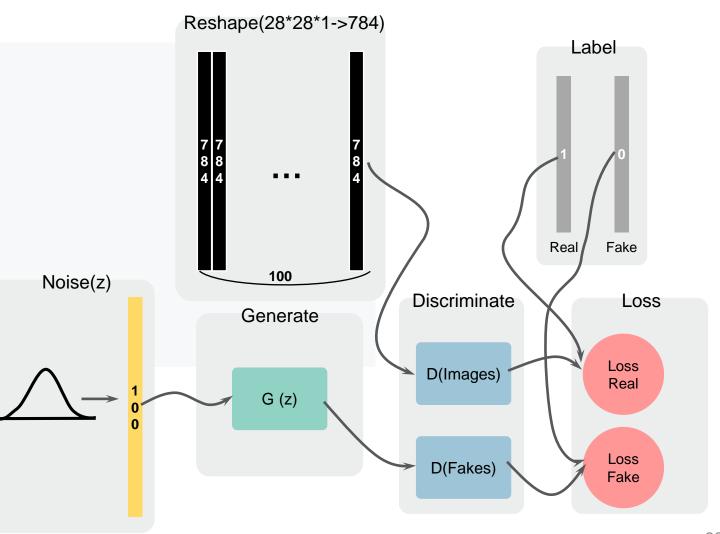
```
for ep in range(nEpoch):
    for step, (images, _) in enumerate(dataloader):
        images = images.reshape(batch_sz, -1).to(device)
        z = tc.randn(batch_sz, noise_sz).to(device)

        real_label = tc.ones(batch_sz, 1).to(device)
        fake_label = tc.zeros(batch_sz, 1).to(device)

        loss_real = loss_func(D(images), real_label)
        loss_fake = loss_func(D(G(z)), fake_label)

        d_loss = loss_real + loss_fake

        d_opt.zero_grad()
        d_loss.backward()
        d_opt.step()
```



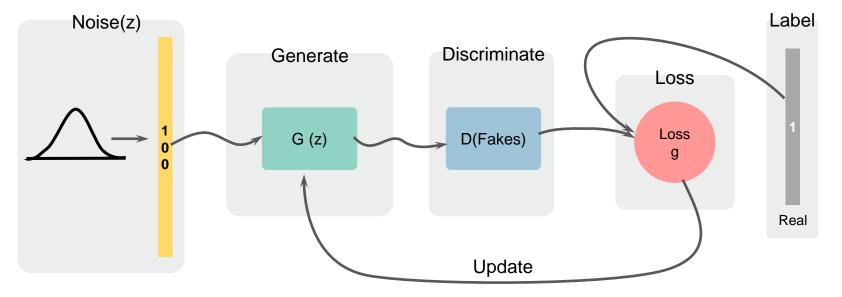
• Implementation (Train the D) - cont.

```
for ep in range(nEpoch):
   for step, (images, _) in enumerate(dataloader):
       images = images.reshape(batch_sz, -1).to(device)
        z = tc.randn(batch_sz, noise_sz).to(device)
                                                                        Discriminate
                                                                                                            Loss
       real_label = tc.ones(batch_sz, 1).to(device)
       fake_label = tc.zeros(batch_sz, 1).to(device)
                                                                                                  Loss
       loss_real = loss_func(D(images), real_label)
                                                                          D(Images)
                                                                                                  Real
       loss_fake = loss_func(D(G(z)), fake_label)
                                                                                                                     Total
       d_loss = loss_real + loss_fake
                                                                                                                     Loss
                                                                                                  Loss
        d_opt.zero_grad()
                                                                          D(Fakes)
                                                                                                  Fake
        d_loss.backward()
        d_opt.step()
                                                                                                  Update
```

• Implementation(Train the G)

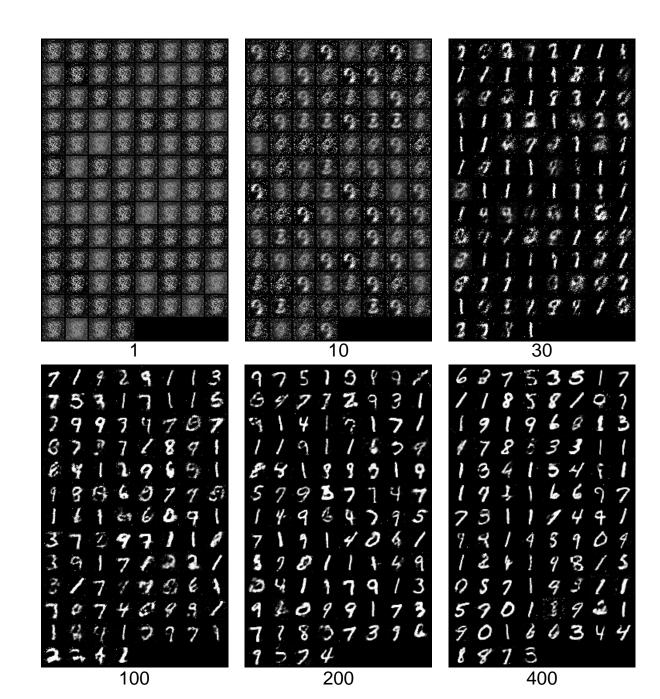
```
fake_images = G(z)
g_loss = loss_func(D(fake_images), real_label)

g_opt.zero_grad()
g_loss.backward()
g_opt.step()
```



• Result#1 (MNIST)

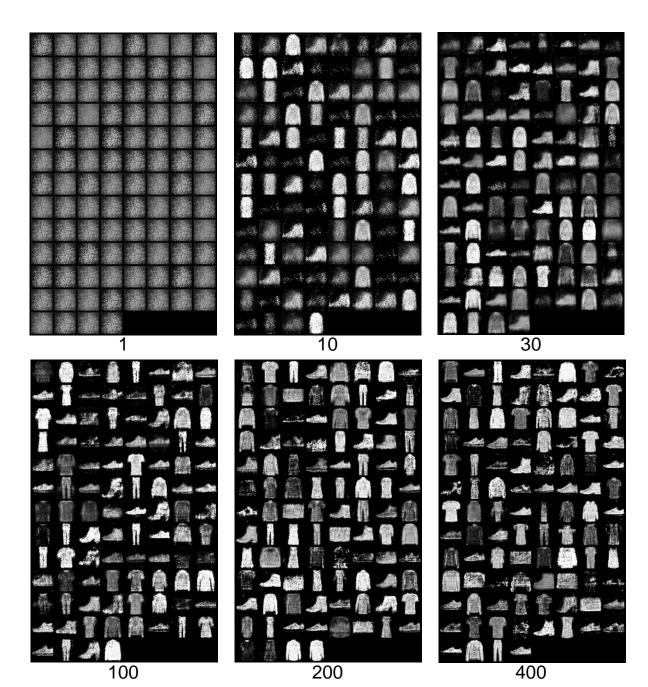
Real



Result#2 (FashionMNIST)



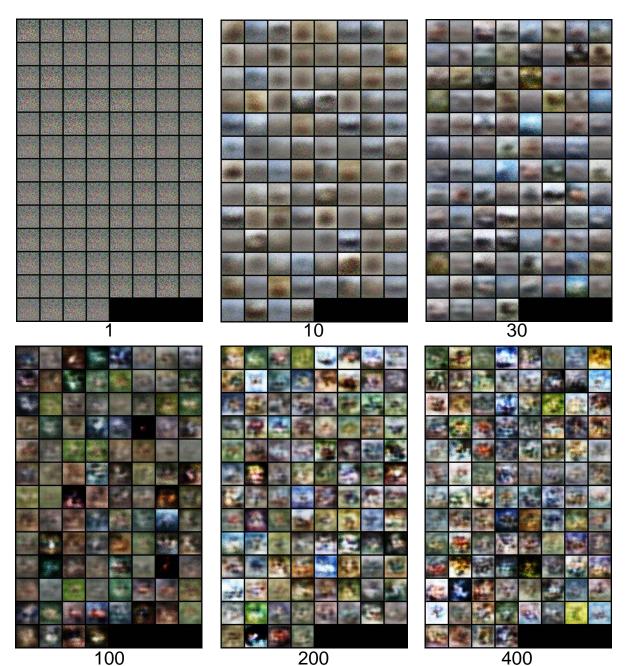
Real



• Result#3 (CIFAR10)



Real



#### Summary

- Generative Adversarial Network is composed the generator model and the discriminator.
- When training the discriminator, the parameters of the generator should be fixed and vice versa.
- The global minimum of the training criterion is achieved if and only if  $p_g = p_{data}$ . Global optimality
- The generative distribution converges to the data distribution. Convergence of algorithm

#### Future work & Reference

- DCGAN (based Conv. layer, optimal training network)
- AE, VAE (encoder & decoder)
- InfoGAN (meaning of latent vector)
- Unrolled GAN (problem of instability)
- LSGAN (Loss)
- Wasserstein GAN (Wasserstein distance)
- BEGAN (equilibrium concept)
- Pix2Pix (mapping)
- Disco GAN (cross domain relation)
- Cycle GAN (cross domain relation)

- f-GAN
- Energy based GAN
- U-Net
- ResNet
- •



#### Reference

- [1] Goodfellow, Ian, et al. "Generative adversarial nets." Advances in neural information processing systems. 2014.
- [2] Wang, Su. "Generative Adversarial Networks (GAN) A Gentle Introduction."
- [2] 초짜 대학원생의 입장에서 이해하는 Generative Adversarial Networks (<a href="https://jaejunyoo.blogspot.com/">https://jaejunyoo.blogspot.com/</a>)
- [3] 1시간만에 GAN(Generative Adversarial Network) 완전 정복하기 (<a href="https://www.slideshare.net/NaverEngineering/1-gangenerative-adversarial-network">https://www.slideshare.net/NaverEngineering/1-gangenerative-adversarial-network</a>)
- [4] 프레임워크 비교(https://deeplearning4j.org/kr/compare-dl4j-torch7-pylearn)
- [5] AI 개발에AI 개발에 가장 적합한 5가지 프로그래밍 언어

(http://www.itworld.co.kr/news/109189#csidxf9226c7578dd101b41d03bfedfec05e)

- [6] Git는 머꼬? GitHub는 또 머지?(https://www.slideshare.net/ianychoi/git-github-46020592)
- [7] svn 능력자를 위한 git 개념 가이드(https://www.slideshare.net/einsub/svn-git-17386752)

#### Appendix

• What is Pythonic?

#### 1. Collection이 있는 리스트에 대해 Loop을 돌 때: 2. Loop을 거꾸로 돌 때:

Index 보다는 Element Ok: Index Index 보다는 Reverse Not Good: Index

```
colors = ['red', 'green', 'blue', 'yellow']
for i in range(len(colors)):
    print(colors[i])
```

```
colors = ['red', 'green', 'blue', 'yellow']
for i in range(len(colors)-1, -1):
    print(colors[i])
```

Good: Elements

Good: Reverse

```
for color in colors:
    print(color)
```

```
for color in reversed(colors):
    print(color)
```

(http://devdoggo.netlify.com/post/python/python\_techniques/)

#### Appendix

• [gæn] or [gʌn]

```
stirling_archer 2 points · 5 days ago
I've only ever heard people pronounce it [gæn] across a few dialects of English.
Reply Share Report Save Give gold
visarga 2 points · 5 days ago
I pronounce it "gʌn" but I am not a native English speaker.
Reply Share Report Save Give gold
pumpkin105 1 point · 4 days ago
Like gun
Reply Share Report Save Give gold
COOML 1 point · 1 day ago
most people read it as [gæn ] in china
Reply Share Report Save Give gold
```

```
chisai_mikan 2 points · 5 days ago
JAN
Reply Share Report Save Give gold
  swegmesterflex 1 point · 4 days ago
   lane
   Reply Share Report Save Give gold
Surextra 14 points · 5 days ago
Hard G, rhymes with van. That's how Ian Goodfellow pronouces it anyway.
Reply Share Report Save Give gold
   samclifford 19 points · 5 days ago
   *loodfellow
   Reply Share Report Save Give gold
      FutureIsMine 2 points · 4 days ago
      Hey Jood.....
       Reply Share Report Save Give gold
```