

# Finite State Machine Or Finite Automata

## Module 1

# Syllabus

Module No.	Unit No.	Details	Hrs.	CO
1	Finite Automata		08	CO1
	1.1	Introduction: Alphabets, String, Language, Basic Operations on language, Concatenation, Kleene Star Introduction to different phases of compiler.		
	1.2	Finite Automata (FA) -its behavior; DFA -Formal definition, state transition diagram, transition table, Language of a DFA. NFA -Formal definition, state transition diagram, transition table Language of an NFA. FA with epsilon-transitions, Eliminating epsilon-transitions, Equivalence of DFAs and NFAs, Conversion from NFA to DFA. Moore machine and Mealy Machine- Formal definition, state transition diagram, transition table, Conversion from Mealy to Moore machine and Moore to Mealy machine. Application of Finite Automata for Lexical Analysis and Lex tools		

# Computational models

- CMs
- Real computers are quite complicated to allow us to set up a manageable mathematical theory for them directly
- Instead we can use an idealized computer called computational model in order to begin the theory of computation

# Finite automata

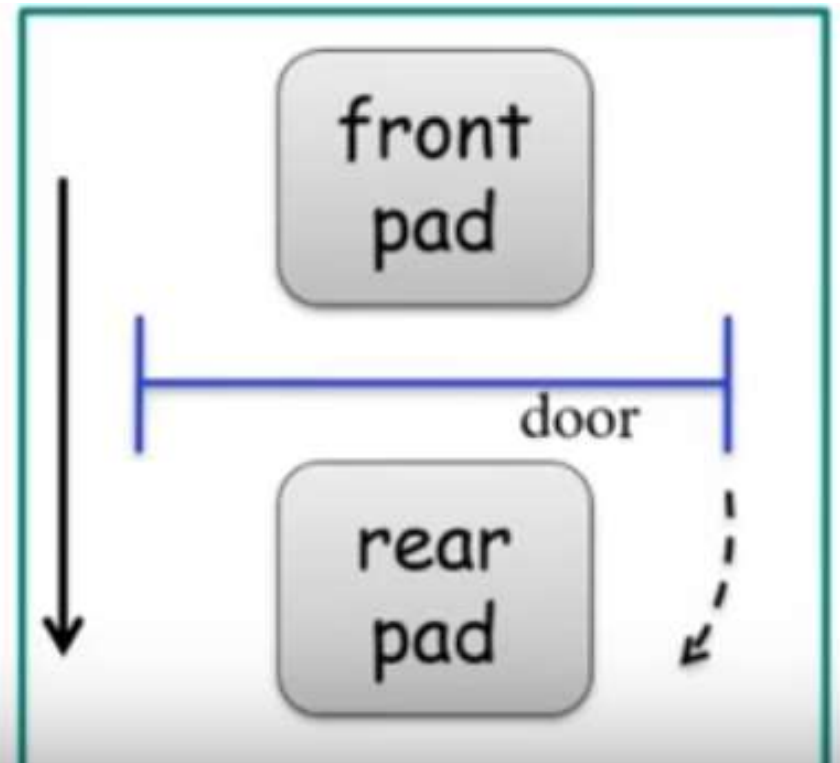
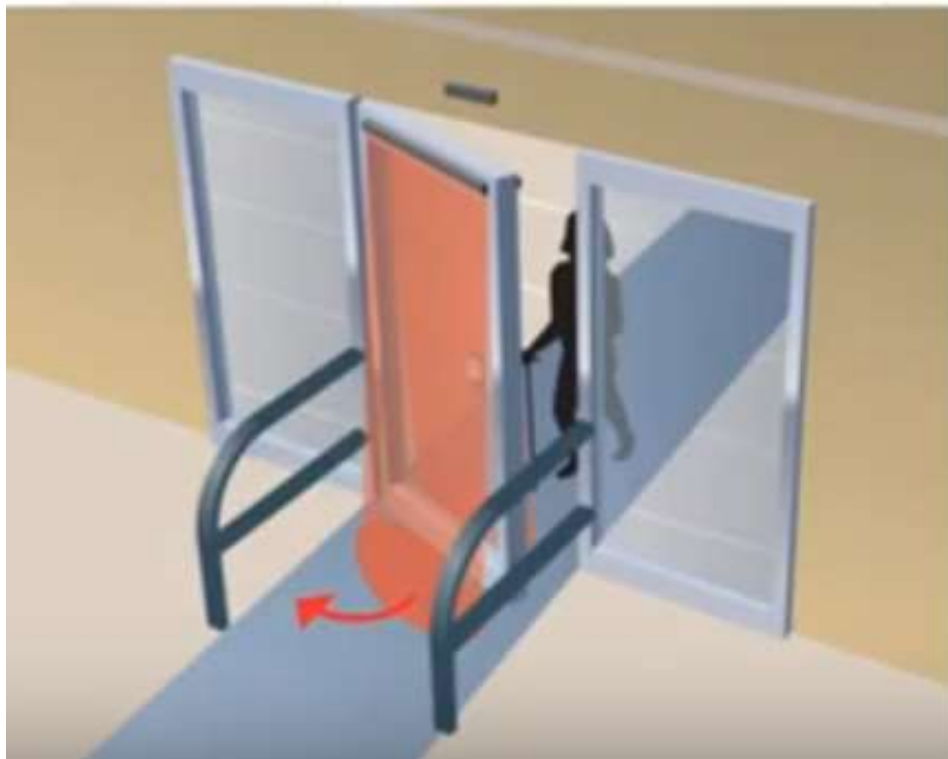
- The simplest computational model is called a finite state machine or a finite automaton
- FA are good models for computers with an extremely limited amount of memory

# Finite automata

- Before developing the mathematics of finite automata we will examine the usage of a concrete finite automaton:
  - The controller of an automatic door

# The controller of an automatic door

- Automatic doors are often found at supermarket entrances and exits
- An automatic door swing open when sensing that a person is approaching



# The controller of an automatic door

- An automatic door is controlled by a simple automaton seen in Figure 1
- **One way door**

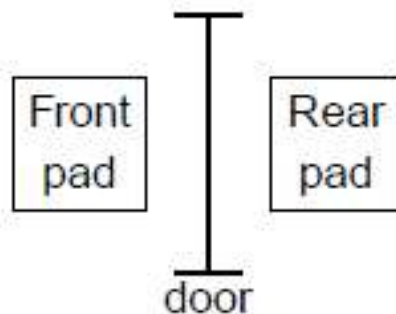
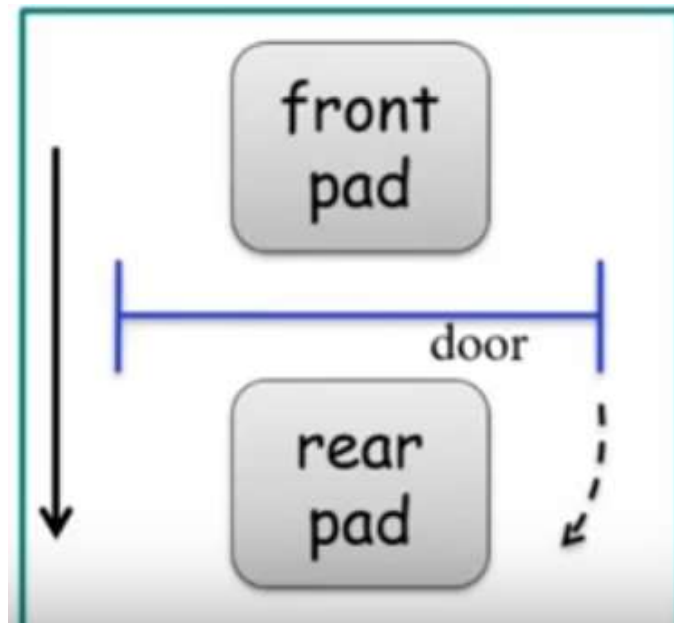


Figure 1: Controller of an automatic door

# Behaviour

- An automatic door has a pad in front to detect the presence of a person about to walk through the door
- Another pad is located to the rear of the doorway so that the controller can hold the door open long enough for the person to pass all the way through





# States of the controller

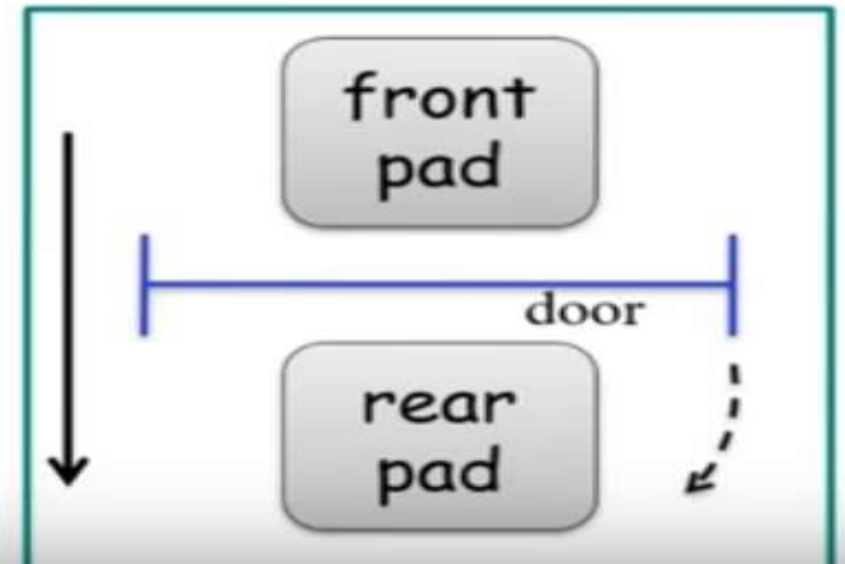
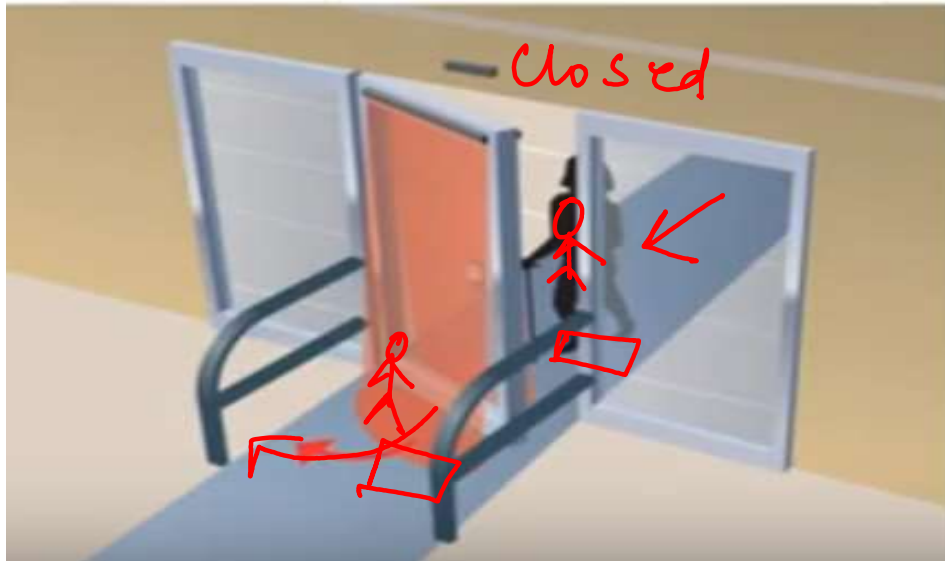
- The controller is in either of two states:
  - open or closed
  - representing the condition of the door

## Inputs to the controller

- There are 4 possible input conditions:
  - front, meaning that a person is standing on the front pad
  - rear, meaning that a person is standing on the rear pad
  - both, meaning that people are standing on both pads
  - neither, meaning that no one is standing on either pad

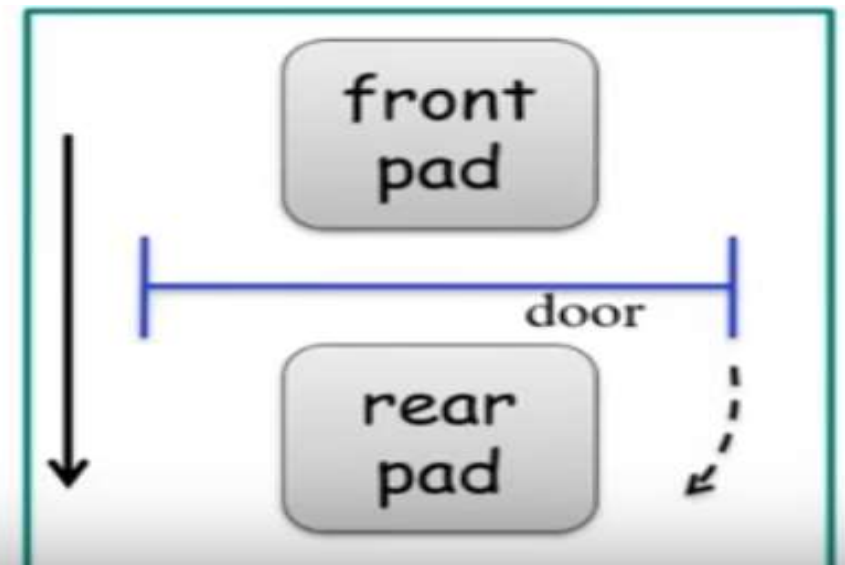
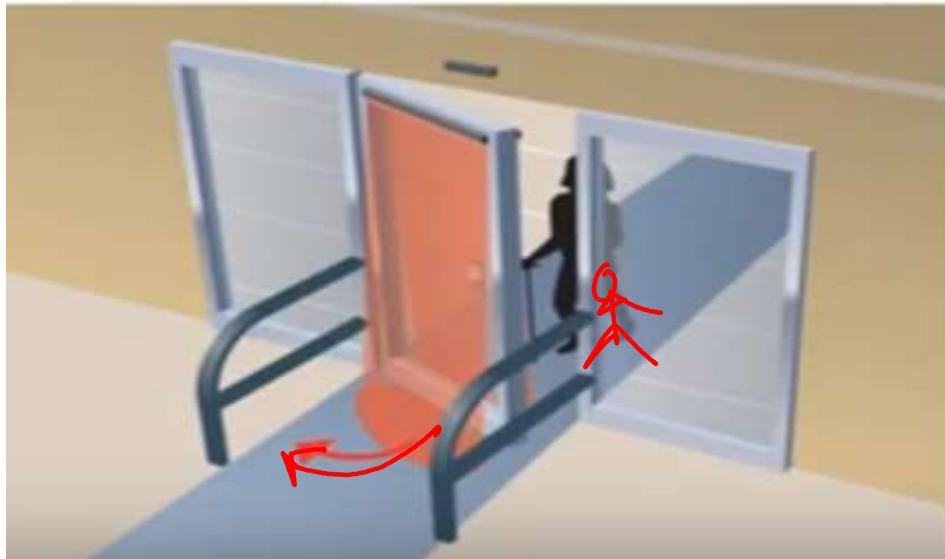
# Interpretation

- When controller is in the state closed and the input is REAR or NEITHER the controller remains in the state closed.  
*(Handwritten: 'C' with arrow pointing to 'closed', '1' in a circle with arrow pointing to 'REAR', and 'C' with arrow pointing to 'closed')*
- In addition, If the input BOTH is received, it stays closed because opening the door risks knocking someone over the rear pad. But if input FRONT arrives, it moves to the open state  
*(Handwritten: 'C' with arrow pointing to 'BOTH')*



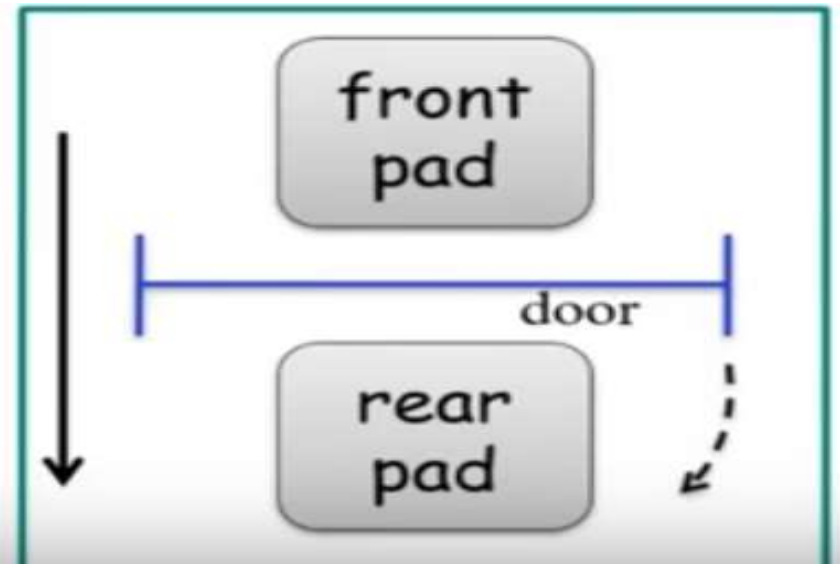
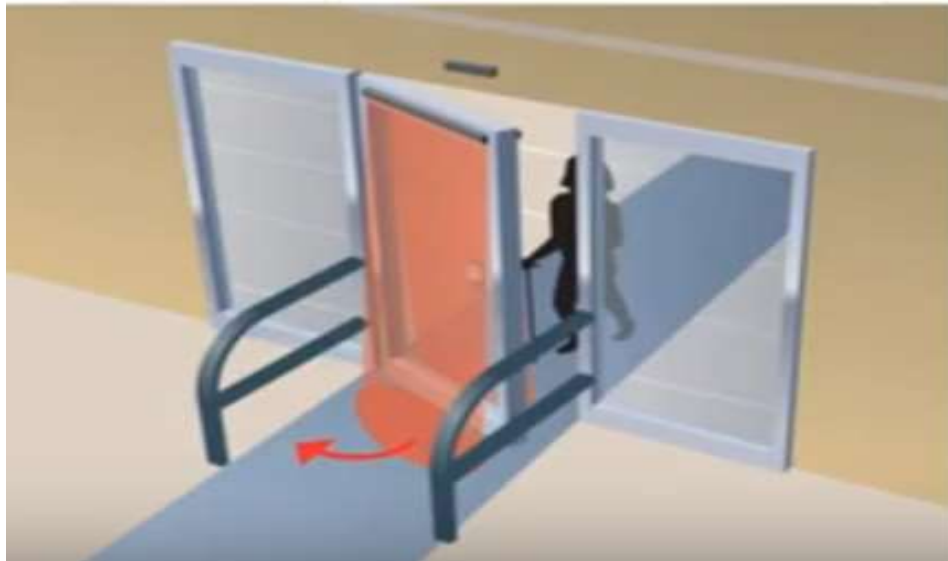
# Interpretation

- When controller is in the state closed and the input is front the controller moves to the state open



# Interpretation

- **When the controller is in the state open**
- **Input is one of front, rear, both, the controller remains in the state open**
- When the controller is in the state open
- Input is neither the controller moves to the state closed



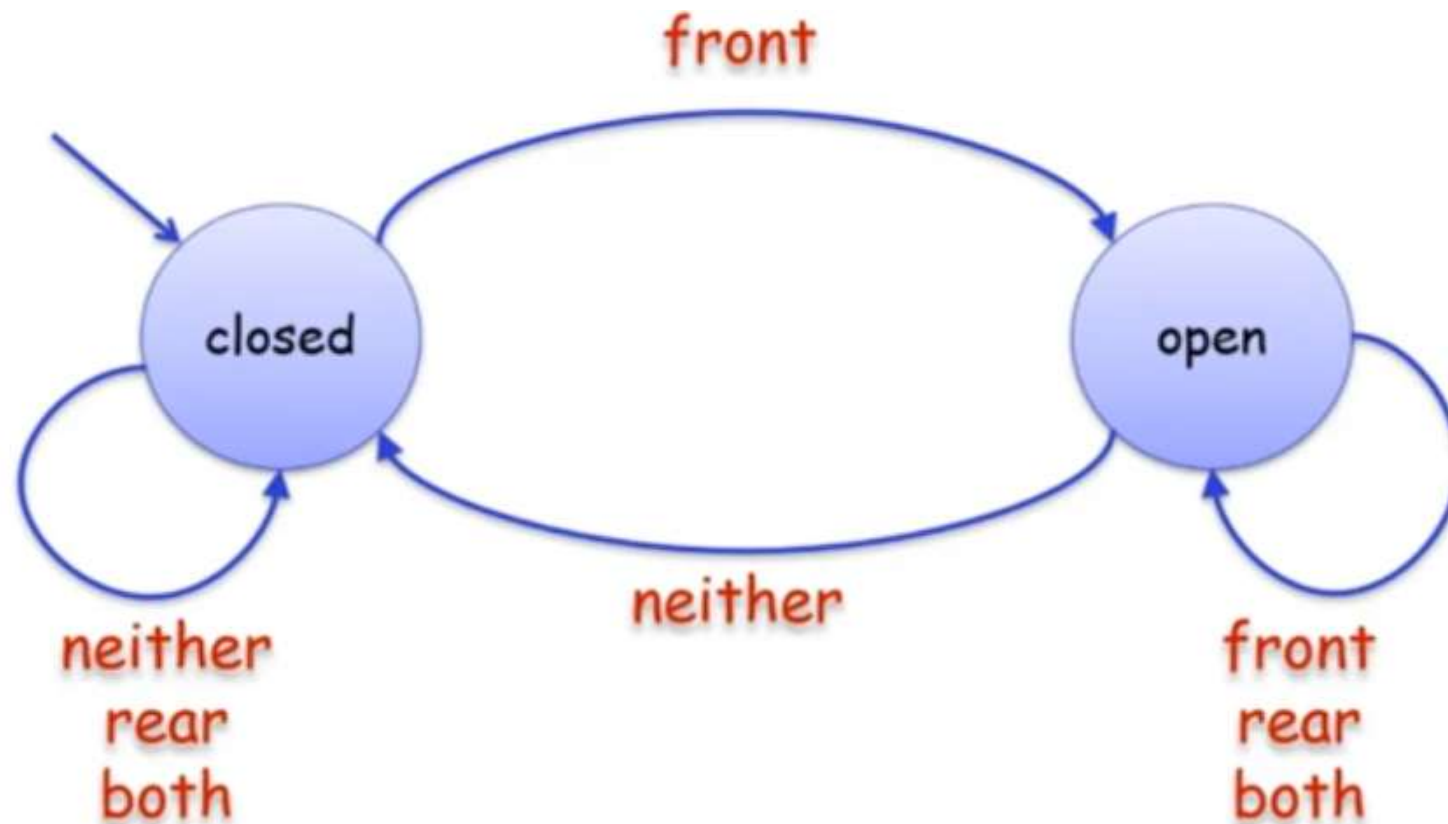
# State transition diagram

- Depicts the movements of the controller from state to state depending upon the input it receives
- Start State=Closed



# State transition diagram

- The state transition diagram of the controller,



# State transition table

- The movement of the controller (and of the door) can also be represented by a table
- Rows are labelled by the states
- Columns are labeled by the input

		Input signal			
		Neither	Front	Rear	Both
state	Closed	closed	open	closed	closed
	Open	closed	open	open	open

Interpretation:  $T(\text{state}, \text{input}) = \text{NewState}$

# Exercise

- How should we change the state diagram if we have a sliding door?
- Try it Out!!



- This controller is a computer that has just a single bit of memory capable of recording the two states of the controller.
- Other common devices have larger memories.

# Examples

- Other similar devices need controllers with larger memory.
- ??

# Examples

Example-

- A state of the controller of an elevator may represent the floor the elevator is on and the inputs may be signals received from other floors

# Examples of FSM

Example-

- Controllers of various household appliances such as
  - dishwashers,
  - electronic thermostats ,
  - parts of digital watches,
  - calculators
  - are computers with limited memories.

# Examples of FSM

- A switching circuit, such as the control unit of a computer.
- A switching circuit is composed of a finite number of gates, each of which can be in one of two conditions, usually denoted 0 and 1.
- The state of a switching network with  $n$  gates is thus any one of the  $2^n$  assignments of 0 or 1 to the various gates

# Examples of FSM

- Although the voltages on each gate can assume any of an infinite set of values, the electronic circuits are so designed that only two of the voltages corresponding to 0 and 1 are stable and other voltages instantaneously adjust themselves to one of these voltages.
- Thus, It a FSM that separated the logical design of a computer from the electronic implementation

# Design a FSM for JK Flip Flop

# FSM for JK Flip Flop

TRUTH TABLE FOR JK F/F

J	K	Q <sub>N</sub>	Q <sub>N+1</sub>	
0	0	0	0	NO CHANGE
0	0	1	1	
0	1	0	0	J VALUE FORWARDED
0	1	1	0	
1	0	0	1	
1	0	1	1	
1	1	0	1	TOGGLE CASE
1	1	1	0	

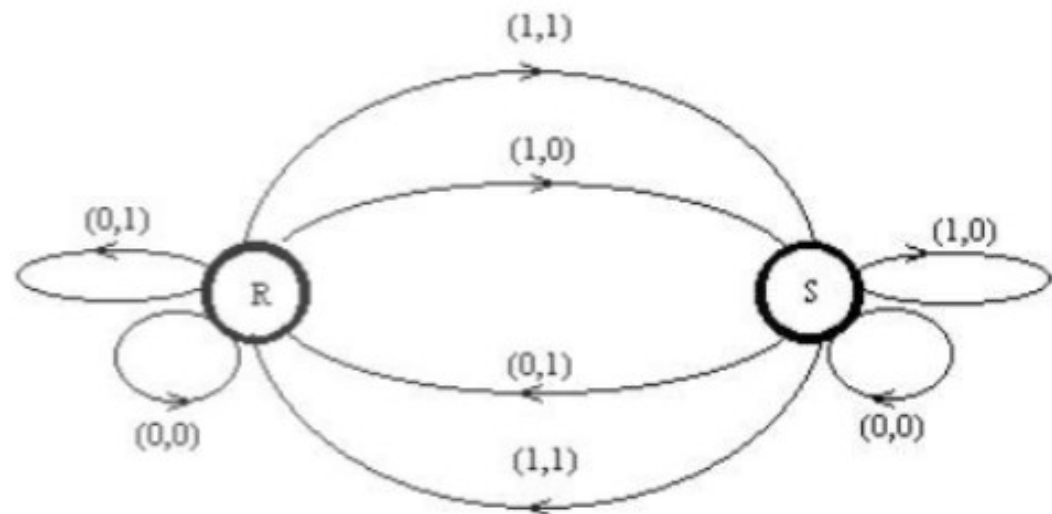


# FSM for JK Flip Flop

TRUTH TABLE FOR JK F/F

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State Diagram for JK Flip Flop.



# Examples of FSM

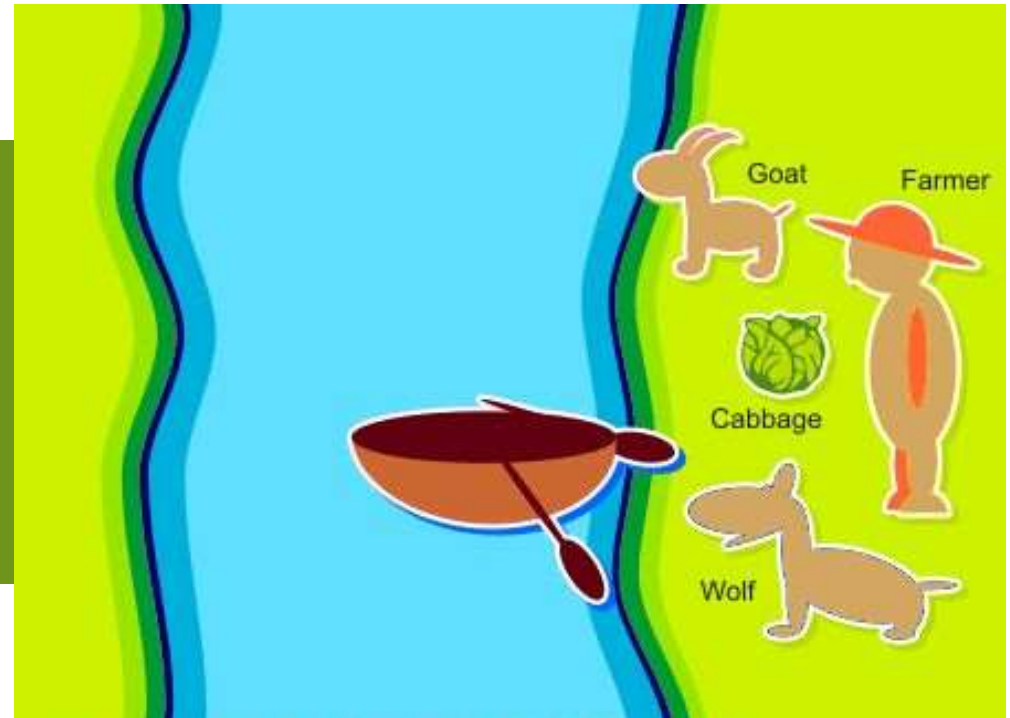
- Certain commonly used programs such as text editors and the lexical analyzers found in most compilers are often designed as finite state systems.

# Examples of FSM

- For example, a lexical analyzer scans the symbols of a computer program to locate the strings of characters corresponding to
  - **identifiers,**
  - **numerical constants,**
  - **reserved words,** and so on.
- In this process the lexical analyzer needs to remember only a finite amount of information,
  - **such as how long a prefix of a reserved word it has seen since startup.**

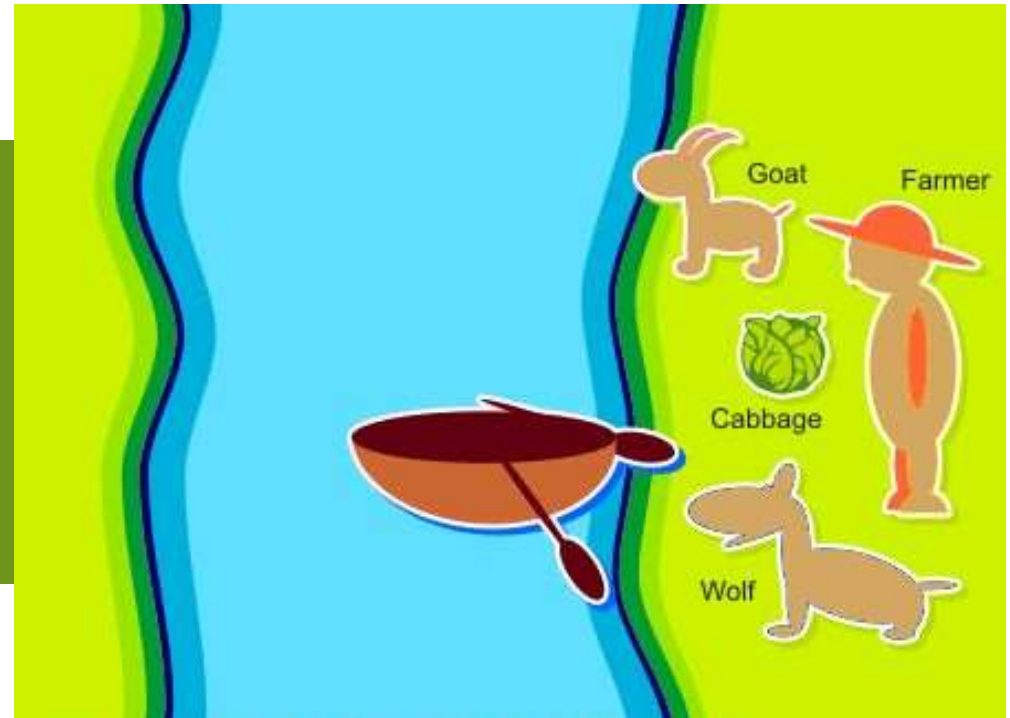
# Wolf, Goat, and Cabbage problem

- A man with a wolf, goat, and cabbage is on the left bank of a river.
- There is a boat large enough to carry the man and only one of the other three.
- The man and his entourage wish to cross to the right bank, and the man can ferry each across, one at a time.



# Wolf, Goat, and Cabbage problem

- If the man leaves the wolf and goat unattended on either shore, the wolf will surely eat the goat.
- If the goat and cabbage are left unattended, the goat will eat the cabbage.
- Is it possible to cross the river without the goat or cabbage being eaten?



# Wolf, Goat, and Cabbage problem

- The problem is modelled by observing the occupants of each bank after a crossing.
- There are 16 subsets of the man (M), wolf (W), goat (G), and cabbage (C).



# Wolf, Goat, and Cabbage problem

- States are labelled by hyphenated pairs
- **Left Bank Occupants-Right Bank Occupants**
- Symbols on left of the hyphen=subset on the left bank
- Symbols on right of the hyphen=subset on the right bank
- Eg: MG-WC



# Wolf, Goat, and Cabbage problem

## Fatal Combination-

- GC-MW
- GW-MC
- These are fatal and may never be entered by the system





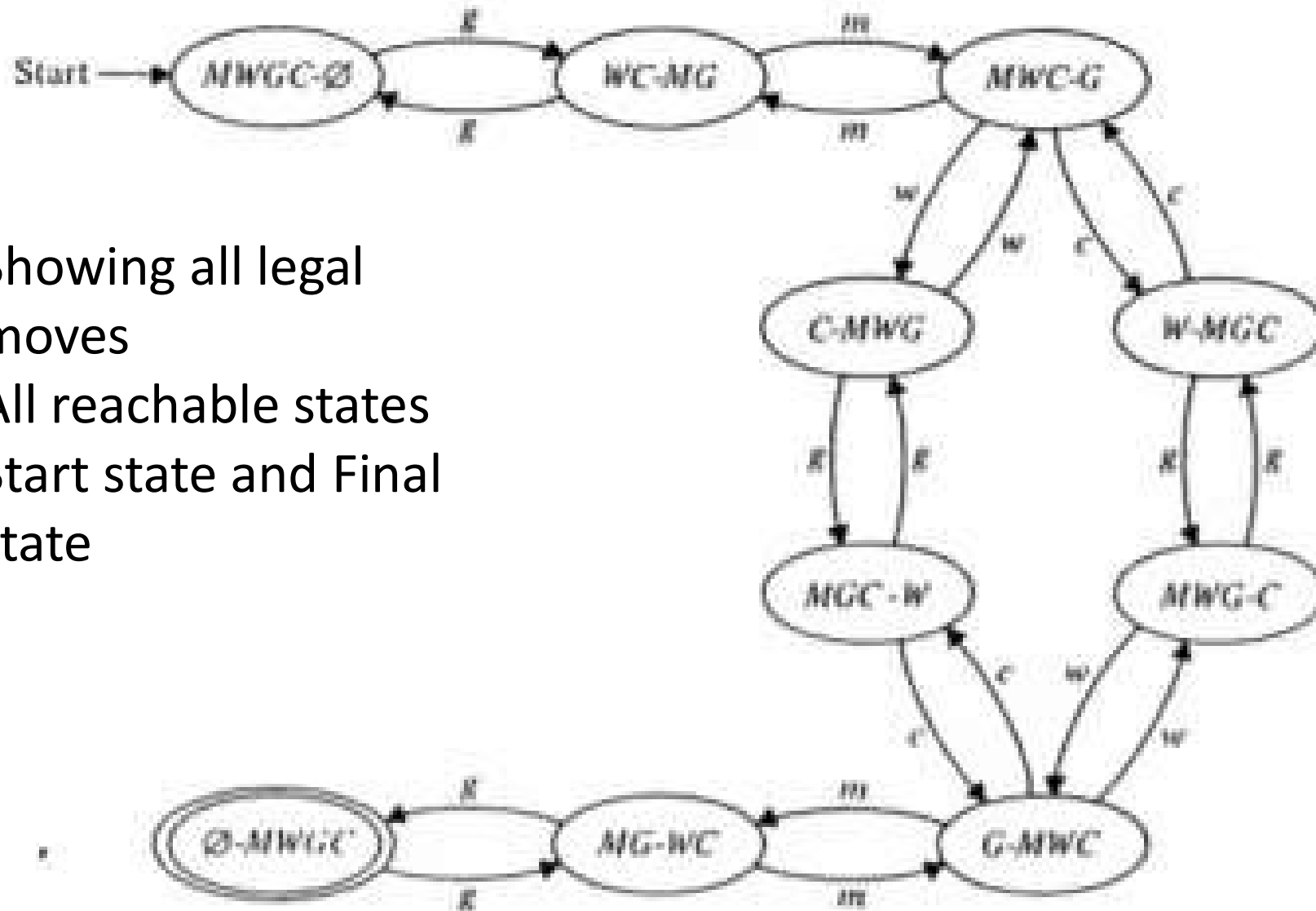
# Wolf, Goat, and Cabbage problem

- The inputs are the action that the man takes
  - Man crosses alone=Input m
  - Man crosses with wolf=w
  - Man crosses with goat=g
  - Man crosses with cabbage=c
- 
- Initial state=MWGC- $\emptyset$
  - Final state= $\emptyset$ -MWGC



# Wolf, Goat, and Cabbage problem

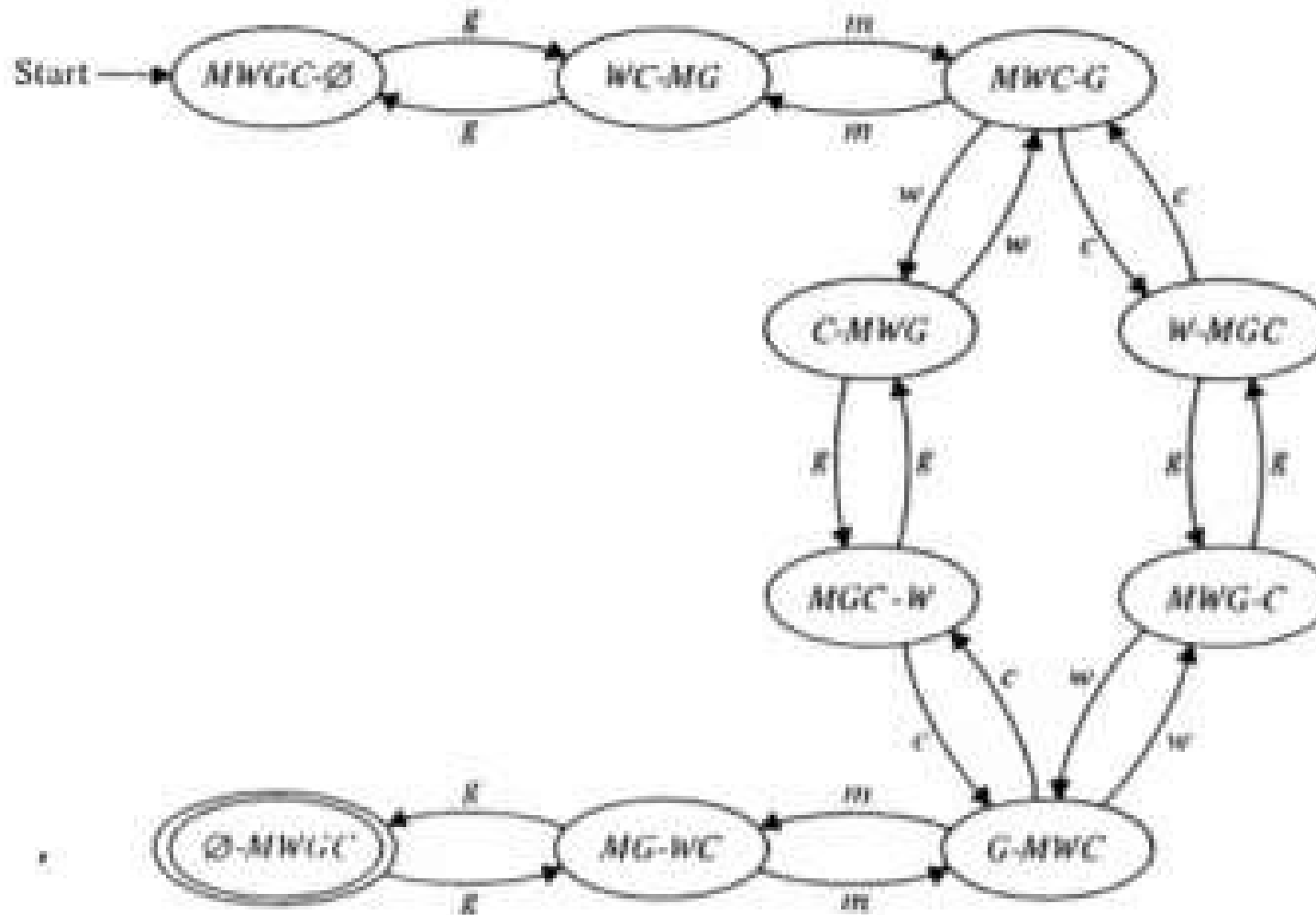
- State Transition Diagram-



- Showing all legal moves
- All reachable states
- Start state and Final state

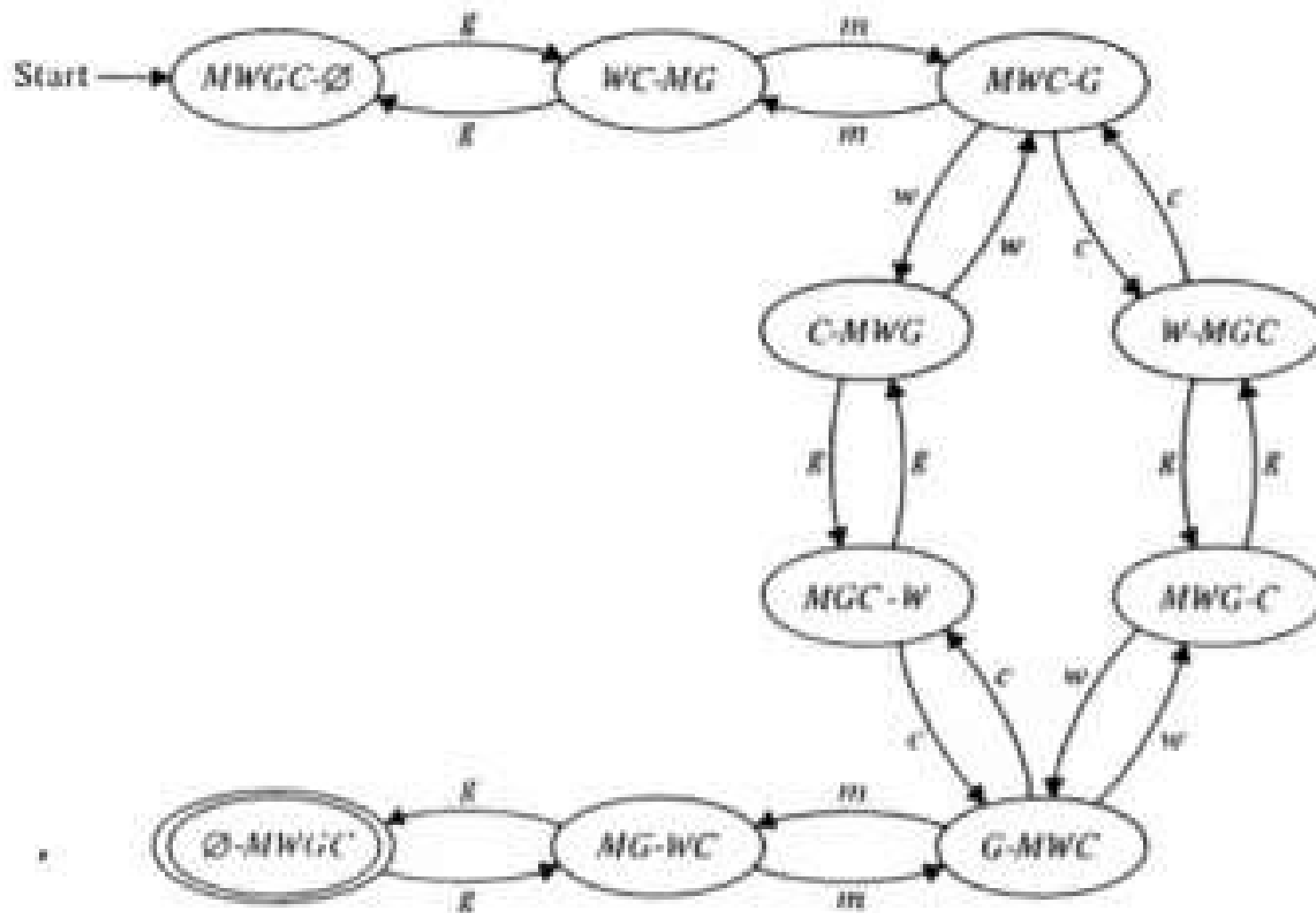
# Wolf, Goat, and Cabbage problem

- There are **two equally short solutions** to the problem, as can be seen by searching for paths from the initial state to the final state.



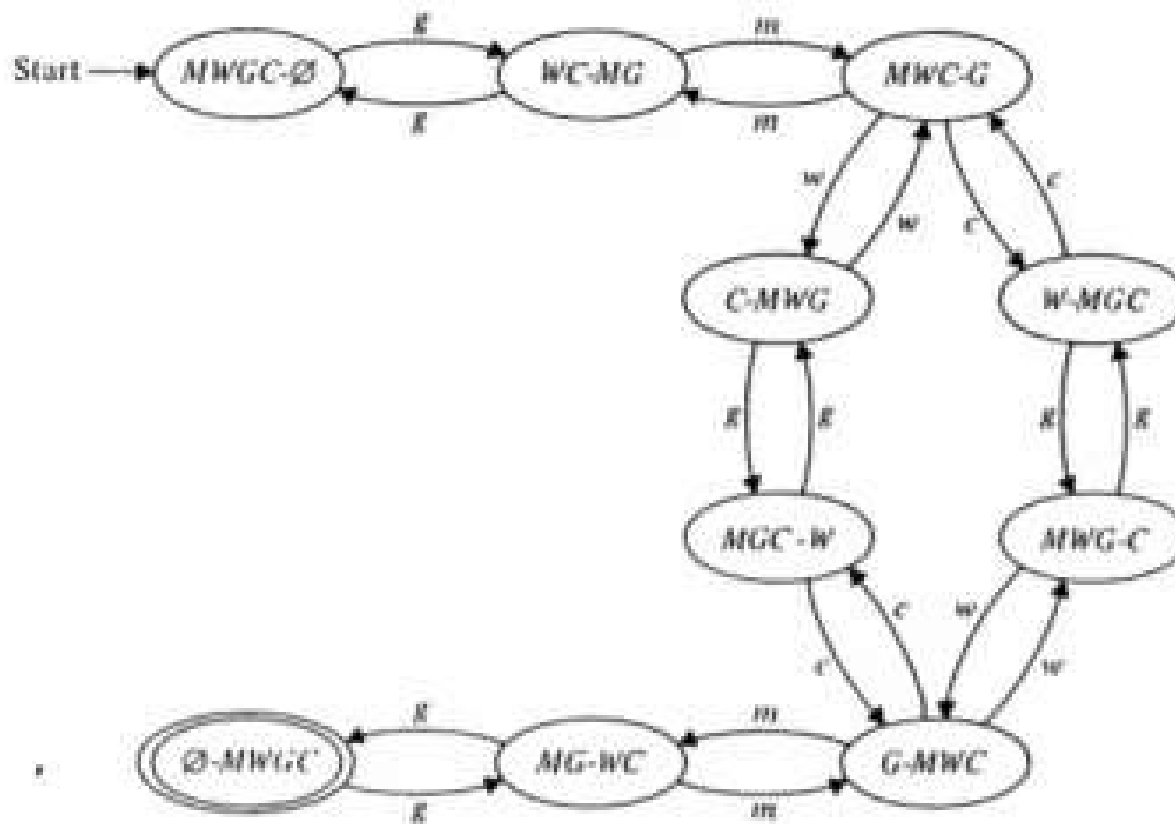
# Wolf, Goat, and Cabbage problem

- Then a sequence of moves is a string,
- Such as the solution **gmwgcmg** and **gmcgwmg**



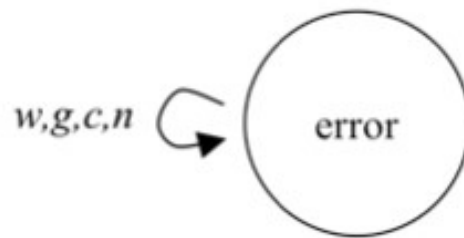
# Wolf, Goat, and Cabbage problem

- What happens if we try a string that is not in the language?
- Consider *gmwm*...we get stuck
- with nowhere to go.



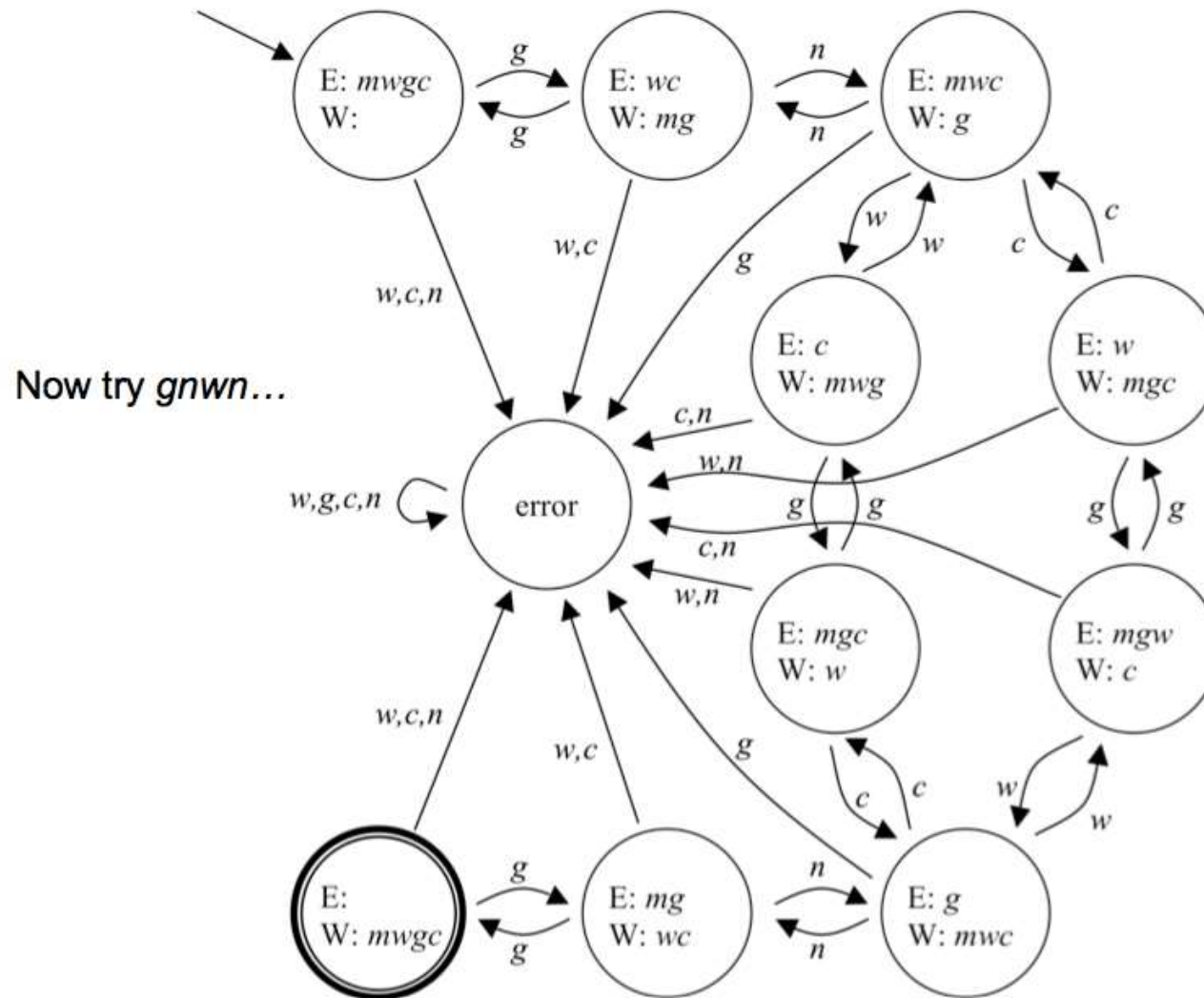
# Wolf, Goat, and Cabbage problem

- On many strings that are not solutions, the previous diagram gets stuck
- Automata that never get stuck are easier to work with
- We'll need one additional state to use when an error has been found in a solution



# Wolf, Goat, and Cabbage problem

- Here  $n=m$



# Set

- A group of objects represented as a unit
- Set may contain any type of object-numbers/symbols/other sets

-Michael Sipser



# Set

- Well defined Collection of Objects and denoted as-

1) Enumerating the member within { and }

Eg- $A=\{0,1,2\}$

2) Using a set builder notation as:

$A=\{x \mid p(x)\}$

A is set of all those x for which the predicate  $p(x)$  is true

Eg- A set of all integers divisible by 3

$A=\{x \mid x \text{ is an integer and } x \bmod 3 = 0\}$

-Dr O.J Kakde

# Alphabets

- An alphabet is a finite, non empty set of symbols
- Symbol for Alphabet =  $\Sigma$
- $\Sigma = \{0, 1\}$ , the **binary alphabet**, probably the most important alphabet in computer science.
- $\Sigma = \{a, b, c, \dots, z\}$ , The set of all lowercase letters

-Hopcraft

# Strings

## Strings-

- A finite sequence of symbols chosen from some Alphabet
- Eg- 01101 is a string from  $\Sigma = \{0, 1\}$ , the binary alphabet

## Empty String-

- String with zero occurrences of symbols
- Denoted by  $\epsilon$
- A string that may be chosen from any alphabet whatsoever.

-Hopcraft

# Strings

## Length of a String-

- Number of positions of symbols

Eg- 01101 has length 5

5 positions for symbols

But no of symbols are only 2, i.e. 0 and 1

- Denoted as  $|w|$

- $|011|=3$

- $|\epsilon|=0$



-Hopcraft

# Strings

## Concatenation of Strings-

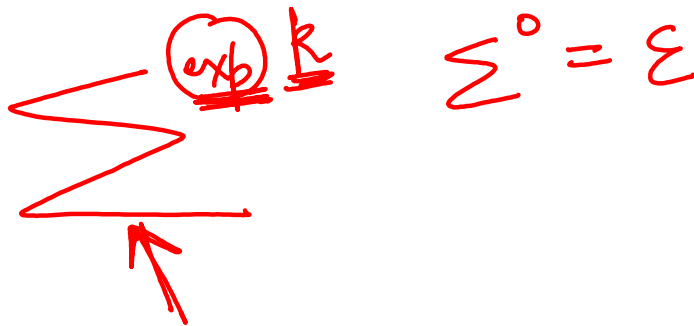
- Let  $x$  and  $y$  be strings
- $xy$  = Concatenation of  $x$  and  $y$
- String formed by copy of  $x$  and following it by a copy of  $y$
  
- If  $x = a_1a_2\dots a_i$
- If  $y = b_1b_2\dots b_j$
- $xy = a_1a_2\dots a_ib_1b_2\dots b_j$  where length of string is  $i+j$

-Hopcraft

# Strings

## Powers of an alphabet:

- $\Sigma$  is an alphabet,
- We can express the set of all strings of a certain length from that alphabet by using an exponential notation
- $\Sigma^k$  = set of strings of length  $k$ , each of whose symbol is in  $\Sigma$
- $\Sigma^0$  =  $\epsilon$ , no matter what alphabet is in it,  $\epsilon$  is the only string of length 0



-Hopcraft

# Strings

## Powers of an alphabet:

- If  $\Sigma = \{a, b, c\}$  then
- $\Sigma^1 = \{a, b, c\}$
- $\Sigma^2 = \{aa, ab, ac, ba, bb, bc, ca, cb, cc\}$  and so on

Difference between  $\Sigma$  and  $\Sigma^1$

- $\Sigma$  = alphabet with members a, b, c as symbols
- $\Sigma^1$  = set of strings with members as the strings a, b and c of length 1

-Hopcraft

# Strings

## Powers of an alphabet:

$\Sigma^*$  = Set of all strings over an alphabet  $\Sigma$

$$\Sigma^* = \Sigma^0 \cup \Sigma^1 \cup \Sigma^2 \cup \dots$$

Excluding Empty String  $\epsilon$ , as  $\Sigma^0 = \epsilon$

$$\Sigma^+ = \Sigma^1 \cup \Sigma^2 \cup \Sigma^3 \cup \dots$$

$$\Sigma^* = \Sigma^+ \cup \{\epsilon\}$$

-Hopcraft



# Language

- Set of string formed by using the symbols belonging to some particularly chosen alphabet
  - Common languages can be viewed as a set of strings
- -Hopcraft

# Language

- English Language , the collection of legal English words is a set of strings over the alphabet that consists of all the letters
  - C or any other programming Language, where the legal programs are a subset of the possible strings that can be formed from the alphabet of the language.
    - The alphabet is a subset of the ASCII characters-generally uppercase lower case letters,digits,punctuation and mathematical symbols.
- -Hopcraft

# Language

## Some abstract examples-

- The set of strings of 0s and 1s with an equal no of each-  
 $\{\epsilon, 01, 10, 0011, 0101, 1001, \dots\}$
- The set of binary numbers whose value is prime:  
 $\{10, 11, 101, 111, 1011, \dots\}$

## Set Former Notation-

- $\{w \mid w \text{ consists of an equal number of 0s and 1s}\}$
- $\{w \mid w \text{ is a binary integer that is prime}\}$

- -Hopcraft

# Operation on Languages

## Union-

- Union of Two Languages L & M,
- Denoted as  $L \cup M$
- The set of strings that are either L or M or both
- Eg-If  $L=\{001,10,111\}$ ,  $M=\{\epsilon,001\}$
- $L \cup M = \{\epsilon,10,001,111\}$

-Hopcraft

# Operation on Languages

## Concatenation-

- Concatenation of Languages L and M
- The set of strings that can be formed by taking any string in L and concatenating it with any string in M
- Eg-If  $L=\{001,10,111\}$ ,  $M=\{\epsilon,001\}$
- $L.M=LM=\{001,10,111,001001,10001,111001\}$

-Hopcraft

# Finite Automata:

- FA is the mathematical model (or formalism) of an FSM
- The finite automaton is a mathematical model of a system, with discrete inputs and outputs.
- Mathematical Models are abstractions and simplifications of how certain machines actually work
- Help us understand specific properties of these machines

# Finite Automata:

- The system can be in any one of a finite number of internal configurations or "states".
- The state of the system summarizes the information concerning past inputs that is needed to determine the behaviour of the system on subsequent inputs

# Finite Automata: Formal Definition

- A list of five elements is called a 5-tuple,
- A finite automaton can be defined as a 5-tuple



# Finite Automata: Formal Definition

FA is denoted by 5 Tuple:



$$M = (Q, \Sigma, \delta, q_0, F)$$

- 1)  $Q$  = set of states
- 2)  $\Sigma \Rightarrow$  Input alphabet
- 3)  $\delta \Rightarrow$  Transition fn
- 4)  $q_0 \Rightarrow$  Initial / start state
- 5)  $F \Rightarrow$  set of final state

# Finite Automata: Formal Definition

FA is denoted by 5 Tuple:  $M=(Q, \Sigma, \delta, q_0, F)$  where

- $Q$ : Finite set of states
- $\Sigma$ : Finite input alphabet
- $\delta: Q \times \Sigma \rightarrow Q$  State Transition function(STF)
- $q_0$ : Initial/Start state of FA,  $q_0 \in Q$
- $F$ : Set of Final States/ Accept State,  $F \subseteq Q$

# Finite Automata: Formal Definition

FA is denoted by 5 Tuple:  $M=(Q, \Sigma, \delta, q_0, F)$  where

- This definition is for Deterministic FA or DFA
- The Transition Function for Non- Deterministic FA or NDFA is different
- NDFA will be discussed later

# State Transition function(STF)

- Denoted by  $\delta$
- Define rules of moving
- We can denote the transition rules by a function called the transition function,
- $\delta : \text{States} \times \text{Alphabet} \rightarrow \text{States}$

# State Transition function(STF)

- If the FA has an arrow from a state  $x$  to state  $y$  labelled with input symbol 1
- If Automaton is in state  $x$ , when it reads 1, it then moves to state  $y$
- $\delta(x,1)=y$
- Example:  $\delta(q_0, x) = q_1$

# Machine M1

- A FSM M1, that has 3 states, defined by the transition diagram in the figure 1

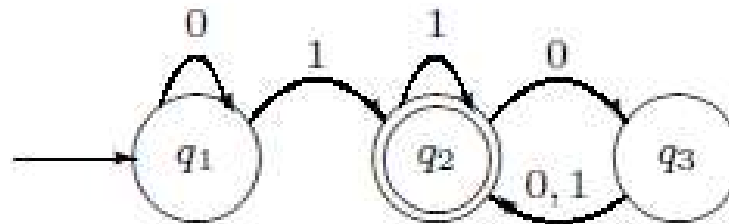


Figure 1: The finite automaton  $M_1$

# Machine M1

- 5 Tuple:-

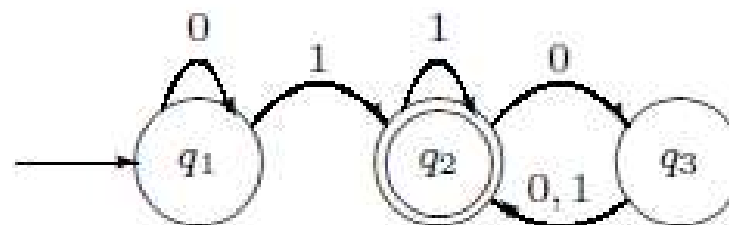


Figure 1: The finite automaton  $M_1$

# Formalizing M1

$M1 = (Q, \Sigma, \delta, q1, F)$  where

1.  $Q = \{q1, q2, q3\}$

2.  $\Sigma = \{0, 1\}$

3.  $\delta$  is described by the table:

$\delta$	0	1
$q1$	$q1$	$q2$
$q2$	$q3$	$q2$
$q3$	$q2$	$q2$

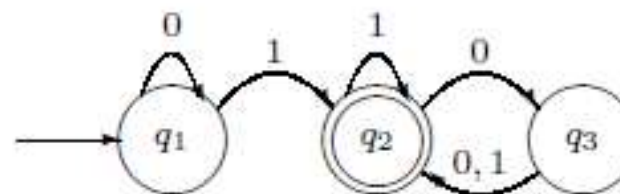


Figure 1: The finite automaton  $M_1$

4.  $q1$  is the start state,

5.  $F = \{q2\}$



# Acceptor/Rejector

- Upon reading the entire input string,
- If the machine resides in any of the final states then the input string is “Accepted” by the Machine
- If the machine resides in any of the non-final states then the input string is “Rejected” by the Machine
- FA acts as an Input Acceptor or Rejector

# Language of a DFA

- If  $A$  is the set of all strings that a machine  $M$  accepts,
- We say that  $A$  is the language of the machine  $M$  and write  $L(M) = A$ .

# Terminology

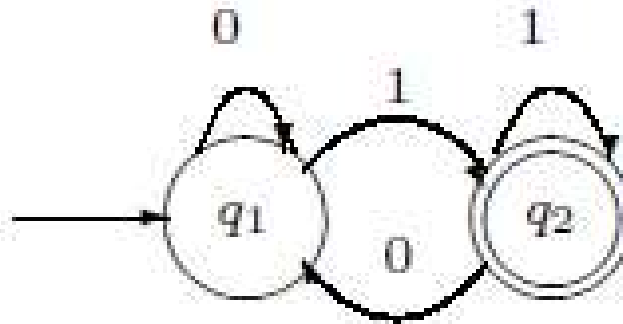
- In order to avoid confusion:
- Use accept when we refer to strings
- Use recognize when we refer to languages

# Language of a DFA

- A machine may accept several strings, but it always recognizes only one language
- If a machine accepts no strings, it still recognizes one language, namely the empty language  $\square$

# Machine M2

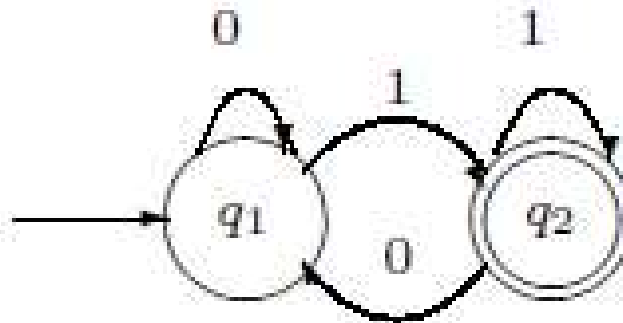
The state diagram in Figure 2 describes a machine M2



Write the 5 Tuple representation  
Try finding the language of the string

# Machine M2

## 5-Tuple Representation

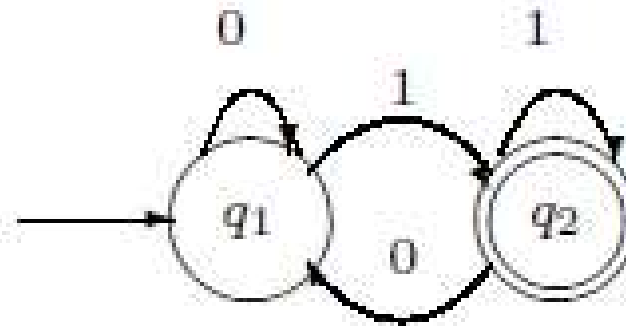


$M2 = (\{q1, q2\}, \{0, 1\}, \delta, q1, \{q2\})$  where  
 $\delta(q1, 0) = q1, \delta(q1, 1) = q2, \delta(q2, 0) = q1, \delta(q2, 1) = q2$

# Machine M2-Acceptor/Rejector

- A good way of understanding any machine is to try it on some sample input string

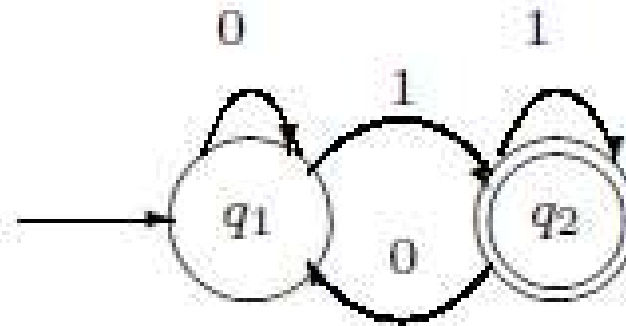
# Machine M2-Acceptor/Rejector



String “1101”-Accepted/Rejected?



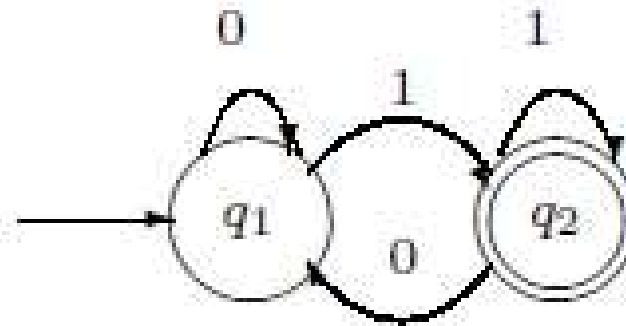
# Machine M2-Acceptor/Rejector



String “1101”

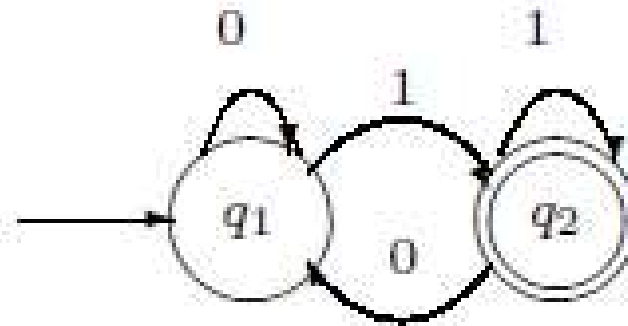
- Machine M2 starts in q1
- From q1, reads 1= $\Rightarrow$ q2
- From q2 reads 1= $\Rightarrow$ q2
- From q2 reads 0= $\Rightarrow$ q1
- From q1 reads 1= $\Rightarrow$ q2
- String ends here and q2 is Final state
- String is Accepted

# Machine M2-Acceptor/Rejector



String “110”-Accepted/Rejected?

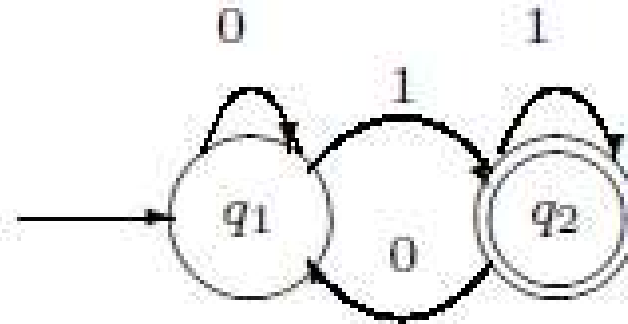
# Machine M2-Acceptor/Rejector



String “110”

- Machine M2 starts in q1
- From q1, reads 1= $\Rightarrow$ q2
- From q2 reads 1= $\Rightarrow$ q2
- From q2 reads 0= $\Rightarrow$ q1
- String ends here and q1 is Non-Final state
- String is Rejected

# Language of DFA M2



- $L(M2) = \{w \mid w \text{ ends in a } 1\}$
- M2 accepts all strings that end in a 1

# Machine M3

Consider the finite automaton M3 in Figure 3

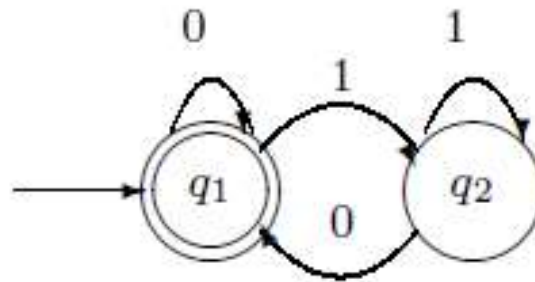
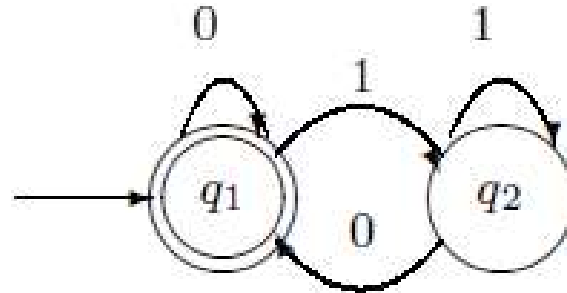


Figure 3: State diagram of the finite automaton  $M_3$

- M3 is similar to M2, except for the location of the accept state
- Start state is also an accept state

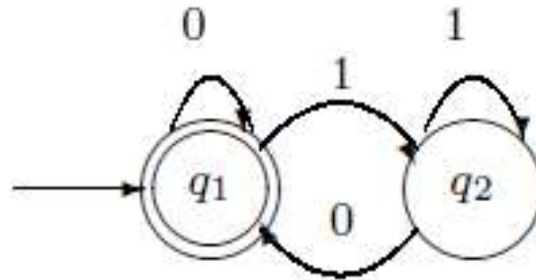
# Machine M3-Acceptor/Rejector



State diagram of the finite automaton  $M_3$

- As usual, M3 accepts all strings that leave it in an accept state when it has consumed the input.
- M3 also accepts the empty string  $\epsilon$ .
- As soon as M3 begins the reading of  $\epsilon$ , it is at the end,
- So if Start state is an Accept state, it accepts it

# Language of DFA M3



State diagram of the finite automaton  $M_3$

- $M_3$  also accepts any string ending with a Zero
- $L(M_3) = \{w \mid w \text{ is the empty string or ends in } 0\}$

# Machine M4

Consider the five-state machine M4, Figure 4

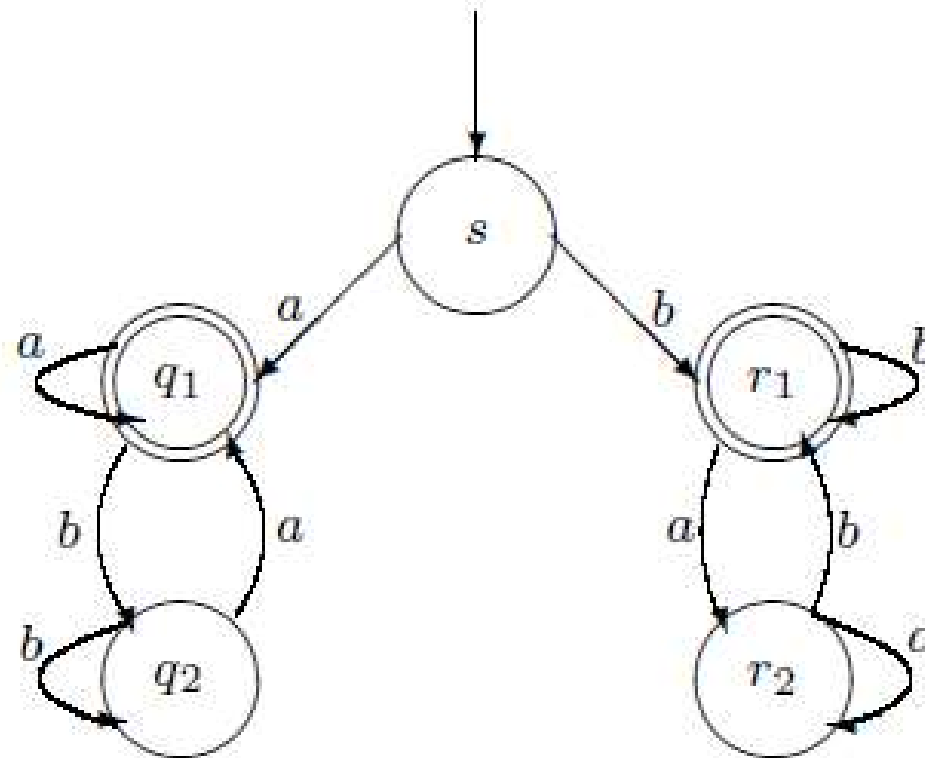


Figure 4: Finite automaton  $M_4$



# Machine M4-Acceptor/Rejector

- M4 has two accept states, q1 and r1
- M4 operates over the alphabet  $\Sigma = \{a, b\}$

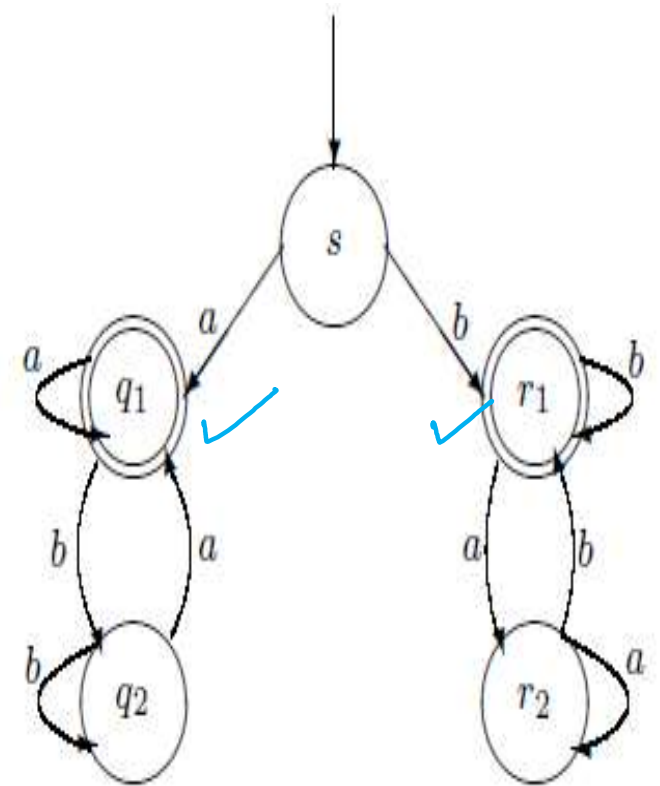


Figure 4: Finite automaton  $M_4$

# Language of DFA $M_4$

- $M_4$  begins in state  $s$  and after it reads the first symbol in the input it **either goes to the left to  $q$  states or to the right to  $r$  states**
- Once  $M_4$  goes to the left or to the right, it **can never return to the start state**

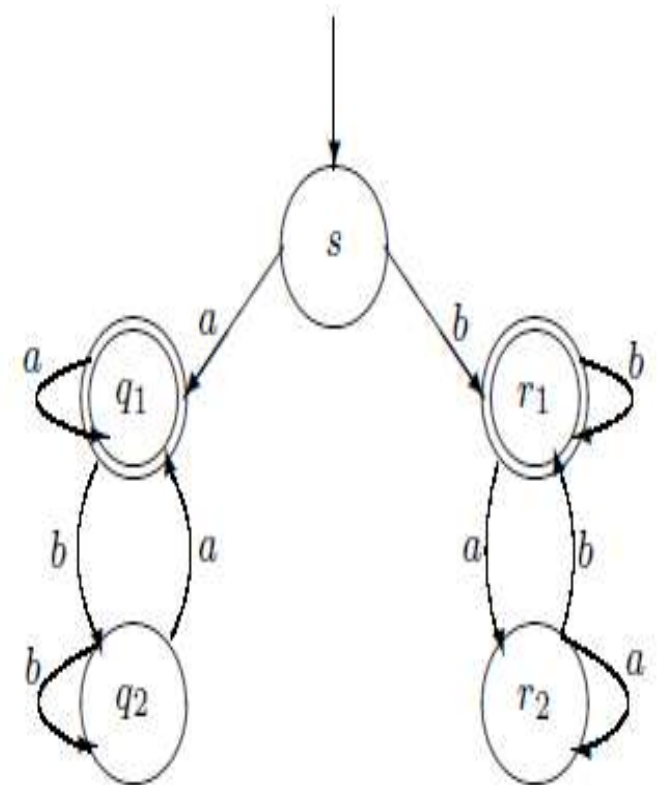


Figure 4: Finite automaton  $M_4$

# Language of DFA M4

Strings-

- a?
- aa?
- ab ?
- aba ?
- abbab ?
- b?
- bb?
- ba?
- bab?
- baaa?
- baabb?

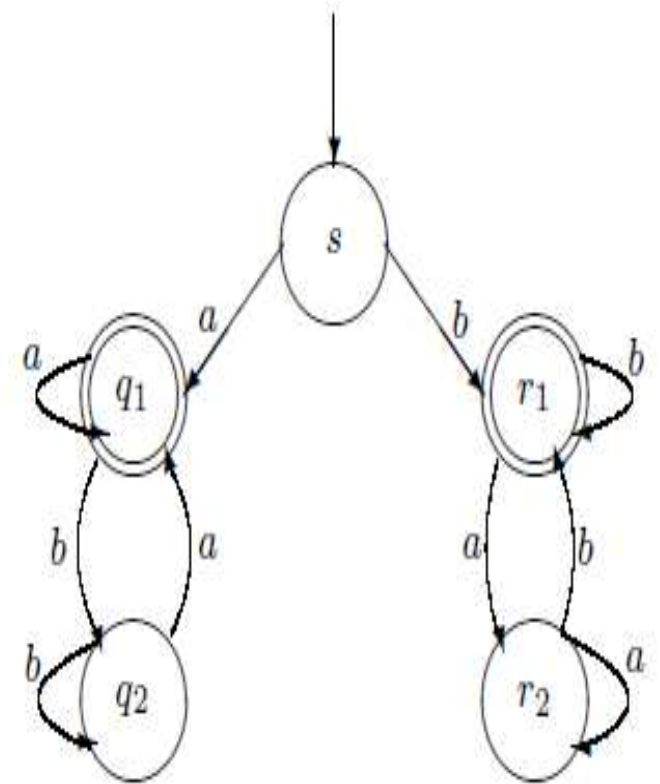


Figure 4: Finite automaton  $M_4$

# Language of DFA M4

- Some experimentation with M4 shows that -
  - Accepts strings as a, b, aa, bb, bab
  - Does not accept strings as ab, ba, bbba

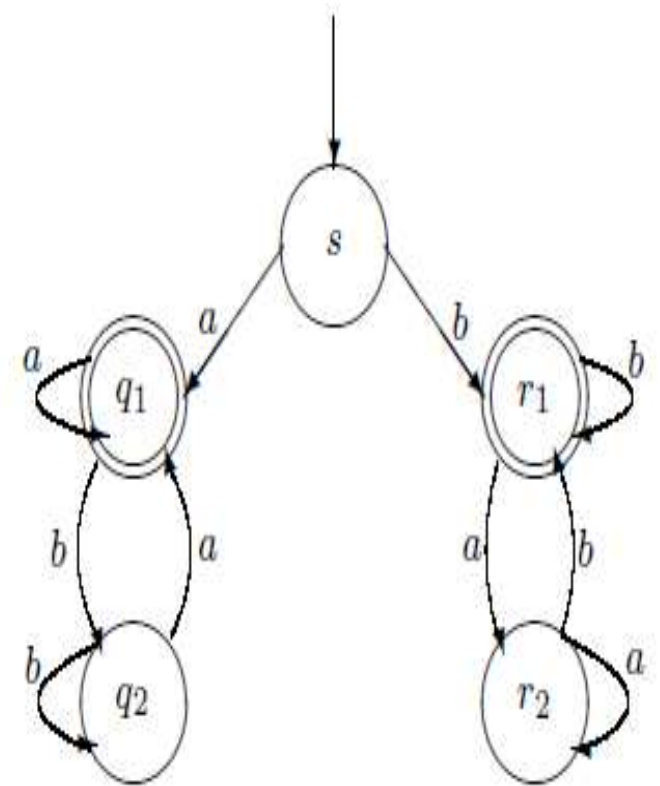


Figure 4: Finite automaton  $M_4$

# Language of DFA $M_4$

- If the first symbol in the string is a then it goes to the left and accepts when the strings ends with an a
- If the first symbol in the string is b then it goes to the right and accept when the strings ends with a b

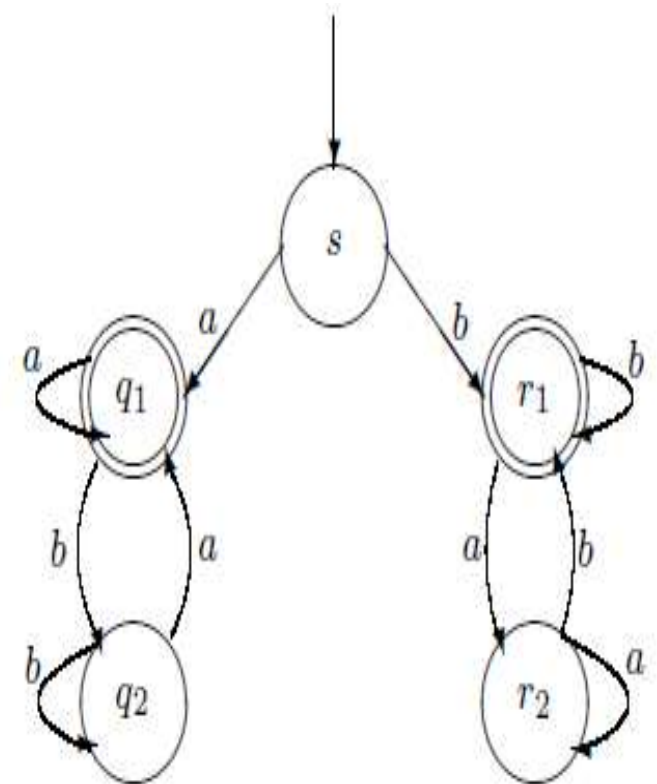


Figure 4: Finite automaton  $M_4$

# Language of DFA M4

- Accepts all strings that start and end with a or that starts and ends in b
- Conclusion:  $L(M4) = \{w \mid w = axa\} \cup \{w \mid w = byb\}$  for  $x, y \in \Sigma^*$

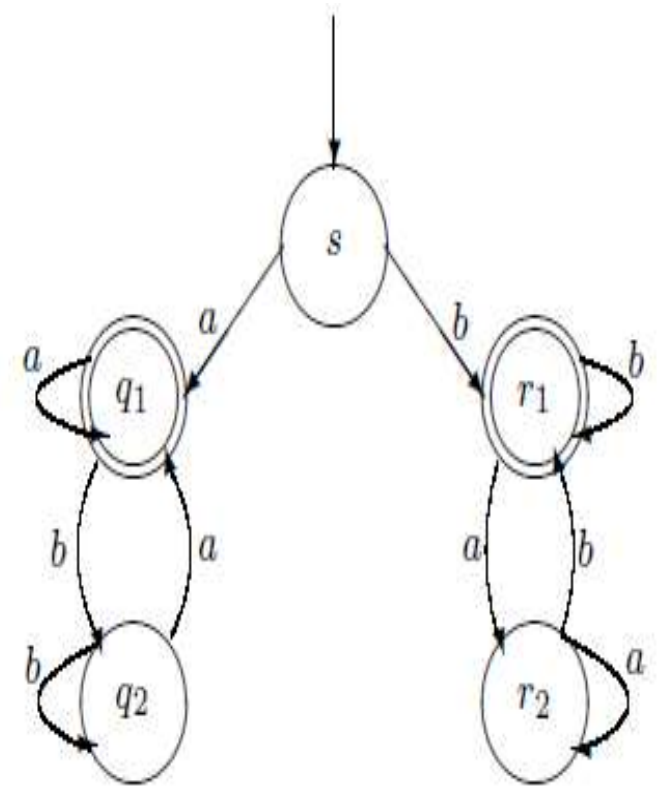


Figure 4: Finite automaton  $M_4$

## Exercise1 – Acceptor/Rejector

- Consider the transition table given below and check whether the string '110101' lies in  $L(M)$  or not. Given Initial State= $q_0$  and  $F=\{q_0\}$ . Also write the 5-Tuple representation.

	0	1
$q_0$	$q_2$	$q_1$
$q_1$	$q_3$	$q_0$
$q_2$	$q_0$	$q_3$
$q_3$	$q_1$	$q_2$

# Exercise1 – Acceptor/Rejector

- Consider the transition table given below and check whether the string '110101' lies in  $L(M)$  or not. Given Initial State=q0 and  $F=\{q0\}$ . Also write the 5-Tuple representation.

Ans  
 $\delta(\underline{q_0}, \underline{110101}) \vdash \delta(\underline{q_1}, \underline{10101})$   
 start

$\vdash \delta(\underline{q_0}, \underline{0101}) \vdash \delta(\underline{q_2}, \underline{101})$

$\vdash \delta(\underline{q_3}, \underline{01}) \vdash \delta(\underline{q_1}, \underline{1}) \vdash \underline{q_0}$

	0	1
<u>q0</u>	<u>q2</u>	<u>q1</u>
<u>q1</u>	q3	<u>q0</u>
<u>q2</u>	q0	<u>q3</u>
<u>q3</u>	<u>q1</u>	q2

$M = (Q, \Sigma, \delta, \text{Initial state}, \text{Final state})$

final state  $\Rightarrow$  Accepted

1)  $Q = \{q_0, q_1, q_2, q_3\}$

2)  $\Sigma = \{0, 1\}$

3)  $\delta$  — already given in Q

4) Initial state =  $q_0$

5)  $F = \{q_0\}$



# DESIGNING FINITE AUTOMATA

Design is a creative process!

# DESIGNING FINITE AUTOMATA

Put yourself in the place of the machine you are trying to design.

# DESIGNING FINITE AUTOMATA

## Example 1

- 1) Suppose that the alphabet is  $\{0,1\}$  and that the language consists of all strings with **an odd number of 1s**.
- 2) You want to construct a finite automaton E1 to recognize this language.

# DESIGNING FINITE AUTOMATA

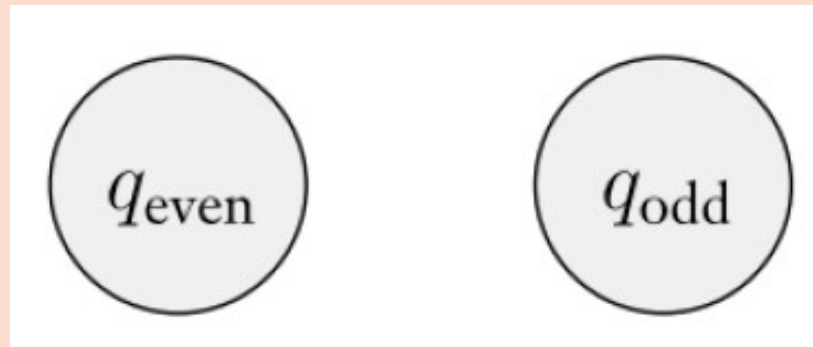
## Example 1

- Pretending to be the Automaton E1 recognize the language
- Start reading the input string of 0s and 1s symbol by symbol
- Simply remember whether the no of 1s seen so far is even or odd
- Keep track of this information
- Represent this information as finite set of possibilities

# DESIGNING FINITE AUTOMATA

## Example 1

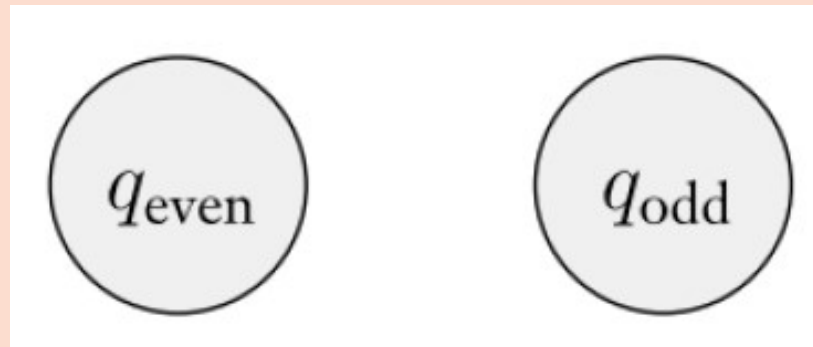
- Possibilities so far would be-
  - 1) Even so far
  - 2) Odd so far
- **Assign states to these possibilities**



# DESIGNING FINITE AUTOMATA

## Example 1

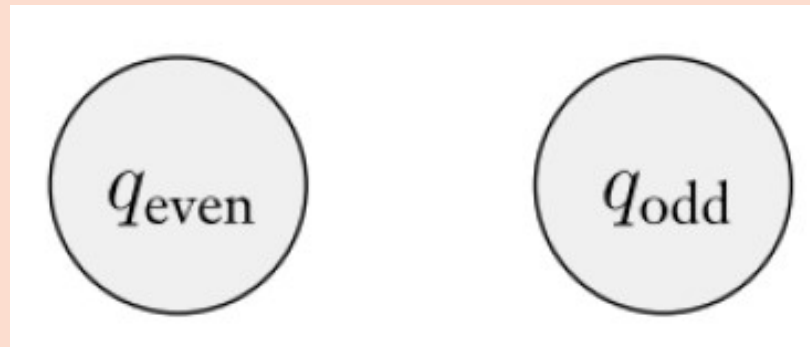
- Assign the transitions by seeing how to go from one possibility to another upon reading a symbol



# DESIGNING FINITE AUTOMATA

## Example 1

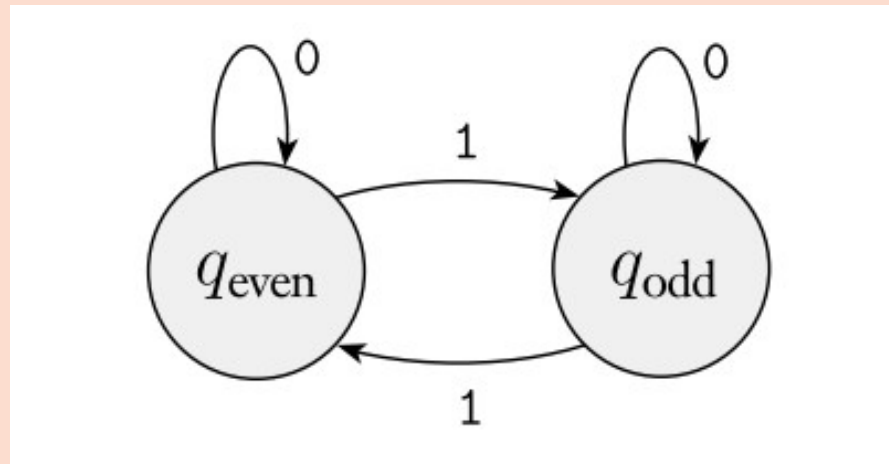
- For Assigning the transitions by seeing how to go from one possibility to another upon reading a symbol
- Check for strings
- 0
- 00
- 001
- 010
- 01011
- 01011011



# DESIGNING FINITE AUTOMATA

## Example 1

- Assign the transitions by seeing how to go from one possibility to another upon reading a symbol

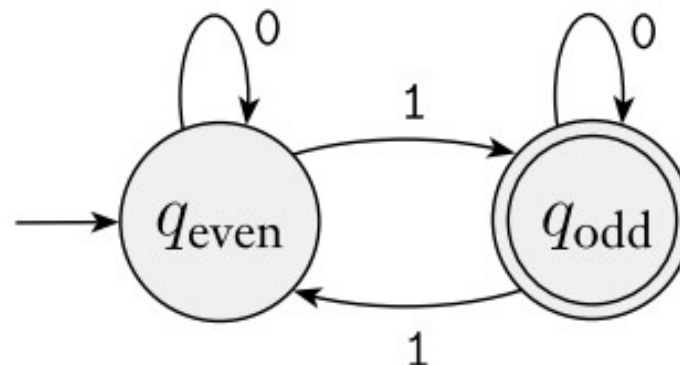




# DESIGNING FINITE AUTOMATA

## Example 1

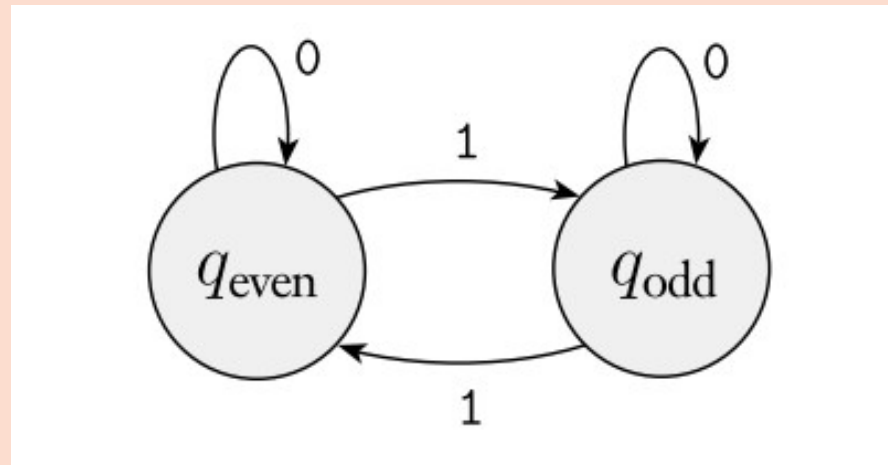
- Add the start state
- Set the start state to be the state corresponding to the possibility associated with having seen 0 symbols (Zero occurrences of 1s) so far (the empty string  $\epsilon$ )
- As 0 is an even number, Start state corresponds to  $q_{\text{even}}$



# DESIGNING FINITE AUTOMATA

## Example 1

- Add the accept state
- Accept state to be those corresponding to possibilities where you want to accept the input string.
- $q_{\text{odd}}$  is set as an accept state



# DESIGNING FINITE AUTOMATA

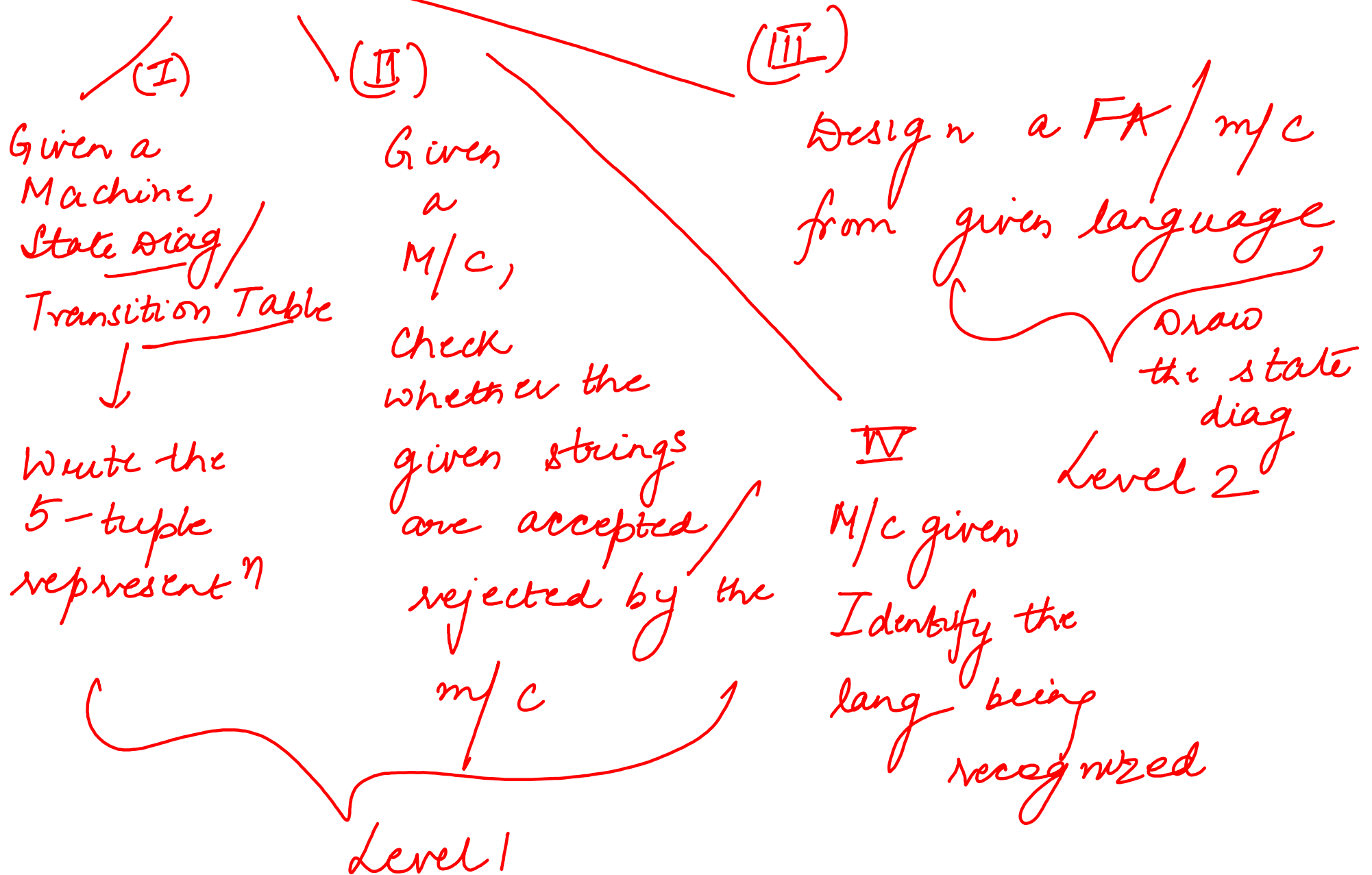
## Example 2

- 1) Design a finite automaton E2 to recognize all strings that contain the string 001 as a substring.
- 2) For example, 0010, 1001, 001, and 1111110011111 are all in the language, but 11 and 0000 are not.

X

X

# Tutorials



$\Sigma$   
CHARIOT  
seq

$\Sigma$   
HARBOUR

$\Sigma$   
001

$\Sigma$   
01010

DESIGNING FINITE AUTOMATA-

RECOGNIZER FA AS A SEQUENCE DETECTOR ★

understand

# DESIGNING FINITE AUTOMATA

## Example 2

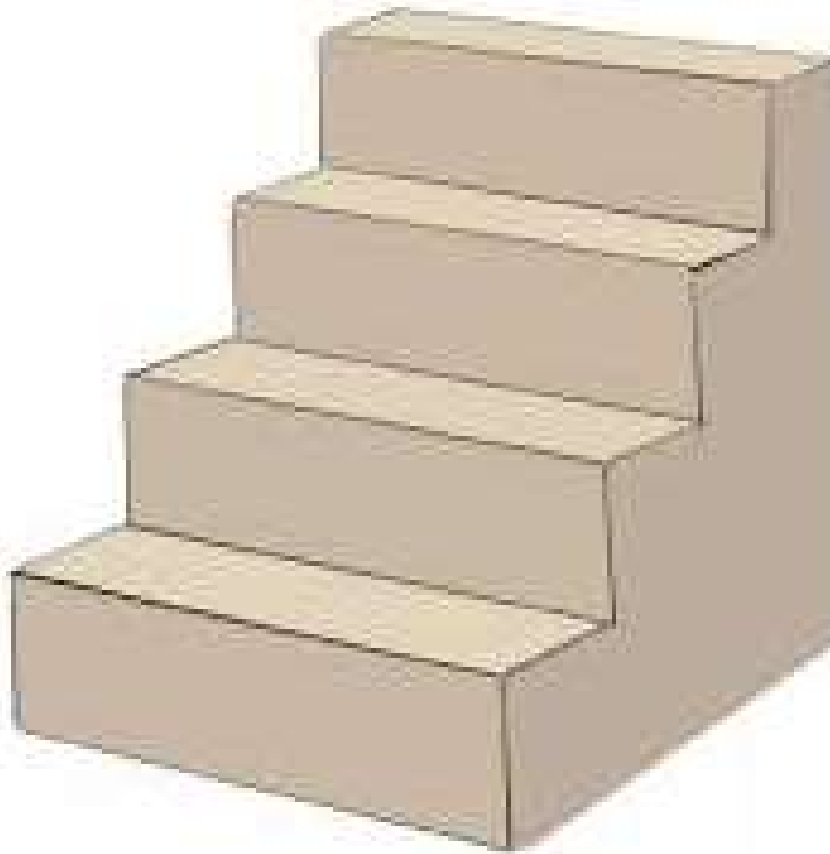
- Pretending to be the Automaton E2 recognize the language
- Start reading the input string of 0s and 1s symbol by symbol

# DESIGNING FINITE AUTOMATA

**Example 2-Design a finite automaton E2 to recognize all strings that contain the string 001 as a substring.**

- As symbols come in, you would initially skip over all 1's
- So the 4 possibilities are-
  - Haven't seen any symbols of the pattern=> q state= start state
  - Have just seen a 0=>q<sub>0</sub> state
  - Have just seen 00=>q<sub>00</sub> state
  - Have seen the entire pattern 001=>q<sub>001</sub> state

??



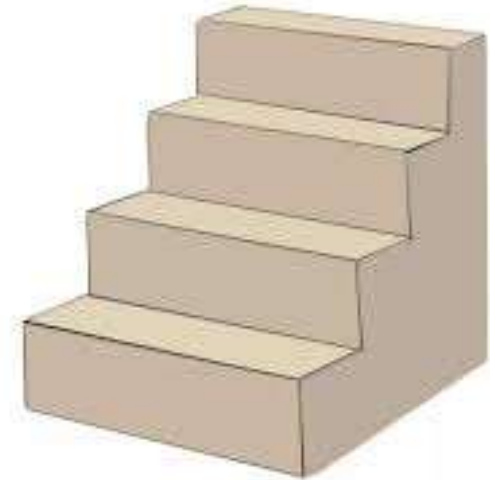


# DESIGNING FINITE AUTOMATA

## Example 2-Assign transitions

- FA moves from start state  $q$  to  $q_0$ , only if “0” is read, Otherwise remains in the same state
- $q$  reading a 0, you move to  $q_0$
- $q$  reading a 1, you stay in  $q$
- $q_0$  reading a 1, you return to  $q$
- $q_0$  reading a 0, you move to  $q_{00}$
- $q_{00}$  reading a 1, you move to final state  $q_{001}$
- $q_{00}$  reading a 0, stays in  $q_{00}$
- $q_{001}$  reading a 0 or 1, stays in  $q_{001}$
- If FA reads any symbol after reaching final state  $q_{001}$ , it does not make a transition to any other state as it has already recognized the string “001” by then

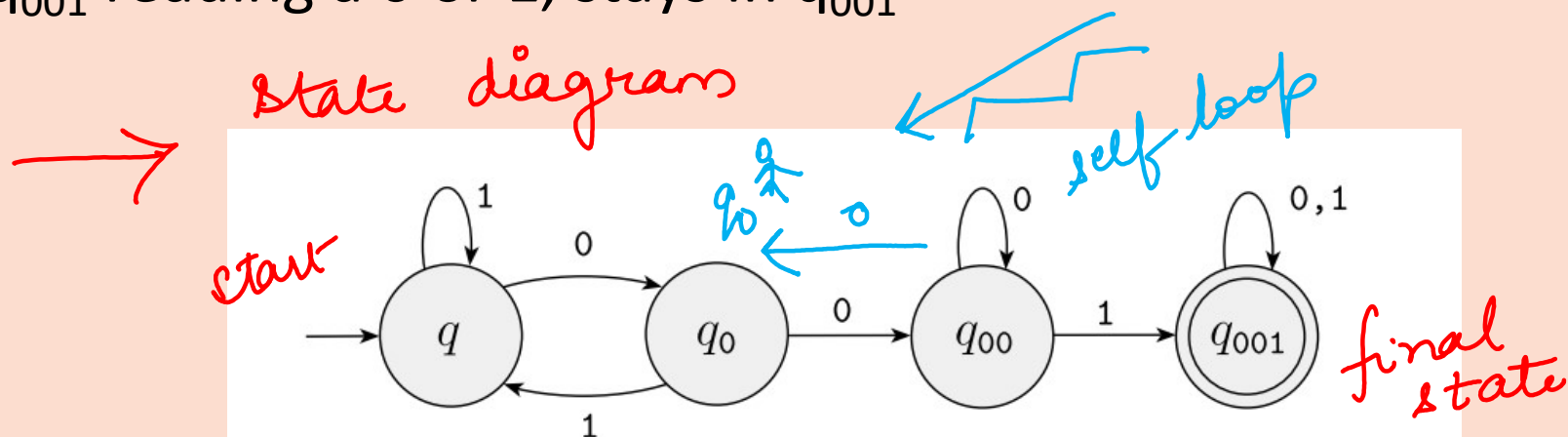
EASYDRAWINGART.COM



# DESIGNING FINITE AUTOMATA

## Example 2

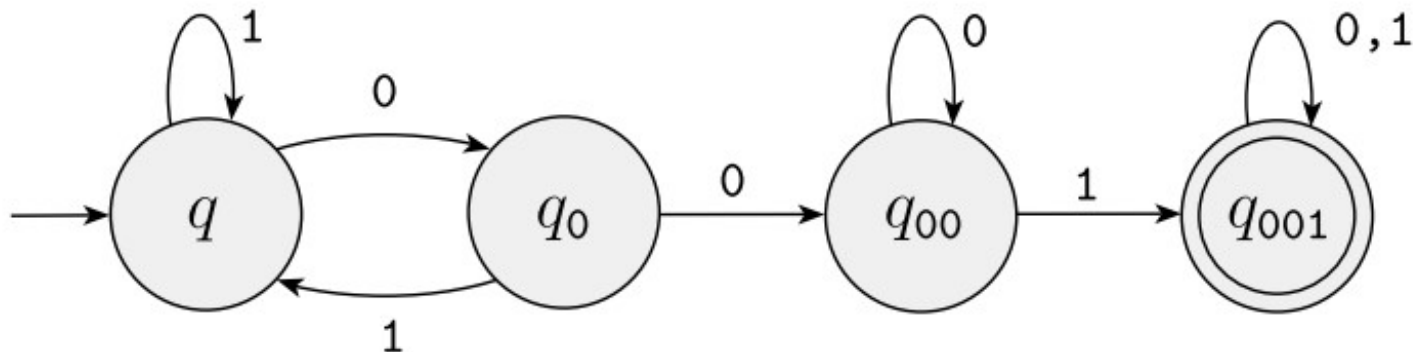
- Assign transitions
- $q$  reading a 1, you stay in  $q$
- $q$  reading a 0, you move to  $q_0$
- $q_0$  reading a 1 you return to  $q$
- $q_0$  reading a 0, you move to  $q_{00}$
- $q_{00}$  reading a 1, you move to  $q_{001}$
- $q_{00}$  reading a 0, stays in  $q_{00}$
- $q_{001}$  reading a 0 or 1, stays in  $q_{001}$



# DESIGNING FINITE AUTOMATA

## Example 2

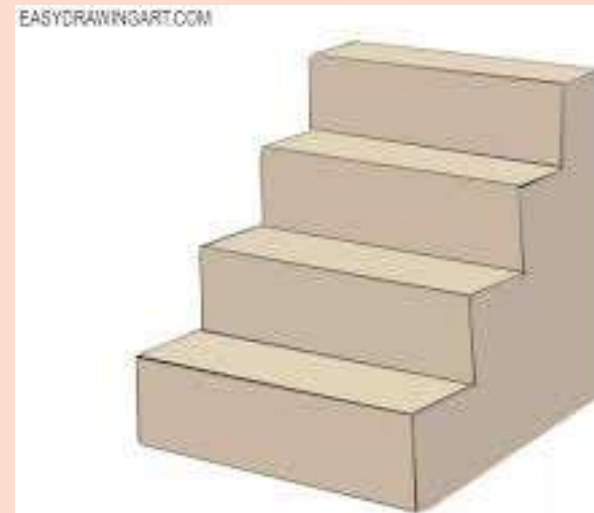
- Start state =  $q$
- The only accept state =  $q_{001}$



# DESIGNING FINITE AUTOMATA

## Exercise

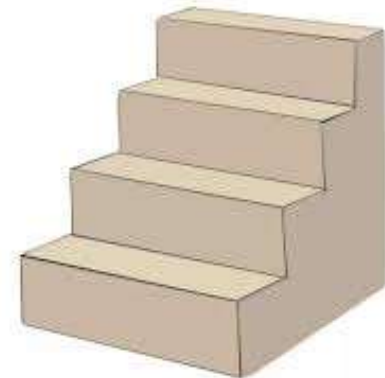
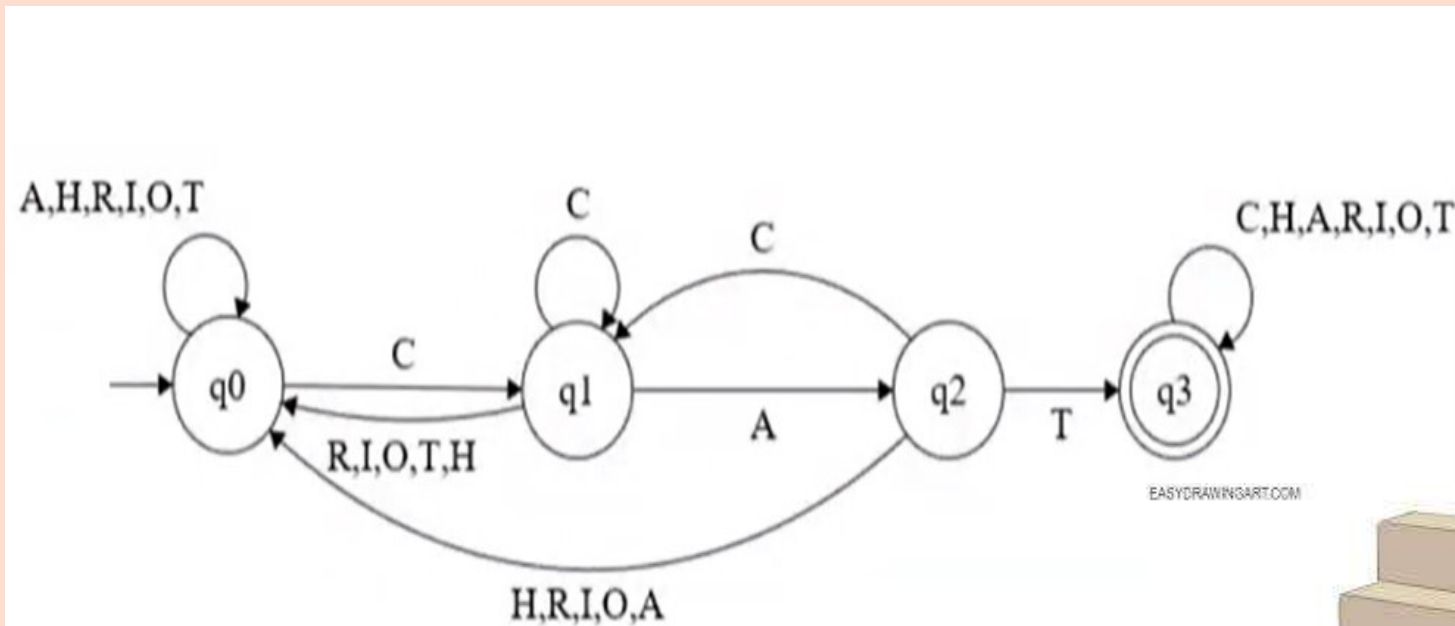
- Design a DFA with inputs as characters of “CHARIOT” which recognizes the string “CAT” as substring.



# DESIGNING FINITE AUTOMATA

## Exercise

- Design a DFA with inputs as characters of “CHARIOT” which recognizes the string “CAT” as substring.

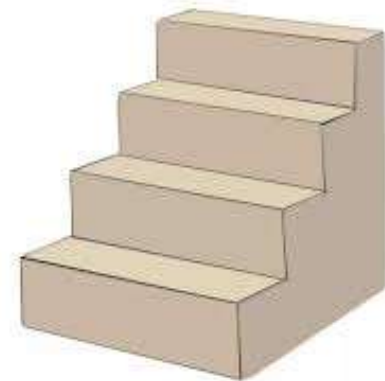


# DESIGNING FINITE AUTOMATA

## Example 3

Let us formally specify a DFA that accepts all and only the strings of 0's and 1's that have the sequence 01 somewhere in the string.  $\Sigma = \{0, 1\}$

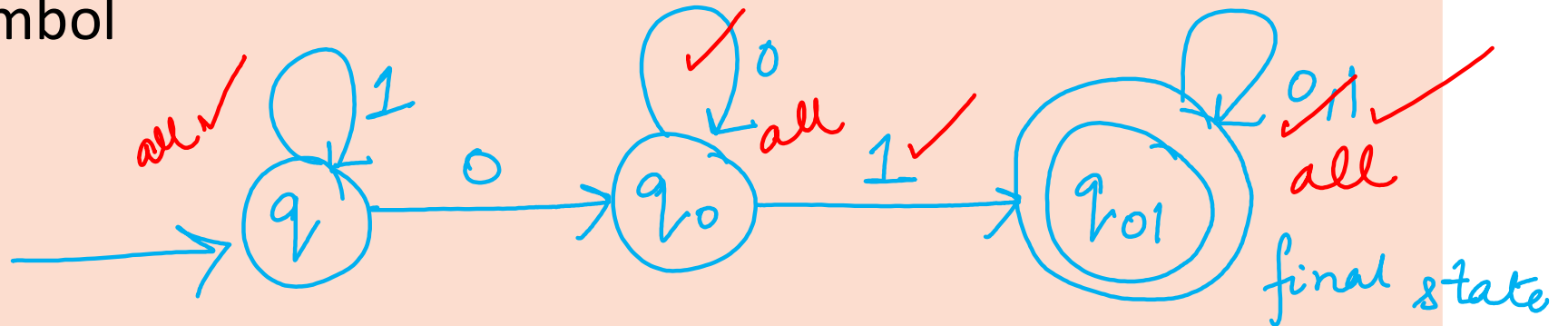
EASYDRAWINGART.COM



# DESIGNING FINITE AUTOMATA

## Example 3

- Pretending to be the Automaton E3 recognize the language
- Start reading the input string of 0s and 1s symbol by symbol



11101

q  
start

00001 / 001

# DESIGNING FINITE AUTOMATA

## Example 3

- As symbols come in, you would initially skip over all 1's
- So the 3 possibilities are-
  - Haven't seen any symbols of the pattern=> q state
  - Have just seen a 0=>q<sub>0</sub> state
  - Have seen the entire pattern 01=>q<sub>01</sub> state

001 → 01

sequence  
detector



# DESIGNING FINITE AUTOMATA

## Example 3

- Assign transitions
- $q$  reading a 1, you stay in  $q$
- $q$  reading a 0, you move to  $q_0$
- $q_0$  reading a 0, stays in  $q_0$
- $q_0$  reading a 1, you move to  $q_{01}$
- $q_{01}$  reading a 0 or 1, stays in  $q_{01}$

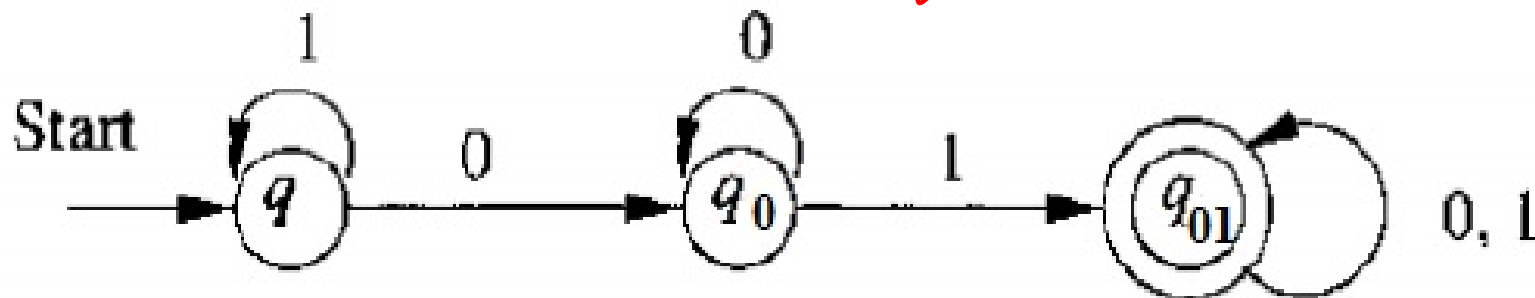
# DESIGNING FINITE AUTOMATA

## Example 3

- Assign transitions
- $q$  reading a 1, you stay in  $q$
- $q$  reading a 0, you move to  $q_0$
- $q_0$  reading a 0, stays in  $q_0$
- $q_0$  reading a 1, you move to  $q_{01}$
- $q_{01}$  reading a 0 or 1, stays in  $q_{01}$

Transition table

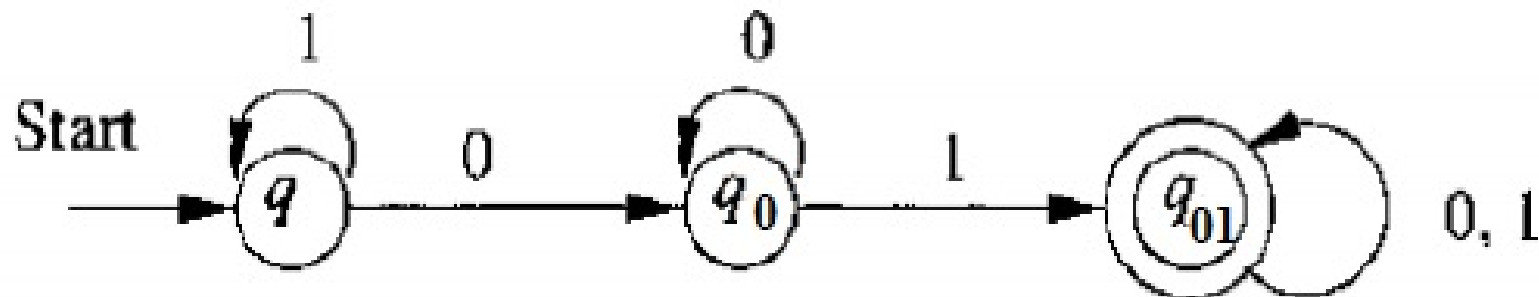
	0	1
$q$		
$q_0$		
$q_{01}$		



# DESIGNING FINITE AUTOMATA

## Example 3

- Start state =  $q$
- The only accept state =  $q_{01}$



# DESIGNING FINITE AUTOMATA

## Example 3

- We can write this language L as:  
 $\{w \mid w \text{ is of the form } x01y \text{ for some strings } x \text{ and } y \text{ consisting of 0's and 1's only.}\}$
- Another equivalent description, using parameters x and y to the left of the vertical bar, is:  
 $\{x01y \mid x \text{ and } y \text{ are any strings of 0's and 1's}\}$

# DESIGNING FINITE AUTOMATA

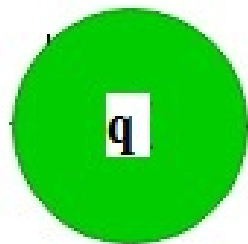
## Exercise 1

Construct a DFA accepting set of string over  $\{a, b\}$  where each string containing 'a' as the substring.

# DESIGNING FINITE AUTOMATA

## Exercise 1

- As symbols come in, you would initially skip over all b's
- So the 2 possibilities are-
  - Haven't seen any "a" symbol => q state
  - Have just seen an "a" => q<sub>a</sub> state

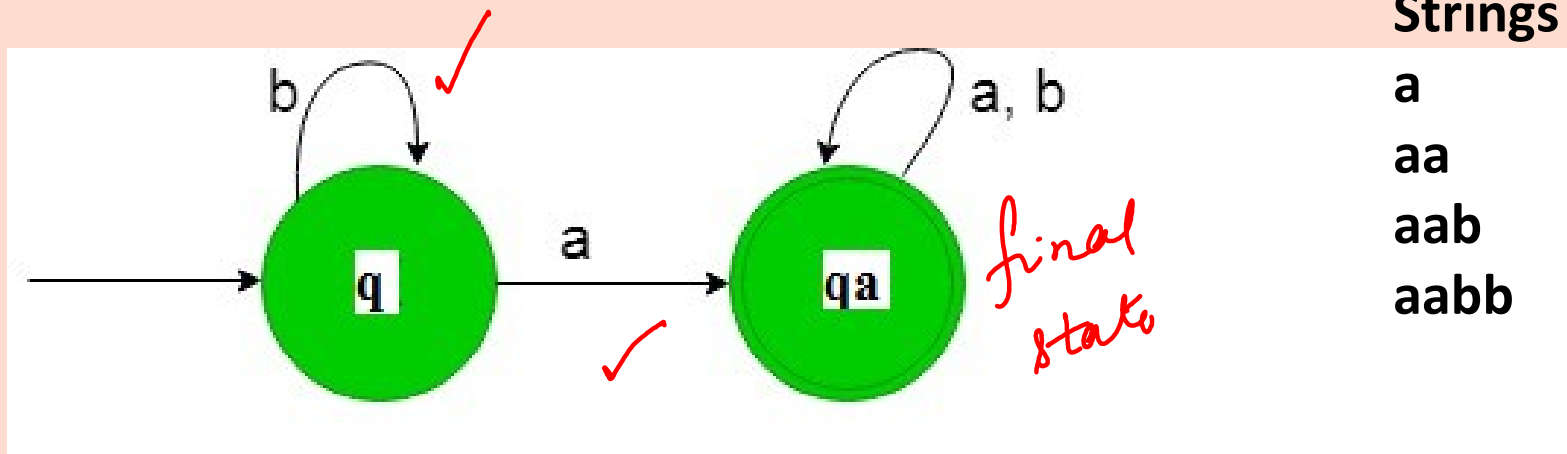


# DESIGNING FINITE AUTOMATA

## Exercise 1

Assign transitions

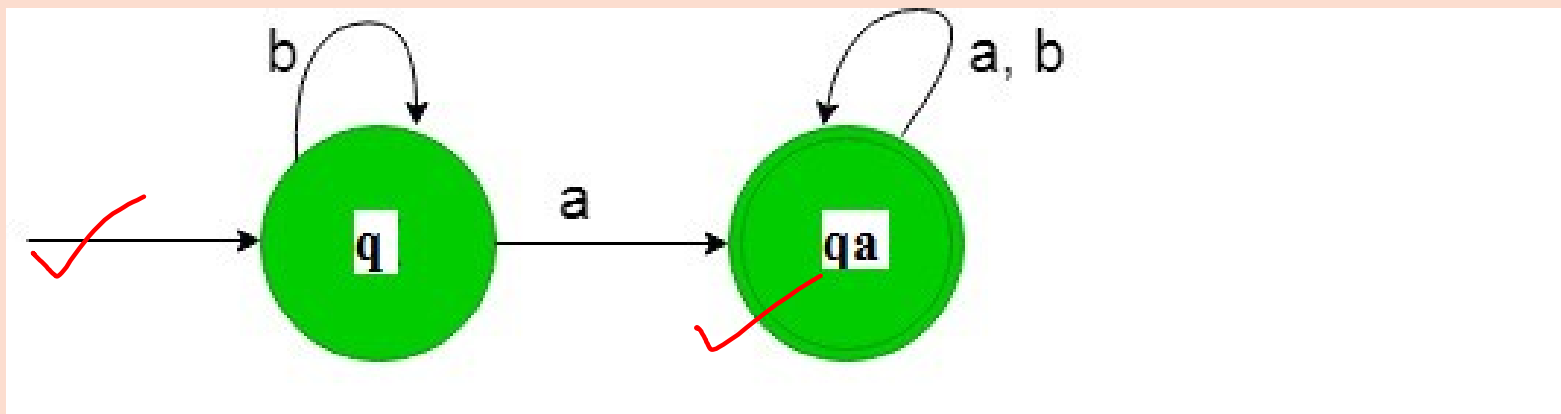
- $q$  getting “b”, stays in state  $q$  itself
- $q$  reading “a”, it transits to the final state  $q_a$
- $q_a$  On reading a or b , stays in the final state  $q_a$  itself.



# DESIGNING FINITE AUTOMATA

## Exercise 1

- Start state =  $q$
- The only accept state =  $q_a$





# DESIGNING FINITE AUTOMATA

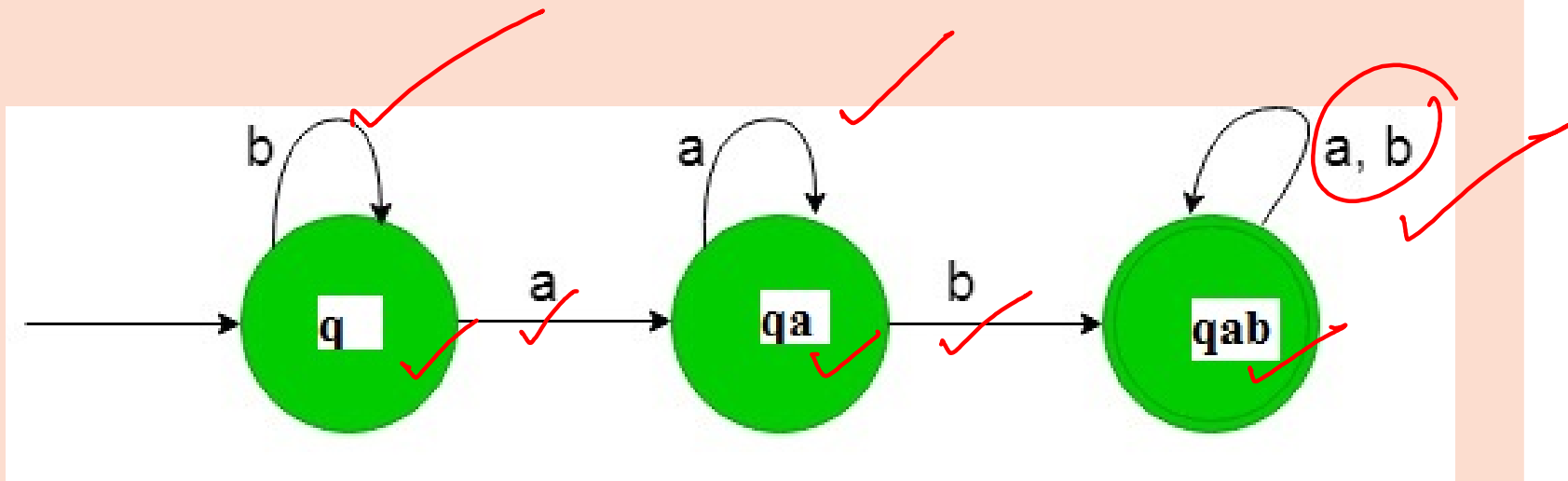
## Exercise 2

Construction of a minimal DFA accepting set of string over  $\{a, b\}$  where each string containing 'ab' as the substring.

# DESIGNING FINITE AUTOMATA

## Exercise 2

Construction of a minimal DFA accepting set of string over  $\{a, b\}$  where each string containing 'ab' as the substring.



<https://www.geeksforgeeks.org/designing-deterministic-finite-automata-set-3/>

# DESIGNING FINITE AUTOMATA

## Example 4

Construction of a DFA to check whether given decimal number is divisible by three

# DESIGNING FINITE AUTOMATA

## Example 4

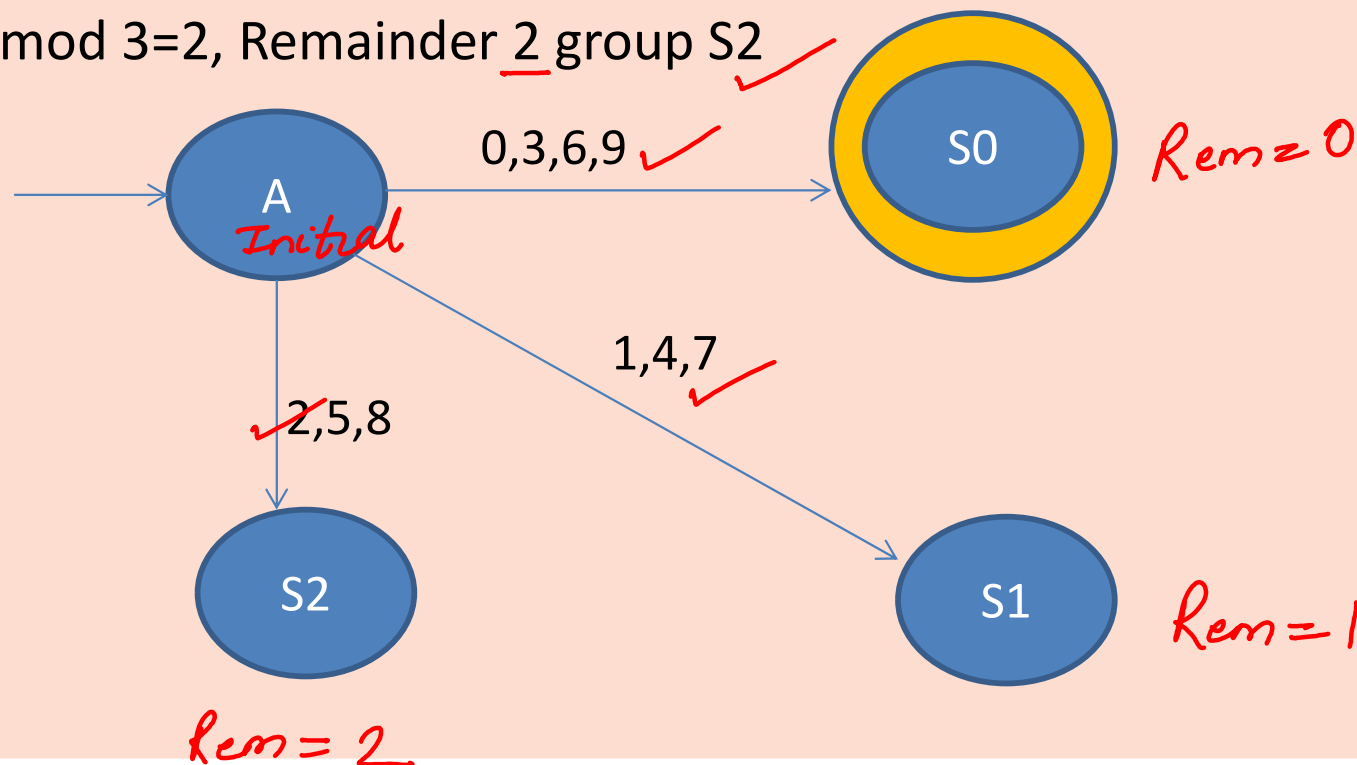
- The possible Remainders = 0, 1, 2 ✓✓✓
- Remainder 0  $\Rightarrow$  Divisible by 3 *yes*
- Group Digits according to their remainder
  - $\rightarrow$  Initial State = A ✓
  - $\rightarrow$  (0, 3, 6, 9, 12, 15...) mod 3 = 0, Remainder 0 group S0  $\Rightarrow$  Final State
    - (1, 4, 7, 10, 13, 16...) mod 3 = 1, Remainder 1 group S1
    - (2, 5, 8, 11, 14, 17...) mod 3 = 2, Remainder 2 group S2

# DESIGNING FINITE AUTOMATA

## Example 4

### Single Digits

- (0,3,6,9...) mod 3=0, Remainder 0 group S0=>Final State
- (1,4,7) mod 3=1, Remainder 1 group S1
- (2,5,8) mod 3=2, Remainder 2 group S2

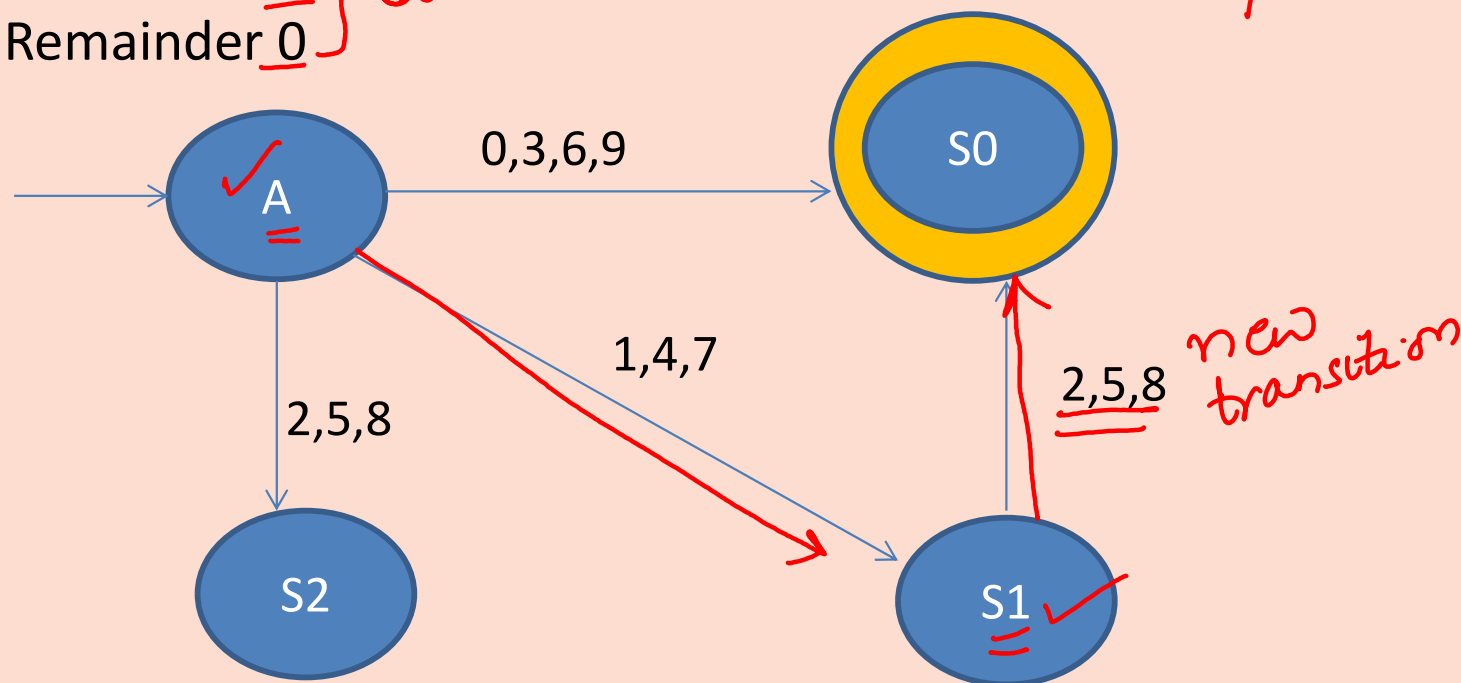


# DESIGNING FINITE AUTOMATA

## Example 4

### Double Digits

- $(0,3,6,9...) \bmod 3 = 0$ , Remainder 0 group  $S_0 \Rightarrow$  Final State
  - For 12, Remainder 0
  - For 15, Remainder 0
  - For 18, Remainder 0
- so*

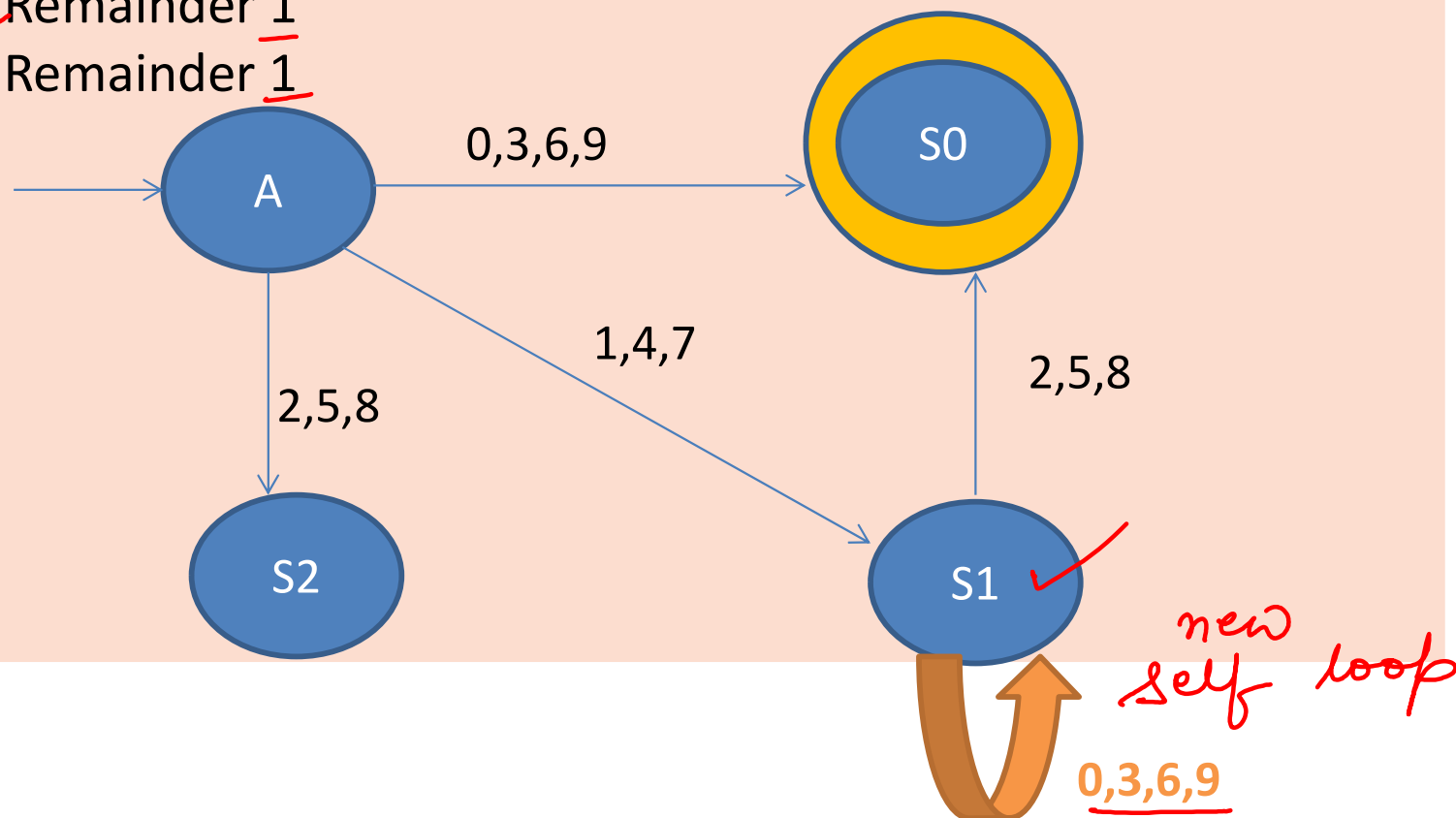
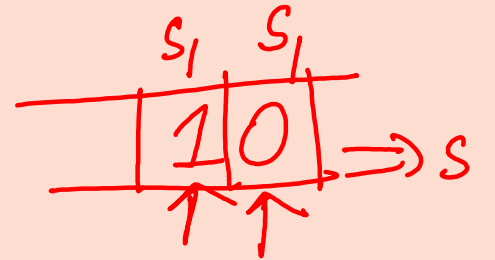


# DESIGNING FINITE AUTOMATA

## Example 4

### Double Digits

- $(1,4,7) \bmod 3 = 1$ , Remainder 1 group S1
- For 10, Remainder 1
- For 13, Remainder 1
- For 16, Remainder 1
- For 19, Remainder 1



# DESIGNING FINITE AUTOMATA

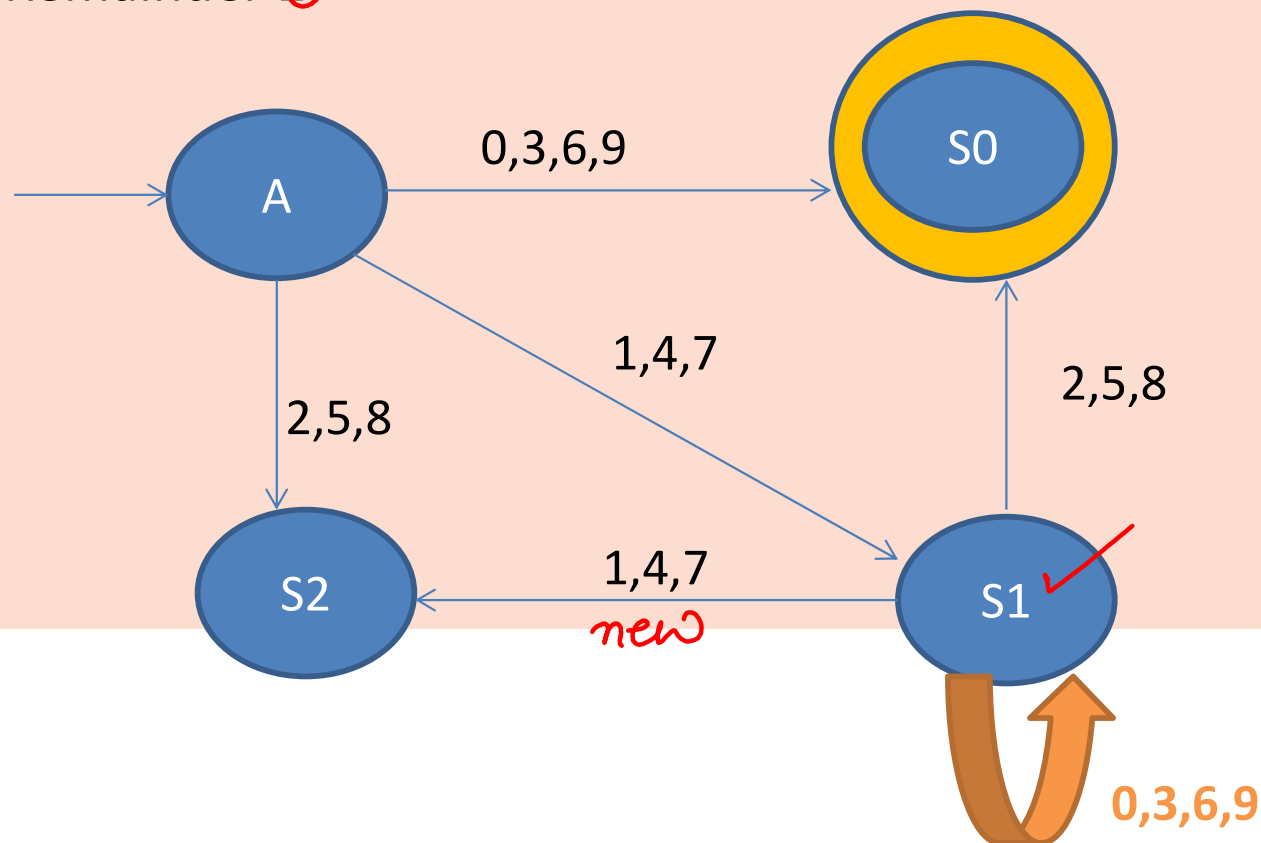
## Example 4

### Double Digits

- $(2,5,8,11,14,17...) \bmod 3 = 2$ , Remainder 2 group S2
- For 11, Remainder 2
- For 14, Remainder 2
- For 17, Remainder 2

$1, 4, 7 \Rightarrow S2$

S1
1
1





# DESIGNING FINITE AUTOMATA

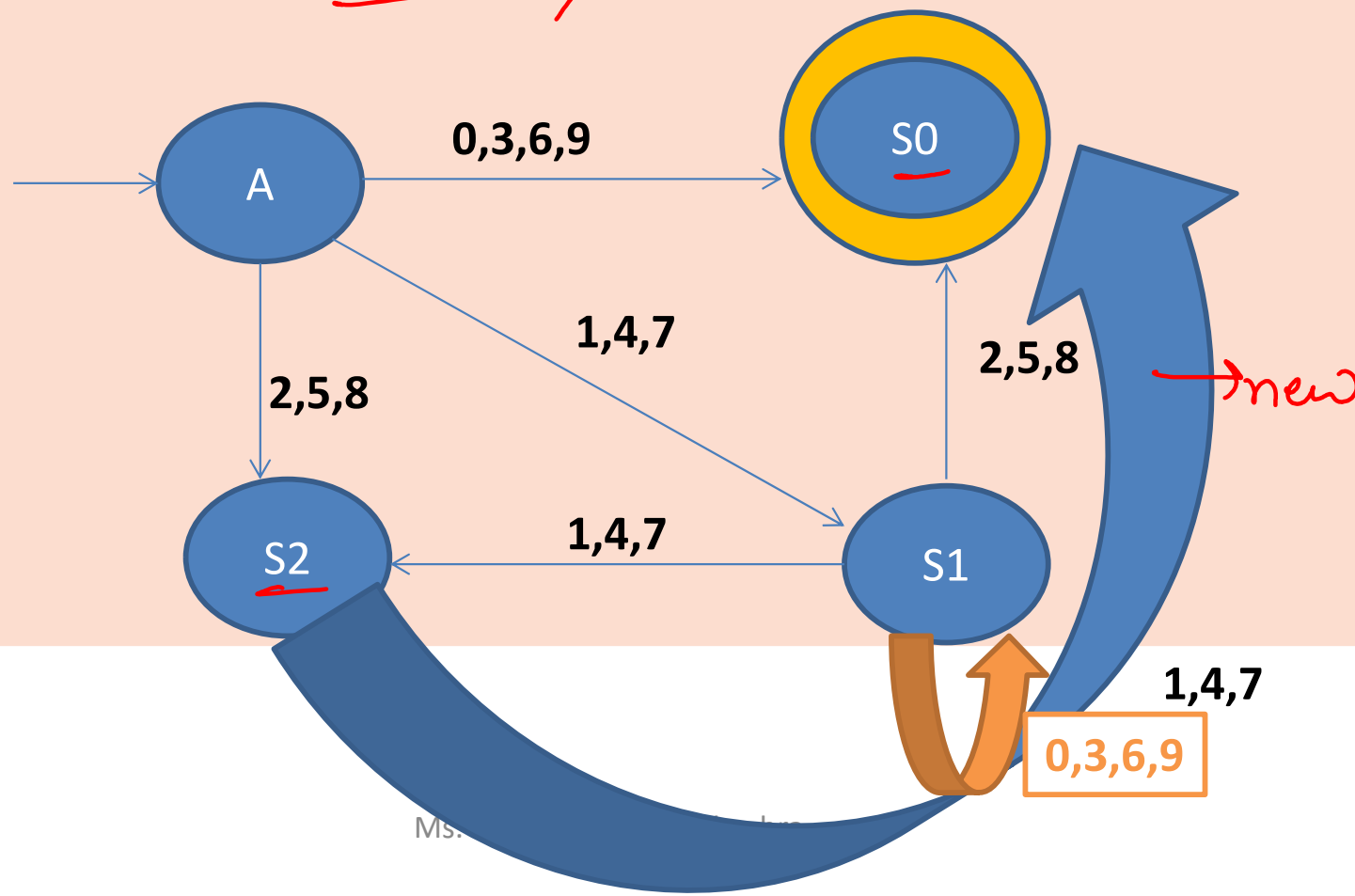
## Example 4

### Double Digits

- For 21, Remainder 0
- For 24, Remainder 0
- For 27, Remainder 0

S2	S0
2	1

1,4,7 →



# DESIGNING FINITE AUTOMATA

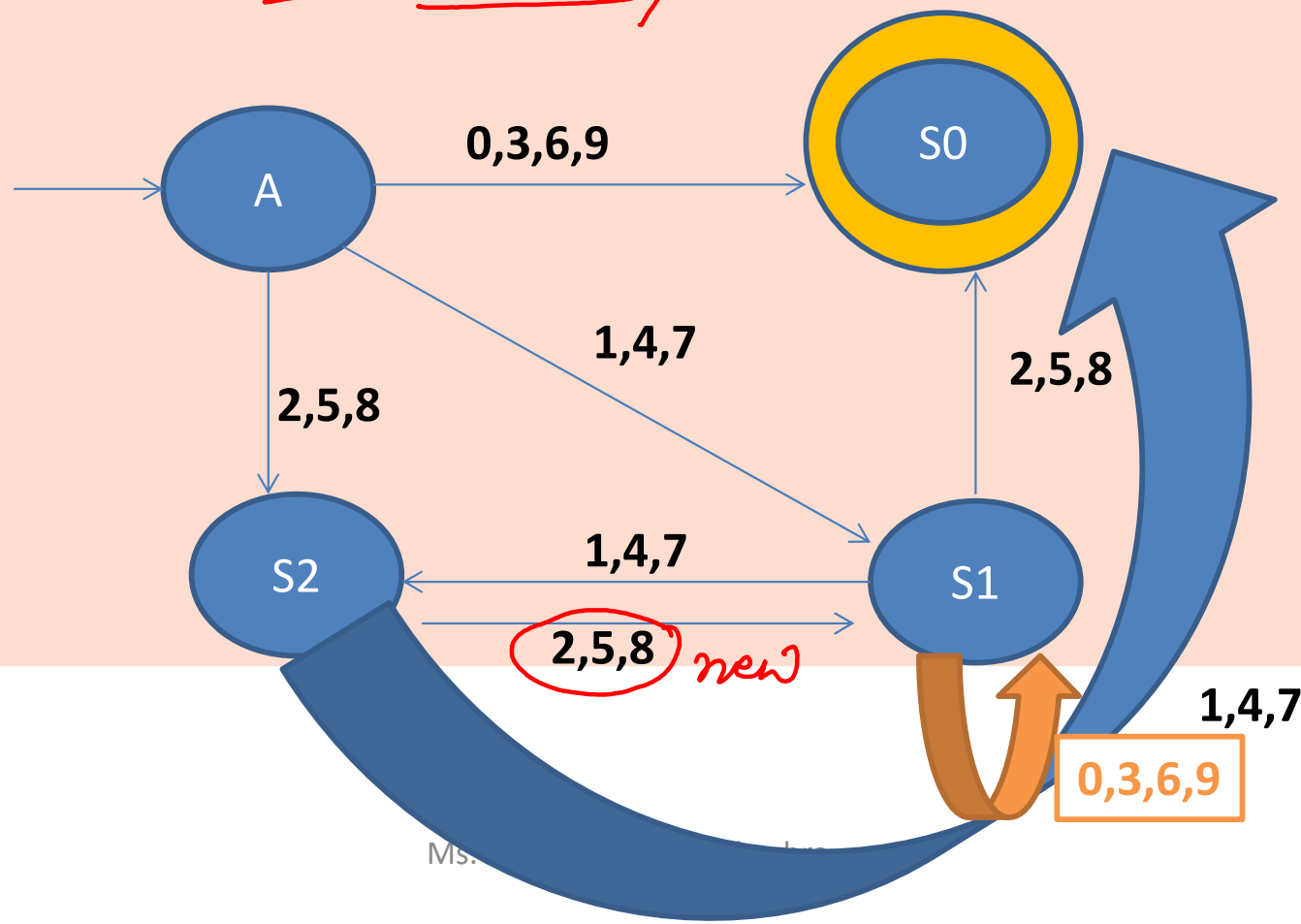
## Example 4

### Double Digits

- For 22, Remainder 1
- For 25, Remainder 1  $\Rightarrow S1$
- For 28, Remainder 1

$S2$	$S1$
2	2

2,5,8  $\rightarrow$



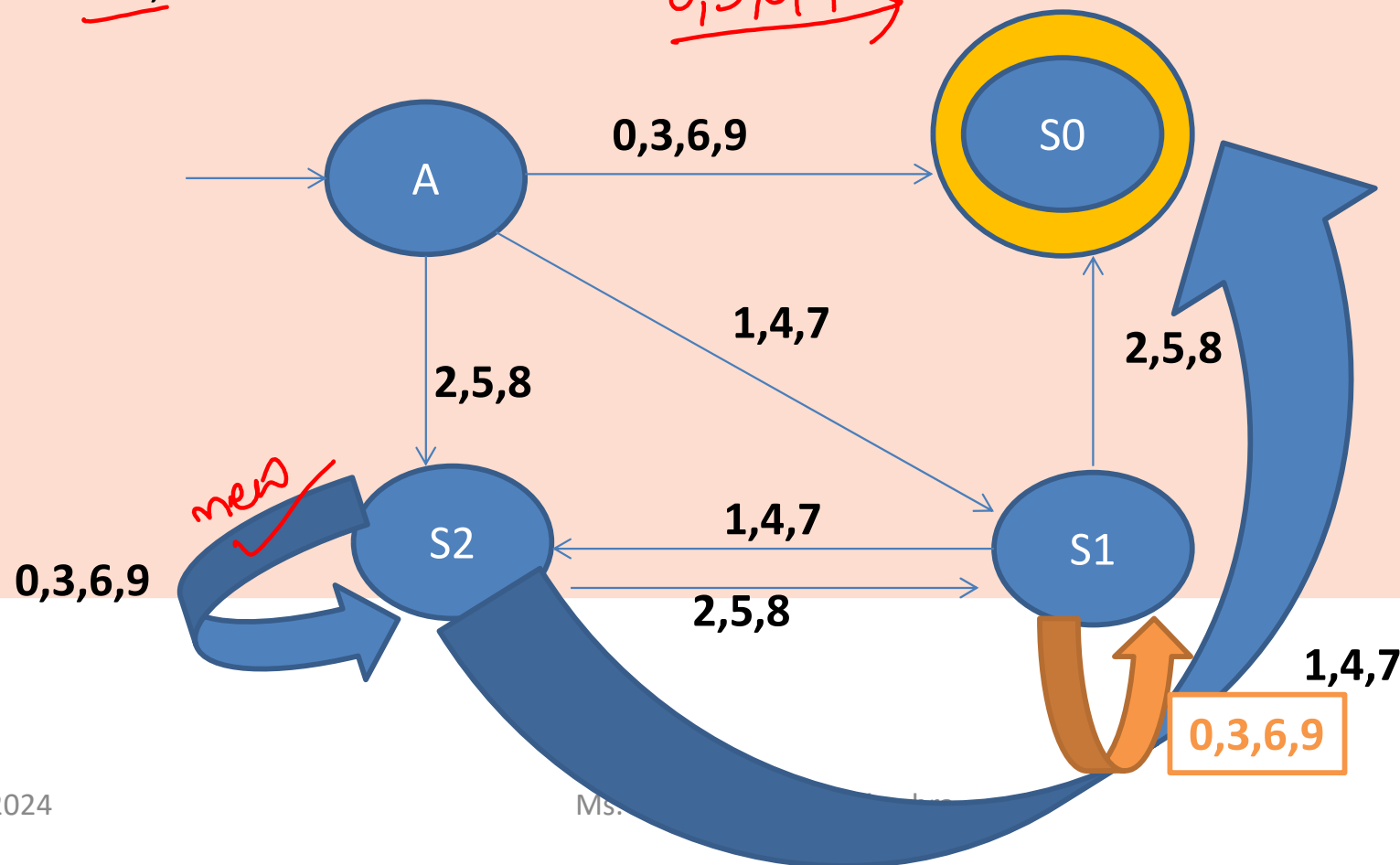
# DESIGNING FINITE AUTOMATA

## Example 4

### Double Digits

- For 20, Remainder 2 ✓
- For 23, Remainder 2 ✓
- For 26, Remainder 2 ✓
- For 29, Remainder 2 ✓

$$\begin{array}{r} s2s2 \\ \hline 20 \\ \hline \end{array}$$
  
*self loop*  
0,3,6,9 →

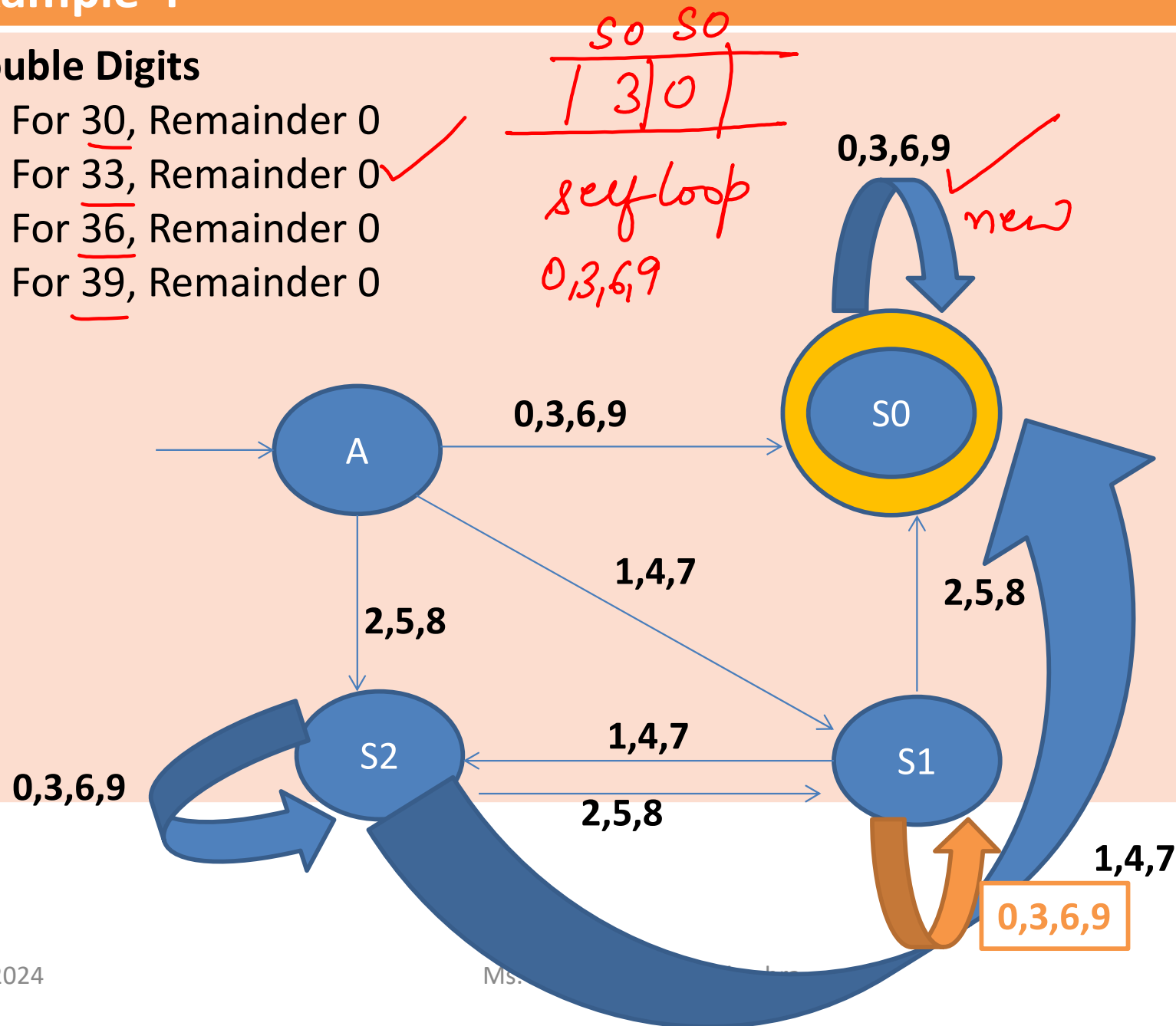


# DESIGNING FINITE AUTOMATA

## Example 4

### Double Digits

- For 30, Remainder 0
- For 33, Remainder 0 ✓
- For 36, Remainder 0
- For 39, Remainder 0

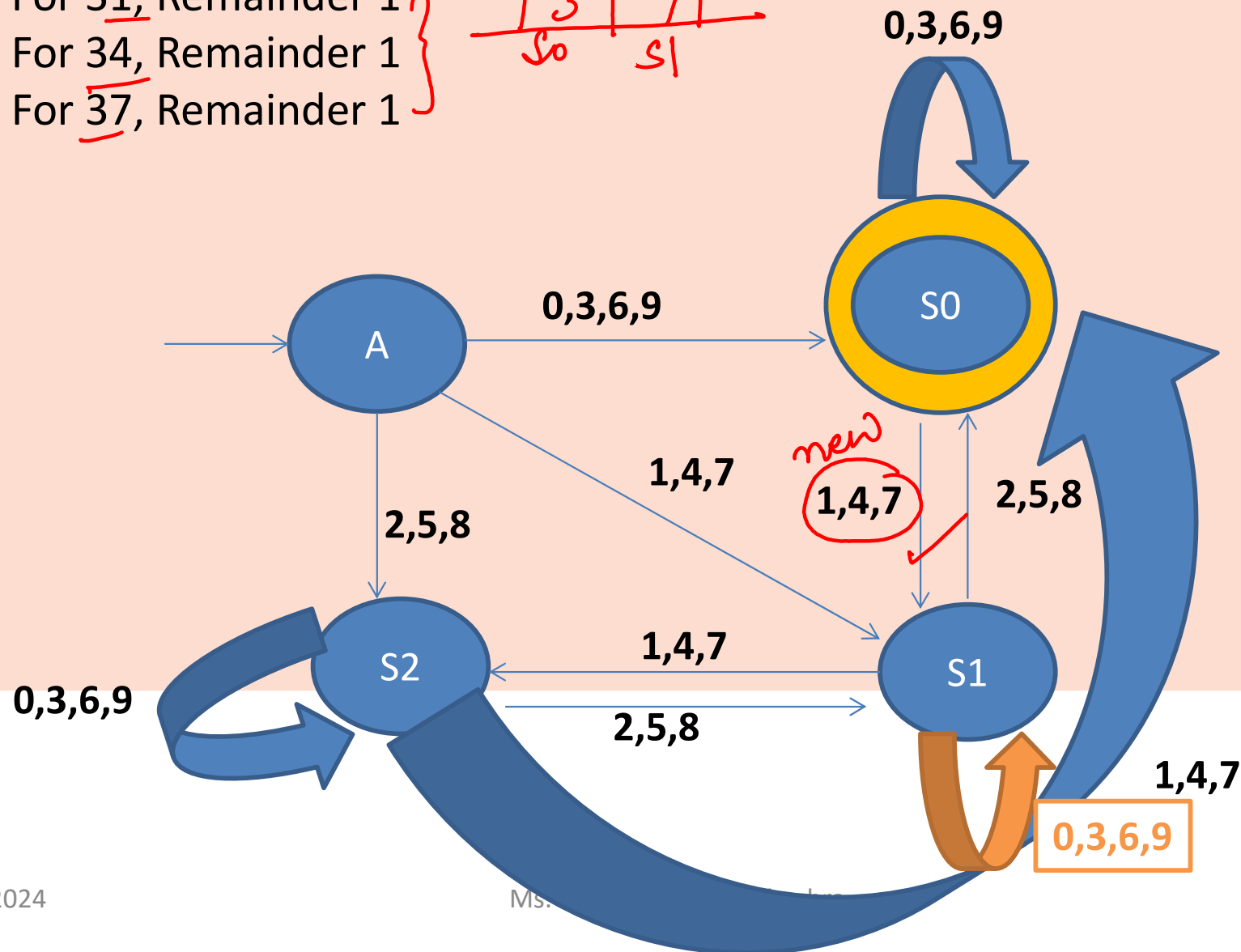
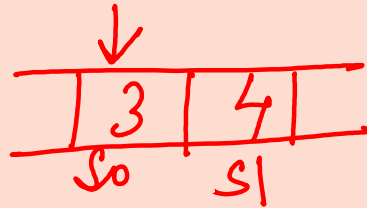


# DESIGNING FINITE AUTOMATA

## Example 4

### Double Digits

- For 31, Remainder 1
- For 34, Remainder 1
- For 37, Remainder 1

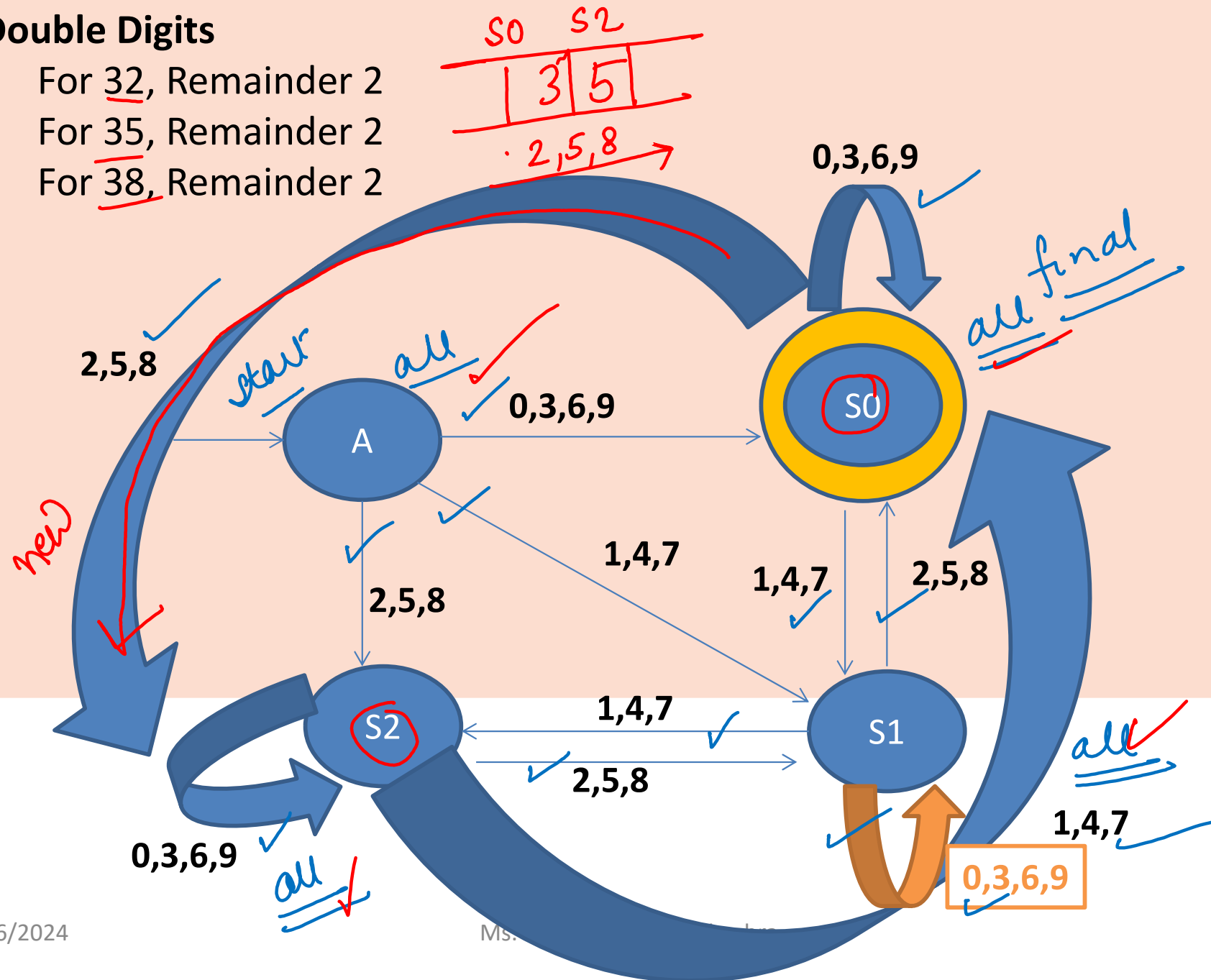


# DESIGNING FINITE AUTOMATA

## Example 4

### Double Digits

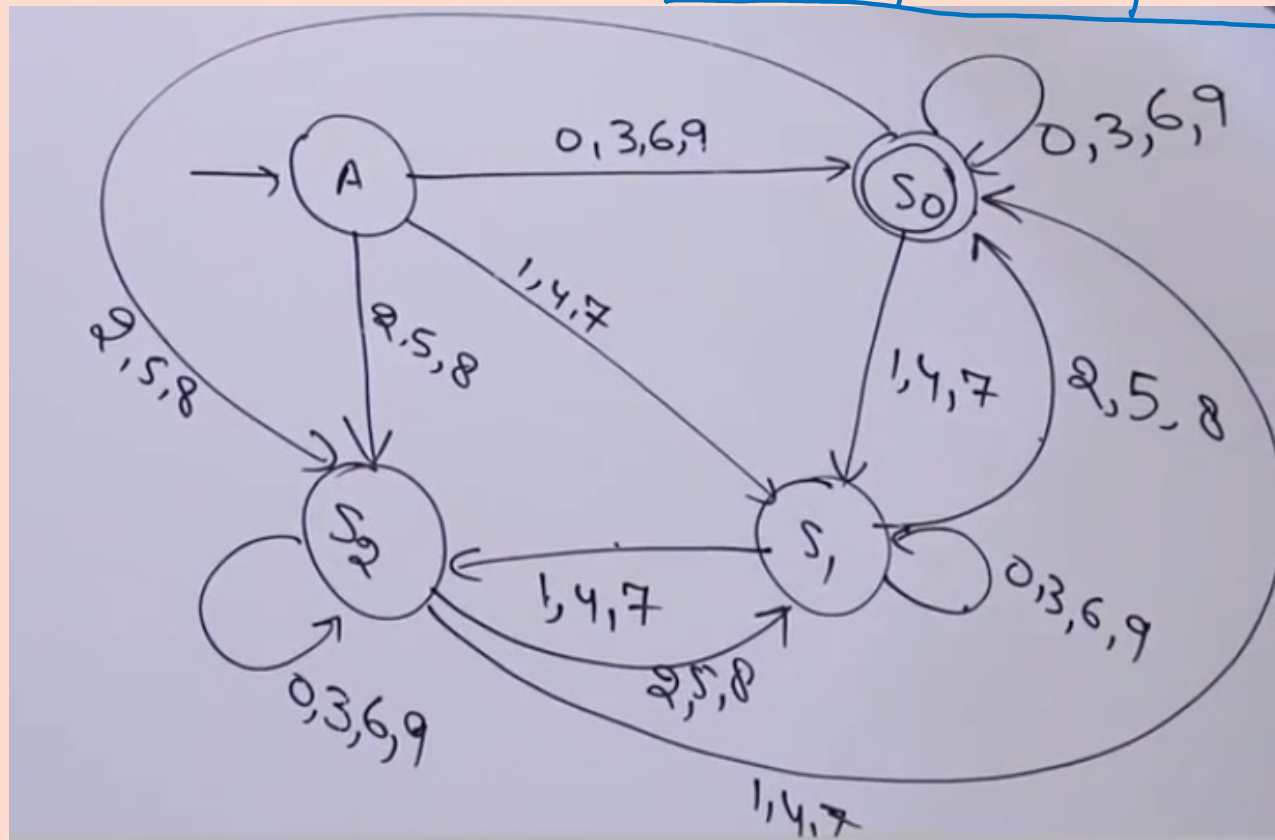
- For 32, Remainder 2
- For 35, Remainder 2
- For 38, Remainder 2



# DESIGNING FINITE AUTOMATA

## Example 4

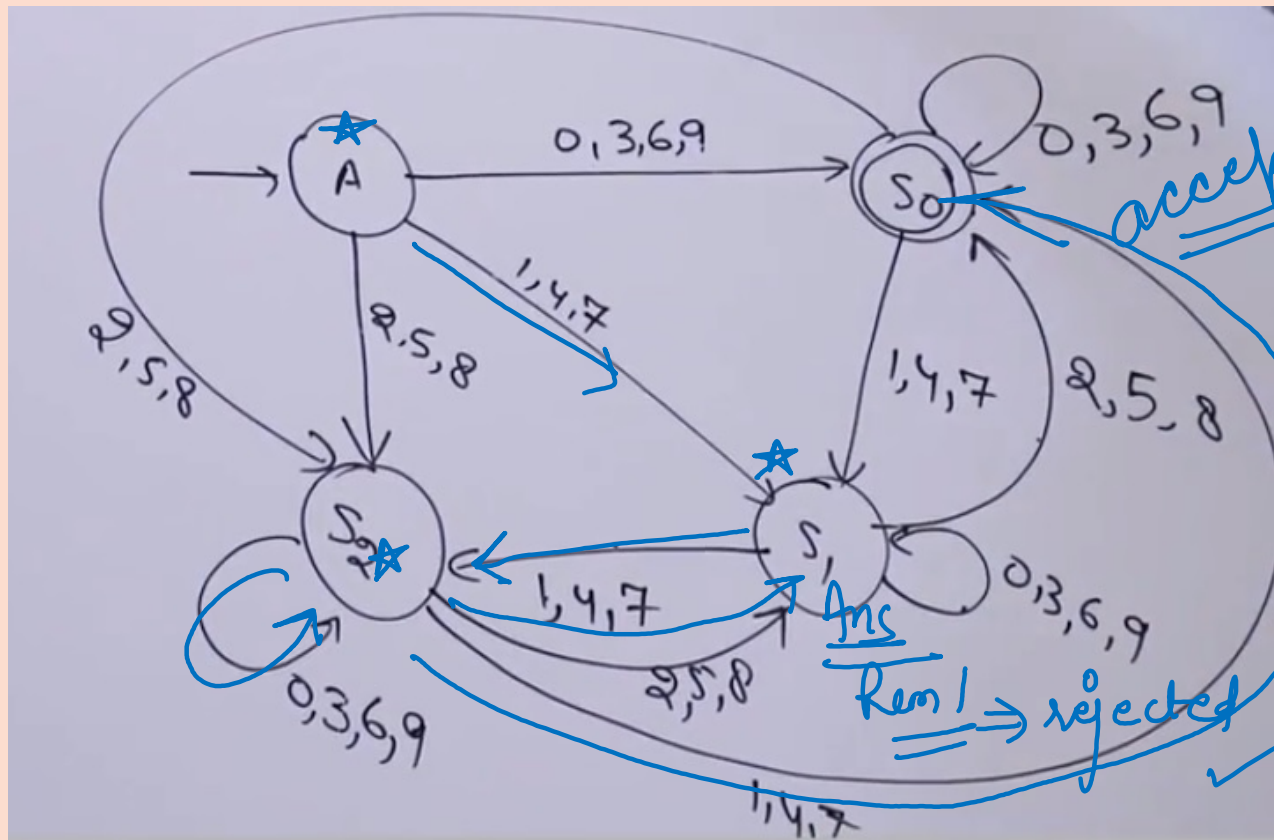
- Complete DFA



# DESIGNING FINITE AUTOMATA

## Example 4

- Lets check for 1432, 1431
- On traversing for 1432, finally we reach state s1 i.e. Remainder 1,
- On traversing for 1431, finally we reach state s0 i.e. Remainder 0



$$\begin{array}{r} 477 \\ 3 \overline{) 1432} \\ \underline{12} \phantom{0} \\ 23 \phantom{0} \\ \underline{21} \phantom{0} \\ 20 \phantom{0} \\ \underline{18} \phantom{0} \\ 20 \phantom{0} \\ \underline{18} \phantom{0} \\ 20 \phantom{0} \\ \underline{18} \phantom{0} \\ 20 \phantom{0} \end{array}$$

Rem



# DESIGNING FINITE AUTOMATA

## Example 5

**Design a DFA which checks whether the given binary number is even**

# DESIGNING FINITE AUTOMATA

## Example 5

- Binary number that ends with zero=Even
  - Binary number that ends with one=Odd
- poss  $\equiv$  states*

### BINARY NUMBERS

1	1	✓	14	1110
2	10	✓	15	1111
3	11	✓	16	10000
4	100	✓	17	10001
5	101	✓	18	10010
6	110	✓	19	10011
7	111	✓	20	10100
8	1000	✓	21	10101
9	1001	✓	22	10110
10	1010	✓	23	10111
11	1011	✓	24	11000
12	1100	✓	25	11001
13	1101	✓		

*ends with 0*

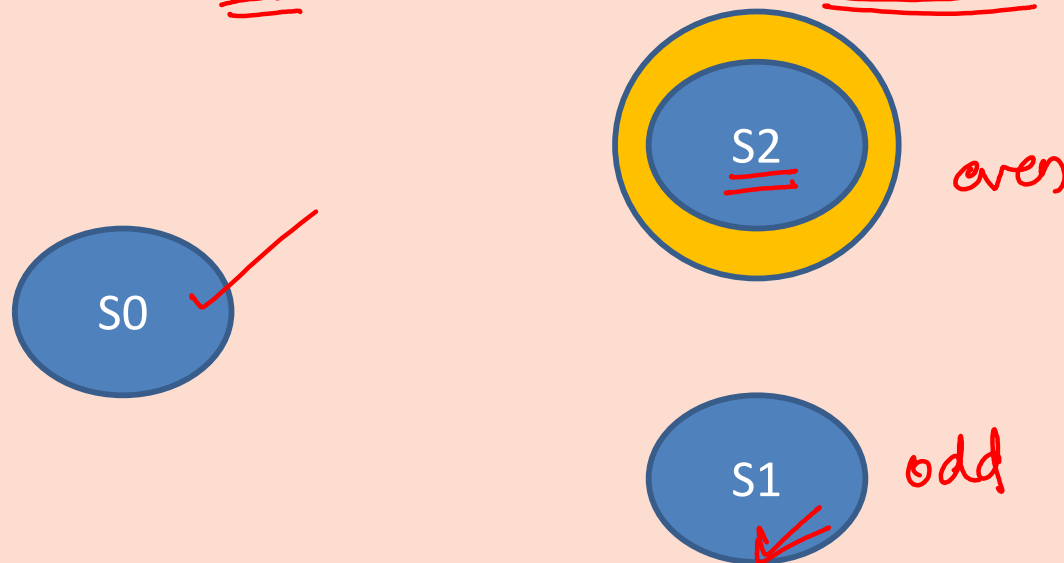
*ends with 1*

# DESIGNING FINITE AUTOMATA

## Example 5

Possibilities:

- Start state=S0
- State ending in 1=S1
- State ending in 0=Even numbers=Final state=S2



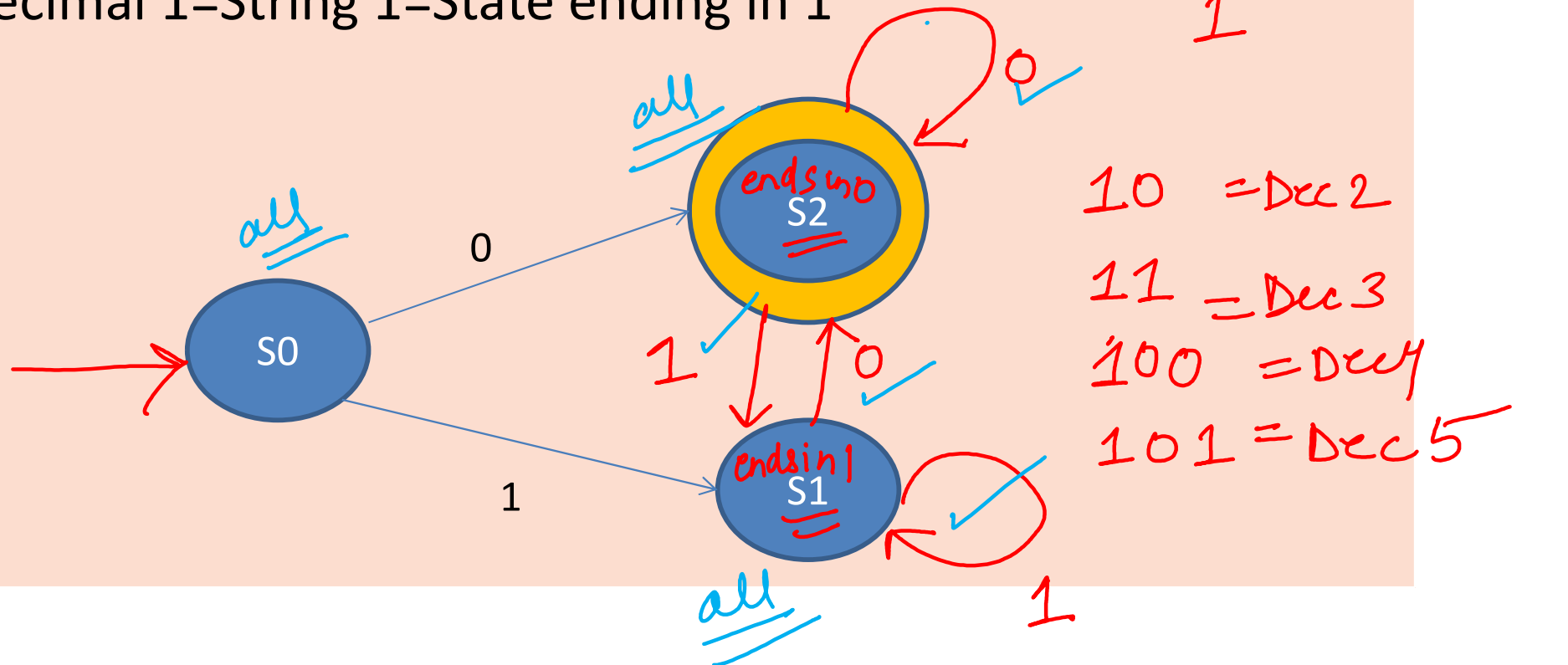
# DESIGNING FINITE AUTOMATA

## Example 5

String –

Decimal 0=String 0=State ending in 0

Decimal 1=String 1=State ending in 1



# DESIGNING FINITE AUTOMATA

## Example 5

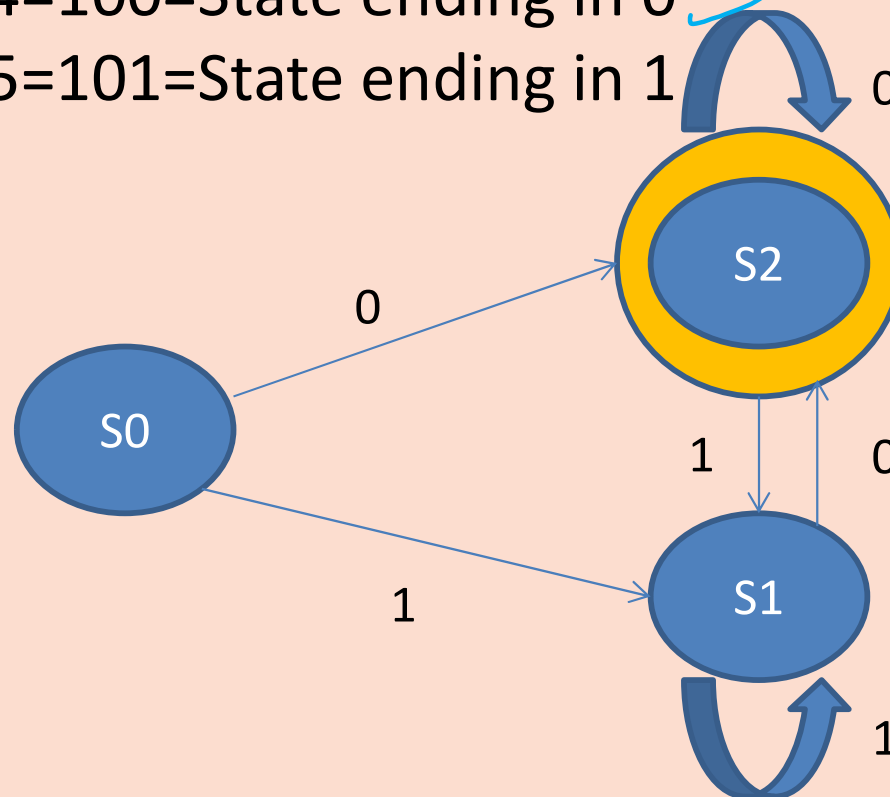
String –

Decimal 2=10=State ending in 0 ✓

Decimal 3=11=State ending in 1 ✓

Decimal 4=100=State ending in 0 ✓

Decimal 5=101=State ending in 1 ✓



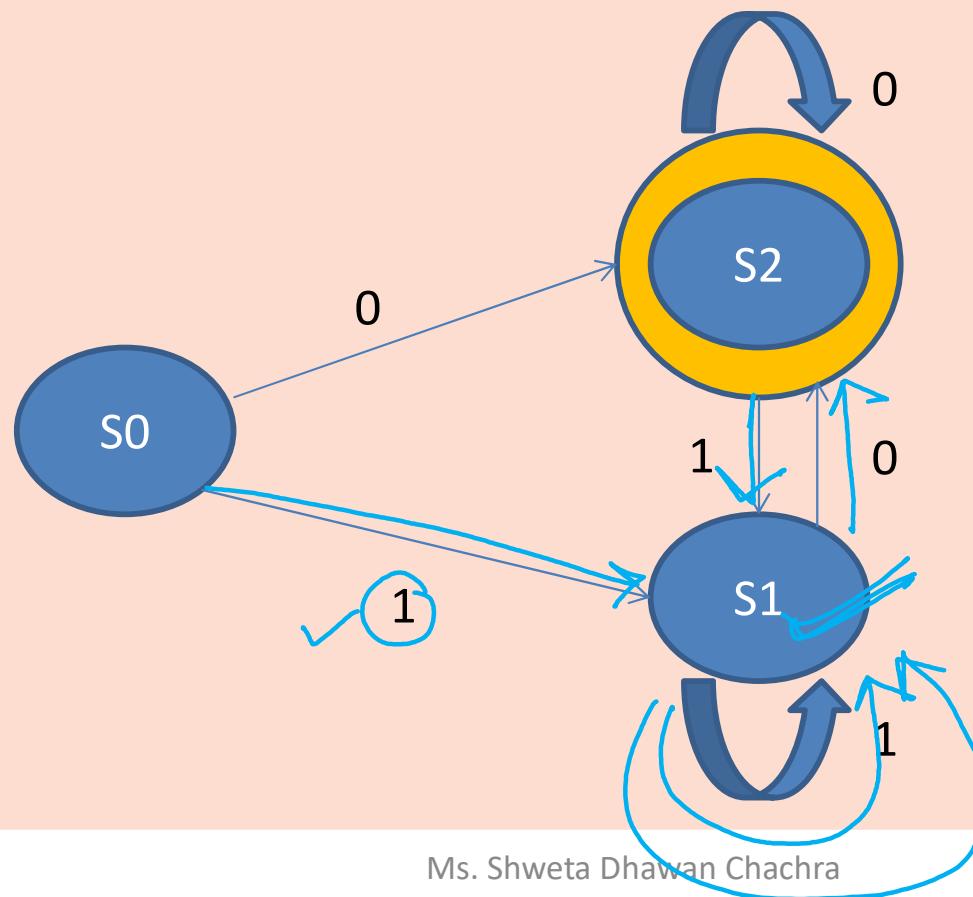
# DESIGNING FINITE AUTOMATA

## Example 5

Check for bigger numbers

Decimal 64 = 1000000 = State ending in 0

Decimal 27 = 11011 = State ending in 1



*Done*  
*18/1/22*

# DESIGNING FINITE AUTOMATA

## Example 6

**Design a DFA which accepts only those binary numbers which start with 1 and ends with 0.**

# DESIGNING FINITE AUTOMATA

## Example 6

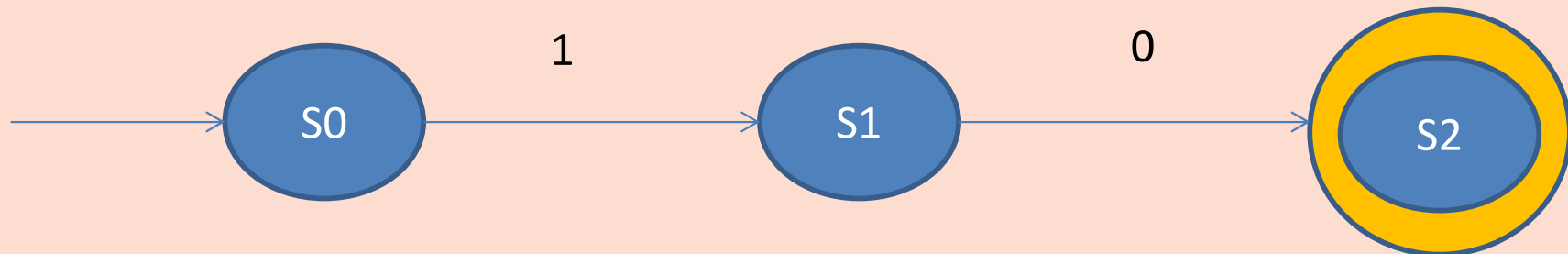
Design a DFA which accepts only those binary numbers which start with 1 and ends with 0.

Initial state S0

S0 reads 1, moves to S1

String 10

S1 reads 0, moves to S2=Final state





# DESIGNING FINITE AUTOMATA

## Example 6

String 1010

Also check for strings-

10

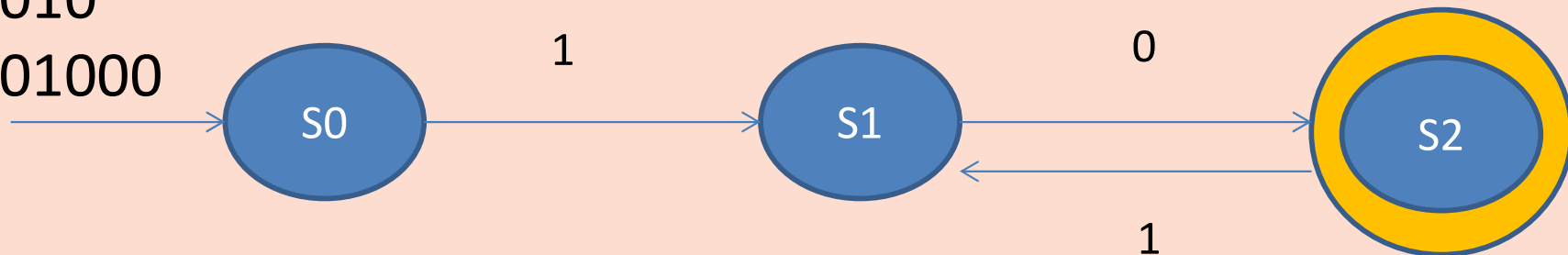
110

1110

1010

101010

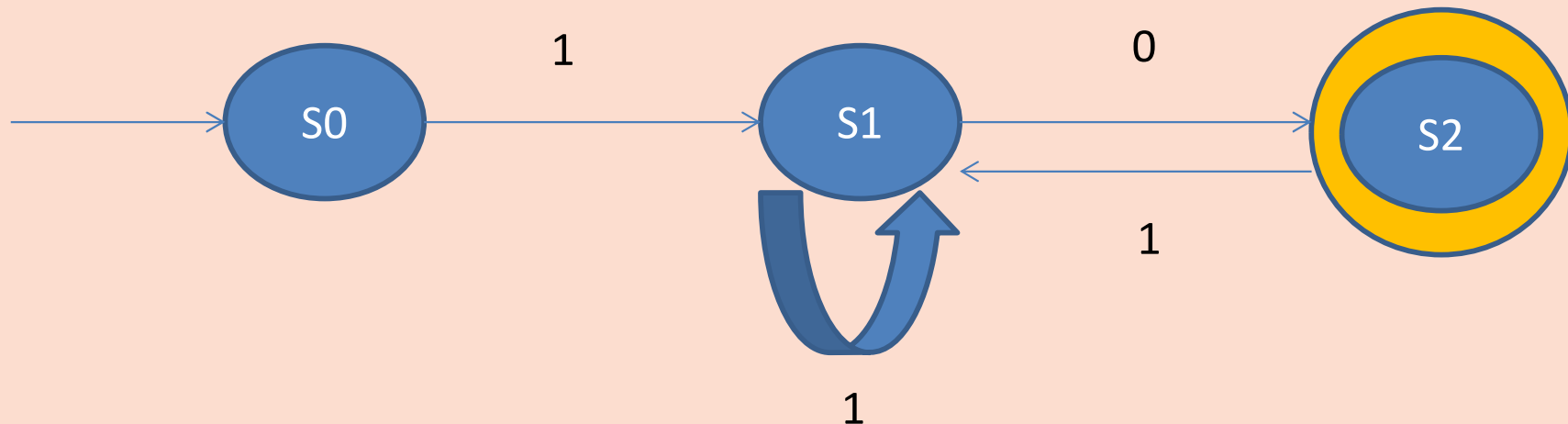
10101000



# DESIGNING FINITE AUTOMATA

## Example 6

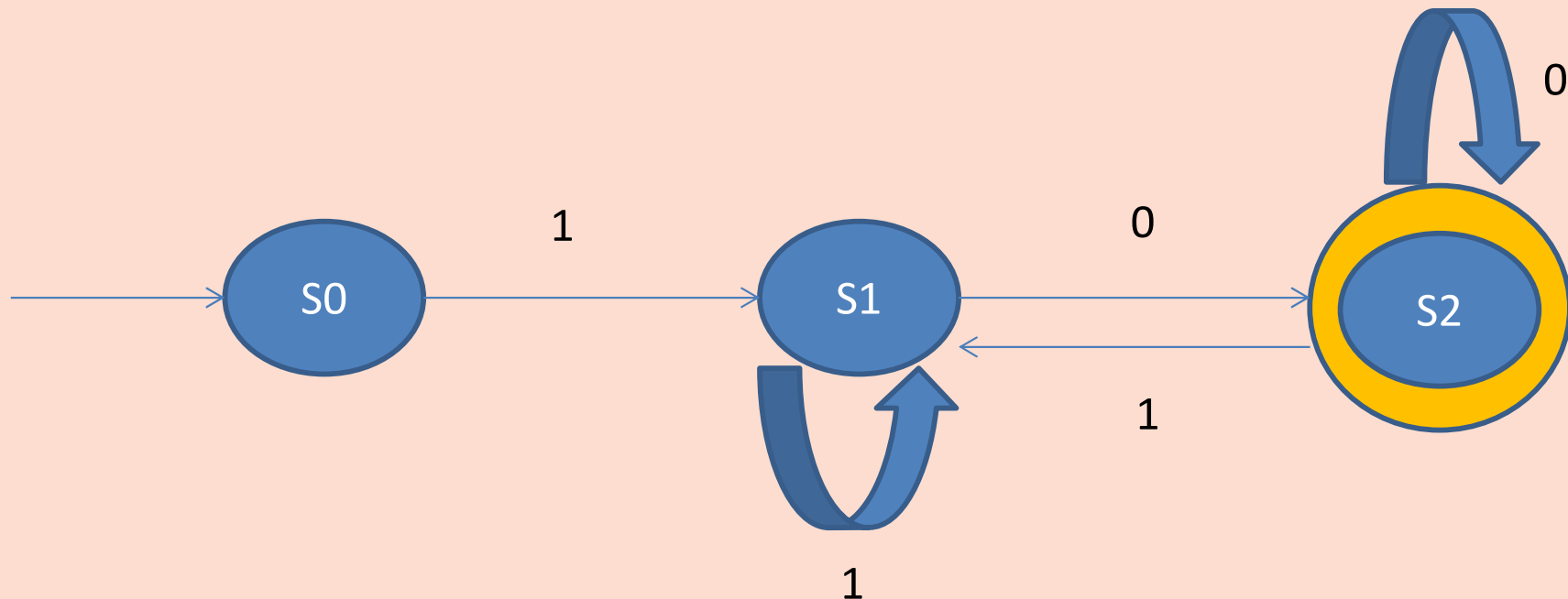
String 10110



# DESIGNING FINITE AUTOMATA

## Example 6

String 1000



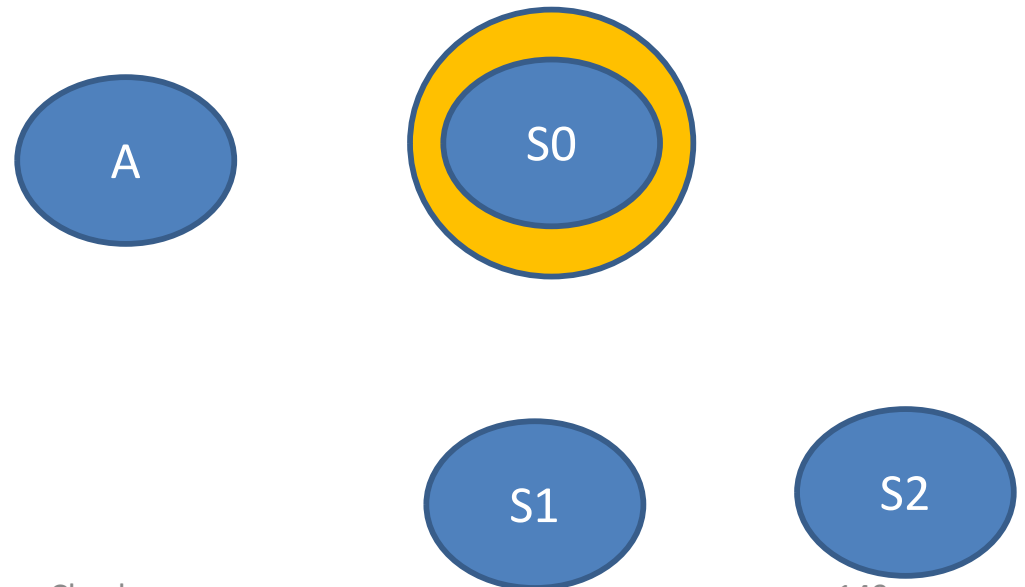
# DESIGNING FINITE AUTOMATA

## Example 7

Design a DFA which checks whether a given binary number is divisible by three

## Example 7

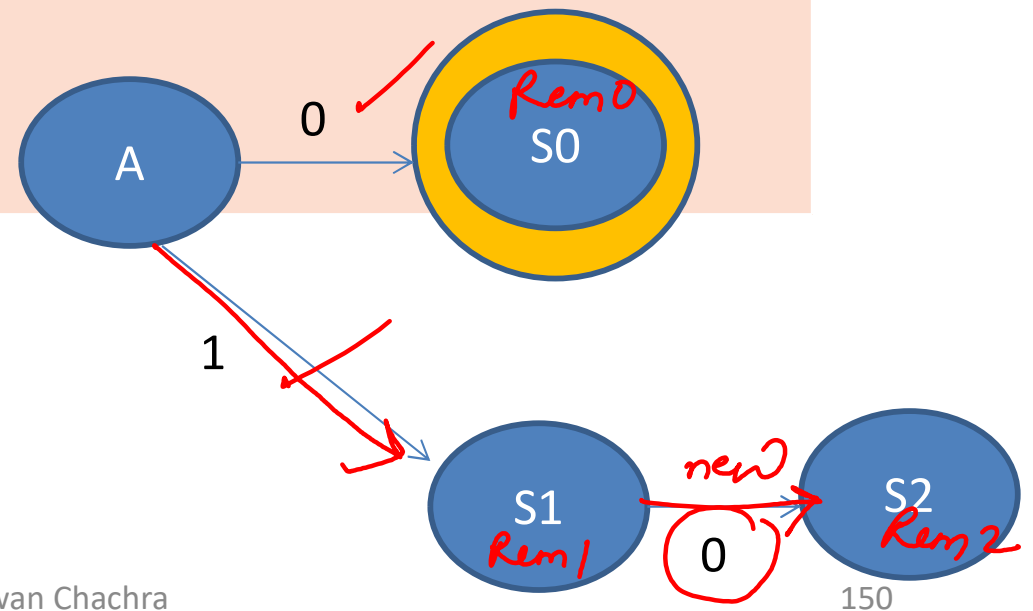
- The possible Remainders = 0,1,2
- Remainder 0  $\Rightarrow$  Divisible by 3
- Group Digits according to their remainder
  - Initial State = A
  - Remainder 0 group S0  $\Rightarrow$  Final State
  - Remainder 1 group S1
  - Remainder 2 group S2



# DESIGNING FINITE AUTOMATA

## Example 7

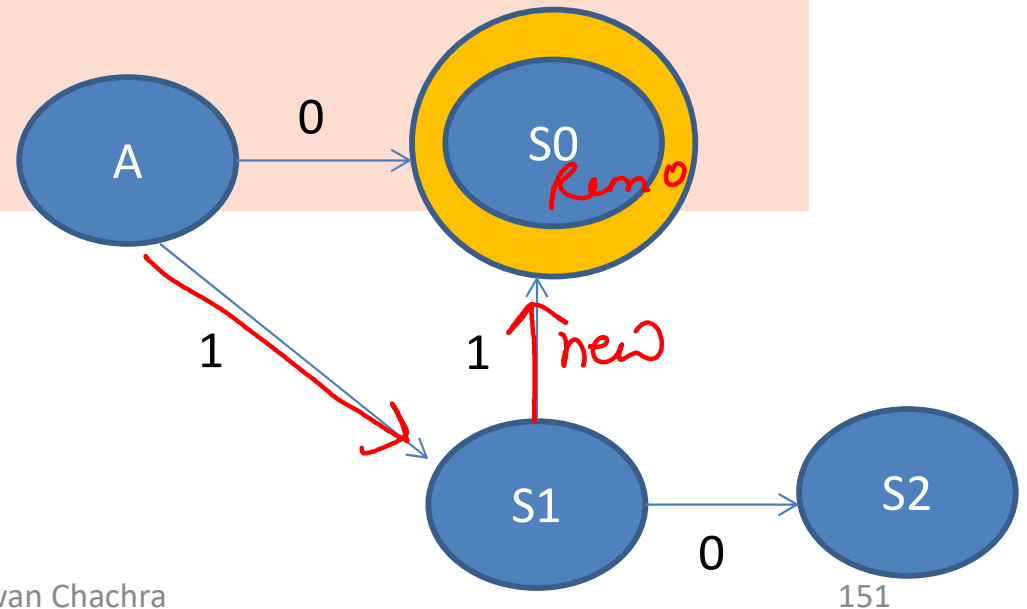
- Binary –Decimal =>
- 0-0, Rem=0, State S0
- 01-1, Rem=1, State S1 ✓
- 10-2, Rem=2, State S2



# DESIGNING FINITE AUTOMATA

## Example 7

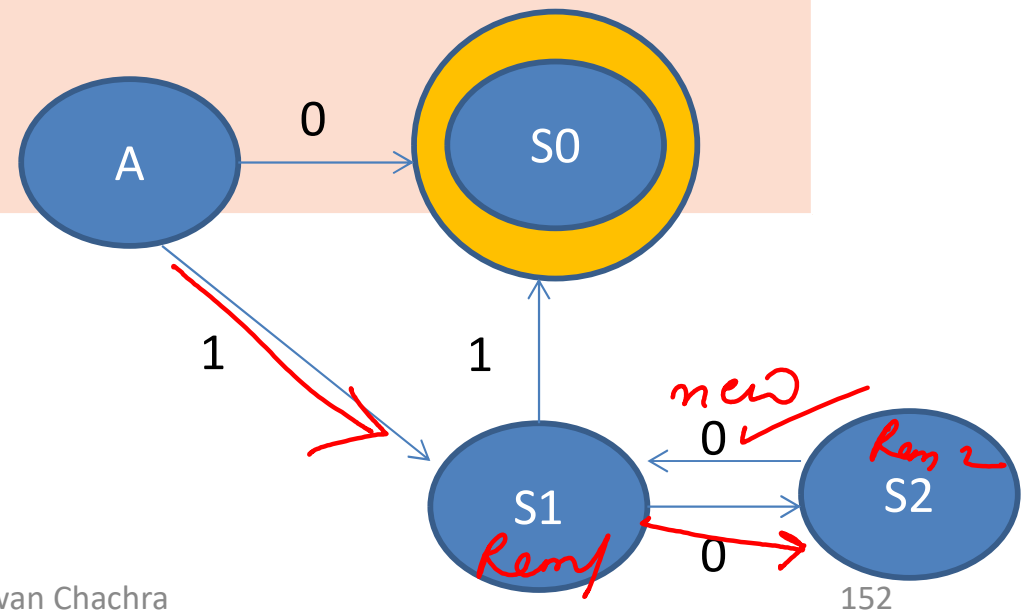
- Binary –Decimal =>
- 11-3, Rem=0, State S0



# DESIGNING FINITE AUTOMATA

## Example 7

- Binary –Decimal =>
- 100-4 , Rem=1, State S1

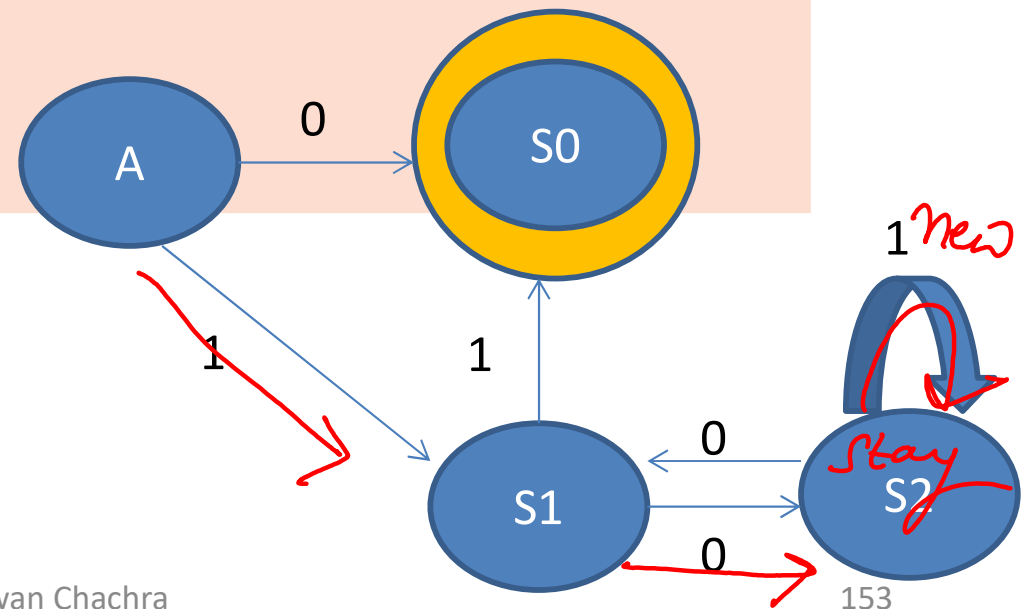




# DESIGNING FINITE AUTOMATA

## Example 7

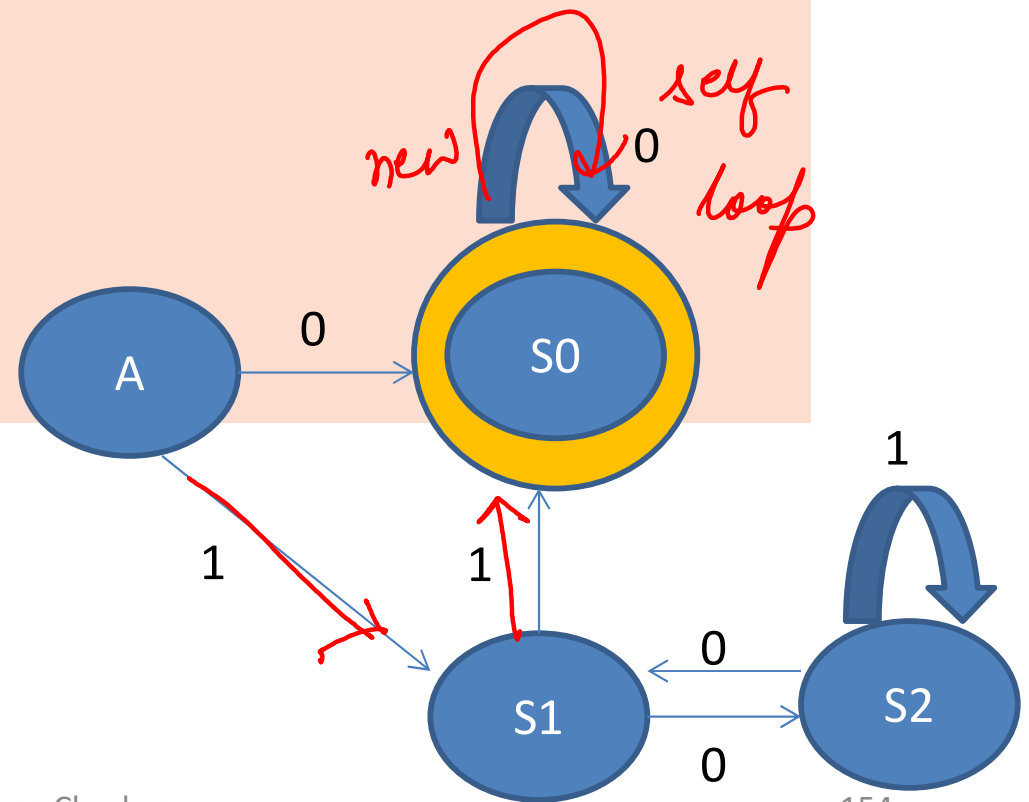
- Binary –Decimal =>
- 101-5, Rem=2, State S2



# DESIGNING FINITE AUTOMATA

## Example 7

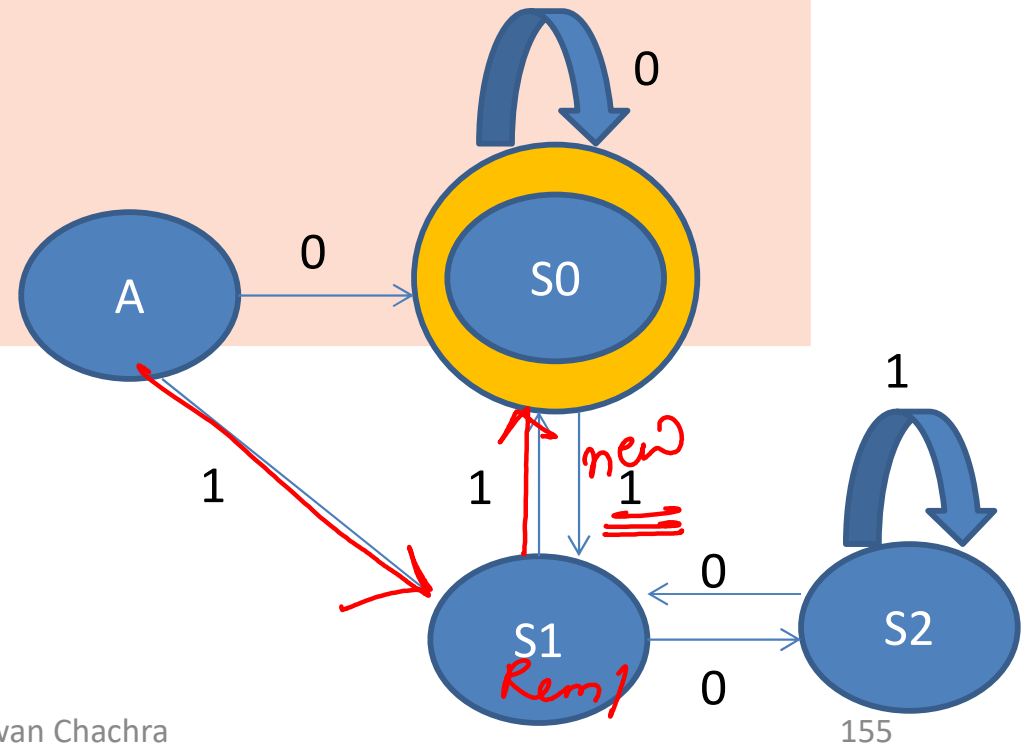
- Binary –Decimal =>
- 110-6 , Rem=0, State S0



# DESIGNING FINITE AUTOMATA

## Example 7

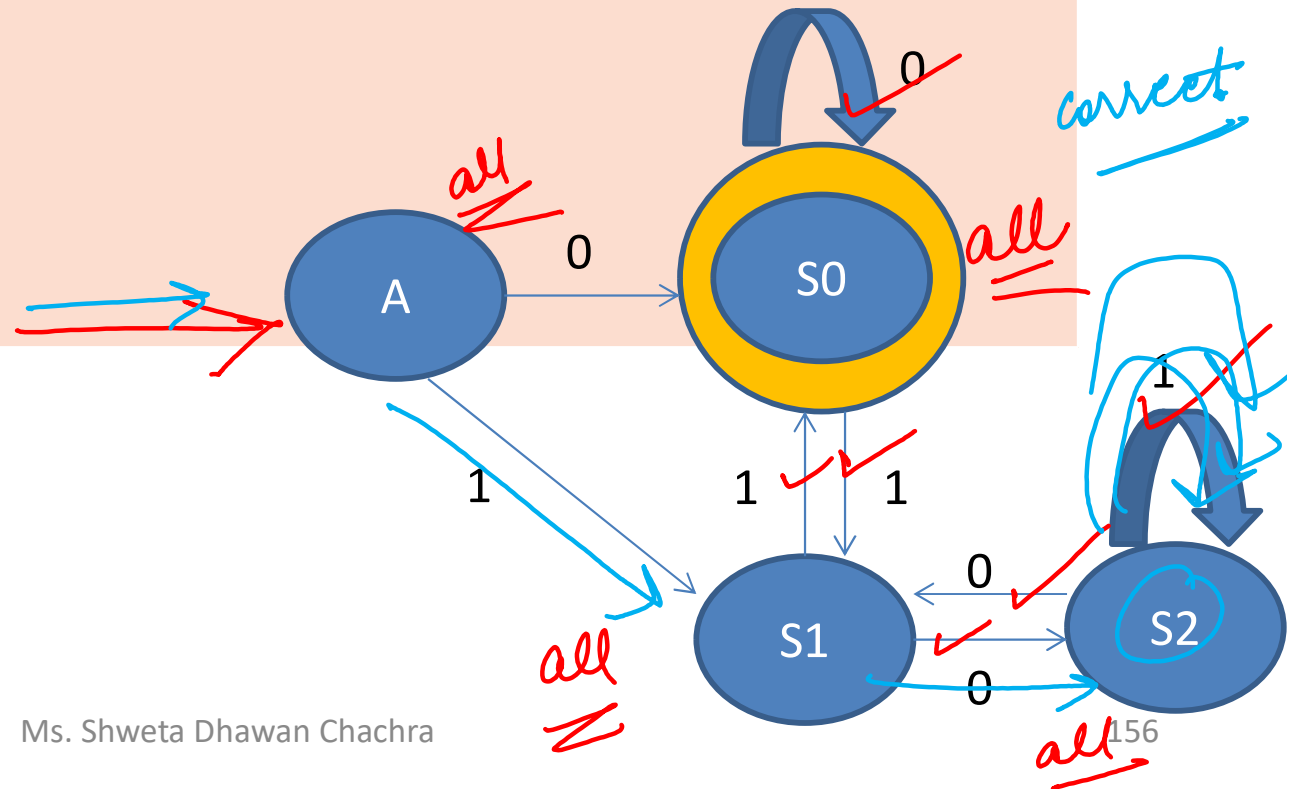
- Binary –Decimal =>
- 111-7 , Rem=1, State S1



# DESIGNING FINITE AUTOMATA

## Example 7

- For any Bigger Number
- Binary –Decimal =>
- 10111-23 , Rem=2, State S2
- Yes, Satisfied



# DESIGNING FINITE AUTOMATA

## Example 8

Design a DFA to accept a Language L where all strings in L are such that total number of a's in them are divisible by 3

# DESIGNING FINITE AUTOMATA

## Example 8

- There is no condition that a should be in clumps
- But Total number of a's should be divisible by 3
- No conditions on b's
- b's are allowed in between

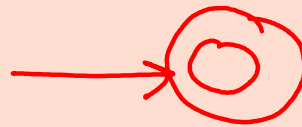
↓ ↓ ↓  
a b a b a ✓  
count/3

aaa  
[aaa]b[aaa]b[aaa]

# DESIGNING FINITE AUTOMATA

## Example 8

- Total no of a's should be multiples of 3 i.e
- Total no of a's can be 0 => Initial state can be final state
- Total no of a's can be 3
- Total no of a's can be 6
- Total no of a's can be 9
- and so on...

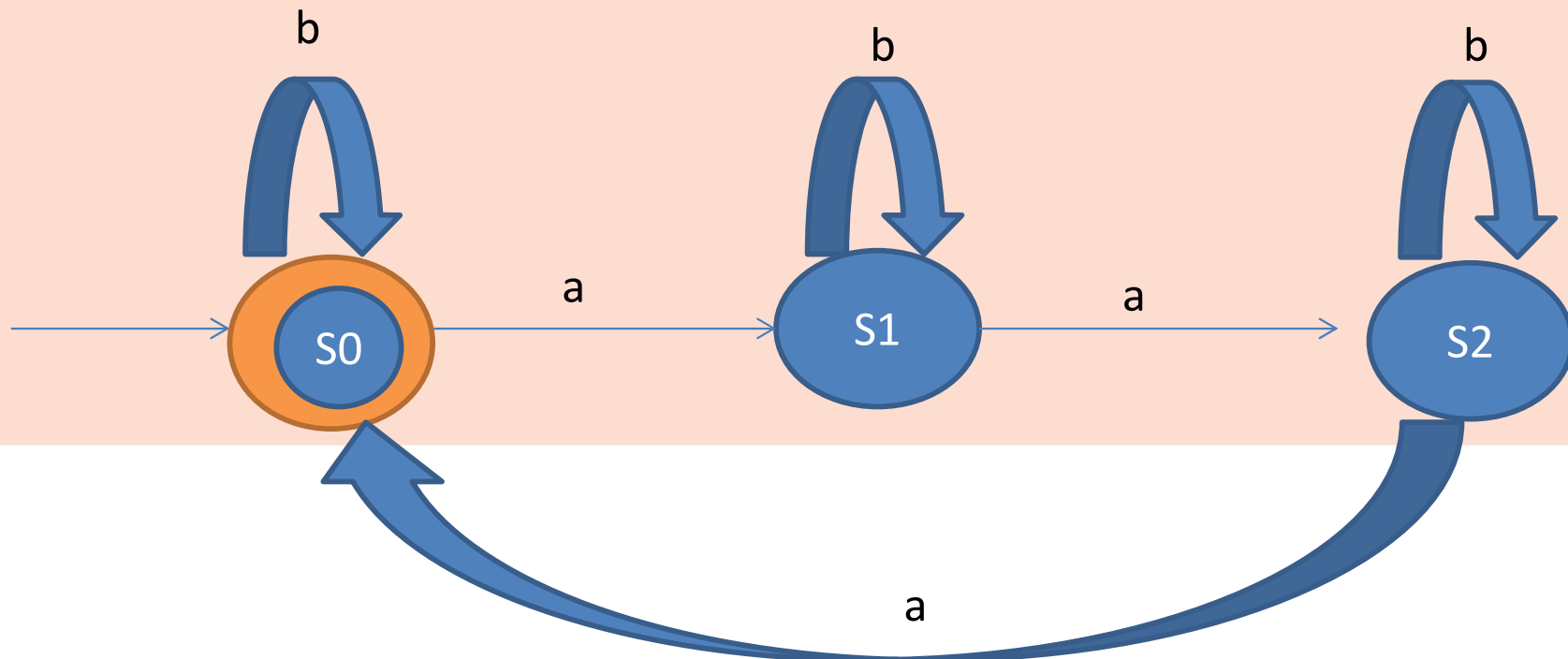


- /// //
- And whenever count of no of a's is 0/3/6/9/12/15... so on
  - The string should be accepted i.e. it should be final state

# DESIGNING FINITE AUTOMATA

## Example 8

Design a DFA to accept a Language L where all strings in L are such that total number of a's in them are divisible by 3





# DESIGNING FINITE AUTOMATA

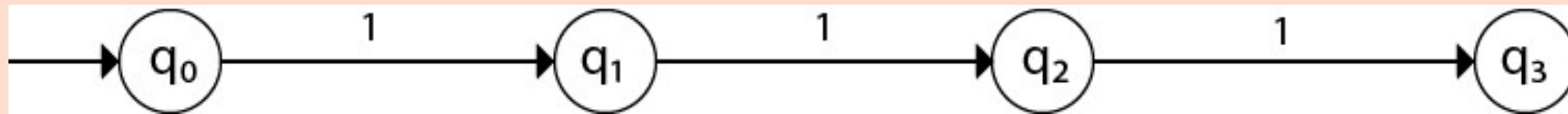
## Example 9

Design a DFA to accept the language  $L(M) = \{w \mid w \in \{0, 1\}^*\}$  and  $W$  is a string that does not contain three consecutive 1's.

# DESIGNING FINITE AUTOMATA

## Example 9

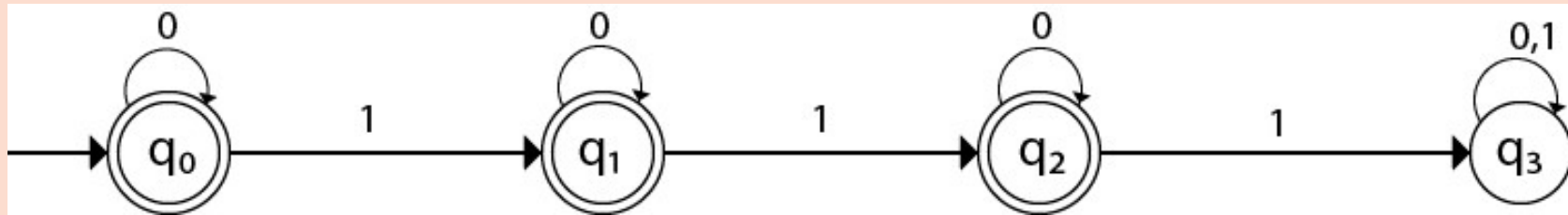
- When three consecutive 1's occur the DFA will be:



- Here two consecutive 1's or single 1 is acceptable, hence
- The stages  $q_0$ ,  $q_1$ ,  $q_2$  are the final states.

# DESIGNING FINITE AUTOMATA

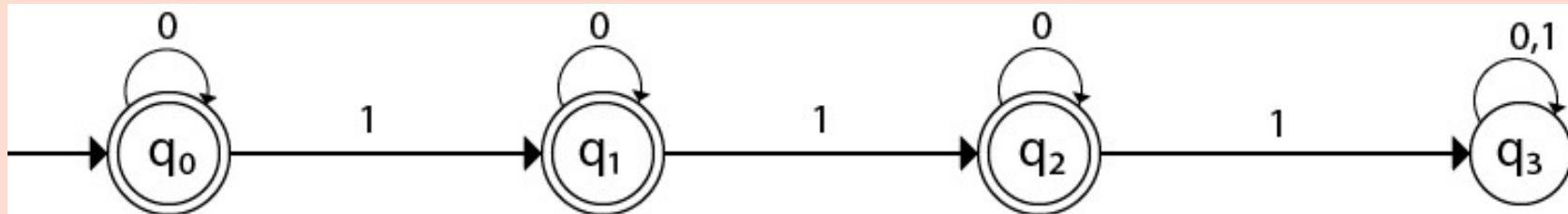
## Example 9



- String 111 will be in q3 state=Non final state=Rejected
- String 10110111 will be in q3 state=Non final state=Rejected

# DESIGNING FINITE AUTOMATA

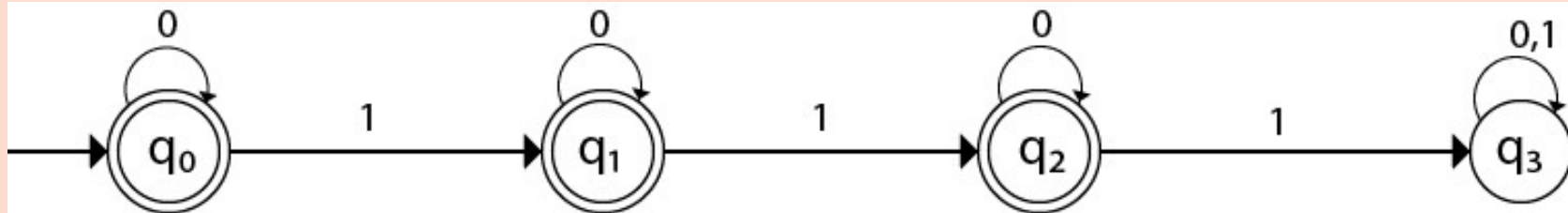
## Example 9



- String 0110 accepted
- Strings 1011101 rejected
- Correct till now.....

# DESIGNING FINITE AUTOMATA

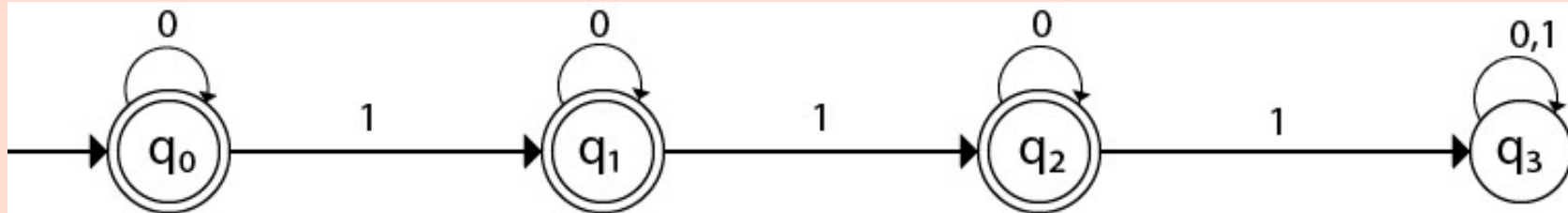
## Example 9



- Exactly three 1's but not consecutive giving Error-
- String 1101 rejected
- String 11010 rejected
- String 10110 rejected
- String 1011 rejected
- The above strings should be accepted as the 1's are not consecutive
- Strings 110110 rejected-No of 1's increases to 4=>Works perfectly

# DESIGNING FINITE AUTOMATA

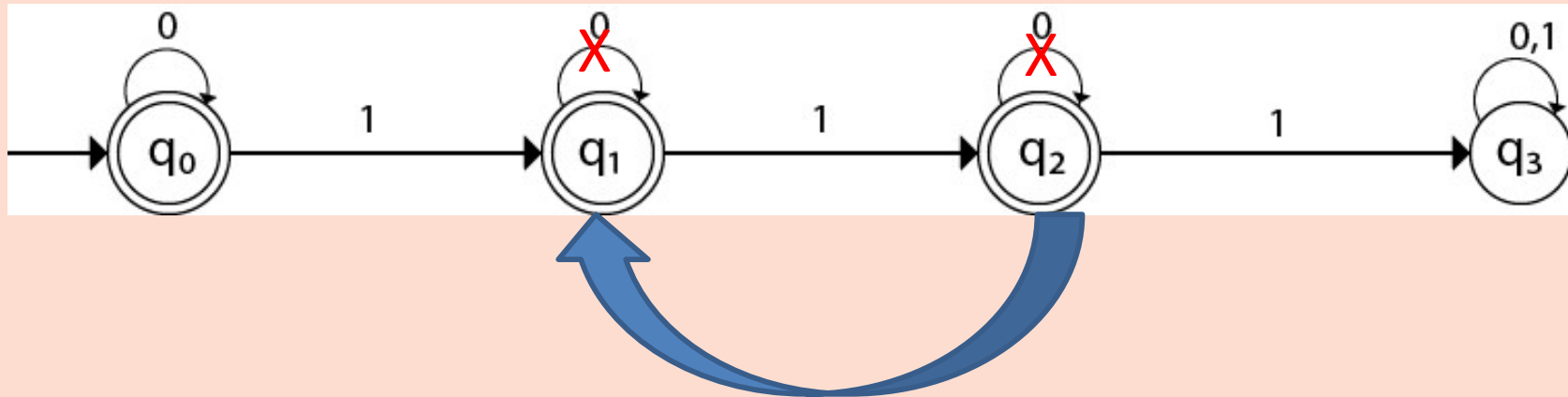
## Example 9



- Change to be done-
- Change Outgoing transition from q1 and q2

# DESIGNING FINITE AUTOMATA

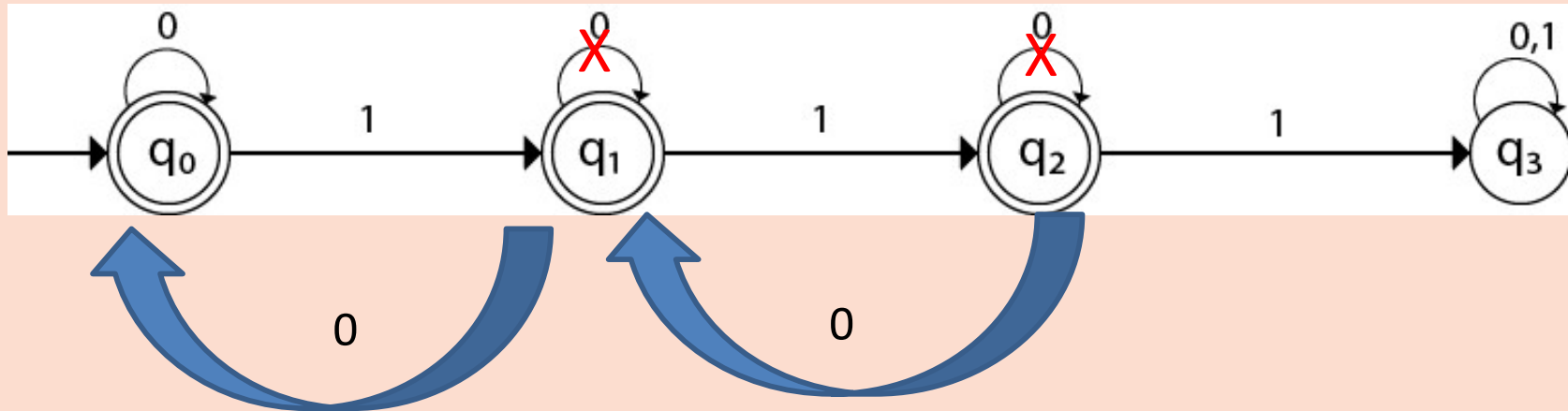
## Example 9



- Change to be done-
- Change Outgoing transition from q1 and q2
- For Strings 1101,11010 –
- Outgoing transition for 0 from q2 should go to q1
- so that strings like 11011 should be rejected

# DESIGNING FINITE AUTOMATA

## Example 9



- Change to be done-
- Change Outgoing transition from q1 and q2
- For Strings like 10110, 1011
- Outgoing transition for 0 from q1 should go to q0
- so that strings like 101101 should be rejected



# DESIGNING FINITE AUTOMATA

## Example 10

Design a DFA to accept the language  $L(M) = \{0^m 1^n \mid m \geq 0 \text{ and } n \geq 1\}$

# DESIGNING FINITE AUTOMATA

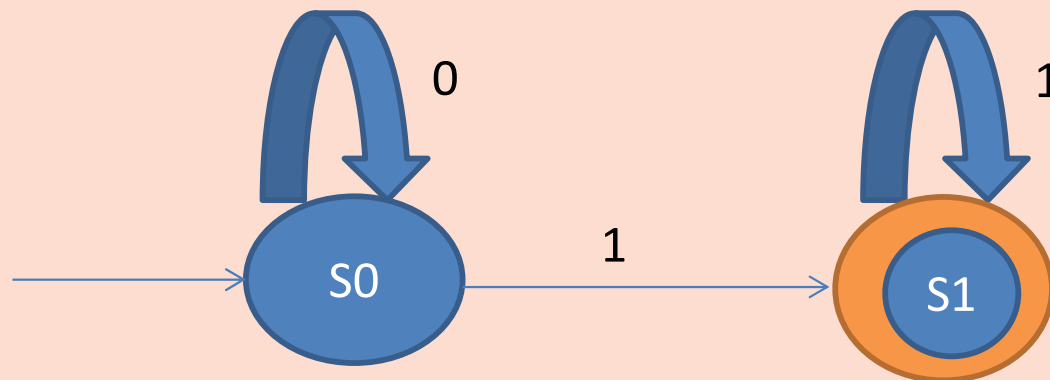
**Example 10-Design a DFA to accept the language  $L(M) = \{0^m1^n \mid m \geq 0 \text{ and } n \geq 1\}$**

- All 0s are followed by 1s
- No of zeros can be 0
- No of ones has to be minimum 1, so one transition for 1 has to be there

# DESIGNING FINITE AUTOMATA

**Example 10-Design a DFA to accept the language  $L(M) = \{0^m 1^n \mid m \geq 0 \text{ and } n \geq 1\}$**

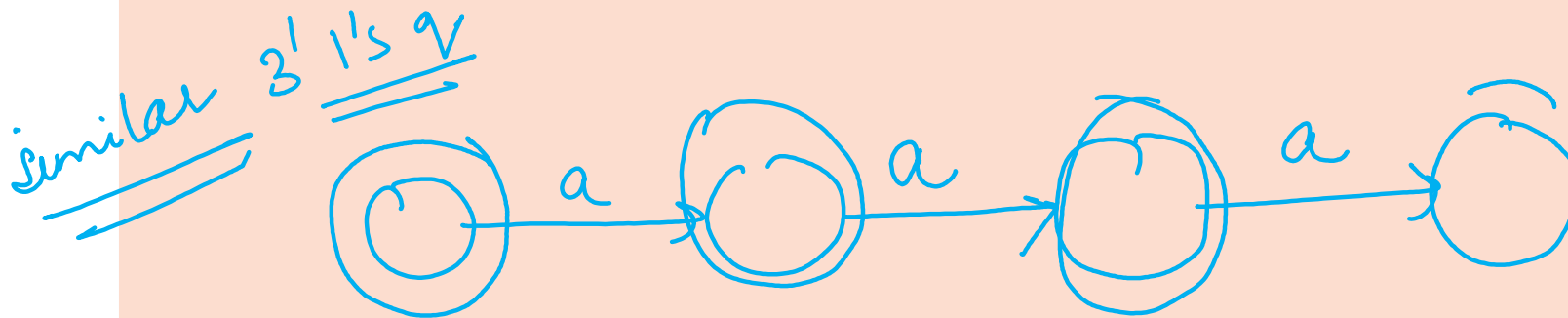
- No of zeros can be 0  $\Rightarrow$  can be associated with start state
- No of ones has to be minimum 1, so one transition for 1 has to be there  $\Rightarrow$  final state



# DESIGNING FINITE AUTOMATA

## Example 11

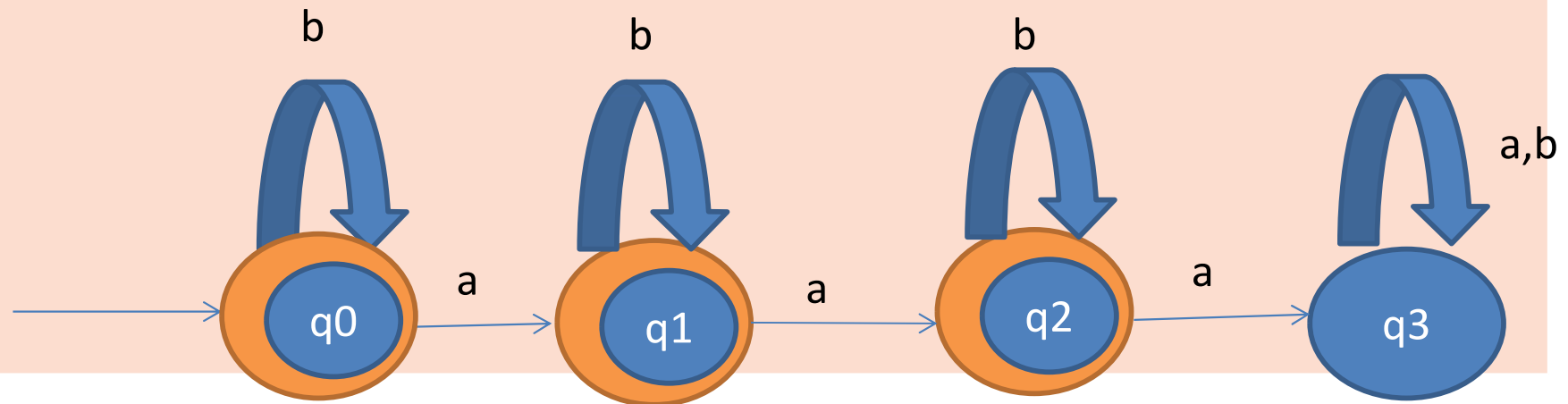
Design a DFA which accepts all the strings not having more than 2 a's over  $\Sigma=\{a,b\}$



# DESIGNING FINITE AUTOMATA

## Example 11

Design a DFA which accepts all the strings not having more than 2 a's over  $\Sigma=\{a,b\}$



# DESIGNING FINITE AUTOMATA

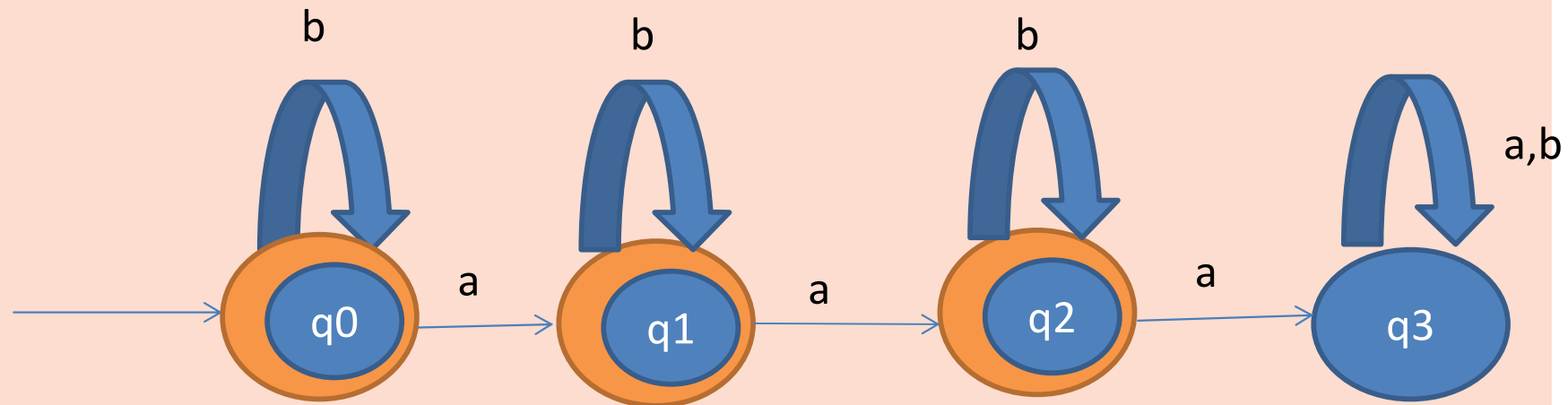
## Example 11

String aaba-Rejected

String bba-Accepted

String baba –Accepted

String aabbab-Rejected

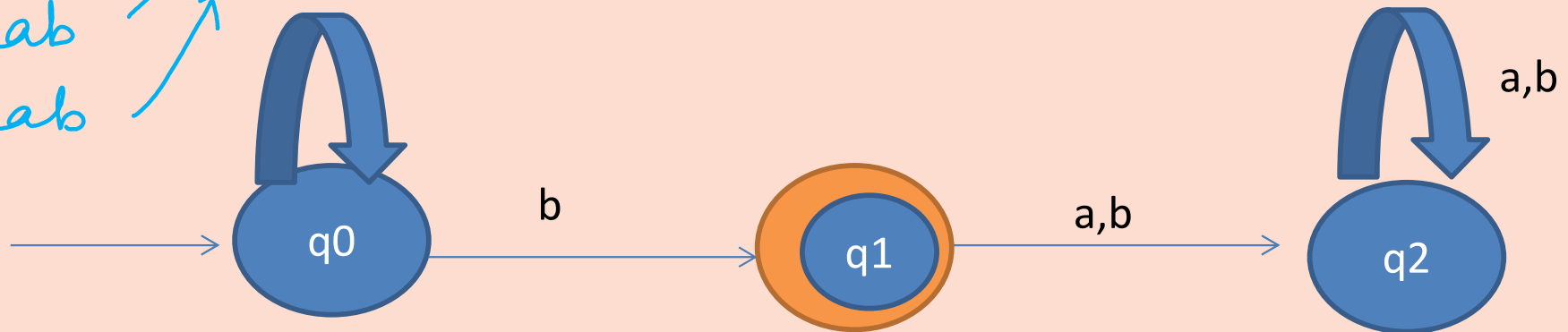


# DESIGNING FINITE AUTOMATA

## Example 12 Try

Recognize the language given by following DFA

→  
b → 0 a's → 1b  
ab → accepted → 1 a's → 1b  
aab → accepted → 2's → 1b  
aaab  
aaaaab



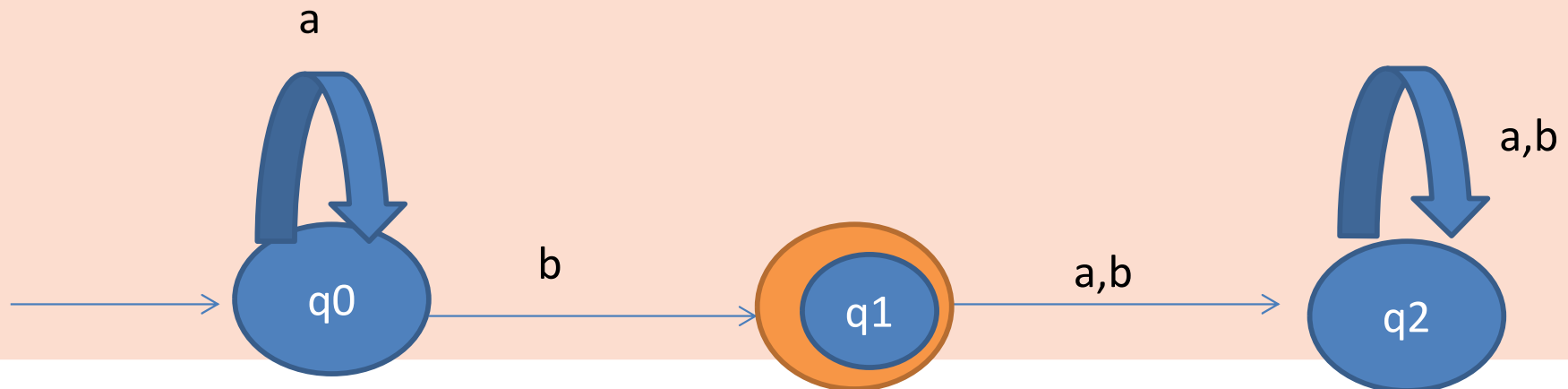
Recognizing  
lang from DFA

# DESIGNING FINITE AUTOMATA

Example 12-Recognize the language given by following DFA

$$\Rightarrow L = \{a^n b \mid n \geq 0\}$$

any occurrences of  $a$ , 1 occurrence of  $b$





# DESIGNING FINITE AUTOMATA

## Example 13

Design a DFA over  $\Sigma=\{a,b\}$  for  $(ab)^n$  for  $n \geq 0$

# DESIGNING FINITE AUTOMATA

## Example 14

Design a DFA over  $\Sigma=\{a,b\}$  for  $(ab)^n$  for  $n \geq 1$

# DESIGNING FINITE AUTOMATA

## Example 15

Design a FA with  $\Sigma = \{0, 1\}$  accepts the only input 101.

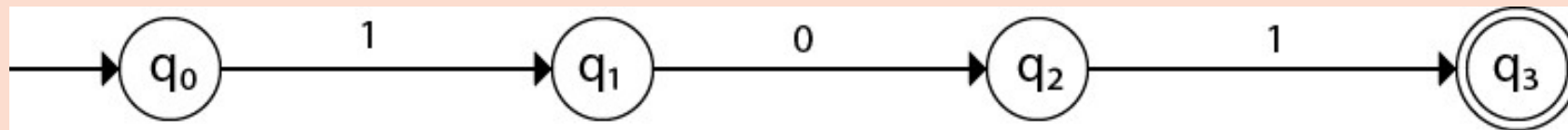


Fig: FA