# Algebra II

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# Chapter 1

# Module theory

# 1.1 Definition, examples and basis properties

#### 1.1.1 Definition of module

We give two definition to describe module. Actually, they are equivalent.

**Definition 1.1.1** (module 1). Let A be a ring. A left A-module is an abelian group M (written additively) on which A acts linearly:  $A \times M \longrightarrow M \atop (a,x) \mapsto ax$  s.t.

- M1:  $a(x+y) = ax + ay \ \forall a \in A, x \in M$
- M2:  $(a + b)x = ax + bx \ \forall a, b \in A, x \in M$
- M3:  $(ab)x = a(bx) \ \forall a, b \in A, x \in M$
- M4:  $1 \cdot x = x \ \forall x \in M$

**Definition 1.1.2** (module 2). Let A be a ring. A left A-module is an abelian group M with a ring homomorphism  $f: A \to \text{End}(M)$ 

**Property 1.1.1.** Two definition of module are equivalent.

#### **Proof:**

(1 
$$\Rightarrow$$
 2) Define  $f: A \longrightarrow \operatorname{End}(M)$   
 $a \longmapsto f(a): x \mapsto ax$ 

- M1 $\leadsto f(a)(x+y) = a(x+y) = ax + ay = f(a)(x) + f(a)(y) \leadsto f(a) \in \operatorname{End}(M)$
- $M2 \rightarrow f(a+b)(x) = (a+b)x = ax+bx = f(a)(x)+f(b)(x) = (f(a)+f(b))(x) \ \forall x \in M$
- M3:  $f(ab)(x) = (ab)x = a(bx) = f(a)(bx) = f(a) \circ f(b)(x) \ \forall x \in M$
- M4:  $f(1)(x) = 1 \cdot x = x \ \forall x \in M$ Hence, f is a ring homomorphism.

 $(2\Rightarrow 1)$  Define  $\begin{matrix} A\times M & \longrightarrow & M \\ (a,x) & \longmapsto & f(a)x \end{matrix}$  and reverse all in  $(1\Rightarrow 2)$  which satisfy 4 law of module.

**Remark 1.1.1.** a left A-module = a represention of A

#### Remark 1.1.2.

- When A is commutative, a left module is a right module  $(ax \leftrightarrow xa)$ pf. Only need to check M3: (ab)x = a(bx) = a(xb) = (xb)a = x(ba) = x(ab)
- The **opposite ring** of  $A: A^{\circ}$  is a ring s.t.  $(A^{\circ}, +) = (A, +)$  and  $(A^{\circ}, \cdot)$  is defined by  $a \cdot b = b \cdot a \ \forall a, b \in A$

A right A-module is an abelian group M with a ring homo.  $g: A^{\circ} \longrightarrow \operatorname{End}(M)$   $a \longmapsto g(a): z \mapsto xa$  $M_3: g(a \circ b)(x) = x(ba) = (xb)a = g(a)(xb) = g(a) \circ g(b)(x) \ \forall x \in M$ 

#### Example 1.1.1.

• An abelian group G is a  $\mathbb{Z}$ -module

$$\forall m \in \mathbb{Z}, \forall x \in G, \text{ define } mx = \begin{cases} \underbrace{x + \dots + x}_{m \text{ times}} & \text{if } m \ge 0 \\ \underbrace{(-x) + \dots + (-x)}_{m \text{ times}} & \text{if } m < 0 \end{cases}$$

- A itself is an A-module
- A left(right) ideal I of A is a left(right) A-module

**Property 1.1.2.** Any left(right) A-submodule of A is a left(right) ideal of A

**Definition 1.1.3.** An A-module homo.  $\varphi: M \to N$  is an additive group s.t.  $\varphi(ax) = a\varphi(x) \ \forall a \in A, x \in M$ 

**Property 1.1.3.** ker  $\varphi$  is a submodule of M and Im  $\varphi$  is a submodule of N

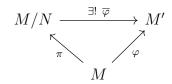
**Definition 1.1.4.** Let N be a submodule of M. The quotient modules is M/N:

$$\begin{array}{ccc} A \times M/N & \longrightarrow & M/N \\ (a, \overline{x}) & \longrightarrow & \overline{ax} \end{array}$$

Well defined:  $\overline{x_1} = \overline{x_2} \rightsquigarrow x_1 - x_2 \in N \rightsquigarrow ax_1 - ax_2 = a(x_1 - a_2) \in N \rightsquigarrow \overline{ax_1} = \overline{ax_2}$ 

#### **Theorem 1.1.1.** Basic theorems

• Factor thm. : Given  $\varphi: M \to M'$  and  $N \subseteq M$  s.t.  $N \subseteq \ker \varphi$ , then



- 1st isom.thm. : Given  $\varphi: M \to N$ , then  $M/\ker \varphi \simeq \operatorname{Im} \varphi$
- 2nd isom.thm. : Given  $N_1, N_2 \subseteq M$ , then  $(N_1 + N_2)/N_1 \simeq N_1/(N_1 \cap N_2)$
- 3rd isom.thm. : Given  $N \subseteq N$

and 
$$(M/N)/(M'/N) \simeq M/M'$$

**Definition 1.1.5** (cokernel). 
$$\operatorname{coker} \varphi := N / \ker \varphi$$
  
  $\rightsquigarrow \varphi \text{ is } 1 - 1 \iff \ker \varphi = \{0\}, \ \varphi \text{ is onto} \iff \operatorname{coker} \varphi = \{0\}$ 

## 1.1.2 Important examples

#### Homomorphism group

**Definition 1.1.6** (Homomorphism group).  $\operatorname{Hom}_A(M, N)$  is the set of all A-module homomorphism :  $M \to N$ . Define

$$(f+g)(x) = f(x) + g(x) \ \forall x \in M$$

then  $\operatorname{Hom}_A(M,N)$  has abelian group structure.

- When A is commutative,  $\operatorname{Hom}_A(M,N)$  has an A-module structure:
  - •• For  $a \in A$ ,  $f \in \text{Hom}_A(M, N)$ , define  $(af)(x) := f(ax) \ \forall x \in M$ 
    - •••  $af \in \text{Hom}_A(M, N)$ : (af)(x+y) = f(a(x+y)) = f(ax+ay) = f(ax) + f(ay) = (af)(x) + (af)(y)((a+b))f(x) = f((a+b)x) = f(ax+bx) = f(ax) + f(bx) = (af)(x) + (bf)(x) = (af+bf)(x)
    - ••• Module law : M1,M2,M4 is obvious. M3 : ((ab)f)(x) = f((ab)x) = f((ba)x) = f(b(ax)) = (bf)(ax) = (a(bf))(x)

**Definition 1.1.7** (bimodule). If M is left A-module and right B-module and (ax)b = a(xb), then we say  ${}_{A}M_{B}$  is A, B-bimodule

- Given  ${}_AM_B$ ,  ${}_AN$ , then  $\operatorname{Hom}_A(M,N)$  is a left B-module.  $\forall b \in B, f \in \operatorname{Hom}_A(M,N)$ , define  $(bf)(x) := f(xb) \ \forall x \in M$ 
  - •• (bf)(ax) = f((ax)b) = f(a(xb)) = af(xb) = a(bf)(x)
  - •• ((ab)f)(x) = f(x(ab)) = f((xa)b) = (bf)(xa) = (a(bf))(x)
- Given  ${}_AM_B, N_B$ , then  $\operatorname{Hom}_B(M,N)$  is a right A-module.  $\forall a \in A, f \in \operatorname{Hom}_B(M,N)$ , define  $(fa)(x) := f(ax) \ \forall x \in M$ 
  - •• (fa)(xb) = f(a(xb)) = f((ax)b) = f(ax)b = (fa)(x)b
  - •• (f(ab))(x) = f((ab)x) = f(a(bx)) = (af)(bx) = ((fa)b)(x)

- Given  ${}_AM, {}_AM_B, \operatorname{Hom}_A(M,N)$  has a right B-module structure. (fb)(x) = f(x)b
- Given  $M_{B,A}M_{B,A}M_{B,A}$  Hom<sub>B</sub>(M,N) has a left A-module structure. (af)(x)=af(x)

#### Vector space and polynomial

Let k be a field and V be a k-vector space, then V is a k-module.  $\forall T \in \text{Hom}_k(V, V) \leadsto V$  has a k[x]-module structure corresponding to T. Define

$$\varphi: k[x] \longrightarrow \operatorname{End}(V)$$
 $f(x) \longmapsto f(T)$ 

- $\varphi(f(x) + g(x)) = f(T) + g(T) = \varphi(f(x)) + \varphi(g(x))$
- $\varphi(f(x)g(x)) = f(T) \circ g(T) = \varphi(f(x)) \circ \varphi(g(x))$

#### representation of group

Let G be a finite group with |G| = n, say  $G = \{g_1, ..., g_n\}$ Consider  $V = \mathbb{R}g_1 \oplus \cdots \oplus \mathbb{R}g_n$  and define

$$\forall g \in G, \ \rho_g : \sum_{i=1}^n r_u g_i \longmapsto \sum_{i=1}^n r_i (gg_i) \leadsto \rho_g \in GL(V)$$

then  $\varphi: G \longleftarrow GL(V)$  is the regular representation of G.

**Definition 1.1.8** (group ring).  $R[G] := \left\{ \sum_{g \in G}^{\text{finite}} a_g g : a_g \in R \right\}$  is called a **group** ring of G over R with

$$\sum a_g g + \sum b_g g = \sum (a_g + b_g) g$$
$$\left(\sum a_g g\right) \left(\sum b_g g\right) = \left(\sum_{g,g' \in G} a_g b_{g'} g g'\right)$$

#### Example 1.1.2.

- $G = \langle g \rangle$  with  $g^3 = e \implies \mathbb{C}[G] = \mathbb{C} \oplus \mathbb{C}g \oplus Cg^2 \simeq \mathbb{C}[x]/\langle x^3 1 \rangle$
- $\mathbb{Z}$  is  $\mathbb{Z}[x]$ -module:  $\mathbb{Z} \simeq \mathbb{Z}[x]/\langle x \rangle$  is a  $\mathbb{Z}[x]$ -module and thus  $f(x) \cdot n := f(x)\overline{n} = \overline{f(0)n}$

# 1.2 Free module

**Example 1.2.1.**  $A^n = A \times \cdots \times A$  (*n* times) with

$$(a_1, ..., a_n) + (b_1, ..., b_n) = (a_1 + b_1, ..., a_n + b_n)$$
  
 $a(a_1, ..., a_n) = (aa_1, ..., aa_n)$ 

is an A-module. Let  $e_i = (0, ..., 1, ..., 0)$  (i-th entry is 1 and others are 0)  $\forall i = 1, ..., n$ Then  $(a_1, ..., a_n) = \sum_{i=1}^n a_i e_i$  and  $\sum_{i=1}^n a_i e_i = 0 \iff a_i = 0 \ \forall i$ 

**Definition 1.2.1** (basis). Given an A-module M. A nonempty set S is called a **basis** for M if S is linearly independent and generate M.

**Property 1.2.1.** If an A-module M has a basis  $\{x_1,...,x_n\}$ , then  $M \simeq A^n$ 

**Proof:** Define 
$$\varphi: A^n \longrightarrow M \atop e_i \longmapsto x_i$$
 and extend by linearity 
$$\sum_{i=1}^n a_i e_i \in \ker \varphi \iff \sum_{i=1}^n a_i e_i = 0 \iff a_i = 0 \ \forall i, \text{ so } \varphi \text{ is } 1 - 1 \rightsquigarrow M \simeq A^n \quad \square$$

By this Property, you may ask if  $M \simeq N$  and M, N has a finite basis  $\beta_1, \beta_2$ , respectively. Will it implies  $|\beta_1| = |\beta_2|$  like we learn in vector space? In others word, does

$$A^n \simeq A^m \implies n = m$$

will holds? Actually, it does hold forever. We see this example first.

**Example 1.2.2.** We construct a module A with  $A^2 \simeq A$ 

Let V be a k-vector space with an infinite countable basis  $\{e_1, e_2, ...\}$ 

Let  $A = \operatorname{Hom}_k(V, V) \leadsto (A, +, \circ)$  forms a ring.

Define 
$$\varphi: A \longrightarrow A \times A$$
  
 $T \longmapsto (T_1, T_2)$ , where 
$$\begin{cases} T_1(e_k) = T(e_{2k-1}) \\ T_2(e_k) = T(e_{2k}) \end{cases}$$

It is clear that  $\varphi$  is a module homomorph

- $\varphi$  is  $1-1: T=0 \iff T_1=0 \text{ and } T_2=0$
- $\varphi$  is onto : Given  $T_1, T_2$  can decide unique T

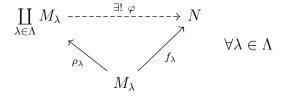
Hence,  $A \simeq A^2$ 

**Remark 1.2.1.** Similarly,  $A \simeq A^n \ \forall n \in \mathbb{N} \leadsto A^n \simeq A^m \ \forall m, n \in \mathbb{N}$ 

**Definition 1.2.2** (direct sum). Given a family of A-module  $\{M_{\lambda} : \lambda \in \Lambda\}$ , the direct sum

$$\coprod_{\lambda \in \Lambda} M_{\lambda}$$

of  $\{M_{\lambda} : \lambda \in \Lambda\}$  is an A-module with injections  $\rho_{\lambda} : M_{\lambda} \to \coprod_{\lambda \in \Lambda} M_{\lambda} \ \forall \lambda \in \Lambda \text{ s.t. } \forall N$ with A-module homo.  $f_{\lambda}: M_{\lambda} \to N \ \forall \lambda \in \Lambda$ , then  $\exists ! A$ -module homomorphism  $\varphi$ let the diagrams commute.



**Property 1.2.2.**  $\coprod M_{\lambda}$  is exists and unique up to isomorphism. (unique be proved by universal property)

**Proof:** Define

$$\coprod_{\lambda} M_{\lambda} := \{ (x_{\lambda})_{\lambda \in \Lambda} : x_{\lambda} \in M_{\lambda} \text{ and almost all of the } x_{\lambda} \text{ are zero} \}$$

and the operation on it.

• 
$$(x_{\lambda})_{{\lambda} \in {\Lambda}} + (y_{\lambda})_{{\lambda} \in {\Lambda}} = (x_{\lambda} + y_{\lambda})_{{\lambda} \in {\Lambda}}$$

• 
$$a(x_{\lambda})_{{\lambda} \in {\Lambda}} = (ax_{\lambda})_{{\lambda} \in {\Lambda}}$$
  
So it is a A-module.

• Define the injection  $\rho_{\lambda}$ :

$$\rho_{\lambda}: M_{\lambda} \longrightarrow \coprod_{\lambda} M_{\lambda} \quad \text{with} \begin{cases} y_{\lambda} = x_{\lambda} \\ y_{\lambda'} = 0 \end{cases}$$

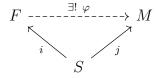
• Given  $f_{\lambda}: M_{\lambda} \to N$ 

$$\rho_{\lambda}(x_{\lambda}) \in \coprod_{\lambda \in \Lambda} M_{\lambda} \xrightarrow{\exists 1 \ \varphi} N \ni f_{\lambda}(x_{\lambda})$$

$$x_{\lambda} \in M_{\lambda}$$

define  $\varphi((x_{\lambda})_{\lambda \in \Lambda}) = \sum_{\text{finite}} f_{\lambda}(x_{\lambda})$  is a module homomorphism.

**Definition 1.2.3** (free module). An A-module F is said to be **free** on a nonempty set S if  $\exists$  a mapping  $i: S \to F$  s.t. giving any mapping  $j: S \to M$ , where M is an A-module. Then  $\exists$ ! A-module homomorphism  $\varphi$  let the diagrams commute.

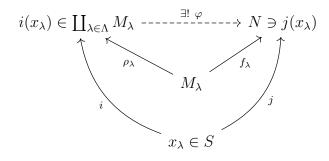


**Theorem 1.2.1.** Given  $S \neq \emptyset$ , F exists and it is unique up to isomorphism.

**Proof:** Assume that  $S = \{x_{\lambda} : \lambda \in \Lambda\}$ . Consider  $M_{\lambda} = Ax_{\lambda}$ 

Define 
$$F = \coprod_{\lambda \in \Lambda} M_{\lambda}$$
. Given  $j: S \to M$ , define  $f_{\lambda}: M_{\lambda} \longrightarrow M$   $ax_{\lambda} \longmapsto aj(x_{\lambda})$ 

By the universal property of direct sum,



Define 
$$i(x_{\lambda}) = \rho(x_{\lambda})$$
, then  $\varphi \circ i = j$  is commute.  
(Actually, we can choose  $M_{\lambda} = A$  is also be a possible way.)

**Theorem 1.2.2.** Let A be a non-trivial commutative ring and  $|S| < \infty$ . Then all bases of F have the same number of element. Then we called F has **IBN** (**Invariant** basis number).

**Proof:** Let  $S = \{x_1, ..., x_n\}$ . Then  $F \simeq \coprod_{i=1}^n Ax_i \simeq A^n$ . For another basis  $\{y_1, ..., y_m\}$ , then  $F \simeq A^m$ 

Claim: 
$$A^n \simeq A^m \iff n = m$$

$$pf. \text{ Let } e = \begin{pmatrix} e_1 \\ e_2 \\ \vdots \\ e_n \end{pmatrix}, f = \begin{pmatrix} f_1 \\ f_2 \\ \vdots \\ f_m \end{pmatrix}, \text{ where } \{e_i\}.\{f_j\} \text{ be the standard basis for }$$

 $(e_n)$   $(J_m)$   $A^n, A^m, \text{ respectively.} \quad \text{Then } \begin{cases} f = Qe & \text{with } Q \in M_{m \times n}(A) \\ e = Pf & \text{with } P \in M_{n \times m}(A) \end{cases} \implies f = QPf \implies QP = I_m, \text{ otherwise } f_1, ..., f_m \text{ will have non-trivial linearly relation.}$   $\text{Assume that } n < m, \text{ set } Q_1 = (Q \cap Q), P_1 = \begin{pmatrix} P \\ Q \end{pmatrix} \implies Q_1P_1 = (QP) = I_m$ 

Assume that 
$$n < m$$
, set  $Q_1 = \begin{pmatrix} Q & O \end{pmatrix}$ ,  $P_1 = \begin{pmatrix} P \\ O \end{pmatrix} \implies Q_1 P_1 = (QP) = I_m$   
 $\rightsquigarrow 0 = \det Q_1 \det P_1 = \det I_m = 1 \ (\rightarrow \leftarrow)$ 

**Definition 1.2.4** (rank). If A has IBN and M is an A-module have a finite basis  $\beta$ , then we say M is **free of rank** n, where  $n = |\beta|$ .

**Theorem 1.2.3.** Let F be a free A-module. If F has an infinite basis S, then for any other basis S' of F, we have |S| = |S'|

#### **Proof:**

- $|S'| = \infty$ : Assume that  $|S'| < \infty$ , say  $S' = \{x'_1, ..., x'_n\} \leadsto \exists \{x_1, ..., x_n\} \subset S$  s.t.  $S' \subseteq \langle x_1, ..., x_n \rangle_A \leadsto F = \langle S' \rangle_A \subseteq \langle x_1, ..., x_n \rangle_A \subseteq F \leadsto F = \langle x_1, ..., x_n \rangle$ . Since  $|S| = \infty, \exists x \in S \setminus \{x_1, ..., x_n\} \leadsto x \in \langle x_1, ..., x_n \rangle_A$ , but  $x, x_1, ..., x_n$  are linearly independent.  $(\rightarrow \leftarrow)$
- $|S| = \infty, |S'| = \infty$ . Assume that  $|S'| \le |S|$ Recall that if  $\mathcal{B} = \{T \subseteq S' : |T| < \infty\}$  and  $|S'| = \infty$ , then  $|\mathcal{B}| = |S'|$ Let  $T = \{y'_1, ..., y'_k\} \subseteq S'$  and let  $S_T = \{y \in S | y \in \langle T \rangle_A\}$ 
  - ••  $|S_T| < \infty : \langle T \rangle_A \subseteq \langle y_1, ..., y_n \rangle_A$  for some  $\{y_1, ..., y_n\} \subset S$  $\rightarrow S_T \subseteq \langle T \rangle_A \subseteq \langle y_1, ..., y_n \rangle_A$ . By linear independence of  $S, S_T \subseteq \{y_1, ..., y_n\}$
  - ••  $|S| \leq |S'|$ : Let  $\mathcal{B} = \{T \subseteq S' : |T| < \infty\}$ . Since  $|S'| = \infty, |\mathcal{B}| = |S'|$ Define

$$f: S \longrightarrow \mathcal{B}$$

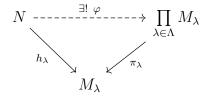
$$\sum_{i=1}^{k} a_i y_i' = y \longmapsto \{y_1', ..., y_k'\}$$

which is well-defined since S' is linearly independent.

For  $T \in \mathcal{B}$ ,  $y \in f^{-1}(T) \iff t \in S_T \leadsto |f^{-1}(T)| < \infty$ . Hence,

$$|S| = \left| \bigcup_{T \subseteq \mathcal{B}}^{\text{finite}} f^{-1}(T) \right| \le |\mathcal{B}| \aleph_0 = |\mathcal{B}| = |S'|$$

**Definition 1.2.5** (direct product). Given a family of A-modules  $\{M_{\lambda} : \lambda \in \Lambda\}$ , the **direct product**  $\prod_{\lambda \in \Lambda} M_{\lambda}$  is an A-module with projections :  $\pi_{\lambda} : \prod_{\lambda \in \lambda} M_{\lambda} \to M_{\lambda} \ \forall \lambda$  s.t. for any A-module N with  $h_{\lambda} : N \to M_{\lambda} \ \forall \lambda$ , then  $\exists !$  A-module homomorphism  $\varphi$  let the diagram commute.



#### **Definition 1.2.6.** Define

$$\prod_{\lambda \in \Lambda} M_i = \{ (x_\lambda)_{\lambda \in \Lambda} : x_\lambda \in M_\lambda \ \forall \lambda \}$$

and the operation on it.

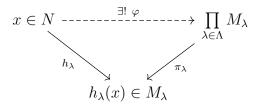
- $(x_{\lambda})_{{\lambda}\in{\Lambda}} + (y_{\lambda})_{{\lambda}\in{\Lambda}} = (x_{\lambda} + y_{\lambda})_{{\lambda}\in{\Lambda}}$
- $a(x_{\lambda})_{{\lambda}\in{\Lambda}}=(ax_{\lambda})_{{\lambda}\in{\Lambda}}$

So it is a A-module.

• Define the projection  $\pi_{\lambda}$ 

$$\pi_{\lambda}: \prod_{\substack{\lambda \\ (x_{\lambda})_{\lambda \in \Lambda}}} M_{\lambda} \longrightarrow M_{\lambda}$$

• Given  $h_{\lambda}: N \to M_{\lambda}$ 



define  $\varphi(x) = (h_{\lambda}(x))_{\lambda \in \Lambda}$  is a module homomorphism by checking every component independently.

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Now, we can mix all together.

**Property 1.2.3.** By universal property, it is clear that (connected means isomorphism) and the last two will not isomorphism for general cases.

## 1.3 Direct limit and inverse limit

#### 1.3.1 Definition

**Definition 1.3.1** (poset).  $(P, \leq)$  is called a poset if

- $a \leq a$
- If a < b, b < a, then a = b
- If  $a \le b, b \le c$ , then  $a \le c$

**Definition 1.3.2** (directed set). A set *I* is called **directed set** if

- I is a poset
- $\forall i, j \in I \ \exists k \in I \ \text{s.t.} \ i \leq k \ \text{and} \ j \leq k$

**Definition 1.3.3** (direct system). Let A be a ring, I be a directed set and  $(M_i)_{i \in I}$  is a family of A-module. A collection of morphism

- $\forall i \leq j, \mu_{ij} : M_i \to M_j$  is an A-module homorphism
- $\mu_{ii} = id$
- $\forall i \leq j \leq k, \mu_{ik} = \mu_{ik} \circ \mu_{ij}$

is called a **direct system** over I and denote  $((M_i)_{i\in I}, \mu_{ij})$ 

**Definition 1.3.4** (direct limits).

#### Construction:

Let  $C := \bigoplus_{i \in I} M_i$  and D := A-module generate by all  $x_i - \mu_{ij}(x_i)$ , which is a submodule generate by the relation

$$M_i \ni x_i \sim x_j \in M_j \iff \exists k \in I \text{ s.t. } i \le k, j \le k, \mu_{ik}(x_i) = \mu_{jk}(x_j)$$

Then define

$$\varinjlim M_i := C/D$$

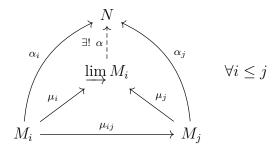
is an A-module. We further consider

$$M_i \xrightarrow{\text{injection}} C \xrightarrow{can.} \varinjlim M_i$$

and define  $\mu_i: M_i \to \underline{\lim} M_i$ , then  $\mu_i = \mu_j \circ \mu_{ij}$ 

#### Universal Property

For all A-module N with module homomorphism  $\alpha_i : M_i \to N$  s.t.  $\alpha_i = \alpha_j \circ \mu_{ij}$   $\forall i \leq j$ , then  $\exists ! \alpha : \varinjlim M_i \to N$  let the diagram commute.



#### Construction of $\alpha$

Define  $\alpha : \underline{\lim} M_i \to N$  by

$$\alpha((x_i)_{i \in I} + D) = \sum_{i \in I}^{\text{finite}} \alpha_i(x_i)$$

First, We check that  $\alpha$  is well-defined :

If  $(x_i)_{i\in I} + D = (y_i)_{i\in I} + D \implies (x_i - y_i)_{i\in I} \in D$ . By definition of D and I is directed set, we can find  $k \in I$  s.t.

$$\sum_{i \in I}^{\text{finite}} \mu_{ik}(x_i - y_i) = 0$$

Take  $\mu_k$  in both side, then

$$\sum_{i \in I}^{\text{finite}} \mu_i(x_i - y_i) = 0 \implies \alpha((x_i)_{i \in I} + D) = \alpha((y_i)_{i \in I} + D)$$

Second, we check that  $\alpha_i = \alpha \circ \mu_i$ : Trivial.

**Definition 1.3.5** (inverse system). Let A be a ring, I be a directed set and  $(M_i)_{i \in I}$  is a family of A-module. A collection of morphism

- $\forall i \leq j, \pi_{ji} : M_j \to M_i$  is A-module homomorphism
- $\pi_{ii} = \mathrm{id}$
- $\pi_{ki} = \pi_{ji} \circ \pi_{kj} \ \forall \ i \leq j \leq k$

is called a **inverse system** over I and denote  $((M_i)_{i \in I}, \pi_{ij})$ 

**Definition 1.3.6** (inverse limits).

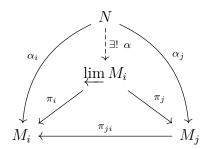
#### Construction

$$\varprojlim M_i := \left\{ (x_i)_{i \in I} \in \prod_{i \in I} M_i : \forall i \le j, \pi_{ji}(x_j) = x_i \right\}$$

is an A-module. Define projections  $\pi_i : \varprojlim M_i \to M_i$ , then  $\pi_i = \pi_{ji} \circ \pi_i \ \forall i \leq j$ 

#### Universal property

For any A-module N and  $\alpha_i : N \to M_i$  with module homomorphism  $\alpha_i = \pi_{ji} \circ \alpha_j$   $\forall i \leq j$ , then  $\exists ! \ \alpha : N \to \varprojlim M_i$  let the diagram commute.



#### Construction $\alpha$

Define  $\alpha: N \to \varprojlim M_i$  by

$$\alpha(x) = (\alpha_i(x))_{i \in I}$$

Since 
$$\pi_{ji}(\alpha_j(x)) = \alpha_i(x) \ \forall i \leq j \implies (\alpha_i(x))_{i \in I} \in \varprojlim M_i$$
  
And it is clear that  $\pi_i \circ \alpha = \alpha_i \ \forall i$ 

## 1.3.2 Examples

#### Ring of p-adic number

Define

$$\mathbb{Z}_p := \varprojlim \mathbb{Z}/p^n \mathbb{Z} = \left\{ (a_i)_{i \in \mathbb{N}} \in \prod_{i=1}^{\infty} \mathbb{Z}/p^n \mathbb{Z} : \forall j \geq i, a_j - a_i \equiv 0 \pmod{p^i} \right\}$$

with

$$\begin{array}{cccc} \pi_{ji}: & \mathbb{Z}/p^{j}\mathbb{Z} & \longrightarrow & \mathbb{Z}/p^{i}\mathbb{Z} \\ & \overline{a} & \longmapsto & \overline{a} \end{array}$$

is called **ring of** p**-adic number** 

Now, consider  $\mathbb{Z} \hookrightarrow \mathbb{Z}_p$  with  $a \mapsto (a_i)_{i \in \mathbb{N}}$ , where  $a_n = \overline{a} \in \mathbb{Z}/p^n\mathbb{Z}$ 

- $\mathbb{Z}_p$  is a domain
- $\mathbb{Q}_p := \operatorname{Quot}(\mathbb{Z}_p)$  is a fraction field of  $\mathbb{Z}_p$
- Define a metric  $d_p$  on  $\mathbb{Z}_p$ :

•• For  $x = (x_n)_{i \in \mathbb{N}}, y = (y_n)_{i \in \mathbb{N}}$ , define

$$d_n(x,y) = p^{-\max\{i|y_i-x_i=0\}}$$

••• 
$$d_p(a,b) = 0 \iff a_n = b_n \ \forall n \iff a = b$$

••• 
$$d_p(a,b) = d_p(b,a)$$

••• 
$$d_n(a,c) \leq \max\{d_n(a,b),d_n(b,c)\}$$

•• Claim:  $\mathbb{Z}_p$  is a complection of  $\mathbb{Z}$  under  $d_p$ 

Given  $(x_n)$  be a Cauchy sequence in  $\mathbb{Z}_p$ , which means  $\forall \varepsilon > 0, \exists N \in \mathbb{N}$  s.t.

$$\forall n > m \geq N, \ d_p(x_n, x_m) < \varepsilon$$

Notice that

$$d_p(x_n, x_m) \le \max\{d_p(x_n, x_{n-1}), ..., d_p(x_{m+1}, x_m)\}\$$

So we can rewrite the condition:

$$\forall \varepsilon > 0 \ \exists N \in \mathbb{N} \ \text{s.t.} \ \forall n \geq N, d_p(x_{n+1}, x_n) < \varepsilon$$

Choose  $\varepsilon = p^{-k}$ , then exists  $N \in \mathbb{N}$  s.t.  $\forall n \geq N, d_p(x_{n+1}, x_n)$ 

Let  $a_n$  equal to the k-th term of  $x_N$ , then  $(x_n) \to (a_i)_{i \in \mathbb{N}}$ . For i < j, there exists  $x_n \in (x_n)$  s.t.  $x_n$  and  $(a_i)_{i \in \mathbb{N}}$  have same first k-terms, so  $(a_i)_{i \in \mathbb{N}} \in \mathbb{Z}_p$ 

#### 1.3.3 Stalk

Let X, Y be two topological space, for fix  $x \in X$ . We want to express a set of functions (denoted  $C_x$ ) which are defined near a point x.

For a open set  $U \subseteq X$  , define  $C(U) := \{f : U \to Y \text{ is continuous}\}\$ 

$$I := \{ U \subseteq_{\text{open}} X : x \in U \} \text{ with } U \leq V \iff V \subseteq U$$

Construct a direct system over  $I: \forall u \leq v$ 

$$r_{u,v}: C(u) \longrightarrow C(v)$$
  
 $f \longmapsto f|_{v}$ 

Let  $C_x := \underline{\lim} C(u)$ 

The relation D for  $\varphi_u \in C(u), \varphi_v \in C(v)$  is

$$\varphi_u \sim \varphi_v \iff \varphi_u|_w = \varphi_v|_w \text{ for some } w \in u \cap v$$

# 1.4 Modules over a PID

In this section, R is a PID.

**Theorem 1.4.1.** Any submodule of  $\mathbb{R}^n$  is free of rank at most n.

**Proof:** By induction on n. n=1: Submodule of a ring R is an ideal, say  $0 \neq I \subseteq R \leadsto I = \langle a \rangle_R = Ra$ , where  $a \neq 0$ . Consider  $\begin{cases} R & \longrightarrow & Ra \\ r & \longmapsto & ra \end{cases}$ , since R is integral domain,  $ra = 0 \iff r0$ . Hence,  $Ra \simeq R$ .

For n > 1, let N be a submodule of  $\mathbb{R}^n$ . Consider the projection

$$P: \begin{array}{ccc} R^n & \longrightarrow & R \\ (x_1, ..., x_n) & \longmapsto x_1 \end{array}$$

and  $\overline{P}: N \to R$  is the restriction on N.

- Case1. : Im  $\overline{P} = \{0\} \leadsto N \subseteq \ker P_1 \simeq \mathbb{R}^{n-1}$ , by induction hypothesis, N is free of rank  $\leq n-1$
- Case 2. : Im  $\overline{P} \neq \{0\}$  is a ideal in R, write Im  $\overline{P} = \langle a \rangle$  and  $\overline{P}(x) = a$  for some  $x \in N$

Claim:  $N = \ker \overline{P} \oplus Rx$ 

- ••  $\ker \overline{P} \cap Rx = \langle 0 \rangle : 0 = \overline{P}(rx) = r\overline{P}(x) = ra \in R \implies r = 0 \implies rx = 0$
- ••  $N = \ker \overline{P} + Rx : \forall y \in N, \ \overline{P}(y) = ra = \overline{P}(rx) \implies \overline{P}(y rx) = 0 \implies y rx \in \ker \overline{P} \implies y \in \ker \overline{P} + Rx$

Since  $N = \ker \overline{P} \oplus Rx$  and

$$\begin{cases} \ker \overline{P} \subseteq \ker P \subseteq R^n \leadsto \ker \overline{P} \text{ is free of rank } \leq n-1 \\ rx = 0 \iff 0 = \overline{P}(rx) = ra \in R \leadsto r = 0 \leadsto Rx \simeq R \text{ is free of rank1} \end{cases}$$

 $\implies N$  at most free of rank n.

**Observation:** Let  $M = \langle x_1, ..., x_n \rangle_R$ 

 $M \simeq R^n / \ker f$  and  $(f_1 \quad f_2 \quad \cdots \quad f_m) = (e_1 \quad e_2 \quad \cdots \quad e_n) A$  for some  $A \in M_{n \times m}(R)$ .

**Theorem 1.4.2.** Let  $A \in M_{n \times m}(R)$ . Then  $\exists P \in GL_n(R), Q \in GL_m(R)$  s.t.

$$PAQ = \begin{pmatrix} d_1 & & & & & O \\ & d_2 & & & & \\ & & \ddots & & & \\ & & & d_r & & \\ & & & 0 & & \\ & & & \ddots & \\ O & & & & 0 \end{pmatrix}$$

with  $d_i|d_{i+1}$ 

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Before we prove the theorem, we give some notations.

#### Notation 1.4.1.

• 
$$P_{ij} = I_n - e_{ii} - e_{jj} + e_{ij} + e_{ji} \leadsto \begin{cases} P_{ij}M : \text{ exchange } i, j\text{-row} \\ MP_{ij} : \text{ exchange } i, j\text{-column} \end{cases}$$
 and  $P_{ij}^2 = I_n$ 

• 
$$B_{ij}(a) = I_n + ae_{ij} \rightsquigarrow \begin{cases} B_{ij}(a)M : \text{add a times } j\text{-row to } i\text{-row} \\ MB_{ij}(a) : \text{add a times } i\text{-column to } j\text{-column} \end{cases}$$
 and  $B_{ij}(a)^{-1} = B_{ij}(-a)$ 

• 
$$D_i(a) = I_n - e_{ii} + a_{ii} \ (a \neq 0)$$

**Proof:** Define the length  $\ell(a)$  of non-unit a to be r if  $a = p_1 p_2 \cdots p_r$ ,  $p_i$ : prime (Since PID  $\Longrightarrow$  UFD) and  $\ell(a) = 0$  if a is a unit.

- (1) We may assume  $a_{11} \neq 0$  and  $\ell(a_{11}) \leq \ell(a_{ij}) \ \forall a_{ij} \neq 0$ : Let  $a_{st}$  is non-zero and having min length of  $\{a_{ij} : \forall i, j\}$ , then exchange 1-row,s-row and 1-column,t-column
- (2) We may assume  $\begin{cases} a_{11} | a_{1k} & \forall k = 2, ..., m \\ a_{11} | a_{k1} & \forall k = 2, ..., n \end{cases}$ :

If  $a_{11} \not| a_{1k}$ , then exchange 2-column and k-column, we can assume  $a_{11} \not| a_{12}$ . Let  $a = a_{11}, b = a_{12}$  and  $d = \gcd(a, b)$  i.e.  $\langle d \rangle = \langle a, b \rangle \leadsto d = ax + by$  for some  $x, y \in R$  and  $\ell(d) < \ell(a)$ . Let  $a' = \frac{a}{d}, b' = \frac{b}{d}$ , notice that

$$\begin{pmatrix} a' & b' \\ y & -x \end{pmatrix} \begin{pmatrix} x & b' \\ y & -a' \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

which means

$$\begin{pmatrix} x & b' & O \\ y & -a' & O \\ O & I \end{pmatrix} \text{ is invertible and } \begin{pmatrix} a & b \\ a_{21} & a_{22} \end{pmatrix} \begin{pmatrix} x & b' & O \\ y & -a' & O \\ O & I \end{pmatrix} = \begin{pmatrix} d & 0 \\ & & \end{pmatrix}$$

If exists  $a_{k1}$  s.t.  $\ell(a_{k1}) < \ell(a_{11})$ , we can do similarly way. We use this algorithm until  $a_{11}|a_{1k}, a_{k1}| \forall k$ . Notice that the length of (1, 1)-entry in every step will strictly decrease, after finite number of steps, we have  $a_{11}|a_{1k}, a_{k1}|$ 

(3) After  $B_{k1}(-\frac{a_{k1}}{a_{11}})()$  and  $()B_{1k}(-\frac{a_{1k}}{a_{11}}) \forall k$ , we have

$$\begin{pmatrix} a_{11} & 0 & \cdots & 0 \\ 0 & b_{22} & \cdots & \\ \vdots & \vdots & & \\ 0 & & & b_{nm} \end{pmatrix}$$

(4) We may assume  $a_{11}|b_{k\ell} \ \forall k, \ell$ 

If  $a_{11}|b_{k\ell}$ , then we add the k-th row to the first row and using (2),(3), then the (1, 1)-entry will strictly decreasing, after finite number of steps, we have  $a_{11}|b_{k\ell}$ 

(5) Apply (1),(2),(3),(4) on 
$$\begin{pmatrix} b_{22} & \cdots & b_{2m} \\ \vdots & & & \\ b_{n2} & \cdots & b_{nm} \end{pmatrix}$$
 to get  $\begin{pmatrix} b_{22} & & \\ & C_{ij} \end{pmatrix}$  with  $b_{22}|c_{33}$ .

After finite step, we get  $a_{11}|a_{22}|\cdots$ 

**Remark 1.4.1.**  $d_1, d_2, ..., d_r$  are unique up to associates.

**Proof:**  $\Delta_k(A) := \text{the gcd of all } k\text{-th order minors}$  (Choose k rows and k columns, collect all intersection forms a submatrix and calculate the determinant) of A.

Let  $P = (p_{ij})_{n \times m}$ . Then

$$PA = \begin{pmatrix} \sum_{j=1}^{n} p_{1j} \left( a_{j1} & \cdots & a_{jm} \right) \\ \vdots & & \vdots \\ \sum_{j=1}^{n} p_{nj} \left( a_{j1} & \cdots & a_{jm} \right) \end{pmatrix}$$

and  $\det(PA)$  is linear combination of some k-th order minor of A. Hence,  $\Delta_k(A)|\Delta_k(PA)$ . Similarly,  $\Delta_k(A)|\Delta_k(AP)$ . If PAQ=B, then  $\Delta_k(A)|\Delta_k(B)$ . In other hand,  $P^{-1}BQ^{-1}=A$ , then  $\Delta_k(B)|\Delta_k(A)$ , which means  $\Delta_k(A)\simeq\Delta_k(B)=d_1d_2\cdots d_k$ . Hence,  $d_k\simeq A_k(A)/A_{k-1}(A)$ 

Goal: Let  $M = \langle x_1, ..., x_n \rangle_R \implies$ 

$$0 \longrightarrow R^m \xrightarrow{T} R^n \xrightarrow{f} M \longrightarrow 0$$

$$f_i \qquad e_i \longmapsto x_i$$

**Recall:** If  $T(f_i) = \sum_{j=1}^n a_{ji}e_j$ , then

$$(f_1 \cdots f_m) = (e_1 \cdots e_n) (a_{ij}) \implies A := (a_{ij}) = [T]_{\{f_i\}}^{\{e_i\}}$$

and

$$T(\sum_{i=1}^{m} x_i f_i) = \sum_{i=1}^{m} x_i T(f_i) = \sum_{i=1}^{m} \sum_{j=1}^{n} a_{ji} e_j = \sum_{j=1}^{n} (\sum_{i=1}^{m} x_i a_{ji}) e_j \implies \begin{pmatrix} y_1 \\ \vdots \\ y_n \end{pmatrix} = A \begin{pmatrix} x_1 \\ \vdots \\ x_m \end{pmatrix}$$

By Throrem 1.4.2,  $\exists P \in GL_n(R), Q \in GL_m(R)$  s.t.

$$PAQ = \begin{pmatrix} d_1 & & & & O \\ & \ddots & & & & \\ & & d_r & & & \\ & & & 0 & & \\ & & & \ddots & \\ O & & & & 0 \end{pmatrix} \text{ with } d_i | d_{i+1} \, \forall i$$

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Note :: T is 1-1:  $m = \dim \operatorname{Im} T = \operatorname{rank} A = r$ . Let

$$\begin{cases} \{u_1, ..., u_m\} \text{ be a basis for } R^m \text{ s.t. } (u_1 \cdots u_m) = (f_1 \cdots f_m)Q & \rightsquigarrow Q = [\mathrm{id}_{R^m}]_{\{u_i\}}^{\{f_i\}} \\ \{w_1, ..., w_n\} \text{ be a basis for } R^n \text{ s.t. } (w_1 \cdots w_n) = (e_1 \cdots e_n)P^{-1} & \rightsquigarrow P = [\mathrm{id}_{R^m}]_{\{e_i\}}^{\{w_i\}} \end{cases}$$

Hence, 
$$B = PAQ = [T]_{\{u_i\}}^{\{w_i\}} \implies T(u_i) = d_i w_i \ \forall i = 1 \sim m$$
. So

$$M \simeq \bigoplus_{i=1}^{n} Rw_i / \bigoplus_{i=1}^{m} Rd_i w_i \simeq \left( \bigoplus_{i=1}^{m} Rw_i / Rd_i w_i \right) \oplus \left( \bigoplus_{i=m+1}^{n} Rw_i \right)$$

Note that  $Rw_i \simeq R$ , since R is integral domain. Consider

$$\varphi: R \to Rw_i \to Rw_i/Rd_iw_i$$

$$r \mapsto rw_i \mapsto \overline{rw_i}$$

 $r \in \ker \varphi \iff rw_i = r'd_iw_i \iff r = r'd_i$ , thus  $\ker \varphi = \langle d_i \rangle$  and  $Rw_i/Rd_iw_i \simeq R/\langle d_i \rangle$ . Hence,  $M \simeq R/\langle d_1 \rangle \oplus \cdots \oplus R/\langle d_m \rangle \oplus R^{n-m}$ . If  $d_i$  is a unit, then  $\langle d_i \rangle = r \leadsto R/\langle d_i \rangle \simeq \langle 0 \rangle$ . Assume that  $d_1, d_2, ..., d_k$  are units and  $d_{k+1}, ..., d_m$  are not units, rewrite  $d_{k+1} = a_1, ..., d_m = a_\ell$ . Then

$$M \simeq R/\langle a_1 \rangle \oplus \cdots \oplus R/\langle a_\ell \rangle \oplus R^{n-m}$$

#### **Conclusion:**

**Theorem 1.4.3.** M is finite generated over a PID R, then

$$M \simeq R/\langle a_1 \rangle \oplus \cdots \oplus R/\langle a_\ell \rangle \oplus R^s$$

with  $a_i$  are non-unit and  $a_i|a_{i+1}$ .

**Remark 1.4.2.** In later section, we will prove that s is unique (then we called s is **rank** of M) and  $a_i$  are unique up to associate (we call  $a_i$  are **invariant factors**).

**Observation:** If M is finite generated over a PID, then  $M \simeq R/\langle a_1 \rangle \oplus \cdots$ , say  $z \longleftrightarrow \overline{1} \in R/\langle a_1 \rangle$ , then  $a_1z \longleftrightarrow a_1\overline{1} = \overline{a_1} = \overline{0} \in R/\langle a_1 \rangle$ , which means  $a_1z = 0$ . Then it is naturally to research the property of az = 0.

**Definition 1.4.1.** Let M be a R-module

- $ann(z) := \{r \in R : rz = 0\}$  is a left ideal of R is called **annihilate** of z.
- z is called a **torsion element** if ann  $\neq \langle 0 \rangle$
- $Tor(M) = \{torsion elements of M\}$ 
  - •• R is integral domain $\leadsto \operatorname{Tor}(M)$  is a submodule of M (is called **torsion submodule** of M)

If  $r_1z_1 = r_2z_2 = 0$  with  $r_1, r_2 \neq 0 \implies r_1r_2 \neq 0$ ,  $(r_1r_2)(z_1 + z_2) = r_2r_1z_1 + 0 = 0$  and  $\forall 0 \neq a \in R$ ,  $ar_1 \neq 0$  and  $r_1(az_1) = a(r_1z_1) = 0$ 

- M is a torsion module if Tor(M) = M
- M is torsion free if  $Tor(M) = \langle 0 \rangle$

(If M is finite generated over a PID, then  $M = \operatorname{Tor}(M) \oplus R^s$  and  $M/\operatorname{Tor}(M) \simeq R^s$  is free)

# 1.5 Structure theorem for finite generated PID-modules and applications

In this section, R is a PID and thus is a UFD.

#### 1.5.1 Structure theorem for finite generated PID-module

Although we had proved the existence of Structure theorem, but we hadn't proved the uniqueness. We will proved it in this section.

**Definition 1.5.1.** Let p be a prime element in R.

- $M(p) := \{x \in M : p^k x = 0 \text{ for some } k \in \mathbb{N}\}$  is called *p*-component
- $M^{(1)}(p) := \{x \in M : px = 0\}$

**Observation:**  $M^{(1)}(p)$  is a  $R/\langle p \rangle$ -module. Note :: R is a PID ::  $\langle p \rangle$  is a prime ideal  $\rightsquigarrow \langle p \rangle$  is a maximal ideal  $\rightsquigarrow R/\langle p \rangle$  is a field  $\rightsquigarrow M^{(1)}(p)$  is a  $R/\langle p \rangle$ -vector space. Let  $F = R/\langle p \rangle$ 

- If  $N \simeq R/\langle d \rangle$  with p|d. Write N = Ru with  $\operatorname{ann}(u) = \langle d \rangle$  and d = pq
  - ••  $N^{(1)}(p) \simeq F$ :
    - •••  $N^{(1)}(p) = \langle q \rangle / \langle d \rangle$ : Since  $r \in N^{(1)}(p) \leadsto rp = \overline{0}$  in  $R/\langle d \rangle \leadsto rp = r'd = r'pq \leadsto r = r'q$
    - •••  $\langle q \rangle / \langle d \rangle \simeq Rq/Rd \simeq R/\langle p \rangle$ : Consider

$$r \in \ker f \iff rq = r'd \iff r = r'p$$
. Thus,  $\ker f = \langle p \rangle$ 

- ••  $pN = p \cdot R/\langle d \rangle \simeq (\langle p \rangle + \langle d \rangle)/\langle d \rangle \simeq \langle \gcd(p,d) \rangle/\langle d \rangle \simeq \langle p \rangle/\langle d \rangle \simeq Rp/Rpq \simeq R/\langle q \rangle$  (Recall that  $I \cdot R/J \simeq (I+J)/J$ )
- ••  $N/pN \simeq (R/\langle d \rangle)/(\langle p \rangle/\langle d \rangle) \simeq R/\langle p \rangle = F$
- If  $N \simeq R/\langle d_1 \rangle \oplus R/\langle d_2 \rangle \oplus \cdots \oplus R/\langle d_\ell \rangle$  with  $p|d_i \ \forall i=1 \sim \ell$ , then
  - ••  $N^{(1)}(p) \simeq \bigoplus_{i=1}^{\ell} (R/\langle d_i \rangle)^{(1)}(p) \simeq F^{\ell}$

 $N/pN \simeq \left(\bigoplus_{i=1}^{\ell} R/\langle d_i \rangle\right) / \left(\bigoplus_{i=1}^{\ell} \langle p \rangle/\langle d_i \rangle\right) \simeq F^{\ell}$ 

**Theorem 1.5.1** (Structure theorem). R is a PID and M is a finite generated R-module. Then

 $M \simeq R/\langle a_1 \rangle \oplus \cdots \oplus R/\langle a_\ell \rangle \oplus R^s$  (\*)

where  $a_i$  are non-zerp and non-unit. Also, s is unique (which is called **rank** of M) and  $a_1, ..., a_\ell$  (called **invariant factor**) are unique up to associates. The form in (\*) is called **invariant factor form**.

Proof: Existence: done! Uniqueness: Assume that

$$M \simeq R/\langle a_1 \rangle \oplus \cdots \oplus R/\langle a_\ell \rangle \oplus R^s \simeq R/\langle b_1 \rangle \oplus \cdots \oplus R/\langle b_k \rangle \oplus R^t$$

with  $a_i | a_{i+1}, b_i | b_{i+1}$ 

- $M/\operatorname{Tor}(M) \simeq R^s \simeq R^t \implies s = t$
- $\operatorname{Tor}(M) \simeq R/\langle a_1 \rangle \oplus \cdots \oplus R/\langle a_\ell \rangle \simeq R/\langle b_1 \rangle \oplus \cdots \oplus R/\langle b_k \rangle$ 
  - •• If  $\operatorname{Tor}(M) \ni x \longleftrightarrow x_1^{\in R/\langle a_1 \rangle} + \dots + x_\ell$ , then  $x \in \operatorname{Tor}(M)^{(1)}(p) \iff px_i = 0 \ \forall i$   $\iff px_i \in \langle a_i \rangle \iff px_i = r_i a_i \leadsto p | r_i a_i \leadsto \begin{cases} p | r_i \leadsto a_i | x_i \leadsto x_i = 0 \ \text{in } R\langle a_i \rangle \\ p | a_i \end{cases}$

Hence,  $M^{(1)}(p) \simeq F^{\mu}$ , where  $\mu$  is the number of the  $R/\langle a_i \rangle$  s.t.  $p|a_i$ 

- ••  $\forall p | a_1 \leadsto p | a_i \ \forall i = 1 \sim \ell \leadsto \dim_F M^{(1)}(p) = \ell$ . Similarly, we can conclude that p must divide exactly  $\ell$  og elements  $b_i$ , so  $\ell \leq k$ . By symmetric,  $k \leq \ell \implies k = \ell$ .
- •• Moreover, we get that  $\begin{cases} p|a_1 \leadsto p|b_1 \\ p|b_1 \leadsto p|a_1 \end{cases} \leadsto a_1, b_1$  share the same prime divisor  $p_1, ..., p_\mu$ . Write  $a = up_1^{\alpha_1} \cdots p_\mu^{\alpha_\mu}$ ,  $b_1 = vp_1^{\beta_1} \cdots p_\mu^{\beta_\mu}$ . Assume  $\alpha_1 < \beta_1$ . Then

$$p_1^{\alpha_1} \operatorname{Tor}(M) \simeq R/\langle q_1 \rangle \oplus \cdots \oplus R/\langle q_\ell \rangle \simeq R/\langle h_1 \rangle \oplus \cdots \oplus R/\langle h_\ell \rangle$$

where  $q_i = a_i/p_1^{\alpha_1}$ ,  $h_i = b_i/p_1^{\alpha_1}$  and  $p \not| q_1, p | h_1 (\rightarrow \leftarrow)$ So  $\alpha_1 = \beta_1$ . Similarly,  $\alpha_i = \beta_i \rightsquigarrow a_1 \sim b_1$ 

$$a_1 \operatorname{Tor}(M) \simeq R/\langle a_2/a_1 \rangle \oplus \cdots R/\langle a_\ell/a_1 \rangle \simeq R/\langle b_2/b_1 \rangle \oplus \cdots \oplus R/\langle b_\ell/b_1 \rangle$$

By induction hypothesis,  $a_i/a_1 \sim b_1/b_1 \ \forall i=2,...,\ell \implies a_i \simeq b_i$ 

**Property 1.5.1** (Elementary divisor form). Write  $a_i = u_i p_1^{\alpha_{i1}} \cdots p_{\mu}^{\alpha_{i\mu}}$  with  $u_i$ : units,  $p_j$ : distinct prime and  $0 \le \alpha_{ik} \le \alpha_{jk} \ \forall i < j$ . By Chinese Remainder theorem,

$$\operatorname{Tor}(M) \simeq \bigoplus_{i=1}^{\ell} \bigoplus_{j=1}^{\mu} R / \langle p_j^{\alpha_{ij}} \rangle \simeq \bigoplus_{j=1}^{\mu} \bigoplus_{i=1}^{\ell} R / \langle p_j^{\alpha_{ij}} \rangle$$

$$= M^{(1)}(p_j)$$

# 1.5.2 Applications

#### 1. finite generated abelian groups

finite generated abelian group  $\leadsto$  f.g.  $\mathbb{Z}$ -module  $\leadsto$  fundamental theorem of f.g abelian group

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**Property 1.5.2.** Let V be a n-dim vector space over k and  $T \in \operatorname{Hom}_k(V, V)$ . Then V is a torsion k[x]-module

**Proof:** Let Z = Z(v;T) is T-cycle space generate by v is a subspace of V. Thus Z is finite dimensional vector space. Let  $= \dim Z$ , then  $\{v, xv, ..., x^{k-1}v\}$  form a basis for  $Z \implies x^kv + a_{k-1}x^{k-1}v + \cdots + a_1xv + v = 0$  for some  $a_i \in k$ . Hence,  $v \in \text{Tor}(V)$ 

Now, fix a basis  $\{v_1, ..., v_n\}$  for V over  $k \rightsquigarrow V = \langle v_1, ..., v_n \rangle_k = \langle x_1, ..., x_n \rangle_{k[x]}$ . Write  $[T]_{\{v_i\}}^{\{v_i\}} = (c_{ij}) \implies T(v_i) = \sum_{i=1}^n c_{ji}v_j$  and consider

$$0 \longrightarrow \ker \varphi \longrightarrow k[x]^n \xrightarrow{\varphi} V \longrightarrow 0$$

$$e_i \longmapsto v_i$$

Property 1.5.3.  $S := \left\{ f_i := xe_i - \sum_{j=1}^n c_{ji}e_j \middle| i = 1, ..., n \right\}$  forms a basis for  $\ker \varphi$  over k[x]

#### **Proof:**

- $S \subset \ker \varphi : \varphi(f_i) = xv_i \sum_{j=1}^n c_{ji}v_i = T(v_i) T(v_i) = 0$
- S is linearly independent set over k[x]: If  $\sum_{i=1}^{n} h_i(x) f_i = 0 \implies \sum_{i=1}^{n} h_i(x) x e_i = \sum_{i=1}^{n} \sum_{j=1}^{n} c_{ji} h_j(x) e_j \implies h_j(x) x = \sum_{i=1}^{n} c_{ji} h_j(x)$ . If exists  $h_j(x) \neq 0$  having max degree  $\ell > 0 \implies \ell + 1 = \deg(h_j(x)x) = \deg(\sum_{i=1}^{n} c_{ji} h_j(x)) > \ell \implies \ell + 1 = \deg(h_j(x)x) = \deg(\sum_{i=1}^{n} c_{ji} h_j(x)) > \ell \implies \ell + 1 = \deg(h_j(x)x) = \deg(\sum_{i=1}^{n} c_{ji} h_j(x)) > \ell \implies \ell + 1 = \deg(h_j(x)x) = \deg(\sum_{i=1}^{n} c_{ji} h_j(x)) > \ell \implies \ell + 1 = \deg(h_j(x)x) = \deg(\sum_{i=1}^{n} c_{ji} h_j(x)) > \ell \implies \ell + 1 = \deg(h_j(x)x) = \deg(\sum_{i=1}^{n} c_{ji} h_j(x)) > \ell \implies \ell + 1 = \deg(h_j(x)x) = \deg(\sum_{i=1}^{n} c_{ji} h_j(x)) > \ell \implies \ell + 1 = \deg(h_j(x)x) = \deg(\sum_{i=1}^{n} c_{ji} h_j(x)) > \ell \implies \ell + 1 = \deg(h_j(x)x) = \deg(\sum_{i=1}^{n} c_{ji} h_j(x)) = \deg(\sum_{i=1}^{n} c_{ji} h_i(x)) = \deg(\sum$
- $\ker \varphi \subseteq \langle S \rangle$  :  $xe_i = f_i + \sum_{j=1}^n c_{ji}h_j(x)$ . For given  $G \in k[x]^n$ , write  $G = \sum_{i=1}^n g_i(x)e_i$ , then we can rewrite  $G = \sum_{i=1}^n h_i f_i + \sum_{i=1}^n b_i e_i$ . If  $G \in \ker \varphi \leadsto \sum_{i=1}^n b_i e_i \in \ker \varphi \leadsto \sum_{i=1}^n b_i e_i = 0$ . Which means  $b_i = 0 \ \forall i = 1, ..., n \implies G \in \langle S \rangle$ .

#### 2. Rational canonical form of T

Let 
$$\ker \varphi \xrightarrow{L} k[x]^n$$
 and  $\{f_i\} \longmapsto \{e_i\}$ 

$$[L]_{\{f_i\}}^{\{e_i\}} = \begin{pmatrix} x - c_{11} & -c_{12} & \cdots & -c_{1n} \\ -c_{21} & x - c_{22} & & \\ & \ddots & & \ddots & \\ -c_{n1} & & x - c_{nn} \end{pmatrix} =: A \in M_{n \times n}(k[x])$$

 $\rightarrow \exists P, Q \in \operatorname{GL}_n(k[x]) \text{ s.t.}$ 

$$PAQ = \begin{pmatrix} 1 & & & & O \\ & \ddots & & & & \\ & & 1 & & & \\ & & & d_1(x) & & \\ & & & \ddots & \\ O & & & & d_r(x) \end{pmatrix} =: \operatorname{diag}\{1, ..., 1, d_1(x), ..., d_r(x)\}$$

with  $d_i(x)|d_{i+1}(x) \ \forall i = 1, ..., r-1, \ d_i : monic$ 

$$\implies V \simeq k[x]/\langle d_1(x)\rangle \oplus \cdots \oplus k[x]/\langle d_r(x)\rangle$$

Write  $V \simeq V_1 \oplus \cdots \oplus V_r$  and  $k[x]/\langle d_i(x)\rangle \simeq V_i = k[x]v_i$ . deg  $d_i = m_i \leadsto \dim V_i = m_i$ 

$$k[x]/\langle d_i(x)\rangle = k[x]\overline{1} \longleftrightarrow k[x]v_i = V_i$$

$$\langle 1, x, ..., x^{m_i-1}\rangle_k \longleftrightarrow \langle v_i, xv_i, ..., x^{m_i-1}v_i\rangle_k =: \beta_i$$

Write  $d_i(x) = x^{m_i} - b_{i,m_{i-1}} x^{m_i-1} - \dots - b_{i,1} x - b_{i,0}$ 

$$\implies [T|_{V_i}]_{\beta_i} = \begin{pmatrix} 0 & & b_{i,0} \\ 1 & 0 & & b_{i,1} \\ & 1 & \ddots & \vdots \\ & & \ddots & 0 \\ & & & 1 & b_{i,m_i} \end{pmatrix}$$

Let  $\beta = \bigsqcup_{i=1}^{r} \beta_i$ , then

$$[T]_{\beta} = \begin{pmatrix} [T|_{V_1}]_{\beta_1} & & & \\ & [T|_{V_2}]_{\beta_2} & & \\ & & \ddots & \\ & & & [T|_{V_r}]_{\beta_r} \end{pmatrix}$$

Observation: det P det A det  $Q = d_1(x)d_2(x)\cdots d_r(x)$ . Since det P det  $P^{-1} = \det Q$  det  $Q^{-1} = 1 \leadsto \det P$ , det Q are units  $\leadsto \det P$ , det  $Q \in R$  and thus det  $A = ch_T(x) = d_1(x)d_2(x)\cdots d_r(x)$ .  $\begin{cases} d_i(x)v_i = 0 \\ d_i|d_r \end{cases} \leadsto d_r(x)v_i = 0 \ \forall i = 1,...,r \text{ and thus } d_r(T)v_i = 0.$  For all  $v \in V$ , write  $v = \sum_{i=1}^r g_i(x)v_i \leadsto d_r(x)v = \sum_{i=1}^r g_i(x)d_r(x)v_i = 0.$  Hence,  $d_r(T) = 0 \implies ch_T(T) = 0$ . Let  $m_T(x)$  be the minimal polynomial of T, then  $m_T|d_r$ . Consider  $(1,1,...,1) \leftrightarrow v$ . Since  $m_T(x)v = 0 \implies m_T(x)1 = 0$  in  $R/\langle d_r \rangle \implies d_r|m_T$ . Hence,  $d_r = m_T$ 

#### Jordan canonical form of T

Assume V is a vector space over a algebraic closed field k. Consider the elementary divisor form of V

$$V \simeq \left(k[x]/\langle (x-\lambda)^{\alpha_{11}}\rangle \oplus \cdots \oplus k[x]/\langle (x-\lambda)^{\alpha_{\ell_{1}1}}\rangle\right) \oplus \cdots \oplus \left(\cdots\right)$$

Let  $\lambda = \lambda_i$ ,  $\alpha = \alpha_{ji}$ ,  $W \simeq k[x]/\langle (x-\lambda)^{\alpha} \rangle$ , let W = k[x]w with  $\operatorname{ann}(w) = \langle (x-\lambda)^{\alpha} \rangle$ . Then  $\beta = \{w, (x-\lambda)w, ..., (x-\lambda)^{\alpha-1}w\}$  forms a basis for W over k. Then

$$[T|_{W}] = \begin{pmatrix} \lambda & & & O \\ 1 & \lambda & & & \\ & 1 & \ddots & & \\ & & & \lambda & \\ O & & & 1 & \lambda \end{pmatrix}$$

# 1.6 Tensor product

**Definition 1.6.1.** Let M be a right A-module and N be a left A-module

- Let G be an additive abelian group. An A-biadditive function is a function  $f: M \times N \to G$  s.t.
  - $f(x_1 + x_2, y) = f(x_1, y) + f(x_2, y)$
  - $f(x, y_1 + y_2) = f(x, y_1) + f(x, y_2)$
  - f(xa, y) = f(x, ay)
- A tensor product of M and N is an abelian group  $M \otimes_A N$  with an A-biadditive function  $h: M \times N \to M \otimes N$  s.t.  $\forall$  abelian group G and  $\forall A$ -biadditive function  $f: M \times N \to G$ ,  $\exists ! \mathbb{Z}$ -module homo.  $\widetilde{f}$  let the diagram commute

$$M \otimes_A N \xrightarrow{\widetilde{f}} G$$

$$\downarrow h \qquad \qquad f$$

$$M \times N$$

**Theorem 1.6.1.**  $M \otimes_A N$  exists and is unique up to isomorphism

#### **Proof:**

- Let F be the free abelian group on  $M \times N$  i.e.  $F = \coprod_{(x,y) \in M \times N} \mathbb{Z}(x,y)$
- Since we want to obtain the new structure, we consider an ideal I of F

$$I = \left\langle (x_1 + x_2, y) - (x_1, y) - (x_2, y) \middle| x_1, x_2, x \in M \right\rangle$$

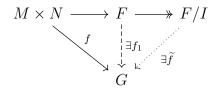
$$(x, y_1 + y_2) - (x, y_1) - (x, y_2) \middle| y_1, y_2, y \in N \right\rangle$$

$$(x, ay) - (xa, y)$$

$$a \in A$$

and define  $M \otimes_A N := F/I$ . We denote the coset (x, y) + I by  $x \otimes y$ .

- Define  $h: M \times N \longrightarrow M \otimes_A N$  which is biadditive  $(x,y) \longmapsto x \otimes y$ 
  - ••  $(x_1 + x_2) \otimes y = (x_1 + x_2, y) + I = (x_1, y) + I + (x_2, y) + I = x_1 \otimes y + x_2 \otimes y$
  - ••  $x \otimes (y_1 + y_2) = (x, y_1 + y_2) + I = (x, y_1) + I + (x, y_2) + i = x \otimes x_1 + x \otimes y_2$
  - ••  $(xa) \otimes y = (xa, y) + I = (x, ay) + I = x \otimes (ay)$
- universal property:



By universal property of free module,  $\exists !$  module homomorphism  $f_1: F \to G$  s.t. left diagram commute. It is clear that  $I \subseteq \ker f_1$ , by factor theorem (universal property of quotient),  $\exists \mathbb{Z}$ -module  $\widetilde{f}: F/I \to G$ 

#### Remark 1.6.1.

• This yields

 $\{A\text{-biadditive functions } M \times N \to G\} \longleftrightarrow \{\mathbb{Z}\text{-module homo. } M \otimes_A N \to G\}$ 

- Can we define left A-left A? NO!  $(a_1a_2)x \otimes y = a_1(a_2x) \otimes y = a_2x \otimes a_1y = x \otimes a_2a_1y$ . We need A commutative.
- Is  $M \otimes_A N$  is an A-module ? NO! Define  $a(x \otimes y) = xa \otimes y = x \otimes ay$ , then  $(a_1a_2)(x \otimes y) = a_1(a_2(x \otimes y)) = a_1(xa_2 \otimes y) = xa_2 \otimes a_1y = x \otimes a_2a_1y$

**Theorem 1.6.2.** Let M be a B-A bimodule and N be a left A-module. Then  $M \otimes_A N$  is a left B module.

**Proof:** For fixed  $b \in B$ , define  $\begin{pmatrix} \rho_b : M & \longrightarrow & M \\ x & \longmapsto & bx \end{pmatrix}$  is a right A-module homo.  $\rho_b(xa) = b(xa) = (bx)a = \rho_b(x)a$  and

$$\rho_b \otimes_A 1_N : M \otimes_A N \longrightarrow M \otimes_A N 
x \otimes_A y \longmapsto \rho_b(x) \otimes_A y$$

is a group homo. (by the following property), then  $\exists$  a ring homo.

$$f: B \longrightarrow \operatorname{End} M \otimes_A N$$

$$b \longmapsto \rho_b \otimes_A 1_N$$

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**Property 1.6.1.**  $g: M \to M'$  is a right A-module homo.,  $h: N \to N'$  is a left A-module homo., then

$$\begin{array}{cccc} g \otimes_A h : & M \otimes_A N & \longrightarrow & M' \otimes_A N' \\ & x \otimes y & \longmapsto & g(x) \otimes h(y) \end{array}$$

is a group homomorphism.

**Proof:** We only need to proof that

$$f; M \times N \longrightarrow M' \otimes_A N'$$
  
 $(x,y) \longmapsto g(x) \otimes h(y)$ 

is an A-biadditive. Which is trivial.

Corollary 1.6.1. R: commutative  $\implies M \otimes_R N$ : R-module

**Definition 1.6.2.** R: commutative and M, N, L: R-modules.  $\varphi : M \times N \to L$  is R-bilinear if it is biadditive and  $r\varphi(x,y) = \varphi(rx,y) = \varphi(x,ry)$ 

Then we have

 $\{R\text{-bilinear maps }M\times N\to L\}\longleftrightarrow \{R\text{-module homo. }M\otimes_R N\to L\}$ 

**Corollary 1.6.2.** Let  $f: A \to B$  be a ring homo. Then B is an A-module and for M: left A-module,  $B \otimes_A M$  is a left B-module

$$B \text{ is left } A\text{-module}: \begin{pmatrix} A \times B & \longrightarrow & B \\ (a,b) & \longmapsto & f(a)b \end{pmatrix}$$

$$B \text{ is right } A\text{-module}: \begin{pmatrix} A \times B & \longrightarrow & B \\ (a,b) & \longmapsto & bf(a) \end{pmatrix}$$

#### Example 1.6.1.

• 
$$\mathbb{Z} \hookrightarrow \mathbb{Q}$$
,  $\mathbb{Q} \otimes_{\mathbb{Z}} \mathbb{Z}/n\mathbb{Z} = 0$  (:  $q \otimes \overline{a} = \frac{q}{n} \cdot n \otimes \overline{a} = \frac{q}{n} \otimes n\overline{a} = \frac{q}{n} \otimes 0 = 0$ )

- $\mathbb{Z}/m\mathbb{Z} \otimes_{\mathbb{Z}} \mathbb{Z}/n\mathbb{Z} \simeq \mathbb{Z}/\gcd(m,n)\mathbb{Z}$  (let  $d = \gcd(m,n)$ )
  - $\overline{a} \otimes \overline{b} = ab(\overline{1} \otimes \overline{1}) \leadsto \mathbb{Z}/m\mathbb{Z} \otimes_{\mathbb{Z}} \mathbb{Z}/n\mathbb{Z} = \langle \overline{1} \otimes \overline{1} \rangle_{\mathbb{Z}}$
  - $m(\overline{1} \otimes \overline{1}) = \overline{0} \otimes \overline{1} = 0, n(\overline{1} \otimes \overline{1}) = \overline{1} \otimes \overline{0} = 0 \leadsto o(\overline{1} \otimes \overline{1}) | d$
  - $\mathbb{Z}/m\mathbb{Z} \times \mathbb{Z}/n\mathbb{Z} \longrightarrow \mathbb{Z}/d\mathbb{Z}$  is  $\mathbb{Z}$ -bilinear  $\leadsto \exists ! \mathbb{Z}$ -module homo.

$$\begin{array}{cccc} \mathbb{Z}/m\mathbb{Z} \otimes_{\mathbb{Z}} \mathbb{Z}/n\mathbb{Z} & \longrightarrow & \mathbb{Z}/d\mathbb{Z} \\ \overline{1} \otimes \overline{1} & \longmapsto & \overline{1} \end{array} \rightsquigarrow d|o(\overline{1} \otimes \overline{1})$$

**Theorem 1.6.3.** M.M': right A-module, N: left A-module. Then

$$(M \oplus M') \otimes_A N \simeq (M \otimes_A N) \oplus (M' \otimes N)$$

**Proof:** 

$$(M \oplus M') \times N \longrightarrow (M \otimes_A N) \oplus (M' \otimes N)$$
 is A-biaddtive  $((x,x'),y) \longmapsto (x \otimes y,x' \otimes y)$ 

$$\implies \exists! \ f: \ (M \oplus M') \otimes_A N \ \longrightarrow \ (M \otimes_A N) \oplus (M' \otimes_A N) (x, x') \otimes y \ \longmapsto \ (x \otimes y, x' \otimes y)$$

Conversely,

Similarly,

$$\implies M' \otimes_A N \xrightarrow{homo.} (M \oplus M') \otimes N$$
$$x' \otimes y \longmapsto (0, x') \otimes y$$

By universal property of direct sum,

$$\exists! \ g: \ (M \otimes_A N) \oplus (M' \otimes_A N) \xrightarrow{homo.} (M \oplus M') \otimes_A N \\ (x \otimes y, x' \otimes y') \longmapsto (x, 0) \otimes y + (0, x') \otimes y'$$

Then we can check  $f \circ g, g \circ f$  are identity.

**Theorem 1.6.4.**  $I \subseteq A, N : \text{left } A\text{-module. Then } A/I \otimes_A N \simeq N/IN$ 

**Proof:** Since 
$$A/I \times N \longrightarrow N/IN \atop (\overline{a}, \overline{x}) \longmapsto \overline{ax}$$
 is A-biadditive

Conversely, 
$$g: N/IN \longrightarrow A/I \otimes N$$
  
 $\overline{x} \longmapsto \overline{1} \otimes x$ 

• Well-defined :  $x - x' \in IN$ , say  $x - x' = \sum a_i n_i$ , then

$$\overline{1} \otimes (x - x') = \overline{1} \otimes \sum a_i n_i = \sum \overline{1} \otimes a_i n_i = \sum \overline{a_i} \otimes n_i = 0$$

• 
$$g \circ f(\overline{a} \otimes x) = g(\overline{ax}) = \overline{1} \otimes ax = \overline{a} \otimes x$$

• 
$$f \circ g(\overline{x}) = f(\overline{1} \otimes x) = \overline{x}$$

Note: 
$$A \otimes_A N \simeq N$$

# 1.7 Symmetric algebra

Let R be a commutative ring and M be a f.g. R-module. Note that in homework 5, we will prove  $(M_1 \otimes M_2) \otimes M_3 = M_1 \otimes (M_2 \otimes M_3)$ . So we can define

$$T^{i}(M) := \underbrace{M \otimes \cdots \otimes M}_{i \text{ times}} \text{ is a } R\text{-module, } T^{0}(M) := R$$

$$T(M) := R \oplus T^{1}(M) \oplus \cdots = \bigoplus_{k=0}^{\infty} T^{k}(M)$$

• T(M) is a R-algebra, multiplication is defined by :

$$\underbrace{(x_1 \otimes \cdots \otimes x_i)}_{\in T^i(M)} \underbrace{(y_1 \otimes \cdots \otimes y_j)}_{\in T^j(M)} = x_1 \otimes \cdots \otimes x_i \otimes y_1 \otimes \cdots \otimes y_j \in T^{i+j}(M)$$

• universal property for T(M): If A is any R-algebra and  $\varphi: M \to A$  is an R-module homo., then  $\exists ! \widetilde{\varphi} : T(M) \longrightarrow A$  is an R-alg. homo.:

Define

$$f_k: M \times \cdots \times M \longrightarrow A$$
  
 $(x_1, ..., x_k) \longmapsto \varphi(x_1)\varphi(x_2)\cdots\varphi(x_k)$ 

is a R-multilinaer  $\rightarrow \exists ! \ \widetilde{f}_k : M \otimes \cdots \otimes M \longrightarrow A$  is R-module homo.

By universal property of direct sum:

$$\exists ! \ \varphi : \ T(M) \xrightarrow{R\text{-module homo.}} A$$

$$T^k(M)$$

Also,

$$\widetilde{\varphi}((x_1 \otimes \cdots \otimes x_i)(y_1 \otimes \cdots \otimes y_j)) = \varphi(x_1) \cdots \varphi(x_i)\varphi(y_1) \cdots \varphi(y_j)$$

$$= \widetilde{\varphi}(x_1 \otimes \cdots \otimes x_i)\widetilde{\varphi}(y_1 \otimes \cdots \otimes y_j)$$

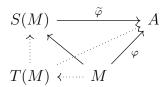
 $\implies$  The ring T(M) is called the **tensot algebra** of M and the ring  $R = \bigoplus_{k=1}^{\infty} M_i$  satisfy  $M_i M_J \subseteq M_{i+j}$  is called **graded ring**.

#### Definition 1.7.1.

- C(M) is the **graded ideal** generated by  $x_1 \otimes x_2 x_2 \otimes x_1 \in T^2(M) \ \forall x_1, x_2 \in M$  in T(M)
- S(M) = T(M)/C(M) is called **symmetric algebraic** and

$$S(M) = T(M) / C(M) \simeq \bigoplus_{k=1}^{\infty} T^k(M) / C^k(M)$$
, where  $C^k(M) = C(M) \cap T^k(M)$ 

- $C^k(M) = \langle x_1 \otimes \cdots \otimes x_k x_{\sigma(1)} \otimes \cdots \otimes x_{\sigma(k)} : \forall x_i \in M, \sigma \in S_n \rangle$ •  $eg. \ x_1 \otimes x_2 \otimes x_3 - x_3 \otimes x_2 \otimes x_1 = x_1 \otimes (x_2 \otimes x_3 - x_3 \otimes x_2) + (x_1 \otimes x_3 - x_3 \otimes x_1) \otimes x_2$  $\leadsto S^k(M) = \langle \overline{x_1} \otimes \cdots \overline{x_k} : x_i \in M \rangle$
- The universal property for S(M): For any commutative R-alg A and  $\varphi: M \to A$  is R-module homo.  $\exists ! \ \widetilde{\varphi} \ \text{s.t.}$



(We can consider the universal property of direct sum and quotient to get  $\widetilde{\varphi}$ )

## 1.8 Modules of fractions

Let R be a commutative ring and  $S \neq 0$  is multiplicatively closed in R. M be a R-module.

#### 1.8.1 Definition and some property

**Definition 1.8.1.**  $M_s := \{(x,t)|x \in M, t \in S\}/\sim$ , where  $\sim$  is defined by

$$(x_1, t_1) \sim (x_2, t_2) \iff \exists u \in S \text{ s.t. } u(t_2 x_1 - t_1 x_2) = 0$$

- $\sim$  is an equivalence relation
- $\frac{x}{t}$  = the equivalence class of (x,t)
- $M_s$  is an  $R_s$ -module  $\left(\frac{a}{s} \cdot \frac{x}{t} = \frac{ax}{st}\right)$
- $f: M \to N$  is an R-module homo.  $\leadsto \begin{cases} f_s: & M_s \to N_s \\ \frac{x}{t} & \mapsto \frac{f(x)}{t} \end{cases}$

Well-defined:

$$\frac{x_1}{t_1} = \frac{x_2}{t_2} \leadsto \exists u \in S, \ ut_2x_1 = ut_1x_2 \leadsto ut_2f(x_1) = ut_1f(x_2) \leadsto \frac{f(x_1)}{t_1} = \frac{f(x_2)}{t_2}$$

**Property 1.8.1.** If  $0 \longrightarrow M \xrightarrow{f} N \xrightarrow{g} L \longrightarrow 0$  is exact for R-modules, then  $0 \longrightarrow M_s \xrightarrow{f_s} N_s \xrightarrow{g_s} L_s \longrightarrow 0$  is again exact. Hence,  $(N/M)_s \sim N_s/M_s$ 

**Proof:** 

•  $f_s$  is 1-1:

$$f_s\left(\frac{x}{t}\right) = 0 \rightsquigarrow \exists u \in S, \ uf(x) = 0 \rightsquigarrow f(ux) = 0 \rightsquigarrow ux = 0 \rightsquigarrow \frac{x}{t} = 0$$

- $g_s$  is onto :  $\forall \ \frac{z}{t} \in L_s, \ \exists y \in N \text{ s.t. } g(y) = z \leadsto g_s(\frac{y}{t}) = \frac{z}{t}$
- Im  $f_s \subseteq \ker g_s : g_s(f_s(\frac{x}{t})) = \frac{g(f(x))}{t} = \frac{0}{t} = 0$
- $\ker g_s \subseteq \operatorname{Im} f_s : g_s(\frac{y}{t}) = 0 \implies \exists u \in S, \ ug(y) = 0 \implies uy = f(x) \implies \frac{y}{t} = \frac{f(x)}{ut} \in \operatorname{Im} f_s$

Property 1.8.2.  $R_s \otimes_R M = M_s$ 

**Proof:** Define  $f: R_s \times M \longrightarrow M_s$   $(\frac{a}{t}, x) \longmapsto \frac{ax}{t}$ 

Well-defined:

$$\frac{a_1}{t_1} = \frac{a_2}{t_2} \leadsto \exists u \in S \text{ s.t. } u(t_1 a_2 - t_2 a_1) = 0 \leadsto u(t_1 a_2 - t_2 a_1) x = 0 \leadsto \frac{a_1 x}{t_1} = \frac{a_2 x}{t_2}$$

$$\implies \widetilde{f}: R_s \otimes M \longrightarrow M_s$$

$$\frac{a}{t} \otimes x \longmapsto \frac{ax}{t}$$

- $\widetilde{f}$  is onto :  $\forall \frac{x}{t} \in M_s, \ \widetilde{f}(\frac{1}{t} \otimes x) = \frac{x}{t}$
- $\widetilde{f}$  is 1-1: Let  $z=\sum_{i=1}^n \frac{a_i}{t_i}\otimes x_i\in R_s\otimes M$ . Set  $t=\prod t_i$  and  $s_i=\frac{t}{t_i}$ , then

$$z = \sum_{i=1}^{n} \frac{a_i s_i}{t} \otimes x_i = \sum_{i=1}^{n} \frac{1}{t} \otimes a_i s_i x_i = \frac{1}{t} \otimes \sum_{i=1}^{n} a_i s_i x_i = \frac{1}{t} \otimes x \text{ for some } x \in M$$

Now, if  $\frac{1}{t} \otimes x \in \ker \widetilde{f} \leadsto \frac{x}{t} = 0 \Longrightarrow \exists u \in S, \ ux = 0 \leadsto \frac{1}{x} \otimes x = \frac{1}{ut} \otimes ux = 0$ 

1.8.2 Localization of prime ideal and maximal ideal

**Definition 1.8.2.** Let p be a prime ideal of R, then  $S := R \setminus p$  is m.c. in R. Denote  $R_p := R_{(S \setminus p)} \leadsto (R_p, p_p)$  is a local ring (since  $R_p \setminus p_p = S_p = \{\text{unit of } R_s\}$ )

**Theorem 1.8.1.** M: R-module. TFAE

(1) 
$$M = 0$$
 (2)  $M_p = 0 \ \forall p \in \operatorname{Spec} R$  (3)  $M_m = 0 \ \forall m \in \operatorname{Max} R$ 

**Proof:**  $(1) \Rightarrow (2) \Rightarrow (3) : OK!$ 

 $(3) \Rightarrow (1)$ : If  $M \neq 0$  i.e.  $\exists 0 \neq x \in M \rightsquigarrow \operatorname{ann}(x) \neq R \rightsquigarrow \exists m_0 \in \operatorname{Max} R$  s.t.  $\operatorname{ann}(x) \subseteq m_0$ . But  $M_{m_0} = 0$ ,  $\frac{x}{1} = \frac{0}{1} \implies \exists u \notin m_0 \text{ s.t. } ux = 0$ . But  $u \in \operatorname{ann}(x) \subseteq m_0 \ (\rightarrow \leftarrow)$ 

Corollary 1.8.1. Let  $N \subseteq M$ . Then TFAE

(1) 
$$M = N$$
 (2)  $M_p = N_p \ \forall p \in \operatorname{Spec} R$  (3)  $M_m = N_m \ \forall m \in \operatorname{Max} R$ 

(Consider M/N is Theorem 1.8.1 and Property 1.8.1)

Corollary 1.8.2. Let R be an integral domain and  $K = R_{(R\setminus 0)}$  be the field of fraction. Then  $\forall m \in \text{Max } R$ ,  $R \subset R_m \subset K$  and  $R = \bigcap_{m \in \text{Max } R} R_m$ 

**Proof:** Let 
$$R' = \bigcap_{m \in \text{Max} R} R_m \leadsto R \subset R' \subset R_m \implies R_m \subseteq R'_m \subseteq (R_m)_m = R_m$$
. So  $R_m = R'_m \ \forall m \in \text{Max} \ R \leadsto R = R'$ 

Corollary 1.8.3. Let  $\varphi: M \longrightarrow N$  be an R-module homo.

- TFAE:  $(1)\varphi$  is 1-1  $(2)\varphi_p$  is 1-1  $\forall p \in \operatorname{Spec} R$   $(3)\varphi_m$  is 1-1  $\forall m \in \operatorname{Max} R$
- TFAE :  $(1)\varphi$  is onto  $(2)\varphi_p$  is onto  $\forall p \in \operatorname{Spec} R$   $(3)\varphi_m$  is onto  $\forall m \in \operatorname{Max} R$ 
  - $(1) \Rightarrow (2): M \to N \to 0 \leadsto M_p \to N_p \to 0$
  - $(2) \Rightarrow (3) : OK!$

$$(3) \Rightarrow (1): M \xrightarrow{\varphi} N \longrightarrow \operatorname{coker} \varphi \longrightarrow 0 \implies M_m \xrightarrow{\varphi_m} N_m \longrightarrow (\operatorname{coker} \varphi)_m \longrightarrow 0 \implies (\operatorname{coker} \varphi)_m = 0 \implies \varphi \text{ is onto.}$$

**Property 1.8.3.** Let  $\rho: R \to R_S, x \mapsto \frac{x}{1}$  is natural canonical map

$$\begin{array}{ccc}
\operatorname{Spec} R_S & \longleftrightarrow & \{P \in \operatorname{Spec} R : P \cap S = \emptyset\} \\
Q & \longmapsto & \rho^{-1}(Q) \\
P_S & \longleftarrow & P
\end{array}$$

**Proof:** 

- If  $t \in \rho^{-1}(Q) \cap S$ , then  $\frac{t}{1} = \rho(t) \in Q$  is a unit  $\implies Q = R_S \; (\rightarrow \leftarrow)$ And it clear that  $\rho^{-1}(Q)$  is a prime ideal of R.
- If  $\frac{a}{t} \cdot \frac{b}{s} \in P_S \leadsto \frac{ab}{ts} = \frac{c}{v}, \ c \in P \leadsto \exists u \in S, \ uvab = utsc \in P, \text{ since } c \in P.$  Since  $uv \in S$  and  $S \cap P = \varnothing \leadsto ab \in P \leadsto a \in P \text{ or } b \in P$
- $(\rho^{-1}(Q))_S = Q : (\subseteq) :$  By def. ( $\supseteq$ ) :  $\frac{a}{t} \in Q \implies \rho(a) = \frac{a}{1} = \frac{a}{t} \cdot \frac{t}{1} \in Q \implies a \in \rho^{-1}(Q)$
- $\rho^{-1}(P_S) = P$ :

$$(\supseteq):$$
 By def.  $(\subseteq): \frac{a}{1} \in P_s \leadsto \frac{a}{1} = \frac{b}{t}, b \in P \leadsto \exists u \in S, uta = ub \in P \leadsto a \in P$ 

Corollary 1.8.4.  $P \in \operatorname{Spec} R$ 

$$\operatorname{Spec} R_P \longleftrightarrow \{q \in \operatorname{Spec} R : q \subseteq P\}$$

**Definition 1.8.3.** Let M be a R-module, define  $\operatorname{Ann}_R(M) = \{a \in E : ax = 0 \ \forall x \in M\} \leadsto M$  is  $R/\operatorname{Ann}_R(M)$ -module

**Theorem 1.8.2.** M: f.g. R-module; S: m.c. in R. Then  $(\operatorname{Ann}_R(M))_S = \operatorname{Ann}_{R_S}(M_S)$ 

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**Proof:** Let  $M = \langle x_1, ..., x_n \rangle_R$ . By induction on n.

 $n = 1: M = Rx_1 \simeq R/\operatorname{ann}(x_1).$ 

Claim:  $Ann_R(R/I) = I$ 

$$pf. \ (\supseteq) : \mathrm{OK!} \ (\subseteq) : \forall a \in \mathrm{Ann}_R(R/I) \leadsto a(1+I) = I \leadsto a \in I$$

$$\left(\operatorname{Ann}_{R}\left(R/\operatorname{ann}(x_{1})\right)\right)_{S} = \operatorname{ann}(x_{1})_{s} = \operatorname{Ann}_{R_{S}}\left(R_{S}/\operatorname{ann}(x_{1})_{S}\right) = \operatorname{Ann}_{R_{S}}\left(\left(R/\operatorname{ann}(x_{1})\right)_{S}\right)$$

which means  $(\operatorname{Ann}_R(M))_s = \operatorname{Ann}_{R_S}(M_S)$ .

If n > 1, let  $N = \langle x_1, ..., x_{n-1} \rangle_R$ . By induction hypothesis,  $(\operatorname{Ann}_R(N))_S = \operatorname{Ann}_{R_S}(N_S)$ . Since  $M = N + Rx_n$ , write  $M' = Rx_n$ . Then

$$(\operatorname{Ann}_R(M))_S = (\operatorname{Ann}_R(N + M'))_S = (\operatorname{Ann}_R(N) \cap \operatorname{Ann}_R(M'))_S$$

$$= (\operatorname{Ann}_R(N))_S \cap (\operatorname{Ann}_R(M'))_S = (\operatorname{Ann}_{R_S}(N_S)) \cap (\operatorname{Ann}_{R_S}(M'_S)) = (\operatorname{Ann}_{R_S}(N_S) \cap \operatorname{Ann}_{R_S}(M'_S))$$

$$= \operatorname{Ann}_{R_S}(N_S + M'_S) = \operatorname{Ann}_{R_S}((N + M')_S) = \operatorname{Ann}_{R_S}(M_S)$$

**Definition 1.8.4.** N, L are submodules of M.

Define 
$$(N:L) := \{x \in R : xL \subseteq N\} = \operatorname{Ann}_R \left((L+N)/N\right)$$

Corollary 1.8.5. If L is a f.g. R-module, then  $(N:L)_S = (N_S:L_S)$ 

**Proof:**  $(L+N)/N \simeq L/(L\cap N)$  is a f,g, R-module, by Theorem 1.8.2

$$(N:L)_S = \operatorname{Ann}_R \left( (L+N) / N \right)_S = \operatorname{Ann}_{R_S} \left( (L+N)_S / N_S \right) = (L_S:N_S)$$

**Definition 1.8.5.** The **nilradical** of R is the ideal of **nilpotent element**  $(a^n = 0 \text{ for some } n)$  in R, we usually denoted  $\sqrt{\langle 0 \rangle}$  or  $\mathfrak{N}_R$ .  $(x^n = 0, y^m = 0 \implies (x + y)^{n+m} = 0)$ 

Property 1.8.4. 
$$\sqrt{\langle 0 \rangle} = \bigcap_{P \in \text{Spec} R} P$$

**Proof:** ( $\subseteq$ ):  $x^n = 0 \in P \ \forall P \in \operatorname{Spec} R \implies x \in P \ \forall p \in \operatorname{Spec} R$ ( $\supseteq$ ): If  $x \notin \sqrt{\langle 0 \rangle}$  i.e.  $x^n \neq 0 \ \forall n > 0$ , then consider

$$S = \{I \subseteq R : x^n \notin I \ \forall n > 0\} \neq \emptyset, \text{ since } \sqrt{\langle 0 \rangle} \in S$$

Define the partial order :  $I_1 \leq I_2 \iff I_1 \subseteq I_2$ . Let  $T = (I_i)_{i \in \Lambda}$  be a chain in S. Set  $I = \bigcup_{i \in \Lambda} I_i$  is a ideal and  $x^n \neq I \ \forall n > 0 \leadsto I$  is a least upper bound for T. By Zorn's lemma, S has a maximal element. Say P.

Claim:  $P \in \operatorname{Spec} R$ 

$$pf. \text{ For } a, b \notin P. \ \langle a \rangle + P, \langle b \rangle + P \supsetneq P, \text{ so } \exists m, n > 0 \text{ s.t. } \begin{cases} x^m \in P + \langle a \rangle \\ x^n \in P + \langle b \rangle \end{cases} \Longrightarrow x^{m+n} \in P + \langle ab \rangle \Longrightarrow P + \langle ab \rangle \notin S \implies ab \notin P.$$
In particular,  $x \notin P \in S$ 

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Corollary 1.8.6.  $(\mathfrak{N}_R)_S = \mathfrak{N}_{R_S}$ 

**Proof:** For  $P \in \operatorname{Spec} R$ . If  $P \cap S \neq \emptyset$ , then  $R_S = P_S$ . If  $P \cap S = \emptyset$ , we have the corresponding  $\operatorname{Spec} R \ni P \longleftrightarrow P_S \in \operatorname{Spec} R_S$ . Then

$$(\mathfrak{N}_R)_S = \left(\bigcap_{P \in \operatorname{Spec} R} P\right)_S = \bigcap_{P \in \operatorname{Spec} R} P_S = \bigcap_{P_S \in \operatorname{Spec} R_S} P_S = \mathfrak{N}_{R_S}$$

#### 1.9 Noetherian modules

**Definition 1.9.1.** An (left) A-module M is said to be **Noetherian** if every ascending chain of submodule  $M_i: M_1 \subseteq M_2 \subseteq M_2 \subseteq \cdots$  becomes stationary i.e.  $\exists n \in N \text{ s.t. } M_n = M_{n+1} = \cdots$ 

(This condition is called **ascending chain condition** (ACC))

#### Property 1.9.1. TFAE

- (1) M is Noetherian
- (2) Any non-empty collection S of submodules of M has a maximal member
- (3) Every submodule of M is f.g.

#### **Proof:**

- (1)  $\Rightarrow$  (2): If not, pick  $M_1 \in \mathcal{S}$ , for  $M_1$ ,  $\exists M_2 \in \mathcal{S}$  s.t.  $M_1 \subsetneq M_2$ . For  $M_2$ ,  $\exists M_3 \in \mathcal{S}$  s.t.  $M_2 \subsetneq M_3 \leadsto M_1 \subsetneq M_2 \subsetneq M_3 \subsetneq \cdots$  will stationary  $(\rightarrow \leftarrow)$
- (2)  $\Rightarrow$  (3) : For  $N \leq M$ , consider  $\mathcal{S} = \{\text{all f.g. submodules of } N\} \neq \emptyset$ , since  $\langle 0 \rangle \in \mathcal{S}$ . Let N' be a max member of  $\mathcal{S}$ . If  $N' \subsetneq N$ , choose  $x \in N \setminus N' \leadsto N' \subsetneq Ax + N' \subseteq N$ , but Ax + N' is also the f.g.  $(\rightarrow \leftarrow)$ . That is  $N = N' \in \mathcal{S}$  is f.g..
- (3)  $\Rightarrow$  (1) :  $M_1 \subseteq M_2 \subseteq \cdots$  in M. Let  $N = \bigcup_{i=1}^{\infty} M_i$  which is a submodule of M, say  $N = \langle x_1, ..., x_k \rangle_R$  and  $x_i \in M_{n_i}$ . Let  $n = \max_{1 \le i \le k} n_i \rightsquigarrow N \subseteq M_n \subseteq N \implies N = M_n$  and  $M_n = M_{n+1} = \cdots$

**Definition 1.9.2.** A ring A is (left) **Noetherian** if it is Noetherian as a left module over itself (i.e.  $I \subseteq A$  is left ideal  $\implies I$  is f.g.)

**Theorem 1.9.1** (Hilbert basis theorem). If A is (left) Noetherian, then A[x] is (left) also Noetherian.

(So  $\mathbb{Z}, \mathbb{Z}[x], \mathbb{Z}[x, y], ..., k[x_1, ..., x_n]$  are all Noetherian, and we can find the **Gröbner** basis of their ideals.)

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**Proof:** If not,  $\exists$  an (left) ideal J of A[x] s.t. J is not f.g.. Choose  $f_1 \in J$  s.t.  $f_1$  is a poly. of least degree in J.  $\exists f_2 \in J \setminus \langle f_1, f_2 \rangle$  s.t.  $f_2$  is a poly. of least degree in  $J \setminus \langle f_1, f_2 \rangle$ . We can construct  $f_3, f_4, ...$  and let  $\deg f_i = n_i$ , the leading coefficient is  $a_i \leadsto n_1 \leq n_2 \leq \cdots$ .

Claim:  $\langle a_1 \rangle \subsetneq \langle a_1, a_2 \rangle \subsetneq \langle a_1, a_2, a_3 \rangle \subsetneq \cdots$ pf. If  $\exists m \text{ s.t. } \langle a_1, ..., a_m \rangle = \langle a_1, ..., a_{m+1} \rangle$ , then  $a_{m+1} = \sum_{i=1}^m r_i a_i$  and

$$\operatorname{deg}\left(\underbrace{f_{m+1}(x) - \sum_{i=1}^{m} x^{n_{m+1} - n_i} r_i f_i(x)}_{\in J \setminus \langle f_1, \dots, f_m \rangle} < \operatorname{deg} f_{m+1} \left(\to \leftarrow\right)$$

But A is Noetherian,  $\{\langle a_1, ..., a_n \rangle\}_{n \in \mathbb{N}}$  must be stationary  $(\rightarrow \leftarrow)$ 

**Property 1.9.2.**  $0 \longrightarrow L \stackrel{f}{\longrightarrow} M \stackrel{g}{\longrightarrow} N \longrightarrow 0$  is exact for A-modules. Then M is Noetherian  $\iff L, N$  are Noetherian

#### **Proof:**

 $(\Rightarrow)$ :

- $L_1 \subset L_2 \subset \cdots$  in  $L \leadsto f(L_1) \subset f(L_2) \subset \cdots$  in  $M \leadsto f(L_n) = f(L_{n+1}) = \cdots$ Since f is 1-1,  $L_n = L_{n+1} = \cdots$
- $N_1 \subset N_2 \subset \cdots$  in  $N \simeq M/L$ , by 3rd isom.thm., we have  $N_i \longleftrightarrow M_i/L$  and  $M_1 \subset M_2 \subset \cdots$  in  $M \leadsto M_n = M_{n+1} = \cdots$  and thus  $N_n = N_{n+1} = \cdots$

 $(\Leftarrow)$ :  $M_1 \subset M_2 \subset \cdots$  in M, then

$$\begin{cases} f(L) \cap M_1 \subset f(L) \cap M_2 \subset \cdots & \text{in } f(L) \simeq L \\ g(M_1) \subset g(M_2) \subset \cdots & \text{in } N \end{cases} \longrightarrow f(L) \cap M_r = f(L) \cap M_{r+1} = \cdots$$

Claim:  $M_r = M_{r+1}$ 

 $pf. \ \forall x \in M_{r+1}, \ g(x) \in g(M_{r+1}) = g(M_r) \implies g(x) = g(y) \ \text{for some} \ y \in M_r \\ \Longrightarrow (x-y) \in \ker g = \operatorname{Im} f \in f(L) \implies x-y \in f(L) \cap M_{r+1} = f(L) \cap M_r \rightsquigarrow x \in M_r$ 

Corollary 1.9.1.  $M_r$ : Noetherian  $\forall i = 1, ..., r \implies \bigoplus_{i=1}^r M_i$  is Noetherian

**Proof:** By induction on r. r = 1 OK! r = 2: Since  $0 \to M_1 \to M_1 \otimes M_2 \to M_2$  is exact and  $M_1, M_2$  are Noeth  $\Longrightarrow M_1 \oplus M_2$  is Noeth.

exact and 
$$M_1, M_2$$
 are Noeth  $\Longrightarrow M_1 \oplus M_2$  is Noeth.  
If  $r > 2, 0 \to M_r \to \bigoplus_{i=1}^r M_i \to \bigoplus_{i=1}^{r-1} M_i \to 0 \Longrightarrow \bigoplus_{i=1}^r M_i$  is Noeth.

**Corollary 1.9.2.** A :Noetherian and  $M = \langle x_1, ..., x_n \rangle_A$  is a f.g. module, then M is Noetherian

**Proof:** Consider

$$0 \to \ker f \to A^n \to M \to 0$$
$$e_i \mapsto x_i$$

Then  $A^n$  is Noeth.  $\Longrightarrow M$  is Noeth.

**Corollary 1.9.3.**  $f:A\to B$  is module homo. If A is Noetherian, then B is Noetherian.

**Observation:** R: commutative and M: R-module,  $\forall 0 \neq x \in M \implies \operatorname{ann}(x) \subsetneq R$  If P is a maximal element in  $\{\operatorname{ann}(x): x \in M\}$ , say  $P = \operatorname{ann}(z) \implies P \in \operatorname{Spec} R$   $pf.\ p \subsetneq R$ . If  $ab \in P$  and  $a \notin P$ , then  $abz = 0, az \neq 0 \implies b \in \operatorname{ann}(az) \supseteq \operatorname{ann}(z) \implies \operatorname{ann}(az) = \operatorname{ann}(z) \implies b \in P$ .

Definition 1.9.3 (Associated prime).

$$\operatorname{Ass}(M) := \{ p \in \operatorname{Spec} R : p = \operatorname{ann}(x) \text{ for some } 0 \neq x \in M \}$$

$$\implies R/P \simeq Rx \subseteq M$$

**Fact 1.9.1.** If R is Noetherian and  $M \neq 0$ , then  $\mathrm{Ass}(M) \neq \emptyset$  pf. Let  $\mathcal{S} = \{\mathrm{ann}(x) | x \neq 0\} \neq \emptyset$ . Since R is Noetherian $\rightarrow \exists$  a maximal element in  $\mathcal{S} \rightsquigarrow P \in \mathrm{Ass}(M)$ 

**Definition 1.9.4** (nilpotent).

- $a \in R$  is called **nilpotent** on M if  $\exists n > 0$  s.t.  $a^n M = 0$ . In other word,  $a^n \in \text{Ann}(M)$  i.e.  $a \in \sqrt{\text{Ann}(M)}$
- $a \in R$  is called **locally nilpotent** on M if  $\forall 0 \neq x \in M$ ,  $\exists n(x) > 0$  s.t.  $a^{n(x)}x = 0$ . In other word,  $a^{n(x)} \in \operatorname{ann}(x) \ \forall x \in M$  i.e.  $a \in \bigcap_{x \in M} \sqrt{\operatorname{ann}(x)}$

Fact 1.9.2. M is f.g. R-module  $\leadsto$  "local nilpotent  $\Longrightarrow$  nilpotent" pf. Say  $M = \langle x_1, ..., x_k \rangle_R$  and  $a^{n_i}x_i = 0$ . Let  $n = \max_{1 \le i \le k} n_i \leadsto a^n x_i = 0 \ \forall i \implies a^n M = 0$ 

**Definition 1.9.5** (Support).

$$\operatorname{Supp}(M) := \{ p \in \operatorname{Spec} R | M_p \neq 0 \}$$

If  $P \in \text{Supp}(M)$ , which means there exists  $\frac{x}{t} \neq 0 \in M_p$  for some  $x \in M, t \notin P$ . So we must have  $\text{ann}(x) \subseteq P$  or we can say  $(Rx)_P \neq 0$ 

Fact 1.9.3.  $\operatorname{Ass}(M) \subseteq \operatorname{Supp}(M)$ pf. Since  $\forall p \in \operatorname{Ass}(M)$  is annihilate of element in M.

**Property 1.9.3.** a is locally nilpotent on  $M \iff a \in \bigcap_{P \in \text{Supp}(M)} P$ 

**Proof:** ( $\Rightarrow$ ): Let a be locally nilpotent and  $P \in \text{Supp}(M)$ , say  $\text{ann}(x) \subseteq P$ . If  $a^{n(x)}x = 0$ , then  $a^{n(x)} \in \text{ann}(x) \subseteq P \implies a \in P$ 

 $(\Leftarrow)$ : If a is not locally nilpotent, then  $\exists \ 0 \neq x \in M$  s.t.  $a^n x \neq 0 \ \forall n > 0$  i.e.  $\{1, a, a^2, ...\}_{:=S} \cap \operatorname{ann}(x) = \varnothing$ . Let  $S = \{\operatorname{ann}(x) \subseteq I \subseteq R : I \cap S = \varnothing\} \neq \varnothing$ , since  $\operatorname{ann}(x) \in S$ . By Zorn's lemma,  $\exists$  a max element  $P \in S$ .

Claim:  $P \in \operatorname{Spec} R$ 

$$pf. \ x, y \notin P \leadsto Rx + P, Ry + P \supseteq P \leadsto a^n \in Rx + P, a^m \in Ry + P \leadsto a^{n+m} \in Rxy + P \notin \mathcal{S} \implies xy \notin P \qquad \qquad \square$$
  
By Claim, ann(x)  $\subseteq P \leadsto M_P \neq 0 \leadsto P \in \operatorname{Supp}(M)$  and  $a \notin P$ 

**Remark 1.9.1.** Case of M = R in Property 1.9.3 can be reduce to

- local nilpotent  $\implies$  global, since  $a^n \cdot 1 = 0$  for some  $n \implies a^n = 0$
- Supp $(M) = \operatorname{Spec} R$ , since  $\frac{1}{1} \in M_P$

and by Property 1.8.4 we will get the result.

**Property 1.9.4.** Let R be Noetherian. Then  $\bigcap_{P \in \text{Supp}(M)} P = \bigcap_{P \in \text{Ass}(M)} P$ 

**Proof:** ( $\subseteq$ ): By Fact 1.9.3

 $(\supseteq)$ : Claim:  $\forall p \in \text{Supp}(M), \exists q \in \text{Ass}(M) \text{ s.t. } q \subseteq p$ 

 $pf. \ \forall \ p \in \operatorname{Supp}(M), \ \exists 0 \neq x \in M \text{ s.t. } (Rx)_p \neq 0 \text{ is a } R_p\text{-module}$ 

By Homework 7, R is Noetherian  $\implies R_p$  is Noetherian  $\forall p \subseteq R$ 

By Fact 1.9.1,  $\exists q_p \in \operatorname{Ass}((Rx)_p)$  i.e.  $q_p = \operatorname{ann}(\frac{rx}{t})$ 

Let  $q = \langle a_1, ..., a_m \rangle_R \leadsto \frac{a_i}{1} \cdot \frac{rx}{t} = 0 \leadsto \exists u_i \notin p \text{ s.t. } u_i a_i rx = 0.$ 

Let  $u = u_1 \cdots u_m \notin p \leadsto a_i urx = 0 \ \forall \ i = 1, ..., r \leadsto q \subseteq \operatorname{ann}(urx)$ 

Conversely, if  $a \in \text{ann}(urx) \leadsto \frac{au}{1} \in q_p$ , say  $\frac{au}{1} = \frac{b}{s}$  for some  $b \in q$  and  $s \notin p \leadsto \exists w \notin p \text{ s.t. } wsau = wb \in q \leadsto a \in q$ , since  $wsu \notin q$ 

**Theorem 1.9.2.**  $R, M \neq 0$ : Noetherian  $\implies \exists M = M_1 \supseteq M_2 \supseteq \cdots \supseteq M_r = 0$  s.t.  $M_i/M_{i+1} \simeq R/p_i$  for some  $p_i \in \operatorname{Spec} R$ 

**Proof:** Let  $S := \{N \subseteq M | N \text{ satisfies condition in above}\} \neq \emptyset$ , since  $\exists p \in \mathrm{Ass}(M) \rightsquigarrow Rx \simeq R/p \in S$ . Since M is Noetherian,  $\exists$  a maximal element N in S.

Claim: N = M

pf. If  $N \subsetneq M$ , then  $M/N \neq 0$  and M/N is Noetherian  $\implies \exists \ q \in \mathrm{Ass}(M/N)$  and say  $q = \mathrm{ann}(y+N)$  i.e.  $R\overline{y} = (Ry+N)/N \simeq R/q \rightsquigarrow N \subsetneq Ry+N \in \mathcal{S}(\rightarrow \leftarrow)$ 

# 1.10 Primary decomposition

In this section, R is a commutative ring and M is an R-module

**Definition 1.10.1.**  $a \in R$ , define

$$a_M: M \longrightarrow M$$
 $x \longmapsto ax$ 

is a R-module homomorphism.

Fact 1.10.1. R is Noetherian,  $a_M$  is injective  $\iff a \notin \bigcup_{p \in Ass(M)} p$ 

**Proof:**  $(\Rightarrow)$ :  $\forall p \in \mathrm{Ass}(M)$ , say  $p = \mathrm{ann}(z)$  for some  $z \neq 0$ . If  $a \in p \rightsquigarrow az = 0 \rightsquigarrow z \in \ker a_M = \{0\} \ (\rightarrow \leftarrow)$ 

 $(\Leftarrow): a_M \text{ is not } 1-1 \implies \exists 0 \neq x \in \ker a_M \text{ i.e. } ax=0. \text{ Since } R \text{ is Noetherian,}$  $\operatorname{Ass}(M) \neq \varnothing$ , we can choose  $p \in \operatorname{Ass}(M) \text{ s.t. } \operatorname{ann}(x) \subseteq p, \text{ then } a \in \bigcup_{p \in \operatorname{Ass}(M)} p$ 

**Definition 1.10.2.**  $a_M$  is called **(locally) nilpotent** if a is (locally) nilpotent on M.

**Fact 1.10.2.** R is Noetherian, then  $Ass(M) = \{P\} \iff M \neq 0, \forall a \in R, a_M \text{ is injective or locally nilpotent.}$ 

**Proof:**  $(\Rightarrow)$ : If  $a \in P \leadsto a_M$  is locally nilpotent. If  $a \notin P \leadsto a_M$  is injective.

$$(\Leftarrow): R = \left(R \setminus \bigcup_{p \in \operatorname{Ass}(M)} p\right) \cup \left(\bigcap_{p \in \operatorname{Ass}(M)} p\right) \leadsto |\operatorname{Ass}(M)| = 1 \qquad \Box$$

#### Definition 1.10.3.

• An ideal q of R is **primary** if  $q \subseteq R$  and

$$xy \in q, x \notin q \implies y^n \in q \text{ for some } n > 0$$

 $(\iff R/q \neq 0 \text{ and the zero divisors in } R/q \text{ are nilpotent})$ 

If we say q is p-primary, which means q is primary and  $\sqrt{q} = p$ .

• R: Noetherian, a submodule N of M is p-primary if  $Ass(M/N) = \{p\}$ 

Fact 1.10.3.  $q \subset R$  is primary  $\implies \sqrt{q}$  is the smallest prime ideal containing q.

#### **Proof:**

- If  $xy \in \sqrt{q}, x \notin \sqrt{q} \implies x^n y^n \in q$ ,  $(x^n)^m \neq q$  for all  $m > 0 \implies y^n \in q \implies y \in \sqrt{q}$
- $\sqrt{q} = \bigcap_{q \subseteq P} P \implies \sqrt{q} \subset P \ \forall q \subseteq P$

(Note: 
$$R$$
: Noetherian, then  $\mathrm{Ass}(R/q) = \{\sqrt{\langle \overline{0} \rangle}\} = \{\sqrt{q}\}$ )

From now on, R is Noetherian

**Lemma 1.10.1.** Let  $N_1$  and  $N_2$  be two p-primary submodules of M. Then  $N_1 \cap N_2$  is a p-primary.

**Proof:** Since  $M/N_1 \cap N_2 \hookrightarrow M/N_1 \oplus M/N_2$ , by Homework 7.,

$$\varnothing \neq \operatorname{Ass}\left(M/\!\!/N_1 \cap N_2\right) \subset \operatorname{Ass}\left(M/\!\!/N_1 \oplus M/\!\!/N_2\right) \subset \operatorname{Ass}\left(M/\!\!/N_1\right) \cup \operatorname{Ass}\left(M/\!\!/N_2\right) = \{p\}$$

Hence, Ass 
$$(M/N_1 \cap N_2) = \{p\}.$$

#### **Definition 1.10.4.** Let $N \subseteq M$

- 1. A **primary decomposition** of N is  $N = N_1 \cap \cdots \cap N_r$  with  $N_i$  are primary.
- 2. It is **reduced** if no  $N_i$  can be omitted and the associated primes of  $M/N_i$  are all distinct.

(Note: Lemma 1.10.1  $\implies$  any PD can be simplified to a RPD)

**Lemma 1.10.2.** If  $N = N_1 \cap \cdots \cap N_r$  is a RPD and  $Ass(M/N_i) = \{p_i\}$ , then  $Ass(M/N) = \{p_1, ..., p_r\}$ 

**Proof:** 

$$M/N \hookrightarrow \bigoplus_{i=1}^{r} M/N_{i} \implies \operatorname{Ass}(M/N) \subseteq \bigcup_{i=1}^{r} \operatorname{Ass}(M/N_{i}) = \{p_{1}, ..., p_{r}\}$$

$$0 \neq (N_{2} \cap \cdots \cap N_{r})/N \simeq (N_{1} + N_{2} \cap \cdots \cap N_{r})/N_{1} \subseteq M/N_{1}$$

$$\implies \operatorname{Ass}((N_{2} \cap \cdots \cap N_{r})/N) = \operatorname{Ass}(M/N_{1}) = \{p_{1}\}$$

Hence,

$$\{p_1\} = \operatorname{Ass}\left((N_2 \cap \cdots \cap N_r)/N\right) \subseteq \operatorname{Ass}(M/N)$$

**Lemma 1.10.3.** Let N be p-primary in M and  $q \in \operatorname{Spec} R$ . Set  $\rho : M \to M_q$ , then

- $p \not\subseteq q \implies M_q = N_q$
- $p \subseteq q \implies \rho^{-1}(N_q) = N$  (sometimes we will denote  $\rho^{-1}(N_q) = M \cap N_q$ )

### **Proof:**

- $M_q/N_q \simeq (M/N)_q$  and thus  $\mathrm{Ass}(M_q/N_q) = \mathrm{Ass}(M/N) \cap \{q \supseteq P \in \mathrm{Spec}\, R\} = \varnothing$ . Hence,  $M_q = N_q$ .
- : Ass $(M/N) = \{p\}$  and  $p \subseteq q : R \setminus q$  does not contain zero divisor of M/N. Consider  $M/N \hookrightarrow (M/N)_q \simeq M_q/N_q$  i.e.

$$M \xrightarrow{\rho} M_q \xrightarrow{f} M_q/N_q$$
 with

 $m \in \ker \varphi \iff \frac{m}{1} = \frac{n}{s} \iff usm = un \in N \iff us(m+N) = 0 \iff m+N=0 \iff m \in N, \text{ so } \ker \varphi = N$ 

In other hands, ker  $f = N_q$  and thus ker  $\varphi = \rho^{-1}(N_q)$ , so  $N = \rho^{-1}(N_q)$ 

**Remark 1.10.1.**  $N = N_1 \cap \cdots \cap N_r$ : RPD with  $Ass(M/N_i) = \{p_i\}$ . If  $p_1$  is minimal in  $\{p_1, ..., p_r\} = Ass(M/N)$ , then  $N_{p_1} = (N_1)_{p_1} \cap \cdots \cap (N_r)_{p_1} = (N_1)_{p_1}$ , then  $N_1 = \rho^{-1}(N_{p_1})$  is determined by N and  $p_1$ 

**Theorem 1.10.1.**  $\forall p \in \mathrm{Ass}(M), \exists N(p) \subset M \text{ with } \mathrm{Ass}(M/N(p)) = \{p\} \text{ s.t.}$ 

$$\langle 0 \rangle = \bigcap_{p \in \mathrm{Ass}(M)} N(p)$$

**Proof:** Fix  $p \in \text{Ass}(M)$ , say p = ann(x). Consider  $S := \{N \subseteq M : p \notin \text{Ass}(N)\} \neq \emptyset$ . Define a partial order on  $S : N_1 \leq N_2 \iff N_1 \subseteq N_2$ . Since

$$\operatorname{Ass}\left(\bigcup_{i\in\Lambda}N_i\right) = \bigcup_{i\in\Lambda}\operatorname{Ass}(N_i) \not\ni p$$

By Zorn's lemma,  $\exists$  a maximal element N(p) in  $\mathcal{S}$ .

Claim: N(p) is a p-primary.

 $pf. p \in Ass(M) \text{ and } p \notin Ass(N(p)) \implies N(p) \neq M$ 

If  $q \neq p$  and  $q \in \operatorname{Ass}(M/N(p))$ , then  $\exists M'/N(p) \subseteq M/N(p)$  s.t.  $M'/N(p) \simeq R/q$   $\leadsto \operatorname{Ass}(M'/N(p)) = \{q\} \leadsto \operatorname{Ass}(M') \subseteq \underbrace{\operatorname{Ass}(N(p))}_{p \notin} \cup \underbrace{\operatorname{Ass}(M'/N(p))}_{=\{q\}}$ , so  $p \notin \operatorname{Ass}(M)$ 

and  $M' \supseteq N(p) \; (\rightarrow \leftarrow)$ Hence,  $\operatorname{Ass}(M/N(p)) = \{p\}$  and

 $\operatorname{Ass}\left(\bigcap_{p\in\operatorname{Ass}(M)}N(p)\right)=\bigcap_{p\in\operatorname{Ass}(M)}\operatorname{Ass}(N(p))=\varnothing\implies\bigcap_{p\in\operatorname{Ass}(M)}N(p)=\langle 0\rangle$ 

Corollary 1.10.1. If M is a f.g. R-module, then any submodule N of M has primary decomposition.

**Proof:** We have  $|\operatorname{Ass}(M/N)| < \infty$ , say  $\operatorname{Ass}(M/N) = \{p_1, ..., p_r\}$  and  $p_i \longleftrightarrow N(p_i) = N_i/N$ , then  $\langle \overline{0} \rangle = \bigcap_{i=1}^r N_i/N \implies N = \bigcap_{i=1}^r N_i$ 

$$\operatorname{Ass}\left(M/N_{i}\right) = \operatorname{Ass}\left(M/N/N_{i/N}\right) = \{p_{i}\} \leadsto N_{i} : p_{i}\text{-primary}$$

**Corollary 1.10.2.** In a Noetherian ring R,  $I \subseteq R \rightsquigarrow I = q_1 \cap \cdots \cap q_r$  with  $\sqrt{q_i} = p_i$ , where  $\{p_1, ..., p_r\}$  are uniquely determined by I and if  $p_i$  is minimal, then  $q_i$  is uniquely determined.

We called  $p_i$  are associated prime with I or belongs to I and  $p_1$  is called isolated and others are called **embedded**.

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**Example 1.10.1.**  $R = k[x, y], \ I = \langle x^2, xy \rangle$ . Let  $p_1 = \langle x \rangle \in \operatorname{Spec} R, p_2 = \langle x, y \rangle \in \operatorname{Max} R$ , then  $I = p_1 \cap p_2^2$  is primary decomposition of I. (Here we use the fact in below). We find that  $\sqrt{I} = \sqrt{p_1} \cap \sqrt{p_2^2} = p_1 \cap p_2 = p_1$  is prime, but I is not primary since  $xy \in I$  and  $x \notin I, y^n \notin I \ \forall \ n > 0$ 

**Fact 1.10.4.** If  $\sqrt{q}$  is max, then q is primary.

**Proof:** Let  $\sqrt{q} = m$ , which is the smallest prime ideal containing q, so  $\operatorname{Spec}(R/q) = \{m/q\}$  and  $\mathfrak{N}_{R/q} = m/q$ . So  $R/q \setminus m/q = \{\text{units}\} \implies \text{all zero divisors are nilpotent.}$ 

#### Remark 1.10.2.

- A prime-power is not necessarily primary :  $R = k[x,y,z]/\langle xy-z^2\rangle = k[\overline{x},\overline{y},\overline{z}] \text{ and } p = \langle \overline{x},\overline{z}\rangle \in \operatorname{Spec} R \text{ since } R/p \simeq k[\overline{y}] = k[t]$  is integral domain. Now  $\overline{xy} = \overline{z}^2 \in p^2$ , but  $\overline{x} \notin p^2, \overline{y}^n \notin p^2 \ \forall \ n > 0$
- A max-power is primary : Say  $q=m^n,\ m\supseteq\bigcap_{m^n\subseteq p}p=\sqrt{m^n}\supseteq m\implies m=\sqrt{m^n}=\sqrt{q}.$  By Fact 1.10.4, q is primary.
- $m^n \subseteq q \subseteq m \leadsto m = \sqrt{m^n} \subseteq \sqrt{q} \subseteq \sqrt{m} = m \leadsto \sqrt{q}$  is max and thus q is primary.
- A primary ideal is not necessarily a prime power :  $R = k[x,y], q = \langle x,y^2 \rangle \implies \langle x,y \rangle^2 \subseteq q \subseteq \langle x,y \rangle \implies q \text{ is primary but is not prime power.}$

**Example 1.10.2.**  $\mathbb{Z} \supseteq q = \langle a \rangle$  is primary  $\rightsquigarrow \sqrt{q} = \langle p \rangle$ . By def,  $p^m \in \langle a \rangle$ , say  $p^m = ra$ , since  $\mathbb{Z}$  is UFD  $\implies a \sim p^n$ , where  $n \leq m \implies \langle a \rangle = \langle p^n \rangle$ 

**Property 1.10.1.** R: Noetherian, M: finitely generated. Ass $(M) = \{p\} \implies \text{Ann}(M)$  is p-primary

**Proof:**  $\forall a \in R, a_M \text{ is injective } (\leftrightarrow a \notin p) \text{ or nilpotent } (\leftrightarrow a \in p). \text{ So } \operatorname{Ann}(M) \subseteq p.$  If  $ab \in \operatorname{Ann}(M) \subseteq p$ . If  $a \in p \leadsto a^n M = 0 \leadsto a^n \in \operatorname{Ann}(M)$ . If  $a \notin p \leadsto b \in p$  and by symmetric,  $a^n \in \operatorname{Ann}(M)$ . Hence,  $\operatorname{Ann}(M)$  is p-primary.  $\square$ 

# 1.11 Nakayama's lemma & Artin-Rees lemma

In this section, R is a commutative ring and M is R-module

**Definition 1.11.1.** The **Jacobson radical** of R is  $J_R := \bigcap_{m \in \text{Max} R} m$ 

#### Property 1.11.1.

•  $I \subsetneq R \implies \langle I, J_R \rangle \subsetneq R$ :  $pf. \exists m \in \max R \text{ s.t. } I \subseteq m \implies \langle I, J_R \rangle \subseteq m$ 

- $\mathfrak{N}_R \subseteq J_R$
- $x \in J_R \iff 1 rx \text{ is unit } \forall r \in R$ :
  - $(\Rightarrow)$  If 1-rx is not unit, then  $\langle 1-rx\rangle\subseteq m$  for some  $m\in\max R\leadsto 1\in m\implies m=R$   $(\rightarrow\leftarrow)$
  - $(\Leftarrow)$ : If  $\exists m \in \max R \text{ s.t. } x \notin m \leadsto Rx + m = R, \text{ say } rx + m_0 = 1 \leadsto m_0 = 1 rx$  is unit  $\implies m = R \; (\rightarrow \leftarrow)$

**Lemma 1.11.1** (Nakayama's lemma). If M is f.g. and  $I \subseteq J_R$  s.t. IM = M, then M = 0

**Proof:** Assume  $M \neq 0$  and  $M = \langle x_1, ..., x_n \rangle$ , where n is the smallest integer s.t. M is generated by n elements. And  $x_n \in M = IM$ , say  $x_n = a_1x_1 + \cdots + a_nx_n$  with  $a_i \in I$ , then  $(1 - a_n)x_n = a_1x_1 + \cdots + a_{n-1}x_{n-1}$ . Since  $1 - a_n$  is unit,  $x_n \in \langle x_1, ..., x_{n-1} \rangle \implies M = \langle x_1, ..., x_{n-1} \rangle$   $(\rightarrow \leftarrow)$ 

Corollary 1.11.1.  $M: \text{f.g.}, N \subseteq M, I \subseteq J_R$ . Then  $M = IM + N \implies M = N$ .

**Proof:** M: f.g.  $\Longrightarrow M/N$  is f.g. and I(M/N) = (IM+N)/N = M/N. By Nakayama's lemma,  $M/N = 0 \leadsto M = N$ .

Corollary 1.11.2. (R,m): local ring, M: f.g.. If  $M/mM = \langle \overline{x}_1,...,\overline{x}_n \rangle_{R/m}$ , where  $\{\overline{x}_1,...,\overline{x}_n\}$  is a basis, then  $M = \langle x_1,...,x_n \rangle_R$ 

**Proof:** Let  $N = \langle x_1, ..., x_n \rangle_R \rightsquigarrow (N + mM)/mM = \langle \overline{x}_1, ..., \overline{x}_n \rangle_{R/m} = M/mM \rightsquigarrow N + mM = M$ . By Corollary 1.11.2, M = N.

**Corollary 1.11.3.** (R,m): local ring, M,N: f.g. and  $f:M\to N$  is R-module homomorphism, Define  $\overline{f}:M/mM\to N/mN$  by  $\overline{f}:x+mM\mapsto f(x)+mN$ 

- $\overline{f}$  is onto  $\implies f$  is onto :  $pf.\ N/mN = \operatorname{Im} \overline{f} = (f(M) + mN)/mN \implies N = mN + f(M) \leadsto N = f(M)$  i.e. f is onto.
- Assume M, N: free, then  $\overline{f}$  is  $1-1 \Longrightarrow f$  is 1-1: pf. Let  $M = \langle v_1, ..., v_\ell \rangle_R$  with  $\{v_1, ..., x_\ell\}$  is a basis and  $w_i = f(v_i) \ \forall i$ By Corollary 1.11.2 and commutative ring has IBN,  $M/mM = \langle \overline{v}_1, ..., \overline{v}_\ell \rangle_{M/mM}$  and  $\operatorname{Im} \overline{f} = \langle \overline{w}_1, ..., \overline{w}_\ell \rangle_{N/mN} \subseteq N/mN$ . Since  $\overline{f}$  is 1-1,  $\dim \operatorname{Im} \overline{f} = \ell \leadsto \{\overline{w}_1, ..., \overline{w}_\ell\}$  is a basis for  $\operatorname{Im} \overline{f}$ .

We can extend  $\{\overline{w}_1,...,\overline{w}_\ell\}$  to a basis  $\{\overline{w}_1,...,\overline{w}_\ell,\overline{w}_{\ell+1},...,\overline{w}_k\}$  for N/mN. By Corollary 1.11.2,  $\{w_1,...,w_k\}$  is a free basis for N.

Now  $\forall x \in M, \exists ! a_i \text{ s.t. } x = \sum_{i=1}^{\ell} a_i v_i$ . If  $x \in \ker f$  i.e.  $0 = f(x) = \sum_{i=1}^{\ell} a_i w_i$ . So  $a_i = 0 \ \forall i$ . Hence, f is 1 - 1.

• Assume M, N: free. Then  $\overline{f}$  is isomorphism  $\implies f$  is isomorphism.

#### Definition 1.11.2.

- A filtration of M is a descending sequence of submodules  $M=M_0\supseteq M_1\supseteq \cdots$
- Let I be a ideal of R.  $\{M_i\}_{i\geq 0}$  is said to be an I-filtration if  $IM_n\subseteq M_{n+1}\ \forall n$  (e.g.  $M_i:=I^iM$ , then  $IM_n=M_{n+1}$ )
- *I*-filtration is **stable** if  $IM_n = M_{n+1} \ \forall n > N$

**Fact 1.11.1.**  $\{M_i\}, \{M'_i\}$ : stable *I*-filtration of  $M \implies \exists d \in \mathbb{N}$  s.t.

$$M_{n+d} \subseteq M'_n, M'_{n+d} \subseteq M_n \ \forall n \ge 0$$

**Proof:** It is clear that  $I^nM \subseteq M_n \ \forall n \geq 1$ .

By stability,  $\exists d_1 > 0$  s.t.  $I^n M_{d_1} = M_{d_1+n} \ \forall n > 0 \leadsto M_{n+d_1} = I^n M_{d_1} \subseteq I^n M$ . And  $I^{n+d_1} M \subseteq I^n M \subseteq M_n$ . So it is true for the case of " $M'_n = I^n M$ ". By symmetry,  $\exists d_2 > 0$  s.t.  $I^{n+d_2} M \subseteq M'_n$  and  $M'_{d_2+n} \subseteq I^n M$ . Let  $d = d_1 + d_2$ , then

$$\begin{cases}
M_{d+n} = M_{d_1+(d_2+n)} \subseteq I^{d_2+n} M \subseteq M'_n \\
M'_{d+n} = M'_{d_2+(d_1+n)} \subseteq I^{d_1+n} M \subseteq M_n
\end{cases}$$

Recall that  $R = \bigoplus_{i=0}^{\infty} R_i$  is graded ring if  $R_i R_j \subseteq R_{i+j}$  and thus

- $R_0R_0 \subseteq R_0 \implies R_0$  is subring.
- $R_0R_i \subseteq R_i \implies R_i$  is  $R_0$ -module.

 $M = \bigoplus_{i=0}^{\infty} M_i$  is graded module if  $R_i M_j \subseteq M_{i+j}$ 

**Theorem 1.11.1.** Let R be graded. Then R: Noetherian  $\iff R_0$ : Noetherian and  $R = R_0[a_1, ..., a_n]$  with  $a_i \in R$ 

**Proof:** ( $\Leftarrow$ ):  $R_0$ : Noetherian, by Hilbert basis theorem,  $R_0[x_1,...,x_n]$ : Noetherian  $\Rightarrow R \simeq R_0[x_1,...,x_n]/I$  is Noetherian.

 $(\Rightarrow)$ : Let  $R^+ = \bigoplus_{i=1}^{\infty} R_i$  is a ideal of R and  $R_0 \simeq R/R^+ \leadsto R_0$ : Noetherian. Since

R Noetherian,  $R^+ = \langle z_1, ..., z_m \rangle_R$ . Write  $z_i = z_{i,1} + \cdots + z_{i,n_i}$ , where  $z_{i,j} \in R_{n_{ij}}$ , then  $R^+ = \langle z_{i,j} : 1 \le i \le m, 1 \le j \le n_i \rangle = \langle a_1, ..., a_n \rangle_R$ , where  $a_i \in R_{d_i} \ \forall i = 1 \sim n$ 

Claim:  $R_k \subseteq R_0[a_1,...,a_n] \ \forall \ k \geq 0$  and thus  $R = R_0[a_0,...,a_n]$  pf. By induction on  $k: k = 0 \leadsto R_0 \subseteq R_0[a_1,...,a_n]$ .

For k > 0,  $x \in R_k \subseteq R^+$ , write  $x = \sum_{i=1}^n r_i a_i$  where  $a_i \in R_{d_i}$  and  $r_i \neq 0$ , then  $r_i \in R_{k-d_i} \subseteq R_0[a_0, ..., a_n]$  (by induction hypothesis). Hence,  $x \in R_0[a_0, ..., a_n]$ 

**Theorem 1.11.2** (General form of Artin-Rees lemma). R: Noetherian,  $I \subseteq R$ , M: f.g. R-module with a stable I-filtration  $\{M_i\}_{i\geq 0}$ . If  $N\subseteq M$  and  $N_n:=N\cap M_n$ , then  $\{N_n\}$  is a stable I-filtration of N.

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**Proof:** First, 
$$I(N \cap M_n) \subseteq IN \cap IM_n \subseteq N \cap M_{n+1} = N_{n+1} \leadsto \{N_n\}$$
 is *I*-filtration. Define  $S = S_I(R) := \bigoplus_{n=0}^{\infty} I^n t^n \subseteq R[t] = \bigoplus_{n=0}^{\infty} Rt^n$ 

 $(S_I(R) \text{ is called } \mathbf{Rees \ ring \ of} \ R \ \mathbf{w.r.t.} \ I)$   $\therefore R : \text{Noetherian } (\text{say } I = \langle a_1, ..., a_n \rangle) \text{ and } S = R[a_1t, ..., a_nt] \therefore S \text{ is Noetherian.}$ 

Define  $\widetilde{M} := \bigoplus_{n=0}^{\infty} M_n t^n$  which is a graded S-module (Since  $(I^{\ell}t^{\ell})(M_n t^n) = I^{\ell}M_n t^{\ell+n} \subseteq M_{\ell+n} t^{\ell+n}$ ) Let

$$L_m := \overbrace{M_0 \oplus \cdots \oplus M_m t^m}^{U_m} \oplus I M_m t^{m+1} \oplus I^2 M_m t^{m+2} \oplus \cdots = \langle U_m \rangle_S$$

is a S-submodule of  $\widetilde{M}.$  Since R: Noetherian and M: f.g.  $\Longrightarrow M:$  Noetherian and thus  $M_i$ : f.g. R-module  $\forall i \implies U_m$  is f.g. R-module (say  $U_m = \langle f_1, ..., f_p \rangle_R$ ) and thus  $L_m$  is f.g. S-module since  $L_m = \langle f_1, ..., f_p \rangle_S$ . Also,  $L_m \subseteq L_{m+1} \subseteq \cdots$  and

 $\bigcup_{n=1}^{\infty} L_m = \widetilde{M}$ . Since S is Noetherian, there exists N s.t.  $L_N = L_{N+1} = L_{N+2} = \cdots$ 

and thus  $\widetilde{M}$  is Noetherian and thus f.g. S-module. In fact, we have

$$\widetilde{M}$$
 is f.g. S-module  $\iff \widetilde{M} = L_{N_0}$  for some  $N_0 \in \mathbb{N}$   $\iff I^m M_{N_0} = M_{m+M_0} \ \forall m \geq 0$   $\iff \{M_i\}$  is I-stable

 $:: \widetilde{N} := \bigoplus_{n=0}^{\infty} N_n t^n$  is a S-submodule of  $\widetilde{M} :: \widetilde{N}$  is a f.g. S-module and thus  $\{N_i\}$  is I-stable.

Corollary 1.11.4 (Artin-Ress lemma). R: Noetherian, M: f.g. R-module,  $I \subseteq$  $R, N \subseteq M$ . Then  $\exists N_0 \in \mathbb{N}$  s.t.

$$I^{N_0+m}M\cap N=I^m(I^{N_0}M\cap N)\quad \forall m\geq 0$$

**Proof:** Let  $M_n = I^n M \rightsquigarrow N_n = I^n M \cap N$ . By general form of Artin-Ress lemma,  $\{N_n\}$  is *I*-stable i.e.  $\exists N_0 \in \mathbb{N}$  s.t.  $I^m N_{N_0} = N_{N_0+m}$ 

**Remark 1.11.1.**  $N_0$  is Artin-Ress lemma is necessarily. Look at a example : Let  $R = k[x], M = R, I = \langle x \rangle, N = \langle x \rangle$ , then

$$I^{2}M \cap N = \langle x^{2} \rangle \cap \langle x \rangle = \langle x^{2} \rangle, \ I^{2}(M \cap N) = \langle x^{2} \rangle \langle x \rangle = \langle x^{3} \rangle$$
$$I^{n}(M^{2} \cap N) = \langle x^{n} \rangle \langle x^{2} \rangle = \langle x^{n+2} \rangle, I^{n+2}M \cap N = \langle x^{n+2} \rangle \cap \langle x \rangle = \langle x^{n+2} \rangle$$

**Theorem 1.11.3** (Krull theorem). R: Noetherian,  $I \subseteq J_R$ , M: f.g. R-module. Then  $\bigcap_{n=0}^{\infty} I^n M = \langle 0 \rangle$ 

**Proof:** Let  $N = \bigcap_{n=0}^{\infty} I^n M \subseteq M$  is f.g. since M is Noetherian. And  $N \cap I^n M =$  $N \ \forall n > 0$ 

By Artin-Ress lemma, 
$$\exists N_0 \in \mathbb{N}$$
 s.t.  $I^m(I^{N_0}M \cap N) = I^{N_0+m}M \cap N \ \forall m \geq 0.$   $\Longrightarrow IN = N$ . By Nakayama's lemma,  $N = 0$ .

**Corollary 1.11.5.** (R, m): Noetherian local, then  $\bigcap_{n=0}^{\infty} m^n = 0$   $(\forall x \in R, \exists k \text{ s.t. } x \in m^k \text{ but } x \notin m^{k+1} \text{ and we get a graded ring structure on } R)$ 

# 1.12 Hilbert polynomial

In this section, R is commutative and we will using the definition and result in Homework 09

#### Definition 1.12.1.

- Let G be an abelian group and  $\varphi: \mathfrak{M}_R \to G$ , where  $\mathfrak{M}$  collect all R-module.  $\varphi$  is called an **Euler-Poincaré mapping** if  $\forall 0 \to M_1 \to M_2 \to M_3 \to 0$ ,  $\varphi(M_2) = \varphi(M_1) + \varphi(M_3)$  and  $\varphi(0) = 0$
- R: graded Noetherian, M: f.g. graded R-module. Say  $R = R_0[a_1, ..., a_n]$ , where  $a_i \in R_{d_i}$  and  $M = \langle x_1, ..., x_m \rangle_R$  with  $x_i \in M_{\ell_i}$  and  $M_i$ : f.g.  $R_0$ =module.

For given  $\varphi:\mathfrak{M}_{R_0}^{<\infty}\to\mathbb{Z}$  is an Euler-Poincaré mapping, define **Poincaré series** of M is

$$P_{\varphi}(M,t) := \sum_{i=0}^{\infty} \varphi(M_i)t^i \in \mathbb{Z}[[t]]$$

•  $p(z) \in \mathbb{Q}[z]$  is called a **numerical polynomial** if  $P(n) \in \mathbb{Z}, \ \forall \ n \gg 0$ 

**Property 1.12.1.** If p(z) is numerical, then  $\exists c_0, c_1, ..., c_r \in \mathbb{Z}$  s.t.

$$p(z) = c_0 \binom{z}{r} + c_1 \binom{z}{r-1} + \dots + c_{r-1} \binom{z}{1} + c_r, \text{ where } \binom{z}{k} = \frac{z(z-1)\cdots(z-k+1)}{k!}$$

In particular,  $p(n) \in \mathbb{Z} \ \forall \ n \in \mathbb{Z}$ .

**Proof:** By induction on deg p: deg  $p = 0 \rightsquigarrow p(z) = c \in \mathbb{Z}$  OK!

Since 
$$\binom{z}{r} = \frac{z^r}{r!} + \cdots, \binom{z}{0} = 1, \ \left\{ \binom{z}{r} : r \in \mathbb{Z}_{\geq 0} \right\}$$
 forms a basis for  $\mathbb{Q}[z]$  over

$$\mathbb{Q}$$
. We can write  $p(z) = \sum_{k=0}^{r} c_{r-k} {z \choose k}$  with  $c_i \in \mathbb{Q}$ . Note  ${z+1 \choose r} = {z \choose r-1}$ 

$$\rightarrow p(z+1) - p(z) = \sum_{k=0}^{r-1} c_{r-1-k} \binom{z}{k}$$
 and  $\deg(p(z+1) - p(z)) < \deg p(z)$ . By induc-

tion hypothesis, 
$$c_0, ..., c_{r-1} \in \mathbb{Z}$$
.  $c_r = P(n) - \left(c_0 \binom{n}{r} + \cdots + c_{r-1} \binom{n}{1}\right) \in \mathbb{Z}$  for some  $n \gg 1$ 

**Property 1.12.2.** If  $f: \mathbb{Z} \to \mathbb{Z}$  s.t. f(n+1) - f(n) = Q(n) with Q: numerical  $\forall n \gg 1$ , then  $f(n) = p(n) \ \forall n \gg 0$  for some numerical polynomial p(z).

**Proof:** Write  $Q(n) = \sum_{k=0}^{r} c_{r-k} \binom{z}{k}$  with  $c_i \in \mathbb{Z}$ . Let  $\widetilde{p}(z) = \sum_{k=0}^{r} c_{r-k} \binom{z}{k+1}$ . Then  $\widetilde{p}(z+1) - \widetilde{p}(z) = Q(z) \leadsto \widetilde{p}(n+1) - f(n+1) = \widetilde{p}(n) - \widetilde{f}(n) \ \forall n \gg 0 \leadsto \widetilde{p}(n) - f(n)$  is a constant  $c_{r+1} \in \mathbb{Z} \ \forall n \gg 0$ . Then  $f(n) = \widetilde{p}(n) - c_{r+1}$  is numerical polynomial.  $\square$ 

Theorem 1.12.1 (Hilbert-Serre).

(1) 
$$P_{\varphi}(M,t) = \frac{f(t)}{\prod\limits_{i=1}^{n} (1-t^{d_i})}$$
 for some  $f(t) \in \mathbb{Z}[t]$ 

(2) If 
$$d_i = 1 \ \forall i = 1 \sim n$$
,  $P_{\varphi}(M, t) = \frac{h(t)}{(1-t)^d}$  for  $(1-t) \not h(t)$ , then  $\exists ! \ p(z) \in \mathbb{Q}[z]$  of  $\deg = d-1$  s.t.  $\varphi(M_n) = p(n) \ \forall \ n \gg 0$ 

#### **Proof:**

(1) By induction of  $n: n = 0 \rightsquigarrow R = R_0 \rightsquigarrow M:$  f.g.  $R_0$ -module  $\rightsquigarrow M_n = 0 \ \forall n \gg 0.$  Then  $P_{\varphi}(M,t) \in \mathbb{Z}[t]$  OK!

Now, let n > 0. Consider

$$0 \longrightarrow \ker(\cdot a_n) =: K_i \longrightarrow M_i \xrightarrow{\cdot a_n} M_{i+d_n} \longrightarrow \operatorname{coker}(\cdot a_n) =: L_{i+d_n} \longrightarrow 0$$

Let  $K = \bigoplus_{i=0}^{\infty} K_i \subseteq M, L = \bigoplus_{i=0}^{\infty} L_i = M/\sim$ : f.g. R-module which are annihilated by  $a_n$ , so they are f.g.  $R[a_1,...,a_{n-1}]$ -module. Also,

$$\begin{cases} 0 \to K_i \to M_i \to \operatorname{Im}(\cdot a_n) \to 0 \\ 0 \to \operatorname{Im}(\cdot a_n) \to M_{i+d_n} \to L_{i+d_n} \to 0 \end{cases}$$

Then  $\varphi(K_i) - \varphi(M_i) + \varphi(M_{i+d_n}) - \varphi(L_{i+d_n}) = 0$ , then

$$t^{d_n}(\varphi(K_i)t^i - \varphi(M_i)t^i) + \varphi(M_{i+d_n})t^{i+d_n} - \varphi(L_{i+d_n})t^{i+d_n} = 0$$
 (\*)

Sum (\*) over i from 0 to  $\infty$ 

$$t^{d_n} P_{\varphi}(K, t) - t^{d_n} P_{\varphi}(M, t) + P_{\varphi}(M, t) - P_{\varphi}(L, t) - g(t) = 0$$

for some  $g(t) \in \mathbb{Z}[t]$ . By induction hypothesis,  $P_{\varphi}(K,t), P_{\varphi}(L,t)$  are form

$$\frac{h(t)}{\prod\limits_{i=1}^{n-1} (1-t^{d_i})}$$

and thus

$$P_{\varphi}(M,t) = \frac{1}{1 - t^{d_n}} \left( P_{\varphi}(L,t) - t^{d_n} P_{\varphi}(K,L) + g(t) \right) = \frac{f(t)}{\prod_{i=1}^{n} (1 - t^{d_i})}$$

for some  $f(t) \in \mathbb{Z}[x]$ 

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(2) By (1), write  $P_{\varphi}(M,t) = h(t)/(1-t)^d$  with  $(1-t) \not| h(t), h(t) = \sum_{i=0}^N a_i t^i, a_i \in \mathbb{Z}$ . Since

$$(1-t)^{-d} = \sum_{k=0}^{\infty} {\binom{-d}{k}} (-t)^k = \sum_{k=0}^{\infty} {\binom{d+k-1}{d-1}} t^k$$

The coefficient of  $t^n$   $(\forall n \geq N)$  in  $P_{\varphi}(M,t)$  is

$$\varphi(M_n) = \sum_{i=0}^{N} a_i \binom{d+n-i-1}{d-1} = \left(\sum_{i=0}^{N} a_i\right) t^{d-1} + \cdots$$

and  $\sum_{i=0}^{N} a_i = h(1) \neq 0 \leadsto$  it is a polynomial with degree d-1

**Theorem 1.12.2.** (R, m): Noetherian, local, M: f.g. R-module, k = R/m. Then

- (1)  $\dim_k \left( M / m^{\ell} M \right) < \infty$
- (2) Let d be the least number of generators of m. Then  $\exists$  a polynomial  $g(z) \in \mathbb{Q}[z]$  of  $\deg \leq d$  s.t.  $g(n) = \dim_k \left( \frac{M}{m^n M} \right) \ \forall \ n \gg 0$

**Proof:** 

(1)  $M/m^{\ell}M$  can be regard as a R/m-vector space. By Homework 9,  $\operatorname{gr}_m(M)$  is a f.g. graded  $\operatorname{gr}_m(R)$ -module and thus  $m^{\ell}M/m^{\ell+1}M$  is a f.g. R/m-module ( $\leadsto k$ -finite dimensional v.s.)

Claim: 
$$\dim_k \left( M / m^{\ell} M \right) = \sum_{r=1}^{\ell} \dim_l \left( m^{r-1} M / m^r M \right) < \infty$$

pf. By induction on  $\ell : \ell = 1$  OK!

For  $\ell > 1$ ,

$$0 \to m^{\ell-1}M/m^{\ell}M \to M/m^{\ell}M \to M/m^{\ell-1}M \to 0$$

$$\implies \dim_k \left(M/m^{\ell}M\right) = \dim_k \left(m^{\ell-1}M/m^{\ell}M\right) + \dim_k \left(M/m^{\ell-1}M\right)$$

$$= \sum_{r=1}^{\ell} \dim_k \left(m^{r-1}M/m^rM\right)$$

(2) Let  $\langle a_1,...,a_d \rangle_R = m$ . Then  $\operatorname{gr}_m(R) = R/m[\overline{a}_1,...,\overline{a}_d]$ , where  $\overline{a}_i \in m/m^2$ . By Hilbert-Serre,  $\exists ! \ p(z) \in \mathbb{Q}[z]$  of  $\deg \leq d-1$  s.t.

$$p(n) = \dim_k \left( m^n M / m^{n+1} M \right) \ \forall n \gg 0$$

Thus,  $\dim_k \left( \frac{M}{m^{n+1}M} \right) - \dim_k \left( \frac{M}{m^n M} \right) = \dim_k \left( \frac{m^n N}{m^{n+1}M} \right) = p(n)$  $\forall n \gg 0$ . By Property 1.12.2,  $\exists g(z) \in \mathbb{Q}[z]$  with  $\deg \leq d$  s.t.

$$g(n) = \dim_k \left( \frac{M}{m^n M} \right) \ \forall n \gg 0$$

Definition 1.12.2.

- A chain  $M = M_0 \supset M_1 \supset \cdots \supset M_r = 0$  is called a **composition series** if  $M_{i-1}/M_i$  is **simple** i.e. no submodule expect 0 and itself.
- r is called the **length** of composition series.

The well-defined of length is by the following theorem.

**Theorem 1.12.3** (Jordan-Hölder theorem). If M has a composition series, then two composition series have the same length and the same factors up to permutation. (By Butterfly lemma and Schreir refinement theorem)

### Proposition 1.12.1. TFAE

- (1) M has a composition series
- (2) M us both Noetherian and Artinian (Have DCC)

**Proof:** (1) 
$$\Rightarrow$$
 (2) : Let  $\ell(M) = n$ . If  $\exists 0 = N_1 \subsetneq N_2 \subsetneq \cdots$  in  $M$ , then

$$C: M = M_0 \supseteq M_1 \supseteq M_2 \supseteq \cdots \supseteq M_n = 0$$
 where  $M_i = N_{n+1-i}$ 

We know  $\widetilde{C}$ : a refinement of C s.t.  $\widetilde{C}$  is a composition series  $\leadsto \ell(\widetilde{C}) = n = \ell(C) \leadsto C = \widetilde{C}$ , but  $M/N_1 = M/N_n$  is not simple.  $(\to \leftarrow)$ 

Similarly, it is also true for Artinian property.

 $(2) \Rightarrow (1) : \because M$  is Noetherian  $\therefore \exists$  a maximal proper submodule  $M_1$  of  $M \rightsquigarrow M/M_1$ : simple and  $\exists$ a maximal proper submodule  $M_{i+1}$  of  $M_i \rightsquigarrow M_i/M_{i+1}$ : simple i.e.  $M = M_0 \subsetneq M_1 \subsetneq \cdots$ . Since M is Artinian,  $\exists n \text{ s.t. } M_n = 0$ 

# 1.13 Indecomposable module

In this section, we want decompose the module in suitable condition. So we see some property first.

### 1.13.1 Krull-Remak-Schmidt theorem

Let A be a ring and M be a Noetherian and Artinian A-module. Let  $f \in \text{End}_A(M)$ , then

Im 
$$f \supset \text{Im } f^2 \supset \cdots \xrightarrow{Artinian} \exists n \in \mathbb{N} \text{ s.t. } \text{Im } f^n = \text{Im } f^{n+1} = \cdots =: \text{Im } f^{\infty}$$

$$\ker f \subseteq \ker f^2 \subseteq \cdots \xrightarrow{Noetherian} \exists m \in \mathbb{N} \text{ s.t. } \ker f^m = \ker f^{m+1} = \cdots =: \ker f^{\infty}$$

Say Im  $f^{\infty} = \text{Im } f^n$  and  $\ker f^{\infty} = \ker f^n$  for some n.

Lemma 1.13.1 (Fitting lemma).

- (1)  $M = \operatorname{Im} f^{\infty} \oplus \ker f^{\infty}$
- (2)  $f|_{\text{Im }f^{\infty}}$  is an automorphism
- (3)  $f|_{\ker f^{\infty}}$  is nilpotent

### **Proof:**

- (1) If  $x \in \text{Im } f^{\infty} \cap \ker f^{\infty} = \{0\}$ , say  $f^n(z) = x$  and  $0 = f^n(x) = f^{2n}(z)$   $\implies z \in \ker f^{2n} = \ker f^n \rightsquigarrow x = 0$ 
  - $\forall x \in M, f^n(x) \in \operatorname{Im} f^n = \operatorname{Im} f^{2n} \implies f^n(x) = f^n(y) \text{ for some } y \in \operatorname{Im} f^n \implies x y \in \ker f^n \leadsto x \in \ker f^n + \operatorname{Im} f^n = \ker f^\infty + \operatorname{Im} f^\infty$
- (2)  $f|_{\operatorname{Im} f^{\infty}}: \operatorname{Im} f^{\infty} \to \operatorname{Im} f^{\infty}$  is surjective. If  $f^{n}(x) \in \ker f|_{\operatorname{Im} f^{\infty}}$ , then  $f^{n+1}(x) = 0 \leadsto x \in \ker f^{n+1} = \ker f^{n} \leadsto f^{n}(x) = 0$
- (3)  $f|_{\ker f^{\infty}} : \ker f^{\infty} \to \ker f^{\infty}, f^{n}(x) = 0 \ \forall x \in \ker f^{\infty} \leadsto f^{n} = 0$

### Definition 1.13.1.

- M is **decomposable** if  $M = M_1 \oplus M_2$  with  $M_1, M_2 \subsetneq M$
- M is **indecomposable** if M is not decomposable.

**Property 1.13.1.** Let M be indecomposable and Noetherian + Artinian. Then

- (1)  $\forall f \in \text{End}(M), f \text{ is either an auto. or a nilpotent.}$
- (2)  $\operatorname{End}(M)$  is a non-commutative local ring. (i.e. the set of non-unit is a two-side ideal)

#### **Proof:**

- (1) By Fitting lemma, one of  $M_1$ ,  $M_2$  is 0. The former is auto, the latter is nilpotent.
- (2) Let  $I = \text{End}(M) \setminus \{\text{unit}\}$ . For  $f \in I$ , f is nilpotent i.e.  $M = \ker f^{\infty}$ .
  - $\forall g \in \text{End}(M)$ . Notice that  $\text{Im } f^n = 0 \iff \ker f^n = M$ .
    - •• If  $\ker f = M \leadsto (gf)(x) = 0 \ \forall x \in M \leadsto gf \text{ is not } 1 1 \leadsto gf \in I$ If  $\ker f^{n-1} \subsetneq \ker f^n = M \leadsto \operatorname{Im} f^{n-1} \neq 0 \exists f^{n-1}(x) \neq 0$ , then  $gf(f^{n-1}(x)) = 0 \leadsto gf \in I$
    - ••  $fg(M) \subseteq f(M) \neq M$ , otherwise  $f(M) = M \leadsto \operatorname{Im} f = M$ . By Fitting lemma,  $\ker f^{\infty} = 0 \Longrightarrow f$  is an anto.  $(\to \leftarrow)$ . Hence, fg is not onto  $\leadsto fg \in I$
  - $f_1, f_2 \in I$ . If  $f_1 + f_2$  is auto, then define  $\begin{cases} h_1 = f_1(f_1 + f_2)^{-1} \\ h_2 = f_2(f_1 + f_2)^{-1} \end{cases} \implies h_1 + h_2 = 1.$ Then  $h_2 = 1 h_1$  and  $h_2^{-1} = 1 + h_1 + h_1^2 + \dots + h_1^{r-1}$  (if  $h_1^r = 0$ )  $\Rightarrow h_2 \notin I$  ( $\rightarrow \leftarrow$ )

**Property 1.13.2.** Let M, N be A-modules and N indecomposable. If  $f: M \to N$  and  $g: N \to M$  s.t. gf is auto, then f, g are isomorphism.

**Proof:** It is clear that f is 1-1 and g is onto. Let  $e=f(gf)^{-1}g \rightsquigarrow e^2=f(gf)^{-1}gf(gf)^{-1}f=e \rightsquigarrow e(e-1)=0$ . If  $e,1-e\neq 0$ , then e(1-e)=0 and  $1=e+(1-e)\Longrightarrow N=\operatorname{Im} e\oplus \operatorname{Im}(1-e)(\rightarrow \leftarrow)$ . So e=0 or e=1. Also,  $gef=gf(gf)^{-1}gf=gf$  is auto  $\Longrightarrow e\neq 0 \rightsquigarrow e=1$ . Hence, g is 1-1 and f is onto.

**Theorem 1.13.1** (Krull-Remak-Schmidt theorem). Let  $M \neq 0$  be Noetherian and Artinian. Then  $M = M_1 \oplus \cdots \oplus M_r$  with  $M_i$ : indecomposable and if

$$M = M_1 \oplus \cdots \oplus M_r = N_1 \oplus \cdots \oplus N_s$$

with  $M_i, N_j$  are indecomposable, then r = s and  $M_i \simeq N_i$  after rearrangement of indices.

#### **Proof:**

• Existence: If M is indecomposable, then done!

Otherwise,  $M = E_1 \oplus E_2$ . If  $E_1$  is indecomposable, then done!

Otherwise,  $E_1 = E_{11} \oplus E_{12}$ . If  $E_{11}$  is indecomposable, then done!

Otherwise,  $E_{11} = E_{21} \oplus E_{22}$ . If  $E_{21}$  is indecomposable, then done! ...

Then  $\exists M_1 \supsetneq E_1 \supsetneq E_{11} \supsetneq E_{21} \supsetneq \cdots$ . Since M is Artinian,  $\exists n$  s.t.  $E_n$  is indecomposable i.e. M contains an indecomposable component  $M_1$  and  $M = M_1 \oplus M_1'$ . Similarly,  $M_1'$  contains an indecomposable component  $M_2$  and  $M_1' = M_2 \oplus M_2'$ .  $\cdots$ .

Then  $\exists M'_{r-1}$ : indecomposable and  $M = M_1 \oplus \cdots \oplus M_{r-1} \oplus M'_{r-1}$ . Otherwise,  $M_1 \subsetneq M_1 \oplus M_2 \subsetneq \cdots$  which is contradict to Noetherian.

• Uniqueness: Let  $e_i: M \to M_i$ ,  $p_j: M \to N_j$ . Set  $f_j = e_1 p_j$ ,  $g_j = p_j e_1$ , then  $f_j g_j = e_1 p_j^2 e_1 = e_1 p_j e_1 \ \forall j$ . So

$$\sum_{j=1}^{s} f_j g_j = e_1 \left( \sum_{j=1}^{s} p_j \right) e_1 = e_1^2 = e_1 \implies \left( \sum_{j=1}^{s} p_j \right) \Big|_{M_1} = \mathrm{id}_{M_1}$$

Since all nilpotent element will form an ideal, there exists j s.t.  $(f_jg_j)|_{M_1}$  is an auto.

Notice that  $g_j|_{M_1} = p_j|_{M_1}$  and  $f_j|_{N_j} = e_1|_{N_j}$ . We can let  $N_j = N_1$  by renumbering. Then  $g_1|_{M_1}: M_1 \to N_1, f_1|_{N_1}: N_1 \to M_1$  with  $f_1|_{N_1} \circ g_1|_{M_1} = (f_1g_1)|_{M_1}$  is auto. By Property 1.13.2,  $f_1$  is isomorphism i.e.  $M_1 \simeq N_1$ .

Claim:  $M = N_1 \oplus (M_2 \oplus \cdots M_r)$ 

pf.  $\ker e_1 = M_2 \oplus \cdots \oplus M_r$  and  $e_1|_{N_1}$  is  $1 - 1 \rightsquigarrow N_1 \cap \ker e_1 = \{0\}$ 

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 $\forall x \in M, e_1(x) \in M_1 \text{ and by } e_1|_{N_1} : N_1 \xrightarrow{\sim} M_1, e(x) = e(y) \text{ for some } y \in N_1 \leadsto x - y \in \ker e_1 \leadsto x \in N_1 + \ker e_1$ 

So  $M = N_1 \oplus M_2 \oplus \cdots \oplus M_r = N_1 \oplus N_2 \oplus \cdots \oplus N_s$  and quotient  $N_1$  in both side, then  $M_2 \oplus \cdots \oplus M_r \simeq N_2 \oplus \cdots \oplus N_s$ . By induction on  $r, r-1 = s-1 \implies r = s$  and  $M_i \simeq N_i \ \forall i = 2, ..., r$  after rearrangement of  $\{N_i\}$ .

# 1.13.2 Commutative Artinian ring

Property 1.13.3.

- (1) An Artinian domain R is a field. pf. If  $x \in R$ , then  $\langle x \rangle \supseteq \langle x^2 \rangle \supseteq \cdots \implies \langle x^n \rangle = \langle x^{n+1} \rangle$ , say  $x^n = yx^{n+1} \rightsquigarrow x^n(1-yx) = 0 \rightsquigarrow yx = 1$
- (2) If R is Artinian, then  $\operatorname{Max} R = \operatorname{Spec} R$  $pf. \ \forall p \in \operatorname{Spec} R, R/p$  is Artinian integral domain is a field, then  $p \in \operatorname{Max} R$
- (3) If R is Artinian, then  $|\operatorname{Max} R| < \infty$   $pf. \text{ Let } S = \{ \bigcap_{\text{finite}} m : m \in \operatorname{Max} R \} \neq \emptyset. \text{ Then } \exists \text{ a minimal element say } m_1 \cap \cdots \cap m_r. \text{ Now, for } m \in \operatorname{Max} R, m \cap m_1 \cap \cdots \cap m_r = m_1 \cap \cdots \cap m_r \implies m \supseteq m_1 \cap \cdots \cap m_r. \text{ By prime avoidance lemma, } m \supseteq m_i \text{ for some } i.$
- (4) If R is Artinian and Max  $R = \{m_1, ..., m_\ell\}$ , then  $\exists n_1, ..., n_\ell \in \mathbb{N}$  s.t.

$$\langle 0 \rangle = \prod_{i=1}^{\ell} m_i^{n_i} = \bigcap_{i=1}^{\ell} m_i^{n_i}$$

pf.  $\sqrt{m_i^{n_i}+m_j^{n_j}}=\sqrt{\sqrt{m_i^{n_i}}+\sqrt{m_j^{n_j}}}=\sqrt{m_i+m_j}=\sqrt{R}=R \leadsto m_i^{n_i}+m_j^{n_j}=R$  for distinct i,j. So  $m_i^{n_i},m_j^{n_j}$  are coprime and thus

$$\prod_{i=1}^{\ell} m_i^{n_i} = \bigcap_{i=1}^{\ell} m_i^{n_i}$$

Since R is Artinian,  $\forall i, \exists n_i \text{ s.t. } m_i^{n_i} = m_i^{n_i+1} = \cdots$ 

If  $m_1^{n_1} \cdots m_\ell^{n_\ell} \neq 0$ , then  $\mathcal{S} = \{J \subseteq R | J m_1^{n_1} \cdots m_\ell^{n_\ell}\} \neq \emptyset$  since  $m_1 \in \mathcal{S}$ . Let  $J_0$  be a minimal element of  $\mathcal{S}$ . Pick  $0 \neq x \in J_0$ , then  $\langle x \rangle \in \mathcal{S}$  and  $\langle x \rangle \subseteq J_0 \implies \langle x \rangle = J_0$ . Now,  $x m_1^{n_1} \cdots m_\ell^{n_\ell} = x m_1^{n_1+1} \cdots m_\ell^{n_\ell+1} \implies x m_1 \cdots \langle x \rangle \supseteq m_\ell \in \mathcal{S} \implies x m_1 \cdots m_\ell = \langle x \rangle \implies (m_1 \cdots m_\ell)_{\subseteq J_R}(Rx) = Rx$ . By Nakayama's lemma,  $Rx = 0 \implies x = 0 \iff x =$ 

(5) R: Artinian, then

$$R = R/\langle 0 \rangle = R/m_1^{n_1} \cdots m_\ell^{n_\ell} \simeq \prod_{i=1}^\ell R/m_i^{n_i}$$

 $\leadsto R \! \! / \! \! m_j^{n_j}$  : Artinian and the only maximal ideal is  $m_j \! / \! \! \! m_j^{n_j}.$ 

(Since 
$$m/m_j^{n_j} \in \operatorname{Max} R/m_j^{n_j} \leadsto m_j^{n_j} \subseteq m \in \operatorname{Max} R \implies m = m_j$$
)

If we want to research the commutative Artinian ring, we only need to research the property of commutative local Artinian ring.

# Chapter 2

# Homological algebra

# 2.1 Projective, injective and flat module

**Observation:**  $0 \to M_1 \xrightarrow{\alpha} M_2 \xrightarrow{\beta} M_3 \to 0$  be exact in  ${}_R\mathfrak{M}$ . For  $M, N \in {}_R\mathfrak{M}$ ,

$$0 \longrightarrow \operatorname{Hom}_R(M, M_1) \xrightarrow{\overline{\alpha}} \operatorname{Hom}_R(M, M_2) \xrightarrow{\overline{\beta}} \operatorname{Hom}_R(M, M_3) \text{ exact}$$

$$f \longmapsto \alpha \circ f \quad g \longmapsto \beta \circ g$$

$$0 \longrightarrow \operatorname{Hom}_{R}(M_{3}, N) \stackrel{\overline{\beta}}{\longrightarrow} \operatorname{Hom}_{R}(M_{2}, N) \stackrel{\overline{\alpha}}{\longrightarrow} \operatorname{Hom}_{R}(M_{1}, N) \text{ exact}$$

$$f \longmapsto f \circ \beta \qquad g \longmapsto g \circ \alpha$$

For  $M \in \mathfrak{M}_R$ ,

$$M \otimes_R M_1 \xrightarrow{1 \otimes \alpha} M \otimes_R M_2 \xrightarrow{1 \otimes \beta} M \otimes_R M_3 \longrightarrow 0$$
 exact

#### Those property in above please check by yourself.

Notice that it will not from a complete short exact sequence, we see some example.

#### Example 2.1.1.

- $0 \to \mathbb{Z} \to \mathbb{Q} \to \mathbb{Q}/\mathbb{Z} \to 0$  in  $\mathfrak{M}_{\mathbb{Z}}$ 
  - ••  $M = \mathbb{Z}/2\mathbb{Z}$ : If  $f \in \operatorname{Hom}_{\mathbb{Z}}(\mathbb{Z}/2\mathbb{Z}, \mathbb{Q})$  and  $f : \overline{1} \to x \leadsto 2x = 0 \leadsto x = 0 \leadsto f = 0$ . But  $0 \neq g : \overline{1} \to \overline{1/2}$  in  $\operatorname{Hom}_{\mathbb{Z}}(\mathbb{Z}/2\mathbb{Z}, \mathbb{Q}/\mathbb{Z})$  so it will not surjective.
  - ••  $N = \mathbb{Z}$ : If  $f \in \text{Hom}(\mathbb{Q}, \mathbb{Z})$ , since  $f(\mathbb{Q}) \subseteq \mathbb{Z}$  is PID, say  $f(\mathbb{Q}) = n\mathbb{Z}$  and  $r \mapsto n \leadsto r/2 \mapsto n/2 \notin n\mathbb{Z}$  if  $n \neq 0 \leadsto f = 0$ . But  $\text{Hom}(\mathbb{Z}, \mathbb{Z}) \neq 0$ .
- $0 \to \mathbb{Z} \xrightarrow{2} \mathbb{Z} \to \mathbb{Z}/2\mathbb{Z} \to 0$ 
  - ••  $M = \mathbb{Z}/2\mathbb{Z}$ , then  $\mathbb{Z}/2\mathbb{Z}\otimes\mathbb{Z} \xrightarrow{2\otimes 1} \mathbb{Z}/2\mathbb{Z}\otimes\mathbb{Z}$  not injective since  $0 \neq \overline{1}\otimes 1 \mapsto \overline{0}\otimes 1 = 0$

#### Definition 2.1.1.

- $M \in {}_R\mathfrak{M}$  is **projective** if  $\operatorname{Hom}(M,\cdot)$  preserves the right exactness.
- $N \in {}_R\mathfrak{M}$  is **injective** if  $\operatorname{Hom}(\cdot,N)$  preserves the right exactness

•  $M \in \mathfrak{M}_R$  is flat if  $M \otimes \cdot$  preserves the left exactness.

#### Fact 2.1.1.

• M is projective  $\iff \forall M_2 \twoheadrightarrow M_3 \text{ and } \forall f \in \operatorname{Hom}(M, M_3) \text{ we have}$ 

$$M \xrightarrow{\exists \tilde{f}} M \downarrow_f$$

$$M_2 \xrightarrow{\times} M_3 \longrightarrow 0$$

We called  $\widetilde{f}$  is a lifting of f.

• N is injective  $\iff \forall M_1 \hookrightarrow M_2 \text{ and } \forall f \in \text{Hom}(M_1, N) \text{ we have}$ 

$$0 \longrightarrow M_1 \longrightarrow M_2$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \qquad \downarrow \qquad \qquad \qquad \downarrow \qquad \qquad \qquad \downarrow \qquad \qquad$$

We called  $\widetilde{f}$  is an extension of f.

• free  $\implies$  projective

$$M_2 \xrightarrow{\exists \tilde{f}} F$$

$$M_3 \xrightarrow{q} M_3 \longrightarrow 0$$

Let F be free on  $X = \{x_i : i \in \Lambda\}$  and  $f(x_i) = b_i$ . Since g is surjective,  $\exists a_i \in M_2$  s.t.  $g(a_i) = b_i$ . Then map  $\Lambda \to M_2$  by  $x_i \to a_i$  and by the universal property of free module,  $\exists \widetilde{f} : F \to M_2$  s.t.  $\widetilde{f}(x_i) = a_i$  and thus the diagram commute.

- free  $\Longrightarrow$  flat : Say  $F \simeq R^n$  where n may not be finite, then  $0 \to M_1 \to M_2 \Longrightarrow 0 \to M_1^{\oplus n} \to M_2^{\oplus n}$  and thus  $0 \to R^n \otimes M_1 \to R^n \otimes M_2$ .
- S: m.c. in  $R, 0 \rightarrow M_1 \rightarrow M_2 \rightarrow M_3 \rightarrow 0$  exact, then

$$0 \to (M_1)_S \to (M_2)_S \to (M_3)_S \to 0$$

and notice that  $M_S = R_S \otimes_R M$ , so  $R_S$  is flat R-module. In particular,  $\mathbb{Q}$  is flat  $\mathbb{Z}$ -module.

### Goal:

- It's known that for any  $M=\langle X\rangle_R\in {}_R\mathfrak{M}, \exists F: \text{free on }X \text{ and } \begin{matrix} F&\longrightarrow&M\\ e_i&\longmapsto&x_i\in X\end{matrix} \to 0$
- Now we want to do the "dual" version : for any  $M \in {}_R\mathfrak{M}$ , there exists injective R-module N s.t.  $0 \to M \to N$

**Theorem 2.1.1** (Baer's criterion). N is injective  $\iff$ 

$$\forall: 0 \longrightarrow I \longrightarrow R$$

$$\downarrow f \qquad \qquad \downarrow \tilde{f}$$

$$N$$

**Proof:**  $(\Rightarrow) : OK!$ 

 $(\Leftarrow)$ : For given  $0 \to M_1 \xrightarrow{\alpha} M_2$  and  $g: M_1 \to N$ , consider

$$S := \{(M, \rho) : M \subseteq M \subseteq M_2, \rho \text{ extends } g\} \neq \emptyset$$

since  $(M_1, g) \in \mathcal{S}$ . By the routine argument of Zorn's lemma,  $\exists$  a maximal element  $(M^*, \mu)$  in  $\mathcal{S}$ .

Claim:  $M^* = M_2$ 

pf. Assume  $M^* \subsetneq M_2$ . Pick  $x \in M_2 \setminus M^*$  and put  $M' = M^* + Rx$ . Let  $I = \{r \in R : rx \in M^*\}$ . Define  $f : I \to N$  by  $r \to \mu(rx)$ , then we can extends  $f : I \to N$  to  $h : R \to N$ . Now, define

$$\mu': \quad M' \quad \longrightarrow \quad N \\ z + rx \quad \longmapsto \quad \mu(z) + h(r)$$

Well-defined: 
$$z_1 + r_1 x = z_2 + r_2 x \implies z_1 - z_2 = (r_2 - r_1) x \rightsquigarrow (r_2 - r_1) \in I$$
  
 $h(r_2) - h(r_1) = h(r_2 - r_1) = \mu((r_2 - r_1)x) = \mu(z_1 - z_2) = \mu(z_1) - \mu(z_2)$ . Then  $(M', \mu') \ge (M^*, \mu) \ (\to \leftarrow)$ .

Property 2.1.1 (key property).

- Every injective module N over an integral domain R is **divisible** i.e.  $\forall x \in N, r \in R \setminus \{0\}, \exists y \in N \text{ s.t. } x = ry \text{ i.e. } rN = N$
- Every divisible module N over a PID R is injective (Over a PID, divisible  $\iff$  injective)

#### **Proof:**

•  $\forall x_0 \in N, r_0 \in R \setminus \{0\}$ , define  $g: Rr_0 \longrightarrow N \atop rr_0 \longmapsto rx_0$  which is well-defined by ID. By Baer's criterion, h extends g:

$$0 \longrightarrow Rr_0 \longrightarrow R$$

$$\downarrow g \qquad \exists h$$

$$N$$

let 
$$y_0 = h(1) \leadsto r_0 y_0 = r_0 h(1) = h(r_0) = x_0$$

• For given  $I \subseteq R$   $f: I \to N$ , say  $I = \langle r_0 \rangle$  and  $r_0 \mapsto x_0$ . let  $y_0 \in R$  s.t.  $r_0 y_0 = x_0$ . Define  $h: R \to N$  by  $h(1) = y_0$ , then  $h(rr_0) = rh(r_0) = rx_0 = g(rr_0)$  i.e.  $h|_{I} = f$ .

**Theorem 2.1.2** (Main theorem).  $\forall M \in {}_{R}\mathfrak{M}, \exists N \in {}_{R}\mathfrak{M} : \text{injective s.t. } M \hookrightarrow N$ 

### **Proof:**

• We consider the case of  $\mathbb{Z}$ -module first :

$$0 \longrightarrow \ker f \longrightarrow F \xrightarrow{f} M \longrightarrow 0 \rightsquigarrow M \simeq F/\ker f$$

Say  $F = \bigoplus_{i \in \Lambda} \mathbb{Z}e_i$ . Consider  $F' := \mathbb{Q} \otimes F = \bigoplus_{i \in \Lambda} \mathbb{Q}e_i$  which is injective  $\mathbb{Z}$ -module and  $F'/\ker f$  is also injective, since mF' = F' and  $m(F'/\ker f) = F'/\ker f$  for all  $m \in \mathbb{Z} \setminus \{0\}$ . Then  $M \simeq F/\ker f \hookrightarrow F'/\ker f$ 

• General R: As above, regard M as a abelian group, then  $M \hookrightarrow N_0$ : injective  $\mathbb{Z}$ -module. Write  $N = \operatorname{Hom}_{\mathbb{Z}}(R, N_0)$  which is R-module.

Claim: N is injective

pf. Given  $0 \to M_1 \to M_2$  with  $f: M_1 \to N$ , define  $f': M_1 \to N_0$  by  $x \mapsto f(x)(1)$ . By  $N_0$ : injective,  $h': M_2 \to N_0$  extends  $f': M_1 \to N_0$ , then  $h' \in \operatorname{Hom}_{\mathbb{Z}}(M_2, N_0)$  has right R-module structure. Now define

$$\begin{array}{ccc} h: & M_2 & \longrightarrow & N \\ & x & \longmapsto & h(x): r \mapsto rh'(x) \end{array}$$

Finally, we will check those condition:

••  $h(x) \in \operatorname{Hom}_{\mathbb{Z}}(R, N_0)$ 

$$h(x)(r_1+r_2) = (r_1+r_2)h'(x) = r_1h'(x) + r_2h'(x) = h(x)(r_1) + h(x)(r_2)$$

••  $h \in \operatorname{Hom}_R(M_2, N)$ 

$$h(x_1+x_2)(r) = (x_1+x_2)h'(x) = h(x_1)(r)+h(x_2)(r) \ \forall r \leadsto h(x_1+x_2) = h(x_1)+h(x_2)$$
$$h(sx)(r) = rh'(sx) = r(h's)(x) = (h(x)s)(r)$$

••  $h|_{M_1} = f : \text{Let } M_1 \xrightarrow{g} M_2 \text{ and } \forall x \in M_1$ 

$$h(g(x))(r) = rh'(g(x)) = rf'(x) \text{(since } h' \circ g = f')$$
$$= rf(x)(1) = f(x)(r) \ \forall r \in R \leadsto h \circ g = f$$

Now, by  $M \hookrightarrow N_0$  we have

$$M \simeq \operatorname{Hom}_R(R, M) \hookrightarrow \operatorname{Hom}_{\mathbb{Z}}(R, M) \hookrightarrow \operatorname{Hom}_{\mathbb{Z}}(R, N_0) = N$$
: injective

and get the goal.

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**Definition 2.1.2** (split). If  $0 \to M_1 \to M_2 \to M_3 \to 0$  is called **split** if

$$M_2 = M_1 \oplus M_3$$

Note that  $0 \to M_1 \xrightarrow{f} M_2 \xrightarrow{g} M_3 \to 0$  split if we can find a homomorphism  $f': M_1 \to M_2$  s.t.  $f' \circ f = \mathrm{id}_{M_1}$  or  $g': M_3 \to M_2$  s.t.  $g \circ g' = \mathrm{id}_{M_3}$ .

Property 2.1.2 (Important property).

- (1) TFAE
  - (a) M is projective
  - (b)  $\forall 0 \to M_1 \to M_2 \to M \to 0$  split
  - (c)  $\exists M'$  s.t.  $M \oplus M'$  is free
- (2) TFAE
  - (a) M is injective
  - (b)  $\forall 0 \to M \to M_2 \to M_3 \to 0$  split exact
- (3) projective  $\implies$  flat

**Proof:** 

(1) •  $(a) \Rightarrow (b)$ : Since M is projective

$$M_2 \xrightarrow{\exists \mu} M \xrightarrow{\text{id}} M$$

$$M_2 \xrightarrow{\beta} M \longrightarrow 0$$

s.t.  $\beta \circ \mu = id$ 

- $(b) \Rightarrow (c) : \exists F : \text{free s.t. } 0 \longrightarrow \ker f \xrightarrow{f} M \to 0. \text{ By assumption, } \ker f \oplus M \simeq F \text{ is free.}$
- $(c) \Rightarrow (a)$ : For all  $M_2 \to M_3 \to 0$  with  $f: M \to M_3$ . Since  $M' \oplus M \simeq F$ : free

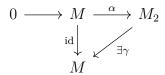
$$0 \longrightarrow M' \longrightarrow F \xrightarrow{\pi} M \longrightarrow 0 : \text{split}$$

$$\downarrow f \qquad \qquad \downarrow f$$

$$M_2 \xrightarrow{\beta} M_3 \longrightarrow 0$$

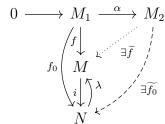
Since the above is split,  $\exists \mu : M \to F \text{ s.t. } \pi \circ \mu = \mathrm{id}_M.$ 

(2) •  $(a) \Rightarrow (b)$ : Since M is injective



s.t.  $\gamma \circ \alpha = \mathrm{id}_M$ 

•  $(b) \Rightarrow (a) : \exists N : \text{injective s.t. } M \stackrel{i}{\hookrightarrow} N \text{ and consider } 0 \to M \to N \to N/M \to 0 \text{ is split, then } \exists \lambda : N \to M \text{ s.t. } \lambda \circ i = \mathrm{id}_M. \text{ Since } N \text{ is injective, } \exists \widetilde{f_0} \text{ extends} f_0. \text{ Let } \widetilde{f} := \lambda \circ \widetilde{f_0}, \text{ then } \widetilde{f} \circ \alpha = \lambda \circ f_0 = f \text{ i.e.} \widetilde{f} : M_2 \to M \text{ is extension of } f : M_1 \to M.$ 



(3) Claim:  $\bigoplus_{i \in \Lambda} M_i$  is flat  $\iff M_i$  is flat  $\forall i$ .

 $pf. \text{ For } \mathcal{C}: 0 \to N_1 \to N_2,$ 

$$\bigoplus_{i \in \Lambda} M_i \text{is flat} \iff 0 \to \left(\bigoplus_{i \in \Lambda} M_i\right) \otimes N_1 \to \left(\bigoplus_{i \in \Lambda} M_i\right) \otimes N_2 \ \forall \mathcal{C}$$

$$\iff \bigoplus_{i \in \Lambda} \left(M_i \otimes N_1\right) \to \bigoplus_{i \in \Lambda} \left(M_i \otimes N_2\right) \text{ is injective } \forall \mathcal{C}$$

$$\iff M_i \otimes N_1 \to M_i \otimes N_2 \text{ is injective } \forall i \in \Lambda, \ \forall \mathcal{C}$$

$$\iff M_i \text{ is flat } \forall i \in \Lambda$$

Since  $\exists M'$  s.t.  $M \oplus M'$  is free and thus is flat, M is also flat by Claim.

**Property 2.1.3.** If  $M_1, M_2, M_3 \in {}_R\mathfrak{M}$ , then  $M_1 \xrightarrow{f} M_2 \xrightarrow{g} M_3 \to 0$ : exact

$$\iff 0 \to \operatorname{Hom}(M_3, N) \xrightarrow{\widetilde{g}} \operatorname{Hom}(M_2, N) \xrightarrow{\widetilde{f}} \operatorname{Hom}(M_1, N) : \operatorname{exact} \forall N \in {}_R\mathfrak{M}$$

**Proof:**  $(\Rightarrow)$ : By observation.

 $(\Leftarrow)$ : We select specific R-module to get the conclusion.

- Let  $N = M_3/g(M_2)$  and  $i: M_3 \to N$ , then  $i \circ g = 0 \leadsto i = 0$  i.e.  $M_3 = g(M_2) \leadsto g$  is onto.
- Let  $N=M_3 \leadsto \mathrm{id}_{M_3} \in \mathrm{Hom}(M_3,N)$  and  $0=\widetilde{f} \circ \widetilde{g}(\mathrm{id}_{M_3})=g \circ f \leadsto \mathrm{Im}\, f \subseteq \ker g$

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• Let  $N = M_2/f(M_1)$  and  $i: M_2 \hookrightarrow N$ , then  $i \circ f = 0$  i.e.  $i \in \ker \widetilde{f} = \operatorname{Im} \widetilde{g}$ , say  $i = h \circ g$ . If  $x \in \ker g \leadsto x \in \ker i \leadsto x \in f(M_1) \leadsto \ker g \subseteq \operatorname{Im} f$ 

**Remark 2.1.1.** Using Property 2.1.3 and the result in Homework 12-3, we can get tensor  $(M \otimes \cdot)$  will preserve right exactness.

# 2.2 Homology functor

#### Definition 2.2.1.

• A chain complex  $C_{\bullet}$  of R-modules is a sequence and maps

$$C_{\bullet}: \cdots \longrightarrow C_{n+1} \xrightarrow[d_{n+1}]{} C_{n} \xrightarrow[d_{n}]{} C_{n-1} \longrightarrow \cdots \xrightarrow[d_{1}]{} C_{0} \longrightarrow 0$$

s.t.  $d_n d_{n+1} = 0 \rightsquigarrow \operatorname{Im} d_{n+1} \subseteq \ker d_n$ . It's closed to exact, but we want to know how close it between exact, so we define:

- ••  $H_n(C_{\bullet}) := \ker d_n / \operatorname{Im} d_{n+1}$  is called *n*-th homology of  $C_{\bullet}$
- ••  $Z_n(C_{\bullet}) := \ker d_n$  is called n cycle and  $B_n(C_{\bullet}) := \operatorname{Im} d_n$  is called n boundary.
- A cochain complex  $C^{\bullet}$  of R-modules is a sequence and maps

$$C^{\bullet}: 0 \longrightarrow C^{0} \xrightarrow[d_{1}]{} C^{1} \xrightarrow[d_{2}]{} C^{2} \cdots \longrightarrow C^{n} \xrightarrow[d_{n+1}]{} C^{n+1} \longrightarrow \cdots$$

s.t.  $d_{n+1}d_n = 0 \rightsquigarrow \text{Im } d_n \subseteq \ker d_{n+1}$ . Similarly, we define :

- ••  $H^n(C^{\bullet}) := \ker d_{n+1} / \operatorname{Im} d_n$  is called *n*-th cohomology of  $C^{\bullet}$
- ••  $Z^n(C^{\bullet}) := \ker d_n$  is called n cocycle and  $B^n(C_{\bullet}) := \operatorname{Im} d_n$  is called n coboundary.
- A cochain homomorphism  $\varphi:C^{\bullet}\to \widetilde{C}^{\bullet}$  :

$$0 \longrightarrow C^{0} \xrightarrow{d_{1}} C^{1} \longrightarrow \cdots \longrightarrow C^{i-1} \xrightarrow{d_{i}} C^{i} \xrightarrow{d_{i+1}} C^{i+1} \longrightarrow \cdots$$

$$\downarrow \varphi_{0} \downarrow \qquad \varphi_{1} \downarrow \qquad \qquad \varphi_{i-1} \downarrow \qquad \varphi_{i} \downarrow \qquad \varphi_{i+1} \downarrow$$

$$0 \longrightarrow \widetilde{C}^{0} \xrightarrow{\widetilde{d}_{1}} \widetilde{C}^{1} \longrightarrow \cdots \longrightarrow \widetilde{C}^{i-1} \xrightarrow{\widetilde{d}_{i}} \widetilde{C}^{i} \xrightarrow{\widetilde{d}_{i+1}} \widetilde{C}^{i+1} \longrightarrow \cdots$$

such that the diagram commutes.

We find that  $\varphi_i(\ker d_{i+1}) \subseteq \ker \widetilde{d}_{i+1}$  and  $\varphi_i(\operatorname{Im} d_i) \subseteq \operatorname{Im} \widetilde{d}_i$ , so we can define

$$\varphi_i^*: \quad H^i(C^{\bullet}) \longrightarrow H^i(\widetilde{C}^{\bullet})$$

$$\underbrace{x}_{\in \ker d_{i+1}} + \operatorname{Im} d_i \longrightarrow \underbrace{\varphi_i(x)}_{\in \ker d_i} + \operatorname{Im} \widetilde{d}_i$$

which is well-defined. Then  $\varphi^*: H^{\bullet}(C^{\bullet}) \to H^{\bullet}(\widetilde{C}^{\bullet})$  is a homomorphism of cohomology.

Similarly, we can define **chain homomorphism** and  $\varphi_*: H_{\bullet}(C_{\bullet}) \to H_{\bullet}(\widetilde{C}_{\bullet})$ 

From now on, we consider the property of chain complex and it can do similar way in cochain complex.

Now, we want to know want kind of chain homomorphisms are "same".

### **Definition 2.2.2.** (homotopic)

•  $f: C_{\bullet} \to \widetilde{C}_{\bullet}$  is null homotopic is  $\exists s_n: C_n \to \widetilde{C}_{n+1}$  s.t.  $f_n = \widetilde{d}_{n+1}s_n + s_{n-1}d_n \ \forall n$ 

$$\cdots \longrightarrow C_{n+1} \xrightarrow{d_{n+1}} C_n \xrightarrow{d_n} C_{n-1} \longrightarrow \cdots$$

$$\downarrow s_n \qquad \downarrow f_n \qquad \downarrow s_{n-1} \qquad$$

$$\implies f_*: \quad H_n(C_{\bullet}) \longrightarrow \qquad \qquad H_n(\widetilde{C}_{\bullet})$$

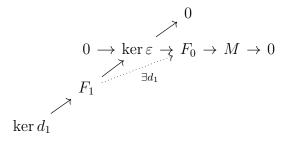
$$x + \operatorname{Im} d_{n+1} \longrightarrow f_n(x) + \operatorname{Im} \widetilde{d}_{n+1} = \left(\widetilde{d}_{n+1} s_n(x) + s_{n-1} d_n(x)\right) + \operatorname{Im} \widetilde{d}_{n+1} = \overline{0}$$

- $f,g:C_{\bullet}\to \widetilde{C}_{\bullet}$  are **homotopic** if (f-g) is null homotopic i.e.  $(f-g)_*=0 \leadsto f_*=g_*$
- Let  $M \in {}_R\mathfrak{M}$ . A projective resolution of M is an exact sequence

$$\cdots \longrightarrow P_2 \xrightarrow{d_2} P_1 \xrightarrow{d_1} P_0 \xrightarrow{\varepsilon} M \longrightarrow 0$$
, where  $P_i$ : projective

**Property 2.2.1.** Every  $M \in {}_{R}\mathfrak{M}$  has projective resolution.

**Proof:** We construct by induction. Let  $P_0 = F_0$ : free on M s.t.  $F_0 \xrightarrow{\varepsilon} M \to 0$ . Let  $P_1 = F_1$ : free on  $\ker \varepsilon$  s.t.  $F_1 \to \ker \varepsilon \to 0$ ,



and notice that  $\operatorname{Im} d_1 = \ker \varepsilon$ , so it is exact. Keep going to construct  $P_2, P_3, \dots$  and  $d_2, d_3, \dots$ 

**Theorem 2.2.1** (Comparison theorem).

Then  $\exists f_i : P_i \to C_i$  s.t.  $\{f_i\}$  forms a chain maps s.t. diagram commute. Any two such chain maps are homotopic.

**Proof:** Existence: By induction on n : n = 0,  $\exists f_0$  by projectivity of  $P_0$ . For n > 0,

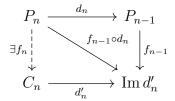
$$\cdots \longrightarrow P_n \xrightarrow{d_n} P_{n-1} \xrightarrow{d_{n-1}} P_{n-2} \longrightarrow$$

$$\downarrow \exists f_n \qquad \downarrow f_{n-1} \qquad \downarrow f_{n-2}$$

$$\cdots \longrightarrow C_n \xrightarrow{d'_n} C_{n-1} \xrightarrow{d'_{n-1}} C_{n-2} \longrightarrow$$

Claim:  $\operatorname{Im}(f_{n-1}d_n) \subseteq \operatorname{Im} d'_n$ 

pf. Since Im  $d'_n = \ker d'_{n-1}$  and  $d'_{n-1}f_{n-1}d_n = f_{n-2}d_{n-1}d_n = 0$ . By projectivity, there exists  $f_n : P_n \to C_n$  such that the diagram commute



**Uniqueness:** For another  $\{g_i: P_i \to C_i\}$ , we construct a homotopy by induction on n: Let  $s_{-1}: 0 \to C_0$  be the zero map.

For n > 0.

$$P_{n+1} \xrightarrow{d_{n+1}} P_n \xrightarrow{d_n} P_{n-1}$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$C_{n+1} \xrightarrow{d'_{n+1}} C_n \xrightarrow{d'_n} C_{n-1}$$

$$d'_{n}(g_{n} - f_{n} - s_{n-1}d_{n}) = d'_{n}g_{n} - d'_{n}f_{n} - d'_{n}s_{n-1}d_{n}$$

$$= g_{n-1}d_{n} - f_{n-1}d_{n} - (g_{n-1} - f_{n-1} - s_{n-2}d_{n-1})d_{n} = 0$$

$$\implies \operatorname{Im}(g_{n} - f_{n} - s_{n-1}d_{n}) \subseteq \operatorname{Im} d'_{n+1} = \ker d'_{n}$$

By projectivity, there exists  $s_n: P_n \to C_{n+1}$  s.t. the following diagram commute

$$C_{n+1} \xrightarrow{\exists s_n} P_n$$

$$\downarrow^{g_n - f_n - s_{n-1} d_n}$$

$$C_{n+1} \xrightarrow{} \operatorname{Im} d'_{n+1} \xrightarrow{} 0$$

**Definition 2.2.3.** Let  $M \in {}_R\mathfrak{M}$  and  $\cdots \to P_2 \xrightarrow{d_2} P_1 \xrightarrow{d_1} P_0 \xrightarrow{\varepsilon} M \to 0$  be a projective resolution of M (or simply  $P_{\bullet} \to M \to 0$ )  $\leadsto P_M : P_{\bullet} \to 0$  is chain complex. Then for all  $N \in {}_R\mathfrak{M}$ ,

$$0 \to \operatorname{Hom}(P_0, N) \xrightarrow{\overline{d}_1} \operatorname{Hom}(P_1, N)) \xrightarrow{\overline{d}_2} \operatorname{Hom}(P_2, N) \to \cdots$$

Notice that  $\overline{d}_{i+1}\overline{d}_i(f) = f \circ d_i \circ d_{i+1} = 0$ , so it form a cochain complex. Define

$$\operatorname{Ext}_R^n(M,N) := H^n(\operatorname{Hom}(P_M,N)) \ \forall n \geq 0$$

$$n=0$$
:  $\operatorname{Ext}_{R}^{0}(M,N)=\ker \overline{d}_{1}/0=\ker \overline{d}_{1}=\operatorname{Im} \overline{\varepsilon}\simeq \operatorname{Hom}(M,N)$  and

$$0 \to \operatorname{Hom}(M, N) \xrightarrow{\overline{\varepsilon}} \operatorname{Hom}(P_0, N) \xrightarrow{\overline{d}_1} \operatorname{Hom}(P_1, N) \to \cdots : \operatorname{exact}$$

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But our definition of Ext is dependent on choice of  $P_{\bullet}$ . So we see this theorem. Theorem 2.2.2 (Independency of the choice of projective resolution).

### **Proof:**

(1) Consider two projective resolution of M and  $\widetilde{M}$  and  $F: M \to \widetilde{M}$ . By comparison theorem, there exists  $f = \{f_i\}$ 

$$\cdots \longrightarrow P_1 \xrightarrow{d_1} P_0 \xrightarrow{\varepsilon} M \longrightarrow 0$$

$$\downarrow \exists f_1 \qquad \downarrow \exists f_0 \qquad \downarrow F$$

$$\cdots \longrightarrow P_1 \xrightarrow{\widetilde{d}_1} P_0 \xrightarrow{\varepsilon'} \widetilde{M} \longrightarrow 0$$

Take Hom functor on whole diagram, we get

$$0 \longrightarrow \operatorname{Hom}_{R}(P_{0}, N) \xrightarrow{\overline{d}_{1}} \operatorname{Hom}_{R}(P_{1}, N) \longrightarrow \cdots$$

$$\overline{f}_{0} \uparrow \qquad \qquad \overline{f}_{1} \uparrow$$

$$0 \longrightarrow \operatorname{Hom}_{R}(\widetilde{P}_{0}, N) \xrightarrow{\overline{\widetilde{d}_{1}}} \operatorname{Hom}_{R}(\widetilde{P}_{1}, N) \longrightarrow \cdots$$

Then  $\overline{f}^*: \operatorname{Ext}_R^{\bullet}(\widetilde{M}, N) \to \operatorname{Ext}_R^{\bullet}(M, N)$ . For another  $g = \{g_i\}$ , f and g are homotopic i.e.  $\exists \{s_i\}$  s.t.  $g_n - f_n = s_{n-1}d_n + \widetilde{d}_{n+1}s_n \leadsto \overline{g}_n - \overline{f}_n = \overline{s}_{n-1}\overline{d}_n + \overline{d}_{n+1}\overline{s}_n \leadsto \overline{f}^* = \overline{g}^*$ 

(2) Let  $\widetilde{M} = M$  and f = id

**Theorem 2.2.3** (Long exact sequence for Ext). If  $0 \to L \to M \to K \to 0$  is exact for R-module, then

$$0 \to \operatorname{Hom}(K, N) \to \operatorname{Hom}(M, N) \to \operatorname{Hom}(L, N)$$
  
$$\to \operatorname{Ext}^{1}(K, N) \to \operatorname{Ext}^{1}(M, N) \to \operatorname{Ext}^{1}(L, N)$$
  
$$\to \operatorname{Ext}^{2}(K, N) \to \operatorname{Ext}^{2}(M, N) \to \operatorname{Ext}^{2}(L, N) \to \cdots$$

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We will use Horseshoe lemma and snake lemma in below, which will put the statement in Homework 13.

**Proof:** We choose  $P_{\bullet} \to L$ : proj. resol. of L and  $\widetilde{P}_{\bullet} \to K$ : proj. resol. of K. By the Horseshoe lemma,  $\overline{P}_{\bullet} \to M \to 0$ : proj. resol of M s.t.

$$0 \longrightarrow P_{\bullet} \longrightarrow \overline{P}_{\bullet} \longrightarrow \widetilde{P}_{\bullet} \longrightarrow 0$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$0 \longrightarrow L \longrightarrow M \longrightarrow K \longrightarrow 0$$

$$\downarrow \qquad \qquad \downarrow$$

$$0 \qquad \qquad 0 \qquad \qquad 0$$

Notice that  $\widetilde{P}_i$  is projective,  $0 \to P_i \to \overline{P}_i \to \widetilde{P}_i \to 0$  is split, then  $\overline{P}_i \simeq P_i \oplus \widetilde{P}_i$  and thus  $\operatorname{Hom}(\overline{P},N) \simeq \operatorname{Hom}(P_i,N) \oplus \operatorname{Hom}(\widetilde{P}_i,N)$ , then we have a exact sequence :

$$0 \to \operatorname{Hom}(\widetilde{P}_i, N) \to \operatorname{Hom}(\overline{P}_i, N) \to \operatorname{Hom}(P_i, N) \to 0$$

and thus

$$0 \longrightarrow \operatorname{Hom}(\widetilde{P}_{1}, N) \longrightarrow \operatorname{Hom}(\overline{P}_{1}, N) \longrightarrow \operatorname{Hom}(P_{1}, N) \longrightarrow 0$$

$$\uparrow \qquad \qquad \uparrow \qquad \qquad \uparrow$$

$$0 \longrightarrow \operatorname{Hom}(\widetilde{P}_{0}, N) \longrightarrow \operatorname{Hom}(\overline{P}_{0}, N) \longrightarrow \operatorname{Hom}(P_{0}, N) \longrightarrow 0$$

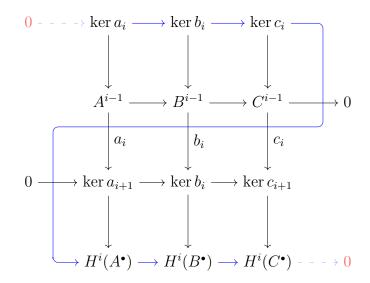
$$\uparrow \qquad \qquad \uparrow \qquad \qquad \uparrow$$

$$0 \qquad \qquad 0$$

Fact:  $0 \to A^{\bullet} \xrightarrow{\alpha^{\bullet}} B^{\bullet} \xrightarrow{\beta^{\bullet}} C^{\bullet} \to 0$  exact, then

$$0 \to H^0(A^{\bullet}) \to H^0(B^{\bullet}) \to H^0(C^{\bullet}) \to H^1(A^{\bullet}) \to H^1(B^{\bullet}) \to H^1(C^{\bullet}) \to \cdots$$

pf. Since Im  $a_i = \ker a_{i+1} \subseteq A^i$  and by snake lemma we have



By some discuss, we can get  $0 \to \ker a_i / \operatorname{Im} a_{i-1} \to \ker b_i / \operatorname{Im} b_{i-1} \to \ker c_i / \operatorname{Im} c_{i-1}$ . Now, we only need to prove that if  $\lambda : \ker c_i \to H^i(A^{\bullet})$  in the above diagram, then  $\operatorname{Im} c_{i-1} \subseteq \ker \lambda$  and thus we can use factor theorem s.t.  $\ker c_i / \operatorname{Im} c_{i-1} \to H^i(A^{\bullet})$ .

$$\ker \lambda = \beta_{i-1}(\ker b_i) = \beta_{i-1}b_{i-1}(B^{i-1}) = \operatorname{Im} c_{i-1}\beta_{i-2}(B^{i-1}) = \operatorname{Im} c_{i-1}(C^{i-1}) = \operatorname{Im} c_{i-1}$$

# Chapter 3

# Homework

# 3.1

**Problem 3.1.1.** Let A be a ring and M be a left A-module.

(a) For any left ideal I of A, define

$$IM = \left\{ \sum_{\text{finite}} a_i x_i \middle| a_i \in I, x_i \in M \right\}$$

Show that IM is a submodule of M.

(b) Let  $N_1 \subset N_2 \subset \cdots$  be an ascending chain of submodules of M. Show that  $\bigcup_{i=1}^{\infty} N_i$  is a submodule of M.

### **Problem 3.1.2.** Let $k = \mathbb{R}$ and $V = \mathbb{R}^2$ .

- (a) Let T be the rotation clockwise about the origin by  $\pi/2$  radians. We know that the linear transformation T gives rise to a k[x]-submodules for this T. Show that V and 0 are the only k[x]-submodules for this T.
- (b) Let T be the projection onto the y-axis. Show that V, 0, the x-axis and the y-axis are the only k[x]-submodules for this T.
- (c) Let T be the rotation clockwise about the origin by  $\pi$  radians. Show that every subspace of V is a k[x]-submodule for this T.

#### Problem 3.1.3.

- (a) Show that  $\operatorname{Hom}_{\mathbb{Z}}(\mathbb{Z}/n\mathbb{Z},\mathbb{Z}/m\mathbb{Z}) \simeq \mathbb{Z}/\gcd(m,n)\mathbb{Z}$
- (b) Let A be a commutative ring and M be an A-module. Show that  $\operatorname{Hom}_A(A, M) \simeq M$  as left A-modules.
- (c) Let A be a commutative ring. Show that  $\operatorname{Hom}_A(A,A) \simeq A$  as a ring.

3.2. Minerva notes

# 3.2

**Problem 3.2.1.** Construct a ring A such that for all  $m, n \in \mathbb{N}$ ,  $A^n \simeq A^m$ 

**Problem 3.2.2.** If A is a division ring, then A has IBN.

**Problem 3.2.3.** Let I be an ideal of A.

- (a) Let M be an A-module. Show that M/IM has an A/I-module structure.
- (b) Show that if I is proper and A/I has IBN, then A also has IBN.
- (c) Show that if  $f: B \to A$  is a ring epimorphism and A is a division ring, then B has IBN.

**Problem 3.2.4.** Let  $\{M_i\}$  be a directed family of modules over a ring. For any module N show that

$$\underline{\lim} \operatorname{Hom}(H, M_i) = \operatorname{Hom}(N, \underline{\lim} M_i)$$

# 3.3

**Problem 3.3.1.** Let G be an abelian group and

$$G = \langle x, y, z, u, v | x - 7y + 14z - 21u = 5x - 7y - 2z + 10u - 15v$$
$$= 3x - 3y - 2z + 6u - 9v = x - y + 2z - 3v = 0 \rangle$$

Please write G as a direct sum of cyclic groups.

**Problem 3.3.2.** Let R be a PID and M be a finitely generated R-module with rank n. Show that if N is a submodule of M and has rank m, then M/N has rank n-m.

**Problem 3.3.3.** Let A be an additive subgroup of Euclidean space  $\mathbb{R}^n$ , and assume that in every bounded region of space, there is only a finite number of elements of A. Show that A is a free abelian group on  $\leq n$  generators.

**Hint:** Induction on the maximal number of linearly independent elements of A over  $\mathbb{R}$ . Let  $v_1, ..., v_m$  be a maximal set of such elements, and let  $A_0$  be the subgroup of A contained in the R-space generated by  $v_1, ..., v_{m-1}$ . By induction, one may assume that any element of  $A_0$  is a linear integral combination of  $v_1, ..., v_{m-1}$ . Let S be the subset of elements  $v \in A$  of the form  $v = a_1v_1 + \cdots + a_mv_m$  with real cofficients  $a_i$  satisfying

$$\begin{cases} 0 \le a_i < 1 & \text{if } i = 1, ..., m - 1 \\ 0 \le a_m \le 1 \end{cases}$$

If  $v'_m$  is an element of S with the smallest  $a_m \neq 0$ , show that  $\{v_1, ..., v_{m-1}, v'_m\}$  is a basis of A over  $\mathbb{Z}$ .

3.4. Minerva notes

**Note:** The above exercise is applied in algebraic number theory to show that the group of units in the ring of integers of a number field modulo torsion is isomorphic to a lattice in a Euclidean space.

**Problem 3.3.4.** Let M be a finitely generated abelian group. By a **seminorm** on M we mean a real-value function  $v \to |v|$  satisfying the following properties:

$$|V| \geq 0 \text{ for all } v \in M$$
 
$$|nv| = |n||v| \text{ for } n \in \mathbb{Z}$$
 
$$|v+W| \leq |v| + |W| \text{ for all } v, w \in M$$

By the kernel of the seminorm we means the subset of elements v such that |v|=0.

- (a) Let  $M_0$  be the kernel. Show that  $M_0$  is a subgroup. If  $M_0 = \{0\}$ , then the seminorm is called a **norm**.
- (b) Assume that M has rank r. Let  $v_1, ..., v_r \in M$  be linearly independent over  $\mathbb{Z} \mod M_0$ . Prove that there exists a basis  $\{w_1, ..., w_r\}$  of  $M/M_0$  such that

$$|w_i| \le \sum_{j=1}^i |v_j|$$

(**Hint**: An explicit version of the proof of Theorem 7.8 gives the result. Without loss of generality, we can assume  $M_0 = \{0\}$ . Let  $M_1 = \langle v_1, ..., v_r \rangle$ . Let d be the exponent of  $M/M_1$ . Then dM has a finite index in  $M_1$ . Let  $n_{j,j}$  be the smallest positive integer such that there exist integers  $n_{j,1}, ..., n_{j,j-1}$  satisfying

$$n_{j,1}v_1 + \cdots + n_{j,j}v_j = dw_j$$
 for some  $w_j \in M$ 

Without loss of generality we may assume  $0 \le n_{j,k} \le d-1$ . Then the elements  $w_1, ..., w_r$  form the disired basis.)

# 3.4

#### Problem 3.4.1.

(a) Let  $k = \mathbb{C}$ . Find the Jordan canonical form J of

$$A = \begin{pmatrix} -3 & 3 & -2 \\ -7 & 6 & -3 \\ 1 & -1 & 2 \end{pmatrix}$$

and the matrix Q such that  $J = Q^{-1}AQ$ 

3.5. Minerva notes

(b) Let  $k = \mathbb{R}$ . Find the Rational canonical form C of

$$A = \begin{pmatrix} 0 & -7 & 14 & -6 \\ 1 & -4 & 6 & -3 \\ 0 & -4 & 9 & -4 \\ 0 & -4 & 11 & -5 \end{pmatrix}$$

and the matrix Q such that  $J = Q^{-1}AQ$ 

**Problem 3.4.2.** Let R be a PID and M be a finitely generated R-module. Show that if  $M \simeq Rz_1 \oplus \cdots \oplus Rz_r$  with  $\operatorname{ann}(z_i) = \langle d_i \rangle \neq R$  and  $d_i | d_{i+1}$  for all i = 1, ..., r-1, then the ring  $(\operatorname{Hom}_R(M, M), +, \circ)$  is isomorphism to S/I where S is the ring of matrices  $B \in M_{r \times r}(R)$  for which there exists a  $C \in M_{r \times r}(R)$  such that  $\operatorname{diag}\{d_1, ..., d_r\}C = B\operatorname{diag}\{d_1, ..., d_r\}$  and I is the ideal of matrices of the form  $\operatorname{diag}\{d_1, ..., d_r\}Q, Q \in M_{r \times r}(R)$ .

**Problem 3.4.3.** Let  $A \in M_{n \times n}(k)$  with k being a field. Assume that  $d_1(x), ..., d_r(x)$  are the non-unit monic invariant factors of  $(xI_n - A)$  with deg  $d_i(x) = n_i > 0$ . Show that

$$\dim_k \{ B \in M_{n \times n}(k) : BA = AB \} = \sum_{j=1}^r (2r - 2j + 1)n_j$$

# 3.5

#### Problem 3.5.1.

(a) Let M be a right A-module, N an A-B bimodule and L a left B-module. Show that

$$(M \otimes_A N) \otimes_B L \simeq M \otimes_A (N \otimes_B L)$$

(b) Let R be a commutative ring and M, N be two R-modules. Show that

$$M \otimes_R N \simeq N \otimes_R M$$

**Problem 3.5.2.** Justify your answers.

- (a) Compute  $\dim_{\mathbb{Q}} \mathbb{Q} \otimes_{\mathbb{Q}} \mathbb{Q}$  and  $\dim_{\mathbb{Q}} \mathbb{Q} \otimes_{\mathbb{Z}} \mathbb{Q}$ .
- (b) Compute  $\dim_{\mathbb{C}} \mathbb{C} \otimes_{\mathbb{C}} \mathbb{C}$  and  $\dim_{\mathbb{C}} \mathbb{C} \otimes_{\mathbb{R}} \mathbb{C}$ .
- (c) Compute  $\dim_{\mathbb{C}} \mathbb{C}[x]/\langle x^2+x+1\rangle \otimes_{\mathbb{R}} \mathbb{R}[z]/\langle z+1\rangle$
- (d) Let V and W be two k-vector spaces with  $\dim_k V = n$  and  $\dim_K W = m$ . Compute  $\dim_k V \otimes_k W$

**Problem 3.5.3.** Let  $R = \bigoplus_{k=0}^{\infty} R_k$  be a graded ring with  $R_i R_j \subset R_{i+j}$  and I be an ideal of R generated by some homogeneous elements. Show that the quotient ring R/I has a natural graded ring structure via  $R/I = \bigoplus_{k=0}^{\infty} R_k/(R_k \cap I)$ .

3.6. Minerva notes

**Problem 3.5.4.** Let R be a commutative ring.

(a) Let F be a free R-module of rank n. Show that

$$S(F) \simeq R[x_1, ..., x_n]$$

(b) Let  $F = F_1 \oplus F_2$  be a direct sum on finite free R-modules. Show that

$$S^n(F) \simeq \bigoplus_{p+q=n} S^p(F_1) \otimes S^q(F_2)$$

3.6

**Problem 3.6.1.** Let N, L be two R-submodules of M and S be a multiplicatively closed set in the commutative ring R. Show that

- (a)  $(N + L)_S = N_S + L_S$
- (b)  $(N \cap L)_S = N_S \cap L_S$
- (c)  $(M/N)_S \simeq M_S/N_S$

**Problem 3.6.2.** Let R be a commutative ring. Show that

- (a) If M is a proper ideal of R such that for all  $x \in R M$  are units in R, then R is a local ring.
- (b) If M is a maximal ideal of R such each element of 1+M is a unit in R, then R is a local ring.

**Problem 3.6.3** (Prime avoidance lemma). Let R be a commutative ring. Show that

- (a) If  $P_1, ..., P_n \in \operatorname{Spec} R$  and I is an ideal of R contained in  $\bigcup_{i=1}^n P_i$ , then there exists an  $P_k$  such that  $I \subseteq P_k$ .
- (b) If  $I_1, ..., I_n$  are ideals of R and  $P \in \operatorname{Spec} R$  containing  $\bigcap_{i=1}^n I_i$ , then there exists an  $I_k$  such that  $P \supseteq I_k$ .

**Problem 3.6.4.** Let R be a commutative ring and I be an ideal of R. Define

$$\sqrt{I} := \{ x \in R : x^n \in I \text{ for some } n > 0 \}$$

Show that

- (a)  $\sqrt{\sqrt{I}} = \sqrt{I}$
- (b) For another ideal  $J, \sqrt{IJ} = \sqrt{I \cap J} = \sqrt{I} \cap \sqrt{J}$
- (c) For another ideal  $J, \sqrt{I+J} = \sqrt{\left(\sqrt{I} + \sqrt{J}\right)}$

(d) 
$$\sqrt{I} = \bigcap_{I \subseteq P \in \text{Spec} R} P$$

3.7. Minerva notes

# 3.7

**Problem 3.7.1.** Show that of A is a (left) Noetherian ring, then the formal power series ring A[[x]] is (left) Noetherian.

**Problem 3.7.2.** Let R be a commutative Noetherain ring and S be a multiplicatively closed set in R.

- (a) Show that  $R_S$  is Noetherian
- (b) Show that if M is an R-module, then

$$\operatorname{Ass}_R(M_S) = \operatorname{Ass}_R(M) \cap \{P \in \operatorname{Spec} R : P \cap S = \emptyset\}$$

**Problem 3.7.3.** Let R be a commutative ring. Show that if  $0 \to M_1 \to M_2 \to M_3 \to 0$  is an exact sequence of R-modules, then

$$\operatorname{Ass}(M_1) \subset \operatorname{Ass}(M_2) \subset \operatorname{Ass}(M_1) \cup \operatorname{Ass}(M_3)$$

**Problem 3.7.4.** Let R be a commutative Noetherian ring and M be a finitely generated R-module. Show that Ass(M) is a finite set.

# 3.8

**Problem 3.8.1.** Show that if A is a commutative Noetherian ring, then the set of zero-divisors in A is the set-theoretical union of all primes belongs to primary ideals in a reduced primary decomposition of  $\langle 0 \rangle$ .

#### Problem 3.8.2.

- (a) Let  $\mathfrak{p}$  be a prime ideal, and  $\mathfrak{a}$ ,  $\mathfrak{b}$  ideals of A. If  $\mathfrak{ab} \subseteq \mathfrak{p}$ , show that  $\mathfrak{a} \subseteq \mathfrak{p}$  or  $\mathfrak{b} \subseteq \mathfrak{p}$ .
- (b) Let  $\mathfrak{q}$  be a primary ideal. Let  $\mathfrak{a}$ ,  $\mathfrak{b}$  be ideals, and assume  $\mathfrak{ab} \subseteq \mathfrak{p}$ . Assume that  $\mathfrak{b}$  is finitely generated. Show that  $\mathfrak{a} \subseteq \mathfrak{p}$  or there exists some positive integer n such that  $\mathfrak{b}^n \subseteq \mathfrak{p}$

**Problem 3.8.3.** Let A be Noetherian, and let  $\mathfrak{q}$  be a  $\mathfrak{p}$ -primary ideal. Show that there exists some  $n \geq 1$  such that  $\mathfrak{p}^n \subseteq \mathfrak{q}$ .

#### Problem 3.8.4.

(a) Let A be an arbitrary commutative ring and let S be a multiplicative subset. Let  $\mathfrak{p}$  be a prime ideal and let  $\mathfrak{q}$  be a  $\mathfrak{p}$ -primary ideal. Then  $\mathfrak{p}$  intersects S if and only if  $\mathfrak{q}$  intersects S. Furthermore, if  $\mathfrak{q}$  does not intersect S, then  $S^{-1}\mathfrak{q}$  is  $S^{-1}\mathfrak{p}$ -primary in  $S^{-1}A$ .

3.9. Minerva notes

(b) Let  $\mathfrak{q} = \mathfrak{q}_1 \cap \cdots \cap \mathfrak{q}_r$  be a reduced primary decomposition of an ideal. Assume that  $\mathfrak{q}_1, ..., \mathfrak{q}_i$  do not intersect S, but that  $\mathfrak{q}_j$  intersects S for j > i. Show that

$$S^{-1}\mathfrak{a} = S^{-1}\mathfrak{q}_1 \cap \cdots \cap S^{-1}\mathfrak{q}_i$$

is a reduced primary decomposition of  $S^{-1}\mathfrak{a}$ .

# 3.9

**Problem 3.9.1.** Let R be a commutative ring and I be an ideal of R.

- (a) Show that  $\operatorname{gr}_I(R) := \bigoplus_{n=0}^{\infty} I^n/I^{n+1}$  has a graded ring structure.
- (b) Show that if M is an R-module, then  $\operatorname{gr}_I(M):=\bigoplus_{n=0}^\infty I^nM/I^{n+1}M$  has a graded  $\operatorname{gr}_I(R)$ -module structure.

**Problem 3.9.2.** Let  $\varphi: S_I(R) \to \operatorname{gr}_I(R)$  be additive such that  $\varphi(a_i t^i) = a_i + I^{i+1}$ . Show that

- (a)  $\varphi$  is a graded ring homomorphism.
- (b)  $\varphi$  is onto.
- (c)  $\ker \varphi = IS_I(R)$  and thus  $S_I(R)/IS_I(R) \simeq \operatorname{gr}_I(R)$ .

**Problem 3.9.3.** Show that  $\operatorname{gr}_I(M) \simeq S_I(R)M/IS_I(R)M$  (Here,  $S_I(R)M = M \oplus IMt \oplus I^2Mt^2 \oplus \cdots$ )

**Problem 3.9.4.** Show that if R is Noetherian and M is a finitely generated R-module, then  $gr_I(M)$  is a finitely generated  $gr_I(R)$ -module.

# 3.10

**Problem 3.10.1.** Let (R, m) be a Noetherian local ring and Q be an m-primary ideal.

- (1) Show that R/Q is an Artinian R-module and thus  $\ell(R/Q)$  is well-defined.
- (2) Show that  $\ell(Q^i/Q^{i+1})$  is well-defined for all i=1,2,... and

$$\ell(R/Q^n) = \sum_{i=0}^{n-1} \ell(Q^i/Q^{i+1})$$

(3) Show that there exists  $\chi_Q^B(t) \in \mathbb{Q}[t]$  such that

$$\ell(R/Q^n) = \chi_O^R(n)$$

for sufficiently large n.

3.11. Minerva notes

(4) Show that  $\deg_Q^R$  is independent of the choice of Q, that is, it is an invariant of (R, m).

### Remark 3.10.1.

- We call  $\chi_Q^R$  is the **characteristic polynomial** of R relative to Q.
- $d(R) := \deg \chi_Q^R$ .

## 3.11

**Problem 3.11.1.** Let A, B be local rings with maximal ideals  $\mathfrak{m}_A, \mathfrak{m}_B$ , respectively. Let  $f: A \to B$  be a homomorphism. We say that f is **local** if  $f^{-1}(\mathfrak{m}_B) = \mathfrak{m}_A$ . Suppose this is the case. Assume A, B are Noetherian, and assume that:

- (1)  $A/\mathfrak{m}_A \to B/\mathfrak{m}_B$  is an isomorphism
- (2)  $\mathfrak{m}_A \to \mathfrak{m}_B/\mathfrak{m}_B^2$  is surjective
- (3) B is a finite A-module, via f.

Prove that f is surjective.

**Problem 3.11.2.** Let A be a Noetherian local ring. Let E be a finite A-module. Assume that A has no nilpotent elements. For each prime ideal  $\mathfrak{p}$  of A, let  $k(\mathfrak{p})$  be the residue class field. If  $\dim_{k(\mathfrak{p})}(E_{\mathfrak{p}}/\mathfrak{p}E_{\mathfrak{p}})$  is constant for all  $\mathfrak{p}$ , show that E is free.

**Problem 3.11.3.** Let R be a commutative ring. Show that R is Artinian if and only if R is Noetherian and Spec R = Max R.

**Problem 3.11.4.** Let  $(R, \mathfrak{m})$  be an Artinian local ring. Show that TFAE:

- (1) R is a PID
- (2) m is principal
- (3)  $\dim_{R/\mathfrak{m}}(\mathfrak{m}/\mathfrak{m}^2) \leq 1$

# 3.12

**Problem 3.12.1.** Show that for a short exact sequence of *R*-modules

$$0 \longrightarrow M_1 \stackrel{\alpha}{\longrightarrow} M_2 \stackrel{\beta}{\longrightarrow} M_3 \longrightarrow 0$$

the following are equivalent:

- (a)  $M_2 = \alpha(M_1) \oplus N$  with  $N \simeq M_3$ .
- (b)  $\exists \lambda : M_3 \to M_2 \text{ such that } \beta \circ \lambda = \mathrm{id}_{M_3}$ .

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(c)  $\exists \mu : M_2 \to M_1 \text{ such that } \mu \circ \alpha = \mathrm{id}_{M_1}$ 

(In this case, this sequence is said to be split exact)

**Problem 3.12.2.** Show that for a short exact sequence of *R*-modules

$$0 \longrightarrow M_1 \stackrel{\alpha}{\longrightarrow} M_2 \stackrel{\beta}{\longrightarrow} M_3 \longrightarrow 0$$

(a) For all M: R-modules,

$$0 \to \operatorname{Hom}_R(M, M_1) \to \operatorname{Hom}_R(M, M_2) \to \operatorname{Hom}_R(M, M_3)$$
 is exact.

(b) For all N: R-modules,

$$0 \to \operatorname{Hom}_R(M_3, N) \to \operatorname{Hom}_R(M_2, N) \to \operatorname{Hom}_R(M_1, N)$$
 is exact.

(c) For all M: right R-modules,

$$M \otimes_R M_1 \to M \otimes_R M_2 \to M \otimes_R M_3 \to 0$$
 is exact.

**Problem 3.12.3.** Show that if M is S-R bimodule,  $A \in {}_{R}\mathfrak{M}, B \in {}_{S}\mathfrak{M}$ , then

$$\operatorname{Hom}_S(M \otimes_R A, B) \simeq \operatorname{Hom}_R(A, \operatorname{Hom}_S(M, B))$$

# 3.13

beginpr

- (a) State the property of morphisms being homotopic in the case of cochain complexes.
- (b) Let  $M \in {}_{R}\mathcal{M}$ . Show that there exists an injective resolution of M

$$0 \to M \xrightarrow{\mu} I^0 \xrightarrow{d_1} I^1 \xrightarrow{d_2} I^2 \xrightarrow{d_3} \cdots$$

**Problem 3.13.1.** State and show the dual version of Comparison theorem for injective resolutions.

### Problem 3.13.2.

(a) (Snake Lemma) Suppose the following diagram commutes in  $\mathcal{M}_R$ 

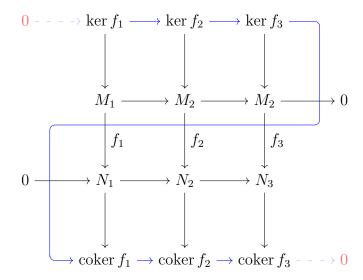
$$0 \longrightarrow N_1 \xrightarrow{\alpha_1} M_2 \xrightarrow{\beta_1} M_3 \longrightarrow 0$$

$$\downarrow^{f_1} \qquad \downarrow^{f_2} \qquad \downarrow^{f_3}$$

$$0 \longrightarrow N_1 \xrightarrow{\alpha_2} N_2 \xrightarrow{\beta_2} N_3$$

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Show that there exists a long exact sequence (in blue color):



Furthermore, if those two exact sequences are short exact sequence:

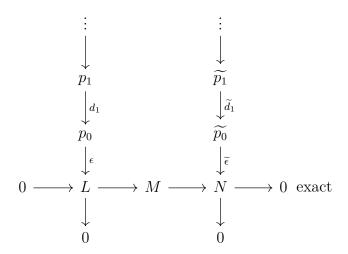
$$0 \longrightarrow M_1 \xrightarrow{\alpha_1} M_2 \xrightarrow{\beta_1} M_3 \longrightarrow 0$$

$$\downarrow^{f_1} \qquad \downarrow^{f_2} \qquad \downarrow^{f_3}$$

$$0 \longrightarrow N_1 \xrightarrow{\alpha_2} N_2 \xrightarrow{\beta_2} N_3 \longrightarrow 0$$

Then we can extend the blue exact sequence by adding two red "0" on it.

(b) Show the Horseshoe Lemma: Let two projective resolutions of L and N respectively combined with a short exact sequence as follows:

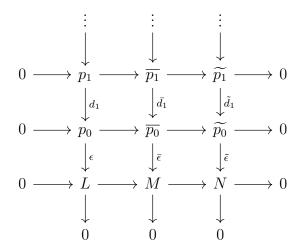


then there is a projective resolution of M:

$$\cdots \to \bar{p}_1 \xrightarrow{\bar{d}_1} \bar{p}_0 \xrightarrow{\bar{\epsilon}} M \to 0$$

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such that the completed diagram



commutes, and horizontal sequences are all short exact sequences.

**Problem 3.13.3.** Prove that the example of the standard complex given in  $\S 1$  (p.764) is actually a complex and is exact, so it gives a resolution of  $\mathbb{Z}$ .