

Lecture 11: Parsing II



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Last Time

- Chomsky Hierarchy and Grammar
- Parsing Approaches for Context-Free Grammar (CFG)
 - Top-down Approach
 - Bottom-up Approach
- Parsing Algorithms
 - Shift-reduce Parsing
 - Chart Parsing

Today's Class

- Probabilistic Context-Free Grammar (PCFG)
- Lexicalized PCFG
- Dependency Syntax
- Dependency Parsing
- Parsing Resources

Statistical Parsing

- Statistical parsing uses a probabilistic model of syntax in order to assign probabilities to each parse tree.
- Provides principled approach to resolving syntactic ambiguity.
- Allows supervised learning of parsers from treebanks of parse trees provided by human linguists.
- Also allows unsupervised learning of parsers from raw text, but the accuracy of such parsers has been limited.

Probabilistic Context Free Grammar (PCFG)

- A PCFG is a probabilistic version of a CFG where each production has a probability.
- Probabilities of all productions rewriting a given non-terminal must add to 1, defining a distribution for each non-terminal.

$$\sum_{a} P(A->\alpha)=1$$

 String generation is now probabilistic where production probabilities are used to nondeterministically select a production for rewriting a given non-terminal.

PCFG

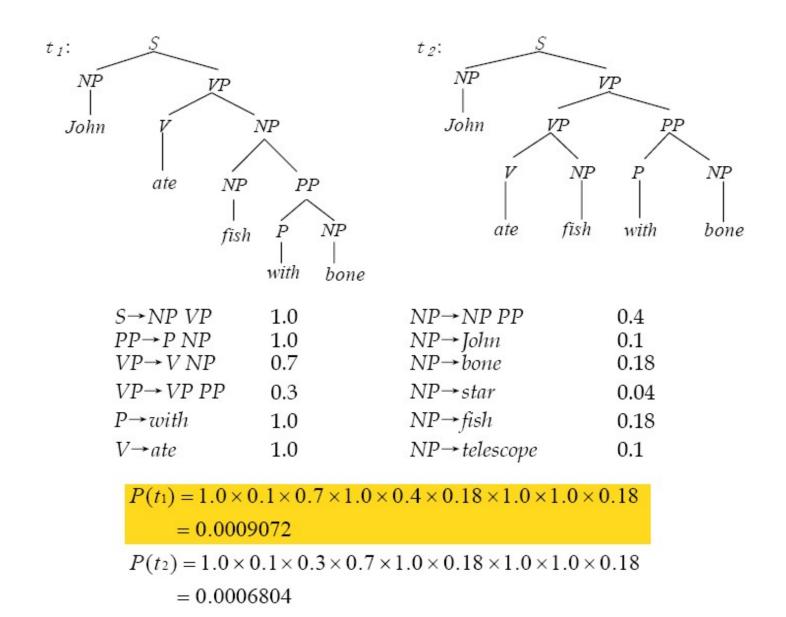
- Assumption 1: Place invariance
- Assumption 2: Context-free
- Assumption 3: Ancestor-free

 The parse tree probability is the production of the probability of all applied parsing rules

Simple PCFG Example

- Assume productions for each node are chosen independently.
- Probability of derivation is the product of the probabilities of its productions.
- Resolve ambiguity by picking most probable parse tree.

sentence = "John ate fish with bone"



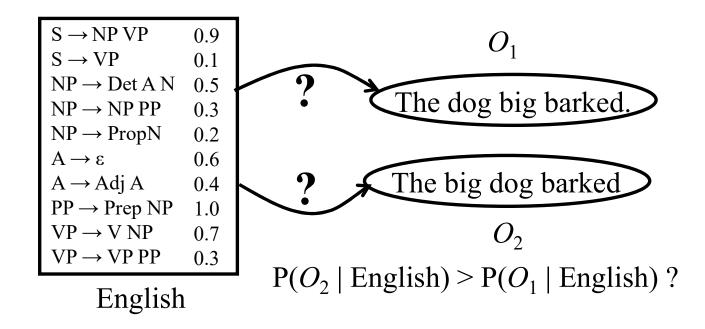
 $P(sentence) = P(t_1) + P(t_2) = 0.0015876$

Three PCFG Tasks

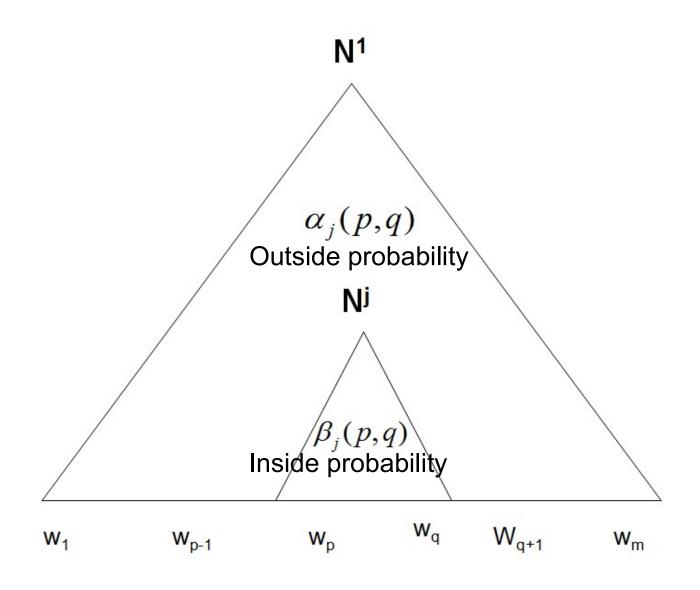
- Observation likelihood: To classify and order sentences. P(W|G) for sentence $W=w_1w_2...w_n$
 - Inside Algorithm
- Most likely derivation: To determine the most likely parse tree for a sentence.
 - Viterbi Algorithm
- Maximum likelihood training: To train a PCFG to fit empirical training data, i.e. maximize P(W|G)

PCFG: Observation Likelihood

 There is an analog to Forward algorithm for HMMs called the Inside algorithm for efficiently determining how likely a string is to be produced by a PCFG.



Notation



Inside and Outside Probability

• Inside probability: total probability of generating words $w_p...w_a$ from non-terminal N^j .

$$\beta_j(p,q) = P(w_{pq} \mid N_{pq}^j)$$

• Outside probability: total probability of beginning with the start symbol N¹ and generating N_{pq}^{j} and all the words outside $w_{p}...w_{q}$

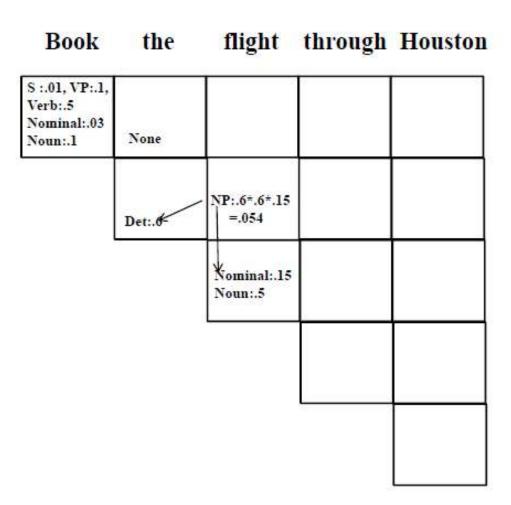
$$\alpha_{j}(p,q) = P(w_{1(p-1)}, N_{pq}^{j}, w_{(q+1)m})$$

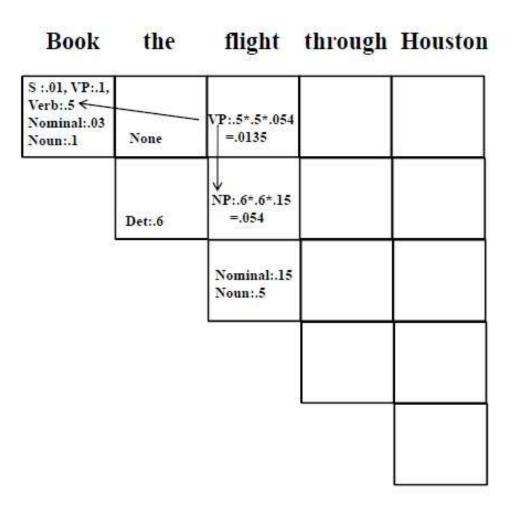
When p>q

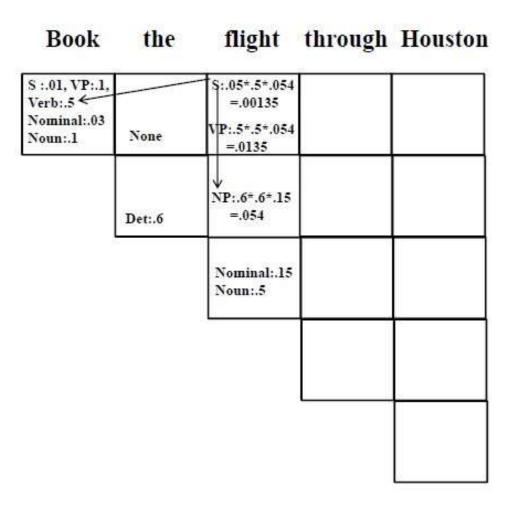
$$\alpha_{j}(p,q) = \beta_{j}(p,q) = 0$$

- Revised CKY with probabilistic information
- Set and maintain production probability during transfer grammar rule to CNF
- Each cell provide a probability for each nonterminal
- Cell[i,j]: the most likely parsing contains all of Non-terminals which covers Word_{i+1} to Word_j
 and its probability

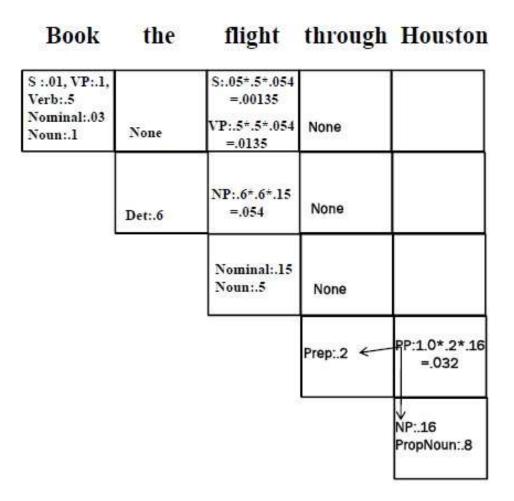
Original Grammar		Chomsky Normal Form		
$S \rightarrow NP VP$	0.8	$S \rightarrow NP VP$	0.8	
$S \rightarrow Aux NP VP$	0.1	$S \rightarrow X1 VP$	0.1	
RETERMINENT FOR THE PROPERTY OF THE PROPERTY O		$X1 \rightarrow Aux NP$	1.0	
$S \rightarrow VP$	0.1	S → book include prefer	mission and	
		0.01 0.004 0.006		
		$S \rightarrow Verb NP$	0.05	
		$S \rightarrow VP PP$	0.03	
NP → Pronoun	0.2	$NP \rightarrow I \mid he \mid she \mid me$		
		0.1 0.02 0.02 0.06		
NP → Proper-Noun	0.2	NP → Houston NWA		
		0.16 .04		
NP → Det Nominal	0.6	NP → Det Nominal	0.6	
Nominal → Noun	0.3	Nominal → book flight meal money		
		0.03 0.15 0.06 0.06		
Nominal → Nominal Noun	0.2	Nominal → Nominal Noun	0.2	
Nominal → Nominal PP	0.5	Nominal → Nominal PP	0.5	
$VP \rightarrow Verb$	0.2	VP → book include prefer		
		0.1 0.04 0.06		
$VP \rightarrow Verb NP$	0.5	$VP \rightarrow Verb NP$	0.5	
$VP \rightarrow VP PP$	0.3	$VP \rightarrow VP PP$	0.3	
PP → Prep NP	1.0	PP → Prep NP	1.0	

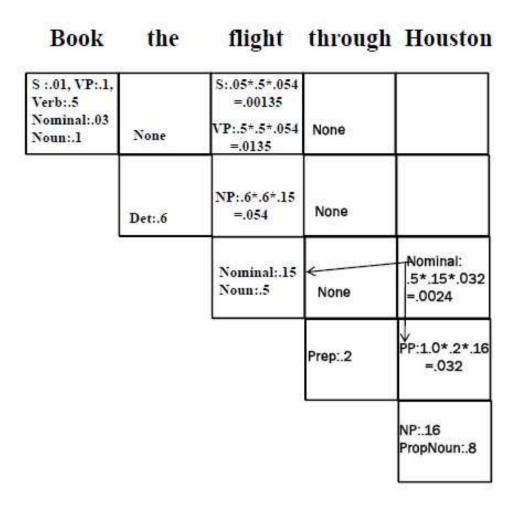


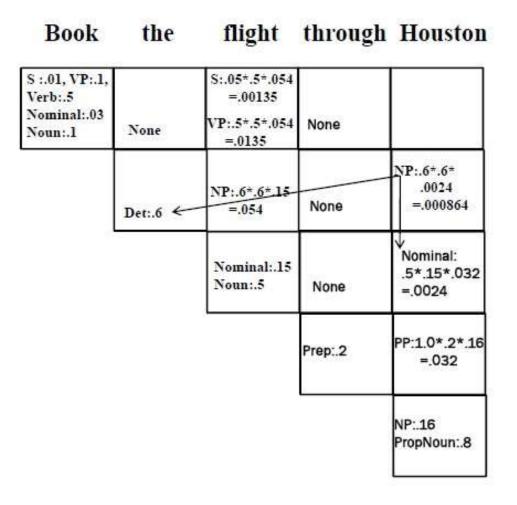


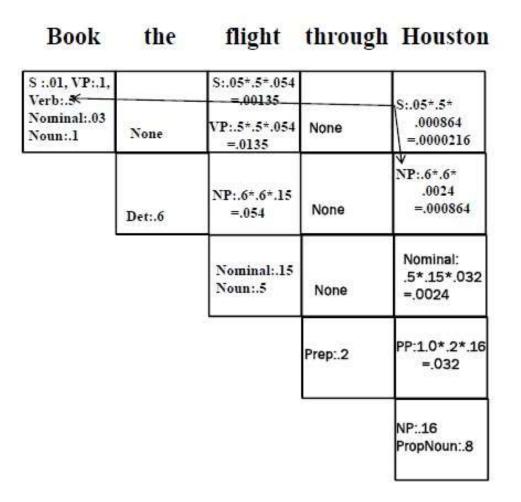


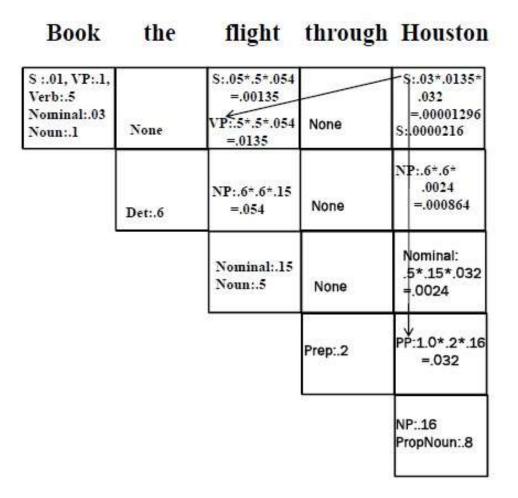
Book	the	flight	through	Houston
S:.01, VP:.1, Verb:.5 Nominal:.03 Noun:.1	None	S:.05*.5*.054 =.00135 VP:.5*.5*.054 =.0135	None	
	Det:.6	NP:.6*.6*.15 =.054	None	
		Nominal:.15 Noun:.5	None	
			Prep:.2	

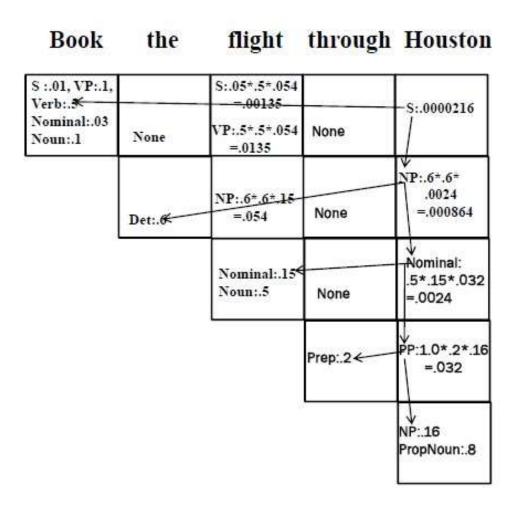








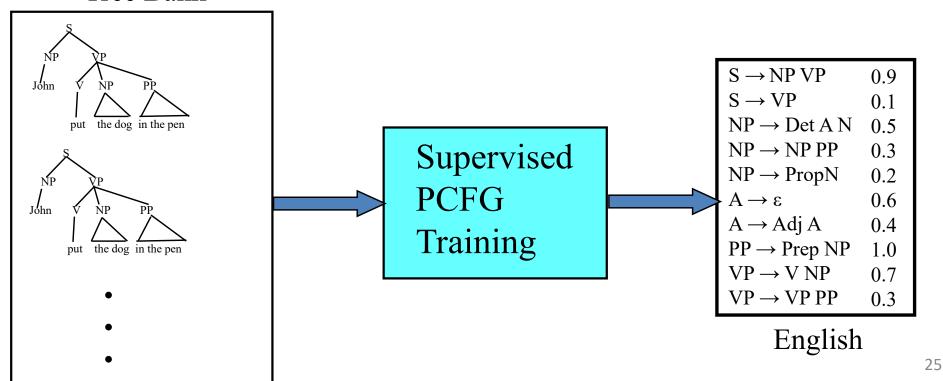




PCFG: Supervised Training

 If parse trees are provided for training sentences, a grammar and its parameters can be estimated directly from counts accumulated from the treebank (with appropriate smoothing).





Estimating Production Probabilities

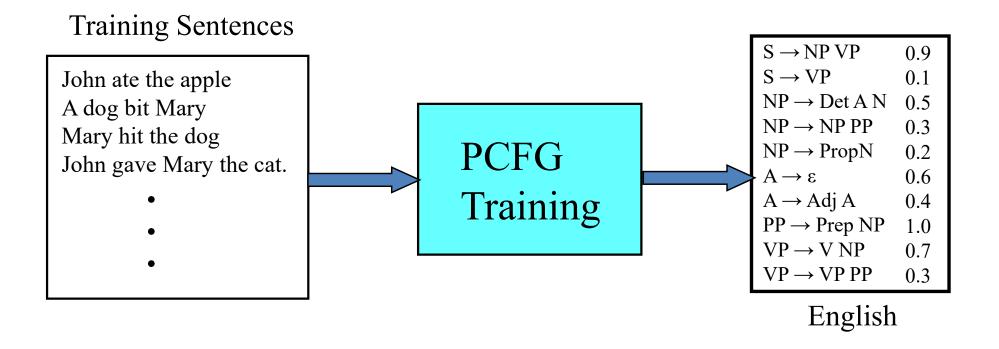
- Set of production rules can be taken directly from the set of rewrites in the treebank.
- Parameters can be directly estimated from frequency counts in the treebank.

$$P(\alpha \to \beta \mid \alpha) = \frac{\text{count}(\alpha \to \beta)}{\sum_{\gamma} \text{count}(\alpha \to \gamma)} = \frac{\text{count}(\alpha \to \beta)}{\text{count}(\alpha)}$$

PCFG: Maximum Likelihood Training

- Given a set of sentences, induce a grammar that maximizes the probability that this data was generated from this grammar.
- Assume the number of non-terminals in the grammar is specified.
- Only need to have an unannotated set of sequences generated from the model. Does not need correct parse trees for these sentences. In this sense, it is unsupervised.

PCFG: Maximum Likelihood Training



Inside-Outside Algorithm

- The Inside-Outside algorithm is a version of EM for unsupervised learning of a PCFG.
 - Analogous to Baum-Welch (forward-backward) for HMMs
- Given the number of non-terminals, construct all possible CNF productions with these non-terminals and observed terminal symbols.
- Use EM to iteratively train the probabilities of these productions to locally maximize the likelihood of the data.
- Experimental results are not impressive, but recent work imposes additional constraints to improve unsupervised grammar learning.

Summary of PCFG

Pros

- Disambiguation of structurally different parses
- Speed syntactic analysis by eliminate low probability structures
- Improve the robust of analyzer by use of low probabilities
- Helpful to grammar induction

Cons

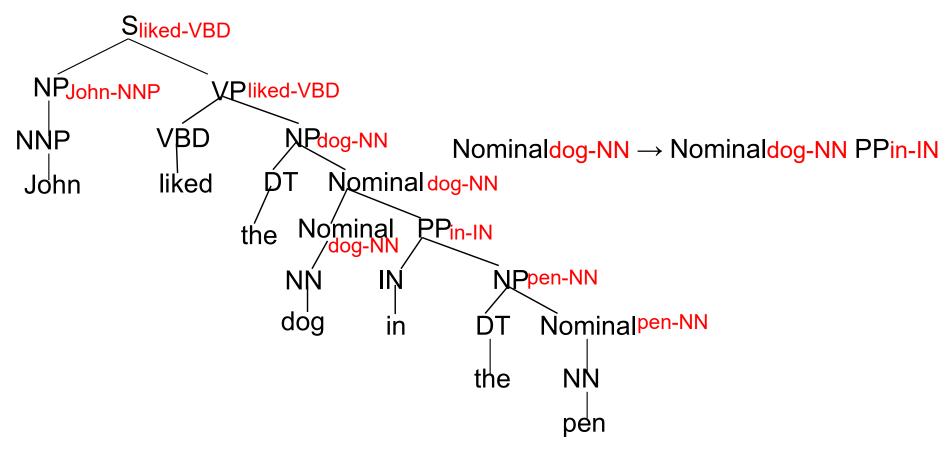
- Since probabilities of productions do not rely on specific words or concepts, only general structural disambiguation is possible
- cannot resolve syntactic ambiguities that require semantics to resolve, e.g. ate with fork vs. meatballs.

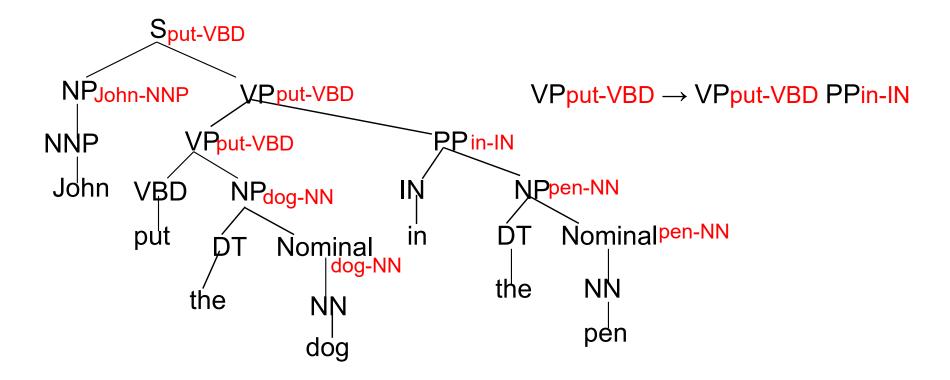
Lexicalized PCFG

- PCFGs must be lexicalized, i.e. productions must be specialized to specific words by including their head-word in their LHS non-terminals
- Each non-terminal is associated to headword w
- Syntactic phrases usually have a word in them that is most "central" to the phrase.
- Process Rule
 - Head of a VP is the main verb
 - Head of an NP is the main noun
 - Head of a PP is the preposition
 - Head of a sentence is the head of its VP

Lexicalized Productions

 Specialized productions can be generated by including the head word and its POS of each nonterminal as part of that non-terminal's symbol





Parameterizing Lexicalized Productions

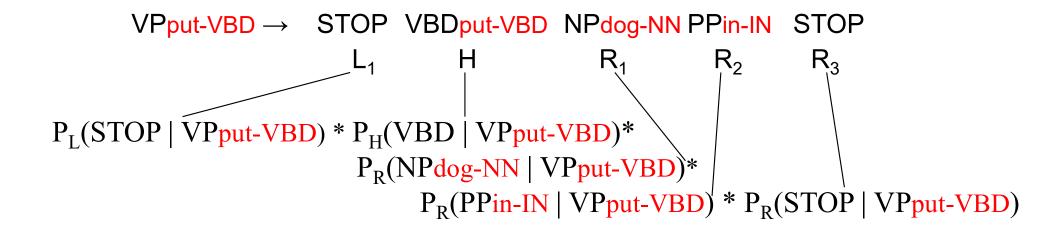
- Accurately estimating parameters on such a large number of very specialized productions requires enormous amounts of treebank data.
- Need some way of estimating parameters for lexicalized productions that makes reasonable independence assumptions so that accurate probabilities for very specific rules can be learned.

Collins' Parser

- Collins' (1999) parser assumes a simple generative model of lexicalized productions.
- Models productions based on context to the left and the right of the head daughter.
 - $-LHS \rightarrow L_nL_{n-1}...L_1HR_1...R_{m-1}R_m$
- First generate the head (H) and then repeatedly generate left (L_i) and right (R_i) context symbols until the symbol STOP is generated.

Sample Production

VPput-VBD → VBDput-VBD NPdog-NN PPin-IN



Estimating Production Generation Parameters

• Estimate P_H , P_L , and P_R parameters from treebank data.

```
P_{R}(PPin-IN \mid VPput-VBD) = \frac{Count(PPin-IN \text{ right of head in a VPput-VBD production})}{Count(symbol \text{ right of head in a VPput-VBD})}
```

```
P_R(NPdog-NN \mid VPput-VBD) = \frac{Count(NPdog-NN right of head in a VPput-VBD production)}{Count(symbol right of head in a VPput-VBD)}
```

• Smooth estimates by linearly interpolating with simpler models conditioned on just POS tag or no lexical info.

```
smP_{R}(PPin-IN \mid VPput-VBD) = \lambda_{1} P_{R}(PPin-IN \mid VPput-VBD) \\ + (1-\lambda_{1}) (\lambda_{2} P_{R}(PPin-IN \mid VPVBD) + \\ (1-\lambda_{2}) P_{R}(PPin-IN \mid VP))
```

Parsing Evaluations Metrics

- PARSEVAL metrics measure the fraction of the constituents that match between the computed and human parse trees. If P is the system's parse tree and T is the human parse tree (the "gold standard"):
 - Recall = (# correct constituents in P) / (# constituents in T)
 - Precision = (# correct constituents in P) / (# constituents in P)
- Labeled Precision and labeled recall require getting the non-terminal label on the constituent node correct to count as correct.
- F_1 is the harmonic mean of precision and recall.

Summary of Probabilistic Parsing

- Statistical models such as PCFGs allow for probabilistic resolution of ambiguities.
- PCFGs can be easily learned from treebanks.
- Lexicalization and non-terminal splitting are required to effectively resolve many ambiguities.
- Current statistical parsers are quite accurate but not yet at the level of human-expert agreement.

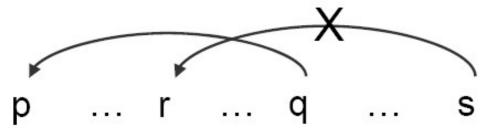
Dependency

- Syntactic structure consists of lexical items, linked by binary asymmetric relations called dependencies.
- [Tesniere 1959]

The sentence is an *organized whole*, the constituent elements of which are *words*. Every word that belongs to a sentence ceases by itself to be isolated as in the dictionary. Between the word and its neighbors, the mind perceives *connections*, the totality of which forms the structure of the sentence. The structural connections establish *dependency* relations between the words. Each connection in principle unites a *superior* term and an *inferior* term. The superior term receives the name *governor*. The inferior term receives the name *subordinate*.

Robinson's axiom

- (a) one and only one element is independent;
- (b) all others depend directly on some element;
- (c) no element depends directly on more than one other; and
- (d) (non-crossing constraint): if **A** depends directly to **B** and some element **C** intervenes between them (in linear order of string), then **C** depends directly on **A** or on **B** or to some other intervening element.



(e) An element cannot have dependents lying on the other side of its own governor. (Huang, Changning)

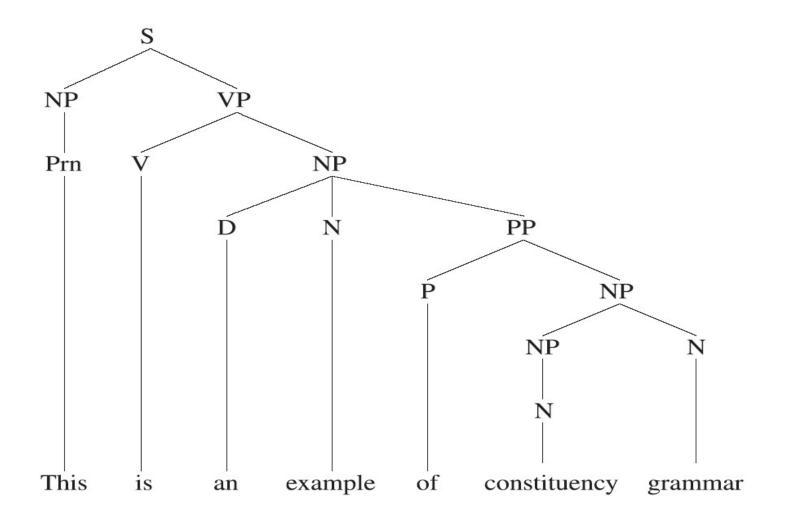
Element:

- Head (Superior, Head, Governor, Regent, 核心词)
 - Plays the role in determining the behavior of the pair.
- Dependent (Inferior, Modifier, Subordinate,从属词)
 - Modifier, object or complement
 - Pre-dependent, post-dependent
- Link the relation (Arc)
 - Close to semantic relation
 - With Dependency Type

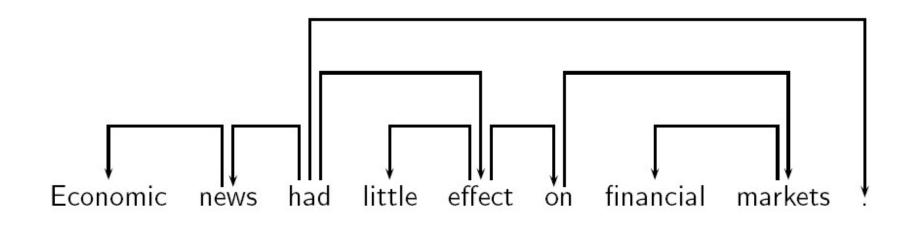
Typical Dependency Types

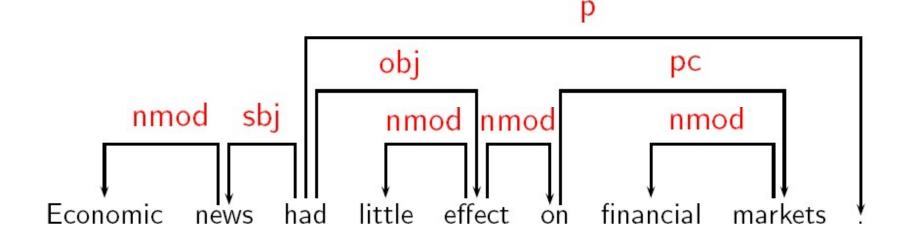
	Dependency Description	Example
	Adjective and its adverbial modifier	极其/d 惨痛/a greatly painful
ADV	Predicate and its adverbial modifier in which	沉重/ad 打击/v heavily strike
	the predicate serves as head	
AN	Noun and its adjective modifier	合法/a 收入/n lawful incoming
CMP	Predicate and its complement in which the	医治/v无效/v ineffectively
	predicate serves as head	treat
NJX	Juxtaposition structure	公正/a 合理/a fair and
		reasonable
NN	Noun and its nominal modifier	人身/n 安全/n personal safety
SBV	Predicate and its subject	财产/n 转移/v property
		transfer
VO	Predicate and its object in which the predicate	转换/v 机制/n change
	serves as head	mechanism
VV	Serial verb constructions which indicates that	跟踪/v 报导/v trace and report
	there are serial actions	
OT	Others	

Phrase Structure



Dependency Structure





The Comparison

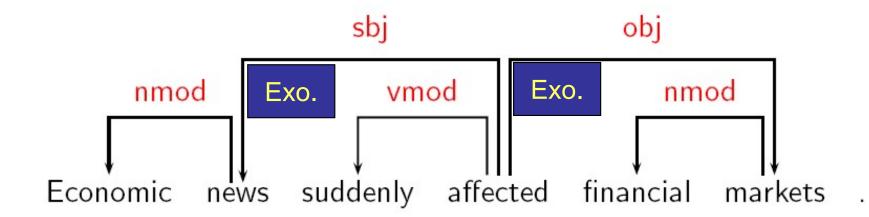
	Phrase structure	Dependency structure
word relation	phrasal constitution	head-dependent
categories	syntactic functional	syntactic structural
new node (Y/N)	multiple nodes per word	one node per word
operation	waiting for complete phrase	word-at-a-time

Some Theoretical Frameworks

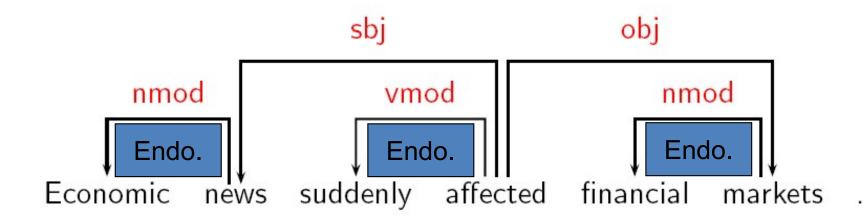
- Word Grammar (WG) [Hudson 1984, Hudson 1990]
- Functional Generative Description (FGD) [Sgall et al. 1986]
- Dependency Unification Grammar (DUG)
 - [Hellwig 1986, Hellwig 2003]
- Meaning-Text Theory (MTT) [Melcuk 1988]
- (Weighted) Constraint Dependency Grammar ([W]CDG)
 - [Maruyama 1990, Harper and Helzerman 1995,
 - Menzel and Schr "oder 1998, Schr "oder 2002]
- Functional Dependency Grammar (FDG)
 - [Tapanainen and Jarvinen 1997, Jarvinen and Tapanainen 1998]
- Topological/Extensible Dependency Grammar ([T/X]DG)
 - [Duchier and Debusmann 2001, Debusmann et al. 2004]

Examples

A	Construction	Head	Dependent
outwards	Exocentric	Verb	Subject (sbj)
		Verb	Object (obj)
inwards	Endocentric	Verb	Adverbial (vmod)
1		Noun	Attribute (nmod)

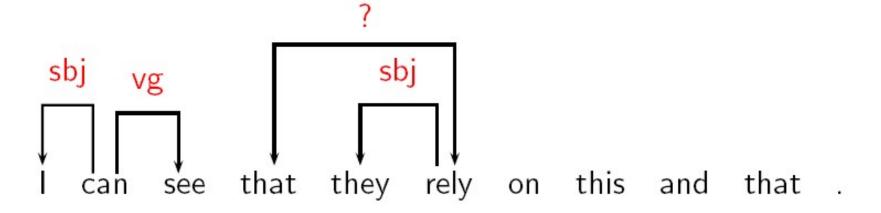


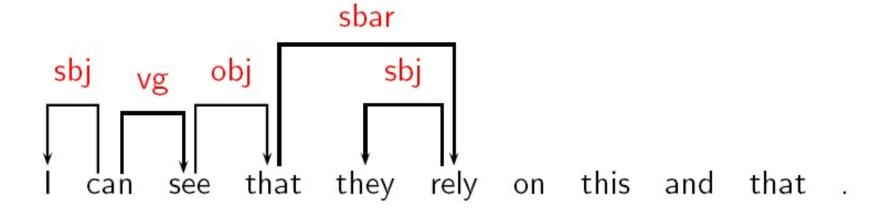
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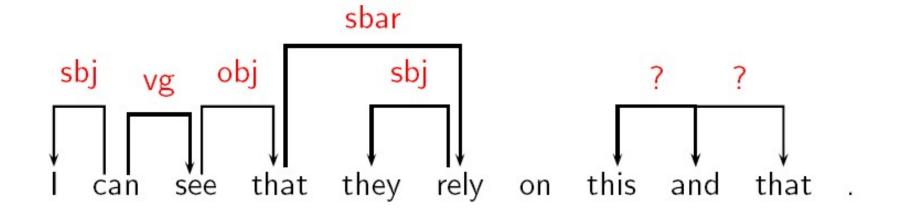
Tricky Cases

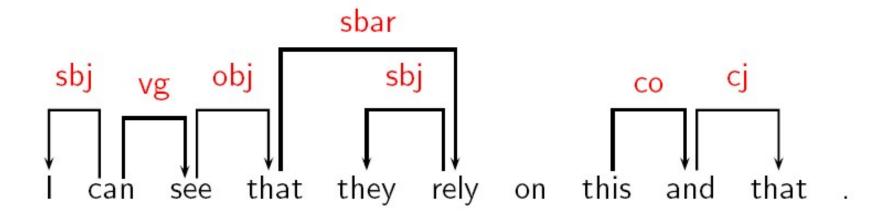
Subordinate clauses (complementizer ↔ verb)



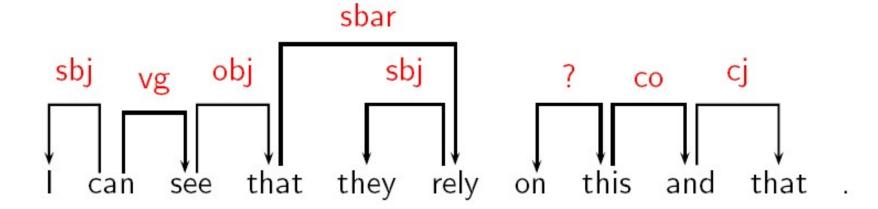


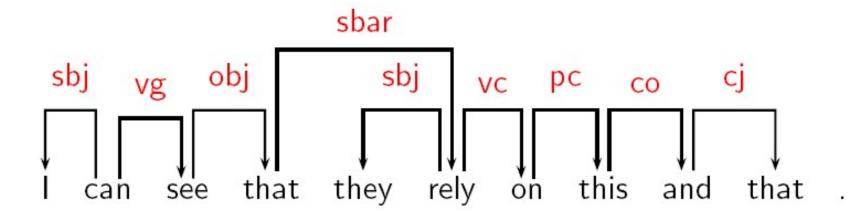
Coordination (coordinator ↔ conjuncts)



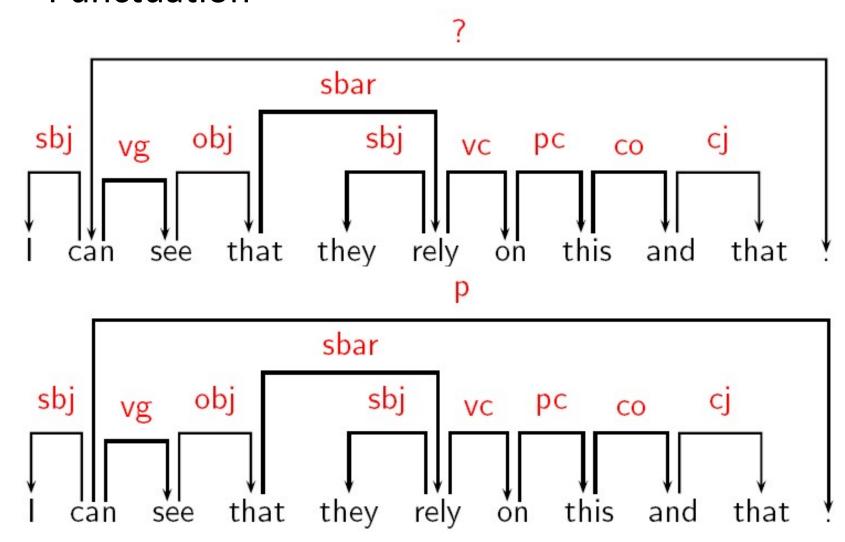


Prepositional phrases (preposition ← nominal)





Punctuation



Dependency parsing

Input:

Sentence $W = w_0, w_1, \dots, w_n$ with $w_0 = root$

Output:

Dependency graph G = (V, A) for W where:

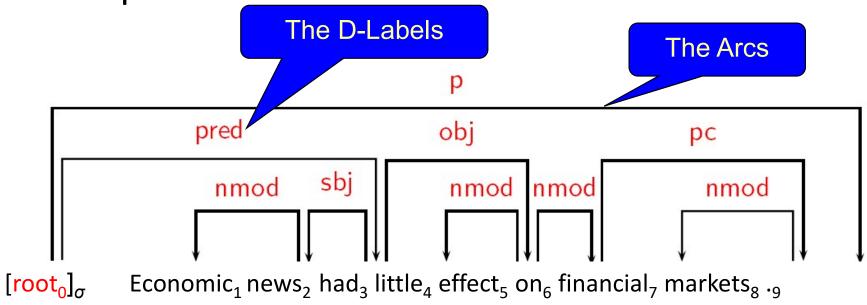
- $V = \{0, 1, ..., n\}$ is the *vertex* set,
- A is the arc set, i.e., $(i, j, k) \in A$ represents a dependency from w_i to w_j with label $I_k \in L$

Example

Input:

 $[root_0]_{\sigma}$ Economic₁ news₂ had₃ little₄ effect₅ on₆ financial₇ markets₈ .₉

Output:



Approaches

- Grammar-based parsing
 - Context-free dependency grammar
 - Constraint dependency grammar
- Data-driven parsing
 - Transition-based models
 - Graph-based models

Context-free dependency grammar

Basic idea

- Dependency grammar as lexicalized context-free grammar
- Standard context-free parsing algorithms (CKY, Earley, etc.)

Recent developments:

- Link Grammar [Sleator and Temperley 1991]
- Earley-style parser with left-corner filtering
- [Lombardo and Lesmo 1996]
- Bilexical grammars [Eisner 1996, Eisner 2000]

Constraint Dependency Grammar

- Parsing as constraint satisfaction [Maruyama 1990]:
 - Grammar consists of a set of boolean constraints, i.e. logical formulas that describe well-formed dependency graphs.
 - Constraint propagation removes candidate graphs that contradict constraints (eliminative parsing).
- Recent developments:
 - Weighted Constraint Dependency Grammar
 [Menzel and Schr öder 1998, Foth et al. 2004]
 - Probabilistic Constraint Dependency Grammar
 [Harper and Helzerman 1995, Wang and Harper 2004]
 - Topological/Extensible Dependency Grammar
 [Duchier and Debusmann 2001, Debusmann et al. 2004]

Transition-based models

• Basic idea:

- Define a transition system (state machine, MM) for mapping a sentence to its dependency graph.
- Learning: Induce a model for predicting the next state transition, given the transition history.
- Parsing: Construct the optimal transition sequence, given the induced model.
- Characteristics:
- Local training of a model for optimal transitions
- Greedy search/inference

Lexicalized Dependency Probabilistic Model

- Collins 1996
- Training: Retrieve the probability of any words with specific dependency through Maximal Likelihood Estimation.
- Parsing (Decoding): Search a dependency tree with the highest product of production probability of all dependent pairs

Probabilistic Dependency Model

- Eisner, 1996
- For each candidate dependency tree, T, its
 production probability is defined as the
 probability product of the production probability
 of each node in T

$$Gen(T) = \prod_{x \in T} Gen(x)$$

 Task of Parsing: Looking for the dependency tree with the highest production probability

Shift-Reduce Dependency Parsing

Transitions:

```
Left-Arc<sub>k</sub>:

(\sigma|i,j|\beta,A) \Rightarrow (\sigma,j|\beta,A\cup\{(j,i,k)\})

Right-Arc<sub>k</sub>:

(\sigma|i,j|\beta,A) \Rightarrow (\sigma,i|\beta,A\cup\{(i,j,k)\})

Shift:

(\sigma,i|\beta,A) \Rightarrow (\sigma|i,\beta,A)
```

Preconditions:

Left-Arc_k:

$$\neg[i = 0]$$

$$\neg\exists i'\exists k'[(i', i, k') \in A]$$
Right-Arc_k:

$$\neg\exists i'\exists k'[(i', j, k') \in A]$$

Example: Shift-Reduce Parsing - 1

 $[{\tt root_0}]_{\sigma}$ [Economic₁ news₂ had₃ little₄ effect₅ on₆ financial₇ markets₈ .₉]_{θ}

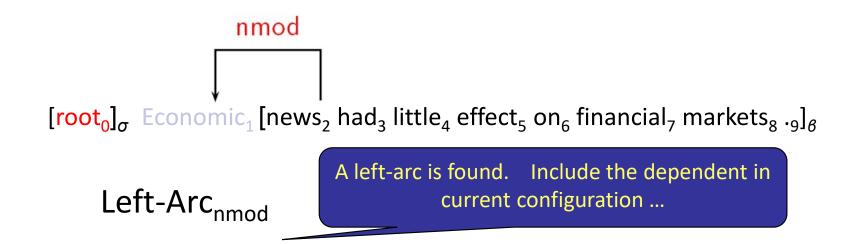
We have nothing in the configuration.

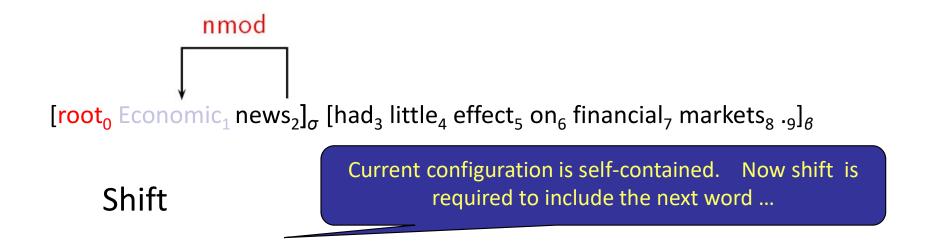
Just shift to first word

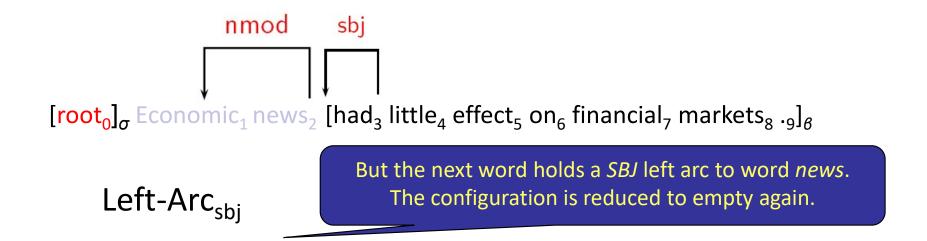
 $[\mathsf{root_0}\ \mathsf{Economic_1}]_\sigma [\mathsf{news_2}\ \mathsf{had_3}\ \mathsf{little_4}\ \mathsf{effect_5}\ \mathsf{on_6}\ \mathsf{financial_7}\ \mathsf{markets_8}\ ._9]_\theta$

Shift

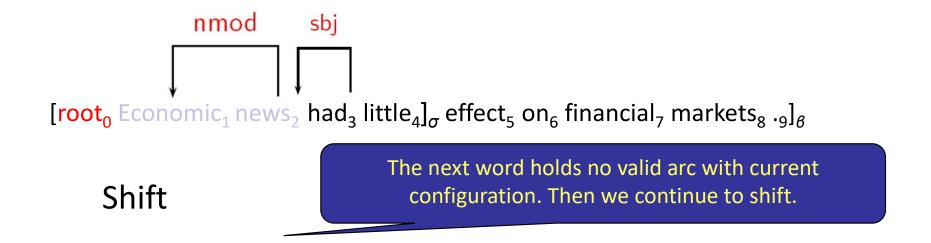
We have one word in the configuration. Now to search the in/out arcs ...

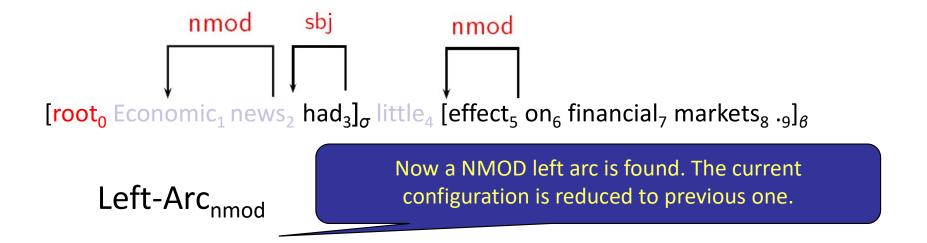


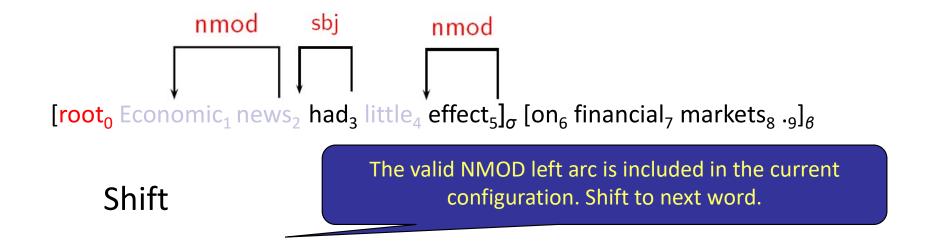


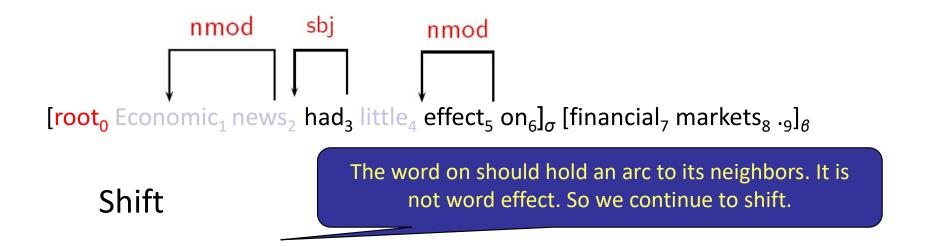


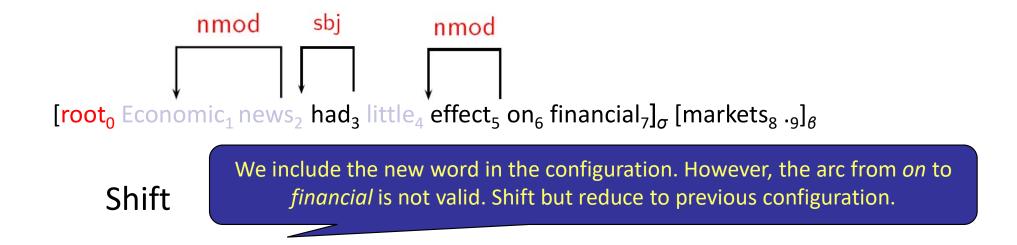


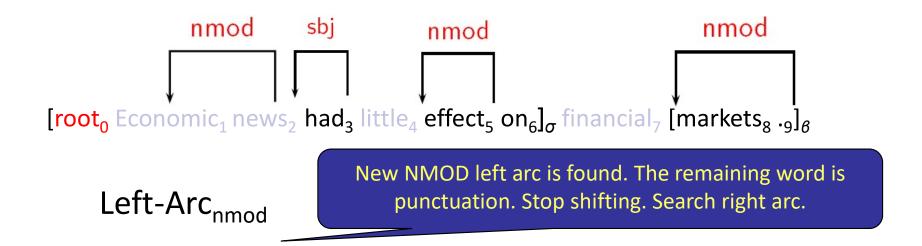


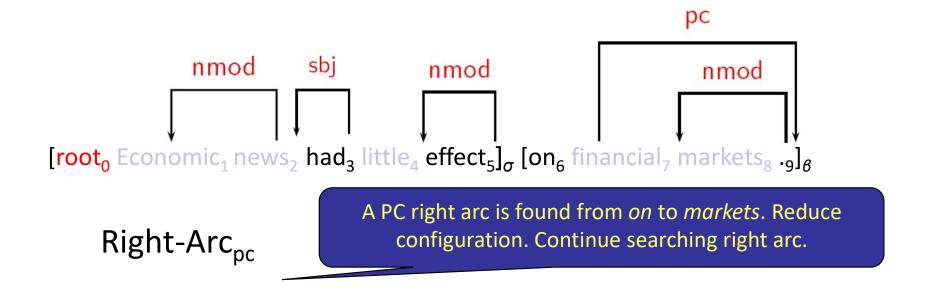


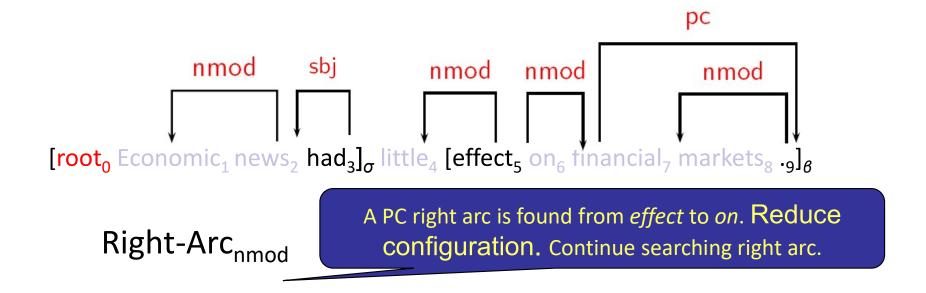


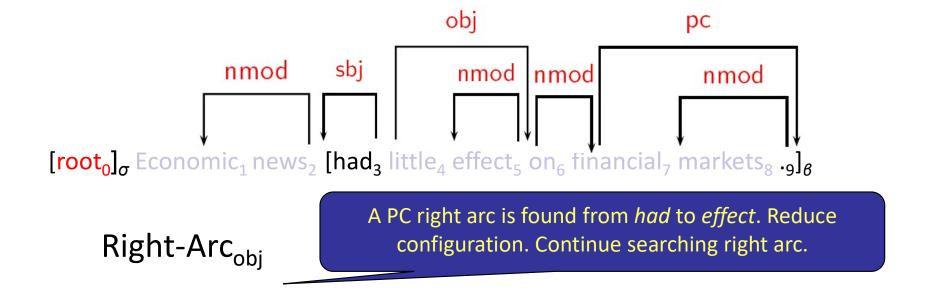


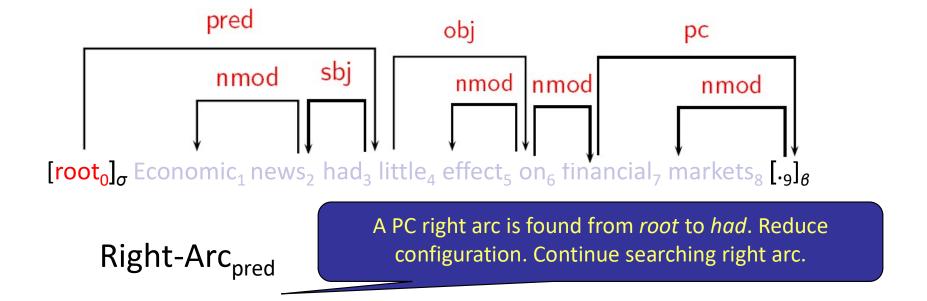


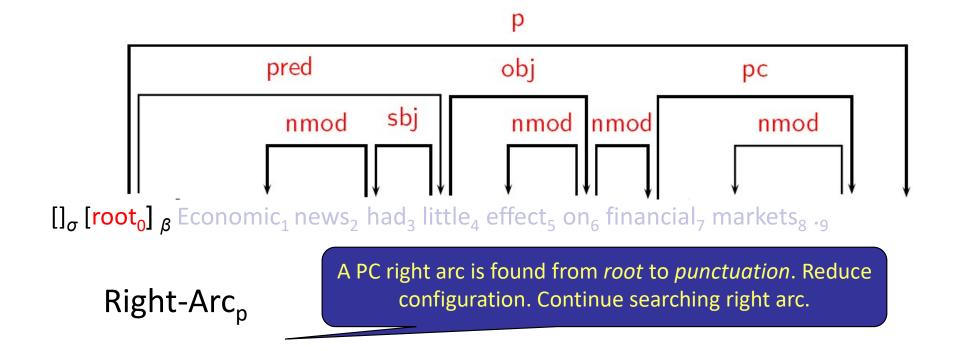


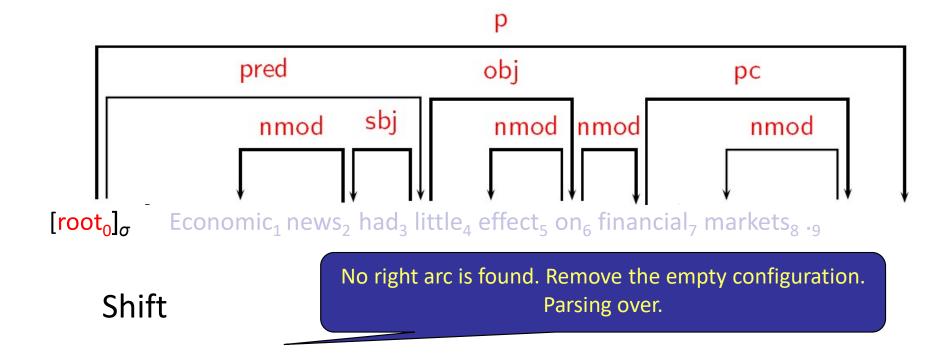




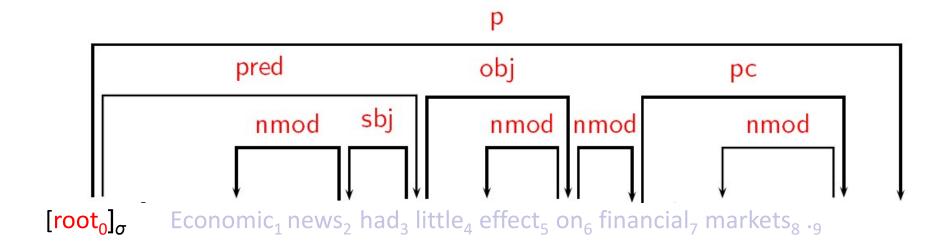








Shift



Graph-based models

Basic idea:

- Define a space of candidate dependency graphs for a sentence.
- Learning: Induce a model for scoring an entire dependency graph for a sentence.
- Parsing: Find the highest-scoring dependency graph, given the induced model.

Characteristics:

- Global training of a model for optimal dependency graphs
- Exhaustive search/inference

Treebanks

- English Penn Treebank: Standard corpus for testing syntactic parsing consists of 1.2 M words of text from the Wall Street Journal (WSJ).
- Typical to train on about 40,000 parsed sentences and test on an additional standard disjoint test set of 2,416 sentences.
- Chinese Penn Treebank: 100K words from the Xinhua news service.
- Other corpora existing in many languages, see the Wikipedia article "Treebank"

Parsing Resources

- Michael Collins 's Parser: English
 - http://people.csail.mit.edu/mcollins/code.html
- Dan Bikel 's Parser: English / Chinese / Arabic
 - http://www.cis.upenn.edu/~dbikel/software.html#statparser
- Stanford Parser: English / Chinese / German
 - http://www-nlp.stanford.edu/software/lex-parser.shtml
 - English / Chinese / German
- David Chiang's Parser: English / Chinese
 - http://www.isi.edu/~chiang/
- HIT IR Dependency Parser
 - http://ir.hit.edu.cn