Prompting Language Models

In the notebook, we'll be evaluating different model prompting strategies on a publicly available language model. We will then perform soft-prompt tuning on GPT-2 and compare it against hard prompting.

Install required packages

```
In [1]: !pip install transformers !pip install inflect !pip install cohere
```

Downloading transformers-4.35.1-py3-none-any.whl (7.9 MB)

- 7.9/7.9 MB 46.1 MB/s eta 0:00:00

Requirement already satisfied: filelock in /usr/local/lib/python3.10/dist-package s (from transformers) (3.13.1)

Collecting huggingface-hub<1.0,>=0.16.4 (from transformers)

Downloading huggingface_hub-0.19.1-py3-none-any.whl (311 kB)

--- 311.1/311.1 kB 39.6 MB/s eta 0:00:00

Requirement already satisfied: numpy>=1.17 in /usr/local/lib/python3.10/dist-pack ages (from transformers) (1.23.5)

Requirement already satisfied: packaging>=20.0 in /usr/local/lib/python3.10/dist-packages (from transformers) (23.2)

Requirement already satisfied: pyyaml>=5.1 in /usr/local/lib/python3.10/dist-pack ages (from transformers) (6.0.1)

Requirement already satisfied: regex!=2019.12.17 in /usr/local/lib/python3.10/dist-packages (from transformers) (2023.6.3)

Requirement already satisfied: requests in /usr/local/lib/python3.10/dist-package s (from transformers) (2.31.0)

Collecting tokenizers<0.15, >=0.14 (from transformers)

Downloading tokenizers-0.14.1-cp310-cp310-manylinux_2_17_x86_64.manylinux2014_x 86_64.whl (3.8 MB)

--- 3.8/3.8 MB 61.2 MB/s eta 0:00:00

Collecting safetensors>=0.3.1 (from transformers)

Downloading safetensors-0.4.0-cp310-cp310-manylinux_2_17_x86_64.manylinux2014_x86_64.whl (1.3 MB)

--- 1.3/1.3 MB 64.1 MB/s eta 0:00:00

Requirement already satisfied: tqdm>=4.27 in /usr/local/lib/python3.10/dist-packa ges (from transformers) (4.66.1)

Requirement already satisfied: fsspec>=2023.5.0 in /usr/local/lib/python3.10/dist-packages (from huggingface-hub<1.0,>=0.16.4->transformers) (2023.6.0)

Requirement already satisfied: typing-extensions>=3.7.4.3 in /usr/local/lib/pytho n3.10/dist-packages (from huggingface-hub<1.0,>=0.16.4->transformers) (4.5.0) Collecting huggingface-hub<1.0,>=0.16.4 (from transformers)

Downloading huggingface hub-0.17.3-py3-none-any.whl (295 kB)

--- 295.0/295.0 kB <mark>32.0 MB/s</mark> eta 0:00:00

Requirement already satisfied: charset-normalizer $\langle 4, \rangle = 2$ in /usr/local/lib/python 3.10/dist-packages (from requests->transformers) (3.3.2)

Requirement already satisfied: idna < 4, >=2.5 in /usr/local/lib/python3.10/dist-packages (from requests->transformers) (3.4)

Requirement already satisfied: ur11ib3<3, >=1.21.1 in /usr/local/lib/python3.10/dist-packages (from requests->transformers) (2.0.7)

Requirement already satisfied: certifi>=2017.4.17 in /usr/local/lib/python3.10/dist-packages (from requests->transformers) (2023.7.22)

Installing collected packages: safetensors, huggingface-hub, tokenizers, transformers

Successfully installed hugging face-hub-0.17.3 safetensors-0.4.0 tokenizers-0.14.1 transformers-4.35.1

Requirement already satisfied: inflect in /usr/local/lib/python3.10/dist-packages (7.0.0)

Requirement already satisfied: pydantic>=1.9.1 in /usr/local/lib/python3.10/dist-packages (from inflect) (1.10.13)

Requirement already satisfied: typing-extensions in /usr/local/lib/python3.10/dist-packages (from inflect) (4.5.0)

Collecting cohere

Downloading cohere-4.34-py3-none-any.whl (48 kB)

```
--- 48.2/48.2 kB 5.7 MB/s eta 0:00:00
```

Requirement already satisfied: aiohttp $\langle 4.0, \rangle = 3.0$ in /usr/local/lib/python3.10/dist-packages (from cohere) (3.8.6)

Collecting backoff<3.0,>=2.0 (from cohere)

Downloading backoff-2.2.1-py3-none-any.whl (15 kB)

Collecting fastavro==1.8.2 (from cohere)

Downloading fastavro-1.8.2-cp310-cp310-manylinux_2_17_x86_64.manylinux2014_x86_64.whl (2.7 MB)

- 2.7/2.7 MB 37.3 MB/s eta 0:00:00

Requirement already satisfied: importlib_metadata<7.0,>=6.0 in /usr/local/lib/pyt hon3.10/dist-packages (from cohere) (6.8.0)

Requirement already satisfied: requests $\langle 3.0.0, \rangle = 2.25.0$ in /usr/local/lib/python3.10/dist-packages (from cohere) (2.31.0)

Requirement already satisfied: ur11ib3<3, >=1.26 in /usr/local/lib/python3.10/dist-packages (from cohere) (2.0.7)

Requirement already satisfied: attrs>=17.3.0 in /usr/local/lib/python3.10/dist-pa ckages (from aiohttp<4.0,>=3.0->cohere) (23.1.0)

Requirement already satisfied: charset-normalizer $\langle 4.0, \rangle = 2.0$ in /usr/local/lib/pyt hon3.10/dist-packages (from aiohttp $\langle 4.0, \rangle = 3.0$ ->cohere) (3.3.2)

Requirement already satisfied: multidict < 7.0, >=4.5 in /usr/local/lib/python3.10/dist-packages (from aiohttp<4.0, >=3.0->cohere) (6.0.4)

Requirement already satisfied: async-timeout $\langle 5.0, \rangle = 4.0.0a3$ in /usr/local/lib/pyth on 3.10/dist-packages (from aiohttp $\langle 4.0, \rangle = 3.0$ ->cohere) (4.0.3)

Requirement already satisfied: yar1 < 2.0, >=1.0 in /usr/local/lib/python3.10/dist-p ackages (from aiohttp<4.0, >=3.0->cohere) (1.9.2)

Requirement already satisfied: frozenlist>=1.1.1 in /usr/local/lib/python3.10/dis t-packages (from aiohttp<4.0,>=3.0->cohere) (1.4.0)

Requirement already satisfied: aiosignal>=1.1.2 in /usr/local/lib/python3.10/dist -packages (from aiohttp4.0,>=3.0->cohere) (1.3.1)

Requirement already satisfied: zipp>=0.5 in /usr/local/lib/python3.10/dist-packag es (from importlib_metadata<7.0,>=6.0->cohere) (3.17.0)

Requirement already satisfied: idna<4,>=2.5 in /usr/local/lib/python3.10/dist-pac kages (from requests<3.0.0,>=2.25.0->cohere) (3.4)

Requirement already satisfied: certifi>=2017.4.17 in /usr/local/lib/python3.10/dist-packages (from requests<3.0.0,>=2.25.0->cohere) (2023.7.22)

Installing collected packages: fastavro, backoff, cohere

ERROR: pip's dependency resolver does not currently take into account all the pac kages that are installed. This behaviour is the source of the following dependency conflicts.

11mx 0.0.15a0 requires openai, which is not installed.

11mx 0.0.15a0 requires tiktoken, which is not installed.

Successfully installed backoff-2.2.1 cohere-4.34 fastavro-1.8.2

```
[36]:
In
          import pickle as pkl
          import os
          import json
          import cohere
          import random
          import inflect
          import numpy as np
          import matplotlib.pyplot as plt
          import pickle as pkl
          import torch
          from torch import nn
          import time
          from collections import deque
          random. seed (0)
          np. random. seed (0)
```

Load the dataset

We will be using the Common Sense QA dataset, which is a collection of questions about everyday life. The cells below download the data from https://www.tau-

nlp.sites.tau.ac.il/commonsenseqa (https://www.tau-nlp.sites.tau.ac.il/commonsenseqa) .

```
[38]:
          # Load dataset from jsonl file
          def make dataset (path):
              dataset = []
              with open(path) as f:
                  for line in f:
                      dataset.append(json.loads(line))
              return dataset
   [39]:
          #@title download the dataset
          !curl https://s3.amazonaws.com/commensenseqa/train rand split.jsonl -o train rand s
          !curl https://s3.amazonaws.com/commensenseqa/dev_rand_split.jsonl -o dev_rand_split
          !curl https://s3.amazonaws.com/commensenseqa/test_rand_split_no_answers.jsonl -o te
            % Total
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          train_set = make_dataset('train_rand_split.jsonl')
   [40]:
In
          val_set = make_dataset('dev_rand_split.jsonl')
          # Print the lengths of the train and validation sets
          print(len(train set), len(val set))
```

Here are a few examples of the dataset format:

```
In [41]: | val set[:3]
 Out[41]: [{'answerKey': 'A',
                  id': 'lafa02df02c908a558b4036e80242fac',
                 'question': {'question_concept': 'revolving door',
                  'choices': [{'label': 'A', 'text': 'bank'},
{'label': 'B', 'text': 'library'},
{'label': 'C', 'text': 'department store'},
{'label': 'D', 'text': 'mall'},
                   {'label': 'E', 'text': 'new york'}],
                  'stem': 'A revolving door is convenient for two direction travel, but it also
              serves as a security measure at a what?'}},
                {'answerKey': 'A',
                  id': 'a7ab086045575bb497933726e4e6ad28',
                 'question': {'question concept': 'people',
                  'choices': [{'label': 'A', 'text': 'complete job'},
                   {'label': 'B', 'text': 'learn from each other'},
{'label': 'C', 'text': 'kill animals'},
{'label': 'D', 'text': 'wear hats'},
                   {'label': 'E', 'text': 'talk to each other'}],
                  'stem': 'What do people aim to do at work?'\},
                {'answerKey': 'B',
                 id': 'b8c0a4703079cf661d7261a60a1bcbff',
                 'question': {'question_concept': 'magazines',
                  'choices': [{'label': 'A', 'text': 'doctor'},
                   {'label': 'B', 'text': 'bookstore'},
{'label': 'C', 'text': 'market'},
{'label': 'D', 'text': 'train station'},
{'label': 'E', 'text': 'mortuary'}],
                  'stem': 'Where would you find magazines along side many other printed work
              s?'}}]
```

Make an Cohere account, generate a **trial** API key at https://dashboard.cohere.ai/api-keys), and paste it below.

```
In [42]: # Set the api key from https://dashboard.cohere.ai/api-keys
# my trial API
# MingZwhy

co = cohere.Client('DV1h1q0XWwaTCNthG68iZQUR8g4B2YOWW9pxxmS6')
```

In this notebook, we'll explore different hard-prompting strategies. Run the cells below to see a few example strategies.

```
[43]: def make simple prompt(data point):
           prompt = f"""{data_point['question']['stem']}
        {data point['question']['choices'][0]['label']} {data point['question']['choices'][
        {data point['question']['choices'][1]['label']} {data point['question']['choices'][
        {data_point['question']['choices'][2]['label']} {data_point['question']['choices'][
        {data point['question']['choices'][3]['label']} {data point['question']['choices'][
        {data point['question']['choices'][4]['label']} {data point['question']['choices'][
           return prompt
       def make_simple_qa_prompt(data point):
           prompt = f"""Question: {data point['question']['stem']}
       Choice {data_point['question']['choices'][0]['label']}: {data_point['question']['cho
       Choice {data point['question']['choices'][1]['label']}: {data point['question']['cho
       Choice {data_point['question']['choices'][2]['label']}: {data_point['question']['cho
       Choice {data_point['question']['choices'][3]['label']}: {data_point['question']['cho
       Choice {data point['question']['choices'][4]['label']}: {data point['question']['cho
       Answer:"""
           return prompt
       def get instruction():
           return "Answer the following question with A, B, C, D, or E.\n"
       def make qa instruction prompt (data point):
           prompt = get instruction()
           prompt += make_simple_qa_prompt(data_point)
           return prompt
       def make few shot prompt (data point, num shots):
           prompt = get instruction()
           for i in range (num shots):
               prompt += make simple qa prompt(train set[i])
               prompt += f" {train set[i]['answerKey']}\n'
           prompt += make_simple_qa_prompt(data_point)
           return prompt
       # This is like the prompt above, but the answers in the examples given are random, n
       def make incorrect few shot prompt (data point, num shots):
           prompt = get_instruction()
           for i in range (num shots):
               prompt += make simple prompt(train set[i])
               valid_answers = ['A', 'B', 'C', 'D', 'E']
               valid answers.remove(train set[i]['answerKey'])
               # Randomly choose an incorrect answer
               random answer = random.choice(valid answers)
               prompt += f"{random_answer}\n"
           prompt += make simple qa prompt(data point)
           return prompt
```

```
In [44]: # Print one example of each prompt type
    print('='*40, 'Simple Prompt', '='*40)
    print(make_simple_prompt(train_set[0]))
    print('='*40, 'Simple QA Prompt', '='*40)
    print(make_simple_qa_prompt(train_set[0]))
    print('='*40, 'QA Instruction Prompt', '='*40)
    print(make_qa_instruction_prompt(train_set[0]))
    print('='*40, 'Few Shot Prompt', '='*40)
    print(make_few_shot_prompt(train_set[8], 4))
    print('='*40, 'Incorrect Few Shot Prompt', '='*40)
    print(make_incorrect_few_shot_prompt(train_set[8], 4))
```

```
The sanctions against the school were a punishing blow, and they seemed to what t
he efforts the school had made to change?
A ignore
B enforce
C authoritarian
D yell at
E avoid
               Question: The sanctions against the school were a punishing blow, and they seemed
to what the efforts the school had made to change?
Choice A: ignore
Choice B: enforce
Choice C: authoritarian
Choice D: yell at
Choice E: avoid
Answer:
Answer the following question with A, B, C, D, or E.
Question: The sanctions against the school were a punishing blow, and they seemed
to what the efforts the school had made to change?
Choice A: ignore
Choice B: enforce
Choice C: authoritarian
Choice D: yell at
Choice E: avoid
Answer:
Answer the following question with A, B, C, D, or E.
Question: The sanctions against the school were a punishing blow, and they seemed
to what the efforts the school had made to change?
Choice A: ignore
Choice B: enforce
Choice C: authoritarian
Choice D: yell at
Choice E: avoid
Answer: A
Question: Sammy wanted to go to where the people were. Where might be go?
Choice A: race track
Choice B: populated areas
Choice C: the desert
Choice D: apartment
Choice E: roadblock
Answer: B
Question: To locate a choker not located in a jewelry box or boutique where would
you go?
Choice A: jewelry store
Choice B: neck
Choice C: jewlery box
Choice D: jewelry box
Choice E: boutique
Answer: A
Question: Google Maps and other highway and street GPS services have replaced wha
t?
Choice A: united states
```

Choice B: mexico

```
Choice C: countryside
Choice D: atlas
Choice E: oceans
Answer: D
Question: What do people use to absorb extra ink from a fountain pen?
Choice A: shirt pocket
Choice B: calligrapher's hand
Choice C: inkwell
Choice D: desk drawer
Choice E: blotter
Answer:
====== Incorrect Few Shot Prompt =========
_____
Answer the following question with A, B, C, D, or E.
The sanctions against the school were a punishing blow, and they seemed to what t
he efforts the school had made to change?
 A ignore
 B enforce
C authoritarian
 D yell at
 E avoid
Е
Sammy wanted to go to where the people were. Where might he go?
 A race track
 B populated areas
 C the desert
 D apartment
 E roadblock
Е
To locate a choker not located in a jewelry box or boutique where would you go?
 A jewelry store
 B neck
C jewlery box
 D jewelry box
 E boutique
В
Google Maps and other highway and street GPS services have replaced what?
 A united states
 B mexico
 C countryside
 D atlas
 E oceans
Question: What do people use to absorb extra ink from a fountain pen?
Choice A: shirt pocket
Choice B: calligrapher's hand
Choice C: inkwell
Choice D: desk drawer
Choice E: blotter
Answer:
```

Running the model

The cells below contain code to query Cohere's command-xlarge-nightly model. You can read more about it here: https://docs.cohere.ai/reference/generate).

The algorithm is as follows:

- 1. Format the multiple-choice question as a prompt such that the expected continuation is the answer to the question.
- 2. Query the model with the prompt.
- 3. Parse the model's response to extract the answer.

Since we are using the trial keys, we are rate limited to 5 queries per minute. We have implemented the code to wait for a minute if you get close to the rate limit. If you run into rate limits, just wait a bit and retry. (Previous queries will stay in the cache, so you won't have to re-query them.)

```
In [10]: # Parameters for the guery.
          # Run with the defaults first, then if you want try changing them to see how it affe
          model = "command-xlarge-nightly" # This is the biggest (and most expensive) model.
          temperature = 0 # Control randomness. For more randomness, set to a higher value. For
          max_tokens = 1 # Only generate 1 token (the answer). The Cohere API
          num generations = 5 # How many outputs to generate
          return likelihoods = 'GENERATION' # Return the likelihoods for the generations
          # To avoid errors due to hitting API rate limits, we'll maintain a running tracker o
          MAX QUERIES = 5
                           # 5 queries per 60s in the cohere free tier
          QUERY TIMEWINDOW = 60 # seconds
          timestamps = deque()
          def query cohere(prompt):
              response = co. generate(
                model=model,
                prompt=prompt,
                temperature=temperature,
                max tokens=max tokens,
                num generations=num generations,
                return likelihoods=return likelihoods,
              return response
          # We will store the results in a cache so we don't have to query Cohere every time
          # This will avoid hitting the API rate limit
          cache = \{\}
          if os. path. exists ('cache. pkl'):
              with open ('cache. pkl', 'rb') as f:
                  cache = pk1.load(f)
          def post process response text(response):
                 "Removes trailing and preceding whitespace and newlines. Returns the first cha
              r = response.strip()
              if r:
                  return r[0]
              return r
          # Return the log probability of the correct answer and the most probable answer
          def query model (prompt, correct answer):
              global query_count, timestamps
              # Check if the query is in the cache
              inputs = (prompt, correct answer, model, temperature, max tokens, return likelih
              if inputs in cache:
                  response = cache[inputs]
              else:
                  # If more than 5 queries have been run in the last 60s, wait for cooldown
                  if len(timestamps) >= MAX QUERIES and time.time() - timestamps[0] <= QUERY
                      print ("Sleeping for a minute to cooldown API limits.")
                      time. sleep (60)
                      timestamps.clear()
                  # Run query
                  response = query cohere(prompt)
                  # Update timestamps
                  timestamps.append(time.time())
                  if len(timestamps) > MAX QUERIES:
                      timestamps.popleft()
```

```
# Cache inputs
  cache[inputs] = response
# Save cache to file
  with open('cache.pkl', 'wb') as f:
     pkl.dump(cache, f)
log_prob = response.data[0].likelihood
most_probable = post_process_response_text(response.data[0].text)
return log_prob, most_probable
```

```
In [11]: # For simplicity (and to save time), we'll only use the first 10 data points
          # You can get more reliable results by using more data points, but it will
          # take longer to run because of API rate limits.
          num points = 10
          mini train = val set[:num points]
          num shots = 4
          # Consider adding additional prompts of your own here.
          prompt strategies = {
               'simple': make simple prompt,
               'simple qa': make simple qa prompt,
               'qa instruction': make qa instruction prompt,
               'few_shot': lambda x: make_few_shot_prompt(x, num_shots),
              'incorrect few shot': lambda x: make incorrect few shot prompt(x, num shots)
          def compute acc(data points, prompt strategy):
              accuracies = []
              valid responses = []
               for data point in data points:
                  print(f'Question: {data_point["question"]["stem"]}, Answer: {data_point["ans
                  prompt = prompt strategy(data point)
                  correct answer = f' {data point["answerKey"]}'
                  log prob, most probable = query model(prompt, correct answer)
                  accuracies += [int(most_probable == correct_answer)]
                  valid_responses += [most_probable in ['A', 'B', 'C', 'D', 'E']]
                  print(f' LM predicted | {most_probable} |, accuracy: {accuracies[-1]}')
              return np. mean (accuracies), np. mean (valid responses)
          def plot all accs (data points, prompt strategies):
              accuracies = []
              valid responses = []
               for prompt_name, prompt_strategy in prompt_strategies.items():
                  accuracy, valid rate = compute acc(data points, prompt strategy)
                  accuracies += [accuracy]
                  valid responses += [valid rate]
              # Plot a bar chart of the accuracies
              plt.figure(figsize=(10, 5))
              plt.bar(prompt strategies.keys(), accuracies)
              plt. title ('Accuracies')
              plt. show()
              # Plot a bar chart of the valid responses
              plt. figure (figsize= (10, 5))
              plt.bar(prompt_strategies.keys(), valid_responses)
              plt. title('Valid Responses')
              plt. show()
```

```
Question: A revolving door is convenient for two direction travel, but it also
serves as a security measure at a what?, Answer: A, Choices: ['bank', 'librar
y', 'department store', 'mall', 'new york']
   LM predicted |E|, accuracy: 0
Question: What do people aim to do at work?, Answer: A, Choices: ['complete jo
b', 'learn from each other', 'kill animals', 'wear hats', 'talk to each othe
r']
   LM predicted |A|, accuracy: 1
Question: Where would you find magazines along side many other printed works?,
Answer: B, Choices: ['doctor', 'bookstore', 'market', 'train station', 'mortua
   LM predicted |B|, accuracy: 1
Question: Where are you likely to find a hamburger?, Answer: A, Choices: ['fa
st food restaurant', 'pizza', 'ground up dead cows', 'mouth', 'cow carcus']
   LM predicted |A|, accuracy: 1
Question: James was looking for a good place to buy farmland. Where might he
look?, Answer: A, Choices: ['midwest', 'countryside', 'estate', 'farming area
s', 'illinois']
```

Analysis

[14]: plot all accs(mini train, prompt strategies)

LM predicted |E|, accuracy: 0

TODO: in the cells below, implement code to analyze the model's performance.

- What kinds of failures do you see with different prompting strategies?
- Does providing correct labels in few-shot prompting have a significant impact on accuracy?
- Observe the model's log probabilities. Does it seem more confident when it is correct than when it is incorrect?

A function to plot the model's confidence has been implemented for you, but you should feel free to write code to do additional analysis.

```
[15]: # Printing the log probs for the generated tokens
       prompt strategies = {
           # 'simple': make simple prompt, # removed since it's always incorrect
            'simple qa': make simple qa prompt,
            'qa instruction': make qa instruction prompt,
            'few shot': lambda x: make few shot prompt(x, num shots),
           'incorrect few shot': lambda x: make incorrect few shot prompt(x, num shots)
       argmax 1p correct = []
       argmax_lp_incorrect = []
       for prompt name, prompt strategy in prompt strategies.items():
           argmax lp correct prompt = []
           argmax lp incorrect prompt = []
            for data_point in val_set[:num_points]:
                prompt = prompt strategy(data point)
                correct answer = f' {data point["answerKey"]}'
                print(f"Prompt: {prompt}")
                log probs, most probable = query model(prompt, correct answer)
                log prob argmax = log probs
                if most probable == correct answer:
                    argmax lp correct prompt += [log prob argmax]
                else:
                    argmax\_lp\_incorrect\_prompt \ += \ [log\_prob\_argmax]
                print(f'LM predicted | {most probable} |, correct answer: {correct answer}')
            argmax lp correct += [np. mean(argmax lp correct prompt)]
           argmax_lp_incorrect += [np. mean(argmax_lp_incorrect_prompt)]
       # Plot a bar chart of the accuracies. The bar chart has two bars for each prompt str
       # These bars are placed side by side, so you can compare the accuracies of the two s
       plt. figure (figsize= (10, 5))
       plt. bar (np. arange (len (prompt strategies)) - 0.2, np. exp (argmax lp correct), width=0.
       plt.bar(np.arange(len(prompt_strategies)) + 0.2, np.exp(argmax_lp_incorrect), width=
       plt.title('Confidence (Probability of most likely token)')
       plt.xticks(np.arange(len(prompt strategies)), prompt strategies.keys())
       plt.legend()
       plt.show()
       Prompt: Question: A revolving door is convenient for two direction travel, but
        it also serves as a security measure at a what?
       Choice A: bank
       Choice B: library
       Choice C: department store
       Choice D: mall
       Choice E: new york
       Answer:
       LM predicted |A|, correct answer: A
       Prompt: Question: What do people aim to do at work?
       Choice A: complete job
       Choice B: learn from each other
       Choice C: kill animals
       Choice D: wear hats
       Choice E: talk to each other
       LM predicted |C|, correct answer: A
       Prompt: Question: Where would you find magazines along side many other printed
       works?
```

Training GPT-2 for soft prompt tuning

GPT-2 is the smaller predecessor model to GPT-3. We will use GPT-2 for soft prompt tuning as it is publicly available(unlike GPT-3) and small enough to train on the free version of the colab GPU (unlike GPT-J).

Soft prompt tuning is described in this <u>paper (https://arxiv.org/abs/2104.08691v1)</u>, which we encourage you to learn more about. In essence, instead of generating answers by putting in token prompts, we use fine tuning to train the embeddings of new learned tokens. This allows us to generate answers by putting in the new learned tokens instead of tokens which correspond to real words.

Most of the code has been implemented for you, but you should still read through the code to understand what it's doing. There is one TODO which asks you to set up the optimizer. Think about which parameters should get passed into the optimizer.

```
[45]:
       #@title Define soft embedding for GPT-2
       #@markdown code adapted from [this github repo] (https://github.com/kipgparker/soft-p
       class SoftEmbedding(nn. Module):
           def init (self,
                       wte: nn. Embedding,
                       n tokens: int = 10,
                       random range: float = 0.5,
                        initialize_from_vocab: bool = True):
               " " "
               Here, we concatentate a new task-specific learned embedding to the existing
               Args:
                   wte (nn. Embedding): original transformer word embedding
                   n_tokens (int, optional): number of tokens for task. Defaults to 10.
                   random range (float, optional): range to init embedding (if not initiali
                   initialize_from_vocab (bool, optional): initalizes from default vocab. D
               super(SoftEmbedding, self). init ()
               self.wte = wte
               self.n tokens = n tokens
               self. learned embedding = nn. parameter. Parameter (self. initialize embedding (wt
                                                                                        rando
                                                                                        initi
           def initialize embedding(self,
                                     wte: nn. Embedding,
                                     n tokens: int = 10,
                                     random_range: float = 0.5,
                                     initialize from vocab: bool = True):
               """initializes learned embedding
               Args:
                   same as <u>__init__</u>
               Returns:
                   torch.float: initialized using original schemes
               if initialize from vocab:
                   return self.wte.weight[:n_tokens].clone().detach()
               return torch.FloatTensor(n_tokens, wte.weight.size(1)).uniform_(-random_ran
           def forward(self, tokens):
               """run forward pass
               Args:
                   tokens (torch.long): input tokens before encoding
                    torch.float: encoding of text concatenated with learned task specifc emb
               # The first n tokens embeddings are reserved for the learned embeddings
               # The rest of the embeddings are the original GPT-2 embeddings
               input_embedding = self.wte(tokens[:, self.n_tokens:])
               learned_embedding = self.learned_embedding.repeat(input_embedding.size(0), 1
               return torch.cat([learned_embedding, input_embedding], 1)
```

```
[58]: #@markdown Set up a soft embedding version of GPT-2
       from transformers import GPT2LMHeadModel, GPT2TokenizerFast
       tokenizer = GPT2TokenizerFast.from pretrained("gpt2", padding side='left')
       tokenizer.pad token=tokenizer.eos token
       device = torch.device("cuda" if torch.cuda.is_available() else "cpu")
       n \text{ tokens} = 100
       def initialize soft model():
           model = GPT2LMHeadModel.from pretrained('gpt2')
           initialize_from_vocab = True
           # Set the input embeddings to the GPT2 model
           s wte = SoftEmbedding(model.get input embeddings(),
                               n tokens=n tokens,
                               initialize_from_vocab=initialize_from_vocab)
           model.set input embeddings(s wte)
           model. to (device)
           return model
       # While we didn't need to do this for GPT-3 earlier, training the model means we nee
       # into tokens that the model can understand via the embedding layer.
       def process_dataset(dataset, mapper_fn, pad_length=119):
           mapped_dataset = [mapper_fn(item) for item in dataset]
           if pad length is None:
               out = tokenizer(mapped dataset, return tensors='pt', padding=True)
           else:
               out = tokenizer(mapped dataset, return tensors='pt', padding="max length", n
           # Need to add a space as GPT differentiates between "A" and "A" and it will be
           answerkey = [' ' + item['answerKey'] for item in dataset]
           out ['answerkey'] = tokenizer (answerkey, return tensors='pt', max length=1) ['inpu
           return out
       def pad_soft_inputs(inputs):
           We need to pad the attention_mask and input_ids with an extra n_learned_tokens
           It does not matter what you pad input ids with since these will be overwritten b
           batch = len(inputs['input ids'])
           inputs['input_ids'] = torch.cat([torch.full((batch, n_tokens), 50256).to(device)
           inputs['attention mask'] = torch.cat([torch.full((batch, n tokens), 1).to(device
           return inputs
       # Train the model
       def train_model (model, train_set, val_set, dataset_processor, batch_size=8, epochs=
           train dataset = process dataset(train set, dataset processor)
           val dataset = process dataset(val set, dataset processor)
           # Get the parameters of the new embedding layer
           #new embedding params = list(model.get input embeddings().parameters())
           new_embedding_params = [model.get_input_embeddings().learned_embedding]
           parameters_to_train = new_embedding_params
           optimizer = torch. optim. Adam (parameters to train, 1r=1e-4)
           criterion = nn. CrossEntropyLoss()
           model. to (device)
           model.train()
           epoch train losses = []
           for i in range (epochs):
               epoch_loss = 0
               for j in range (0, len(train dataset['input ids']), batch size):
```

```
# Calculate cross entropy loss between predicted last token and actual 1
            optimizer.zero grad()
            inputs = {k: v[j:j+batch_size].to(device) for k, v in train_dataset.ite
            inputs = pad soft inputs(inputs)
            labels = inputs.pop('answerkey')
            outputs = model(**inputs).logits[:, -1, :] # (batch size, vocab size)
            loss = criterion(outputs, labels.squeeze())
            loss. backward()
            optimizer.step()
            # loss calculated by criterion is averaged over batch, so multiply by ba
            epoch loss += loss.item() * labels.shape[0]
            if j \% print every == 0:
                print(f'Epoch {i}, Item {j}, loss: {loss.item()}')
        epoch_loss /= train_dataset['input_ids'].shape[0]
        epoch_train_losses.append(epoch_loss)
        # Evaluate on validation set
        model.eval()
        val loss = 0
        for j in range(0, len(val_dataset['input_ids']), batch_size):
            inputs = {k: v[j:j+batch size].to(device) for k, v in val dataset.items
            inputs = pad soft inputs(inputs)
            labels = inputs.pop('answerkey')
            outputs = model(**inputs).logits[:, -1, :]
            if j == 0: print(f'decoding {tokenizer.decode(outputs.argmax(dim=-1))}'
            loss = criterion(outputs, labels.squeeze())
            val_loss += loss.item() * labels.shape[0]
        val loss /= val dataset['input ids']. shape[0]
        print('-'*20)
        print(f'Epoch {i}, Validation loss: {val_loss}')
# This function lets us sample the next token (or, in our case, the next answer) fro
def generate_output(model, inputs, pad_soft=True):
    Given a string text or a tokenized input (or list of these, if batched), returns
    next token in the sequence.
    model. eval()
    if type(inputs) is str or type(inputs) is list and type(inputs[0]) is str:
        inputs = tokenizer(inputs, return tensors="pt").to(device)
    if pad soft:
        inputs = pad_soft_inputs(inputs)
    outputs = model(**inputs).logits[0, -1, :]
    outputs = outputs.argmax(dim=-1)
    return tokenizer. decode (outputs)
```

```
[47]:
          model = initialize soft model()
          hard_embedding_model = GPT2LMHeadModel.from_pretrained('gpt2').to(device)
          print (generate output (hard embedding model, 'Deep learning is an', pad soft=False))
          # Print out the embeddings so you can see their shpaes
          print('Embedding object', model.get_input_embeddings())
          print ('Learned embeddings', model.get input embeddings().learned embedding.shape)
          print ('Original vocab embeddings', model.get input embeddings().wte.weight.shape)
           important
          Embedding object SoftEmbedding(
             (wte): Embedding (50257, 768)
          Learned embeddings torch. Size([100, 768])
          Original vocab embeddings torch. Size ([50257, 768])
   [55]:
          model.get_input_embeddings().learned_embedding
In
 Out[55]: Parameter containing:
          tensor([[-0.1101, -0.0393,
                                       0.0331, \ldots, -0.1364,
                                                               0.0151,
                                                                        0.0453,
                   [0.0403, -0.0486,
                                       0.0462,
                                               ...,
                                                      0.0861,
                                                               0.0025,
                                                                        0.0432,
                   [-0.1275,
                             0.0479,
                                                ...,
                                                      0.0899, -0.1297, -0.0879,
                                       0.1841,
                   [-0.0452, -0.1365,
                                       0.2744,
                                               \dots, -0.0197,
                                                              0.0351,
                                                                        0.0310,
                   [-0.1119, -0.3235,
                                       0. 2215,
                                               \dots, -0.1014, -0.1176, -0.3013],
                   [-0.0216, -0.0870,
                                       0.1747, \ldots, 0.0212, -0.0963,
                                                                        0.0858],
                 device='cuda:0', requires grad=True)
   [59]: prompt_strategy = 'qa_instruction'
          if prompt strategy not in prompt strategies:
              print ('prompt strategy must be one of', [i for i in prompt strategies])
          else:
              prompt strategy = prompt strategies[prompt strategy]
              train_model(model, train_set, val_set, prompt_strategy, batch_size=8, epochs=2)
          Truncation was not explicitly activated but `max length` is provided a specifi
          c value, please use `truncation=True` to explicitly truncate examples to max 1
          ength. Defaulting to 'longest_first' truncation strategy. If you encode pairs
          of sequences (GLUE-style) with the tokenizer you can select this strategy more
          precisely by providing a specific strategy to truncation.
          Epoch 0, Item 0, loss: 5.448526859283447
          Epoch 0, Item 200, loss: 5.202822685241699
          Epoch 0, Item 400, loss: 5.431475639343262
          Epoch 0, Item 600, loss: 5.3505425453186035
          Epoch 0, Item 800, loss: 5.271484375
          Epoch 0, Item 1000, loss: 4.894003868103027
          Epoch 0, Item 1200, loss: 5.4230265617370605
          Epoch 0, Item 1400, loss: 5.299588680267334
          Epoch 0, Item 1600, loss: 4.069270610809326
          Epoch 0, Item 1800, loss: 4.201892852783203
          Epoch 0, Item 2000, loss: 4.038343906402588
          Epoch 0, Item 2200, loss: 4.373899459838867
          Epoch 0, Item 2400, loss: 5.140368461608887
          Fnoch 0 Item 2600 loss: 3 764720916748047
```

```
In [60]: # Save the model to a pickle file (If your runtime crashes, you can load the model f with open('soft_embeddings_model_qa.pkl', 'wb') as f: pkl.dump(model, f)
```

Compare the performance of the model with hard prompting and with soft prompt tuning. If your implementation is correct, you should get around 21% correct and 0% invalid with the soft prompt. Answer the analysis questions in the written portion of the assignment.

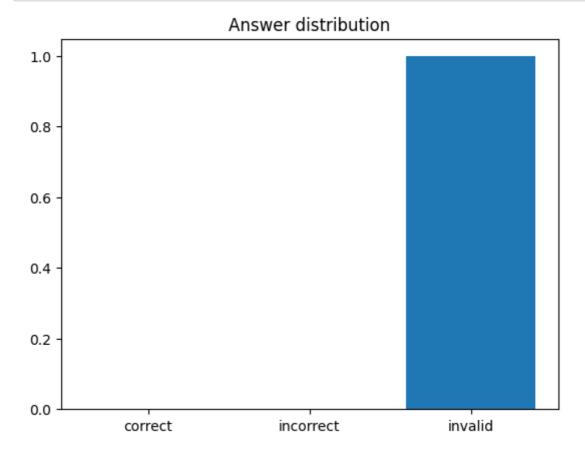
```
Answer the following question with A, B, C, D, or E.
Question: The surgeon's clients had begun to reduce, it seemed girls no longer wa
nt to what?
Choice A: reduction
Choice B: make larger
Choice C: augment
Choice D: gain weight
Choice E: expand
Answer:
gt: C. model1: 'B'. model2: 'The'
Answer the following question with A, B, C, D, or E.
Question: The teacher knew her students understood division, what was she hoping
they would learn next?
Choice A: multiplication
Choice B: multiply
Choice C: putting together
Choice D: unity
Choice E: pay debts
Answer:
gt: A. model1: 'A'. model2: 'The'
Answer the following question with A, B, C, D, or E.
Question: What would you do to a rock when climb up a cliff?
Choice A: grab
Choice B: look down
Choice C: throw
Choice D: falling
Choice E: may fall
Answer:
gt: A. model1: 'A'. model2: 'A'
Answer the following question with A, B, C, D, or E.
Question: To see new films you must?
Choice A: open eyes
Choice B: go to movies
Choice C: kick ball
Choice D: make art
Choice E: look for
Answer:
gt: B. model1: 'A'. model2: 'To'
Answer the following question with A, B, C, D, or E.
Question: On a hot day what can you do to enjoy something cool and sweet?
Choice A: dive
Choice B: cool off
Choice C: fresh cake
Choice D: go for swim
Choice E: eat ice cream
Answer:
```

gt: E. model1: 'A'. model2: 'On'

```
[62]:
       #@title Bar plot the distribution of incorrect answers, invalid answers, and correct
       def get_answer_stats(model, dataset, dataset_processor, verbose, pad_soft):
           processed set = process dataset(dataset, dataset processor)
           correct = 0
           incorrect = 0
           invalid = 0
           for i in range(len(dataset)):
               inputs = {k: v[i:i+1].to(device) for k, v in processed_set.items()}
               point = dataset[i]
               prompt = dataset processor(point)
               label = inputs.pop('answerkey')
               output = generate output (model, inputs, pad soft).strip()
               if verbose: print(f'Prompt: {prompt}, output: | {output} |, answerkey | {point[
               if output == point["answerKey"]:
                   correct += 1
               elif output in ['A', 'B', 'C', 'D', 'E'] or not point['answerKey'] in ['A'
                   incorrect += 1
                   invalid += 1
           correct, incorrect, invalid = correct/len(dataset), incorrect/len(dataset), inva
           return correct, incorrect, invalid
       def plot answer stats (model, dataset, dataset processor, verbose=False, pad soft=Ti
           correct, incorrect, invalid = get_answer_stats(model, dataset, dataset_processor
           plt.bar(['correct', 'incorrect', 'invalid'], [correct, incorrect, invalid])
           plt.title('Answer distribution')
           plt.show()
[63]: plot answer stats(model, val set, prompt strategy, verbose=True, pad soft=True)
       Streaming output truncated to the last 5000 lines.
       Prompt: Answer the following question with A, B, C, D, or E.
       Question: Where would you expect to find a dictionary along side other writing
       s you can borrow?
       Choice A: classroom
       Choice B: shelf
       Choice C: explain meaning of words
       Choice D: table
       Choice E: library
       Answer:, output: |B|, answerkey |E|
       Prompt: Answer the following question with A, B, C, D, or E.
       Question: What would be necessary for getting in shape?
       Choice A: good health
       Choice B: exercise
       Choice C: muscle tone
       Choice D: sweat
       Choice E: feel better
       Answer:, output: |B|, answerkey |B|
```

Prompt: Answer the following question with A, B, C, D, or E.

In [64]: plot_answer_stats(hard_embedding_model, val_set, prompt_strategies['qa_instruction']



In [65]: model_soft_qa = model

Pluralize task

As you can see above, the soft embedding model does not perform very well on this task. We'll show how soft prompting does better on a second, very simple task - pluralizing a word.

The dataset we use was found here, and consists of a list of English nouns: https://www.kaggle.com/datasets/leite0407/list-of-nouns?select=nounlist.csv. For simplicity, we will only consider words where the output is a single token (to avoid needing to deal with sequential generation for evaluation), but you could adapt the code to generate arbitrarily long outputs.

If you get memory errors when running this part, re-run the notebook while skipping loading the previous dataset and soft model.

!! If you run into an error during training complaining about batch size dimensions, this is an edge-case issue where we get errors when the last batch in an epoch length 1. You can fix this by removing the item in the train set. !!

```
[66]:
          !curl https://inst.eecs.berkeley.edu/~cs182/fa22/assets/assignments/nounlist.csv
In
                       % Received % Xferd Average Speed
            % Total
                                                           Time
                                                                   Time
                                                                            Time
                                                                                  Current
                                           Dload Upload
                                                           Total
                                                                   Spent
                                                                            Left
                                                                                  Speed
          100 54860 100 54860
                                            138k
```

We'll create targets for this dataset using the inflect library, which is a Python library for inflecting English words. You can read more about it here: https://pypi.org/project/inflect/. This library can convert word to plural forms (though it is not 100% reliable).

```
In [67]: # Set of words with unusual plurals
          noun_test = ['foot', 'man', 'person', 'self', 'wife', 'wolf', 'woman']
          engine = inflect.engine()
          # Load new noun list dataset from csv file
          with open ('nounlist.csv', 'r') as f:
              noun list = f.read().splitlines()
              noun list = [i.strip() for i in noun list]
          random. seed (0)
          # shuffle the noun list
          random. shuffle (noun list)
          # Remove all list items which are in the nouns list (our test set)
          noun list = [i for i in noun list if i not in noun test]
          # Remove the last 10% for validation
          noun_train = noun_list[:-int(len(noun list)*0.1)]
          noun val = noun list[-int(len(noun list)*0.1):]
          # Plural task
          def format_dataset(noun_list):
              dataset = []
              for noun in noun list:
                  plural = engine.plural(noun)
                  dataset.append({'answerKey': plural, 'input': noun})
              return dataset
          noun train = format dataset(noun train)
          noun val = format dataset(noun val)
          noun test = format dataset(noun test)
          # Only include nouns where the plural is a single token
          noun train = [i for i in noun train if len(tokenizer(i['answerKey'])['input_ids'])
          noun_val = [i for i in noun_val if len(tokenizer(i['answerKey'])['input_ids']) == 1
          noun test = [i for i in noun test if len(tokenizer(i['answerKey'])['input ids']) ==
          # Print the first 10 items in the dataset
          print([point['input'] for point in noun train[:10]])
          print([point['answerKey'] for point in noun train[:10]])
          print(f'Lengths: train: {len(noun train)}, val: {len(noun val)}, test: {len(noun tes
          ['laugh', 'con', 'script', 'hop', 'jury', 'fourths', 'door', 'ray', 'fall', 'do
          ['laughs', 'cons', 'scripts', 'hops', 'juries', 'fourth', 'doors', 'rays', 'fall
          s', 'docs']
          Lengths: train: 315, val: 29, test: 7
```

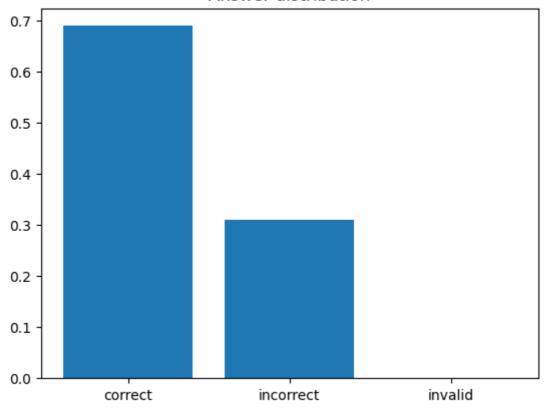
Compare hard prompting with soft prompting on this task, then answer the analysis questions in the written part of this homework. You should get over 60% correct on the val set with soft prompting.

```
In [68]: def basic format(point):
              if isinstance(point, dict):
                  point = point['input']
              return f"The plural of {point} is"
          examples = ['pasta', 'sweater', 'wave', 'mouse', 'attorney', 'bottle', 'phone', 'gra
                       'keyhole', 'economy', 'grace', 'finance', 'midnight', 'cushion', 'platea
          def make few shot(i):
              def few shot(point):
                  prompt = ''
                  for j in range(i):
                      prompt += basic_format(examples[j]) + ' ' + engine.plural(examples[j])
                  prompt += basic_format(point)
                  return prompt
              return few shot
          model pluralize = initialize soft model()
          train_model(model_pluralize, noun_train, noun_val, basic_format, batch_size=8, epoch
          Epoch 0, Item 0, loss: 8.19461727142334
          Epoch 0, Item 200, loss: 7.940502643585205
          decoding a a a a a a a is
          Epoch 0, Validation loss: 7.001628316681961
          Epoch 1, Item 0, loss: 7.225864410400391
          Epoch 1, Item 200, loss: 6.434726238250732
          decoding a is is a work a a is
          Epoch 1, Validation loss: 6.226219966493804
          Epoch 2, Item 0, loss: 6.232832431793213
          Epoch 2, Item 200, loss: 6.016568660736084
          decoding a is is service work is a is
          Epoch 2, Validation loss: 5.7039381882240034
          Epoch 3, Item 0, loss: 5.566977500915527
          Epoch 3, Item 200, loss: 5.785000801086426
          decoding a is is service work is a inch
              1 0 77 1:1 /: 1
                                     F 9479F400F40C9C9
  [70]: | # Save the model to a pickle file (If your runtime crashes, you can load the model f
          with open ('soft embeddings model pluralize.pkl', 'wb') as f:
              pkl. dump (model, f)
```

In [71]: plot_answer_stats(model_pluralize, noun_val, basic_format, verbose=True, pad_soft=Tplot_answer_stats(model_pluralize, noun_test, basic_format, verbose=True, pad_soft=True, pad_s

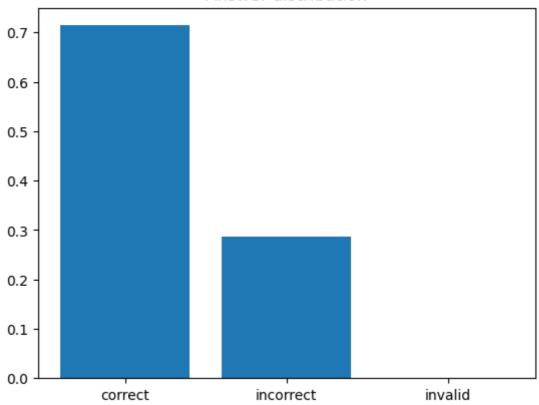
```
Prompt: The plural of skirt is, output: |skirts|, answerkey |skirts|
Prompt: The plural of ink is, output: |ink|, answerkey |inks|
Prompt: The plural of fish is, output: |fishes|, answerkey |fish|
Prompt: The plural of service is, output: |services|, answerkey |services|
Prompt: The plural of work is, output: |works|, answerkey |works|
Prompt: The plural of step is, output: |steps|, answerkey |steps|
Prompt: The plural of thanks is, output: |thanks|, answerkey |thank|
Prompt: The plural of inch is, output: |inches|, answerkey |inches|
Prompt: The plural of rice is, output: |rice|, answerkey |rices|
Prompt: The plural of tic is, output: |t|, answerkey |tics|
Prompt: The plural of hops is, output: |hops|, answerkey |hop|
Prompt: The plural of gun is, output: |guns|, answerkey |guns|
Prompt: The plural of series is, output: |series|, answerkey |series|
Prompt: The plural of stair is, output: |stair|, answerkey |stairs|
Prompt: The plural of thing is, output: |things|, answerkey |things|
Prompt: The plural of version is, output: |versions|, answerkey |versions|
Prompt: The plural of view is, output: |views|, answerkey |views|
Prompt: The plural of face is, output: |faces|, answerkey |faces|
Prompt: The plural of leader is, output: |leaders|, answerkey |leaders|
Prompt: The plural of group is, output: |groups|, answerkey |groups|
Prompt: The plural of cell is, output: |cells|, answerkey |cells|
Prompt: The plural of code is, output: |codes|, answerkey |codes|
Prompt: The plural of light is, output: |lights|, answerkey |lights|
Prompt: The plural of marines is, output: | marines |, answerkey | marine |
Prompt: The plural of flag is, output: |flags|, answerkey |flags|
Prompt: The plural of ability is, output: |abilities|, answerkey |abilities|
Prompt: The plural of savings is, output: |savings|, answerkey |saving|
Prompt: The plural of blog is, output: |blogs|, answerkey |blogs|
Prompt: The plural of hole is, output: |holes|, answerkey |holes|
```

Answer distribution

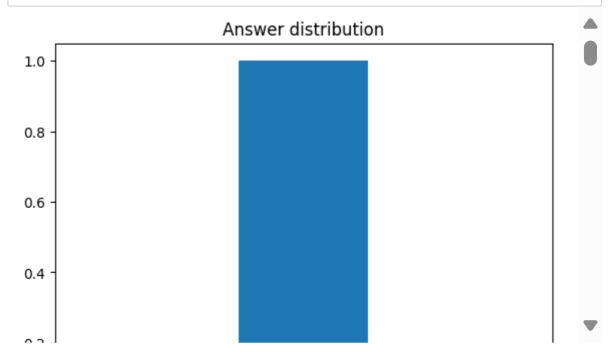


```
Prompt: The plural of foot is, output: |feet|, answerkey |feet|
Prompt: The plural of man is, output: |men|, answerkey |men|
Prompt: The plural of person is, output: |persons|, answerkey |people|
Prompt: The plural of self is, output: |subs|, answerkey |selves|
Prompt: The plural of wife is, output: |wives|, answerkey |wives|
Prompt: The plural of wolf is, output: |wolves|, answerkey |wolves|
Prompt: The plural of woman is, output: |women|, answerkey |women|
```





In [72]: # Plot results with hard prompts of various lengths for num_shots in range(10): plot_answer_stats(hard_embedding_model, noun_val, make_few_shot(num_shots), verb



Deliverables

Please submit this completed notebook and complete all the written questions.