

Partial Okounkov bodies and toric geometry

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K-stability and moment maps, Cambridge

- 1 Partial Okounkov bodies and Duistermaat–Heckman measures of non-Archimedean metrics (2021);
- 2 Singularities in global pluripotential theory — Lecture notes at Zhejiang university (2024).

- X : A smooth/normal projective variety of dimension n .
- L : A holomorphic line bundle on X .
- h : A (singular) positively-curved metric on L .

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2 Partial Okounkov bodies

3 Applications

Goal

Convex body

A **convex body** is a non-empty compact convex set in \mathbb{R}^n .

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The **Okounkov bodies** (à la Lazarsfeld–Mustață, Kaveh–Khovanskii) are a family of convex bodies $\{\Delta_\nu(L)\}_\nu$ associated with L .

Why do we study them?

Okounkov bodies translate the geometric properties of L to properties of convex bodies.

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We want to construct **convex bodies** $\{\Delta_\nu(L, h)\}_\nu$ which transform the properties of (L, h) into the properties of convex bodies.

These convex bodies are the **partial Okounkov bodies**.

Goal



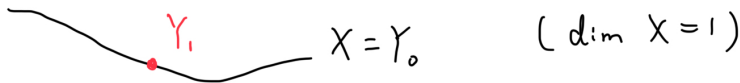
The parameter ν

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We begin with an (admissible) flag of subvarieties of X :

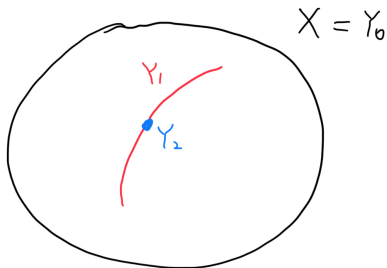
$$X = Y_0 \supseteq Y_1 \supseteq Y_2 \supseteq \cdots Y_n = \{\text{pt}\}.$$

This flag induces a valuation $\nu : \mathbb{C}(X)^\times \rightarrow \mathbb{Z}^n : \nu(f)$ is the successive order of vanishing of f along the flag.



$$\nu(f) = \text{ord}_{Y_1} f$$

The parameter ν



$$\dim X = 2$$

$$\nu_1(f) = \text{ord}_{Y_1} f ; \quad \nu_2(f) = \text{ord}_{Y_2} \left(f \cdot \overline{t}^{-\nu_1(f)} \right) \Big|_{Y_1}$$

$Y_1 = \{t=0\}$

The parameter ν

More generally, the parameter ν runs over all valuations $\nu : \mathbb{C}(X)^\times \rightarrow \mathbb{Z}^n$ with similar properties.

To remember

The map ν transforms **multiplications** into **additions**.

Classic Okounkov bodies

Fix X, L, ν . Suppose that L is big (volume > 0). The construction of $\Delta_\nu(L) \subseteq \mathbb{R}^n$ consists of three steps:

- From the geometric data (X, L) to a **ring**:

$$(X, L) \mapsto R(X, L) = \bigoplus_{k=0}^{\infty} H^0(X, L^k);$$

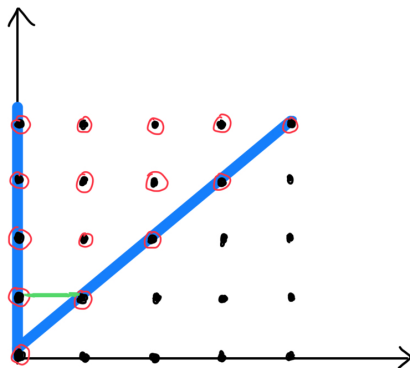
- From the ring to a **semigroup**:

$$R(X, L) + \nu \mapsto \Gamma_\nu(L) = \{(\nu(s), k) : s \in H^0(X, L^k)^\times, k \in \mathbb{N}\} \subseteq \mathbb{Z}^{n+1};$$

- From semigroup to a **convex body**:

$$\Gamma \mapsto \Delta_\nu(L) = \Delta(\Gamma_\nu(L)) = \{x_{n+1} = 1\} \cap \text{Conv}(\Gamma_\nu(L)).$$

Example



$$\bullet \mathbb{Z}^2$$

$$\circ \Gamma_\nu(L)$$

$$\triangleleft \text{Conv}(\Gamma_\nu(L))$$

$$\text{---} \Delta_\nu(L)$$

$X = \mathbb{P}^1$, $L = \mathcal{O}(1)$. Flag: $X \supseteq \{0\}$. $\nu : \mathbb{C}(X)^\times \rightarrow \mathbb{Z}$ is the order of vanishing along 0.

$$\Gamma_\nu(L) = \{(a, b) \in \mathbb{Z}^2 : 0 \leq a \leq b\}, \quad \Delta_\nu(L) = [0, 1].$$

Why are they useful?

Theorem (Lazarsfeld–Mustață)

*The convex bodies $\Delta_\nu(L)$ depend only on the **numerical class** of L .*

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Conversely,

Theorem (Jow)

The family $\{\Delta_\nu(L)\}_\nu$ determines the numerical class of L .

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Slogan

The Okounkov bodies are **universal numerical** invariants of the line bundles.

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Example

$$\text{vol } \Delta_\nu(L) = \frac{1}{n!} \text{vol } L.$$

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Partial Okounkov bodies

We next include h (a positively curved singular metric on L) into the picture.

We want to construct similar convex bodies $\Delta_\nu(L, h) \subseteq \Delta_\nu(L)$ depending only on the **singularities** of h .

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The analogue of the proceeding theorems is:

Theorem ([1])

$\Delta_\nu(L, h)$ depends only on the \mathcal{I} -equivalence class of h .

The family $\{\Delta_\nu(L, h)\}_\nu$ determines h up to \mathcal{I} -equivalence.

We say h and h' are **\mathcal{I} -equivalent** if all Lelong numbers of h and h' (on all birational models of X) are equal.

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Slogan

The partial Okounkov bodies are **universal** invariants of the **singularities** of h .

We could try to imitate the proceeding constructions: without h ,

- $(X, L) \mapsto R(X, L)$ (ring);
- $R(X, L) + \nu \mapsto \Gamma_\nu(L)$ (semigroup);
- $\Gamma_\nu(L) \mapsto \Delta_\nu(L)$.

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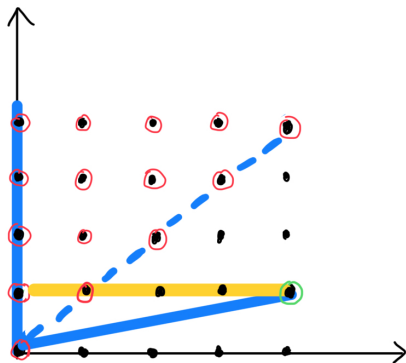
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With h ,

- ① $(X, L, h) \mapsto R(X, L, h) = \bigoplus_{k=0}^{\infty} H^0(X, L^k \otimes \mathcal{I}(h^k))$ (no longer a ring);
- ② $R(X, L, h) \mapsto \Gamma_\nu(L, h) = \{(\nu(s), k) : s \in H^0(X, L^k \otimes \mathcal{I}(h^k))^\times, k \in \mathbb{N}\}$ (no longer a semigroup);
- ③ ???.

Here $H^0(X, L^k \otimes \mathcal{I}(h^k))$ is the set of L^2 -sections of L^k .

Construction



Okounkov body
of $\bullet + \bullet$

The Okounkov body construction **fails** to reflect the asymptotic behaviours of a non-semi-group!

The magic

The key observation is that $R(X, L, h)$ is **not very far** from a ring and $\Gamma_\nu(L, h)$ is **not very far** from a semigroup.

Theorem ((Essentially)Darvas–X., 2020+2021)

$\Gamma_\nu(L, h)$ is an *almost* semigroup.

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Theorem ((Essentially) Darvas–X., 2020+2021)

$\Gamma_\nu(L, h)$ is an **almost** semigroup.

In concrete terms, $\Gamma_\nu(L, h)$ can be approximated by semigroups with respect to the following pseudometric:

$$d(S, S') = \overline{\lim}_{k \rightarrow \infty} k^{-n} (\#S_k + \#S'_k - 2\#(S_k \cap S'_k)).$$

Where $S_k = S \cap \{x_1 = k\}$.

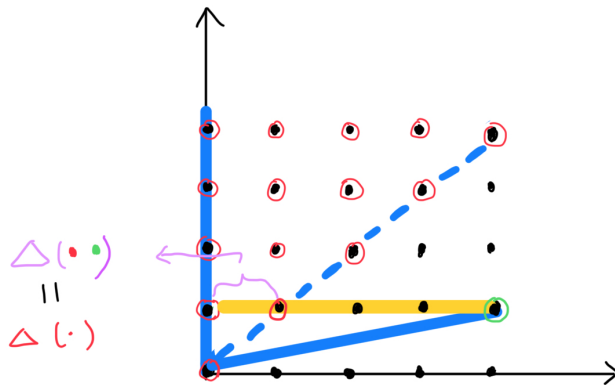
Theorem ([1])

*The Okounkov body map extends **continuously** from semigroups to **almost semigroups**. In other words, we have a map*

$$\Delta : \{\text{Almost semigroups}\} \rightarrow \{\text{Convex bodies}\}.$$

The topology on the set of convex bodies is induced by the Hausdorff metric.

Example



$$\Delta = [0, 1].$$

Construction of the partial Okounkov bodies

Recall our construction scheme:

- ① $(X, L, h) \mapsto R(X, L, h) = \bigoplus_{k=0}^{\infty} H^0(X, L^k \otimes \mathcal{I}(h^k));$
- ② $R(X, L, h) \mapsto \Gamma_{\nu}(L, h) = \{(\nu(s), k) : s \in H^0(X, L^k \otimes \mathcal{I}(h^k)), k \in \mathbb{N}\}$ (an **almost semigroup**);

Construction of the partial Okounkov bodies

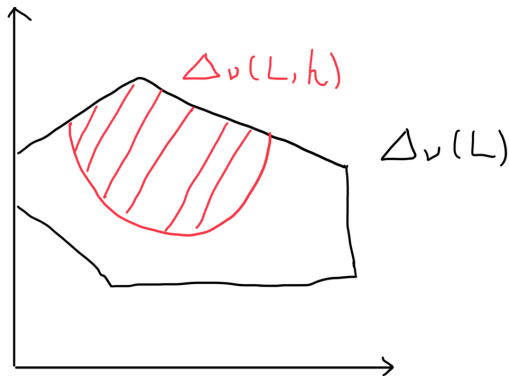
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③

$$\Delta_{\nu}(L, h) := \Delta(\Gamma_{\nu}(L, h)) \subseteq \Delta_{\nu}(L).$$

Construction of the partial Okounkov bodies



Example

$X = \mathbb{P}^1$, $L = \mathcal{O}(1)$. ν is the order of vanishing at 0. We have seen that $\Delta_\nu(L) = [0, 1]$.

When the singularities of h are like $a \log |z|^2$, we have

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Observation

The **more singular** h is, the **smaller** $\Delta_\nu(L, h)$ becomes.

Recall that

$$\mathrm{vol} \Delta_\nu(L) = \lim_{k \rightarrow \infty} \frac{1}{k^n} h^0(X, L^k).$$

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Corollary

$\Delta_\nu(L, h) = \Delta_\nu(L)$ if h has minimal singularities.

Theorem

The map $h \mapsto \Delta_\nu(L, h)$ is continuous.

Here the topology on the set of h is defined by Darvas–Di Nezza–Lu.

Other points of view

There are three other equivalent definitions of the partial Okounkov body.

Theorem ([1])

$\text{Conv}(k^{-1}\Gamma_\nu(L, h) \cap \{x_{n+1} = 1\})$ converge to $\Delta_\nu(L, h)$ as $k \rightarrow \infty$.

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Theorem ([2])

$\Delta_\nu(L, h)$ is the Hausdorff limit of the net

$$\Delta_\nu(\pi^*L - \text{divisorial part of } \text{dd}^c \pi^*h) + \nu(h),$$

where $\pi: Y \rightarrow X$ runs over suitable birational models of X .

In other words, the partial Okounkov body is the Okounkov body of the associated b-divisor.

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There is a valutive characterization as in Kewei's talk ([2]).

There are a few well-studied cases of partial Okounkov bodies in the literature.

Suppose (X, L, h) are **toric** and the flag is toric-invariant.

In this case, h can be identified with a **convex function** $h: N_{\mathbb{R}} \rightarrow \mathbb{R}$.

Toric setting

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Toric setting

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The importance of this convex body is well-known to experts.

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Slicing of Okounkov bodies

The partial Okounkov bodies appear naturally when we study slices of Okounkov bodies.

Theorem ([2])

Suppose that L is big. Under mild assumptions, the intersection

$$\Delta(L) \cap \{x_1 = \cdots = x_k = 0\}$$

*is given by the **partial Okounkov body** of the trace operator of T_{\min} (the current with minimal singularities in $c_1(L)$).*

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*is given by the **partial Okounkov body** of the trace operator of T_{\min} (the current with minimal singularities in $c_1(L)$).*

This result corrects a widespread misunderstanding in the literature (Choi–Park–Won, Okounkov bodies associated to pseudoeffective divisors, II), where the intersection is claimed to be an Okounkov body.

Slicing of Okounkov bodies

As for the interior slices, we have

Theorem (Kewei Zhang)

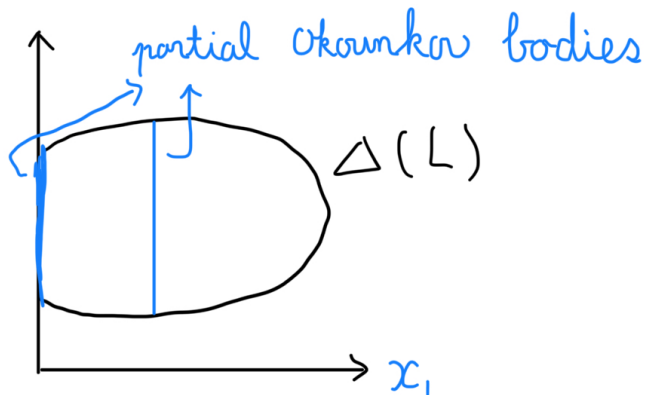
The slices

$$\Delta(L) \cap \{x_1 = t\}$$

are partial Okounkov bodies when t does not take the two extreme values.

This observation played a key role in the proof of the volume identity of transcendental Okounkov bodies (Darvas–Reboulet–Witt Nyström–X.–Zhang).

Slicing of Okounkov bodies



Computing the Lelong numbers

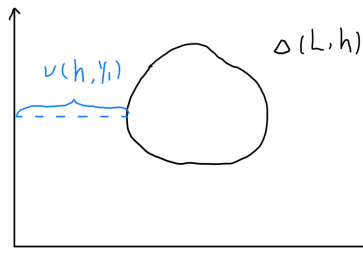
Theorem ([1])

Suppose that the valuation ν is induced by a flag $Y_1 \supseteq \cdots \supseteq Y_n$, we have

$$\min_{x \in \Delta(L, h)} x_1 = \nu(h, Y_1).$$

The right-hand side is the minimum of the Lelong number of h along Y_1 .

This result seems to be new even in the toric setting.



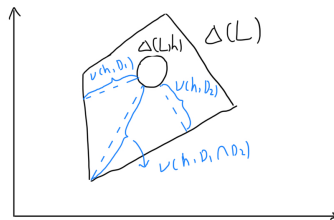
Computing the Lelong numbers

Corollary(Yi Yao)

In the toric situation, if D_1, D_2 are two different toric invariant prime divisors, then

$$\nu(h, D_1 \cap D_2) \geq \nu(h, D_1) + \nu(h, D_2).$$

This result can also be proved using the non-Archimedean point of view. But the Okounkov point of view gives more information.



The Duistermaat–Heckman measures

Theorem ([2])

A non-Archimedean psh metric on the Berkovich analytification of L induces a canonical Radon measure on \mathbb{R} .

This construction extends the classical **Duistermaat–Heckman measures** of test configurations.

The Duistermaat–Heckman measures

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The interesting point is that the statement is completely independent of Okounkov bodies!

Eiji Inoue also made similar constructions.

The Duistermaat–Heckman measures

The proof consists of three steps:

- ① A non-Archimedean metric can be identified with a concave curve $(\phi_\tau)_\tau$ of (complex) metrics (Darvas–X.–Zhang, 2023).
- ② Choose a valuation and construct a corresponding concave curve of convex bodies $(\Delta(L, \phi_\tau))_\tau$.
- ③ Construct a Radon measure using an extension of Boucksom–Chen’s method.

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In particular, we can show that the family of Okounkov bodies constructed from a filtered linear series are all partial Okounkov bodies.

Almost everything explained in this talk can be extended to the **transcendental** setting. This is carried out in [2] based on the joint work with Darvas, Reboulet, Witt Nyström, Zhang.

Conjecture

Suppose that $(L_1, h_1), \dots, (L_n, h_n)$ are Hermitian big line bundles equipped with \mathcal{I} -good metrics, then

$$\int_X c_1(L_1, h_1) \wedge \cdots \wedge c_1(L_n, h_n) = \sup_{\nu} \text{vol}(\Delta_{\nu}(L_1, h_1), \dots, \Delta_{\nu}(L_n, h_n)).$$

As a special case,

Conjecture

Assume that L_1, \dots, L_n are big line bundles, then

$$\langle L_1, \dots, L_n \rangle = \sup_{\nu} \text{vol}(\Delta_{\nu}(L_1), \dots, \Delta_{\nu}(L_n)).$$

$\langle L_1, \dots, L_n \rangle$ is the movable intersection number.

Thank you!