# Huy Nguyen

CONTACT Information

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EDUCATION

### The University of Texas at Austin, Texas, USA.

2022-2027

Last update: Dec 14, 2023

Ph.D Student in Statistics at the Department of Statistics and Data Sciences.

• Advisor: Professor Nhat Ho and Professor Alessandro Rinaldo.

• GPA: 4.0/4.0

# Ho Chi Minh University of Science, Ho Chi Minh City, Vietnam.

2017-2020

Bachelor of Science in Mathematics and Computer Science, Honor Program.

• Supervisor: Professor Dang Duc Trong.

• GPA: 9.75/10.0 - Summa Cum Laude.

RESEARCH EXPERIENCE

# The University of Texas at Austin, Texas, USA.

2023-Present

RPERIENCE Graduate Research Assistant.

- Research topics: Towards Understanding Mixture of Experts: From Theory to Practice.
- Advisor: Professor Nhat Ho.

## VinAI Research, Hanoi, Vietnam.

2020-2022

AI Research Resident.

- Research topics: Optimal Transport theory and its applications in Domain Adaptation.
- Skill gained: Did research on Optimal Transport (Sinkhorn algorithms, Barycenter computation, etc) and applied them to study Data Shift and Label Shift problems in Domain Adaptation.

### RESEARCH Interests

My current research focuses on theoretical foundations for Mixture-of-Experts models. In particular, I try to comprehend the effects of different gating functions (namely softmax, top-K sparse, etc) on the convergence of density estimation and parameter estimation under the Mixture-of-Experts models. Based on insights from these results, I aim to design novel gating functions which help improve the performance of Mixture-of-Experts applications, including Large Language Models.

### Preprints

- 1. **Huy Nguyen**, Pedram Akbarian, Fanqi Yan, Nhat Ho. Statistical Perspective of Top-K Sparse Softmax Gating Mixture of Experts. *Under review, arXiv:2309.13850*.
- 2. **Huy Nguyen**, Pedram Akbarian, TrungTin Nguyen, Nhat Ho. A General Theory for Softmax Gating Multinomial Logistic Mixture of Experts . *Under review*, arXiv:2310.14188.
- 3. **Huy Nguyen\***, TrungTin Nguyen\*, Khai Nguyen, Nhat Ho. Towards Convergence Rates for Parameter Estimation in Gaussian-gated Mixture of Experts. *Under review, arXiv:2305.07572*.
- 4. **Huy Nguyen**, Khai Nguyen, Nhat Ho. On Parameter Estimation in Gaussian Deviated Mixture of Experts. *Under review*.

### **PUBLICATIONS**

- 1. **Huy Nguyen**, TrungTin Nguyen, Nhat Ho. Demystifying Softmax Gating Function in Gaussian Mixture of Experts. Advances in Neural Information Processing Systems, 2023 (Top 3%).
- 2. Dat Do\*, **Huy Nguyen\***, Khai Nguyen, Nhat Ho. Minimax Optimal Rate for Parameter Estimation in Multivariate Deviated Models. Advances in Neural Information Processing Systems, 2023.

- 3. Dung Le\*, **Huy Nguyen\***, Khai Nguyen\*, Trang Nguyen\*, Nhat Ho. Fast Approximation of the Generalized Sliced-Wasserstein Distance. *IEEE International Conference on Acoustics*, Speech and Signal Processing, 2024.
- 4. Khai Nguyen, Tongzheng Ren, **Huy Nguyen**, Litu Rout, Tan Nguyen, Nhat Ho. Hierarchical Sliced Wasserstein Distance. In International Conference on Learning Representations, 2023.
- Huy Nguyen\*, Khang Le\*, Dung Le\*, Dat Do, Tung Pham, Nhat Ho. Entropic Gromov-Wasserstein between Gaussian Distributions. Proceedings of the International Conference on Machine Learning, 2022.
- Huy Nguyen\*, Khang Le\*, Khai Nguyen, Tung Pham, Nhat Ho. On Multimarginal Partial Optimal Transport: Equivalent Forms and Computational Complexity. In International Conference on Artificial Intelligence and Statistics, 2022.
- 7. **Huy Nguyen\***, Khang Le\*, Quang Minh Nguyen, Tung Pham, Hung Bui, Nhat Ho. On Robust Optimal Transport: Computational Complexity and Barycenter Computation. Advances in Neural Information Processing Systems, 2021.
- 8. Thu Nguyen, Duy H. M. Nguyen, **Huy Nguyen**, Binh T. Nguyen, Bruce A. Wade. EPEM: Efficient Parameter Estimation for Multiple Class Monotone Missing Data. *Information Sciences Journal, Volume 567, page 1-22.*

# TEACHING

### The University of Texas at Austin, Texas, USA.

#### EXPERIENCE

Teaching Assistant at the Department of Statistics and Data Sciences.

• SDS302F - Foundations of Data Analysis.

Fall 2022

• SDS322E - Elements of Data Science.

Spring 2023

• SDS320E - Elements of Statistics.

Fall 2023

### Professional Services

- Reviewer at the International Conference on Machine Learning (ICML) 2022.
- Reviewer at the Conference on Neural Information Processing Systems (NeurIPS) 2022-2023.
- Reviewer at the International Conference on Artificial Intelligence and Statistics (AISTATS) 2022-2024.
- Reviewer at the International Conference on Learning Representations (ICLR) 2024.
- Reviewer at the New Frontiers in Learning, Control, and Dynamical Systems Workshop (ICML) 2023.

## Honors and Awards

• NeurIPS 2023 Scholar Award.

2023

• Doctoral Fellowship of the University of Texas at Austin.

2022

• Class of 2020 Valedictorian of Ho Chi Minh University of Science (HCMUS).

2020

 $\circ$  Graduated 1 year earlier with the highest GPA ever in HCMUS, at 9.75/10.0.

• Merit Scholarship for gifted students in Mathematics.

2018, 2019

- Granted by Vietnam Institute for Advanced Study in Mathematics (VIASM).
- Full Scholarship of Ho Chi Minh University of Science.

2017

• Only for a student having the highest score in the university entrance examination.

### TECHNICAL SKILLS

- System: MacOS, Linux, Windows.
- Programming Languages: Python (Pytorch, Sci-kit Learn, Numpy, Matplotlib), R, MATLAB.
- Softwares: LaTeX, Microsoft Offices.

# REFERENCES

- Nhat Ho. Email: minhnhat@utexas.edu (Advisor).
- Alessandro Rinaldo. Email: alessandro.rinaldo@austin.utexas.edu (Co-advisor).