

Chapter 3 notes

Math 432

Elementary Counting Problems

Let S_n be all permutations of $1, 2, \dots, n$. (Symmetric group). (So if $n = 3$,

$$S_3 = \{123, 132, 213, 231, 312, 321\}$$

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Clearly $|S_n| = n(n-1)\dots(3)(2)(1)$. We know this as $n!$ (Take $0! = 1$).

Sterling's Formula

$n!$ is asymptotic to $\sqrt{2\pi n} \left(\frac{n}{e}\right)^n$.

How many different sequences have three 1s, four 2s, and two 3s?

Any such sequence has length 9. Define a map:

$$f : S_n \mapsto \text{these sequences:}$$

|4, 1, 8, 2, 5, 3, 7, 6, 9|

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|2, 1, 3, 1, 2, 1, 2, 2, 3|

We:

- wrote down the 1s in the positions of 1, 2, 3
- Then the 2s in the positions of 4, 5, 6, 7
- Then the 3s in the positions of 8, 9

Note: the map f is onto

and the number of permutations mapping to a given sequence is

$$3! \times 4! \times 2!$$

The total number of sequences is

$$\frac{|S_9|}{3! \times 4! \times 2!} = \frac{9!}{3! \times 4! \times 2!}$$

More generally

Let n, k, a_1, \dots, a_k be non-negative integers such that

$$n = a_1 + a_2 + \dots + a_k$$

Consider the length n sequences consisting of a_1 1s, a_2 2s, a_3 3s, ... etc. The total number of sequences is

$$\frac{n!}{a_1! \times a_2! \times a_3! \dots \times a_k!}$$

Proof same as previous example

Ex

The number of distinct arrangements of the letters of the word “mississippi” is

$$\frac{11!}{1!2!4!4!}$$

(1 ‘m’, 2 ‘p’s, 4 ‘s’s, 4 ‘i’s)

Let’s count permutations of $1, 2, \dots, n$ by “cycle structure”

Consider the permutation

$$\Pi = 3, 4, 9, 2, 5, 6, 8, 7, 1$$

1	2	3	4	5	6	7	8	9
3	4	9	2	5	6	8	7	1

can think of these as “cycles”

- $1 \rightarrow 3 \rightarrow 9 \rightarrow 1 \dots$
- $2 \rightarrow 4 \rightarrow 2 \dots$
- $5 \rightarrow 5 \dots$
- $6 \rightarrow 6 \dots$
- $8 \rightarrow 7 \rightarrow 8 \dots$

can write this as

$$(5)(6)(2, 4)(7, 8)(1, 3, 9)$$

let $n_i(\Pi) =$ the number of cycles of Π of length i

So $n_1(\Pi) = 2, n_2(\Pi) = 2, n_3(\Pi) = 3.$

Theorem) Let n_1, n_2, n_3, \dots etc be integers such that $\sum_i i n_i = n$. Then the number of permutations in S_n with n_1 1-cycles, n_2 2-cycles, ... etc is equal to $\frac{n!}{\prod_i i^{n_i} (n_i!)}$.

Proof Define a map $f : S_n \mapsto$ permutations with n_1 1-cycles, n_2 2-cycles, ... etc. Let's define this "by example".

Suppose $n = 14, n_1 = 2, n_2 = 1, n_3 = 2, n_4 = 1.$

$$4, 1, 14, 12, 9, 10, 8, 7, 13, 11, 2, 5, 6, 3$$

$\rightarrow \dots (4)(1)$ - 1-cycles $(14, 12)$ - 2-cycle $(9, 10, 8), (7, 13, 11) (2, 5, 6, 3)$

This map is onto. And each permutation with n_1 1-cycles, n_2 2-cycles, ... etc is mapped to $\prod_i i^{n_i} (n_i!)$ many times. You can swap any of the 3-cycles around (change $(9, 10, 8) \rightarrow (10, 8, 9)$) and it's the same groupings. More generally, that's the term of i^{n_i} . Then you can switch out two n -cycle permutations (can switch: $(4)(1) \rightarrow (1)(4)$) which is the $n_i!$ term. We do this for every i (the \prod_i) the number of permutations with n_1 1-cycles, n_2 2-cycles, ... etc, is $\frac{n!}{\prod_i i^{n_i} (n_i!)}$.

Later when we talk about generating functions, we will give applications of this formula

Some examples

Example) The number of 6 digit strings on an alphabet a, b, ..., c

$$= 26 \times 26 \times \dots 26 = 26^6$$

Example) The number of subsets of an n -element set is 2^n . For each of the n elements, you have 2 choices: be in the subset, or not.

Example) Let n, k be positive integers with $n \geq k$. then the number of length k strings of an n -element alphabet where all symbols in the the string are different is

$$n \times (n - 1) \times \dots \times (n - k + 1) = \frac{n!}{(n - k)!}$$

Proof Have n choices for first symbol. Then $n - 1$ choices for next symbol, etc.

Binomial Coefficients

Let $\binom{n}{k}$ = the number of k element subsets of $1, 2, \dots, n$ where order does not matter.

For example, $\binom{4}{2} = 6$ since there are 6 2-element subsets of $1, 2, 3, 4$.

$$\{1, 2\}, \{1, 3\}, \{1, 4\}, \{2, 3\}, \{2, 4\}, \{3, 4\}$$

Theorem

$$\binom{n}{k} = \frac{n!}{(n-k)!k!}$$

Proof) To pick a k element subset of $1, 2, \dots, n$, first select a k element string consisting of k distinct elements of $\{1, 2, \dots, n\}$. This can be done in $\frac{n!}{(n-k)!}$ ways. Define a map f : these sequences \mapsto size k subsets of $\{1, 2, \dots, n\}$ forgetting the order of the elements in the sequence. Each size k subset gets hit $k!$ times, so the total number of k size subsets

$$\frac{\frac{n!}{(n-k)!}}{k!} = \binom{n}{k}$$