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Diabetic Retinopathy Preferred Practice Pattern®

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We would like to acknowledge the role of Andre Ambrus, MLIS, in the initial revisions of the Retina/Vitreous PPPs and the first meeting of the Retina/Vitreous PPP Committee.

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Preferred Practice Pattern® guidelines are developed by the Academy's H. Dunbar Hoskins Jr., MD Center for Quality Eye Care without any external financial support. Authors and reviewers of the guidelines are volunteers and do not receive any financial compensation for their contributions to the documents. The guidelines are externally reviewed by experts and stakeholders before publication.

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RETINA/VITREOUS PREFERRED PRACTICE PATTERN® DEVELOPMENT PROCESS AND PARTICIPANTS

The **Retina/Vitreous Preferred Practice Pattern Committee** members wrote the Diabetic Retinopathy Preferred Practice Pattern (PPP) guidelines. The Retina/Vitreous PPP Committee members discussed and reviewed successive drafts of the document, meeting in person twice and conducting other review by e-mail discussion, to develop a consensus over the final version of the document.

Retina/Vitreous Preferred Practice Pattern Committee 2023–2024

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We thank our partners, the Cochrane Eyes and Vision US Satellite (CEV@US), for identifying reliable systematic reviews that we cite and discuss in support of the PPP recommendations.

The **Preferred Practice Patterns Committee** members reviewed and discussed the document during a meeting in June 2024. The document was edited in response to the discussion and comments.

Preferred Practice Patterns Committee 2024

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The Diabetic Retinopathy PPP was sent for review in July 2024 to improve the quality of the guideline, to gather feedback on the draft recommendations and to assess feasibility for and applicability to the target audience, including assessing the facilitators and barriers to implementing recommendations (e.g., U.S. ophthalmologists and other important groups, including patients, other physicians, international ophthalmologists, research organizations, ophthalmological organizations, and experts in the field). The PPP was sent for review to the following patient organizations to solicit the views and preferences of patients and the public: Consumers United for Evidence-Based Healthcare, American Foundation for the Blind, Foundation Fighting Blindness, Lighthouse Guild, National Federation of the Blind, and Prevent Blindness. All those returning comments were required to provide disclosure of relevant relationships with industry to have their comments considered (indicated with an asterisk below). Members of the Retina/Vitreous PPP Committee reviewed these comments and determined revisions to the document.

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This guideline will be formally re-evaluated and updated on a 5-year cycle in 2029. A Summary Benchmark is a resource to facilitate application of the guideline and to provide criteria that could be used to measure the application of recommendations, which will be available to all at www.aao.org/ppp.

FINANCIAL DISCLOSURES

There is no external funding, including industry/commercial support, for the development of this PPP or for the distribution of the guidelines. The Academy has fully funded the development of this PPP, and the views or interests of the Academy have not influenced the final recommendations which are based on evidence from systematic reviews. All those individuals significantly involved in the guideline development process, including Retina/Vitreous PPP Committee members, PPP Committee members, Secretary for Quality of Care, and Academy staff, have declared competing/financial interests through a financial interest disclosure process as well as an assessment of the Open Payments website (available at <https://openpaymentsdata.cms.gov/>). The interests of the Retina/Vitreous PPP Committee members are provided at the beginning of each meeting and those with competing interests in a guideline topic do not participate in voting on areas of disagreement. In compliance with the Council of Medical Specialty Societies' Code for Interactions with Companies (available at <https://cmss.org/code-for-interactions-with-companies/>), relevant relationships with industry are listed. As per CMSS code, direct financial relationships with companies do not include food and beverage, research funds paid to the institution and relationships outside of the topic of the PPP. The Academy has Relationship with Industry Procedures to comply with the Code (available at www.aao.org/about-preferred-practice-patterns). A majority (71%) of the members of the Retina/Vitreous Preferred Practice Pattern Committee 2023–2024 had no financial relationship to disclose.

Retina/Vitreous Preferred Practice Pattern Committee 2023–2024

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The disclosures of relevant relationships to industry of other reviewers of the document from January to October 2024 are available online at www.aao.org/ppp.

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OBJECTIVES OF PREFERRED PRACTICE PATTERN® GUIDELINES

As a service to its members and the public, the American Academy of Ophthalmology has developed a series of Preferred Practice Pattern guidelines that **identify characteristics and components of quality eye care**. Appendix 1 describes the core criteria of quality eye care.

The Preferred Practice Pattern guidelines are based on the best available scientific data as interpreted by committees of knowledgeable health professionals. In some instances, such as when results of carefully conducted clinical trials are available, the data are particularly persuasive and provide clear guidance. In other instances, the committees have to rely on their collective judgment and evaluation of available evidence.

These documents provide guidance for the pattern of practice, not for the care of a particular individual. While they should generally meet the needs of most patients, they cannot possibly best meet the needs of all patients. Adherence to these PPPs will not ensure a successful outcome in every situation. These practice patterns should not be deemed inclusive of all proper methods of care or exclusive of other methods of care reasonably directed at obtaining the best results. It may be necessary to approach different patients' needs in different ways. The physician must make the ultimate judgment about the propriety of the care of a particular patient in light of all of the circumstances presented by that patient. The American Academy of Ophthalmology is available to assist members in resolving ethical dilemmas that arise in the course of ophthalmic practice.

Preferred Practice Pattern guidelines are not medical standards to be adhered to in all individual situations. The Academy specifically disclaims any and all liability for injury or other damages of any kind, from negligence or otherwise, for any and all claims that may arise out of the use of any recommendations or other information contained herein.

References to certain drugs, instruments, and other products are made for illustrative purposes only and are not intended to constitute an endorsement of such. Such material may include information on applications that are not considered community standard, that reflect indications not included in approved U.S. Food and Drug Administration (FDA) labeling, or that are approved for use only in restricted research settings. The FDA has stated that it is the responsibility of the physician to determine the FDA status of each drug or device he or she wishes to use, and to use them with appropriate patient consent in compliance with applicable law.

Innovation in medicine is essential to ensure the future health of the American public, and the Academy encourages the development of new diagnostic and therapeutic methods that will improve eye care. It is essential to recognize that true medical excellence is achieved only when the patients' needs are the foremost consideration.

All Preferred Practice Pattern guidelines are reviewed by their parent committee annually or earlier if developments warrant and updated accordingly. To ensure that all PPPs are current, each is valid for 5 years from the approved by date unless superseded by a revision. Preferred Practice Pattern guidelines are funded by the Academy without commercial support. Authors and reviewers of PPPs are volunteers and do not receive any financial compensation for their contributions to the documents. The PPPs are externally reviewed by experts and stakeholders, including consumer representatives, before publication. The PPPs are developed in compliance with the Council of Medical Specialty Societies' Code for Interactions with Companies. The Academy has Relationship with Industry Procedures (available at www.aao.org/about-preferred-practice-patterns) to comply with the Code.

Appendix 2 contains the International Statistical Classification of Diseases and Related Health Problems (ICD) codes for the disease entities that this PPP covers. The intended users of the Diabetic Retinopathy PPP are ophthalmologists.

METHODS AND KEY TO RATINGS

Preferred Practice Pattern® guidelines should be clinically relevant and specific enough to provide useful information to practitioners. Where evidence exists to support a recommendation for care, the recommendation should be given an explicit rating that shows the strength of evidence. To accomplish these aims, methods from the Scottish Intercollegiate Guideline Network¹ (SIGN) and the Grading of Recommendations Assessment, Development and Evaluation² (GRADE) group are used. GRADE is a systematic approach to grading the strength of the total body of evidence that is available to support recommendations on a specific clinical management issue. Organizations that have adopted GRADE include SIGN, the World Health Organization, the Agency for Healthcare Research and Policy, and the American College of Physicians.³

- ◆ All studies used to form a recommendation for care are graded for strength of evidence individually, and that grade is listed with the study citation.
- ◆ To rate individual studies, a scale based on SIGN¹ is used. The definitions and levels of evidence to rate individual studies are as follows:

I++	High-quality meta-analyses, systematic reviews of randomized controlled trials (RCTs), or RCTs with a very low risk of bias
I+	Well-conducted meta-analyses, systematic reviews of RCTs, or RCTs with a low risk of bias
I-	Meta-analyses, systematic reviews of RCTs, or RCTs with a high risk of bias
II++	High-quality systematic reviews of case-control or cohort studies High-quality case-control or cohort studies with a very low risk of confounding or bias and a high probability that the relationship is causal
II+	Well-conducted case-control or cohort studies with a low risk of confounding or bias and a moderate probability that the relationship is causal
II-	Case-control or cohort studies with a high risk of confounding or bias and a significant risk that the relationship is not causal
III	Nonanalytic studies (e.g., case reports, case series)

- ◆ Recommendations for care are formed based on the body of the evidence. The body of evidence quality ratings are defined by GRADE² as follows:

Good quality	Further research is very unlikely to change our confidence in the estimate of effect
Moderate quality	Further research is likely to have an important impact on our confidence in the estimate of effect and may change the estimate
Insufficient quality	Further research is very likely to have an important impact on our confidence in the estimate of effect and is likely to change the estimate Any estimate of effect is very uncertain

- ◆ Key recommendations for care are defined by GRADE² as follows:

Strong recommendation	Used when the desirable effects of an intervention clearly outweigh the undesirable effects or clearly do not
Discretionary recommendation	Used when the trade-offs are less certain—either because of low-quality evidence or because evidence suggests that desirable and undesirable effects are closely balanced

- ◆ The Highlighted Findings and Recommendations for Care section lists points determined by the Retina/Vitreous PPP Committee to be of particular importance to vision and quality of life outcomes.
- ◆ Recommendations for care in this PPP were rated using the system described above. Ratings are embedded throughout the PPP main text in italics.
- ◆ Relevant systematic reviews were identified by the Cochrane Eyes and Vision US Satellite (CEV@US). These systematic reviews were screened by the committee and rated using the system described above by the committee methodologist.

- ◆ Literature searches to update the PPP were undertaken on March 6, 2023, January 23, 2024, and August 6, 2024 in PubMed. Complete details of the literature searches are available online at www.aao.org/ppp
- ◆ Recommendations are based on systematic reviews, as per the Institute of Medicine (Clinical Practice Guidelines We Can Trust, 2011). In formulating the recommendations, the health benefits, side effects/harms/risks, and the balance of benefits and risks are reviewed and considered. Final decisions are arrived at through informal consensus techniques. If there are areas of disagreement, a vote will be conducted among the members of the Retina/Vitreous PPP Committee. If there are individuals with direct financial relationships in the area of disagreement, these individuals will refrain from the vote.

HIGHLIGHTED FINDINGS AND RECOMMENDATIONS FOR CARE

Only 60% of people with diabetes mellitus have the recommended yearly screenings for diabetic retinopathy (DR). The gold standard for screening is a dilated fundus examination, but validated digital imaging may be an effective detection method as well.

People with type 1 diabetes should have annual screenings for DR beginning 5 years after the onset of their disease. In contrast, those with type 2 diabetes should have a prompt screening at the time of diagnosis and at least yearly screenings thereafter.

Maintaining control of glucose and blood pressure lowers the risk of retinopathy developing and/or progressing, so patients should be informed of the importance of maintaining a healthy blood pressure and glycosolated hemoglobin level (hemoglobin A1c). However, rapid, tight glucose control can lead to accelerated or early onset of DR as seen with the semaglutides and other newer agents.

Women with diabetes who become pregnant should be examined early and followed closely during the course of the pregnancy because the disease can progress rapidly. However, an eye examination is not required when gestational diabetes occurs during pregnancy. Patients with diabetes have an accelerated rate of DR progression during puberty and should be followed more closely.

Intravitreal anti-vascular endothelial growth factor (anti-VEGF) agents that may or may not treat other targets (placental growth factor, angiopoietin-2) are effective in the treatment of center-involved diabetic macular edema (CI-DME) with vision loss. In addition, anti-VEGF agents reduce the severity of DR and effectively treat proliferative DR (PDR). Panretinal photocoagulation surgery (PRP) remains an important treatment for PDR. In eyes with good visual acuity and CI-DME, treatment may be reasonably deferred until the visual acuity is affected (20/30 or worse).

The choice of biologic product (reference, biosimilar, or interchangeable) should be that of the treating ophthalmologist and the patient.

The most serious ocular complication of intravitreal injections is infectious endophthalmitis. The use of topical povidone iodine is recommended for intravitreal injections because its non-use has been reported to have an unacceptably high risk of endophthalmitis.

INTRODUCTION

DISEASE DEFINITION

Diabetic retinopathy (DR) is a common complication in type 1 and type 2 diabetes mellitus. Diabetic retinopathy is the ocular manifestation of end-organ damage in diabetes mellitus.⁴ Diabetic retinopathy has been classically considered as a microvascular disease of the retina. However, growing evidence suggests that retinal neurodegeneration is an early event in the pathogenesis of DR, which could contribute to the development of microvascular abnormalities.⁵ Although defects in neurosensory structure and function have been demonstrated via optical coherence tomography (OCT) and psychophysical testing⁶⁻¹⁴ in patients with diabetes mellitus prior to the onset of vascular lesions,¹⁵ the most common early DR manifestations that are clinically visible include microaneurysm formation (mild nonproliferative diabetic retinopathy [NPDR]) as well as intraretinal hemorrhages (moderate NPDR). Structurally, microvascular damage contributes to retinal capillary nonperfusion and development of cotton wool spots, retinal hemorrhages, venous abnormalities, and intraretinal microvascular abnormalities (IRMA) (severe NPDR). During this non-proliferative stage, increased vasopermeability can result in retinal thickening (edema) and/or exudates that may lead to a loss in central visual acuity. The proliferative stage includes proliferation of new vessels on the disc, retina, iris, and in the filtration angle (proliferative DR [PDR]). These new vessels may lead to tractional retinal detachments and neovascular glaucoma. Vision can be substantially impaired in both non-proliferative and proliferative stages as a result of capillary nonperfusion or edema in the macula. In the proliferative stage, vitreous hemorrhage, fibrosis distorting the retina, and tractional retinal detachment can lead to visual loss. (See Natural History.)

There is considerable experimental and clinical evidence that DR is also an inflammatory disease with leukocyte involvement.¹⁶ Chronic hyperglycemia induces expression of damaging adhesion molecules through many pathways. For example, intercellular adhesion molecule (ICAM)-1 and vascular cell adhesion molecule (VCAM)-1 promote leukostasis.¹⁶ Early in DR, leukocytes dominate the retinal vasculature and the blood-ocular barrier breaks down.¹⁷ Both phenomena lead to progressive dysfunction and death of endothelial cells and pericytes, which are pathophysiological hallmarks of DR. Many inflammatory cytokines (products of leukocytes) are consistently elevated in the aqueous and vitreous of patients with advanced DR and diabetic macular edema (DME).¹⁸

A description of the fundus findings in these various stages of DR is included in the Natural History section, and important terms are defined in the Glossary.

PATIENT POPULATION

The patient population includes all patients with diabetes mellitus.

CLINICAL OBJECTIVES

- ◆ Identify patients at risk of developing DR
- ◆ Encourage a collaborative approach between the patient, the primary care physician, and subspecialists in the management of the patient's systemic disorder, with specific attention to control of hemoglobin A1c ([HbA1c], blood sugar), blood pressure, serum lipids, body weight, and the management of renal disease, coronary artery disease,¹⁹ neuropathy and behavioral factors (e.g., smoking)
- ◆ Encourage and provide lifelong monitoring for development of retinopathy and its progression
- ◆ Treat patients with visual loss or those at risk for visual loss from DR
- ◆ Minimize the side effects of treatment that might adversely affect the patient's vision and/or vision-related quality of life
- ◆ Provide or refer for visual rehabilitation services when a patient has visual impairment from the disease
- ◆ Refer for ophthalmological follow-up to detect potentially reversible causes of vision loss such as cataracts, glaucoma, or refractive changes
- ◆ Develop new technologies for telemedicine improvement

BACKGROUND

INTRODUCTION

In the United States, an estimated three out of five people with diabetes have one or more of the complications associated with the disease.²⁰ Two main forms of diabetes mellitus are recognized. Type 1, previously called juvenile-onset or insulin-dependent diabetes, is characterized by cellular-mediated autoimmune destruction of the beta cells in the pancreas and usually leads to severe insulin deficiency. Type 2 diabetes, previously referred to as adult-onset or noninsulin-dependent diabetes, is characterized by a range of diseases, from insulin resistance with relative insulin deficiency to predominately insulin secretory defect combined with insulin resistance. Type 2 patients usually have a relative rather than an absolute insulin deficiency; they may take insulin yet typically do not need insulin for survival. Many patients with type 2 diabetes are obese, and obesity itself causes relative insulin resistance. Between 90% and 95% of all patients with diabetes have type 2 diabetes.²¹ Because of the disproportionately large number of patients with type 2 diabetes, this group comprises a larger proportion of the disease burden in patients with visual impairment from DR, even though type 1 diabetes is associated with more frequent and more severe ocular complications.^{22, 23}

Prevalence of Diabetes

Throughout the world, the prevalence of diabetes mellitus is increasing. According to the International Diabetes Federation (IDF), the prevalence of diabetes is expected to rise to 693 million people by 2045 from 451 million with diabetes in 2017. The prevalence of type 1 diabetes mellitus is predicted to increase from 8.4 million worldwide in 2021 to 13.5 to 17.4 million by 2040 (60%–107% higher than in 2021). It is predicted that the largest relative increase will be in low-income and lower-middle-income countries.²⁴

An estimated 133 million Americans aged 18 years and older have been diagnosed with either diabetes or prediabetes, according to a report based on the 2020 census data by the Centers for Disease Control and Prevention (CDC).²⁵ Estimates for 2021 reported by the CDC were that 38.4 million Americans 18 or older had diabetes (11.6% of that age group), and 22.8% were not aware that they had the disease.²⁶ An additional estimated 97.6 million people (38% of U.S. adults) have impaired fasting blood glucose levels (based on both fasting blood glucose levels and HbA1c levels).^{25, 26}

Globally, the IDF estimated that the prevalence of diabetes in 20- to 79-year-olds in 2021 was 10.5% (536.6 million people), with similar rates for men and women and highest in adults aged 75 to 79 years old. The 2021 estimated prevalence is higher in urban (12.1%) compared with rural (8.3%) regions and in high-income (11.1%) compared with low-income countries (5.5%). The prevalence of diabetes in 2045 is expected to rise to 21% in middle-income, 12% in high-income, and 12% in low-income countries. The global cost for diabetes-related health expenditures was estimated at \$966 billion USD for 2021; global costs are projected at \$1,054 billion USD by 2045.²⁷ In 2021, the IDF estimated that one in two adults 20 to 79 years old with diabetes were unaware of their diabetes status (44.7%; 239.7 million). The highest proportions of undiagnosed diabetes were found in Africa (53.6%), Western Pacific (52.8%), and Southeast Asia regions (51.3%).²⁸

Rates of patients with diagnosed diabetes increased with age according to estimates from the CDC, U.S. Census Bureau, other agencies, and published studies.²⁵ Among individuals 18 to 44 years old, 4.8% had diabetes; among those 45 to 64 years old, 18.9% had diabetes; and among those 65 and older, 29.2% had diabetes. Rates of diagnosed diabetes were highest among non-Hispanic American Indian or Alaskan Native (16.0%), non-Hispanic Black (12.5%), and Hispanic (10.3%) compared with non-Hispanic Asian (9.2%) and non-Hispanic White (8.5%) individuals aged 18 years and older.^{25, 29}

Rates of prediabetes (HbA1c levels between 5.7% and 6.4%) are also increasing.³⁰ It is estimated that 38% of U.S. adults 18 or older (97.6 million people) have prediabetes based on their fasting glucose or HbA1c level.²⁹ Age-adjusted prevalence of diabetes was higher for people with less than a high school education (13.1%) compared with those with more than a

high school education (6.9%) from 2019 to 2021.²⁵ Rates of diabetes and prediabetes are similarly high among children and adolescents (under age 20): 35 per 10,000; 86% had type 1.²⁵

A disproportionately high prevalence of diabetes has been reported in Americans of African descent (12.6%) and Hispanic ethnicity (11.8%) compared with Americans of European descent (7.0%), whereas Asian American individuals have only a slightly higher prevalence (8.4%).²⁶ Native American and Alaskan Native individuals had an approximate diabetes prevalence of 6.4 per 1000 in 1990. In this population, the prevalence was greater in children and young adults under age 35 (9.3 per 1000) in 1998.^{31, 32} Other research suggests a high prevalence of diabetes in Asia.^{33, 34}

According to estimates based on data from the U.S. Census Bureau, approximately one third of Americans are at risk of developing diabetes mellitus during their lifetime.³⁵ With increasing industrialization and globalization, there is a concomitant increasing prevalence of diabetes that is leading to a worldwide public health issue.³⁶ An alarming increase in the frequency of type 2 diabetes in the pediatric age group has been noted in several countries,^{23, 37-41} including in the United States, and has been associated with the increased frequency of childhood obesity.⁴² In addition, there is evidence suggesting that diabetes develops at earlier ages and carries a higher incidence of complications among racial and ethnic minority populations.⁴³⁻⁴⁵ Social determinants of health also impact the prevalence and incidence of diabetes mellitus.⁴⁶

Overall, and in addition to the above discussion about race and ethnicity, demographics, health care access, and psychological distress, the level of education attained, and financial wealth remain strong predictors of mortality risk among adults with diabetes.⁴⁷

Prevalence of Diabetic Retinopathy

Diabetic retinopathy is a leading cause of new cases of legal blindness among working-age Americans and represents a leading cause of blindness in this age group worldwide.⁴⁸ The prevalence rate for retinopathy for all adults with diabetes aged 40 and older in the United States is 28.5% (4.2 million people); worldwide, the prevalence rate has been estimated at 34.6% (93 million people).^{49, 50} An estimate of the prevalence rate for vision-threatening DR (VTDR) in the United States is 4.4% (0.7 million people). Worldwide, this prevalence rate has been estimated at 10.2% (28 million people).^{49, 50} Data from 2019 indicate that 11.8% of adults with diagnosed diabetes reported severe vision difficulty or blindness.⁵¹ Newer data from 2021 demonstrated a prevalence rate of 26.43% of DR among people with diabetes. From this, the study team estimated that there were 1.84 million people living with VTDR, with a prevalence rate of 5.06%.⁵²

Throughout the world, the burden of DR is expected to remain high. A review and meta-analysis were performed to estimate the global and regional prevalence of DR, VTDR, and clinically significant macular edema (CSME). Projections of DR, VTDR, and CSME burden were based on population data from the IDF Atlas 2019. The study found a global prevalence of 22.3% for DR, 6.2% for VTDR, and 4.1% for CSME. For 2020, the estimated number of adults globally was 103.12 million with DR, 28.54 million with VTDR, and 18.83 million with CSME. The estimated prevalence by 2045 is 160.50 million for DR, 44.82 million for VTDR, and 28.61 million for CSME. The prevalence of DR was highest in Africa (35.9%), North America and the Caribbean (33.3%), and the Middle East and North Africa (32.9%) and lowest in South and Central America (13.4%). Among patients with diabetes, Hispanic (odds ratio [OR], 2.92) and Middle Eastern (OR, 2.44) individuals had an increased DR prevalence compared with Asian individuals.⁵³ More than 50% of worldwide visual impairment or blindness from DR is estimated to exist in the Asia Pacific region (51% of all those with blindness due to DR globally [n = 424,400] and 56% of those with visual impairment). Prevalence rates of DR in patients with diabetes range from 10% in India to 43% in Indonesia.⁵⁴

In particular, the number of younger patients with the potential for DR is increasing in the United States and worldwide. In the United States, the number of youths with diabetes is projected to increase from 213,000 in 2017 (95% confidence interval [CI], 209,900–218,000; type 1 diabetes 185,000, type 2 diabetes 28,000) to 239,000 in 2060 (95% CI, 209,000–282,000) (type 1 diabetes 191,000, type 2 diabetes 48,000).⁵⁵ A global systematic review and meta-analysis of pediatric patients with type 2 diabetes found an increasing risk of DR after

diagnosis in patients with type 2 diabetes as follows: a 1.11% rate of DR at less than 2.5 years after type 2 diabetes diagnosis (95% CI, 0.04%–3.06%), a 9.04% rate of DR at 2.5 to 5.0 years after type 2 diabetes diagnosis (95% CI, 2.24%–19.55%), and a 28.14% rate of DR at more than 5 years after type 2 diabetes diagnosis (95% CI, 12.84%–46.45%).⁵⁶

The prevalence of DR increases with increasing duration of disease. In the United States, the prevalence is predicted to increase as the incidence and duration of diabetes in the population increases. The Chinese American Study has found slightly lower prevalence rates of DR in Chinese American than in Latino patients with type 2 diabetes (35.8% in Chinese American individuals vs. 42.0% in Latino individuals). Increasing duration of diabetes was associated with higher probability of DR in Latino individuals than Chinese American individuals, even after controlling for other known predictors.⁵⁷ The prevalence of DR in newly diagnosed type 2 diabetes was found to be 13% in a large meta-analysis of the worldwide prevalence of DR.⁵⁸

RISK FACTORS

Duration of diabetes is a major risk factor associated with the development of DR. After 5 years, approximately 25% of patients with type 1 diabetes will have retinopathy. After 10 years, almost 60% will have retinopathy, and after 15 years, 80% will have retinopathy.^{59, 60} In the Wisconsin Epidemiologic Study of Diabetic Retinopathy (WESDR) for patients 30 and younger, PDR, the most vision-threatening form of the disease, was present in approximately 50% of patients with type 1 diabetes who had the disease for 20 years.⁶¹ In the Los Angeles Latino Eye Study (LALES) and in Proyecto VER (Vision, Evaluation and Research), 18% of participants with diabetes of more than 15 years' duration had PDR, and there was no difference in the percentage with PDR between those with type 1 and type 2 diabetes.^{60, 62} In the Singapore Eye Disease Study, independent risk factors for any DR included Indian ethnicity, diabetes duration, HbA1c, serum glucose, and systolic blood pressure.⁶³ Reductions in diastolic blood pressure, total cholesterol, and low-density lipoprotein cholesterol were associated with lower odds of any DR. In a study of First Nations people in Canada, elevated HbA1c and systolic blood pressure were found to be independent predictors of a two-step progression of DR (hazard ratio, [HR] = 1.42; $P < 0.0001$) and systolic blood pressure (HR = 1.24 per 10 mmHg; $P = 0.009$).⁶⁴

Among patients with type 2 diabetes over the age of 30 who have a known duration of diabetes of less than 5 years, 40% of patients taking insulin and 24% of those not taking insulin have retinopathy. These rates increase to 84% and 53%, respectively, when the duration of diabetes has been documented for up to 19 years.⁶⁵ Proliferative DR develops in 2% of type 2 patients who have diabetes for less than 5 years and in 25% of patients who have diabetes for 25 years or more.⁶⁵ Comparisons of information from WESDR and population-based studies such as Proyecto VER and LALES may reflect differences in blood glucose and hypertension management that have occurred over time.

Blood sugar and blood pressure control are the key modifiable risk factors associated with the development of DR.⁶⁶ Support for this association is based on both clinical trials and epidemiologic studies.⁶⁷⁻⁷⁴ There is general agreement that duration of diabetes and severity of hyperglycemia are the major risk factors for developing retinopathy.^{66, 75-78} Once retinopathy is present, duration of diabetes appears to be a less important factor than glycemic control in forecasting progression from earlier to later stages of retinopathy.^{79, 80} It is recommended that a HbA1c of 7% or lower is the target for glycemic control in most patients, whereas in selected patients, there may be some benefit to setting a lower target of 6.5%.⁸¹ In fact, an increase in HbA1c corresponds to an increased risk of DME.⁸² The VISS Study reported that long-term weighted HbA1c over time (area under the curve of values from diagnosis of type 1 diabetes mellitus to 30+ years later) is a very strong biomarker for PDR and nephropathy. The researchers reported that to avoid PDR and macroalbuminuria in type 1 diabetes patients, an HbA1c 7.0% (53 mmol/mol) or lower and as normal as possible is ideal.⁸³ Similarly, a prospective study of 3322 individuals, diagnosed with type 2 diabetes between the ages of 15 and 70 and followed for 10 to 25 years, found that young-onset type 2 diabetes (diagnosed between the age of 15 up to age 40) had an adjusted OR of 2.8 for DR (reference group those diagnosed at 60 to under 70 years of age).⁸⁴

Treatment of hypertension remains important.^{85, 86} A meta-analysis showed that reduction of blood pressure had a modest beneficial effect in preventing DR for up to 5 years, particularly in patients with hypertension and type 2 diabetes. However, there was not much evidence on reduction of blood

pressure to slow progression of DR in patients with diabetes without hypertension for preventing retinopathy or avoiding treatment for advanced retinopathy.⁸⁷ Large studies have suggested that managing serum lipids may reduce retinopathy progression and the need for treatment.⁸⁸⁻⁹² There is less agreement among studies concerning the importance of other factors such as age, type of diabetes, clotting factors, renal disease, physical inactivity, inflammatory biomarkers, and use of angiotensin-converting enzyme inhibitors.^{79, 89, 93-97} Many of these factors are associated with substantial cardiovascular morbidity and mortality and other complications associated with diabetes. Thus, ophthalmologists should encourage patients with diabetes to be as compliant as possible with therapy of all medical aspects of their disease.^{98, 99}

Patients on dialysis have a higher prevalence of DR than those not on dialysis. Diabetic retinopathy was positively associated with systolic (not diastolic) blood pressure, fasting glucose and HbA1c, and high HDL cholesterol.¹⁰⁰

Lipid-lowering agents have shown a positive effect on slowing progression of DR. In a 2018 meta-analysis, lipid-lowering agents showed a protective effect on DR progression and a possible reduced risk of developing DME. Despite this, there was no effect on visual acuity or on the presence of hard exudates.¹⁰¹ Fenofibrate, in particular, might play a role in slowing the progression of DR.¹⁰² A 2023 Cochrane systematic review found moderate certainty evidence that fenofibrate likely does not change the progression of DR in a group with type 2 diabetes with and without DR; however, in patients with type 2 diabetes and DR, fenofibrate likely reduces DR progression.¹⁰³ (*I+, Moderate Quality*) A subsequent randomized controlled trial found that fenofibrate was associated with a 27% reduction in risk of progression of or treatment for DR in patients with early retinopathy. Equivalent effects were observed in patients with type 1 or type 2 diabetes.¹⁰⁴

Dietary modification or supplements have been evaluated. One study suggested that omega-3 polyunsaturated fatty acids, docosahexaenoic acid, and eicosapentaenoic acid could be associated with reduced severity of retinopathy.¹⁰⁵ A systematic review evaluated the role of nutrients and nutraceuticals in DR but concluded that further research was needed.¹⁰⁶ (*III, Insufficient quality*) One study found that omega-3 fatty acids were associated with decreased development and severity of DR.¹⁰⁵

There is conflicting evidence that genetics and epigenetic factors may explain differences in progression rates of DR between groups of individuals with similar duration of diabetes or HbA1c levels. A study found that mitochondrial genetic haplogroups modify the risk for disease progression despite similar HbA1c level and duration of diabetes. For patients with haplogroup H, longer diabetes duration and increasing HbA1c level were significant risk factors for PDR ($P = 0.0001$ and $P = 0.011$, respectively). However, for patients with haplogroup UK, neither diabetes duration nor HbA1c level was a significant risk factor for PDR.¹⁰⁷ A larger study looking at the same haplotypes failed to show that association.¹⁰⁸

Another genetic study evaluated patients with type 2 diabetes who were carriers of the HMGAI rs139876191 variant. Patients with this variant had a significantly lower risk of developing PDR compared with noncarrier patients with diabetes.¹⁰⁹ It is believed that the HMGAI rs139876191 variant confers protection by downregulating the expression of vascular endothelial growth factor (VEGF) A in DR. A meta-analysis of VEGF polymorphisms reviewed 13 studies that evaluated associations between VEGF single nucleotide polymorphisms (SNPs) and NPDR as well as PDR. Rs2010963, rs833061, and rs699947 were associated with NPDR or PDR.¹¹⁰

Metabolic syndrome refers to a group of risk factors that increases the risk for developing heart disease, diabetes, and stroke.¹¹¹ Metabolic syndrome has also been found to be associated with microvascular and macrovascular disease in a study of patients with type 2 diabetes. More patients with metabolic syndrome had higher rates of albuminuria (40.8% vs. 21.8%; $P < 0.001$), retinopathy (37.9% vs. 28.6%; $P < 0.001$), coronary artery disease (19.4% vs. 11.6%; $P < 0.001$), cerebrovascular disease (5.8% vs. 3.2%; $P = 0.014$), and an ankle-brachial index of less than 0.9 or of 1.3 or higher (6.1% vs. 3.0%; $P = 0.015$).¹¹² There was also a trend for stepwise increases in albuminuria, retinopathy, coronary artery disease, cerebrovascular disease, and peripheral artery disease corresponding to the number of metabolic syndrome components (all P for trend < 0.05). Screening programs for metabolic syndrome may therefore be helpful in finding patients at higher risk for progression.

In a study of 50,254 eyes, baseline features and level of NPDR were associated with 5-year progression to PDR.¹¹³ Eyes with IRMA had an increased HR of developing PDR (HR = 1.77; $P = 0.0013$) compared with eyes with venous beading, and eyes with 4-quadrants of dot-blot hemorrhages had higher risk for developing vitreous hemorrhage (HR = 3.84; $P = 0.0095$).^{113, 114} For eyes with PDR, the Diabetic Retinopathy Clinical Research Network (DRCR.net) Protocol S study found that worse baseline levels of PDR were associated with an increased risk of PDR progressing, regardless of treatment with intravitreal anti-VEGF agents (including those that may or may not treat other targets such as placental growth factor or angiopoietin-2, which will be referred to hereafter as anti-VEGF agents) or panretinal photocoagulation surgery (PRP, also known as scatter laser surgery) (64% [high-risk PDR or worse] vs. 23% [moderate PDR or better]; HR = 3.97; $P < 0.001$). In the PRP group, eyes receiving pattern scan versus conventional single-spot PRP were at higher risk for worsening PDR (60% vs. 39%; HR = 2.04; $P = 0.008$), regardless of the number of spots placed.¹¹⁴ Ocular imaging risk factors have been identified. Optical coherence tomography and optical coherence tomography angiography (OCTA) imaging have revealed biomarkers for the progression of DR.

NATURAL HISTORY

Diabetic retinopathy progresses in an orderly fashion from mild to more severe stages when there is not appropriate intervention. It is important to recognize the stages when treatment may be most beneficial. Several decades of clinical research have provided excellent data on the natural course of the disease and on treatment strategies that are 90% effective in preventing the occurrence of severe vision loss (visual acuity $< 5/200$).¹¹⁵ The outcomes of key clinical trials form a solid foundation in support of treating DR. The results of these studies are summarized in Appendices 3 and 4. Major studies include the following (see Glossary):

- ◆ Diabetes Control and Complications Trial (DCCT)^{69, 116, 117}
- ◆ Follow-up study to the DCCT titled Epidemiology of Diabetes Interventions and Complications (EDIC)^{68, 70, 90, 118, 119}
- ◆ Diabetic Retinopathy Study (DRS)^{120, 121}
- ◆ Early Treatment Diabetic Retinopathy Study (ETDRS)¹²²⁻¹²⁴
- ◆ Diabetic Retinopathy Vitrectomy Study (DRVS)¹²⁵
- ◆ Wisconsin Epidemiologic Study of Diabetic Retinopathy (WESDR)¹²⁶
- ◆ Fenofibrate Intervention and Event Lowering in Diabetes (FIELD) study¹²⁷
- ◆ Action to Control Cardiovascular Risk in Diabetes (ACCORD) trial¹²⁸
- ◆ Diabetic Retinopathy Clinical Research Network (DRCR.net) Protocols¹²⁹⁻¹³³
- ◆ United Kingdom Prospective Diabetes Study (UKPDS)^{71, 86, 134}

The nonproliferative stages of DR are characterized by retinal vascular related abnormalities such as microaneurysms, intraretinal hemorrhages, venous dilation, and cotton wool spots. Increased retinal vascular permeability that occurs at these or later stages of retinopathy may result in retinal thickening (edema) and lipid deposits (hard exudates). Clinically significant macular edema is a term commonly used to describe retinal thickening and/or adjacent hard exudates that either involve the center of the macula or threaten to involve it. Patients with CSME should be considered for timely treatment when the center of the macula is involved, if retinal thickening and/or hard exudates are close to the center (see Care Process), and if there is associated vision loss or potential for vision loss. Clinically significant macular edema can be divided into center-involved and non-center-involved macular edema. (See Glossary.)

As DR progresses, gradual closure of retinal vessels results in impaired perfusion and retinal ischemia. Signs of increasing ischemia include venous abnormalities (e.g., dilation, beading, loops), IRMA, and more severe and extensive vascular leakage characterized by increasing retinal hemorrhages and exudation. When these signs progress beyond certain defined thresholds, severe NPDR is diagnosed (see Table 1). Such patients should be considered candidates for treatment with PRP or anti-VEGF agents (see Care Process).

TABLE 1 DIABETIC RETINOPATHY DISEASE SEVERITY SCALE AND INTERNATIONAL CLINICAL DIABETIC RETINOPATHY DISEASE SEVERITY SCALE

Disease Severity Level	Findings Observable upon Dilated Ophthalmoscopy
No apparent retinopathy	No abnormalities
Mild NPDR (see Glossary)	Microaneurysms only
Moderate NPDR (see Glossary)	More than just microaneurysms but less than severe NPDR
Severe NPDR	
U.S. definition	Any of the following (4-2-1 rule) and no signs of proliferative retinopathy: <ul style="list-style-type: none"> • Severe intraretinal hemorrhages and microaneurysms in each of 4 quadrants • Definite venous beading in 2 or more quadrants • Moderate IRMA in 1 or more quadrants
International definition	Any of the following and no signs of proliferative retinopathy: <ul style="list-style-type: none"> • More than 20 intraretinal hemorrhages in each of 4 quadrants • Definite venous beading in 2 or more quadrants • Prominent IRMA in 1 or more quadrants
PDR	One or both of the following: <ul style="list-style-type: none"> • Neovascularization • Vitreous/preretinal hemorrhage

IRMA = intraretinal microvascular abnormalities; NPDR = nonproliferative DR; PDR = proliferative DR.

NOTES:

- Any patient with two or more of the characteristics of severe NPDR is considered to have very severe NPDR.
- PDR may be classified as high-risk and non-high-risk. See Table 6 for more information.

Adapted with permission from Wilkinson CP, Ferris FL III, Klein RE, et al. Proposed international clinical diabetic retinopathy and diabetic macular edema disease severity scales. *Ophthalmology* 2003;110:1679

The more advanced stage, PDR, is characterized by the onset of neovascularization at the inner surface of the retina and into the vitreous induced by more global retinal ischemia. New vessels on or near the optic disc (neovascularization of the disc [NVD]) and new vessels elsewhere (NVE) in the retina are prone to bleed, resulting in vitreous hemorrhage. These new vessels may undergo fibrosis and contraction; this and other fibrous proliferation may result in epiretinal membrane formation, vitreoretinal traction, retinal tears, and retinal detachments. When new vessels are accompanied by vitreous hemorrhage, or when NVD occupy greater than or equal to about one-quarter to one-third disc area, even in the absence of vitreous hemorrhage, PDR is considered high-risk. (See Glossary.) Neovascular glaucoma can result from new vessels growing on the iris and anterior chamber angle structures. Patients with neovascular glaucoma or high-risk PDR should receive prompt treatment with anti-VEGF agents and PRP (see Care Process and Glossary).

Table 1 classifies DR by severity based on clinical findings. The ETDRS classification (Appendix 5) has clinical significance because risk of DR progression is associated with increasing severity level.^{122, 123, 135, 136} A higher risk of incident DME in eyes with more severe levels of baseline NPDR has been reported.^{137, 138}

A study of 2240 youths (21 years or younger) with type 1 diabetes and 1768 youths with type 2 diabetes evaluated the rates of DR development.¹³⁹ Rates of developing DR were 20.1% for type 1 and 7.2% for type 2 over a median follow-up time of 3.2 and 3.1 years, respectively. Survival curves demonstrated that youths with type 1 diabetes developed DR faster than youths with type 2 diabetes ($P < 0.0001$). The hazard for DR increases with increasing HbA1c. A retrospective review of youths (under 22 years old) revealed higher rates of developing DR in type 2 diabetes mellitus. The HRs by 15 years after the diagnosis of diabetes were 1.9 ($P = 0.02$) for any DR (nonproliferative or greater), 2.3 ($P = 0.048$) for PDR, 1.5 ($P = 0.50$) for DME, 2.4 ($P = 0.24$) for visually significant cataract, and 4.1 ($P = 0.007$) for requiring pars plana vitrectomy. The authors suggest that patients with type 2

diabetes undergo an ophthalmoscopic evaluation at least as frequently as or more frequently than children with type 1 diabetes.¹⁴⁰

Finally, patients with DR may be at risk for systemic comorbidities. One systematic review and meta-analysis suggested an association between DR and an increased risk of stroke, with a pooled risk ratio of 2.04 (95% confidence interval [CI], 1.25–3.32).¹⁴¹

CARE PROCESS

The care process for DR includes a medical history, a regular ophthalmologic examination or screening of high-quality retinal photographs of patients who have not had previous treatment for DR or other eye disease, and regular follow-up. The purpose of an effective screening program is to determine who needs to be referred to an ophthalmologist with retinal training for close follow-up and possible treatment, and who may simply be screened annually. Early detection of retinopathy depends on educating patients who have diabetes, as well as their family, friends, and health care providers, about the importance of regular eye examinations even though the patient may be asymptomatic. A 10-year observational study at Joslin Diabetes Center found that 89% of patients with mild DR and 55% with VTDR reported that they were unaware of any eye disease, and 25% of those with VTDR did not report to planned follow-up at a recommended interval. This lack of awareness was associated with a longer duration of diabetes, having had an eye exam more than 1 year ago, and the lack of a future scheduled eye exam.¹⁴² In lay terms, patients must be informed that they may have good vision and no ocular symptoms but that they may still have significant disease that needs treatment. They should be educated that early treatment works best, that retinal exams are needed to detect DR at an early stage, and that these exams are needed at least annually, even when vision is good. The English Diabetic Eye Screening Programme examined the potential effect of biennial versus annual screening on detection of sight-threatening DR and PDR for patients with no DR, and found that biennial detection would have delayed detection of sight-threatening DR for 56.3% of patients and PDR for 43.6% of patients by 1 year, especially among Black patients.¹⁴³ Annual eye examinations optimize prompt detection of DR in patients with diabetes. Individuals with type 2 diabetes without DR should have an annual dilated eye examination to detect the onset of DR.^{59, 65, 144-161} Individuals with type 1 diabetes without DR should have annual dilated eye examinations beginning 5 years after the onset of diabetes.^{59, 162} The recommended timing of the first ophthalmic examination and subsequent follow-up examinations for patients with diabetes are listed in Table 2 and described in the Management section.

TABLE 2 RECOMMENDED EYE EXAMINATIONS FOR PATIENTS WITH DIABETES MELLITUS AND NO DIABETIC RETINOPATHY

Diabetes Type	Recommended Initial Evaluation	Recommended Follow-up*
Type 1†	5 years after diagnosis ⁵⁹	Yearly ⁵⁹
Type 2†	At time of diagnosis ^{65, 163}	Yearly ^{65, 163}
Pregnancy‡ (type 1 or type 2)	Soon after conception and early in the first trimester ¹⁶⁴⁻¹⁶⁶	<ul style="list-style-type: none"> No retinopathy to mild or moderate NPDR: every 3–12 months¹⁶⁴⁻¹⁶⁶ Severe NPDR or worse: every 1–3 months¹⁶⁴⁻¹⁶⁶

NPDR = nonproliferative DR

* Abnormal findings may dictate frequent follow-up examinations.

† Pubertal patients require increased vigilance due to increased risk of progression.

‡ Women who develop gestational diabetes do not require an eye examination during pregnancy and do not appear to be at increased risk for DR during pregnancy.

Maintaining near-normal glucose levels and near-normal blood pressure lowers the risk of retinopathy developing and/or progressing. Thus, patients should be informed of the importance of maintaining good HbA1c levels and blood pressure.^{68, 69, 71, 86, 167} Serum lipids could also play an important role in DR.¹⁶⁸ Aspirin may be used by diabetic patients for other medical indications without concern that the aspirin therapy will worsen DR or worsen a vitreous hemorrhage should it occur.^{169, 170}

PATIENT OUTCOME CRITERIA

Patient outcome criteria include the following:

- ◆ Improvement or stabilization of visual function
- ◆ Improvement or stabilization of vision-related quality of life
- ◆ Optimal control of blood glucose, blood pressure, and other risk factors through close communication with the patient's primary care physician on the status of the DR and the need for optimal metabolic control
- ◆ Development of systemic complications of diabetes

DIAGNOSIS

The initial examination for a patient with diabetes mellitus includes all features of the comprehensive adult medical eye evaluation,¹⁷¹ with particular attention to those aspects relevant to DR.

History

An initial history should consider the following elements:

- ◆ Duration of diabetes^{59, 79, 172}
- ◆ Past glycemic control (HbA1c)^{79, 117, 172}
- ◆ Medications
- ◆ Medical history (e.g., obesity, renal disease,^{59, 65} systemic hypertension,^{59, 65} serum lipid levels,¹⁷³ pregnancy,^{164, 165} neuropathy, cystic fibrosis¹⁷⁴)
- ◆ Ocular history (e.g., trauma, other eye diseases, ocular injections, surgery, including retinal laser surgery)
- ◆ Current ocular symptoms of visual loss and rate of visual loss

Examination

The initial examination should include the following elements:

- ◆ Visual acuity¹⁷⁵
- ◆ Slit-lamp biomicroscopy
- ◆ Intraocular pressure (IOP) measurement
- ◆ Gonioscopy before dilation, when indicated. Iris neovascularization is best recognized prior to dilation. When new vessels growing on the iris are present or suspected, or if the IOP is elevated, undilated gonioscopy can be used to detect neovascularization in the anterior chamber angle.
- ◆ Pupillary assessment for optic nerve dysfunction
- ◆ Thorough funduscopy, including stereoscopic examination of the posterior pole¹²⁴
- ◆ Examination of the peripheral retina and vitreous

A dilated pupil is preferred to ensure optimal examination of the retina, because only 50% of eyes are correctly classified for the presence and severity of retinopathy through undilated pupils.¹⁷⁶ Slit-lamp biomicroscopy is the recommended method to evaluate retinopathy in the posterior pole and midperipheral retina using a 90 diopter (D) or 78 D lens.¹²⁴ Examination of the peripheral retina is best performed using indirect ophthalmoscopy or slit-lamp biomicroscopy.

Because treatment is effective in reducing the risk of visual loss, a detailed examination is indicated to assess for the following features that often lead to visual impairment:

- ◆ Macular edema assessment, which may include OCT, for detection of edema
- ◆ Signs of severe NPDR (extensive retinal hemorrhages/microaneurysms, venous beading, and IRMA)
- ◆ NVD and/or NVE
- ◆ Vitreous or preretinal hemorrhage
- ◆ Retinal hypertensive findings (arterial attenuation or sclerosis, arteriovenous nicking)

Examination Schedule

Type 1 Diabetes

See Table 2 for a summary of the examination schedule recommendations. Many studies of patients with type 1 diabetes have reported a direct relationship between the prevalence and severity of retinopathy and the duration of diabetes.^{65, 177, 178} The development of vision-threatening retinopathy is rare in children prior to puberty.^{177, 179} Among patients with type 1 diabetes, substantial retinopathy may become apparent as early as 6 to 7 years after onset of the disease.⁵⁹ Ophthalmic examinations are recommended beginning 5 years after the diagnosis of type 1 diabetes and annually thereafter, which will detect the vast majority of type 1 patients who require therapy.^{59, 162} Patient education about the visual impact of early glucose control is important and should begin with the onset of disease.

Type 2 Diabetes

The time of onset of type 2 diabetes is often difficult to determine and may precede the diagnosis by a number of years.¹⁸⁰ Up to 3% of patients whose diabetes is first diagnosed at age 30 or later will have CSME or high-risk features at the time of the initial diagnosis of diabetes.⁵⁹ About 30% of patients will have some manifestation of DR at diagnosis. Therefore, the patient should be referred for comprehensive diabetic eye examination at the time of diagnosis and followed at least annually thereafter.^{65, 163}

Diabetes Associated with Pregnancy

Diabetic retinopathy can worsen during pregnancy due to the physiologic changes of pregnancy itself or changes in overall metabolic control.¹⁶⁴⁻¹⁶⁶ Patients with diabetes who plan to become pregnant should have an ophthalmologic examination prior to pregnancy and counseled about the risk of development and/or progression of DR during pregnancy. The obstetrician or primary care physician should carefully guide the management of the pregnant patient with diabetes' blood glucose, blood pressure, as well as other issues related to pregnancy.¹⁶⁴⁻¹⁶⁶ During the first trimester, an eye examination should be performed with repeat and follow-up visits scheduled, depending on the severity of retinopathy. (See Table 2.) Women who develop gestational diabetes¹⁸¹ do not require an eye examination during pregnancy and do not appear to be at increased risk for DR during pregnancy.

After the examination, the ophthalmologist should discuss the results and their implications with the patient. Both eyes should be classified according to the categories of DR and macular edema discussed in the Natural History and Treatment sections. Each category has an inherent risk for progression and is dependent upon adherence to overall diabetes control. Thus, the diagnostic category, combined with the level of diabetes control, determines the timing for both the intervention and follow-up examination.

Diabetes in Puberty

Patients with diabetes have an accelerated rate of DR progression during puberty. This relative risk has been reported to be 4.8 in pubescent patients compared with their prepubescent counterparts despite similar durations of diabetes mellitus.¹⁶²

Ancillary Tests

If used appropriately, a number of tests ancillary to the clinical examination may enhance patient care. The most common tests include the following:

- ◆ Color and red-free fundus photography
- ◆ OCT
- ◆ Fluorescein angiography (FA)
- ◆ OCTA¹⁸²⁻¹⁸⁵
- ◆ B-scan ultrasonography

Color Fundus Photography

Fundus photography (with or without pupillary dilation) is a reproducible technique for detecting DR and has been used in large clinical research studies. Fundus photography is

also useful for documenting the severity of the diabetes, the presence of NVD and NVE, the response to treatment, and the need for additional treatment at future visits. Widefield imaging can be particularly helpful in documenting the overall DR burden.

Optical Coherence Tomography

Optical coherence tomography provides high-resolution imaging of the vitreoretinal interface, neurosensory retina, and subretinal space. It can be used to quantify retinal thickness, monitor macular edema, identify vitreomacular traction, and detect other forms of macular disease in patients with DME.¹⁸⁶⁻¹⁹¹ (See Table 3.) Large clinical trials testing anti-VEGF treatment have used OCT rather than stereoscopic photographs or clinical examination to evaluate and follow macular edema status because it allows an objective, accurate assessment of the amount and location of retinal thickening.^{131, 192-196} In clinical practice, decisions are often based on OCT findings. For example, the decision to treat with anti-VEGF injections, change therapeutic agents (e.g., intraocular corticosteroids), initiate laser surgery, or even consider vitrectomy surgery is often based in part on OCT findings. Nevertheless, retinal thickness, even when measured by OCT, is not always consistently correlated with visual acuity.^{197, 198} Optical coherence tomography can demonstrate the microstructural changes secondary to ischemia. Loss of inner retinal layers at the fovea with high-resolution spectral-domain OCT has been shown to be associated with vision loss in eyes with diabetic macular ischemia.¹⁹⁹ Hyper-reflective foci number in the outer retina ($P = 0.037$), poor baseline vision ($P < 0.001$), absence of epiretinal membrane ($P = 0.048$), and presence of subretinal fluid at baseline ($P = 0.001$) were associated with logMAR visual acuity improvement.²⁰⁰ Optical coherence tomography findings of intraretinal hyper-reflective foci, loss of the outer retinal layers (external limiting membrane, ellipsoid zone) as well as reflectance parameters from the outer retina are also associated with visual acuity or photoreceptor status.²⁰¹ Quantitative parameters can distinguish between normal subjects and patients with diabetes without DR and those with early DR using OCT parameters.

TABLE 3 USE OF OPTICAL COHERENCE TOMOGRAPHY FOR DIABETIC RETINOPATHY

Situation	Usually	Occasionally
To evaluate unexplained visual acuity loss	●	
To detect, quantify, and monitor DME	●	
To identify areas of vitreomacular traction	●	
To evaluate patients with difficult and/or questionable examinations for DME	●	
To investigate other causes of macular edema		●
To screen a patient with no or minimal DR		●

DME = diabetic macular edema; DR = diabetic retinopathy.

In phase 3 studies, the decision to maintain, extend, or shorten the treatment interval relies on changes in the OCT central subfield thickness (CST) compared with a reference standard²⁰² or to an absolute threshold. Clinical response to therapy increasingly is assessed by the change in the CST in combination with visual acuity parameters. The presence of intraretinal fluid has been shown to have a better association with visual acuity than the presence of subretinal fluid.

Fluorescein Angiography

Routine FA is not indicated as a part of the regular examination of patients with diabetes. As the use of anti-VEGF agents and intraocular corticosteroids has increased for the treatment of macular edema, the use of focal laser surgery has decreased. Therefore, the need for angiography that localizes leaking microaneurysms or areas of capillary dropout has also declined.

Nevertheless, FA is useful to differentiate DME from other macular disease or for a patient with unexplained vision loss. (See Table 4.) Angiography can identify macular capillary nonperfusion,²⁰³ which appears as enlargement of the foveal avascular zone or lack of perfusion anywhere in the macular region as an explanation for vision loss that is unresponsive to therapy. Fluorescein angiography may also detect areas of untreated retinal capillary nonperfusion that could explain persistent retinal or disc neovascularization after previous scatter laser surgery. Advances in widefield FA have resulted in improved detection of peripheral ischemia and peripheral lesions, including neovascularization that may not be clinically apparent.²⁰⁴ DRCR Retina Network Protocol AA examined the role of widefield imaging in NPDR. “Although no association was identified with color predominately peripheral lesions, presence of detected FA predominately peripheral lesions was associated with greater risk of disease worsening over 4 years, independent of the baseline Diabetic Retinopathy Severity Scale (DRSS) score. These results suggested that use of ultra-widefield FA to evaluate retinas peripheral to standard ETDRS fields may improve the ability to predict disease worsening in NPDR eyes.”²⁰⁵ Overall, FA remains a valuable tool, and facilities for conducting FA should be available to physicians who diagnose and treat patients with DR.

TABLE 4 USE OF FLUORESCEIN ANGIOGRAPHY FOR DIABETIC RETINOPATHY

Situation	Usually	Occasionally	Never
To guide laser treatment of CSME	•		
To evaluate unexplained visual loss	•		
To identify suspected but clinically obscure retinal neovascularization	•		
To rule out other causes of macular edema		•	
To identify large areas of capillary nonperfusion		•	
To evaluate patients with difficult and/or questionable examinations for DME		•	
To screen a patient with no or minimal DR			•

CSME = clinically significant macular edema; DME = diabetic macular edema; DR = diabetic retinopathy.

An ophthalmologist who orders FA must be aware of the potential risks associated with the procedure, because severe medical complications may occur, including death in about 1/200,000 patients.²⁰⁶ Nausea is the most frequently reported reaction occurring in 3%-15% of patients and urticaria in 0.5%.²⁰⁶ Each angiography facility should have an emergency care plan in place as well as a clear protocol to minimize the risks and to manage related complications. Fluorescein has been shown to cross the placenta and has been found in breastmilk for up to 72 hours.^{207, 208} However, detrimental effects of fluorescein dye on a fetus have not been documented.

Optical Coherence Tomography Angiography

The use of OCTA has added a new perspective on our understanding of DR. Although the technology is FDA approved, the guidelines and indications for use during screening and management of DR are currently evolving. The major advances offered by OCTA have been its noninvasive nature and the ability to visualize depth-resolved, capillary-level abnormalities in the three retinal plexuses, allowing a much more quantitative assessment of macular ischemia.^{182-185, 209-212} Even though the technology is very effective at revealing vascular abnormalities, including neovascularization on the surface of the retina and optic nerve, it is not capable of visualizing leakage. Although this could be construed as a possible limitation, it still permits a much better unperturbed view of underlying ischemia.^{213, 214} Using OCTA, preclinical microvascular changes can be detected,²¹⁵ regions of macular nonperfusion can be quantified (studies have shown that nonperfusion correlates to severity of DR),^{183, 211} and retinal neovascular tissue can be identified.^{216, 217} The current

limitations include projection artifacts, the lack of consensus on segmentation algorithms,^{218, 219} and a reduced field of view that limits determination of peripheral retinal ischemia and neovascularization. This can be partially overcome with the use of image montages or single-scan widefield OCTA devices.²²⁰⁻²²³

Ultrasonography

Ultrasonography is a valuable diagnostic tool that enables assessment of the status of the retina in the presence of a vitreous hemorrhage or other media opacity. It can be used to assess the amount of vitreous hemorrhage, to define the extent and severity of vitreoretinal traction, and to diagnose diabetic retinal detachments in the setting of media opacity.

Other Tests

Electroretinography is also being used to evaluate neural dysfunction of the retina even in eyes without apparent retinal vascular abnormalities, and it could help to predict the location of future microaneurysms.²²⁴

Visual field testing may detect retinal dysfunction in patients without DR. Dark-adapted chromatic perimetry reveals functional threshold sensitivity losses in eyes without DR and in mild NPDR.¹¹

Artificial intelligence (AI) is being evaluated as an adjunct in clinical practice to monitor and predict disease progression in DR. Arterial and venous differentiation of the vessels has also been shown to be helpful in applying AI to OCTA image analysis.²²⁵⁻²²⁷

MANAGEMENT

Untreated DR and its accompanying visual loss result in a substantial economic burden on patients, their family and society. Treatment with laser surgery, anti-VEGF agents, or intravitreal corticosteroids is cost-effective for managing DR to varying degrees.^{228, 229} Choice of laser surgery, individual anti-VEGF agents, or approved intravitreal corticosteroids should be individually tailored based on discussion between the patient and physician.

Management of DR includes following a healthy diet and lifestyle, medical management, timely ophthalmic evaluation, and treatment by an ophthalmologist. The ability of patients to adequately complete these disease management techniques are influenced by the patient's lifestyle, economic situation, insurance coverage, social support, familial demands, employer expectations, and cultural influences. Because patients with diabetes may be under the care of multiple practitioners, effective communication and care coordination is necessary to optimize care.²³⁰ Physicians and patients need to be educated and informed about the need for ophthalmic referral and routine retinal surveillance. Finally, patients need to understand that current treatments often require multiple visits and evaluations over time to adequately deliver treatments and to achieve a therapeutic effect.

Prevention of Diabetic Retinopathy

A healthy diet and lifestyle that includes exercise and weight control may decrease the risk of developing diabetes in some patients.^{231, 232} The visual complications of diabetes mellitus can at least be moderated by a healthy lifestyle; however, diabetes complications simply cannot be prevented in all cases.

The DCCT showed that the development and progression of DR in patients with type 1 diabetes can be delayed when HbA1c is optimized.⁶⁹ (See Appendix 4.) Establishing a close partnership between the ophthalmologist and the primary care physician is an important step to ensure optimal patient care. Furthermore, it is important to help educate patients with diabetes as well as their primary care physician about the ophthalmologic implications of controlling blood glucose (as monitored by HbA1c) to as near to normal as is safely possible. Results from multiple studies have demonstrated the value of controlling blood glucose, serum lipid levels, and blood pressure in patients with type 2 diabetes. (See Appendix 4 for further information.)

The ETDRS found that aspirin therapy at a dose of 650 mg per day does not slow the progression of DR.¹⁶⁹ Also, any aspirin therapy did not cause more severe, more frequent, or longer-lasting vitreous hemorrhages in patients with PDR.¹⁷⁰ Therefore, aspirin appears to be

neither helpful nor harmful in the management of DR, and so no recommended changes in medically administered aspirin therapy are indicated in the setting of diabetic retinal disease.

Early Detection of Diabetic Retinopathy

Diabetic retinopathy may be asymptomatic for years, even at an advanced stage, so screening, using new technologies such as telemedicine, is essential to identify, monitor, and guide the treatment of disease. When visual complications occur, treatment preserves visual function and is believed to yield a substantial cost savings when compared with the direct costs for individuals disabled by vision loss (see Socioeconomic Considerations section). According to the National Committee for Quality Assurance's Health Plan Employers Data Information Set System, national monitoring of quality data has shown a slow but definite trend toward improving rates of screening examinations.²³³ Still, screening rates remain lower than ideal in spite of evidence supporting the effectiveness of treatment. Physicians who care for patients with diabetes, and patients themselves, need to be educated about indications for ophthalmologic referral. (See Table 5.)

TABLE 5 INITIAL MANAGEMENT RECOMMENDATIONS FOR PATIENTS WITH DIABETES

Severity of Retinopathy	Presence of Macular Edema	Follow-up (Months)	Panretinal Photocoagulation (Scatter) Laser Surgery	Focal and/or Grid Laser Surgery*	Intravitreal Anti-VEGF Therapy
No apparent retinopathy	No	12	No	No	No
Mild NPDR	No	12	No	No	No
	NCI-DME	3–6	No	Sometimes	No
	CI-DME [†]	1*	No	Rarely	Usually
Moderate NPDR	No	6–12 [†]	No	No	No
	NCI-DME	3–6	No	Sometimes	Rarely
	CI-DME [†]	1*	No	Rarely	Usually
Severe NPDR	No	3–4	Sometimes	No	Sometimes
	NCI-DME	2–4	Sometimes	Sometimes	Sometimes
	CI-DME [†]	1*	Sometimes	Rarely	Usually
Non-high-risk PDR	No	3–4	Sometimes	No	Sometimes
	NCI-DME	2–4	Sometimes	Sometimes	Sometimes
	CI-DME [†]	1*	Sometimes	Sometimes	Usually
High-risk PDR	No	2–4	Recommended	No	Sometimes ^{132, 234}
	NCI-DME	2–4	Recommended	Sometimes	Sometimes
	CI-DME [†]	1*	Recommended	Sometimes	Usually

Anti-VEGF = anti-vascular endothelial growth factor; CI-DME = center-involved diabetic macular edema; NCI-DME = noncenter-involved diabetic macular edema; NPDR = nonproliferative diabetic retinopathy; PDR = proliferative diabetic retinopathy.

* Treatments that may be considered included intravitreal corticosteroids or anti-VEGF agents. Data from the Diabetic Retinopathy Clinical Research Network in 2011 demonstrated that, at 2 years of follow-up, intravitreal ranibizumab with prompt or deferred laser surgery resulted in greater visual acuity gain and intravitreal triamcinolone acetonide plus laser surgery also resulted in greater visual gain in pseudophakic eyes compared with laser surgery alone.²³⁵ Individuals receiving the intravitreal injections of anti-VEGF agents may be re-examined as early as 1 month following injection.

[†] For patients with good visual acuity (20/25 or better) and CI-DME, there is no difference between observation plus aflibercept if visual acuity decreases, focal laser surgery plus aflibercept if visual acuity decreases, or anti-VEGF treatment. It is appropriate to defer treatment until visual acuity is worse than 20/25.¹³⁰ Exceptions include hypertension or fluid retention associated with heart failure, renal failure,

pregnancy, or any other causes that may aggravate macular edema. Deferral of photocoagulation for a brief period of medical treatment may be considered in these cases.²³⁶ Also, deferral of NCI-DME treatment is an option if visual acuity is excellent (better than 20/32), close follow-up is possible, and the patient understands the risks.

† Or at shorter intervals if signs approaching those of severe NPDR appear.

Analyses from two clinical trials show that treatment for DR may be 90% effective in preventing severe vision loss (visual acuity < 5/200) using current therapeutic treatment strategies.¹¹⁵ Although effective treatment is available, fewer patients with diabetes are referred by their primary care physicians for ophthalmic care than would be expected according to guidelines by the American Diabetes Association and the American Academy of Ophthalmology.²³⁷ In two community-based studies, 43% to 65% of participants had not received a dilated eye examination at the time of enrollment.^{238, 239}

The purpose of an effective screening program for DR is to determine who needs a referral to an ophthalmologist for close follow-up or to an ophthalmologist with retinal experience for treatment and who may simply be screened annually. Some studies have shown that screening programs using digital retinal images taken with or without dilation may enable early detection of DR along with an appropriate referral.¹⁴⁴⁻¹⁵⁴ Optical coherence tomography appears to be an effective and sensitive imaging tool for detecting DME as long as there are no other causes for cystoid macular edema.^{190, 240} (*I+*, *Good quality*, *Strong recommendation*) A small study found that chromatic perimetry might be helpful to evaluate individuals with mild or no diabetic retinopathy but with neural abnormalities.¹⁰ A review article concluded that electroretinography can detect neural dysfunction in patients with early diabetes.²²⁴

Studies have found a positive association between participating in a photographic screening program and subsequent adherence to receiving recommended comprehensive dilated eye examinations by a clinician.^{155, 156} Of course, such screening programs are more relevant when access to ophthalmic care is limited.¹⁵⁷⁻¹⁶⁰ Screening programs should follow established guidelines.¹⁶¹ Given the known gap in accessibility of direct ophthalmologic screening, retinal imaging screening programs may help increase the chances that at-risk individuals will be promptly referred for more detailed evaluation and management.²⁴¹

Three autonomous systems were approved by the FDA for screening to detect referable DR: LumeneticsCore™ (Digital Diagnostics, Coralville, IA) was approved in 2018, the EyeArt® system (EyeNuk, Inc., Woodland Hills, CA) was approved in 2020, and AEYE-DS (AEYE Health, New York, New York) was approved in 2022. These systems use digital photos (non-mydratic predominantly unless dilation is required to get a good image), upload the images to cloud-based software, and generate a determination as to the presence or absence of more-than-mild DR or VTDR. Clinical trials showed high rates of sensitivity and specificity for these systems with nearly 100% negative predictive values. These systems can be deployed in primary care settings to help screen patients with diabetes for the presence of DR.²⁴²⁻²⁴⁵ The impact of these systems on visual outcomes remains to be seen, because the systems will be used in primary care settings over the coming years. These systems could potentially help improve the DR screening burden.

As seen in the DCCT, rapid tight glucose control can lead to the acceleration or early onset of DR. The SUSTAIN 6 trial found a higher rate of vitreous hemorrhage, blindness, or conditions requiring treatment with an intravitreal agent or photocoagulation in the semaglutide (glucagon-like peptide-1 receptor agonist used in type 2 diabetes group [Ozempic®, Novo Nordisk, Inc., Plainsboro, NJ]) compared with the placebo group (HR = 1.76; 95% CI, 1.11–2.78; *P* = 0.02).²⁴⁶ In a review of the newly developed medications for diabetes, evidence for worsening of DME was seen in treatment with peroxisome proliferator-activated receptor gamma (PPAR-gamma) agonists, slight DR worsening in treatment with semaglutide, and an increase in retinal vein occlusions in elderly patients and patients with advanced kidney disease treated with sodium-glucose co-transporter 2 (SGLT-2) inhibitors.²⁴⁷ A retrospective cohort review of 6065 patients who were initiated on an SGLT-2 inhibitor were matched to 12,890 controls; SGLT-2 inhibitor therapy was not associated with progression of NPDR compared with other diabetic therapies.²⁴⁸ A meta-analysis of 23 randomized trials involving 22,096 patients with type 2 diabetes found 730 incident DR cases: 463 in the semaglutide-treated group and 267 in the

control group. Overall, the relative risk was 1.14 for all patients. A subgroup comparison of semaglutide with placebo showed an increased risk of DR (relative risk 1.24; 95% CI, 1.03–1.50). Risk factors for an increased risk of DR included age 60 and older and diabetes duration 10 years or more when using semaglutide (relative risk 1.27; 95% CI, 1.02–1.59; relative risk 1.28; 95% CI, 1.04–1.58, respectively).²⁴⁹

Medical and Surgical Management

Management recommendations for patients with diabetes are described according to severity of the retinopathy as well as the presence and type of DME. Diabetic macular edema should be classified as either center-involved (CI-DME) or non-center-involved DME (NCI-DME). Follow-up recommendations and treatment options based on severity of disease are summarized in Table 5. Diabetic macular edema can be present in all stages of DR. Clinicians need to consider certain treatment interactions when deciding treatment options. For example, DME can worsen following PRP for PDR.²⁵⁰ There have been case reports of idiosyncratic macular edema that is temporally associated with use of the glitazone class of oral antihyperglycemic agents.^{251, 252} Alternatively, the severity of DR can improve in eyes receiving treatment with anti-VEGF treatment for DME.^{132, 253} Table 5 provides guidance for managing patients with diabetes; however, individual patient needs may vary. Table 6 summarizes the side effects and complications associated with currently available treatments.

TABLE 6 SIDE EFFECTS AND COMPLICATIONS OF TREATMENT FOR DIABETIC RETINOPATHY

Treatment	Side Effect/Complication
Focal laser photocoagulation surgery for DME	<ul style="list-style-type: none"> Possible transient initial decrease in central vision Paracentral scotomas if laser burns have been placed close to the fovea, especially large or confluent burns²⁵⁴ Permanent central scotoma from inadvertent foveal burns Expansion of laser scar area (over many years) Choroidal neovascularization and subretinal fibrosis
Panretinal photocoagulation surgery (scatter) for severe NPDR or PDR	<ul style="list-style-type: none"> Transient central vision loss from macular edema¹⁷⁵ Peripheral visual field constriction with delayed dark adaptation Vitreous hemorrhage if neovascularization is present Reduced or compromised accommodation²⁵⁵ Pupillary dilation (mydriasis) Acceleration of cataract development
Vitrectomy	<ul style="list-style-type: none"> Vitreous hemorrhage^{256, 257} Retinal tear or detachment²⁵⁸ Vision loss^{258, 259} Infectious endophthalmitis²⁶⁰ Cataract²⁶¹ Capsular tear
Intravitreal injections	<ul style="list-style-type: none"> Ocular hemorrhage Elevated IOP (i.e., corticosteroids)^{262, 263} Infectious endophthalmitis Noninfectious inflammatory reactions Possible systemic effect from intravitreal medication²⁶⁴ Increased retinal traction Cataract^{262, 263} Capsular tear Corneal edema from migrated implant

DME = diabetic macular edema; IOP = intraocular pressure; NPDR = nonproliferative DR; PDR = proliferative DR.

Diabetic Macular Edema

Historically, CSME is defined by the ETDRS to include any of the following features:

- ◆ Thickening of the retina at or within 500 μ m of the center of the macula
- ◆ Hard exudates at or within 500 μ m of the center of the macula, when associated with adjacent retinal thickening. (This criterion does not apply to residual hard exudates that remain after successful treatment of prior retinal thickening.)
- ◆ A zone or zones of retinal thickening 1 disc area or larger, where any portion of the thickening is within 1 disc diameter of the center of the macula

Because the risk of visual loss is greatest if macular edema is at the center of the macula, DME is now subdivided as either CI-DME or NCI-DME. Optical coherence tomography is the best way to detect and quantitate CI-DME, and clinical trials have required CI-DME as an inclusion criterion. A DRCR.net study determined a reasonable clinical threshold for CI-DME was a central macular thickness two standard deviations above the normative study population of diabetics without macular edema.²⁶⁵ Changes in central macular thickness measurements based on OCT is a useful marker for assessing response to treatment. Treating ophthalmologists should be familiar with relevant studies and techniques as described in the ETDRS, trials under the guidance of the DRCR.net Protocol,¹³¹ and other studies involving anti-VEGF treatment.^{124, 203}

Treatment Deferral

Patients commonly present with good visual acuity despite the presence of CI-DME. An estimated 40% of eyes with DME in the ETDRS had visual acuity of 20/20 or better.²⁶⁶ Studies that have demonstrated the benefit of anti-VEGF therapy for CI-DME required visual acuity loss (20/32 or worse).^{194, 267, 268} DRCR Protocol V found that in eyes with CI-DME and visual acuity of 20/25 or better, there was no difference in visual acuity loss in eyes treated with aflibercept 2 mg, focal laser photocoagulation surgery with aflibercept if visual acuity decreased per criteria, or observation with aflibercept if visual acuity decreased per criteria.¹³⁰ The visual criteria for adding aflibercept to the laser surgery or observation strategy were a decrease from baseline by at least 10 letters (≥ 2 lines on an eye chart) at any one visit or by 5 to 9 letters (1 to 2 lines) at two consecutive visits. After 2 years, all three strategies resulted in mean visual acuity of 20/20 and the CST on OCT did not significantly change compared with baseline. In eyes with good visual acuity and CI-DME, treatment is reasonably deferred until the visual acuity is affected (20/30 or worse). These patients should be examined every 2 to 4 months.¹²⁴ Risk factors for eyes requiring anti-VEGF therapy were greater baseline CST, worse DRSS level, or a nonstudy eye that was receiving treatment for DME.²⁶⁹

Anti-Vascular Endothelial Growth Factor Therapy

Multiple, high-quality clinical trials and systematic reviews have demonstrated that anti-VEGF therapy is more effective in improving vision in eyes with CI-DME than monotherapy with focal laser surgery treatment, thus supplanting focal laser surgery as the first-line therapy.^{124, 193, 203, 235, 253, 270-282} (277: I+, Good quality, Strong recommendation; 278: I-, Insufficient quality, Discretionary recommendation; 279: I+, Moderate quality, Discretionary recommendation; 280: I+, Moderate quality, Discretionary recommendation; 281: I+, Moderate quality, Discretionary recommendation; 282: I-, Insufficient quality, Discretionary recommendation)

Aflibercept

Aflibercept 2 mg, an anti-VEGF A, anti-VEGF B, anti-placental growth factor (PLGF) fusion protein (Eylea[®], Regeneron Pharmaceuticals, Inc., Tarrytown, NY), was evaluated in the VIVID and VISTA trials.²⁸³ These were parallel phase 3 multicenter, double-masked, sham, injection-controlled randomized studies. These studies compared the efficacy and safety of intravitreal aflibercept injection every 4 or 8 weeks with macular laser photocoagulation surgery for DME. Visual improvements were observed in the intravitreal aflibercept injection treatment regimens that were better than laser surgery control at 52, 100, and 148 weeks. Incidence of adverse events was consistent

with the known safety profile of intravitreal aflibercept injection and similar to other anti-VEGF agents.²⁸⁴

Bevacizumab

Bevacizumab, an anti-VEGF A antibody (Avastin), was evaluated in the Bevacizumab or Laser Treatment in the Management of Diabetic Macular Edema (BOLT) phase 2 trial. It was a 2-year randomized controlled trial that compared intravitreal 1.25 mg bevacizumab injections with focal laser surgery in patients with persistent DME and visual impairment. Bevacizumab patients received an injection every 6 weeks, whereas laser surgery patients were treated every 4 weeks. At 2 years, visual acuity results were substantially better in the bevacizumab group compared with the laser surgery group, with significant differences in the proportions of patients gaining 10 letters and 15 letters. No patients lost 10 or more letters in the bevacizumab group compared with 14% of patients treated with laser surgery.²⁸⁵

Ranibizumab

Ranibizumab, an anti-VEGF A Fab fragment, (Lucentis®, Genentech, Inc., South San Francisco, CA), was evaluated in the RISE and RIDE trials.²⁷⁶ These were parallel phase 3 multicenter, double-masked, sham injection-controlled randomized studies. They used monthly intravitreal ranibizumab (0.5 or 0.3 mg) or sham injections, and macular laser surgery was available if needed. The study concluded that ranibizumab rapidly and sustainably improved vision, reduced the risk of further vision loss, and improved macular edema in patients with DME, with low rates of ocular and nonocular side effects.²⁵³ In February 2025, the FDA approved a ranibizumab implant (Suvismo®, Genentech, Inc., South San Francisco, CA) for the treatment of patients with DME who previously responded to at least 2 anti-VEGF injections.

Brolucizumab

Brolucizumab, a single-chain monoclonal anti-VEGF A antibody fragment, (Beovu®, Novartis, Basel, Switzerland) was approved in June 2022 for the treatment of DME based on the phase 3 KITE and KESTREL noninferiority studies.²⁸⁶ The brolucizumab eyes received 5 loading doses every 6 weeks and were then dosed at every 8 or 12 weeks. The aflibercept 2-mg eyes were dosed every 8 weeks after 5 monthly loading doses. These studies showed brolucizumab was noninferior to aflibercept in mean change in best corrected visual acuity from baseline at year 1. Fifty-five percent of eyes in KESTREL and 50% in KITE remained on 12-week dosing in year 1. During this time (by week 52), patients received a median of 7 brolucizumab 6-mg injections. Brolucizumab showed a better drying effect, with a lower proportion of eyes with intraretinal fluid, subretinal fluid, or both types of fluid at week 52 versus eyes treated with aflibercept (in KESTREL 60.3% in the brolucizumab 6-mg arm versus 73.3% in the aflibercept arm; in KITE 54.2% versus 72.9%, respectively; statistical significance was not tested). However, the safety profile showed higher rates of intraocular inflammation, including retinal vasculitis in brolucizumab-treated eyes compared with aflibercept. Intraocular inflammation rates in KESTREL were 3.7% for brolucizumab 6 mg (including 0.5% retinal vasculitis) versus 0.5% for aflibercept 2 mg, and equivalent (1.7%) between the brolucizumab 6-mg and aflibercept 2-mg arms with no retinal vasculitis reported in KITE.²⁸⁷ Following FDA approval, several cases of occlusive retinal vasculitis were reported after intravitreal brolucizumab injection.²⁸⁸

Faricimab-svoa

Faricimab-svoa, an anti-VEGF/anti-angiopoietin-2 bispecific monoclonal antibody, (Vabysmo®, Genentech, South San Francisco, CA) was approved in 2022 for the treatment of DME based on the phase 3 YOSEMITE and RHINE trials.²⁸⁹⁻²⁹¹ The YOSEMITE and RHINE studies compared faricimab 6 mg with aflibercept 2 mg in both treatment-naïve and previously treated (capped at 25% of the cohort) patients with DME. These studies showed that patients receiving faricimab 6 mg for DME dosed at every 8 weeks (after six monthly loading doses) or at a personalized treatment interval (PTI) (after four monthly loading doses) up to every 16 weeks demonstrated noninferior visual acuity gains to aflibercept dosed every 8 weeks (after five monthly loading

doses).²⁹⁰ The PTI was a treat-and-extend algorithm. Faricimab demonstrated durability of action in the PTI dosing arm: at 1 year, 73.8% and 71.1% achieved every 12 weeks or greater dosing, with 52.8% and 51.0% achieving every 16 weeks dosing.²⁹⁰ At year 2, 78.1% and 78.1% of faricimab-treated eyes achieved every 12 weeks or greater dosing, with 60% and 64.5% achieving every 16 weeks dosing. This was achieved with 3 injections of faricimab in the PTI arm in year 2 compared with five injections in the faricimab every 8 weeks or aflibercept every 8 weeks arms.²⁹¹ The pooled faricimab groups had greater reductions in CST compared with the aflibercept 2-mg group through year 2. In addition, there were comparable rates of more than two-step DRSS improvement among the treatment arms. Faricimab was found to have a 1.3% rate of intraocular inflammation (not counting endophthalmitis) compared with 0.6% in the aflibercept group.^{289, 292} Otherwise, there were no new safety signals seen with a comparable safety profile to aflibercept 2 mg.^{290, 291} A faricimab single-dose prefilled syringe was FDA approved in 2024.²⁸⁹

In a post hoc subgroup analysis of patients with baseline best corrected visual acuity of 20/50 or worse in YOSEMITE and RHINE, similar improvements in best corrected visual acuity were observed in all treatment groups at year 1 and year 2. Changes in mean CST were greater in both faricimab groups compared with the aflibercept 2 mg group at year 1. Mean change in CST was significantly greater in the faricimab every-8-weeks group, but not in the faricimab treat-and-extend group, at year 2 compared with aflibercept 2 mg.²⁹³

A high-dose formulation of aflibercept (8 mg) with a fourfold greater molar dose was approved for the treatment of DME in 2023. In the PHOTON phase 3 clinical trial, aflibercept 8 mg at 12-week and 16-week treatment intervals was compared with aflibercept 2 mg at an 8-week interval following 3 monthly loading doses in all 3 groups. After week 16, dosing intervals in the aflibercept 8-mg groups were shortened if indicated based on prespecified disease activity criteria. At week 48, noninferior improvement in visual acuity was achieved in both aflibercept 8-mg groups. Ninety-three percent of patients treated with aflibercept 8 mg maintained intervals of 12 weeks or greater through week 48. Similar improvements in mean change in central retinal thickness were observed in the aflibercept 8 mg every 12 weeks (-171.7 μ m), aflibercept 8 mg every 16 weeks (-148.3 μ m) and aflibercept 2-mg (-165.3 μ m) groups. The safety profile of aflibercept 8 mg was found to be comparable to that of aflibercept-2 mg.²⁹⁴

Biosimilars

Although the FDA approved the first biosimilar for the treatment of retinal disease, ranibizumab-nuna 0.5 mg, in 2021 (Byooviz™, Biogen, Inc., Cambridge, MA) based on ranibizumab 0.5 mg as the reference molecule, it is not approved for DME, which requires ranibizumab 0.3 mg.²⁹⁵ In 2022, the FDA approved ranibizumab-eqrn, both 0.3-mg and 0.5-mg dosages (Cimerli®, Cohrus Biosciences, Redwood City, CA), based on ranibizumab as the reference molecule. This biosimilar is interchangeable with ranibizumab 0.3 mg for DME and DR.²⁹⁶ There are no prospective long-term clinical data beyond 1 year comparing ranibizumab biosimilars with ranibizumab. When used, the choice of biologic product (reference, biosimilar, or interchangeable) should be that of the treating ophthalmologist and the patient because patients may respond more favorably to one biologic over another.²⁹⁷ Four aflibercept biosimilars were FDA approved for DR and DME in 2024 as of the time of this writing: aflibercept-jbyf (Yesafili®, Biocon Biologics, Ltd, Bridgewater, NJ),²⁹⁸ aflibercept-yszy (Opviz®, Samsung Bioepis, Incheon, South Korea, and Biogen, Cambridge, MA),²⁹⁹ aflibercept-mrb (Ahzantive®, Formycon AG, Martinsried/Planegg, Germany),³⁰⁰ and aflibercept-ayyh (Pavblu™, Amgen, Inc., Thousand Oaks, CA).³⁰¹ A phase 3 study of aflibercept-jbvf (MYL-1701P) found comparable efficacy and safety compared with reference aflibercept.³⁰² Appendix 6 lists the biosimilars approved by the FDA for the treatment of DR and DME as of November 2024.

Other Studies

The DRCR.net Protocol T study demonstrated that anti-VEGF therapy using either bevacizumab, ranibizumab, or aflibercept 2 mg is effective treatment for CI-DME.¹³³ The 2-year results did not reveal a statistical difference among the three drugs in serious adverse events, and all three treatments provided substantial visual acuity improvement. In eyes with visual acuity of 20/40 or better, there were no visual acuity differences between treatment regimens. However, in eyes that were 20/50 or worse, aflibercept was superior to ranibizumab and bevacizumab at year 1. At year 2, the mean visual acuity in the aflibercept group was superior only to the bevacizumab group.¹⁹⁸ The visual acuity gain and reduction in macular thickness following administration of combined intravitreal ranibizumab, with prompt or deferred laser surgery, had better outcomes than laser surgery alone after 2 years of follow-up.²³⁵ Appendix 3 summarizes the results of several studies that have demonstrated the benefit of different anti-VEGF agents for CI-DME. Based on these studies, anti-VEGF therapy is the initial treatment choice for CI-DME, with possible subsequent focal laser surgery treatment for persistent edema. The Ranibizumab for Edema of the Macula in Diabetes-2 (READ-2) study involved 126 patients randomized to either anti-VEGF therapy (in this case ranibizumab alone), focal/grid laser surgery alone, or laser surgery combined with anti-VEGF therapy (See Glossary). The group that received anti-VEGF therapy alone or with laser surgery did better than the group treated with laser surgery alone.³⁰³ The DRCR.net Protocol I also showed that anti-VEGF with either prompt or deferred laser photocoagulation surgery was better than either laser surgery alone or laser surgery combined with triamcinolone acetonide.¹³¹ (See Glossary.) Prompt laser surgery demonstrated no additional benefit. During 2 years of the RISE and RIDE trials, approximately 30% of patients were treated with focal laser surgery.²⁵³ In the DRCR.net Protocol I, 46% of patients were treated with laser surgery for persistent CI-DME prior to the 3-year visit.¹³¹ In this study, after 6 months of treatment, as-needed protocol was followed, and the number of injections decreased in years 2 and 3 while visual acuity remained stable. It is possible that focal laser surgery for persistent macular edema despite anti-VEGF treatment may reduce the number of injections. Numerous studies have shown that both ranibizumab and aflibercept have superior efficacy for DME treatment compared with conventional laser surgery.^{268, 304} (*I++*, *Good quality*, *Strong recommendation*)

With a monthly or a protocol-driven strategy such as DRCR.net studies with anti-VEGF, eyes with vision 20/32 or worse due to CI-DME gained around 2 lines of vision at 2 years compared with stabilization of vision using focal treatment alone. This was demonstrated with ranibizumab, bevacizumab, and aflibercept 2 mg. A significant portion of patients in these trials (30%–46%) underwent focal laser surgery treatment. The timing of the laser surgery—deferred or prompt—did not affect the outcome. DRCR Protocol T, a head-to-head trial comparing bevacizumab, ranibizumab, and aflibercept 2 mg, demonstrated effectiveness for all three agents with comparable safety profile in eyes with CI-DME. For eyes with visual acuity of 20/40 or better, the visual gains were similar between the three groups. In eyes with visual acuity of 20/50 or worse, mean visual acuity gains were 18.3, 13.3, and 16.1 letters for aflibercept, bevacizumab, ranibizumab, respectively, at 2 years, with a statistically significant difference only found between the aflibercept and bevacizumab groups. In the second year, the average number of injections decreased to about half the number of the first year. Over 2 years, the percentage of eyes undergoing focal laser surgery for persistent edema was 41%, 64%, and 52% for aflibercept, bevacizumab, and ranibizumab groups, respectively (all pairwise comparisons were $P < 0.05$). Two thirds of eligible study participants completed year 5. Between years 2 and 5, treatment was driven by clinician judgment (no specified treatment protocol). Compared with follow-up at 2 years, at 5 years visual acuity remained improved compared with baseline and eyes had stable OCT thickness.³⁰⁵

The DRCR protocol using ranibizumab, bevacizumab, or aflibercept 2 mg for CI-DME starts with monthly injections for 4 to 6 months initially, then allows for holding

treatment if there is no improvement in vision or central macular thickness, or if 20/20 vision and/or resolution of macular edema has been achieved. If there is worsening vision or central macular thickness on subsequent visits, injection is resumed. If consecutive visits do not require treatment, the follow up interval is doubled up to 4 months. This approach has been demonstrated to reduce the number of injections while delivering excellent visual acuity gains.¹³³

An alternative approach to reducing the injection burden is treat-and-extend, whereby the interval between visits is adjusted based on the treatment response. A prospective trial showed that this approach is comparable in visual and anatomic results at 2 years to monthly dosing and required fewer injections.³⁰⁶

The DRCR Protocol AC addressed whether visual acuity outcomes are similar when starting with bevacizumab, with aflibercept 2 mg rescue as needed, versus starting with aflibercept in eyes with baseline visual acuity 20/50 to 20/320. By 2 years, although 70% of patients in the bevacizumab arm were eventually switched to aflibercept (57% of these patients were switched between weeks 12 and 24), visual outcomes were similar in both treatment arms.³⁰⁷

Complications of Intravitreal Injections

The most serious ocular complication of intravitreal injections is infectious endophthalmitis, with rates ranging between 0.019% and 0.09% in clinical trial settings.³⁰⁸ The use of topical povidone iodine is recommended for intravitreal injections as its non-use has been reported to have an unacceptably high risk of endophthalmitis.³⁰⁹ The routine use of antibiotic eye drops is not recommended before or following intravitreal injection procedures, because it does not decrease the risk of endophthalmitis and also increases the ocular flora antibiotic resistance.^{310, 311} Other complications, such as retinal detachment, cataract formation, damage to zonules and capsules, and sustained elevated IOP are rare.³¹²⁻³¹⁵ Individuals receiving the intravitreal injections of anti-VEGF agents may be examined at 1 month following therapy. (See Table 5.)

All anti-VEGF treatments may carry theoretical risks for systemic arterial thromboembolic events (ATEs) and increased IOP, although the results of clinical trials studying these risks remain inconclusive.³¹⁶⁻³¹⁹ A 2021 systematic review and meta-analysis found that intravitreal anti-VEGF agent use did not increase major cardiovascular events.³²⁰ (*I+*, *Moderate quality*) Among patients with diabetes, a Veteran Health Affairs database analysis did not find a higher risk of systemic adverse events.³²¹ A 2023 Cochrane systematic review reported that there was no increased mortality risk with anti-VEGF treatment; however, due to low to very low certainty of evidence, a clinically relevant increase in mortality could not be ruled out.²⁷⁷ (*I+*, *Good quality*) Another systematic review with meta-analyses concluded that anti-VEGF treatment intensity had no significant influence on mortality.³²² However, there was marginal evidence that anti-VEGF treatment intensity was associated with a higher risk of mortality in the subgroup of patients with DME (incidence rate ratio 1.17; 95% CI, 1.02–1.33, $P = 0.03$).³²² (*I+*, *Moderate quality*)

An additional meta-analysis suggested there may be a modest increased risk of death and cerebrovascular events in patients receiving monthly therapy for 2 years.²⁶⁴ A 2021 systematic review and meta-analysis found that intravitreal anti-VEGF agent use did not increase major cardiovascular events, but there was an increased mortality in patients with DR.³²⁰

A pooled analysis of Studies D-1 and D-2 (RISE and RIDE studies) for ranibizumab showed that fatalities in the first 2 years occurred in 4.4% (11 of 250) of patients treated with 0.5 mg ranibizumab, in 2.8% (7 of 250) of patients treated with 0.3-mg ranibizumab, and in 1.2% (3 of 250) of control patients. Over 3 years, fatalities occurred in 6.4% (16 of 249) of patients treated with 0.5-mg ranibizumab and in 4.4% (11 of 250) of patients treated with 0.3-mg ranibizumab.²⁷⁶ Although the rate of fatal events was low and included causes of death typical of patients with advanced diabetic

complications, a potential relationship between these events and intravitreal use of VEGF inhibitors cannot be excluded.

In the pooled analysis of Studies D-1 and D-2 (RISE and RIDE), the ATE rate at 2 years was 7.2% (18 of 250) with 0.5-mg ranibizumab, 5.6% (14 of 250) with 0.3-mg ranibizumab, and 5.2% (13 of 250) with control. The stroke rate at 2 years was 3.2% (8 of 250) with 0.5-mg ranibizumab, 1.2% (3 of 250) with 0.3-mg ranibizumab, and 1.6% (4 of 250) with control. At 3 years, the ATE rate was 10.4% (26 of 249) with 0.5-mg ranibizumab and 10.8% (27 of 250) with 0.3-mg ranibizumab; the stroke rate was 4.8% (12 of 249) with 0.5-mg ranibizumab and 2.0% (5 of 250) with 0.3-mg ranibizumab.^{276, 296}

The incidence of reported ATEs in YOSEMITE and RHINE from baseline to week 100 was 5% (64 of 1,262) in patients treated with faricimab compared with 5% (32 of 625) in patients treated with aflibercept 2 mg. The incidence of reported thromboembolic events from baseline to week 100 was 6.4% (37 of 578) in the combined group of patients treated with aflibercept 8 mg compared with 4.2% (12 of 287) in the control group.²⁸⁹

The incidence of reported ATEs in PHOTON was 3.1% (15 of 491 patients) in the combined aflibercept 8-mg groups compared with 3.6% (6 of 167 patients) in the aflibercept 2-mg group through week 48.²⁸³

Real-world treatment tends to fall short of clinical trial guidelines and results in worse outcomes.³²³ A systematic review of intravitreal injection therapy found that non-adherence was associated with worse vision at baseline, worsening of vision, age, and distance from the treatment center.³²⁴ (*II-, Moderate quality*) One study reported that 25.4% of patients with PDR were lost to follow-up over 4 years' time and that rates of lost to follow-up varied with age and regional average adjusted gross income.³²⁵ Another study of PDR patients found a rate of missing appointments at 11% at 1 year and that insurance status was associated with the rate of missing appointments.³²⁶

Laser Photocoagulation Surgery

The ETDRS demonstrated that focal laser photocoagulation surgery reduces the risk of moderate vision loss in eyes with CSME.^{120, 327, 328} The DRCR.net Protocols B and I demonstrated a beneficial treatment effect of focal laser surgery treatment for CI-DME. The role of anti-VEGF in NCI-DME has not been studied, and the focal/grid laser surgery treatment option is recommended in this scenario. A modified ETDRS laser surgery treatment is currently recommended; it includes a less intense laser energy, has greater spacing than for a grid, directly targets microaneurysms, and avoids foveal vasculature within at least 500 μ m of the center of the macula.³²⁹ A Cochrane systematic review concluded that laser photocoagulation surgery reduces the chances of visual loss and increases those of partial to complete resolution of DME compared with no intervention at 1 to 3 years.³³⁰ (*I, Moderate quality, Strong recommendation*) A 2024 systematic review and meta-analysis concluded that subthreshold laser surgery is as effective as traditional laser surgery, but increased follow-up is required to observe safety effects.³³¹

Preoperatively, the ophthalmologist should discuss with the patient the side effects and risks of treatment.^{124, 203} Fluorescein angiography prior to laser surgery for CSME can be helpful for identifying leaking microaneurysms in areas of thickened retina. Fluorescein angiography is also useful for detecting capillary dropout and pathologic enlargement of the foveal avascular zone, information that may be useful when planning focal laser surgery.¹²⁴ Optical coherence tomography angiography can detect capillary dropout and an enlarged foveal avascular zone; however, it does not reveal leakage. A post-treatment evaluation should be scheduled within 3 to 4 months of laser surgery.¹²⁴ Rarely, focal laser photocoagulation surgery may induce subretinal fibrosis with choroidal neovascularization, a complication that may be associated with permanent central vision loss.³³²⁻³³⁴ Other than choroidal neovascularization, the most important factors associated with the development of subretinal fibrosis include both

more severe levels of subretinal hard exudates and elevated serum lipids prior to laser photocoagulation surgery.³³⁵

Corticosteroids for Diabetic Macular Edema

Several studies have evaluated the use of intravitreal administration of short- and long-acting corticosteroids for the treatment of DME. Topical corticosteroids and periocular corticosteroid injection demonstrated no significant benefit.³³⁶ The role of intravitreal triamcinolone acetonide was compared with focal laser photocoagulation surgery. Treatment with intravitreal triamcinolone acetonide resulted in an early decrease in retinal thickness at 4 months, yet by 24 months those patients randomized to focal/grid laser photocoagulation surgery had better mean visual acuity. Of the triamcinolone group, half of phakic eyes underwent cataract surgery within 2 years and about 30% of eyes developed elevated IOP above 10 mmHg compared with baseline.³³⁷ At 3 years, these results were largely unchanged.³³⁸ A subsequent study showed that pseudophakic eyes treated with the combination of the intravitreal triamcinolone acetonide and focal laser surgery had visual gains similar to eyes treated with anti-VEGF agents.²⁷⁰ A systematic review found low to moderate certainty evidence for no significant difference in visual acuity outcomes, a lower retinal thickness, and higher risk of IOP adverse events for intravitreal corticosteroid treatment for DME compared with anti-VEGF treatment.³³⁹ (*I+*, *Moderate quality*) A Cochrane review concluded that intravitreal corticosteroids may improve vision in patients with DME, but the effects were modest or about 1 line of vision or less.³⁴⁰ (*I-*, *Moderate quality*)

The MEAD phase 3 clinical trial evaluated the extended-release, biodegradable, 0.7-mg dexamethasone implant (Ozurdex[®], AbbVie Inc., North Chicago, IL) in treatment-naïve patients with CI-DME compared with sham. The dexamethasone implant improved visual acuity compared with sham treatment. The mean number of treatments was four to five injections over 3 years' time.³⁴¹ Cataract-related adverse events occurred in 67.9% of phakic eyes receiving the implant compared with 20.4% of eyes receiving sham treatment. Approximately one third of patients in the dexamethasone implant group experienced an increase in IOP that required treatment during the course of the study.³⁴¹

The REINFORCE study was a phase 4 study evaluating the efficacy of the dexamethasone implant as either monotherapy or combined with other treatments in patients with DME. Thirty-six percent of eyes treated with the dexamethasone implant experienced 15-letter or greater improvement in visual acuity. The mean treatment interval was 5 months. No new safety concerns were identified.³⁴²

The fluocinolone acetonide implant (Iluvien[®], Alimera Sciences, Inc., Alpharetta, GA) is approved for the treatment of DME in patients who have been previously treated with corticosteroids without a rise in intraocular pressure.³⁴³ The fluocinolone acetonide implant for DME treatment study (FAME) was a phase 3 clinical trial that revealed improved visual acuity for the sustained-release 0.19-mg fluocinolone acetonide implant relative to sham at 3 years. At 3 years, 75% of patients were treated with only one implant. Rates of cataract extraction of phakic eyes were 80.0% with an implant versus 27.3% for sham. Rates of incisional glaucoma surgery were 4.8% versus 0.5% for sham at 3 years.³⁴⁴

The PALADIN Study was a phase 4 study that evaluated the efficacy of the fluocinolone acetonide implant for DME in patients with previously treated DME. At 36 months, treated eyes showed a reduction in CST of 60.69 μm ($P < 0.0001$) and a mean best corrected visual acuity change of +3.61 letters ($P = 0.0222$) compared with baseline. A reduction in treatment burden was found. Intraocular pressure elevations to more than 30 mmHg occurred in 10.89%, and there was an incisional surgery rate of 1.49% attributable to the steroid use.³⁴⁵ An analysis of the treatment burden in PALADIN showed that the fluocinolone implant reduced the treatment burden in addition to improving functional outcomes. The number of eyes requiring laser treatment, anti-VEGF, or corticosteroid treatment decreased by 55%, 36%, and 78%,

respectively, ($P < 0.0001$) after the fluocinolone implant compared with before the fluocinolone implant.³⁴⁶

Migration of sustained release implants (dexamethasone and fluocinolone) from the posterior chamber to the anterior chamber have occurred, mostly in aphakic patients, pseudophakic patients with an open posterior capsule, and in pseudophakic patients with disruption of lens zonules.³⁴⁷⁻³⁵⁰ Endothelial decompensation leading to corneal edema can result from either chemical toxicity of the active component of the dexamethasone implant (dexamethasone, lactic acid, or glycolic acid) or from mechanical trauma of the implant on the corneal endothelium. As a result, the implant requires urgent removal or repositioning to avoid corneal decompensation and keratoplasty.³⁵¹ Corneal edema is less common with the fluocinolone implant, which does not contain chemicals toxic to the endothelium, but it can still result in mechanical trauma to the corneal endothelium and IOP elevation from the fluocinolone implant in the anterior chamber; surgical removal is needed.³⁵²

Studies of intravitreal corticosteroids for DME have evaluated their use only as first-line agents. Because of their side-effect profile, including cataract progression and elevated IOP, they are generally used as second-line agents for DME, especially for phakic patients. To date, no large randomized clinical trial has evaluated the use of intravitreal corticosteroid injection as a rescue treatment for eyes with persistent DME after anti-VEGF injection therapy. In DME eyes that have a suboptimal response or no response to anti-VEGF therapy, steroid therapy may improve visual and anatomic outcomes. A response is more likely in eyes with chronic macular edema.³⁵³

Other Treatments

The DRCR.net phase 2 randomized clinical trial evaluated the role of combination anti-VEGF treatment with the dexamethasone implant in eyes with persistent CI-DME after at least three anti-VEGF injections in the previous 20 weeks.³⁵⁴ The addition of the dexamethasone implant reduced central macular thickness, although there was no benefit for visual acuity. However, on preplanned subgroup analysis, there was a greater proportion of subjects with a 15-letter or greater improvement in vision in the combination (anti-VEGF + dexamethasone) versus the anti-VEGF-alone group (11% vs. 2%, $P = 0.03$).

A Cochrane systematic review concluded that a combination of corticosteroid with anti-VEGF did not provide additional benefit to anti-VEGF monotherapy.³⁵⁵ (*I, Moderate quality, Strong recommendation*) However, the evidence base for this conclusion was rated as low-certainty given the relative paucity of studies with long-term follow-up.³⁵⁵ Multiple studies have consistently found that corticosteroids convey a higher risk for cataract and elevated IOP compared with anti-VEGF therapy (see Table 6).^{235, 272}

When substantial vitreomacular traction is present, pars plana vitrectomy may improve visual acuity in selected patients who have diffuse DME that is unresponsive to previous macular laser photocoagulation surgery and/or anti-VEGF therapy.³⁵⁶⁻³⁵⁸ The DRCR.net Protocol D found that 38% of eyes with DME and vitreomacular traction had improved visual acuity, whereas 22% of eyes experienced visual acuity loss. However, the value of vitrectomy in DME is difficult to study in a randomized clinical trial because there are many variables that affect visual acuity.³⁵⁹ (See Appendix 3.) Because the majority of studies evaluating vitrectomy for DME preceded the use of anti-VEGF treatment, it is difficult to determine the role of vitrectomy with concomitant anti-VEGF treatment.

Some authors have suggested that micropulse laser surgery induces less damage to the macula.³⁶⁰ A meta-analysis found no difference in visual acuity with conventional laser photocoagulation surgery compared with subthreshold diode micropulse laser photocoagulation surgery.³⁶¹

A Cochrane systematic review did not find any randomized controlled clinical trials evaluating use of NSAIDs for DME.³⁶² (*III, Insufficient quality*)

Proliferative Diabetic Retinopathy

Normal or Minimal NPDR

The patient with a normal retinal examination or with rare microaneurysms should be re-examined annually,⁵⁹ because within 1 year 5% to 10% of patients without retinopathy will develop DR. Existing retinopathy will worsen by a similar percentage.^{93, 94, 116}

Mild to Moderate NPDR without Macular Edema

Patients with retinal microaneurysms and occasional blot hemorrhages or hard exudates should be re-examined within 6 to 12 months, because disease progression is common.⁹³ In the WESDR, the natural history of patients with type 1 diabetes suggests that approximately 16% of patients with mild retinopathy (hard exudates and microaneurysms only) will progress to proliferative stages within 4 years.⁹³

For patients with mild NPDR, the 4-year incidence of either CSME or macular edema that is not clinically significant is approximately 12%. For moderate NPDR, the risk increases to 23% for patients with either type 1 or 2 diabetes.¹⁷⁵ For patients with mild to moderate retinopathy undergoing anti-VEGF treatment, the clinically observed level of retinopathy may become consistent. When anti-VEGF treatment is stopped because edema is well controlled, particularly in patients with higher levels of retinopathy, closer follow-up may be necessary, as the risk of DR progression may be greater.

Severe NPDR and Non-High-Risk PDR

The DRS demonstrated that eyes with severe NPDR and non-high-risk PDR had a reduced risk of severe vision loss with PRP but suggested that a deferral of photocoagulation surgery is reasonable until high-risk characteristics develop.³⁶³

The ETDRS demonstrated that although PRP may be postponed until high-risk characteristics develop in eyes with DME, early PRP treatment could be considered, particularly for eyes with very severe NPDR and non-high-risk PDR. These eyes have a nearly 50% risk of progressing to high-risk PDR within 1 year.¹⁷⁵ Very severe NPDR is defined as an eye with two or more of the 4-2-1 characteristics summarized in Table 1. Severe NPDR and non-high-risk PDR are discussed together because ETDRS data showed that they have a similar clinical course and subsequent recommendations for treatment are similar. The ETDRS demonstrated that the risk of progression to proliferative disease was high; 45% of patients developed very severe NPDR, 46% of patients developed moderate PDR, 22% of patients developed mild PDR, and 15% of patients with severe NPDR developed PDR within 1 year.¹⁷⁵ Therefore, these patients should be re-examined within 2 to 4 months.^{175, 364} (See Table 1 for the definition of severe NPDR and very severe NPDR.)

High-Risk PDR

The presence of any three of the following four features characterizes DRS high-risk PDR.^{120, 121}

- ◆ Neovascularization (at any location)
- ◆ Neovascularization at or near the optic disc (see standard photograph 10A in Glossary)
- ◆ At least moderate neovascularization, defined as:
 - New vessels within 1 disc diameter of the optic nerve head that are larger than one-quarter to one-third disc area in size
 - New vessels elsewhere that are at least one-half disc area in size
- ◆ Vitreous or preretinal hemorrhage

The DRS showed that the risk of severe visual loss among patients with high-risk PDR is high and is reduced substantially by PRP. Most patients with high-risk PDR should

receive PRP expeditiously, because it usually induces regression of retinal neovascularization.^{120, 365}

The DRCR.net study Protocol S that examined patients with PDR primarily has demonstrated that a series of anti-VEGF injections (ranibizumab was used in this protocol) is noninferior to PRP at 2 years.¹³² The patients undergoing anti-VEGF injections were less likely to have worsening macular edema at 2 and 5 years. Peripheral vision loss as measured by automated visual field testing in the anti-VEGF group compared with the PRP group was less at year 2 but not at year 5.³⁶⁶ However, when patients with PDR undergoing anti-VEGF injections are lost to follow-up, their visual and anatomic outcomes are inferior to those who received PRP.³²⁵ Therefore, the decision to choose anti-VEGF over PRP must be made cautiously with a careful consideration of patient-related factors. Anti-VEGF treatment alone could be considered for patients with reliable follow-up.

Additional PRP and/or anti-VEGF therapy should be considered in situations involving the following:

- ◆ Failure of the neovascularization to regress
- ◆ Increasing neovascularization of the retina or iris
- ◆ New vitreous hemorrhage
- ◆ New areas of neovascularization

In cases of involutional PDR, vitreous hemorrhage may occur due to vitreous traction on involuted neovascularization. These eyes may not necessarily require additional PRP, especially in the absence of venous dilation. Pars plana vitrectomy should be considered for patients with PDR and vitreous opacities interfering with vision or treatment, severe fibrovascular proliferation, and tractional retinal detachment that is threatening or involving the macula.^{125, 367-369} The value of early pars plana vitrectomy increases with the increasing severity of neovascularization. (See Appendix 3.) The role of anti-VEGFs in these later stages of proliferative retinopathy is under investigation.

Laser Surgery

Panretinal photocoagulation surgery has been demonstrated to reduce the risk of severe vision loss in PDR and severe NPDR. The ETDRS protocol for full PRP included 1200 to 1600 spots of moderate burns of 0.1 second duration that is a one-half burn width apart and at least 2 disc diameters from the fovea out to the equator.¹⁷⁵ If laser surgery is elected, full PRP is a proven treatment approach. Partial or limited PRP treatment is not recommended.¹²⁰ Fluorescein angiography does not usually need to be performed to apply the PRP effectively. Fluorescein angiography provides information about nonperfusion, which contributes to visual field loss and can better allow the provider to counsel the patient on existing field loss versus field loss that may be perceived post laser. Therefore pre-PRP fluorescein +/- formal visual field testing can help assure patients prior to PRP that some field loss potentially existed prior to treatment.

Additional analyses of visual outcomes in ETDRS patients with severe NPDR to non-high-risk PDR suggest that the recommendation to consider PRP before the development of high-risk PDR is particularly appropriate for patients with type 2 diabetes. The risk of severe vision loss or vitrectomy was reduced by 50% (2.5% vs. 5%; $P = 0.0001$) in patients with type 2 diabetes who were treated early when compared with deferring PRP until high-risk PDR developed.³⁶⁴ For patients with type 1 diabetes, the timing of the PRP depends on the patient's compliance with follow-up and the status and response to treatment of the fellow eye. For both patients with type 1 and type 2 diabetes, impending or recent cataract surgery or pregnancy may increase the risk of progression and may influence the decision to perform PRP.

The goal of PRP is to reduce the risk of vision loss. Preoperatively, the ophthalmologist should assess for the presence of macular edema, discuss side effects of treatment and risks of visual loss with the patient, and obtain informed consent.^{327, 328} This technique has been fully described^{120, 327} and the results are summarized in Appendix 3. A post

hoc analysis found that eyes with PRP had substantial visual field loss at 1 year and additional loss over time, but there were also visual field losses in the group treated with ranibizumab alone that require further research.³⁷⁰

The results of clinical trials suggest that PRP can be performed on eyes with PDR and concomitant CSME; focal photocoagulation surgery and/or anti-VEGF therapy prior to PRP or concomitant with PRP should be performed when there is evidence that PRP may exacerbate macular edema and increase the rate of moderate visual loss (i.e., doubling of the visual angle) compared with untreated control eyes.¹⁷⁵ (See Glossary.) However, PRP should not be delayed when PDR is at the high-risk stage (i.e., if NVD is extensive or vitreous/preretinal hemorrhage has occurred recently). In such cases, anti-VEGF therapy and PRP may be performed concomitantly. For patients who have concurrent CI-DME, combined anti-VEGF therapy and PRP at the first treatment session should be considered (see Table 6). A 2023 Cochrane Systematic Review concluded that anti-VEGF agents with or without PRP produce regression of new vessels, reduce vitreous hemorrhage, and improve visual acuity, but the degree of visual acuity improvement does not appear to be clinically meaningful compared with PRP alone.³⁷¹ (*I-, Moderate quality*)

Anti-Vascular Endothelial Growth Factor Therapy

The DRCR.net Protocol S was a randomized controlled trial that compared PRP with ranibizumab in patients primarily with PDR with and without DME, and approximately 11% had mild to severe NPDR.³⁷² The patients received ranibizumab monthly for 6 months unless complete neovascular regression was obtained at 4 months, followed by treatment as needed based on a specific protocol for evaluating the presence and/or activity of retinal neovascularization.³⁷³ The study concluded that ranibizumab resulted in not more than 5 letters worse visual acuity than PRP at 2 years. The ranibizumab group had better average visual acuity, less visual field loss, fewer vitrectomies, and fewer new developments of DME-related vision loss. However, the ranibizumab group had a higher number of treatments and visits than the group receiving PRP.³⁶⁶ At 5 years, there was no difference in the visual field changes between the PRP eyes and the ranibizumab-treated eyes, suggesting that factors other than PRP contribute to visual field loss.³⁷⁰

Treatment adherence is a major concern for management of patients with PDR. In the DRCR, an analysis of lapses in follow-up of patients treated with anti-VEGF for PDR showed that about half of patients had a long lapse of follow-up despite follow-up measures built into the study. The median change from baseline in visual acuity was a loss of 2 letters for those who had 1 or more long lapses compared with a gain of 5 letters for those without a long lapse ($P = 0.02$).³⁷⁴

An additional study demonstrated that aflibercept 2 mg is similar to PRP for treatment of PDR and may have superior visual acuity outcomes in eyes without CI-DME at 1 year.³⁷⁵ A follow-up of patients from the RIDE and RISE studies found that more patients receiving ranibizumab treatment had a two-step or three-step or more improvement in DR compared with the sham crossover group at a median level of moderate NPDR.³⁷⁶ (See Glossary.) A 2021 systematic review found that ranibizumab and ranibizumab plus laser surgery had the best visual acuity improvement.³⁷⁷ (*I+, Insufficient quality*) A systematic review and meta-analysis found that anti-VEGF was more favorable than PRP because of fewer adverse events, including severe or moderate vision loss.³⁷⁸ (*I-, Moderate quality, Discretionary recommendation*) Another systematic review concluded that anti-VEGF therapy was associated with better visual outcomes than PRP alone or anti-VEGF in combination with PRP.³⁷⁹ (*I-, Moderate quality, Discretionary recommendation*)

A key clinical consideration for determining the use of anti-VEGF versus PRP is the reliability of patient follow-up. An analysis found that over a 4-year period, 22% of patients with PDR under treatment with anti-VEGF injections were lost to follow-up.³²⁵ Further studies are required to determine the long-term implications of using anti-VEGF agents alone.²³⁴ Recent reports raise into question the implications of using anti-

VEGF therapy in patients with PDR and the possible severe consequences of such a decision such as a higher rate of neovascular glaucoma.³⁸⁰ The clinical indications for use in patients with moderate or mild NPDR are unknown and also depend on other factors such as systemic blood glucose control and compliance with follow-up examinations. Clinical judgment is important for guiding therapy.

The DRCR Protocol AB study randomized patients with diabetic vitreous hemorrhages causing visual loss to either aflibercept 2 mg (monthly injections) or to vitrectomy with PRP. There was no difference in the primary outcome of visual acuity at 24 weeks. However, there was faster clearance of the vitreous hemorrhage in the vitrectomy group (4 weeks) versus the aflibercept group (36 weeks) ($P < 0.001$). Approximately one third of the eyes in each group received the alternative treatment (aflibercept or vitrectomy with PRP) since both groups could receive aflibercept or vitrectomy during follow-up based on protocol-specific criteria.³⁸¹

Following anti-VEGF injection, cases with severe PDR may develop traction or pre-existing traction may progress.³⁸² However, Protocol S showed that there was no statistically significant difference between rates of tractional retinal detachment in PRP compared with anti-VEGF.³⁶⁶

Several anti-VEGF studies have also found a significant difference in the rates of two-step and three-step improvements in severity of DR between eyes receiving anti-VEGF and control eyes. The DRCR.net has shown that in the short-term, anti-VEGF treatment lowers the risk of progression to PDR.^{383, 384} In the DRCR.net Protocol T year 1, of the 423 NPDR eyes, 44 of 141 (31.2%) treated with aflibercept, 29 of 131 (22.1%) treated with bevacizumab, and 57 of 151 (37.7%) treated with ranibizumab had improvement in DR severity. The adjusted difference for aflibercept versus bevacizumab was 11.7% ($P = 0.004$), for ranibizumab versus bevacizumab it was 8.9% ($P = 0.01$), and for aflibercept versus ranibizumab it was 2.9% ($P = 0.51$). At year 2, despite fewer injections of an anti-VEGF drug given to these eyes, 25% of the aflibercept group, 22% of the bevacizumab group, and 21% of the ranibizumab group showed DR improvement. Rates of worsening retinopathy were uniformly low for all three drugs.³⁸⁴

In the RIDE and RISE trials, approximately 11% of ranibizumab-treated eyes showed progression of DR compared with 34% of sham-treated eyes at 2 years.³⁸⁵ The percentage of eyes with worsening DR by two steps or more (Table 5) was significantly greater for the sham-treated eyes than the ranibizumab-treated eyes. Post hoc analysis of RIDE and RISE trials revealed that ranibizumab treatment improved DR severity in all subsets. The greatest improvement occurred in eyes with a baseline of moderately severe to severe NPDR.³⁸⁶

In the VIVID and VISTA trials, eyes treated with aflibercept 2 mg (every 4 or 8 weeks) for DME had a significantly higher chance of a two-step (Table 5) improvement in the DRSS score compared with eyes treated with laser. (See Glossary). In the VIVID trial, the improvement was 29.3% and 32.6%, respectively, versus 8.2% ($P < 0.0004$ for every 4 weeks and $P < 0.0001$ for every 8 weeks). In the VISTA trial, the improvement was 37.0% and 37.1%, respectively, versus 15.6% ($P < 0.0001$ for both aflibercept vs. control comparisons).³⁸⁷

The Study of the Efficacy and Safety of Intravitreal Aflibercept for the Improvement of Moderately Severe to Severe Nonproliferative DR (PANORAMA) and the DRCR Protocol W studies evaluated the efficacy of proactive therapy of NPDR. In PANORAMA, patients whose eyes had moderately severe to severe NPDR were randomized to one of three arms: aflibercept 2 mg every 16 weeks after three monthly doses plus a dose 8 weeks later (aflibercept 2q16 group), aflibercept 2 mg every 8 weeks after five monthly doses and then pro re nata dosing beginning at week 56 (aflibercept 2q8/ pro re nata group) or sham injections. Outcome measures were the proportions of eyes that had a two-step or greater improvement in DRSS level, vision-threatening complications, and CI-DME from baseline to weeks 24, 52, and 100. Greater proportions of aflibercept-treated eyes showed a two-step or greater improvement in DRSS level at 24, 52, and 100 weeks. At 24 weeks, treatment with aflibercept resulted in a two-step or greater improvement in DRSS level in 157 of 269 eyes (58.4%) in the

combined aflibercept groups versus 8 of 133 eyes (6.0%) in the control group (adjusted difference, 52.3%; $P < 0.001$). At 52 weeks, 88 of 135 eyes (65.2%) in the aflibercept 2q16 group (adjusted difference, 50.1%) and 107 of 134 eyes (79.9%) in the aflibercept 2q8/pro re nata group (adjusted difference, 64.8%;) compared with 20 of 133 eyes (15.0%) in the control group ($P < 0.001$ for both comparisons) showed a two-step or greater improvement in DRSS level. Fewer eyes treated with aflibercept compared with sham developed vision-threatening complications and CI-DME through week 100 (“22 of 135 eyes [16.3%] in the 2q16 group [adjusted difference, -34.2%;] and 25 of 134 eyes [18.7%] in the 2q8/ pro re nata group [adjusted difference, -31.7%;] compared with 67 of 133 eyes [50.4%] in the control group; $P < 0.001$ for both comparisons”).³⁸⁸ A post hoc analysis of the PANORAMA trial found that aflibercept seemed to reduce the risks of NPDR worsening, using increased areas of fluorescein leakage and retinal nonperfusion as biomarkers.³⁸⁹

The DRCR Protocol W similarly compared the efficacy of aflibercept 2 mg to sham in preventing potentially vision-threatening complications in eyes with moderate to severe NPDR. Patients were randomized to receive aflibercept 2 mg or sham at baseline for 1, 2, and 4 months and then every 4 months through 2 years. After year 2 and up to year 4, treatment was deferred if the eye had mild NPDR or better. Aflibercept was allowed in both groups if CI-DME with vision loss (≥ 10 letters at 1 visit or 5 to 9 letters at 2 consecutive visits) or high-risk PDR had developed. At 2 years, aflibercept-treated eyes had lower rates of CI-DME with vision loss or PDR (16.3%) compared with sham (43.5%); (HR = 0.32; $P < 0.001$). The probability of developing PDR was 13.5% in the aflibercept group versus 33.2% in the sham group, and probability of developing CI-DME with vision loss was 4.1% in the aflibercept group versus 14.8% in the sham group at 2 years. There was no difference in visual acuity; mean (SD) change in visual acuity from baseline to 2 years was -0.9 (5.8) letters with aflibercept and -2.0 (6.1) letters with sham (adjusted mean difference, 0.5 letters; $P = 0.47$).¹²⁹

At 4 years after the pro re nata dosing was instituted, the 4-year cumulative probability of developing PDR or CI-DME with vision loss was 33.9% for aflibercept-treated eyes versus 56.9% for sham (adjusted HR = 0.40; $P < 0.001$). Mean (SD) change in visual acuity from baseline was -2.7 (6.5) letters for aflibercept-treated eyes and -2.4 (5.8) letters for sham (adjusted mean difference, -0.5 letters; $P = 0.52$).³⁹⁰

Other Treatments

Vitrectomy surgery typically is reserved for cases with persistent disease activity despite medical management with anti-VEGF or PRP, or if disease is unamenable to medical management alone. Typical indications for vitrectomy include:

- ◆ Nonclearing vitreous hemorrhage
- ◆ Tractional retinal detachment involving or threatening the macula
- ◆ Combined rhegmatogenous and tractional retinal detachment
- ◆ Dense premacular subhyaloid hemorrhage

The DRVS demonstrated improved outcomes if vitrectomy for vitreous hemorrhage is done within 1 to 6 months of onset compared with later vitrectomy at 1 year.^{391, 392}

Vitreous hemorrhage should be followed with serial ultrasounds to evaluate for possible retinal tear, tractional retinal detachment that threatens the macula, or rhegmatogenous retinal detachment. Recent advances, including endolaser and small-gauge instruments have improved outcomes and decreased adverse events.³⁹³ One meta-analysis suggested that preoperative anti-VEGF treatment reduces the duration of surgery, the number of retinal breaks, and the amount of intra-operative bleeding.³⁹⁴ (*I+*, *Moderate quality*, *Strong recommendation*) A Cochrane systematic review suggested that preoperative or intra-operative bevacizumab may reduce the incidence of post-operative vitreous hemorrhage.^{395, 396} (*I+*, *Moderate quality*, *Strong recommendation*)

Anti-VEGF or vitrectomy are reasonable initial treatments for eyes with persistent vitreous hemorrhage alone. As summarized above, the DRCR study showed anti-VEGF

can result in similar visual and anatomic outcomes to vitrectomy although the time to improved visual acuity is much longer.

Intravitreal corticosteroids may also favorably reduce progression of DR. The FAME trial reported that the fluocinolone acetonide implant delays or reduces the rate of progression to PDR. This effect was robust and maintained up to 18 months with a reduction in the severity of the DR.³⁹⁷

Follow-Up Evaluation

The follow-up evaluation includes a history and examination.

History

A follow-up history should include changes in the following:

- ◆ Symptoms
- ◆ Systemic status (pregnancy, blood pressure, serum lipids, renal status)
- ◆ Glycemic status (HbA1c)^{79, 117, 172}
- ◆ Other treatments such as dialysis and fenofibrates

Examination

A follow-up examination should include the following elements:

- ◆ Visual acuity¹⁷⁵
- ◆ Slit-lamp biomicroscopy with iris examination³⁹⁸
- ◆ IOP measurement
- ◆ Gonioscopy (preferably before dilation when iris neovascularization is suspected or if IOP is elevated)³⁹⁸
- ◆ Stereoscopic examination of the posterior pole after dilation of the pupils¹²⁴
- ◆ OCT imaging, when appropriate
- ◆ Peripheral retina and vitreous examination, when indicated¹²³

Recommended intervals for follow-up are given in Table 5.

PROVIDER AND SETTING

Although the ophthalmologist will perform the examination and all surgery, certain aspects of examination may be performed by trained individuals under the ophthalmologist's supervision and review. The American Academy of Ophthalmology has a stated position and a policy statement on the role of the ophthalmologist in the delivery of intravitreal agents.³⁹⁹ Because of the complexities of the diagnosis and treatment for DR, the ophthalmologist caring for patients with this condition should be familiar with the specific recommendations of relevant clinical trials.^{70, 131, 173, 175, 194, 268, 285, 303, 328, 363, 400-406}

COUNSELING AND REFERRAL

The ophthalmologist should refer patients with diabetes to a primary care physician or endocrinologist for appropriate management of their systemic condition and should communicate examination results to the physician managing the patient's ongoing diabetes care.

Some patients with DR will lose substantial vision despite being treated according to the recommendations in this document.³⁶⁴ Patients whose conditions fail to respond to surgery and those for whom further treatment is unavailable should be provided with professional support and offered referral for counseling, vision rehabilitation, or social services as appropriate.⁴⁰⁷ Empathic communication and questioning by the provider is helpful to elicit patient concerns. Referrals should be considered for counseling, vocational rehabilitation and or peer support groups for patients with depression, anxiety, and loss of independence or employment.⁴⁰⁸ Vision rehabilitation improves functional ability,⁴⁰⁹ and so patients with functionally limiting visual impairment should be referred for vision rehabilitation and social services.⁴⁰⁷ More information on vision rehabilitation, including materials for patients, is available at www.aao.org/low-vision-and-vision-rehab.

SOCIOECONOMIC CONSIDERATIONS

In the era before anti-VEGF treatment, a 1989 analysis of medical and economic effects of DR control predicted that over their lifetime, 72% of patients with type 1 diabetes would eventually develop PDR requiring PRP and that 42% would develop macular edema.⁴¹⁰ If treatments are delivered as recommended in the clinical trials, the model predicted a cost of \$966 per person-year of vision saved for patients with PDR and \$1,120 per person-year of central visual acuity saved for patients with macular edema. These costs are less than the cost of a year of Social Security disability payments for patients disabled by vision loss. Therefore, treatment yields a substantial savings compared with the direct cost to society of untreated PDR in a type 1 diabetic patient.⁴¹¹ The indirect costs in lost productivity and human suffering are even greater.

A 1996 analysis estimated that screening and treatment of eye disease in patients with diabetes costs, on average, \$3,190 per quality-adjusted life year (QALY) saved.⁴¹² For patients with type 1 diabetes, it costs \$1,996 per QALY saved; for patients with type 2 diabetes who use insulin, it costs \$2,933 per QALY saved; and for patients with type 2 diabetes who do not use insulin, it costs \$3,530 per QALY saved. Insofar as patients with type 2 diabetes not using insulin represent the largest subset of the patient population, most of the economic benefits of screening and treatment are realized among these patients.

A 2013 analysis of various interventions for DME evaluated the cost-effectiveness of anti-VEGF therapies for clinically significant diabetic macular edema. Compared with laser alone, the incremental cost-effectiveness of laser surgery plus bevacizumab is \$11,138 per QALY and thus seems to confer the greatest value among the various treatment options for clinically significant diabetic macular edema.⁴¹³ By comparison, the cost-utility of laser photocoagulation surgery for DME is \$3,101 per QALY,⁴¹⁴ whereas laser photocoagulation surgery for extrafoveal choroidal neovascularization is \$23,640 per QALY.^{415 228} A 1999 cost-utility analysis of detection and treatment of DR in patients with type 1 and type 2 diabetes demonstrated that provision of recommended ophthalmic care would reduce the prevalence of blindness by 52% and that the direct costs of care would be less than the losses in productivity and the costs of facilities provided for disability.⁴¹⁶ An analysis of the 5-year cost-effectiveness of anti-VEGF versus PRP concluded that anti-VEGF may be cost-effective for PDR in the presence of CI-DME but not without CI-DME. The incremental cost-effectiveness ratio of the ranibizumab group compared with PRP for patients without CI-DME at baseline was \$582,268 per QALY at 5 years and \$742,202/QALY at 10 years versus \$65,576/QALY at 5 years and \$63,930/QALY at 10 years if CI-DME was present.⁴¹⁷

Artificial intelligence screening cost-effectiveness has been analyzed. In a pediatric population, “point-of-care DR screening using autonomous AI systems is effective and cost saving for children with diabetes and their care-givers” if the adherence rate is at least 23%.⁴¹⁸

Disparities in diabetic screening have also been found in retrospective longitudinal studies of patients with type 2 diabetes. Using a nationwide claims database from 2007 to 2015, patients were tracked for 1 year before to 5 years after the index diabetes diagnosis. The study found that only 40% of patients with diabetes underwent screening examinations. Medicare Advantage patients received fewer examinations at 5 years (OR, 0.79; $P < 0.01$) than those with private insurance but were less likely to develop DR (OR, 0.71; $P < 0.01$). Hispanic patients had higher rates of DR (OR 1.60, $P < 0.01$) but received fewer eye examinations (OR, 0.75; $P < 0.01$) at 5 years compared with White patients. Men also received fewer eye examinations (OR, 0.84; $P < 0.01$) and were more likely to develop DR at 5 years (OR, 1.17; $P < 0.01$) than women. Patients with higher education were more likely to receive an eye examination and less likely to develop DR.⁴¹⁹ Social determinants of health are likely to mediate many of the observed racial and ethnic disparities.

APPENDIX 1. QUALITY OF OPHTHALMIC CARE CORE CRITERIA

*Providing quality care
is the physician's foremost ethical obligation, and is
the basis of public trust in physicians.
AMA Board of Trustees, 1986*

Quality ophthalmic care is provided in a manner and with the skill that is consistent with the best interests of the patient. The discussion that follows characterizes the core elements of such care.

The ophthalmologist is first and foremost a physician. As such, the ophthalmologist demonstrates compassion and concern for the individual and utilizes the science and art of medicine to help alleviate patient fear and suffering. The ophthalmologist strives to develop and maintain clinical skills at the highest feasible level, consistent with the needs of patients, through training and continuing education. The ophthalmologist evaluates those skills and medical knowledge in relation to the needs of the patient and responds accordingly. The ophthalmologist also ensures that needy patients receive necessary care directly or through referral to appropriate persons and facilities that will provide such care, and he or she supports activities that promote health and prevent disease and disability.

The ophthalmologist recognizes that disease places patients in a disadvantaged, dependent state. The ophthalmologist respects the dignity and integrity of his or her patients, and does not exploit their vulnerability.

Quality ophthalmic care has the following optimal attributes, among others.

- ◆ The essence of quality care is a meaningful partnership relationship between patient and physician. The ophthalmologist strives to communicate effectively with his or her patients, listening carefully to their needs and concerns. In turn, the ophthalmologist educates his or her patients about the nature and prognosis of their condition and about proper and appropriate therapeutic modalities. This is to ensure their meaningful participation (appropriate to their unique physical, intellectual, and emotional state) in decisions affecting their management and care, to improve their motivation and compliance with the agreed plan of treatment, and to help alleviate their fears and concerns.
- ◆ The ophthalmologist uses his or her best judgment in choosing and timing appropriate diagnostic and therapeutic modalities as well as the frequency of evaluation and follow-up, with due regard to the urgency and nature of the patient's condition and unique needs and desires.
- ◆ The ophthalmologist carries out only those procedures for which he or she is adequately trained, experienced, and competent, or, when necessary, is assisted by someone who is, depending on the urgency of the problem and availability and accessibility of alternative providers.
- ◆ Patients are assured access to, and continuity of, needed and appropriate ophthalmic care, which can be described as follows.
 - ◆ The ophthalmologist treats patients with due regard to timeliness, appropriateness, and his or her own ability to provide such care.
 - ◆ The operating ophthalmologist makes adequate provision for appropriate pre- and postoperative patient care.
 - ◆ When the ophthalmologist is unavailable for his or her patient, he or she provides appropriate alternate ophthalmic care, with adequate mechanisms for informing patients of the existence of such care and procedures for obtaining it.
 - ◆ The ophthalmologist refers patients to other ophthalmologists and eye care providers based on the timeliness and appropriateness of such referral, the patient's needs, the competence and qualifications of the person to whom the referral is made, and access and availability.

- ◆ The ophthalmologist seeks appropriate consultation with due regard to the nature of the ocular or other medical or surgical problem. Consultants are suggested for their skill, competence, and accessibility. They receive as complete and accurate an accounting of the problem as necessary to provide efficient and effective advice or intervention, and in turn they respond in an adequate and timely manner. The ophthalmologist maintains complete and accurate medical records.
- ◆ On appropriate request, the ophthalmologist provides a full and accurate rendering of the patient's records in his or her possession.
- ◆ The ophthalmologist reviews the results of consultations and laboratory tests in a timely and effective manner and takes appropriate actions.
- ◆ The ophthalmologist and those who assist in providing care identify themselves and their profession.
- ◆ For patients whose conditions fail to respond to treatment and for whom further treatment is unavailable, the ophthalmologist provides proper professional support, counseling, rehabilitative and social services, and referral as appropriate and accessible.
- ◆ Prior to therapeutic or invasive diagnostic procedures, the ophthalmologist becomes appropriately conversant with the patient's condition by collecting pertinent historical information and performing relevant preoperative examinations. Additionally, he or she enables the patient to reach a fully informed decision by providing an accurate and truthful explanation of the diagnosis; the nature, purpose, risks, benefits, and probability of success of the proposed treatment and of alternative treatment; and the risks and benefits of no treatment.
- ◆ The ophthalmologist adopts new technology (e.g., drugs, devices, surgical techniques) in judicious fashion, appropriate to the cost and potential benefit relative to existing alternatives and to its demonstrated safety and efficacy.
- ◆ The ophthalmologist enhances the quality of care he or she provides by periodically reviewing and assessing his or her personal performance in relation to established standards, and by revising or altering his or her practices and techniques appropriately.
- ◆ The ophthalmologist improves ophthalmic care by communicating to colleagues, through appropriate professional channels, knowledge gained through clinical research and practice. This includes alerting colleagues of instances of unusual or unexpected rates of complications and problems related to new drugs, devices, or procedures.
- ◆ The ophthalmologist provides care in suitably staffed and equipped facilities adequate to deal with potential ocular and systemic complications requiring immediate attention.
- ◆ The ophthalmologist also provides ophthalmic care in a manner that is cost effective without unacceptably compromising accepted standards of quality.

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APPENDIX 2. INTERNATIONAL STATISTICAL CLASSIFICATION OF DISEASES AND RELATED HEALTH PROBLEMS (ICD) CODES

Diabetic retinopathy, which includes entities with the following ICD-9 and ICD-10 classifications (see Glossary):

	ICD-9 CM	ICD-10 CM
DR:		
Background	362.01	<ul style="list-style-type: none"> E10.311- Type 1 with macular edema E10.319- Type 1 without macular edema E11.311- Type 2 with macular edema E11.319- Type 2 without macular edema E13.311- other specified types of diabetes mellitus with unspecified DR with macular edema E13.319- other specified types of diabetes mellitus with unspecified DR without macular edema
Proliferative	362.02	<ul style="list-style-type: none"> E10.351- Type 1 with macular edema E10.359- Type 1 without macular edema E11.351- Type 2 with macular edema E11.359- Type 2 without macular edema E13.351- other specified diabetes mellitus with proliferative DR with macular edema E13.359- other specified diabetes mellitus with proliferative DR without macular edema
Nonproliferative, NOS	362.03	<ul style="list-style-type: none"> E10.321- Type 1 with macular edema
Nonproliferative, mild	362.04	<ul style="list-style-type: none"> E10.329- Type 1 without macular edema E11.321- Type 2 with macular edema E11.329- Type 2 without macular edema E13.321- other specified types of diabetes mellitus with mild nonproliferative DR with macular edema E13.329- other specified types of diabetes mellitus with mild nonproliferative DR without macular edema
Nonproliferative, moderate	362.05	<ul style="list-style-type: none"> E10.331- Type 1 with macular edema E10.339- Type 1 without macular edema E11.331- Type 2 with macular edema E11.339- Type 2 without macular edema E13.331- other specified types of diabetes mellitus with moderate nonproliferative DR with macular edema E13.339- other specified types of diabetes mellitus with moderate nonproliferative DR without macular edema

	ICD-9 CM	ICD-10 CM
DR (continued):		
Nonproliferative, severe	362.06	<ul style="list-style-type: none"> E10.341- Type 1 with macular edema E10.349- Type 1 without macular edema E11.341- Type 2 with macular edema E11.349- Type 2 without macular edema E13.341- other specified types of diabetes mellitus with severe nonproliferative DR with macular edema E13.349- other specified types of diabetes mellitus with severe nonproliferative DR without macular edema
Diabetic macular edema	362.07	<ul style="list-style-type: none"> E10.321 Type 1 mild nonproliferative DR E10.331 Type 1 moderate nonproliferative DR E10.341 Type 1 severe nonproliferative DR E10.351 Type 1 proliferative DR E11.321 Type 2 mild nonproliferative DR E11.331 Type 2 moderate nonproliferative DR E11.341 Type 2 severe nonproliferative DR E11.351 Type 2 proliferative DR E13.321 other specified diabetes mellitus with mild nonproliferative DR E13.331 other specified diabetes mellitus with moderate nonproliferative DR

ICD = International Classification of Diseases; CM = Clinical Modification used in the United States; NOS = not otherwise specified

Additional information:

- Certain ICD-10 CM categories have applicable 6th characters. In the DR series, indicate “with or without” macular edema. Laterality indicators are not required in this series.
 - 1 = with macular edema
 - 9 = without macular edema
- For bilateral sites, the final character of the codes in the ICD-10 CM indicates laterality. If no bilateral code is provided and the condition is bilateral, separate codes for both the left and right side should be assigned. Unspecified codes should be used only when there is no other code option available.

APPENDIX 3. MAJOR STUDY RESULTS

DIABETIC RETINOPATHY STUDY (1972–1979)

The Diabetic Retinopathy Study (DRS) was designed to investigate the value of laser photocoagulation surgery for patients with severe nonproliferative DR (NPDR) and proliferative DR (PDR).¹²⁰ The results are shown in Table A4-1.

TABLE A3-1 VISUAL OUTCOME FOR LASER PHOTOCOAGULATION FROM THE DIABETIC RETINOPATHY STUDY

Baseline Severity of Retinopathy	Duration of Follow-up (Years)	Control Patients (% with Severe Visual Loss)	Treated Patients (% with Severe Visual Loss)
Severe nonproliferative	2	3	3
	4	13	4
Mild proliferative	2	7	3
	4	21	7
High-risk proliferative	2	26	11
	4	44	20

NOTE: Severe visual loss was defined as worse than 5/200 visual acuity at two or more consecutive completed visits (scheduled at 4-month intervals).

WISCONSIN EPIDEMIOLOGIC STUDY OF DIABETIC RETINOPATHY (1979)

The Wisconsin Epidemiologic Study of Diabetic Retinopathy (WESDR) began in 1979. It was initially funded by the National Eye Institute, which is part of the National Institutes of Health. The purpose of the WESDR was to describe the frequency and incidence of complications associated with diabetes (eye complications such as DR and visual loss, kidney complications such as diabetic nephropathy, and amputations), and to identify risk factors (such as poor glycemic control, smoking, and high blood pressure) that may contribute to the development of these complications.¹²⁶

EARLY TREATMENT DIABETIC RETINOPATHY STUDY (1985–1990)

The Early Treatment Diabetic Retinopathy Study (ETDRS) investigated the value of photocoagulation surgery for patients with NPDR or PDR without high-risk characteristics.^{124, 175} The results for eyes with macular edema are shown in Table A3-2. Visual loss was defined as at least doubling of the visual angle (e.g., 20/20 to 20/40, or 20/50 to 20/100).

TABLE A3-2 VISUAL OUTCOME FOR LASER PHOTOCOAGULATION TREATMENT FROM THE EARLY TREATMENT DR STUDY

Extent of Macular Edema	Duration of Follow-up (Years)	Control Patients (% with Visual Loss)	Treated Patients (% with Visual Loss)
CSME (center of macula not involved)	1	8	1
	2	16	6
	3	22	13
CSME (center of macula involved)	1	13	8
	2	24	9
	3	33	14

CSME = clinically significant macular edema.

NOTE: Visual loss was defined as at least doubling of the visual angle.

Results of Early Scatter Laser Treatment in ETDRS

In eyes with NPDR or non-high-risk PDR, early PRP was compared with deferral of photocoagulation, and although there was a beneficial treatment effect, the outlook for maintaining vision was good in both groups. The 5-year rates of severe visual loss or vitrectomy ranged from 2% to 6% in eyes assigned to early photocoagulation and from 4% to 10% in eyes assigned to deferral. Early PRP was associated with side effects (small decreases in visual acuity and visual field) in some eyes, and the ETDRS concluded that deferral of photocoagulation was preferable at least until retinopathy was approaching the high-risk stage. Eyes approaching that stage had a 50% risk of reaching it within 12 to 18 months. Eyes in this category had very severe NPDR or non-high-risk PDR characterized by NVD less than one-quarter to one-third disc area and/or NVE, without vitreous or preretinal hemorrhage.

Additional analyses of visual outcome in ETDRS patients with severe NPDR to non-high-risk PDR suggest that the recommendation to consider PRP before the development of high-risk PDR is particularly appropriate for patients with type 2 diabetes.³⁶⁴ The risk of severe vision loss or vitrectomy was reduced by 50% in patients who were treated early compared with those who deferred treatment until high-risk PDR developed.

For patients with type 1 diabetes, the timing of the PRP will depend on the compliance with follow-up, status and response to treatment of the fellow eye, impending cataract surgery, and/or pregnancy status.

DIABETIC RETINOPATHY VITRECTOMY STUDY (1983–1987)

The Diabetic Retinopathy Vitrectomy Study (DRVS) investigated the role of vitrectomy in managing eyes with very severe PDR.^{125, 367-369} The benefit of early vitrectomy for severe vitreous hemorrhage (defined as hemorrhage obscuring the macula or major retinal vessels for 3 disc diameters from the macular center) was seen in patients with type 1 diabetes, but no such advantage was found in patients with type 2 diabetes, who did not benefit from earlier surgery. Early vitrectomy was beneficial among patients with visual acuity of 5/200 or worse and severe vitreous hemorrhage with reduced vision for at least 1 month and without previous treatment or complications such as retinal detachment or neovascularization of the iris. Overall, at 2 years after surgery, 25% of the early vitrectomy group and 15% of the deferral group had visual acuity of 20/40 or better. The advantage was most pronounced in patients with type 1 diabetes (36% vs. 12% for early vitrectomy vs. deferral of vitrectomy, respectively) and was not statistically significant for patients with type 2 diabetes.

The DRVS showed that early vitrectomy was beneficial for patients with visual acuity of 20/400 or better plus one of the following: (1) severe neovascularization and fibrous proliferation; (2) fibrous proliferation and moderate vitreous hemorrhage; or (3) moderate neovascularization, severe fibrous proliferation, and moderate vitreous hemorrhage. Among such patients, 44% with early vitrectomy and 28% in the observation group had visual acuity of 20/40 or better at 4 years of follow-up.

The results of the DRVS should be interpreted in light of subsequent advances in vitreoretinal surgery, such as the introduction of small-gauge vitrectomy technology, endoscopic and indirect ophthalmoscopic laser photocoagulation surgery, and advanced instrumentation. The use of long-acting intraocular gases such as sulfur hexafluoride (SF₆) and perfluoropropane (C₃F₈), the use of viscodissection, and the use of heavier-than-water liquids such as perfluoro-octane are advances in vitreoretinal surgery that developed after the DRVS. Thus, the results may actually be better than those reported in the DRVS.^{257, 420} Early vitrectomy should be considered for selected patients with type 2 diabetes, particularly those in whom severe vitreous hemorrhage prohibits laser therapy photocoagulation of active neovascularization.

FENOFIBRATE INTERVENTION AND EVENT LOWERING IN DIABETES (FIELD) STUDY (2005)

The FIELD study was a randomized controlled trial that evaluated long-term fenofibrate therapy for the reduction of cardiovascular events in 9795 patients with type 2 diabetes mellitus. Fenofibrate did not significantly reduce the risk of the primary outcome of coronary events. It did reduce total cardiovascular events, mainly due to fewer nonfatal myocardial infarctions and revascularizations.

The higher rate of starting statin therapy in patients allocated to receive placebo might have masked a moderately larger treatment benefit.¹²⁷

DIABETIC RETINOPATHY CLINICAL RESEARCH NETWORK (DRCR.NET) (2002–PRESENT)

The Diabetic Retinopathy Clinical Research Network (DRCR.net) is a collaborative network dedicated to facilitating multicenter clinical research of DR, diabetic macular edema (DME), and associated conditions. The DRCR.net supports the identification, design, and implementation of multicenter clinical research initiatives focused on diabetes-induced retinal disorders. Principal emphasis is placed on clinical trials, but epidemiologic outcomes and other research may be supported as well.

The DRCR.net was formed in 2002 and currently includes over 115 participating sites (offices) with over 400 physicians throughout the United States. The DRCR.net is funded by the National Eye Institute, which is a part of the National Institutes of Health, the branch of government that funds medical research.

The DRCR.net has completed multiple clinical trials evaluating the role of anti-VEGF, laser treatment, and corticosteroids in DME, anti-VEGF efficacy in PDR and vitreous hemorrhage, and even diabetes education effectiveness on DME (see Table A3-3). Most importantly, DRCR.net Protocol T (Comparative Effectiveness Study of Intravitreal Aflibercept, Bevacizumab, and Ranibizumab for Diabetic Macular Edema) compared the effectiveness of ranibizumab, aflibercept, and bevacizumab in the treatment of DME.¹³³ This study found that all three drugs resulted in improvement in visual acuity at 1 year with similar safety profiles. However, the mean visual acuity using aflibercept was better for eyes with visual acuity of 20/50 or worse at 1 year. At 2 years, the mean visual acuity in the aflibercept was no longer superior to ranibizumab, although it remained superior to bevacizumab.

TABLE A3-3 DIABETIC RETINOPATHY CLINICAL RESEARCH NETWORK STUDIES

Protocol	Study Name	End Date	Study Conclusions
A	A Pilot Study of Laser Photocoagulation for Diabetic Macular Edema	01/31/2009	In eyes with DME, an MMG photocoagulation technique was less effective at reducing OCT-measured retinal thickening over 12 months than the standard focal photocoagulation technique modified from the ETDRS.
B	A Randomized Control Trial Comparing Intravitreal Triamcinolone Acetonide and Laser Photocoagulation for Diabetic Macular Edema	10/03/2008	Over 2 years, focal/grid photocoagulation for center-involved DME was more effective and had fewer adverse effects than 1-mg or 4-mg doses of preservative-free intravitreal triamcinolone.
C	Temporal Variation in Optical Coherence Tomography Measurements of Retinal Thickening in Diabetic Macular Edema	05/20/2005	Although retinal thickening decreases slightly over the day on average, most eyes with DME have little meaningful change in OCT, CST, or visual acuity between the hours of 8 AM and 4 PM. A change in CST over 11% is likely to be real.
D	Evaluation of Vitrectomy for Diabetic Macular Edema Study	02/26/2009	Vitrectomy reduces retinal thickening in most eyes with DME and vitreomacular traction. Although visual acuity outcomes improved by 10 or more letters in 38% of eyes, 22% lost 10 or more letters after vitrectomy

TABLE A3-3 DIABETIC RETINOPATHY CLINICAL RESEARCH NETWORK STUDIES

Protocol	Study Name	End Date	Study Conclusions
E	A Pilot Study of Peribulbar Triamcinolone Acetonide for Diabetic Macular Edema	11/01/2007	In cases of DME where the patient has good visual acuity, peribulbar triamcinolone, with or without focal photocoagulation, is unlikely to be of substantial benefit.
F	An Observational Study of the Development of Diabetic Macular Edema Following Scatter Laser Photocoagulation	01/31/2008	Clinically meaningful differences in OCT thickness or visual acuity are not substantially different when PRP is applied in one sitting compared with four sittings.
G	Subclinical Diabetic Macular Edema Study	04/22/2009	Approximately one quarter to one half of eyes diagnosed with subclinical DME will progress to more definite thickening or be judged to need treatment for DME within 2 years. Because CST is greater in men than in women, studies involving comparisons of retinal thickness to expected norms should consider different mean values for men and women.
H	A Phase 2 Evaluation of Anti-VEGF Therapy for Diabetic Macular Edema: Bevacizumab (Avastin)	02/29/2008	Intravitreal bevacizumab can reduce DME in some eyes, but this preliminary study was not designed to definitively determine whether the treatment was beneficial.
I	Intravitreal Ranibizumab or Triamcinolone Acetonide in Combination with Laser Photocoagulation for Diabetic Macular Edema	12/31/2013	At 2 years, intravitreal ranibizumab with prompt or deferred (≥ 24 weeks) focal/grid laser photocoagulation is more effective in increasing visual acuity compared with focal/grid laser treatment alone or intravitreal triamcinolone with laser photocoagulation for the treatment of center-involved DME. Focal/grid laser treatment at the initiation of intravitreal ranibizumab is no better, and possibly worse, for vision outcomes than deferring laser treatment for 24 weeks or more in eyes with center-involved DME with vision impairment.
J	Intravitreal Ranibizumab or Triamcinolone Acetonide as Adjunctive Treatment to Panretinal Photocoagulation for Proliferative DR	07/07/2010	The addition of one intravitreal triamcinolone injection or two intravitreal ranibizumab injections in eyes receiving focal/grid laser photocoagulation for DME and PRP is associated with better visual acuity outcomes and decreased macular edema by 14 weeks.
K	The Course of Response to Focal Photocoagulation for Diabetic Macular Edema	06/19/2008	Eyes that demonstrate a definite reduction in, but not complete resolution of, central DME at 16 weeks after focal/grid laser photocoagulation have a 23% to 63% likelihood of continuing to improve without additional treatment.

TABLE A3-3 DIABETIC RETINOPATHY CLINICAL RESEARCH NETWORK STUDIES

Protocol	Study Name	End Date	Study Conclusions
L	Evaluation of Visual Acuity Measurements in Eyes with Diabetic Macular Edema	11/06/2010	Across nationwide sites using a variety of autorefractors, visual acuity tended to be worse and more variable with autorefraction than manual refraction, suggesting that autorefraction is not a good substitute for manual refraction for clinical trials with improved visual acuity outcomes as a primary endpoint.
M	Effect of Diabetes Education During Retinal Ophthalmology Visits on Diabetes Control	12/31/2014	Use of a personalized intervention at ophthalmology visits, including HbA1c measurement and counseling about the importance of glycemic control in reducing diabetic complications was not effective in improving HbA1c levels.
N	An Evaluation of Intravitreal Ranibizumab for Vitreous Hemorrhage Due to Proliferative DR	12/21/2012	Intravitreal ranibizumab versus saline did result in significantly different rates of vitrectomy by 16 weeks in eyes with vitreous hemorrhage from PDR. However, ranibizumab treatment resulted in improved short-term secondary outcomes including visual acuity improvement, increased PRP completion rates, and reductions in recurrent vitreous hemorrhage.
O	Comparison of Time-Domain OCT and Spectral-Domain OCT Retinal Thickness Measurement in Diabetic Macular Edema	01/31/2013	This study of eyes with no to minimal nonproliferative DR developed conversion equations to transform CST values obtained on a spectral-domain OCT to a time-domain OCT scale for group comparisons. In addition, values were established for machine and gender-specific thresholds to determine DME presence in diabetic eyes.
P	A Pilot Study in Individuals with Center-Involvement DME Undergoing Cataract Surgery	11/12/2010	This small, observational study of eyes with DME undergoing cataract surgery revealed only a small percentage of eyes experienced substantial visual acuity loss or definitive worsening of DME after surgery.
Q	An Observational Study in Individuals with DR without Center-Involvement DME Undergoing Cataract Surgery	05/19/2011	A history of DME treatment and presence of non-center-involved DME are risk factors for development of center-involved DME after cataract surgery in eyes with DR and no center-involved DME prior to surgery.
R	A Phase II Evaluation of Topical NSAIDs in Eyes with Non Central Involved DME	12/18/2013	At 1-year follow-up in eyes with non-center-involved DME, this study did not identify a difference between the effect of topical nepafenac 0.1% and placebo drops on OCT parameters or visual acuity.

TABLE A3-3 DIABETIC RETINOPATHY CLINICAL RESEARCH NETWORK STUDIES

Protocol	Study Name	End Date	Study Conclusions
S	Prompt Panretinal Photocoagulation versus Intravitreal Ranibizumab with Deferred Panretinal Photocoagulation for Proliferative DR	02/05/2018	Ranibizumab injections are an effective alternative to PRP in treating PDR. At 2 years, visual acuity outcomes were noninferior to ranibizumab, whereas average visual acuity over the 2-year period was better and there was less peripheral field loss, reduced rates of DME onset, and fewer eyes that underwent vitrectomy.
T	A Comparative Effectiveness Study of Intravitreal Aflibercept, Bevacizumab and Ranibizumab for Diabetic Macular Edema	10/18/2018	The 2-year clinical trial compared three drugs used to treat DME and found that gains in vision were greater for participants receiving the drug aflibercept than for those receiving bevacizumab, but only among participants starting treatment with 20/50 or worse visual acuity. At 1 year, aflibercept had superior gains to ranibizumab in this vision subgroup; however, a difference could not be identified at 2 years. The three drugs yielded similar gains in vision for patients with 20/32 or 20/40 visual acuity at the start of treatment.
TX	A Comparative Effectiveness Study of Intravitreal Aflibercept, Bevacizumab and Ranibizumab for Diabetic Macular Edema - Follow-up Extension Study	04/18/2019	After Protocol T ended, patients received standard care. Sixty-eight percent of patients received at least one anti-VEGF injection. Visual acuity remained improved from baseline but worsened in years 2 to 5. No changes in CST were seen in years 2 to 5.
U	Short-term Evaluation of Combination Corticosteroid + Anti-VEGF Treatment for Persistent Central-Involvement Diabetic Macular Edema Following Anti-VEGF Therapy	06/01/2017	In eyes with persistent DME and visual impairment despite previous anti-VEGF therapy, the dexamethasone + ranibizumab group experienced greater reduction of DME but no greater improvement in vision than sham + ranibizumab group over 6 months.
V	Effect of Initial Management With Aflibercept vs Laser Photocoagulation vs Observation on Vision Loss Among Patients With Diabetic Macular Edema Involving the Center of the Macula and Good Visual Acuity: A Randomized Clinical Trial	09/11/2018	No significant difference in 1 or more lines of visual acuity loss at 2 years between eyes initially managed with aflibercept or eyes initially managed with laser photocoagulation or observation and given aflibercept only if there was worsening of visual acuity. But most eyes in the observation group did not receive aflibercept and had a median visual acuity of 20/20.
W	Effect of Intravitreal Anti-Vascular Endothelial Growth Factor vs Sham Treatment for Prevention of Vision-Threatening Complications of DR: The Protocol W Randomized Clinical Trial	01/04/2022	At 2 years, the proportion of eyes progressing from moderate to severe NPDR to PDR or CI-DME was lower with aflibercept treatment than with sham treatment. At 4 years, treatment with aflibercept did not improve visual acuity in eyes before developing PDR or CI-DME compared with eyes receiving aflibercept after PDR or CI-DME developed but did reduce the probability of developing PDR or CI-DME.

TABLE A3-3 DIABETIC RETINOPATHY CLINICAL RESEARCH NETWORK STUDIES

Protocol	Study Name	End Date	Study Conclusions
AA	Comparison of Early Treatment DR Study Standard 7-Field Imaging With Ultrawide-Field Imaging for Determining Severity of DR	--	Increased retinal nonperfusion was associated with worse DR severity and predominantly peripheral lesions on ultrawide field fluorescein angiography. Use of ultrawide field fluorescein angiography could improve prediction of worsening DR severity in eyes with NPDR and complement color fundus photography. At 4 years, greater baseline nonperfusion of the retina on ultrawide field fluorescein angiography was associated with higher risk of worsening Diabetic Retinopathy Severity Score.
AB	Intravitreal Anti-VEGF vs. Prompt Vitrectomy for Vitreous Hemorrhage from Proliferative Diabetic Retinopathy	01/09/2020	There was no difference in the primary outcome of visual acuity at 24 weeks between intravitreal aflibercept and vitrectomy with PRP. However, there was faster clearance of the vitreous hemorrhage in the vitrectomy group (4 weeks) versus the aflibercept group (36 weeks).
AC	Aflibercept Monotherapy versus Bevacizumab First Followed by Aflibercept If Needed for Treatment of Center-Involving Diabetic Macular Edema.	--	At 2 years, there was no significant difference between the visual acuity outcomes of eyes treated with bevacizumab first and then switching to aflibercept as needed versus eyes treated only with aflibercept. Risk factors for switching to aflibercept at any time were older age at baseline and, after 12 weeks, greater central subfield thickness.
AE	A Pilot Study Evaluating Photobiomodulation Therapy for Diabetic Macular Edema	11/13/2020	Photobiomodulation was not found to be effective in the treatment of DME in eyes with good vision. It was safe and well tolerated.

CI-DME = center-involving diabetic macular edema; CST = central subfield thickness; DME = diabetic macular edema; DR = diabetic retinopathy; ETDRS = Early Treatment DR Study; HbA_{1c} = hemoglobin A_{1c}; MMG = modified macular grid; OCT = optical coherence tomography; PDR = proliferative DR; PRP = panretinal photocoagulation.

Data from DR Clinical Research Network (DRCR.net) website; www.drcr.net. Accessed September 13, 2024. (Adapted with permission from the American Academy of Ophthalmology Basic and Clinical Science Course Subcommittee. Basic and Clinical Science Course. Retina and Vitreous: Section 12, 2023-2024. San Francisco, CA: American Academy of Ophthalmology; 2023).

Another important treatment comparison was done in Protocol I: Intravitreal Ranibizumab for Diabetic Macular Edema with Prompt vs. Deferred Laser Treatment. Three-year results were reported in 2012. The study utilized ranibizumab monthly until improvement no longer occurred (with resumption if the condition worsened) and random assignment to focal/grid laser surgery treatment promptly or deferred (≥ 24 weeks). The 3-year results suggest that focal/grid laser surgery treatment at the initiation of intravitreal ranibizumab is no better, and possibly worse for vision outcomes, than deferring laser surgery treatment for 24 weeks or more in eyes with DME involving the fovea and with vision impairment.¹³¹

A previous publication from Protocol I results confirmed the 1-year results that intravitreal ranibizumab with prompt or deferred laser surgery was more effective through 2 years compared with prompt laser surgery alone for the treatment of DME involving the central macula. Laser surgery was not associated with endophthalmitis, the rare but potentially devastating complication of injecting ranibizumab. In pseudophakic eyes, results with intravitreal triamcinolone plus prompt laser surgery

appeared similar to results in the ranibizumab arms and were more effective than laser surgery alone, but the triamcinolone plus prompt laser surgery arm had an increased risk of IOP elevation.²³⁵

Most recently, the DRCR.net Protocol S evaluated the effects of anti-VEGF versus PRP.⁴²¹ In a randomized, multicenter, noninferiority trial, 394 eyes of 305 adults with PDR were randomized to receive either PRP or anti-VEGF therapy. Ranibizumab 0.5 mg was given at baseline and as frequently as every 4 weeks based on a structured retreatment design. Eyes in both groups were allowed ranibizumab if DME was present. In eyes with PDR, ranibizumab was not inferior to PRP in terms of visual acuity outcomes at 2 years. Mean visual acuity improvement was +2.8 letters for ranibizumab and +0.2 letters for PRP-treated eyes ($P < 0.001$). When the totality of the visual acuity data was included (area under the curve analysis), eyes given ranibizumab had overall better visual acuity outcomes than eyes treated with PRP. There was less mean reduction in peripheral visual field (-23 dB vs. -422 dB; $P < 0.001$) with ranibizumab than with PRP treatment. The rates for vitrectomy were more frequent (15% vs. 4%; $P < 0.001$), and DME development was more frequent (28% vs. 9%; $P < 0.001$) in the PRP group than in the ranibizumab group. Moreover, rates of active neovascularization or rates of regression of neovascularization were similar between the two groups.

STUDY OF RANIBIZUMAB INJECTION IN SUBJECTS WITH CLINICALLY SIGNIFICANT DIABETIC MACULAR EDEMA WITH CENTER INVOLVEMENT SECONDARY TO DIABETES MELLITUS (RISE AND RIDE)

The RISE and RIDE trials were parallel phase 3, multicenter, double-masked, sham, injection-controlled randomized studies conducted at private and university-based retina specialty clinics in the United States and South America. (See Glossary.)

The phase 3 results for both studies were published in 2012. The studies utilized monthly intravitreal ranibizumab (0.5 or 0.3 mg) or sham injections, with macular laser available if needed. The study concluded that ranibizumab rapidly and sustainably improved vision, reduced the risk of further vision loss, and improved macular edema in patients with DME, with low rates of ocular and nonocular side effects.²⁵³

RANIBIZUMAB FOR EDEMA OF THE MACULA IN DIABETES (READ-2)

READ-2 was a phase 2 multicenter, randomized, controlled trial that compared 0.5 mg injections of ranibizumab versus focal laser surgery over 2 years in patients with type 1 or type 2 diabetes mellitus and DME. Patients randomized to one arm of the trial received ranibizumab at baseline, and at 1, 3, and 5 months after baseline; a second arm received laser surgery at baseline and at 3 months (if needed); the third arm received both ranibizumab and laser surgery at baseline and 3 months. From month 5, all subjects received ranibizumab every 2 months and/or maintenance laser surgery every 3 months.

At 24 months, differences between the groups were not statistically significant, and all groups experienced improved visual acuity. Patients receiving combined ranibizumab and laser surgery required fewer injections than patients receiving ranibizumab alone.³⁰³

BEVACIZUMAB OR LASER THERAPY (BOLT) STUDY

The phase 2 BOLT study was a 2-year randomized controlled trial that compared intravitreal 1.25 mg bevacizumab injections and focal laser surgery in patients with persistent DME and visual impairment. Bevacizumab patients received an injection every 6 weeks, whereas laser surgery patients were treated every 4 weeks.

At 2 years, visual acuity results were substantially better in the bevacizumab group compared with the laser surgery group, with significant differences in the proportions of patients gaining 10 letters and 15 letters. No patients lost 10 or more letters in the bevacizumab group, compared with 14% of patients treated with laser surgery.²⁸⁵

DIABETIC MACULAR EDEMA AND VASCULAR ENDOTHELIAL GROWTH FACTOR TRAP-EYE: VIVID AND VISTA

These studies compared the efficacy and safety of intravitreal aflibercept 2-mg injection (IAI) with macular laser photocoagulation surgery for DME. Visual improvements were observed in the IAI treatment regimens over laser control at 52, 100, and 148 weeks. Incidence of adverse events was consistent with the known safety profile of IAI.²⁸⁴

DIABETIC RETINOPATHY CLINICAL RESEARCH NETWORK PROTOCOL T

The DRCR.net compared the efficacy and safety of bevacizumab, ranibizumab, and aflibercept in a multicentered, randomized clinical trial (Protocol T).¹⁹⁵ At the primary endpoint at 1 year, the mean change in vision was greater for aflibercept than for either of the other two drugs. However, the mean visual acuity changes were dependent on the baseline visual acuity. For eyes with milder visual acuity loss, the drugs resulted in similar visual outcomes (8.0 with aflibercept, 7.5 with bevacizumab, and 8.3 with ranibizumab; $P > 0.50$ for each pairwise comparison). However, for eyes with 20/50 or worse vision, the mean visual acuity in eyes treated with aflibercept had greater improvements in vision (18.9 with aflibercept, 11.8 with bevacizumab, and 14.2 with ranibizumab; $P < 0.001$ for aflibercept vs. bevacizumab, $P = 0.003$ for aflibercept vs. ranibizumab, and $P = 0.21$ for ranibizumab vs. bevacizumab). There were no significant differences in rates of adverse events. However, at 2 years, the mean visual acuity results were similar for ranibizumab and aflibercept, although aflibercept results remained significantly better than bevacizumab results. There was a slightly higher rate of Antiplatelet Trialists' Collaboration events with ranibizumab compared with the other two drugs at the 2-year endpoint. All three drugs improved visual acuity at 2 years, and the number of injections decreased in year 2 compared with year 1.

YOSEMITE AND RHINE

YOSEMITE and RHINE were randomized, double-masked trials that evaluated faricimab for the indication of DME. Adults were randomly assigned to either faricimab 6.0 mg every 8 weeks, faricimab 6.0 mg per personalized treatment intervals (PTI) or aflibercept 2.0 mg every 8 weeks up to 100 weeks.²⁹⁰ At 2 years, the results showed that anatomic improvements and noninferior vision gains were achieved with dosing of faricimab of up to every 16 weeks. The incidence of ocular adverse events was similar across the three groups.²⁹¹

PANAROMA

PANAROMA was a double-masked, randomized clinical trial of patients with DRSS level 47 or 53 without any DME and with a best corrected visual acuity of 20/40 or better. Patients were randomized to either aflibercept 2 mg every 16 weeks after three monthly doses and one 8-week dose, aflibercept 2 mg every 8 weeks after five monthly doses and pro re nata dosing at week 56, or sham injections for the control group. At 100 weeks, eyes with moderately severe to severe NPDR treated with aflibercept showed a two-step or greater improvement in DRSS level than sham eyes and had a lower rate of center-involved DME or vision-threatening complications.³⁸⁸

FAME AND PALADIN

FAME was a phase 3 clinical trial for the sustained release 0.19 mg intravitreal fluocinolone acetonide implant in patients with DME. Improved visual acuity relative to sham was observed at 3 years for patients who received the implant. Greater rates of cataract extraction and incisional glaucoma surgery were observed in the implant group relative to sham.³⁴⁴

PALADIN was a nonrandomized prospective study of patients with DME receiving the fluocinolone acetonide implant. At 3 years, eyes had a mean change of $-60.69 \mu\text{m}$ in CST, and a change of best corrected visual acuity of $+3.61$ letters. And for those eyes retaining the implant at 36 months, about one quarter remained rescue free and the mean IOP appeared to be stable.³⁴⁵

MEAD

The MEAD phase 3 clinical trial evaluated the 0.7-mg dexamethasone implant in patients with CI-DME compared with sham. The dexamethasone implant improved visual acuity compared with sham

treatment at 3 years. Greater rates of cataract and increase in IOP requiring treatment were observed in patients treated with the dexamethasone implant.³⁴¹

PHOTON

PHOTON was a randomized controlled trial that evaluated aflibercept for the indication of DME. Patients were assigned randomly to aflibercept 2 mg every 8 weeks, aflibercept 8 mg every 12 weeks, or aflibercept 8 mg every 16 weeks, after an initial monthly dosing interval. The results indicated that aflibercept 8 mg was safe and efficacious with the extended dosing interval, every 12 weeks, and every 16 weeks, with noninferior best corrected visual acuity gains, and similar frequency of ocular adverse events when compared with aflibercept 2 mg every 8 weeks.²⁹⁴

APPENDIX 4. GLYCEMIC CONTROL

The Diabetes Control and Complications Trial (DCCT) was a multicenter, randomized controlled trial designed to study the connection between glycemic control and retinal, renal, and neurologic complications of type 1 diabetes mellitus. Published results from this trial demonstrated that improved blood sugar control can delay the onset and slow the progression of DR, nephropathy, and neuropathy in type 1 patients.¹¹⁶ The DCCT showed a strong exponential relationship between the risk of DR and the mean HbA1c level. For each 10% decrease in the HbA1c (e.g., from 9% to 8.1%), there was a 39% decrease in the risk of progression of retinopathy over the range of HbA1c values. There was no glycemic threshold when the risk of retinopathy was eliminated above the nondiabetic range of HbA1c (4% to 6.05%).

After 6.5 years of follow-up, the DCCT ended, and all patients were encouraged to pursue strict control of blood sugar. Most of these patients are being followed in the Epidemiology of Diabetes Interventions and Complications (EDIC) study, which includes 95% of the DCCT subjects. A total of 1294 to 1335 patients have been examined annually in the EDIC study. Further progression of DR during the first 4 years of the EDIC study was 66% to 77% less in the former intensive treatment group than in the former conventional treatment group.⁶⁸ The benefit persisted even at 7 years. This benefit included an effect on severe DR, including severe nonproliferative DR (NPDR), proliferative DR (PDR), CSME, and the need for focal/grid or panretinal laser photocoagulation surgery.⁷⁰ The decrease in HbA1c from 9% to approximately 8% did not drastically reduce the progression of DR in the former conventional treatment group, nor did the increase in HbA1c from approximately 7% to approximately 8% drastically accelerate DR in the former intensive treatment group.⁶⁸ Thus, it takes time for improvements in control to negate the long-lasting effects of prior prolonged hyperglycemia, and once the biological effects of prolonged improved control are manifest, the benefits are long-lasting. Furthermore, the total glycemic exposure of the patient (i.e., degree and duration) determines the degree of retinopathy observed at any one time.

A positive relationship between the 4-year incidence and progression of retinopathy and glycosylated hemoglobin remains after controlling for other risk factors, such as duration of diabetes and severity of retinopathy at a baseline examination.^{93, 94, 172} Extrapolation of pathologic and clinical experience strongly suggests that poor levels of control contribute to microangiopathy, including retinopathy.⁴²² The development of PDR parallels an increased risk of nephropathy, myocardial infarction, and/or cerebral vascular accidents.

Although good glycemic control is advised, there is some evidence that rapid improvement of long-standing poor control may increase the risk of retinopathy progression over the first year for some patients. About 10% of patients with type 1 diabetes who had initial retinopathy at the beginning of the DCCT had increased retinopathy progression.⁴²³ Specifically, there may be a transient increase in the number of cotton wool spots seen on retinal examination. Frequent ophthalmologic monitoring is important when diabetic patients are being brought under better metabolic control.⁴²³

In the DCCT there was a threefold increase in severe hypoglycemic events and excess weight gain among patients using intensive treatment regimens. Increased risk of hypoglycemia is a consequence of strict blood glucose control. Irregular food intake, failure to check blood glucose before planned or unplanned vigorous exercise or before operating a motor vehicle, and excess alcohol are risk factors for hypoglycemia. Diabetes mellitus education and regular reinforcement should be provided by diabetes nurses and dietitian educators and may help minimize the risk of hypoglycemia. Increasing use of semaglutide (Ozempic®, Novo Nordisk, Inc Plainsboro, NJ), a recently approved glucagon-like peptide-1 receptor agonist used to treat patients with type 2 diabetes mellitus, can result in rapid glycemic control and severe hypoglycemia and has been reported to cause significantly higher rates of retinopathy complications in patients.⁴²⁴

The United Kingdom Prospective Diabetes Study (UKPDS),^{71, 167} a randomized controlled clinical trial of blood glucose control, enrolled 3867 patients with newly diagnosed type 2 diabetes. Intensive blood glucose control by either the sulfonylureas or insulin decreased the risk of microvascular complications but not the risk of macrovascular disease. There were no adverse effects of the individual drugs on the cardiovascular outcome. In this study, there was a 29% reduction in the need for retinal photocoagulation in the group that had intensive glucose therapy compared with those that had conventional treatment (relative risk, 0.71; 95% CI, 0.53–0.96; $P = 0.003$).

The ACCORD (Action to Control Cardiovascular Risk in Diabetes) study was a large clinical trial of adults with established type 2 diabetes who are at especially high risk of cardiovascular disease (CVD).¹²⁸ Type 2

diabetes increases the risk of a number of complications, especially CVD, which is the leading cause of early death in people with diabetes.

The ACCORD study consisted primarily of three clinical trials that tested treatment approaches to determine the best ways to decrease the high rate of major CVD events—heart attack, stroke, or death from CVD—among people with type 2 diabetes who are at especially high risk of having such a CVD event. These three treatment approaches were intensive lowering of blood sugar levels compared with a more standard blood sugar treatment; intensive lowering of blood pressure compared with standard blood pressure treatment; and treatment of multiple blood lipids with two drugs—a fibrate plus a statin—compared with one drug, a statin alone.⁴²⁵

The study began enrolling participants in 2001 and took place in 77 clinical sites across the United States and Canada. A total of 10,251 adults with established type 2 diabetes participated in ACCORD. At enrollment, study participants were between age 40 and 79 (average age 62), had diabetes for an average of 10 years, and were at especially high risk for CVD events because they already had pre-existing CVD, evidence of subclinical CVD, or at least two CVD risk factors in addition to type 2 diabetes. The other CVD risk factors could be high low-density lipoprotein cholesterol, high blood pressure, smoking, or obesity.

The primary outcome measure for all three trials was the first occurrence after randomization of a major CVD event, specifically nonfatal heart attack, nonfatal stroke, or CVD death. Secondary outcomes include total mortality (death), microvascular outcomes (e.g., eye, kidney, and nerve complications), health-related quality of life, and cost-effectiveness.

All three ACCORD clinical trials have ended. The National Heart, Lung, and Blood Institute (NHLBI) stopped the intensive blood sugar lowering strategy in 2008 due to safety concerns. Participants in the intensive blood sugar treatment strategy group were transitioned to the standard treatment strategy. The blood pressure and lipid treatment trials continued until the planned end of the study in 2009. In its regular review of the available study data, the ACCORD Data and Safety Monitoring Board (DSMB) noticed an unexpected increase in total deaths from any cause among participants who had been randomly (by chance) assigned to the intensive lowering of blood sugar levels group compared with those assigned to the standard blood sugar treatment group. The data analyses showed that over an average of 3.5 years of treatment (ranging from about 2 years to about 7 years), 257 participants in the intensive group died compared with 203 in the standard group—a difference of 54 deaths, or an excess of about three deaths per 1,000 participants treated for a year. This translates to a statistically significant 22% higher rate of death in the intensive group than in the standard group.

There was a trend toward lower (by 10%) rate of primary outcome events, primarily nonfatal heart attacks, in the intensive group compared with the standard treatment group. However, the DSMB recommended discontinuing intensive blood sugar treatment because the harm of the intensive strategy outweighed the potential benefit. The NHLBI accepted the DSMB's recommendation and decided to transition all participants to the standard blood sugar strategy.

The results of the blood sugar trial were published in 2008.⁴²⁶ There was no significant difference in the primary study outcome between the intensive and standard blood pressure treatment groups. The primary outcome was the time to first occurrence after randomization of a heart attack, a stroke, or a cardiovascular death. Thus, the primary hypothesis of the ACCORD blood pressure trial was not supported. There was, however, a significant reduction in the rate of strokes, although the numbers were relatively small. This reduction in stroke was consistent with previous blood pressure lowering trials. Overall, however, the findings from the ACCORD blood pressure trial suggest that, on average, the standard treatment for lowering blood pressure was just as good as the intensive lowering treatment for cardiovascular outcomes.

The results of the lipid⁴²⁷ and the blood pressure⁴²⁸ trials were published in 2010. Overall, the fibrate and the placebo groups did not differ in the rates of the combined outcome of heart attacks, strokes, or cardiovascular death. The results, however, suggest that men may benefit from this treatment, but there was a trend toward more cardiovascular problems in women receiving the combination therapy compared with those who received statins only. Also, the group of patients who at the start of the trial had the lowest level of high-density lipoprotein (HDL) cholesterol combined with the highest level of triglycerides (which represented only 17% of the ACCORD participants) may have benefitted from this combined drug treatment.

More recently, the American College of Physicians published their glycemic control guidance statement to guide clinicians in selecting targets for pharmacologic treatment of type 2 diabetes based on the AGREE II (Appraisal of Guidelines for Research and Evaluation II) instrument, which was used to evaluate the guidelines.⁴²⁹ The National Guideline Clearinghouse and the Guidelines International Network library were

searched (May 2017) for national guidelines published in English that addressed HbA1c targets for treating type 2 diabetes in nonpregnant outpatient adults. The investigators also identified guidelines from the National Institute for Health and Care Excellence and the Institute for Clinical Systems Improvement. In addition, four commonly used guidelines were reviewed from the American Association of Clinical Endocrinologists and the American College of Endocrinology, the American Diabetes Association, the Scottish Intercollegiate Guidelines Network, and the U.S. Department of Veterans Affairs and Department of Defense. They found that the ideal target that optimally balances benefits and harms remains uncertain. Their four guidance statements emphasize the importance of personalizing the glycemic goals in patients with type 2 diabetes on the basis of the benefits/harms balance of pharmacotherapy, patient preference, and life expectancy. They suggest an HbA1c goal range of 7% to 8% for most patients. Thus, more stringent targets may be appropriate for patients who have a long life expectancy (> 15 years). Further, most of the guidelines noted that a target in the lower end of the range (7%) applied best to patients with newly diagnosed diabetes and those without substantial diabetes-related complications.

APPENDIX 5. CLASSIFICATION OF DIABETIC RETINOPATHY IN THE EARLY TREATMENT OF DIABETIC RETINOPATHY STUDY

The Early Treatment Diabetic Retinopathy Study (ETDRS) classification of diabetic retinopathy and definitions of macular edema are described in Table A5-1.

TABLE A5-1 CLASSIFICATION OF DIABETIC RETINOPATHY IN THE EARLY TREATMENT OF DIABETIC RETINOPATHY STUDY

Disease Severity Level	Findings Observable upon Dilated Ophthalmoscopy
Mild nonproliferative retinopathy	At least 1 microaneurysm, and definition not met for moderate nonproliferative retinopathy, severe nonproliferative retinopathy, early proliferative retinopathy, or high-risk proliferative retinopathy (see below)
Moderate nonproliferative retinopathy	Hemorrhages and/or microaneurysms \geq standard photograph 2A*; and/or soft exudates, venous beading, or intraretinal microvascular abnormalities definitely present; and definition not met for severe nonproliferative retinopathy, early proliferative retinopathy, or high-risk proliferative retinopathy (see below)
Severe nonproliferative retinopathy	Cotton wool spots, venous beading, and intraretinal microvascular abnormalities all definitely present in at least two of fields 4 through 7; or 2 of the preceding 3 lesions present in at least two of fields 4 through 7 and hemorrhages and microaneurysms present in these 4 fields, \geq standard photo 2A in at least one of them; or intraretinal microvascular abnormalities present in each of fields 4 through 7 and \geq standard photograph 8A in at least two of them; and definition not met for early proliferative retinopathy or high-risk proliferative retinopathy (see below)
Early proliferative retinopathy (i.e., proliferative retinopathy without DR Study high-risk characteristics) (see Glossary)	New vessels; definition not met for high-risk proliferative retinopathy (see below)
High-risk proliferative retinopathy (i.e., proliferative retinopathy with DR Study high-risk characteristics) (see Glossary)	New vessels on or within 1 disc diameter of the optic disc \geq standard photograph 10A* (about one-quarter to one-third disc area), with or without vitreous or preretinal hemorrhage; or vitreous and/or preretinal hemorrhage accompanied by new vessels, either new vessels at the optic disc $<$ standard photograph 10A or new vessels elsewhere \geq one-quarter disc area

Adapted with permission from the Early Treatment DR Study Research Group. Early Treatment DR Study design and baseline patient characteristics: ETDRS report number 7. Ophthalmology 1991;98:742.

* Early Treatment DR Study Research Group. Grading DR from stereoscopic color fundus photographs--an extension of the modified Airlie House classification: ETDRS report number 10. Ophthalmology 1991;98:786-806

APPENDIX 6. ANTI-VEGF AND CORTICOSTEROID AGENTS FOR PATIENTS WITH DIABETIC RETINOPATHY AND DIABETIC MACULAR

The anti-VEGF and corticosteroid agents used in the treatment of diabetic retinopathy and diabetic macular edema are listed in Table A6-1.

TABLE A6-1 ANTI-VEGF AND CORTICOSTEROID AGENTS FOR PATIENTS WITH DIABETIC RETINOPATHY AND DIABETIC MACULAR EDEMA

Generic	Brand Name	Company
Aflibercept intravitreal injection 2.0 mg	EYLEA®	Regeneron
Aflibercept intravitreal injection 8.0 mg	EYLEA® HD	Regeneron
Aflibercept-jbvf intravitreal injection 2.0 mg (biosimilar)	Yesafili™	Biocon Biologics
Aflibercept-yszy intravitreal injection 2.0 mg (biosimilar)	Opuviz™	Samsung Bioepis and Biogen MA, Inc.
Aflibercept-mrbb intravitreal injection 2.0 mg (biosimilar)	Ahzantive®	Formycon AG
Aflibercept-ayyh intravitreal injection 2.0 mg (biosimilar)	Pavblu™	Amgen, Inc.
Bevacizumab intravitreal injection 1.25 mg (off-label)	Avastin®	Genentech
Brolucizumab intravitreal injection 6.0 mg	Beovu®	Novartis
Dexamethasone intravitreal implant 0.7 mg	Ozurdex®	AbbVie
Faricimab-svoa intravitreal injection 6.0 mg	VABYSMO®	Genentech
Fluocinolone acetonide intravitreal implant 0.19 mg	ILUVIEN®	Alimera Sciences, Inc.
Ranibizumab intravitreal injection 0.3 mg	LUCENTIS®	Genentech
Ranibizumab implant	Suvismo®	Genentech
Ranibizumab-eqrn intravitreal injection 0.3 mg (biosimilar)	Cimerli™	Coherus Biosciences
Triamcinolone acetonide intravitreal injection 4.0 mg (off-label)	Triescence®	Harrow

GLOSSARY

ACCORD trial: A large multicenter clinical trial that evaluated intensive control of blood sugar, intensive control of blood pressure, and statin therapy (with or without fibrate treatment) for the prevention of cardiovascular disease events among high-risk patients with type 2 diabetes. (See Appendix 4.)

Anti-VEGF (Anti-vascular endothelial growth factor): Substances that inhibit the action of vascular endothelial growth factor protein.

BOLT study: A randomized trial that evaluated intravitreal bevacizumab or conventional laser treatment for center-involved DME.

CSME (Clinically significant macular edema): Retinal thickening at or within 500 μ m of the center of the macula; and/or hard exudates at or within 500 μ m of the center of the macula, if associated with thickening of the adjacent retina; and/or a zone or zones of retinal thickening 1 disc area in size, any part of which is within 1 disc diameter of the center of the macula.

DCCT: A multicenter, randomized, controlled trial designed to study the connection between glycemic control and retinal, renal, and neurologic complications of type 1 diabetes mellitus. (See Appendix 4.)

Diabetes mellitus: According to the American Diabetes Association Expert Committee on the Diagnosis and Classification of Diabetes Mellitus, the criteria for the diagnosis of diabetes mellitus are as follows.

- ◆ Fasting plasma glucose equal to or exceeding 126 mg/dL (7.0 mmol/L). Fasting is defined as no caloric intake for at least 8 hours.
- or
- ◆ Symptoms of hyperglycemia and a casual plasma glucose concentration equal to or exceeding 200 mg/dL (11.1 mmol/L). “Casual” is defined as any time of day without regard to time since last meal. The classic symptoms of hyperglycemia include polyuria, polydipsia, and unexplained weight loss.
- or
- ◆ A plasma glucose measurement at 2 hours postload equal to or exceeding 200 mg/dL (11.1 mmol/L) during an oral glucose tolerance test. The test should be performed as described by the World Health Organization, using a glucose load containing the equivalent of 75 g anhydrous glucose dissolved in water. However, the expert committee has recommended against oral glucose tolerance testing for routine clinical use. (Source: Report of the Expert Committee on the Diagnosis and Classification of Diabetes Mellitus. Diabetes Care 2008;31 (suppl):55-60.)

DME (Diabetic macular edema): The accumulation of fluid in the macula due to leaky blood vessels.

DRCR: A multicenter trial that is evaluating different treatment modalities for DR. (See Appendix 3.)

DRS: A study designed to investigate the value of xenon arc and argon photocoagulation surgery for patients with severe NPDR and PDR. (See Appendix 3.)

DRVS: A study that investigated the role of vitrectomy in managing eyes with very severe PDR. (See Appendix 3.)

DA VINCI study: A randomized trial of the use of aflibercept for DME.

Early proliferative diabetic retinopathy (i.e., proliferative retinopathy without DRS high-risk characteristics): New vessels that do not meet the criteria of high-risk proliferative retinopathy.

EDIC study: An observational study following 95% of the DCCT subjects. (See Appendix 4.)

ETDRS (Early Treatment Diabetic Retinopathy Study): A study that investigated the value of photocoagulation surgery for patients with NPDR or PDR who did not have high-risk characteristics. (See Appendix 3.)

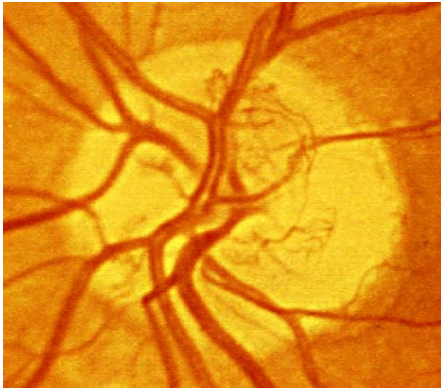
FAME study: A phase 3 clinical trial for the sustained release 0.19-mg intravitreal fluocinolone acetonide implant in patients with DME. (See Appendix 3.)

FIELD study: A large randomized controlled type 2 diabetes mellitus. (See Appendix 3.)

Focal photocoagulation: A laser technique directed to abnormal blood vessels with specific areas of focal leakage (i.e., microaneurysms) to reduce chronic fluid leakage in patients with macular edema.

Grid photocoagulation: A laser technique in which a grid pattern of scatter burns is applied in areas of diffuse macular edema and nonperfusion. Typically, fluorescein angiograms of these areas show a diffuse pattern rather than focal leakage.

High-risk proliferative diabetic retinopathy (PDR): New vessels on or within 1 disc diameter of the optic disc equaling or exceeding standard photograph 10A (about one-quarter to one-third disc area), with or without vitreous or preretinal hemorrhage; or vitreous and/or preretinal hemorrhage accompanied by new vessels either on the optic disc less than standard photograph 10A or new vessels elsewhere equaling or exceeding one-quarter disc area.



Standard photograph 10A defines the lower border of moderate NVD. NVD covers approximately one-third the area of the standard disc. This extent of NVD alone would constitute PDR with high-risk characteristics.

Reprinted with permission from the Early Treatment DR Study Research Group. Grading DR from stereoscopic color fundus photographs--an extension of the modified Airlie House classification: ETDRS report number 10. Ophthalmology 1991;98:786-806.

ICD-9: International Statistical Classification of Diseases and Related Health Problems, Ninth Edition.

ICD-10: International Statistical Classification of Diseases and Related Health Problems, Tenth Edition.

IRMA (Intraretinal microvascular abnormalities): Tortuous intraretinal vascular segments, varying in caliber from barely visible to 31 μ m in diameter (one quarter the width of a major vein at the disc margin); they occasionally can be larger. Intraretinal microvascular abnormalities may be difficult to distinguish from neovascularization.

KESTREL: Study of Efficacy and Safety of Brolucizumab vs. Aflibercept in Patients with Visual Impairment due to Diabetic Macular Edema.

KITE: A Study of the Efficacy and Safety of Brolucizumab vs. Aflibercept in Patients with Visual Impairment due to Diabetic Macular Edema.

Macular edema: Thickening of the retina within 1 or 2 disc diameters of the center of the macula. (See CSME.) Any other thickening of the macula not within this area is non-CSME.

MEAD: Phase 3 study of the safety and efficacy of the dexamethasone implant for patients with diabetic macular edema.

Mild nonproliferative diabetic retinopathy (NPDR): At least 1 microaneurysm and less than moderate nonproliferative DR.

Moderate nonproliferative diabetic retinopathy (NPDR): Hemorrhages and/or microaneurysms greater than standard photograph 2A, and/or soft exudates, venous beading, or IRMA present but less than severe nonproliferative retinopathy.

Moderate visual loss: The loss of 15 or more letters on the ETDRS visual acuity chart, or doubling of the visual angle (e.g., 20/20 to 20/40, or 20/50 to 20/100).

NVD (New vessels at the optic disc): New vessels at the optic disc; neovascularization on or within 1 disc diameter of the optic disc.

New vessels elsewhere in the retina: New vessels elsewhere in the retina; neovascularization elsewhere in the retina and greater than 1 disc diameter from the optic disc margin.

New vessels on the iris: New vessels on the iris; neovascularization of the iris.

NPDR (Nonproliferative diabetic retinopathy): The phases of DR with no evidence of retinal neovascularization.

OCT (Optical coherence tomography): A diagnostic test using low energy lasers that takes a cross-section image of the retina, Used mostly to determine if there are membranes on the surface of the macula or fluid within or beneath it.

PALADIN: Phase 4 IOP Signals Associated with ILUVIEN®.

PHOTON: Prospective randomized controlled trial evaluating aflibercept 8 mg for patients with DME.

PANORAMA: Study of the Efficacy and Safety of Intravitreal (IVT) Aflibercept for the Improvement of Moderately Severe to Severe Nonproliferative Diabetic Retinopathy (NPDR).

PRP (Panretinal photocoagulation): A type of laser surgery used for patients with PDR. The surgery is delivered in a scatter pattern throughout the peripheral fundus and is intended to lead to a regression of neovascularization.

PDR (Proliferative diabetic retinopathy): Advanced disease characterized by NVD and/or new vessels elsewhere in the retina.

QALY (Quality-adjusted life year): A measure of health outcome that assigns to each year of a patient's life a weight (ranging from 0 to 1) corresponding to the health-related quality of life during that year, such that a value of 1 indicates a year of optimal health and a value of 0 indicates a year in a health state judged equivalent to death.

READ-2 study: A prospective multicenter randomized controlled trial that compared 0.5 mg ranibizumab and laser photocoagulation surgery for the treatment of DME.

REINFORCE: Phase 4 study evaluating the efficacy of the dexamethasone implant as either monotherapy or combined with other treatments in patients with DME.

Retinal hard exudate: Protein and lipid accumulation within the retina.

RHINE: A Study to Evaluate the Efficiency and Safety of Faricimab (RO6867461) in Participants With Diabetic Macular Edema.

RIDE: A study of ranibizumab injection in subjects with CSME with center-involvement secondary to diabetes mellitus.

RISE: A study of ranibizumab injection in subjects with clinically significant macular edema with center-involvement secondary to diabetes mellitus.

Scatter photocoagulation: See PRP.

Severe nonproliferative diabetic retinopathy (NPDR): Using the 4-2-1 rule, the presence of at least one of the following features: (1) severe intraretinal hemorrhages and microaneurysms, equaling or exceeding standard photograph 2A, present in 4 quadrants; (2) venous beading in 2 or more quadrants (standard photograph 6A); or (3) moderate IRMA equaling or exceeding standard photograph 8A in 1 or more quadrants.



Standard photograph 2A, the standard for hemorrhages/microaneurysms. Eyes with severe NPDR have this degree of severity of hemorrhages and microaneurysms in all 4 midperipheral quadrants.



Standard photograph 6A, less severe of two standards for venous beading. Two main branches of the superior temporal vein show beading that is definite but not severe.



Standard photograph 8A, the standard for moderate IRMA. Patients with severe NPDR have moderate IRMA of at least this severity in at least 1 quadrant.

Reprinted with permission from the Early Treatment Diabetic Retinopathy Study Research Group. Grading DR from stereoscopic color fundus photographs--an extension of the modified Airlie House classification: ETDRS report number 10. Ophthalmology 1991;98:786-806.

Severe visual loss: Occurrence of visual acuity worse than 5/200 at any two consecutive visits scheduled at 4-month intervals.

SUSTAIN: Efficacy and Safety of Semaglutide Once-weekly Versus Placebo in Drug-naïve Subjects with Type 2 Diabetes.

UKPDS: A randomized controlled clinical trial of blood glucose control in patients with newly diagnosed type 2 diabetes. (See Appendix 4.)

VIVID: A randomized, double masked, active controlled, phase 3 study of the efficacy and safety of repeated doses of intravitreal VEGF Trap-Eye in subjects with DME.

VISTA: A randomized, double masked, active controlled, phase 3 study of the efficacy and safety of intravitreal administration of VEGF Trap-Eye in patients with DME.

WESDR: A large epidemiologic study of complications associated with diabetes and of risk factors associated with those complications. (See Appendix 3.)

YOSEMITE: A Study to Evaluate the Efficacy and Safety of Faricimab (RO6867461) in Participants with Diabetic Macular Edema.

LITERATURE SEARCHES FOR THIS PPP

Literature searches of the PubMed database were conducted on March 6, 2023; the search strategies are listed below. Specific limited update searches were conducted on January 23, 2024 and August 6, 2024. The searches had added filters for human, English-language randomized controlled trials and systematic reviews and date limiters to capture literature published since June 1, 2019. The Retina/Vitreous PPP Committee analyzed 4,112 studies of which 111 were included in the PPP. The literature searches with the disease condition and the search terms patient values and patient preferences yielded 50 studies. The literature searches for economic evaluation and treatment cost yielded 94 studies which were provided to the Retina/Vitreous PPP Committee and 5 studies merited inclusion in the PPP.

Cost Benefit: (("Diabetic Retinopathy"[MeSH] OR ("diabetic"[All Fields] AND "retinopathy"[All Fields]) OR ("diabetic retinopathy"[tiab]) AND "Cost-Benefit Analysis"[MeSH])) OR "Diabetic Retinopathy/economics"[MeSH]

Cost of Illness: ("Diabetic Retinopathy"[MeSH] OR "diabetic retinopathy"[tiab]) AND "Cost of Illness"[MeSH]

Diagnosis: "Diabetic Retinopathy/diagnosis"[MeSH]

Epidemiology and Ethnology: ("Diabetic Retinopathy/epidemiology"[MeSH] OR "Diabetic Retinopathy/ethnology"[MeSH])

Genetics: "Diabetic Retinopathy/genetics"[MeSH]

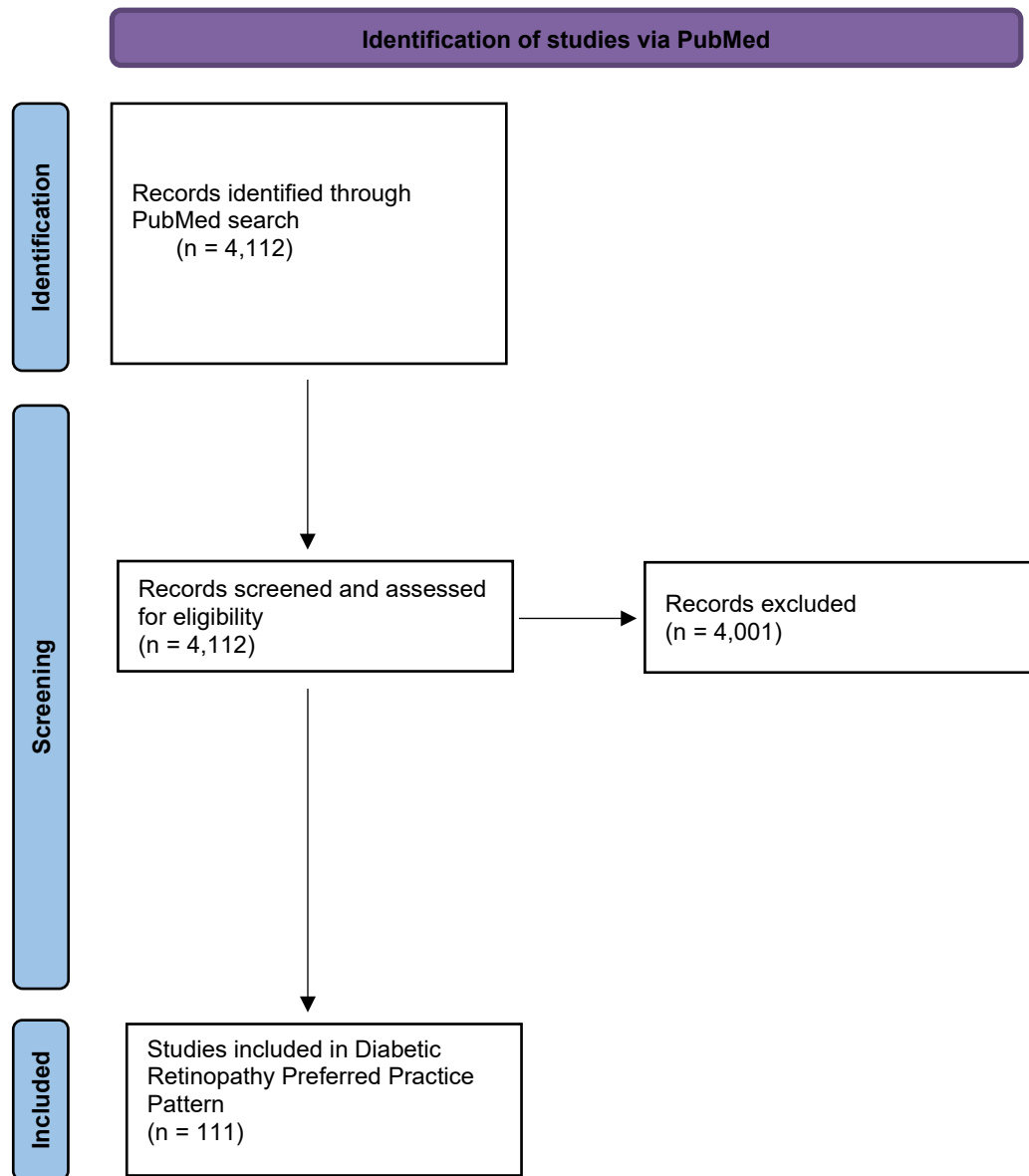
Natural History: ("Diabetic Retinopathy"[MeSH] OR "diabetic retinopathy"[tiab]) AND "natural history"[tiab]

Patient Values and Preferences: ("Diabetic Retinopathy"[MeSH] OR "diabetic retinopathy"[tiab]) AND (("patient values"[tiab] OR "patient preferences"[tiab]) OR (patient[tiab] AND (values[tiab] OR preferences[tiab])))

Quality of Life: ("Diabetic Retinopathy"[MeSH] OR "diabetic retinopathy"[tiab]) AND ("Quality of Life"[MeSH] OR qol[tiab])

Risk Factors: ("Diabetic Retinopathy"[MeSH] OR "diabetic retinopathy"[tiab]) AND ("Risk Factors"[MeSH] OR risk[tiab])

Therapy: "Diabetic Retinopathy/therapy"[MeSH] OR "Diabetic Retinopathy"[MeSH] AND ("Drug Therapy, Combination"[MeSH] OR "Drug Combinations"[MeSH] OR "Combined Modality Therapy"[MeSH])



From: Page MJ, McKenzie JE, Bossuyt PM, Boutron I, Hoffmann TC, Mulrow CD, et al. The PRISMA 2020 statement: an updated guideline for reporting systematic reviews. BMJ 2021;372:n71. doi: 10.1136/bmj.n71

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The Use of Biosimilars in Ophthalmic Practice (2022)
Telemedicine for Ophthalmology Information Statement (2018)
Intravitreal Injections (2015)
International Clinical Classification System for Diabetic Retinopathy and Diabetic Macular Edema (2012)
Laser Surgery (2015)
Screening for Retinopathy in the Pediatric Patient with Type 1 Diabetes Mellitus (2014)

Ophthalmic Technology Assessment

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Intravitreal Pharmacotherapies for Diabetic Macular Edema (2022)
Single-Field Fundus Photography for Diabetic Retinopathy Screening (2004; reviewed for currency 2020)

Patient Education

Diabetic Retinopathy Brochure (2024)
Diabetic Retinopathy Brochure (Spanish: Retinopatía Diabética) (2024)
EyeSmart® What is Diabetic Retinopathy? Available at:
www.geteyesmart.org/eyesmart/diseases/diabetic-retinopathy/index.cfm
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