



# 1 : Information Security Concepts

IT5306 - Principles of Information Security

**Level III - Semester 5**

## **List of sub topics**

- 1.1 Computer Security Concepts: Confidentiality, Integrity, and Availability
- 1.2. Threats, Attacks, and Assets
- 1.3. Security Functional Requirements
- 1.4. Fundamental Security Design Principles
- 1.5. Attack Surfaces and Attack Trees
- 1.6. Computer Security Strategy
- 1.7. Concepts of Encryption, Decryption, Plain Text and Cipher Text
- 1.8. Stream and Block Ciphers

# Introduction

- At one time Bank robbery was common. Now its very rare. What has changed or been implemented to provide this security?
  - Sophisticated alarms
  - Criminal investigation techniques (DNA testing)
  - Change in “assets” (cash was/is inherently insecure)
  - Improvements in communication and transportation
- Risk becomes so high that it is no longer beneficial.

# Introduction

- In our case the “valuables” are computer related assets instead of money
  - Though these days money is so electronic that one can argue that the protection of money is a subset of computer asset security
- Information seems to be the currency of the 21<sup>st</sup> century.

# Introduction

## • **Size and portability**

- Banks are large and unportable.
- Storage of information can be very small and extremely portable. (So small that an entire corporations intellectual property can be stored on something the size of a postage stamp.)Ability to avoid physical contact
- Banks: physical interaction with the bank and the loot is unavoidable or impossible to circumvent
- Computers: require no physical contact to either gain access to, copy or remove data.

## • **Value of assets:**

- Bank: generally very high (or why would somebody bother to put it in a bank?)
- Computers: Variable, from very low (useless) to very high.

***Information security***  
***are methods and technologies  
for protection, integrity, availability,  
authenticity and extended functionality  
of computer programs and data***

# Threats, Attacks and Assert

- **Method:** The skills knowledge and tools that enable the attack
- **Opportunity:** The time, access and circumstances that allow for the attack
- **Motive:** The reason why the perpetrator wants to commit the attack

# People

**Amateurs . . .**

**Crackers**

**Criminals**

**Regular users**

**Accidental access  
to unauthorized resources  
and execution of  
unauthorized operations  
(no harm to regular users)**



# People

**Amateurs**

**Crackers . . .**

**Criminals**

**Regular users**

**Active attempts to access sensitive resources and to discover system vulnerabilities (minor inconveniences to regular users)**

# People

**Amateurs**

**Crackers**

**Criminals . . .**

**Regular users**

**Active attempts to utilize weaknesses in protection system in order to steal or destroy resources (serious problems to regular users)**

# People

**Amateurs**

**Crackers**

**Criminals**

**Regular users . . .**

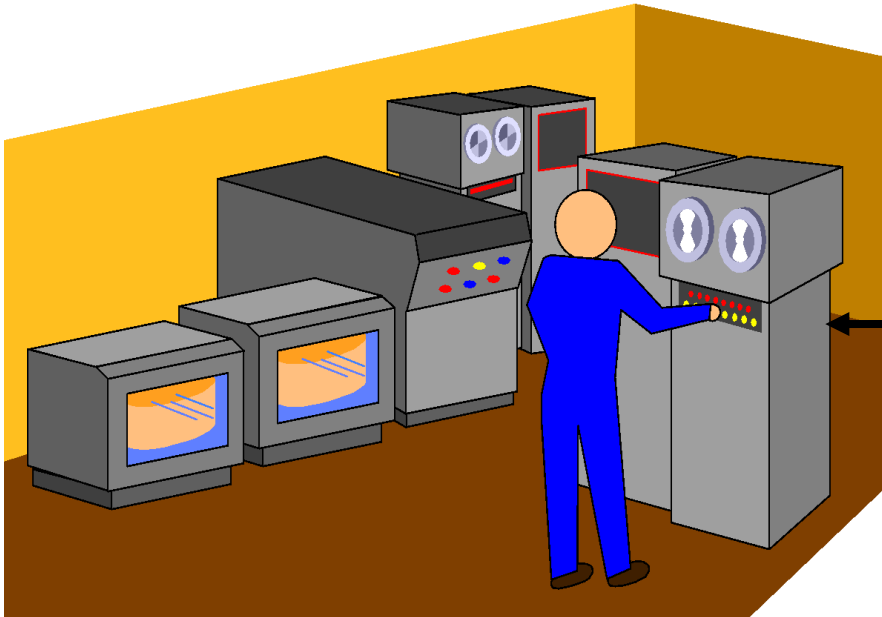
**Special requirements:  
authentication in open  
networks, authorization,  
message integrity,  
non-repudiation,  
special transactions**

# Threats, Attacks and Assert

- **Vulnerability:** A weakness in the security system.
- **Attack:** A human exploitation of a vulnerability.
- **Control:** A protective measure. An action, device or measure taken that removes, reduces or neutralizes a vulnerability.
- **Problems :** Consequences of unintentional accidental errors
- **Threat:** a set of circumstances that has the potential to cause loss or harm.
- **Risks :** Probabilities that some threat or problem will occur due to system vulnerabilities

# Threats, Attacks and Assert

- Illegal access to a system
- Authentication of users



# Attack Types: An Active Attack

- Active attacks are attacks in which the attacker attempts to change or transform the content of messages or information.
- These attacks are a threat to the integrity and availability of the system.
- Due to these attacks, systems get damaged, and information can be altered.
- The prevention of these attacks is difficult due to their high range of physical and software vulnerabilities.

## Attack Types: A Passive Attack

- Passive attacks are the ones in which the attacker observes all the messages and copy the content of messages or information.
- They focus on monitoring all the transmission and gaining the data.
- The attacker does not try to change any data or information he gathered.
- Although there is no potential harm to the system due to these attacks, they can be a significant danger to your data's confidentiality.

# Active vs Passive Attacks

- In active attacks, modification of messages is done, but on the other hand, in passive attacks, the information remains unchanged.
- The active attack causes damage to the integrity and availability of the system, but passive attacks cause damage to data confidentiality.
- In active attacks, attention is given to detection, while in the other one, attention is given to prevention.
- The resources can be changed in active attacks, but passive attacks have no impact on the resources.



# Active vs Passive Attacks

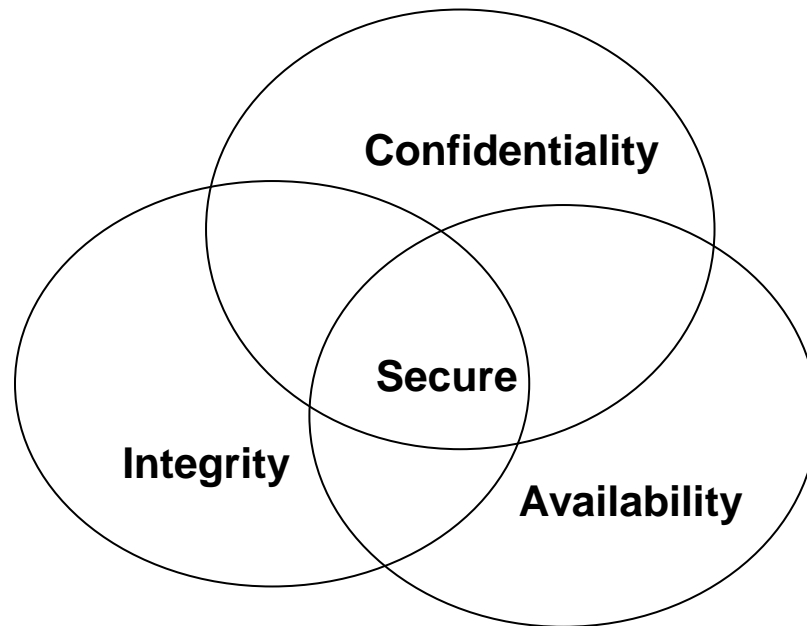
- The active attack influences the system services, but the information or data is acquired in passive attacks.
- Inactive attacks, information is gathered through passive attacks to attack the system, while passive attacks are achieved by collecting confidential information such as private chats and passwords.
- Active attacks are challenging to be prohibited, but passive attacks are easy to prevent.

# Security Functional Requirements

- What makes a “secure” system?
  - Financial “Security” requirements
  - Home “security”
  - Physical “security”
  - Information “security”
- All these concepts of security have different requirements. We are, of course, interested mostly on computer security; which requires three items:

# Security Functional Requirements

- The presence of all three things yields a secure system:



# Security Functional Requirements

- Confidentiality:  
Computer related assets are only available to authorized parties. Only those that should have access to something will actually get that access.
  - “Access” isn't limited to reading. But also to viewing, printing or...
  - Simply even knowing that the particular asset exists (steganography)
- Straight forward concept but very hard to implement.

# Security Functional Requirements

- Integrity

Can mean many things: Something has integrity if it is:

- Precise
- Accurate
- Unmodified
- Consistent
- Meaningful and usable

# Security Functional Requirements

- Three important aspects towards providing computer related integrity:
  - Authorized actions
  - Separation and protection of resources
  - Error detection and correction.
- Again, rather hard to implement; usually done so through rigorous control of who or what can have access to data and in what ways.

# Security Functional Requirements

- Availability
  - There is a timely response to our requests
  - There is a fair allocation of resources (no starvation)
  - Reliability (software and hardware failures lead to graceful cessation of services and not an abrupt crash)
  - Service can be used easily and in the manner it was intended to be used.
  - Controlled concurrency, support for simultaneous access with proper deadlock and access management.

# Security Design Principles

**Confidentiality . . .**

**Integrity**

**Availability**

**Functionality**

**Threats to Data and Programs:**  
illegal read, illegal access,  
data (files) deletion,  
illegal users, criminal acts,  
sabotage, etc.



# Security Design Principles

**Confidentiality**

**Integrity . . .**

**Availability**

**Functionality**

Threats to software and data: technical errors, software errors, processing errors, transmission correctness, etc.

# Security Design Principles

**Confidentiality**

**Integrity**

**Availability . . .**

**Functionality**

**Requirements for:  
timely response, fair  
allocation, fault tolerance,  
usability, controlled  
concurrency**

# Security Design Principles

**Confidentiality**

**Integrity**

**Availability**

**Functionality . . .**

**New functions needed for electronic data transactions: authentication, digital signature, confidentiality, and others**

# Attack Surface

- The entire system:
  - Hardware
  - Software
  - Storage media
  - Data
  - Memory
  - People
  - Organizations
  - Communications

# Attack Surface

An attack surface consists of reachable and exploitable vulnerabilities in a system and can be classified into three categories:

**Network attack surface** refers to vulnerabilities over an enterprise network or the internet. Examples of this include network protocol vulnerabilities, such as those used for a DDoS attack, disruption of communication links and various forms of intruder attacks.

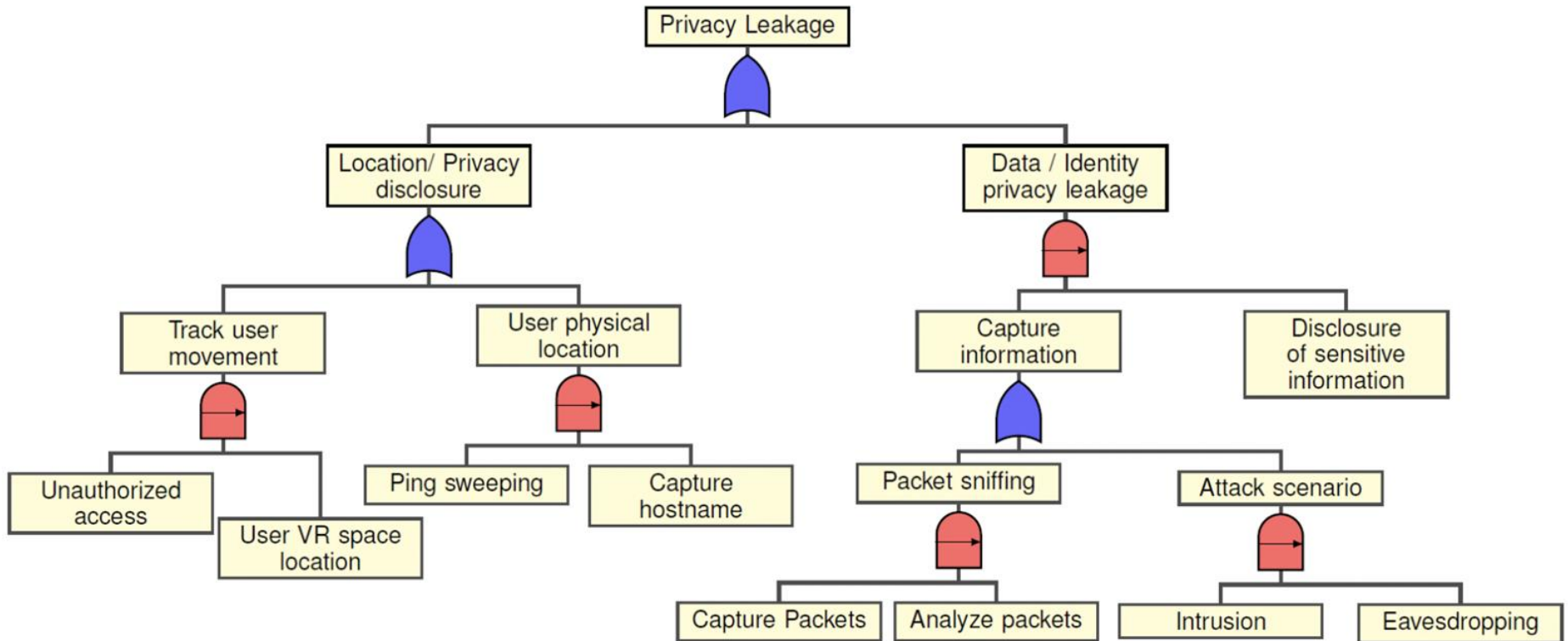
**Software attack surface** refers to vulnerabilities in application, utility, or operating system code. A particular focus in this category is web server software.

**Human attack surface** refers to vulnerabilities created by personnel or outsiders, such as social engineering, human error and trusted insiders.

# Attack trees

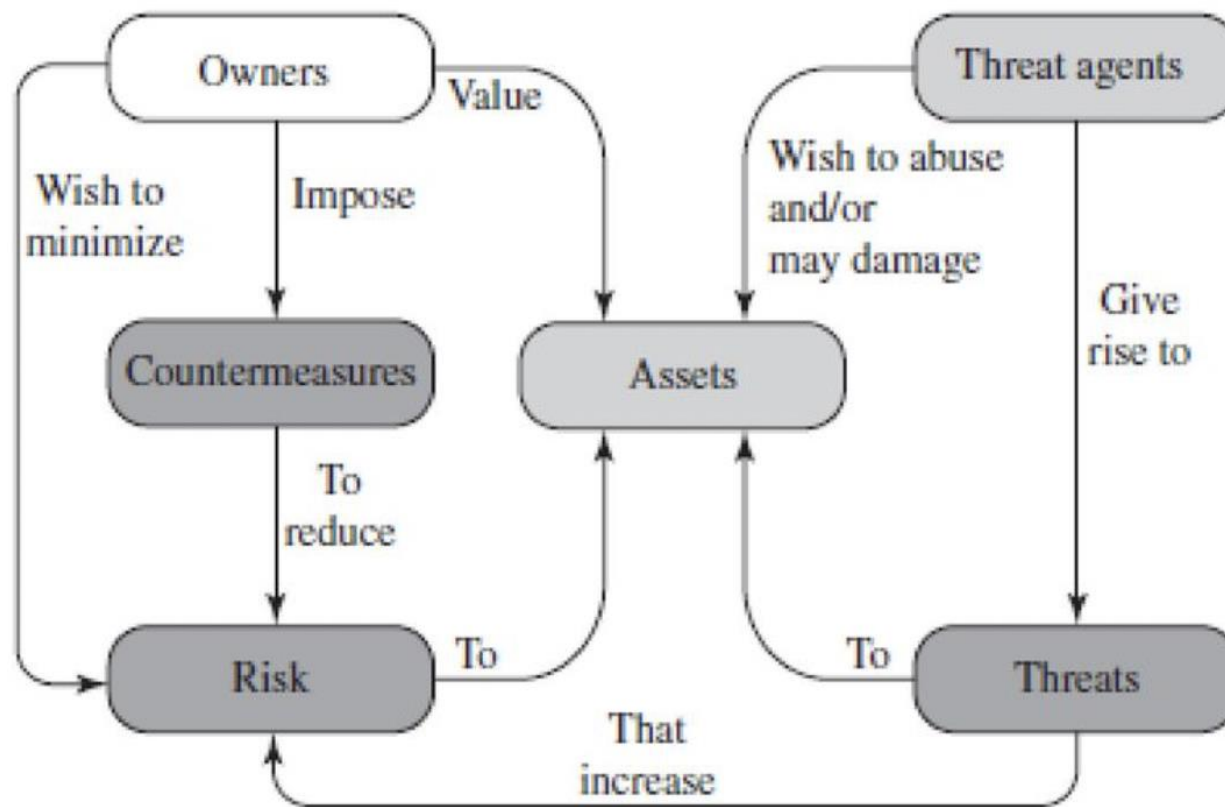
- An attack tree is a tree structure that represents attacks against a system.
- Each subnode defines a subgoal, and each subgoal may, in turn, have its own set of subgoals, and so on.
- The leaf nodes of the attack tree represent different ways to initiate an attack.
- Each node other than a leaf is either an AND-node or an OR-node.
- To achieve the goal represented by an AND-node, all of the child subgoals must be achieved; and for an OR-node, at least one of the child subgoals must be achieved.

# Privacy Attack Tree with Threat Scenarios



# Computer Security Strategy

## A Model for Computer Security





# Computer Security Strategy

**Encryption**

**SW & HW Controls**

**Policies**

**Physical controls**

# Computer Security Strategy

**Encryption . . .**

**SW & HW Controls**

**Policies**

**Physical controls**

**Effective for:  
confidentiality,  
users and messages  
authentication,  
access  
control**

# Computer Security Strategy

**Encryption**

**SW & HW Controls**

**Policies**

**Physical controls**

**Available methods:  
software and hardware  
controls (internal SW, OS  
controls, development  
controls, special HW  
devices)**

# Computer Security Strategy

**Encryption**

**SW & HW Controls**

**Policies . . .**

**Physical controls**

**Precise  
specifications:  
special procedures,  
security methods,  
security parameters,  
organizational issues**

# Computer Security Strategy

**Encryption**

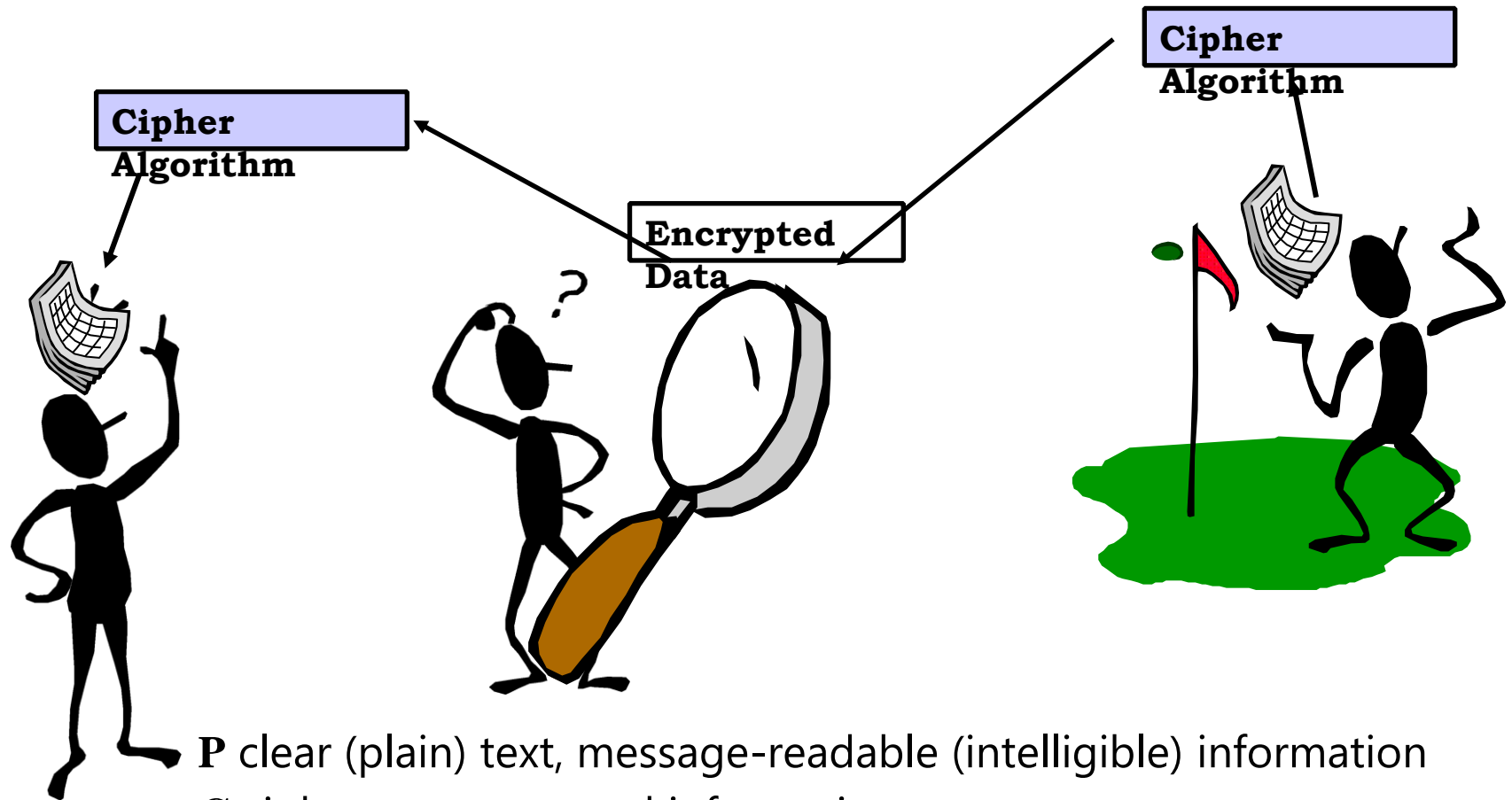
**SW & HW Controls**

**Policies**

**Physical controls**

**Measures for:  
isolation of equipment,  
access to equipment,  
authorization for  
personnel,  
backup and archiving**

# Concept of Cryptography



**P** clear (plain) text, message-readable (intelligible) information

**C** ciphertext-encrypted information

**E** encryption (enciphering)-transforming clear text into ciphertext

**D** decryption (deciphering)-transforming ciphertext back into plaintext

# Concept of Cryptography

- **Why Encrypt?**
  - Protect stored information
  - Protect information in transmission
- Cryptography originally used for secrecy
- **Encryption** - process by which **plaintext** is converted to **ciphertext** using a **key**
- **Decryption** - process by which ciphertext is converted to plaintext (with the appropriate key)
- **plaintext** (cleartext)- intelligible data

# Concept of Cryptography

## ■ Historic examples...

- **Earliest cryptography**: an Egyptian scribe using non-standard hieroglyphics
- **Julius Caesar** ("Caesar Cipher")  
Each plaintext letter is replaced by a letter some fixed number of positions further down the alphabet (e.g. Belgica (3 positions) → ehojld)
- The **Kama Sutra** recommends cryptography as 44<sup>th</sup> and 45<sup>th</sup> art  
(of 64) men and women should know



# Concept of Cryptography

- **ENIGMA** Used by the Germans in WW2 – and the subsequent code-breaking activities at Bletchley park (still a popular subject of books and movies)
- 1976: **Public Key Cryptography** concept (Whitfield Diffie & Martin Hellman)
- 1977: first (*published*) practical PKC cryptosystem invented (RSA - Rivest, Shamir, Adleman)
- October 2000 **Rijndael is chosen as AES** (Advanced Encryption Standard)



# The Caesar Cipher

**Plain Text : A B C D E F G H I J K L M N O P Q R S T U V W X Y Z**

**Cipher Text : D E F G H I J K L M N O P Q R S T U V W X Y Z A B C**

$$C_i = E(P_i) = P_i + 3$$

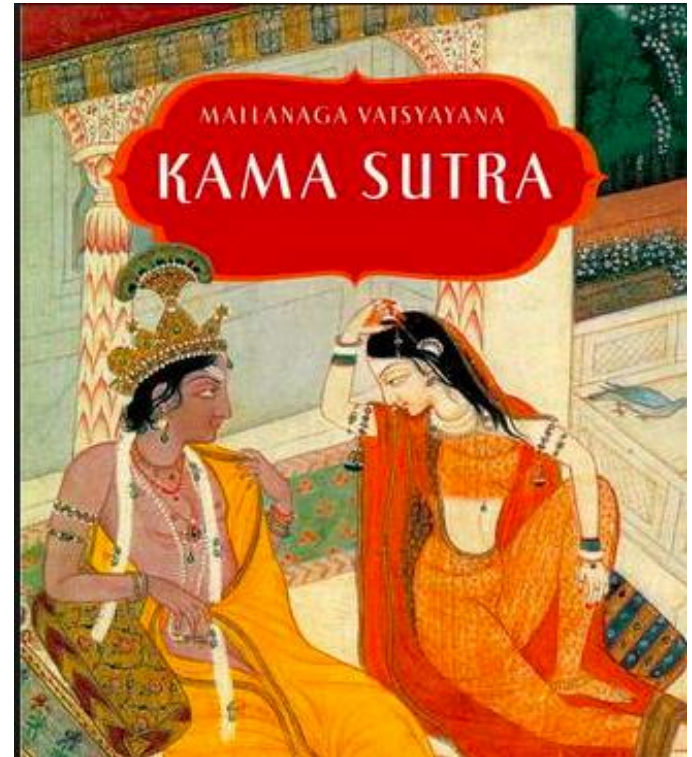


# Kamasutra

One of the earliest descriptions of encryption by substitution appears in the Kama-sutra, a text written in the 4th century AD by the Brahmin scholar Vatsyayana, but based on manuscripts dating back to the 4th century BC.

## How it work

The kamasutra generate list of 26 alphabet with no duplicate. Then divide by 2 row. Find for each letter of message text in table and choose the opposite of the letter



# Kamasutra

**for example:**

Key = G H A J R I O B E S Q C L F V Z T Y K M X W N U D P

**divide by 2 rows**

G	H	A	J	R	I	O	B	E	S	Q	C	L
F	V	Z	T	Y	K	M	X	W	N	U	D	P

Given String = KAMASUTRA

K is at 2nd row and 5th column. Get the opposite of K that is I.  
Do each letter until the end

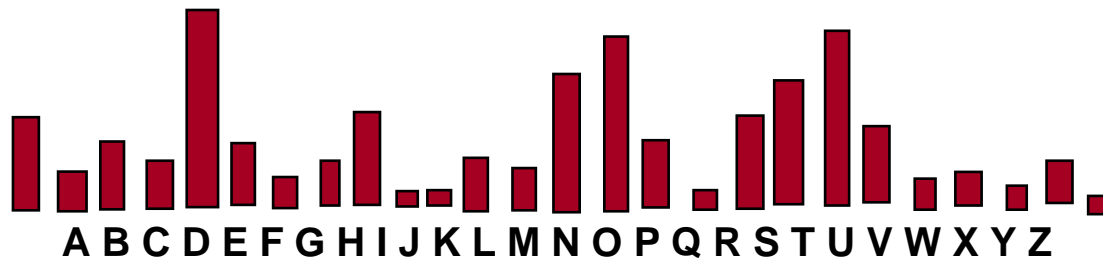
Cipher : IZOZNQJYZ

# Polyalphabetic Substitutions

**Plain Text : A B C D E F G H I J K L M N O P Q R S T U V W X Y Z**

**Cipher Text : K E Y G H I J K L M N O P Q R S T U V W X Y Z A B C**

## Letter Frequency



# Polyalphabetic Substitutions

## Table for Odd Positions

**Plain Text : A B C D E F G H I J K L M N O P Q R S T U V W X Y Z**

**Cipher Text : A D G J N O S V Y B E H K N Q T W Z C F I L O R U X**

## Table for Even Positions

**Plain Text : A B C D E F G H I J K L M N O P Q R S T U V W X Y Z**

**Cipher Text : N S X C H M R W B G I Q V A F K P U Z E J O T Y D I**

**Plain Text : SSIBL**

**Cipher Text : czysh**

# Transposition (Permutation) Substitutions

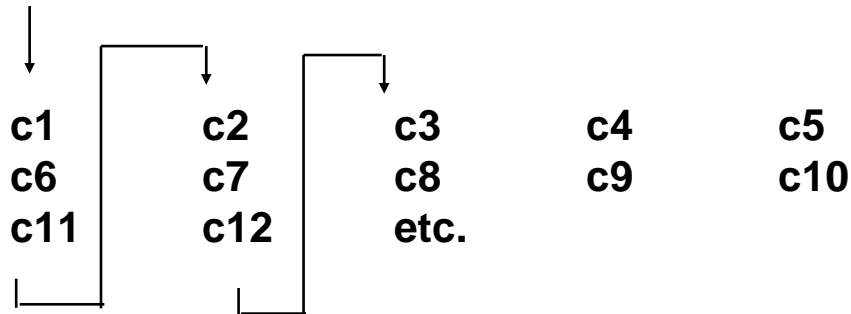
## Columnar Transposition

c1	c2	c3	c4	c5
c6	c7	c8	c9	c10
c11	c12	etc.		

Cipher text formed by



c1 c6 c11 c2 c7 c12 c3 c8 ...



# The Vernam Cipher

Plain Text : V E R N A M C I P H E R  
Numeric Equivalent : 21 4 17 13 0 12 2 8 15 7 4 17  
+Random Number : 76 48 16 82 44 3 58 11 60 5 48 88  
= Sum : 97 52 33 95 44 15 60 19 75 12 52 105  
=Mod 26 : 19 0 7 17 18 15 8 19 23 12 0 1  
Cipher text : t a h r s p l t x m a b

## Binary Vernam Cipher

Plain Text : 1 0 1 0 0 0 1 1 1 0 0 1 1 0 1  
⊕ Random Stream : 0 1 0 1 1 0 1 0 1 1 1 0 1 0 1  
Cipher text : 1 1 1 1 1 0 0 1 0 1 1 1 0 0 0



# The One-Time Pad

- If a truly random key as long as the message is used, the cipher will be secure
- Called a **One-Time pad**
- Has unconditional security:
- ciphertext bears no statistical relationship to the plaintext since for **any plaintext & any ciphertext** there exists a key mapping one to other
- Can only use the key **once**
- Have problem of safe distribution of key

# Stream and Block Ciphers

- Stream Ciphers - Message broken into characters or bits and enciphered with a "key stream"
  - key stream - should be random and generated independently of the message stream
- Block ciphers process messages in blocks, each of which is then en/decrypted

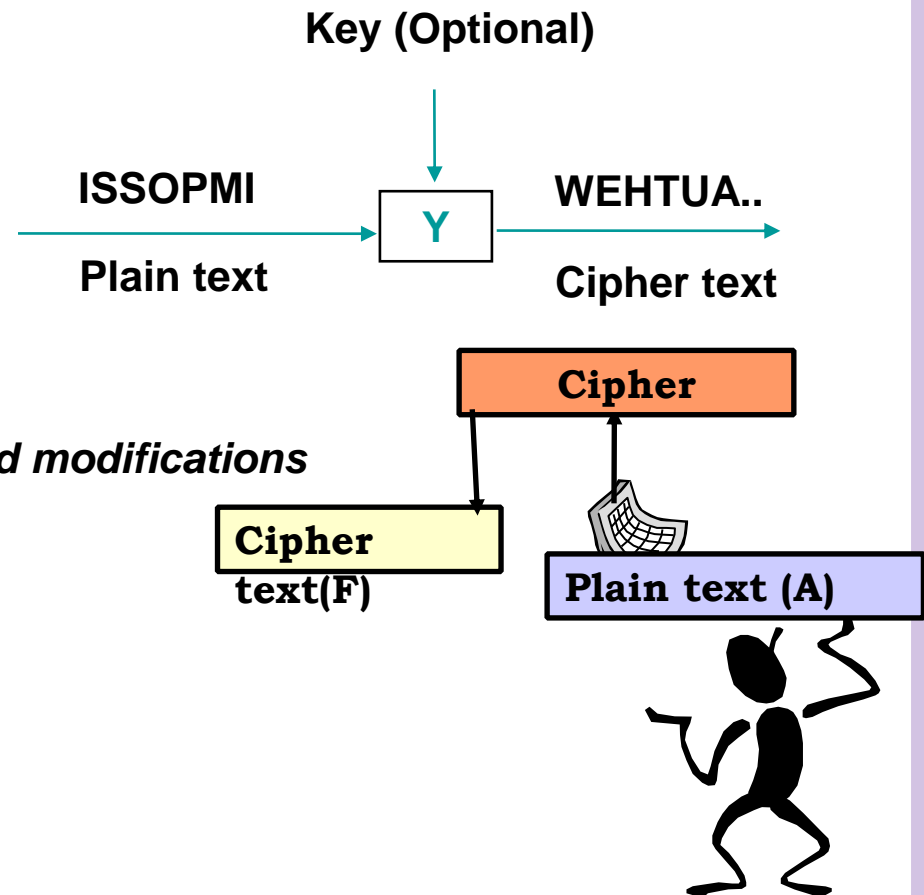
# Stream and Block Ciphers

## Advantage

- *Speed of transformation*
- *Low error propagation*

## Disadvantage

- *Low diffusion*
- *Susceptibility to malicious insertion and modifications*



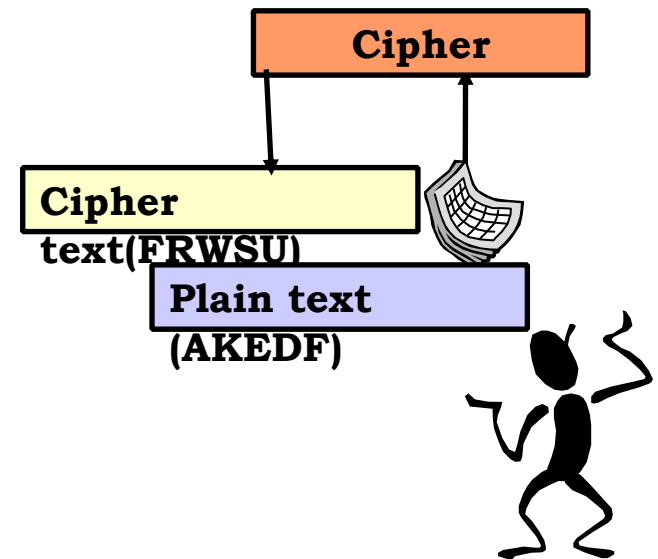
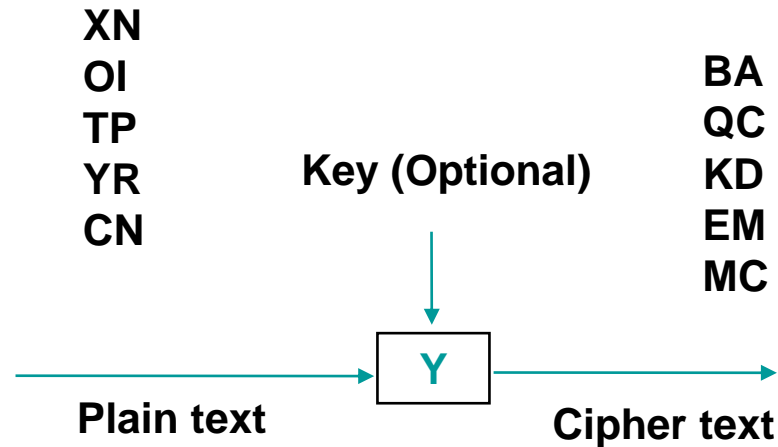
# Stream and Block Ciphers

## Disadvantage

- *Slowness of encryption*
- *Error propagation*

## Advantage

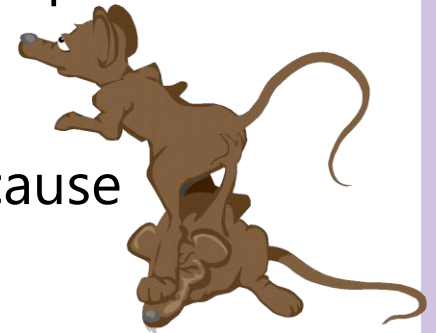
- *Diffusion*
- *Immunity to insertion*



# Characteristic of “GOOD” Cipher

## Shannon Characteristics - 1949

- The amount of secrecy needed should determine the amount of labor appropriate for encryption and decryption
- The set of keys and the encryption algorithm should be free from complexity
- The implementation of the process should be as simple as possible
- Errors in the ciphering should not propagate and cause corruption of further information in the message
- The size of enciphered text should be no larger than the text of the original message



# Kerckhoff's Principle

The security of the encryption scheme must depend only on *the secrecy of the key and not on the secrecy of the algorithms*.

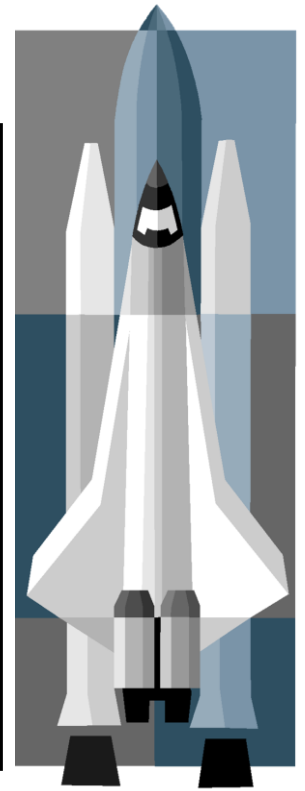
## Reasons:

- Algorithms are difficult to change
- Cannot design an algorithm for every pair of users
- Expert review
- No security through obscurity!

# Brute Force Search

- **Always possible to simply try every key**
- **Most basic attack, proportional to key size**
- **Assume either know/recognize plaintext**

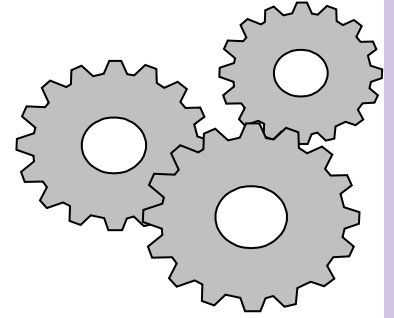
Key Size (bits)	Number of Alternative Keys	Time required at $10^6$ Decryption/ $\mu$ s
32	$2^{32} = 4.3 \times 10^9$	2.15 milliseconds
56	$2^{56} = 7.2 \times 10^{16}$	10 hours
128	$2^{128} = 3.4 \times 10^{38}$	$5.4 \times 10^{18}$ years
168	$2^{168} = 3.7 \times 10^{50}$	$5.9 \times 10^{30}$ years



# Unconditional/Computational Security

## Unconditional security

no matter how much computer power is available, the cipher cannot be broken since the ciphertext provides insufficient information to uniquely determine the corresponding plaintext



## Computational security

given limited computing resources (e.g. time needed for calculations is greater than age of universe), the cipher cannot be broken



# Sec\_rity is not Complete without U

You, as a Computer User, have to make your contribution to computer security: **You are responsible for the security and protection** of your computers, the operating systems you run, the application you install, the software you program, the data you own - and the services and systems you manage.

# Thank You

