

1. What is Numerical RG?

History of SIAM

[Anderson, 1961]

SIAM, local moment formation

[Kondo, 1964]

Kondo's approach, $\log(T)$

[Schrieffer, Wolff, 1966]

SIAM \rightarrow Kondo

[Anderson, 1970]

Anderson's "Poor man's scaling" RG

→ [Krishna-murthy, Wilkins, and Wilson, 1980]

Numerical RG

[Wiegmann & Tsvelick, 1982-3]

Exact solution by Bethe ansatz

Today's topic!

Standard review paper [Bulla, 2008] for NRG,

What it solves? Quantum impurity model.

Impurity: zero dimensional, small number of degrees of freedom.

Bath: fermions/bosons with continuous excitation spectrum, it can be 1,2,3 dimension

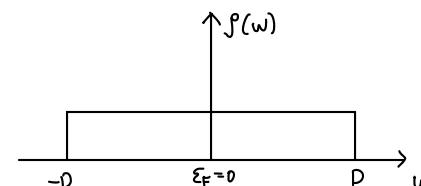
Use tensor network for convenience.

2. NRG techniques

Logarithmic discretization of bath

Assume flat band near Fermi level

$$\rho(\omega) = \frac{1}{2D} \Theta(D - |\omega|)$$



Continuous spectrum \rightarrow discrete spectrum for numerical method.

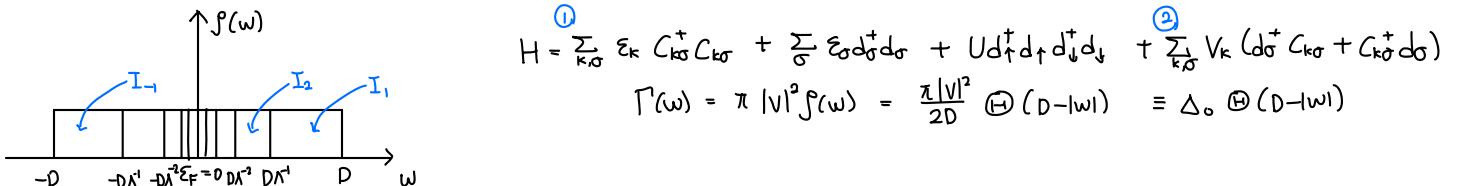
Why logarithmic discretization?

First, impurity physics lives on small energy scales.

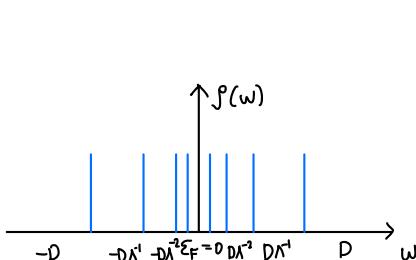
\Rightarrow Uniform grid $\sim 10^6$ to resolve tiny scales, but logarithmic grid ~ 20

Second, to avoid $\log(\frac{kT}{D})$ divergence. (This is observed in Poor man's scaling RG)

Third, it gives scale separation.



$$\Gamma(\omega) = \pi |V|^2 \rho(\omega) = \frac{\pi |V|^2}{2D} \Theta(D - |\omega|) \equiv \Delta_0 \Theta(D - |\omega|)$$



For each bin, ① representative $\bar{\zeta}_{\pm k}$
② hybridization term for bin I_n , $\delta_{\pm k}$

$$H = \sum \epsilon_\sigma d_\sigma^+ d_\sigma + U d_\uparrow^\dagger d_\uparrow d_\downarrow^\dagger d_\downarrow + \sum_{\pm, k, \sigma} \bar{\zeta}_{\pm k} d_{\pm k\sigma}^+ d_{\pm k\sigma} + \sum_{\pm k, \sigma} (\delta_{\pm k} d_{\pm k\sigma}^+ d_\sigma + h.c.)$$

① $\bar{\zeta}_{\pm k}$ Wilson's method : center of mass

$$\bar{\zeta}_k = \frac{\int_{D\Lambda^{-k}}^{D\Lambda^{k+1}} \omega \rho(\omega) dw}{\int_{D\Lambda^{-k}}^{D\Lambda^{k+1}} \rho(\omega) dw}$$

However, it is known that this throws away intra-interval bath couplings, there are other methods to calculate $\bar{\zeta}_k$

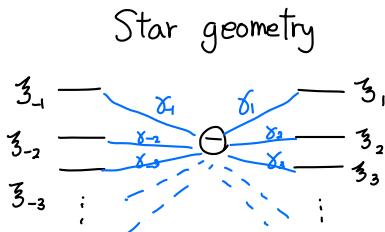
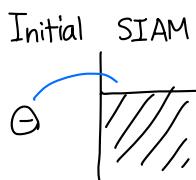
Ex) I_n , [Campo and Oliveira, 2005]

$$\bar{\zeta}_k = \frac{\int_{D\Lambda^{-k}}^{D\Lambda^{k+1}} \rho(\omega) dw}{\int_{\pm D\Lambda^{-k}}^{D\Lambda^{k+1}} \rho(\omega)/\omega dw} \quad (\text{This is implemented})$$

$$\textcircled{2} \quad \delta_{\pm k} = \pm \int_{\pm D\Lambda^{-k}}^{\pm D\Lambda^{-k+1}} \Gamma(\omega) dw \propto \Lambda^{-k}$$

:Find # of bins that δ_n is sufficiently smaller than the "smallest" energy scale in the problem. (Here, usually Temp.)

With this discretization, we get "star" Hamiltonian,



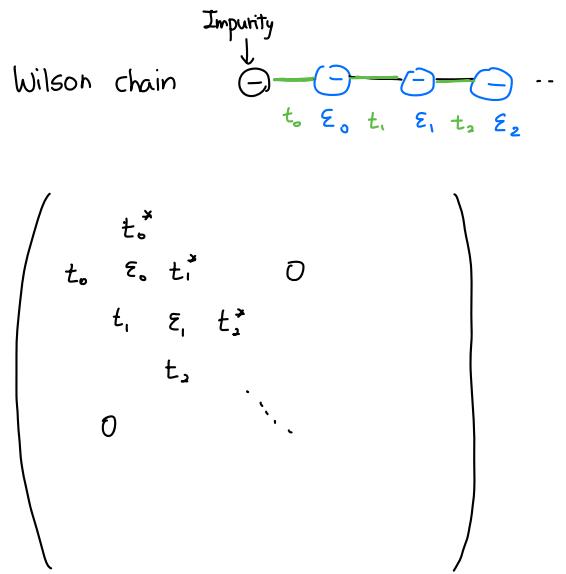
Mapping to "Wilson chain"

Hamiltonian of star geometry

$$\text{Impurity } I_1 \ I_2 \ \dots \ I_1 \ I_2 \ \dots$$

$$\begin{pmatrix} & \gamma_1 & \gamma_2 & \gamma_3 & \dots & \gamma_{-1} & \gamma_{-2} & \dots \\ I_1 & \gamma_1 & \bar{\gamma}_1 & & & & & \\ I_2 & \gamma_2 & \bar{\gamma}_2 & & & & & \\ \vdots & \gamma_3 & \bar{\gamma}_3 & & & & & \\ & \ddots & & & & & & \\ I_{-1} & \gamma_{-1} & 0 & \bar{\gamma}_{-1} & & & & \\ I_{-2} & \gamma_{-2} & & & \bar{\gamma}_{-2} & & & \\ \vdots & \vdots & & & & \ddots & & \end{pmatrix}$$

Lanczos method
Tridiagonalization \Rightarrow



$$\hat{H} = \sum_{\sigma} \varepsilon_{\sigma} d_{\sigma}^{\dagger} d_{\sigma} + U d_{\uparrow}^{\dagger} d_{\uparrow} d_{\downarrow}^{\dagger} d_{\downarrow}$$

$$+ \sum_{\sigma} (t_0 f_{n\sigma}^{\dagger} f_{n\sigma} + h.c.) + \sum_{n=1}^{\infty} \sum_{\sigma} (t_n f_{n\sigma}^{\dagger} f_{n+1,\sigma} + h.c.)$$

$$+ \sum_{n=0}^{N-1} \sum_{\sigma} \varepsilon_n f_{n\sigma}^{\dagger} f_{n\sigma}$$

Particle-hole symmetry case, $\varepsilon_0 = -U/2$

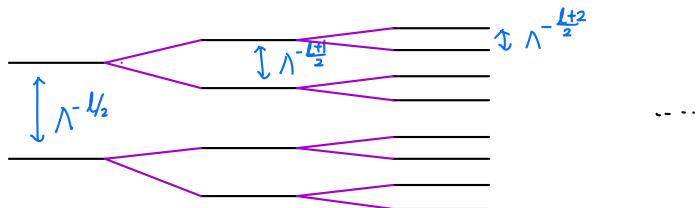
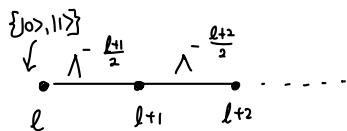
$$\Rightarrow f_{n\sigma}^{\dagger} f_{n+1,\sigma} \rightarrow (-1)^{2n+1} f_{n\sigma}^{\dagger} f_{n+1,\sigma} \rightarrow (-1)^{2n} f_{n+1,\sigma} f_{n\sigma}$$

$$\text{but } f_{n\sigma}^{\dagger} f_{n\sigma} \rightarrow (-1)^{2n} f_{n\sigma}^{\dagger} f_{n\sigma} \rightarrow -f_{n\sigma}^{\dagger} f_{n\sigma} \text{ : flip sign} \Rightarrow \varepsilon_n = 0$$

Hopping terms: $t_n = D \cdot \frac{(1+\Lambda^{-1})(1-\Lambda^{-(n+1)})}{2\sqrt{(1-\Lambda^{-2n-1})(1-\Lambda^{-2n-3})}} \Lambda^{-\frac{n}{2}} \propto \Lambda^{-\frac{n}{2}}$

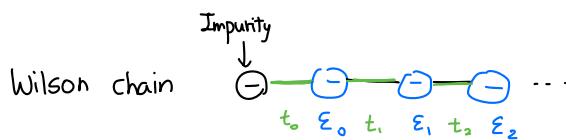
- Hopping/coupling decay exponentially \rightarrow energy scale separation
- site n+1 perturbs site n "weakly"
- Iteratively solve Hamiltonian, truncate at each step.

Iterative diagonalization and truncation



Adding one site l : coupled with hopping term $t_l \propto \Lambda^{-\frac{l}{2}}$, weakly perturbs the low lying spectrum of $(l-1)$ -site chain.

\Rightarrow Iteratively add one site, truncate high energy states.



Impurity ($|n_i\rangle$) + 1 site ($|n_{i=0}\rangle$)

$$\begin{pmatrix} |I\rangle & |0\rangle \\ t_0 & \frac{t_0^*}{\varepsilon_{i=0}} \end{pmatrix}$$

Indeed, each site has 4 degrees of freedom, ($|0\rangle, |1\uparrow\rangle, |1\downarrow\rangle, |2\downarrow\rangle$)

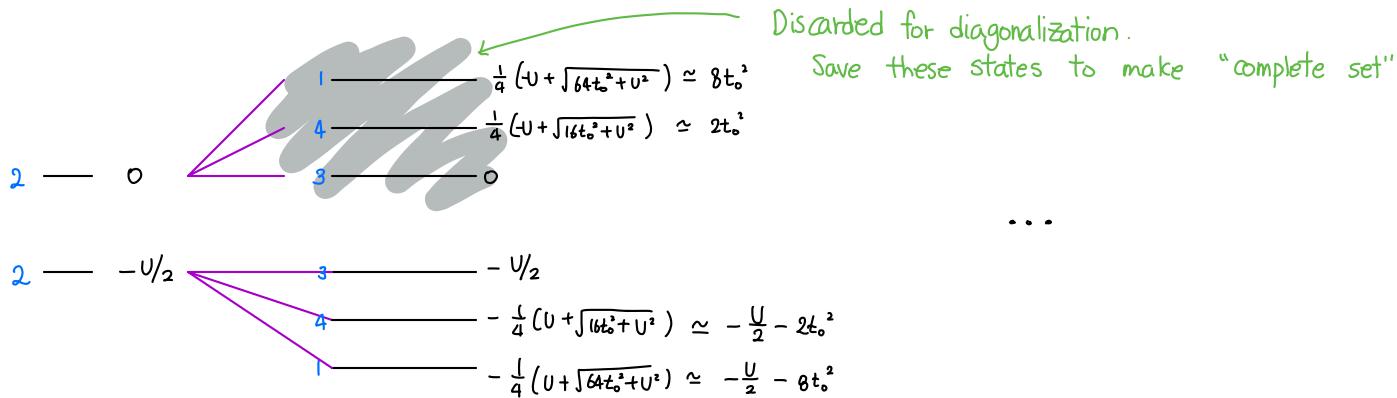
Energy level

$$\varepsilon_F = 0 \quad \text{---} \quad \begin{array}{c} \uparrow \downarrow \\ 2\varepsilon_d + U = 0 \end{array}$$

$$\begin{array}{c} \uparrow \downarrow \\ \text{or} \\ \varepsilon_d = -U/2 \end{array}$$

	$ 0\rangle$	$ 1\uparrow\rangle$	$ 1\downarrow\rangle$	$ 2\downarrow\rangle$
$ 0\rangle$	0	0	0	0
$ 1\uparrow\rangle$	0	$-U/2$	0	0
$ 1\downarrow\rangle$	0	0	$-U/2$	0
$ 2\downarrow\rangle$	0	0	0	0

Add one site : expand Hilbert space : 4×4 matrix $\rightarrow 16 \times 16$ matrix



If we want to keep N_{keep} states, truncate Hilbert space of high energy levels.

at l th iteration, $H^l |\alpha\rangle_l = E_\alpha^l |\alpha\rangle_l \quad \alpha=1, \dots, N_{\text{keep}}$ (rather than d^{l+1})

Then, add one site $\Rightarrow H : 4N_{\text{keep}} \times 4N_{\text{keep}}$ matrix

Diagonalize $\Rightarrow 4N_{\text{keep}}$ energy eigenvalues

Truncation $\Rightarrow N_{\text{keep}}$ low energy eigenvalues/eigenvectors

$3N_{\text{keep}}$

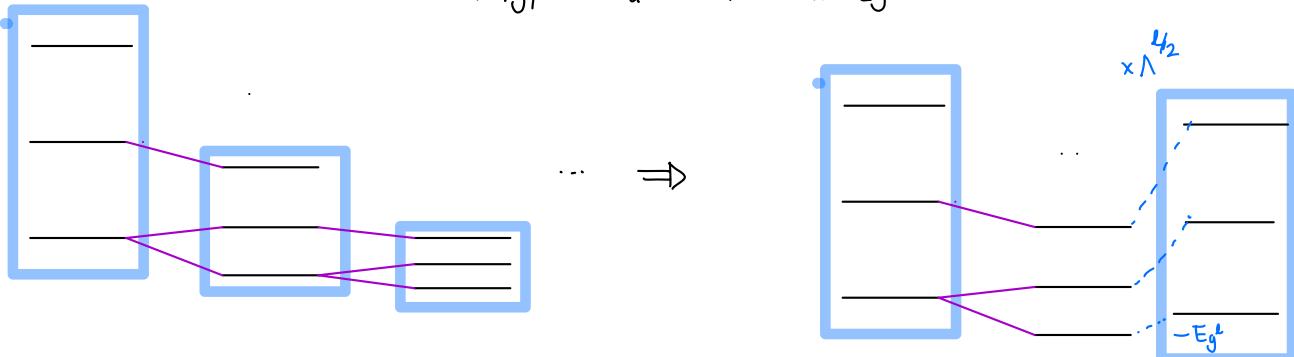
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save for later use

Rescaling

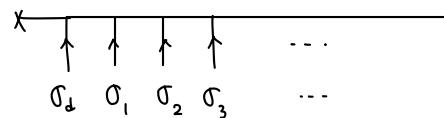
We already know at $(l+1)$ th iteration, we will see $t_{l+1} \propto \Lambda^{-\frac{l+1}{2}}$ terms

$$\Rightarrow \text{rescale energy } \varepsilon_\alpha^l = \Lambda^{\frac{l}{2}} (E_\alpha^l - E_g^l)$$



Numerical reason - more stable from floating # error
Physically it is same as RG transformation (zooming in)

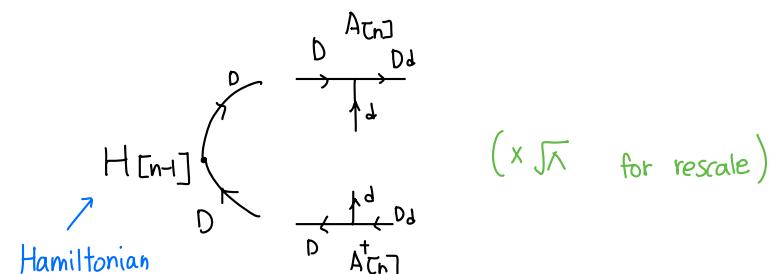
Procedures



(1) Define Identity tensor $A[n]$

$$\xrightarrow{\substack{A[n] \\ \uparrow d}} \text{Adding one more site with physical dimension } d.$$

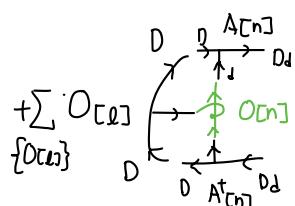
(2) Expand Hamiltonian in new basis



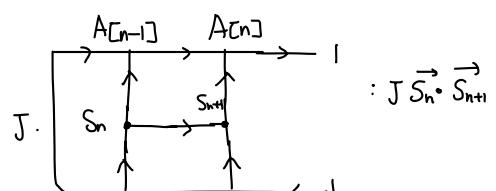
up to $n-1$ iteration.

$D \times D$ matrix

(3) Add terms



For example

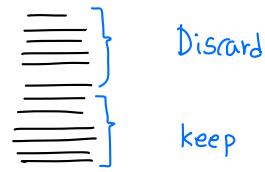
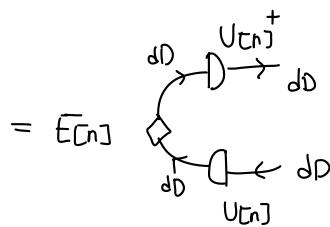


$$H_{[n]}^{\text{hop}} = \Lambda^{\frac{n}{2}} \cdot t_{n-1} \cdot \begin{array}{c} A[n-1] \quad A[n] \\ \uparrow \quad \downarrow \\ F[n-1] \quad Z \quad F[n] \\ \uparrow \quad \downarrow \\ A[n-1]^\dagger \quad A[n]^\dagger \end{array} + \text{h.c.} + \text{onsite term}$$

$= \sum_\sigma \hat{f}_{n\sigma}^\dagger \hat{f}_{m\sigma}$

(4) Diagonalize Hamiltonian

$$H[n] \xrightarrow{dD} H[n]$$



$$H[n] = U[n] E[n] U[n]^+$$

(5) Truncate Hilbert space

$$= E[n,k] \quad \begin{array}{c} U[nk] \\ \downarrow \\ dD \end{array}$$

$$+ E[n,D] \quad \begin{array}{c} U[nD] \\ \downarrow \\ dD \end{array}$$

(6) Update isometries

$$H[n] \xrightarrow{dD} \begin{array}{c} U[n,k] \\ \downarrow \\ dD \end{array} \quad \begin{array}{c} D' \\ \downarrow \\ D \end{array} \quad \begin{array}{c} U[nk] \\ \downarrow \\ D' \end{array}$$

$$= E[n,k] \quad \begin{array}{c} U[nk] \\ \downarrow \\ dD \end{array}$$

Analyzing result

Energy flow diagram

- Rescaled energy
- Even/odd oscillation : finite size effect
- Plateau (-like) regime
 - : $E_n \approx E_{n+2}$ up to rescaling
adding 'sites' doesn't affect

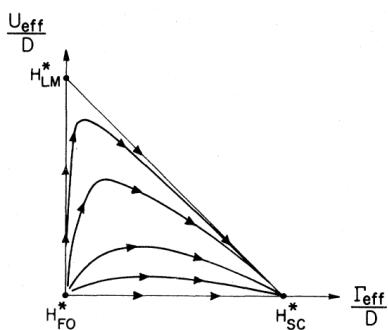
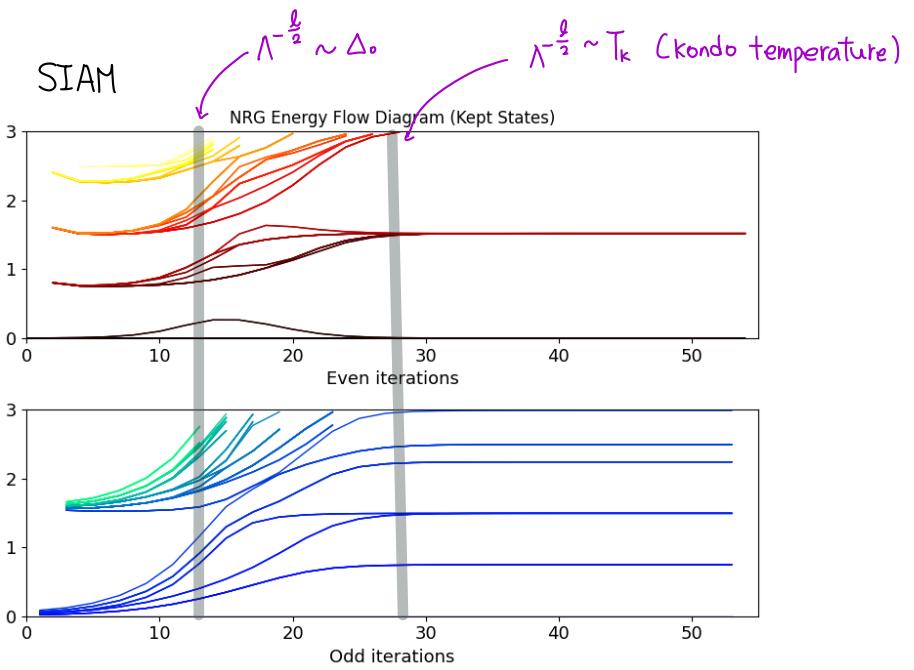


FIG. 8. Schematic renormalization-group flow diagram. Each H_N is thought of as associated with a U_{eff} and Γ_{eff} . Trajectories depict the flow of H_N with increasing N . Note that only the strong-coupling fixed point is stable.

[Krishna-murthy, Wilkins, and Wilson, 1980]



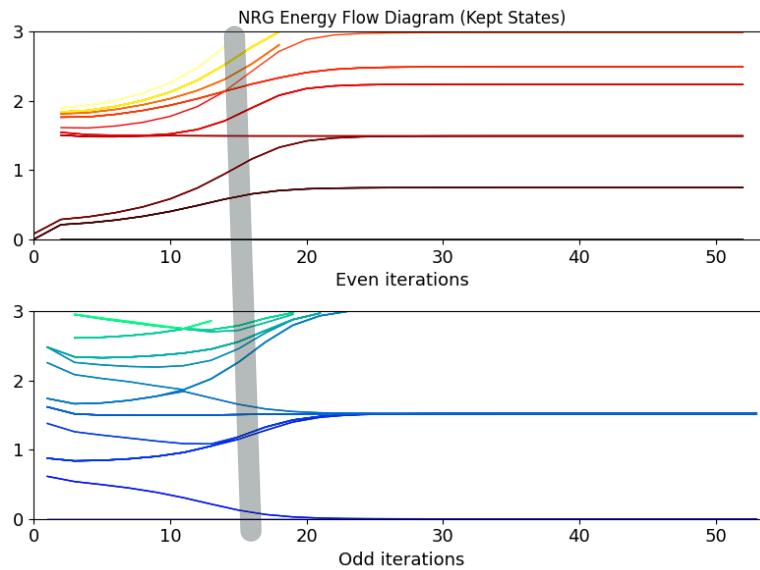
Free orbital

Local moment

Strong coupling

Kondo model (Actually SWT to impurity and only first bath site on Wilson chain Hamiltonian)

$$\hat{H} = J \vec{S}_d \cdot \vec{S}_i + \sum_{n=1}^N \sum_{\sigma} (E_n f_{n\sigma}^+ f_{n\sigma} + h.c.) + \sum_{n=0}^N \sum_{\sigma} \varepsilon_n f_{n\sigma}^+ f_{n\sigma}$$



No free orbital regime due to SWT