

1. What is Numerical RG?

History of SIAM

[Anderson, 1961]

SIAM, local moment formation

[Kondo, 1964]

Kondo's approach, $\log(T)$

[Schrieffer, Wolff, 1966]

SIAM \rightarrow Kondo

[Anderson, 1970]

Anderson's 'Poor man's scaling' RG

[Krishna-murthy, Wilkins, and Wilson, 1980]

Numerical RG

[Wiegmann & Tsvelick, 1982-3]

Exact solution by Bethe ansatz

Today's topic!

Standard review paper [Bulla, 2008] for NRG,

What it solves? Quantum impurity model.

Impurity: zero dimensional, small number of degrees of freedom.

Bath: fermions/bosons with continuous excitation spectrum, it can be 1, 2, 3 dimension

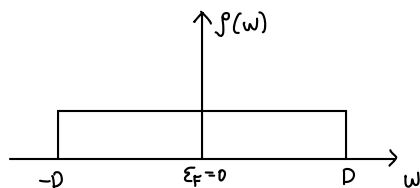
Use tensor network for convenience.

2. NRG techniques

Logarithmic discretization of bath

Assume flat band near Fermi level

$$\rho(\omega) = \frac{1}{2D} \Theta(D - |\omega|)$$



Continuous spectrum \rightarrow discrete spectrum for numerical method.

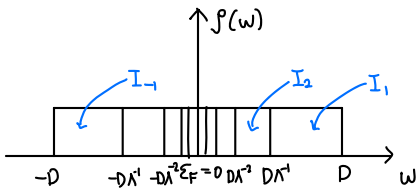
Why logarithmic discretization?

First, impurity physics lives on small energy scales.

\Rightarrow uniform grid $\sim 10^6$ to resolve tiny scales, but logarithmic grid ~ 20

Second, to avoid $\log(k_B T/D)$ divergence. (This is observed in Poor man's scaling RG)

Third, it gives scale separation.



$$H = \sum_{k\sigma} \epsilon_k C_{k\sigma}^\dagger C_{k\sigma} + \sum_{\sigma} \epsilon_{\sigma} d_{\sigma}^\dagger d_{\sigma} + U d_{\uparrow}^\dagger d_{\uparrow} d_{\downarrow}^\dagger d_{\downarrow} + \sum_{k\sigma} V_k (d_{\sigma}^\dagger C_{k\sigma} + C_{k\sigma}^\dagger d_{\sigma})$$

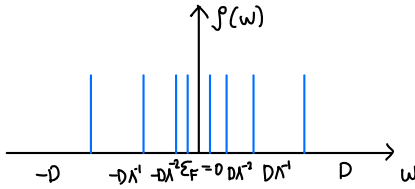
$$\Gamma(\omega) = \pi |V|^2 J(\omega) = \frac{\pi |V|^2}{2D} \Theta(D - |\omega|) \equiv \Delta_0 \Theta(D - |\omega|)$$



Bin: $I_{\pm k} = [\pm D\Lambda^{-k}, \pm D\Lambda^{-k+1}] \rightarrow$ single energy level

For each bin, ① representative $\xi_{\pm k}$

② hybridization term for bin I_n , $\gamma_{\pm k}$



$$H = \sum_{\sigma} \epsilon_{\sigma} d_{\sigma}^\dagger d_{\sigma} + U d_{\uparrow}^\dagger d_{\uparrow} d_{\downarrow}^\dagger d_{\downarrow} + \sum_{\pm, k, \sigma} \xi_{\pm k} d_{\pm k \sigma}^\dagger d_{\pm k \sigma} + \sum_{\pm k, \sigma} (\gamma_{\pm k} d_{\pm k \sigma}^\dagger d_{\sigma} + \text{h.c.})$$

① $\xi_{\pm k}$ Wilson's method: center of mass

$$\xi_k = \frac{\int_{D\Lambda^{-k}}^{D\Lambda^{-k+1}} \omega J(\omega) d\omega}{\int_{D\Lambda^{-k}}^{D\Lambda^{-k+1}} J(\omega) d\omega}$$

However, it is known that this throws away intra-interval bath couplings, there are other methods to calculate ξ_k

Ex) I_n , [Campo and Oliveira, 2005]

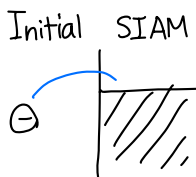
$$\xi_{\pm k} = \frac{\int_{D\Lambda^{-k}}^{D\Lambda^{-k+1}} J(\omega) d\omega}{\int_{\pm D\Lambda^{-k}}^{\pm D\Lambda^{-k+1}} J(\omega)/\omega d\omega}$$

(This is implemented)

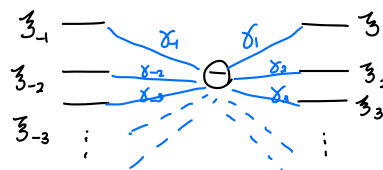
$$\textcircled{2} \gamma_{\pm k} = \pm \int_{\pm D\Lambda^{-k}}^{\pm D\Lambda^{-k+1}} \Gamma(\omega) d\omega \propto \Lambda^{-k}$$

: Find # of bins that γ_n is sufficiently smaller than the "smallest" energy scale in the problem. (Here, usually Temp.)

With this discretization, we get "Star" Hamiltonian,



Star geometry

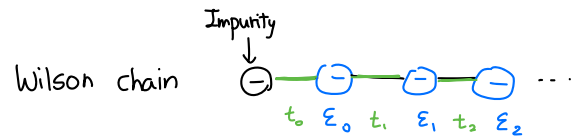


Mapping to "Wilson chain"

Hamiltonian of star geometry

$$\begin{array}{c} \text{Impurity} \quad I_1 \quad I_2 \quad \dots \quad I_1 \quad I_2 \quad \dots \\ \begin{pmatrix} & \delta_1 & \delta_2 & \delta_3 & \dots & \delta_{-1} & \delta_{-2} & \dots \\ I_1 & \delta_1 & \delta_1 & & & & & \\ I_2 & \delta_2 & \delta_2 & & & & & \\ \vdots & \delta_3 & & \delta_3 & & & & \\ & \vdots & & & \ddots & & & \\ I_1 & \delta_{-1} & & & & \delta_{-1} & & \\ I_2 & \delta_{-2} & & & & & \delta_{-2} & \\ \vdots & \vdots & & & & & & \ddots \end{pmatrix} \end{array}$$

Lanczos method
tridiagonalization \Rightarrow



$$\begin{pmatrix} & t_0^* & & & \\ t_0 & \epsilon_0 & t_1^* & & 0 \\ & t_1 & \epsilon_1 & t_2^* & \\ & & t_2 & & \ddots \\ 0 & & & & \ddots \end{pmatrix}$$

$$\hat{H} = \sum_{\sigma} \epsilon_{\sigma} d_{\sigma}^{\dagger} d_{\sigma} + U d_{\uparrow}^{\dagger} d_{\downarrow}^{\dagger} d_{\downarrow} d_{\uparrow}$$

$$+ \sum_{\sigma} (t_0 f_{0\sigma}^{\dagger} d_{\sigma} + \text{h.c.}) + \sum_{n=1}^{\infty} \sum_{\sigma} (t_n f_{n\sigma}^{\dagger} f_{n-1,\sigma} + \text{h.c.})$$

$$+ \sum_{n=0}^{\infty} \sum_{\sigma} \epsilon_n f_{n\sigma}^{\dagger} f_{n\sigma}$$

Particle-hole symmetry case, $\epsilon_0 = -U/2$

PH transformation $f_n \rightarrow (-1)^n f_n^{\dagger}$

$$\Rightarrow f_{n\sigma}^{\dagger} f_{n-1,\sigma} \rightarrow (-1)^{2n-1} f_{n\sigma}^{\dagger} f_{n-1,\sigma}^{\dagger} \rightarrow (-1)^{2n} f_{n-1,\sigma}^{\dagger} f_{n\sigma}$$

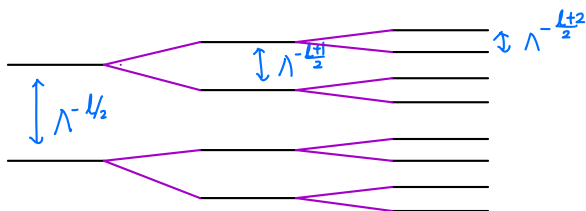
$$\text{but } f_{n\sigma}^{\dagger} f_{n\sigma} \rightarrow (-1)^{2n} f_{n\sigma}^{\dagger} f_{n\sigma}^{\dagger} \rightarrow -f_{n\sigma}^{\dagger} f_{n\sigma} \quad \text{: flip sign} \quad \Rightarrow \epsilon_n = 0$$

Hopping terms: $t_n = D \cdot \frac{(1+\Lambda^{-1})(1-\Lambda^{-(n+1)})}{2\sqrt{(1-\Lambda^{-2n-1})(1-\Lambda^{-2n-3})}} \Lambda^{-\frac{n}{2}} \propto \Lambda^{-\frac{n}{2}}$

- Hopping/coupling decay exponentially \longrightarrow energy scale separation
- site $n+1$ perturbs site n "weakly"
- Iteratively solve Hamiltonian, truncate at each step.

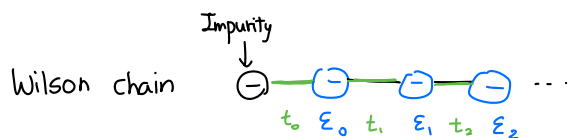
Iterative diagonalization and truncation

$$\{ |0\rangle, |1\rangle \}$$



Adding one site l : coupled with hopping term $t_l \propto \Lambda^{-\frac{l}{2}}$,
weakly perturbs the low lying spectrum of $(l-1)$ -site chain.

\Rightarrow Iteratively add one site, truncate high energy states.



Impurity ($|n_z\rangle$) + 1 site ($|n_b=0\rangle$)

$$\begin{pmatrix} |I\rangle & |0\rangle \\ t_0^* & \epsilon_0=0 \\ t_0 & \end{pmatrix}$$

Indeed, each site has 4 degrees of freedom, ($|0\rangle, |1\uparrow\rangle, |1\downarrow\rangle, |2\uparrow\downarrow\rangle$)

Energy level

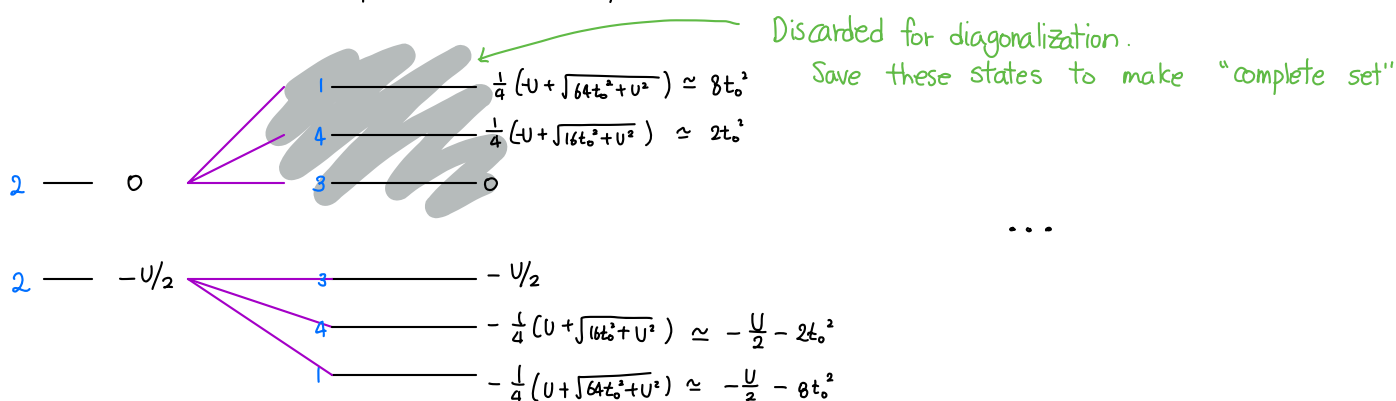
$$\epsilon_F=0$$

$$\uparrow\downarrow - 2\epsilon_d + U = 0$$

$$\uparrow \text{ or } \downarrow \quad \epsilon_d = -U/2$$

$$\begin{matrix} & |0\rangle & |1\uparrow\rangle & |1\downarrow\rangle & |2\uparrow\downarrow\rangle \\ \begin{matrix} |0\rangle \\ |1\uparrow\rangle \\ |1\downarrow\rangle \\ |2\uparrow\downarrow\rangle \end{matrix} & \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & -U/2 & 0 & 0 \\ 0 & 0 & -U/2 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} \end{matrix}$$

Add one site : expand Hilbert space : 4×4 matrix $\rightarrow 16 \times 16$ matrix



If we want to keep N_{keep} states, truncate Hilbert space of high energy levels.

at l th iteration, $H^l |\alpha\rangle_l = E_\alpha^l |\alpha\rangle_l \quad \alpha=1, \dots, N_{\text{keep}} \quad (\text{rather than } d^{l+1})$

Then, add one site $\Rightarrow H : 4N_{\text{keep}} \times 4N_{\text{keep}}$ matrix

Diagonalize $\Rightarrow 4N_{\text{keep}}$ energy eigenvalues

Truncation $\Rightarrow N_{\text{keep}}$ low energy eigenvalues/eigenvectors

$3N_{\text{keep}}$

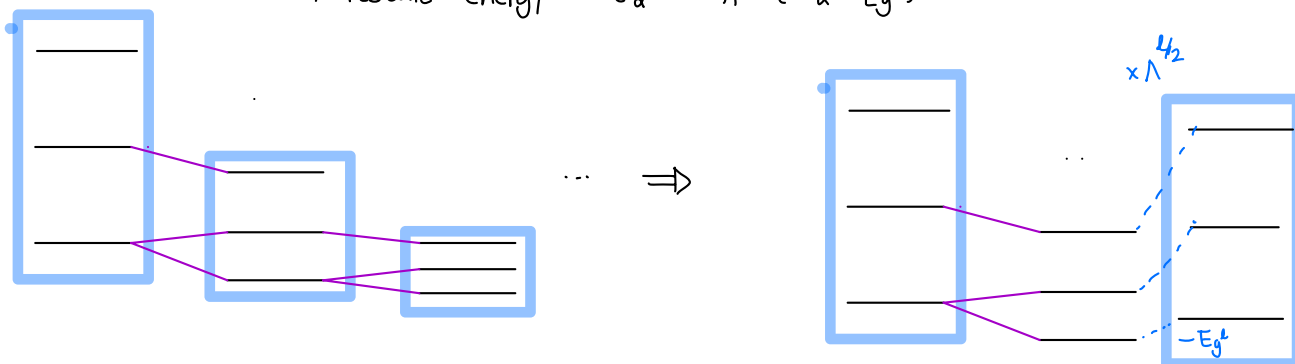
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save for later use

Rescaling

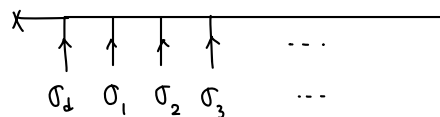
We already know at $(l+1)$ th iteration, we will see $t_{l+1} \propto \Lambda^{-\frac{l+1}{2}}$ terms

$$\Rightarrow \text{rescale energy } \varepsilon_\alpha^l = \Lambda^{\frac{l}{2}} (E_\alpha^l - E_g^l)$$

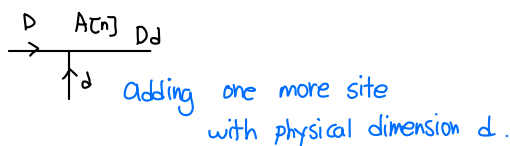


Numerical reason - more stable from floating # error
Physically it is same as RG transformation (zooming in)

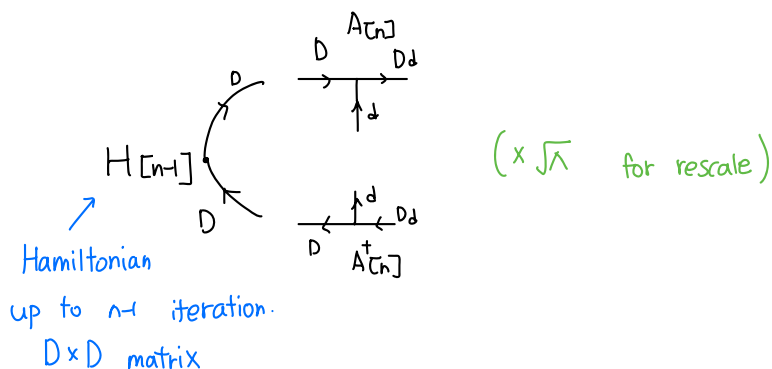
Procedures



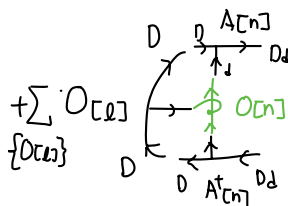
(1) Define Identity tensor $A[n]$



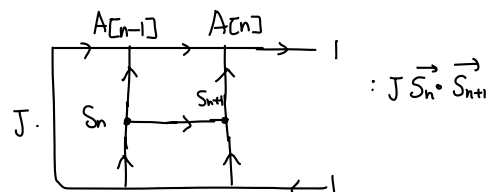
(2) Expand Hamiltonian in new basis



(3) Add terms



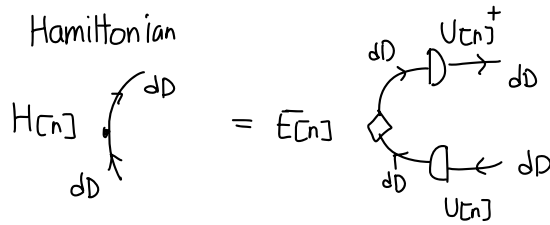
For example



$$H_{[n]}^{\text{hop}} = \Lambda^{\frac{n}{2}} \cdot t_{n-1} \cdot \left[\text{Jordan-Wigner string} + \text{h.c.} + \text{onsite term} \right]$$

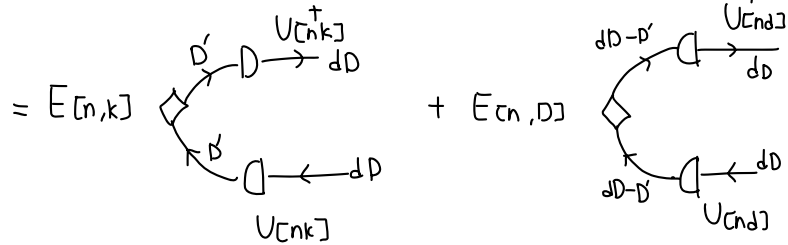
$$= \sum_{\sigma} \hat{f}_{n\sigma}^{\dagger} \hat{f}_{n+1\sigma}$$

(4) Diagonalize Hamiltonian

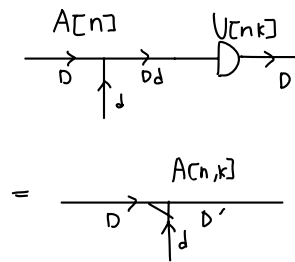
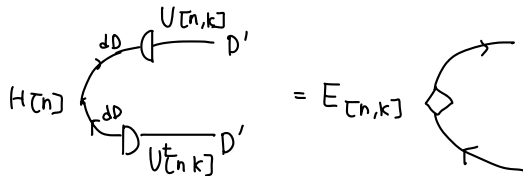


$$H[n] = U[n] E[n] U[n]^\dagger$$

(5) Truncate Hilbert space



(6) Update isometries



Analyzing result

Energy flow diagram

- Rescaled energy
- Even/odd oscillation : finite size effect
- Plateau (-like) regime
: $E_n \simeq E_{n+2}$ up to rescaling
adding 'sites' doesn't affect

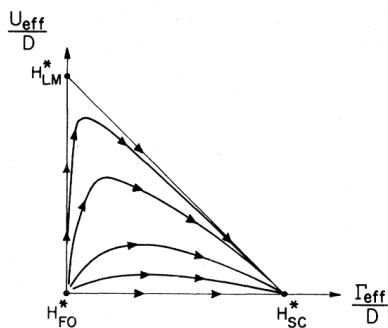
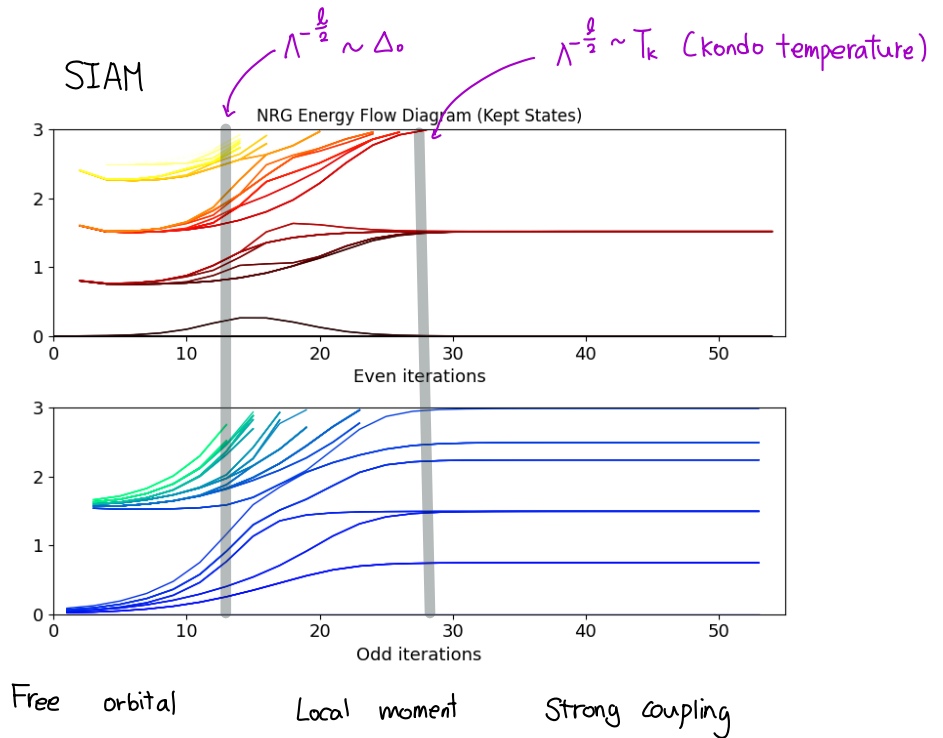


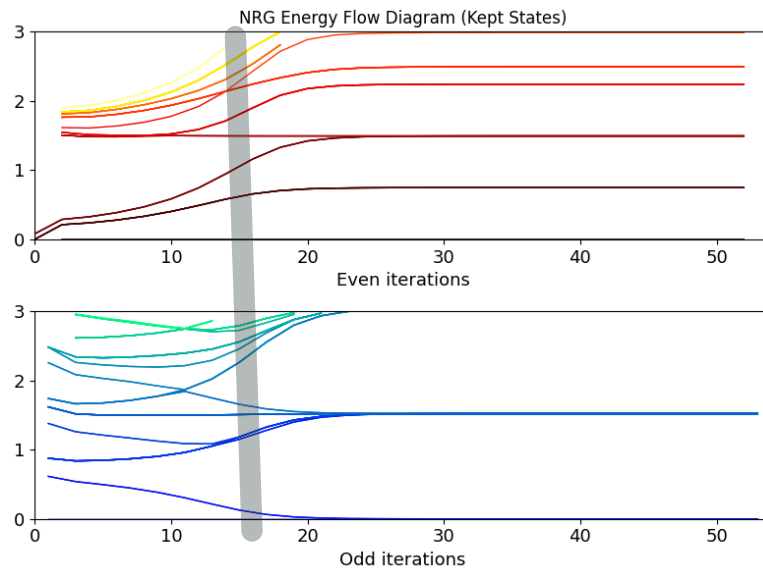
FIG. 8. Schematic renormalization-group flow diagram. Each H_N is thought of as associated with a U_{eff} and Γ_{eff} . Trajectories depict the flow of H_N with increasing N . Note that only the strong-coupling fixed point is stable.

[Krishna-murthy, Wilkins, and Wilson, 1980]



kondo model (Actually SWT to impurity and only first bath site on Wilson chain Hamiltonian)

$$\hat{H} = J \vec{S}_d \cdot \vec{S}_1 + \sum_{n=1}^N \sum_{\sigma} (t_n f_{n\sigma}^{\dagger} f_{n-1,\sigma} + \text{h.c.}) + \sum_{n=0}^N \sum_{\sigma} \epsilon_n f_{n\sigma}^{\dagger} f_{n\sigma}$$



No free orbital regime due to SWT