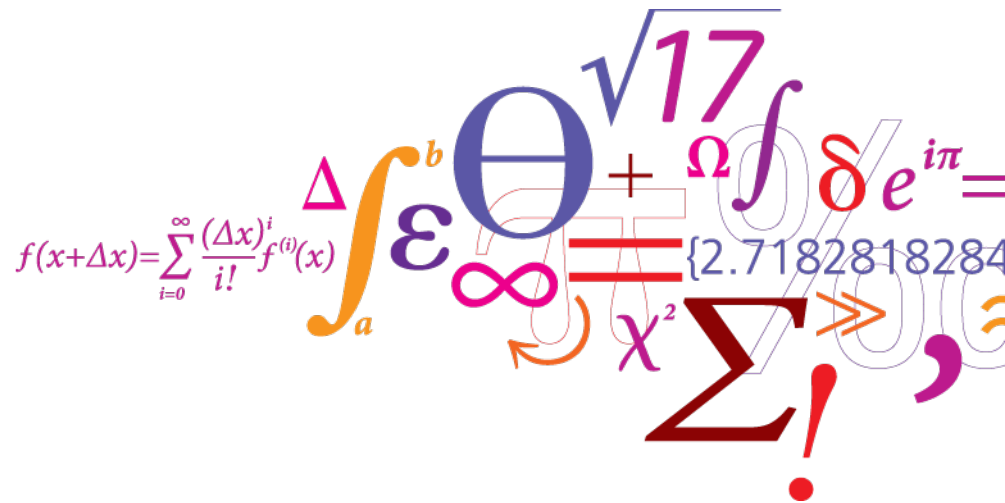


Explanation techniques for neural networks

An overview with practical examples



Different approaches

- We assume
 - Complicated non-linear task
 - Can **not** be solved by an intuitively explainable model
- Complete understanding is not possible
- Three basic approaches shown
 - Backpropagation approach
 - Local approximation
 - Network representation

Based on backpropagation

- Derivative of output in regards to input
- Optimized in most libraries
- Simple implementation
- Many variants
 - SmoothGrad [11]
 - CAM [2]
 - GradCAM [13]
 - LRP [12]
 - ...

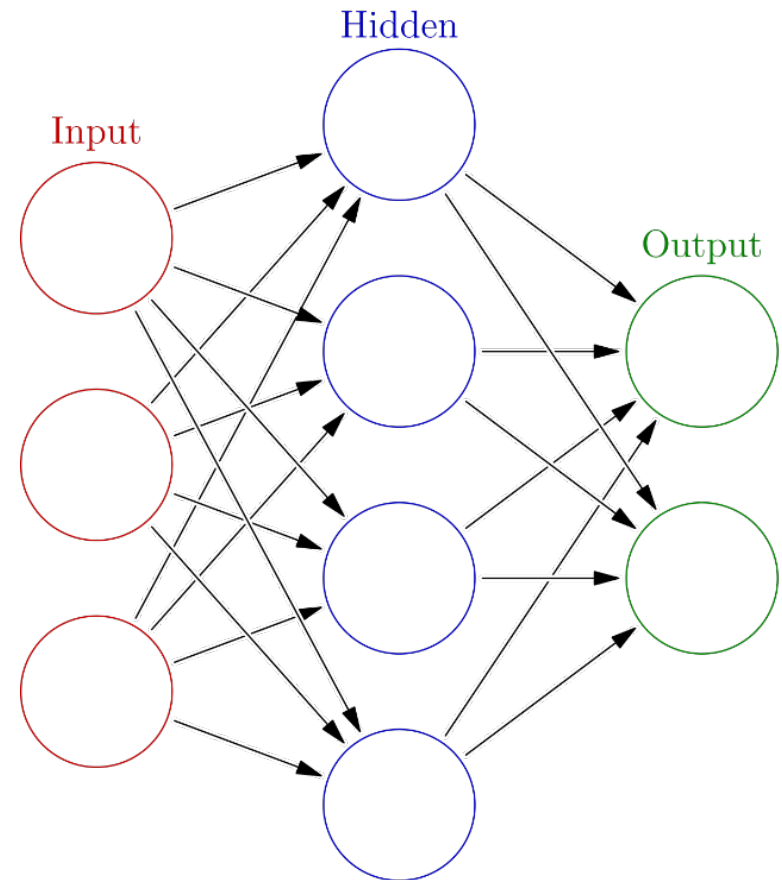


Image from https://en.wikipedia.org/wiki/Artificial_neural_network

Saliency

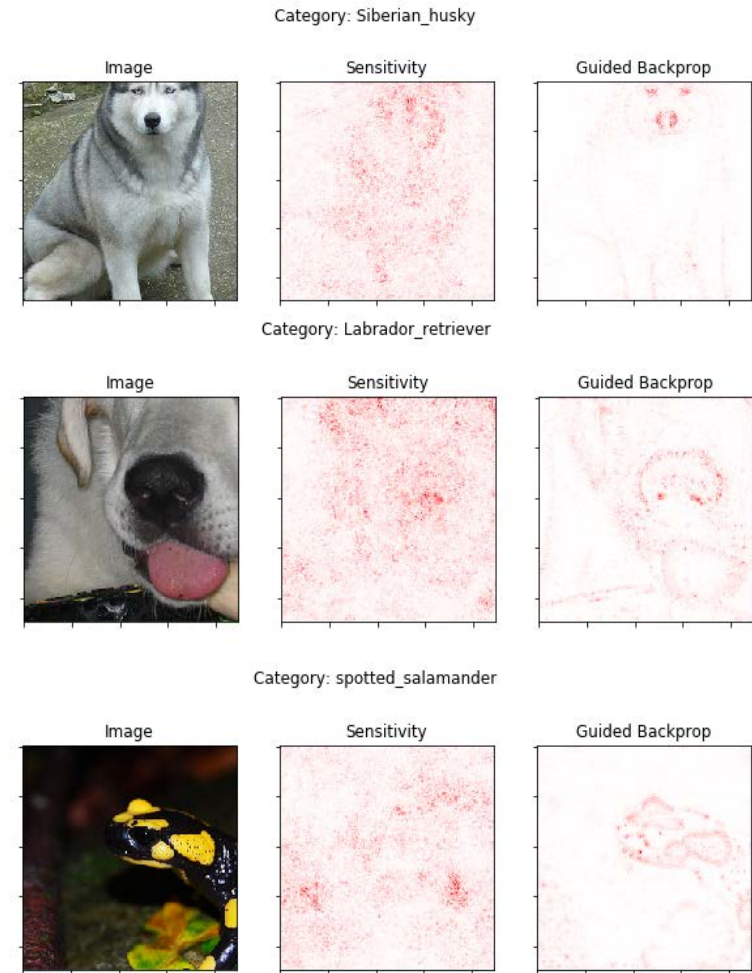
- Basic variant [1]

$$\frac{\partial y_c}{\partial x_{i,j}} = \left(\frac{\partial y_c}{\partial l_{-1}} \right) \cdots \left(\frac{\partial l_1}{\partial x_{i,j}} \right)$$

- Variant: Guided Backprop [9]

$$\frac{\partial' y_c}{\partial' x_{i,j}} = \text{ReLU} \left(\frac{\partial y_c}{\partial l_{-1}} \right) \cdots \text{ReLU} \left(\frac{\partial l_1}{\partial x_{i,j}} \right)$$

- Easy to implement
- Very noisy



Sensitivity heatmaps obtained with kerasvis library
from pretrained VGG16

Gradient-weighted Class Activation Mapping (Grad-CAM)

- Combining CAM[2] and gradients
- Requires CNN structure
- Coarse localization due to upfiltering

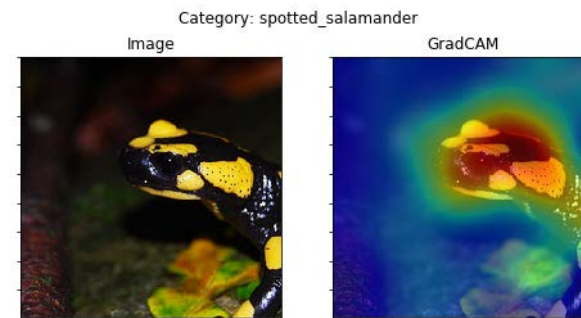
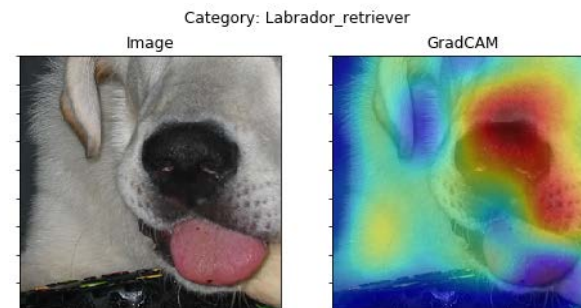
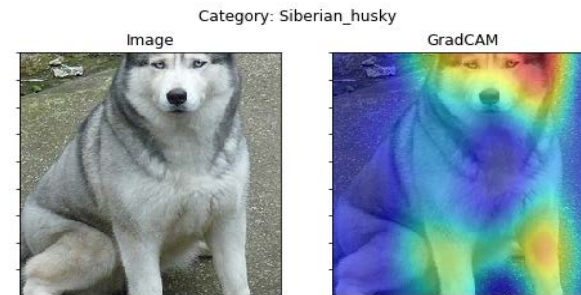
- CAM [2]:

$$M_c(x, y) = \sum_k w_k^c f_k(x, y)$$

- Grad-CAM [13]:

$$\alpha_k^c = \frac{1}{Z} \sum_i \sum_j \frac{\partial y^c}{\partial A_{ij}^k}$$

$$M_{GradC}^c(x, y) = ReLU \left(\sum_k \alpha_k^c f_k(x, y) \right)$$



GradCAM heatmaps obtained with kerasvis library from pretrained VGG16

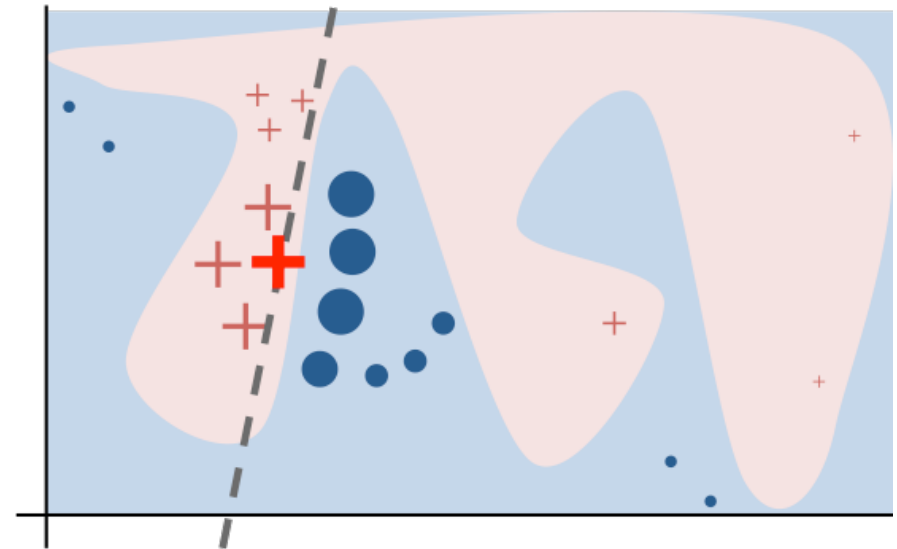
Overview

	Fidelity	Understandability	Sufficiency	Low construction overhead	Efficiency
Backprop	+	-	0	+	+
Local					
High-level					

Desiderate taken from [14]

Local approximation with interpretable model – LIME [4]

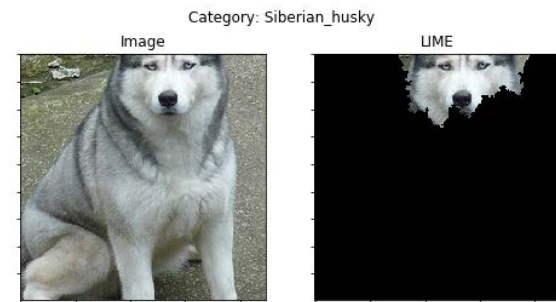
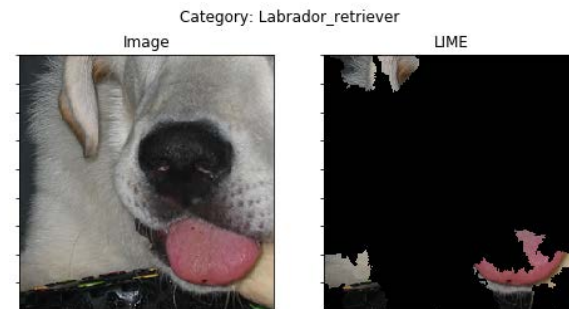
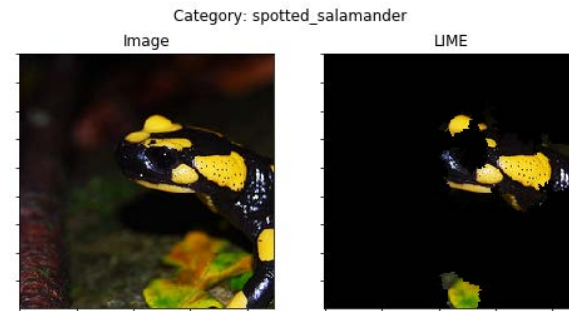
- Intuition:
 - Sample around \mathbf{x}
 - Weigh samples according to distance
 - Train linear classifier
 - Obtain explanation
- Low-dimensional representation necessary
 - For images: segment into super-pixels
 - For text: bag of words



From <https://github.com/marcotcr/lime>

Local approximation with interpretable model – LIME [4]

- Intuition:
 - Sample around \mathbf{x}
 - Weigh samples according to distance
 - Train linear classifier
 - Obtain explanation
- Low-dimensional representation necessary
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Images obtained with LIME library
from pretrained VGG16

Overview

	Fidelity	Understandability	Sufficiency	Low construction overhead	Efficiency
Backprop	+	-	0	+	+
Local	0	+	0	+	-
High-level					

Desiderate taken from [14]

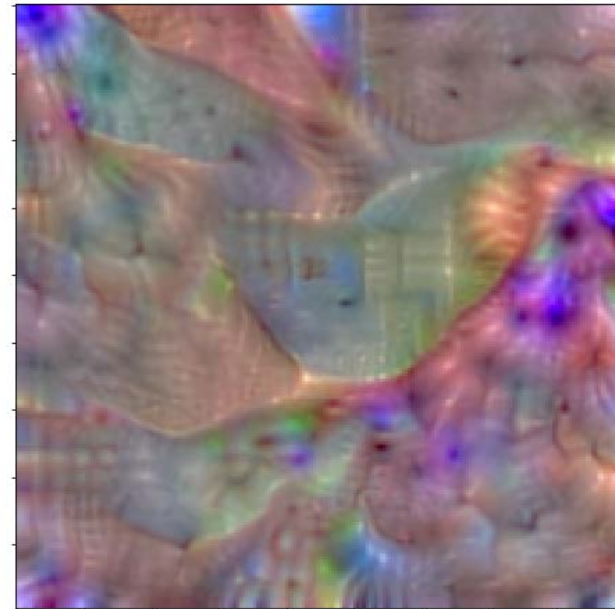
Higher-level

- Network level explanations
- Requires domain knowledge
- Interesting for risk and fairness analysis
- Two approaches presented
 - Analyzing specific network parts
 - Analyzing specific aspects

Probing the network

- "Understanding Neural Networks Through Deep Visualization" [5]
 - Idea: iteratively optimize activation of neurons with backpropagation
 - Regularize to encourage realism
 - For output or intermediate layers
- Alternatives
 - Bau, David, et al. "Network Dissection: Quantifying Interpretability of Deep Visual Representations." *Computer Vision and Pattern Recognition (CVPR), 2017 IEEE Conference on*. IEEE, 2017.
 - Alain, Guillaume, and Yoshua Bengio. "Understanding intermediate layers using linear classifier probes." (2016).

Category: hen



Obtained with kerasvis
from pretrained VGG16

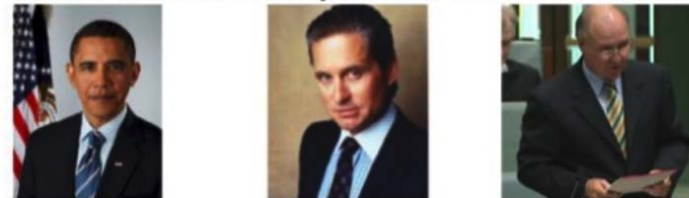
Testing with Concept Activation Vectors CAV

- Recently proposed by Kim et al [8]
- Requires high domain knowledge
- Idea:
 - assemble dataset $\{P, N\}$
 - Train linear classifier on representation of given layer
 - Obtain weights
- Useful for
 - Evaluating given input
 - Identifying a known bias in dataset and model

Model Women concept: most similar necktie images



Model Women concept: least similar necktie images



Kim, Been, et al.

"TCAV: Relative concept importance testing with Linear Concept Activation Vectors." (2018).

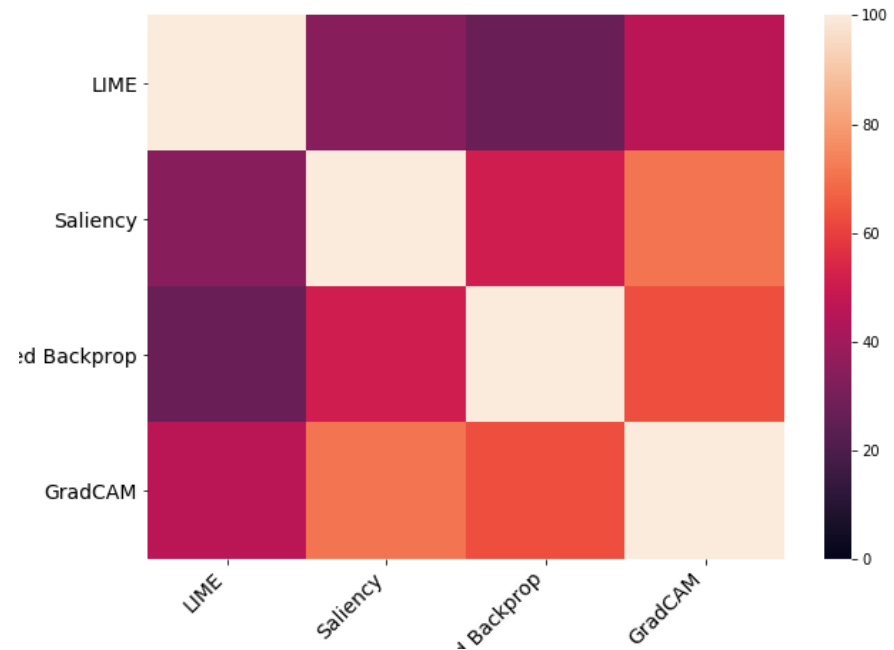
Overview

	Fidelity	Understandability	Sufficiency	Low construction overhead	Efficiency
Backprop	+	-	0	+	+
Local	0	+	0	+	-
High-level	+	+	-	-	0

Desiderate taken from [14]

How much disagreement is there?

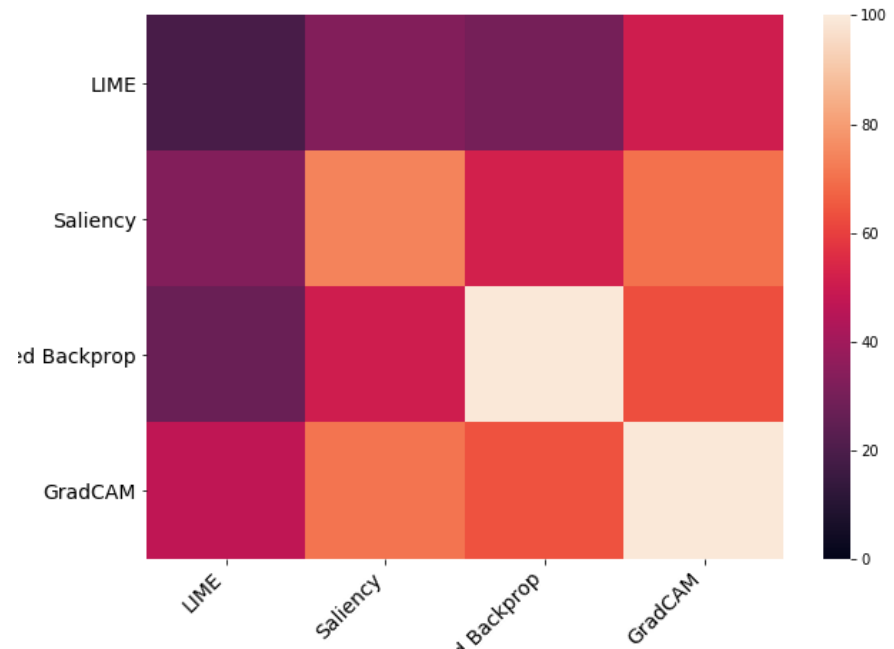
- Expectation: explanations are correlated across similar networks
- Compute agreement between heatmaps
 - Between methods
 - Between networks
- LIME is highly changeable compared to other methods



Agreement between explanation methods for VGG16

How much disagreement is there?

- Expectation: explanations are correlated across similar networks
- Compute agreement between heatmaps
 - Between methods
 - Between networks
- LIME is highly changeable compared to other methods



*Agreement between explanation methods
between VGG16 and VGG19*

Take-away

- We have to make a trade-off when obtaining explanations from NNs
- Different approaches have different pros and contras
- Task in question needs to be considered

	Fidelity	Understandability	Sufficiency	Low construction overhead	Efficiency
Backprop	+	-	0	+	+
Local	0	+	0	+	-
High-level	+	+	-	-	0

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