

# Implementation and Semantics of an asynchronous evaluation engine for stream based specifications

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University of Luebeck



UNIVERSITÄT ZU LÜBECK

Institute For Software Engineering and Programming Languages

isp

Master Thesis

# Implementation and Semantics of an asynchronous evaluation engine for stream based specifications

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Abstract

Abstract (Deutsch)



# Acknowledgement





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# System

Let  $E$  be the Set of valid input events and  $e \in E$ , where each event carries a value, a timestamp and the channel it is perceived on (e.g. a function call of a specific function). Further let  $O$  be the Set of valid output events and  $o \in O$ , which have the same properties than input events.

## 1.1 Semantics of TeSSLa functions

Think of semantics of thinks like add, mrv, etc. Can these be defined independent of async/synchronous or for each. For synchronous they are partly defined in the TeSSLa spec

## 1.2 Semantics of an ideal, synchronous evaluation Engine

An ideal implementation  $I$  for a specification  $T$  is one that consumes an input event  $e_x$  and immediately emits appropriate output Events  $o_{1,1}, o_{1,2}, \dots, o_{1,x}$  with  $x \in \mathbb{N}_{\geq 0}$  and changes it's internal state  $S$  to save the fact, that the event  $e_x$  was received and optionally other properties generated by the evaluation of the spec that are needed by later computations.

Therefore it's behaviour for a single input can be described by two functions:

$$\begin{aligned}\Phi : S \times E &\rightarrow S \\ \Theta : S \times E &\rightarrow O^*\end{aligned}$$

The behaviour for multiple inputs is the composition of the functions.

The semantics for the implementation  $I$  for a Stream of input events from  $E^*$  is given by the output Stream from  $O^*$  that is generated by the formulas.

## 1.3 Semantics of an asynchronous evaluation Engine

An asynchronous implementation  $A$  for a specification  $T$  has more complex characteristics: Its state is defined by the product of the States of its nodes, where each node represents a primitive operation in the specification and the nodes are organized as a DAG. The output is specified by the concatenation of outputs of the nodes that are marked as output nodes in the specification.

In contrast to  $I$  the asynchronous implementation takes multiple steps to produce an output from an input. During a step multiple things can happen at once:

- A new, external input Event can be consumed by a source in the DAG and is propagated to its children
- Multiple internal Nodes, which have at least one new input buffered on their input queue can perform their computation and propagate their new output to their children.
- Multiple output nodes, which have at least one new input buffered on their input queue, can produce a new output.

The semantic of the implementation is given by the product of the semantics of its nodes.

## 1.4 Equality of Semantics

$A$  and  $S$  are considered equal if for a Series of input Events they produce the same output Events, or stated otherwise: The equality is defined only over the traces they consume and produce.  $A$  won't emit the outputs in the right order, but because each event holds the timestamp it was generated, they can be reordered so that the output will be exactly the same as the one from  $I$

### 1.4.1 Equality of States

To proof the equality of both systems we have to proof the equality of the states  $A$  will take, while producing the outputs, to the States  $I$  takes. Let  $e_1, e_2, \dots, e_x$  be the input events both implementations receive. The State of  $I$  will change every time

Timestamp:	$t_0$	$t_1$	$t_2$	$t_3$
Input:		$e_1$	$e_2$	$e_3$
State:	$I_0$	$I_1$	$I_2$	$I_3$
Outputs:		$(o_{1,1}, \dots, o_{1,x})$	$(o_{2,1}, \dots, o_{2,y})$	$(o_{3,1}, \dots, o_{3,z})$

**Fig. 1.1:** Example computation of 3 Inputs by an ideal implementation

a new input is received and will produce all outputs that could be computed. The processing of a series of 3 input events can be visualized like shown in Figure 1.1.

Due to the asynchronous nature of  $A$  there is no direct mapping from the states of  $I$  to the states of  $A$ , because  $A$  will compute outputs in a non deterministic order. Therefore the equality has to be shown inductive over the possible States  $A$  could reach while computing the outputs.

Let's first reason about the easy case, where only one external event is received: In this case  $I$  has only two states,  $I_0$ ,  $I_1$  and will only produce outputs once:  $o_{1,1}, \dots, o_{1,x}$ .  $A$  will walk through multiple states, bound by the number of functions in the specification it's implementing. Because the specification is a DAG, especially has no circles, eventually  $A$  has to finish its computation, let the number of steps be  $k$ . Let  $h_{\min}$  be the minimal height of the DAG (the height of the leaf with the fewest steps to the Source). The first output can only be created after  $h_{\min}$  steps and after step  $k$  all outputs have to be created, so that  $o_{1,1}, \dots, o_{1,x}$  were emitted. Let the States of  $A$  during the computation be  $A_0, A_{1,0}, A_{1,1}, \dots, A_{1,k}$  where  $A_0$  is the initial state. When taking the first step and  $A_{1,0}$  is reached, the event  $e_1$  is consumed and no other node can compute. Note that there is no alternative to this behaviour, because there were no prior events and therefore no internal Node have an event to process on its input queue, therefore the Source consuming the external event is the only node that can, and therefore must, compute anything. Afterwards all Nodes that are direct children of the source that consumed event will have one input event buffered and are able to perform their computation in the next step. At least until step  $h_{\min}$  every step one or more Nodes, that are no outputs will perform a computation, therefore pushing internal events closer to the output nodes. Somewhere between step  $h_{\min}$  and  $k$  all internal events will reach an output node and produce an output.

A more complex case is when multiple events are received. To make the reasoning easier let's first assume, that the next input event is only consumed, when all outputs based on the previous event were produced (therefore being kind of synchronous).  $I$  will step through a series of States  $I_0, I_1, \dots, I_x$ ,  $A$  on the other hand will step through a Series of states for each state that  $I$  takes:  $A_0, A_{1,0}, A_{1,1}, \dots, A_{1,j1}, A_{2,0}, \dots, A_{x,j2}$ .

Based on this behaviour lets define when a State of  $A$  is equal to one of  $I$ : The first states  $A_0$  and  $I_0$  are always assumed to be equal, because no output can be observed and therefore one can't observe a difference. A State  $A_{i,j}$  with  $j > 0$  is called equal to a State  $I_i$  iff:

- The previous state  $A_{i,j-1}$  was equal to  $I_i$
- and either
  - No new output was generated at state  $A_{i,j}$
  - or if a new output  $o$  is created it is equal to one of the outputs of  $I_i$  that wasn't generated before

A State  $A_{i,0}$  is called equal to a state  $I_i$  iff

- The previous state  $A_{i-1,x}$  was equal to  $I_{i-1}$
- the input  $e_i$  was consumed at the step
- and the States  $A_{i-1,0}$  to  $A_{i-1,x}$  together produced the same output like  $I_{i-1}$

Naively speaking the System  $A$  moves through states  $A_{i,0}, \dots, A_{i,x}$  while it produces the same outputs as  $I$  produced when it reached state  $I_i$ , and as soon as the last output was produced consumes a new event and thereby takes state  $A_{i+1,0}$ .

Based on this, a series of states  $\vec{A}$  is called equal to a series of States  $\vec{I}$  if each state in  $\vec{A}$  is equal to a state in  $\vec{I}$ . The System  $A$  is equal to the system  $I$  in regard to a series of inputs  $\vec{e}$  iff all possible series of states it could take to process  $\vec{e}$  are equal to  $\vec{I}$ .

Problem for multiple input events: an output event from  $I_2$  could be produced by  $A$  before all output events of  $I_1$  were produced

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## Colophon

This thesis was typeset with  $\text{\LaTeX}$ . It uses the *Clean Thesis* style developed by Ricardo Langner. The design of the *Clean Thesis* style is inspired by user guide documents from Apple Inc.

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*Luebeck, November 20, 2016*

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Alexander Schramm

