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# Topological Hochschild homology of discrete valuation rings

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MASTER'S THESIS

submitted by

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# Abstract

This thesis deals with the problem of the *functorial* identification of topological Hochschild homology on certain rings.

The included chapter contains an exposition of the work by Krause and Nikolaus which computes THH of complete mixed characteristic discrete valuation rings ([KN19]). This computation hinges on the choice of an uniformizer and is thus only functorial in maps that preserve the chosen uniformizers. In future chapters we will thus try to identify the action of THH on arbitrary maps of these rings.

# Extended Abstract

The algebraic K-theory groups are fundamental invariants of rings. They encapsulate deep knowledge about the ring via its category of modules. For rings of integers in number fields, the K-theory groups contain information about the class group and group of units of the ring, the Brauer group of the field and values of its Dedekind zeta function. Algebraic K-theory can also be applied to schemes. In fact, it was first defined in this context by Grothendieck during the work on his Riemann-Roch theorem, where he discovered a remarkable relationship to algebraic cycles. Later, Bloch pushed this relationship further to his newly defined higher Chow groups. But maybe its most spectacular applications have been found in geometric topology. Starting with the early work of Wall and the s-cobordism theorem by Barden-Mazur-Stalling it culminated in the incredible stable parametrized h-cobordism theorem by Waldhausen, Jahren and Rognes. It says, that the stable h-cobordism space of say a smooth manifold  $M$  can be obtained from the K-theory space of  $\mathbb{S}[\Omega M]$ , the spherical group ring of the loop space of  $M$ . This is remarkable, because this allows us - as does the classical h-cobordism theorem - to obtain geometric results for  $M$  purely using homotopy theory. One of its implications is that we can calculate many homotopy groups of the diffeomorphism group of  $M$  via the K-theory groups of  $\mathbb{S}[\Omega M]$ . For example the homotopy groups of the diffeomorphism group of a disc  $\pi_*(\text{Diff}(D^n))$  can (in a range depending on  $n$ ) be obtained by computing the K-theory groups of the sphere spectrum. This boils down to the understanding of  $K_*(\mathbb{Z})$  about which we know a lot by several major results in number theory.

These powerful results and theorems now present us with the challenge to actually compute K-theory groups. This problem is in general very hard! There are therefore several successful approaches towards these computations, which nicely complement each other. Among them are motivic methods, controlled algebra and trace methods. Let us only describe the last one, as it is the one of relevance for this thesis. The idea of trace methods is to consider other - ideally more computable - spectrum valued invariants of rings and compare K-theory to them via so-called trace maps. The hope is that while these invariants are more computable, the difference to K-theory is not too big, in other words the trace map is close to an isomorphism. The most successful of these comparisons is with the trace map  $K \xrightarrow{\text{tr}} \text{TC}$  to the so-called *topological cyclic homology* introduced by Bökstedt-Hsiang-Madsen. By work of Dundas-Goodwillie-McCarthy

and Hesselholt-Madsen, we now know that the trace map is a remarkably close approximation that has been used to calculate K-theory in many cases. Due to the recent work of Nikolaus-Scholze, topological cyclic homology itself can essentially be computed via two spectral sequences out of yet another invariant: Topological Hochschild homology (THH). This is the main player of this thesis and the goal is to provide a new computation of THH, that has not been done before.

The rings, that we will consider are complete discrete valuation rings of mixed characteristic. To be concrete, all of them are certain extensions of the  $p$ -adic integers, like  $\mathbb{Z}_p[\sqrt[p]{p}]$  or  $\mathbb{Z}_p[\zeta_{p^n}]$ . They arise for example as completions of rings of integers in number fields and are thus of fundamental importance in algebraic number theory. The topological Hochschild homology of these rings has already been computed in the work of Lindenstrauss-Madsen. Recently Krause and Nikolaus gave a more conceptual proof of the same result, which we present in the first chapter of this thesis. Unfortunately, both approaches do not give a functorial computation. They only identify THH of these rings but do not answer the question what it does to morphisms. This is unsatisfactory for at least two reasons: We might want to understand the action of the Galois group on K-theory. Because the trace map  $K \xrightarrow{\text{tr}} \text{TC}$  is a natural transformation and TC is functorially obtained from THH, the first step to understand the functoriality of K-theory is to understand it for THH. Secondly, functorial descriptions are often needed for further computations. For example, in our computation, we crucially need that we not only know the topological Hochschild homology groups of  $\mathbb{Z}_p[z]$  but *also* how endomorphisms of  $\mathbb{Z}_p[z]$  act on the topological Hochschild homology groups of it.

Let us now give a short outline of our approach to understand the functoriality of  $\text{THH}_*(R)$  (more precisely we deal with the  $p$ -completions of these groups). Firstly we use, that by a general classification result all mixed characteristic discrete valuation rings are obtained from  $\mathbb{Z}_p$  by adjoining a root of an Eisenstein polynomial, i.e.  $R = \mathbb{Z}_p[z]/E(z)$  for  $E(z) \in \mathbb{Z}_p[z]$  Eisenstein<sup>1</sup>. This allows us to write  $R$  as follows  $R = \mathbb{Z}_p[z] \otimes_{\mathbb{Z}_p[z]} \mathbb{Z}_p$ , where  $\mathbb{Z}_p[z]$  acts on the left factor by the map  $z \mapsto E(z)$  and on the right factor by  $z \mapsto 0$ . Now we can observe, that THH preserves tensor products of commutative rings, i.e. we get  $\text{THH}(R) = \text{THH}(\mathbb{Z}_p[z]) \otimes_{\text{THH}(\mathbb{Z}_p[z])} \text{THH}(\mathbb{Z}_p)$ . This is progress because we know what  $\text{THH}(\mathbb{Z}_p)$  is. Furthermore, by a Hochschild-Kostant-Rosenberg type result we also obtain  $\text{THH}(\mathbb{Z}_p[z]) \simeq \text{THH}(\mathbb{Z}_p) \otimes_{\mathbb{Z}_p} \text{HH}(\mathbb{Z}[z]/\mathbb{Z})$ . This is not a natural equivalence on the level of spectra, but it is natural after taking homotopy groups  $\text{THH}_*(\mathbb{Z}_p[z]) \simeq \text{THH}_*(\mathbb{Z}_p) \otimes_{\mathbb{Z}} \Omega_{\mathbb{Z}[z]/\mathbb{Z}}^*$ . We exactly know how Kähler differentials are functorial, thus we completely understand the functoriality on the level of homotopy groups. Now we want to go from these homotopy groups to  $\text{THH}_*(R)$  and

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<sup>1</sup>Actually we need to take the Witt vectors of the residue field of  $R$ , but for sake of exposition we stick to the easiest case that the residue field is  $\mathbb{F}_p$  and the Witt vectors are  $W(\mathbb{F}_p) = \mathbb{Z}_p$

for this we can employ the Tor-spectral sequence to the tensor product formula, we found above:  $\mathrm{THH}(R) = \mathrm{THH}(\mathbb{Z}_p[z]) \otimes_{\mathrm{THH}(\mathbb{Z}_p[z])} \mathrm{THH}(\mathbb{Z}_p)$ . This spectral sequence has  $E^2$ -page given by graded Tor-groups and converges to  $\mathrm{THH}_*(R)$ . A map  $R \rightarrow S$  induces a map between the respective spectral sequences. Therefore we need to understand the differentials and extension behaviour. This is in fact possible, because we know by the result of the first chapter, what  $\mathrm{THH}(R)$  is and thus the target of the spectral sequence. In the last chapter we will then precisely analyze the spectral sequence, identify all differentials and extension problems and therefore give a full description of the functoriality of  $\mathrm{THH}_*$  for all rings under consideration.

# 1. Topological Hochschild Homology of CDVRs

In this chapter we will calculate topological Hochschild homology of (certain) complete discrete valuation rings.

Our main input for this is the following fundamental result of Bökstedt. We give two statements.

**Theorem 1.1** (Bökstedt). *On homotopy groups there is an isomorphism of graded rings  $\mathrm{THH}_*(\mathbb{F}_p) = \mathbb{F}_p[x]$  for  $x \in \mathrm{THH}_2(\mathbb{F}_p)$  a generator. We can also phrase this more conceptually as follows:  $\mathrm{THH}(\mathbb{F}_p)$  is the free  $\mathbb{E}_1$ - $\mathbb{F}_p$ -algebra on an element in degree 2. In other words  $\mathrm{THH}(\mathbb{F}_p) \cong \mathbb{F}_p \otimes_{\mathbb{S}} \Sigma_+^\infty \Omega S^3$ .*

Note that the second statement implies the first<sup>1</sup>, because the homotopy groups of  $\mathbb{F}_p \otimes_{\mathbb{S}} \Sigma_+^\infty \Omega S^3$  are the  $\mathbb{F}_p$ -homology groups of  $\Omega S^3$ , which can be computed by the Serre spectral sequence associated to the path space fibration of  $S^3$ . The ring structure on  $H_*(\Omega S^3; \mathbb{F}_p)$  is given by the Pontryagin product induced by the  $\mathbb{E}_1$ -structure on  $\Omega S^3$ .

This periodicity in homotopy groups is called Bökstedt periodicity. In fact, the same phenomenon occurs in a much greater class of examples, namely for perfect  $\mathbb{F}_p$ -algebras and even all perfectoid rings. There is also a relative version for complete discrete valuation rings with perfect residue field of characteristic  $p$ . The proof for these results bootstraps the Bökstedt periodicity of  $\mathbb{F}_p$  using two base change formulas that we will introduce now.

**Proposition 1.2.** *Let  $k' \rightarrow k$  be a map of  $\mathbb{E}_\infty$ -ring spectra and  $R$  an  $\mathbb{E}_1$ - $k'$ -algebra. Then we have*

$$\mathrm{THH}(R/k') \otimes_{k'} k = \mathrm{THH}(R \otimes_{k'} k/k).$$

*Proof.* The base change functor  $- \otimes_{k'} k : \mathrm{Mod}_{k'} \rightarrow \mathrm{Mod}_k$  is left adjoint to the forgetful functor, so it preserves all colimits. It furthermore carries a symmetric monoidal structure. Since topological Hochschild homology in a symmetric monoidal category is built via the geometric realization of the cyclic bar construction it only uses the monoidal structures and geometric realization. Hence any

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<sup>1</sup>Both statements are actually equivalent. For the other direction observe that a generator of  $\mathrm{THH}_2(\mathbb{F}_p)$  gives a map of  $\mathbb{E}_1$ - $\mathbb{F}_p$ -algebras  $\mathbb{F}_p \otimes_{\mathbb{S}} \Sigma_+^\infty \Omega S^3 \rightarrow \mathrm{THH}(\mathbb{F}_p)$  because the domain is the free  $\mathbb{E}_1$ - $\mathbb{F}_p$ -algebra on an element in degree 2 and the target is even an  $\mathbb{E}_\infty$ - $\mathbb{F}_p$ -algebra. By assumption this map induces an isomorphism on homotopy groups and since equivalences of  $\mathbb{E}_n$ -algebras can be detected on the underlying spectra, the map is an equivalence of  $\mathbb{E}_1$ - $\mathbb{F}_p$ -algebras.

symmetric monoidal functor that also preserves geometric realizations will induce an equivalence on topological Hochschild homology. This applies in particular to the functor  $- \otimes_{k'} k : \text{Mod}'_k \rightarrow \text{Mod}_k$ , since it preserves all colimits.  $\square$

An important special case is  $k' = \mathbb{S}$ , which gives us  $\text{THH}(R) \otimes_{\mathbb{S}} k = \text{THH}(R \otimes_{\mathbb{S}} k/k)$ .

The next base change formula will allow us to 'decompose' relative THH computations. We prepare it with a small Lemma.

**Lemma 1.3.** *Let  $k' \rightarrow k$  be a map of  $\mathbb{E}_{\infty}$ -ring spectra and  $M, N \in \text{Mod}_k$  modules over  $k$  (and thus also over  $k'$ ). Consider  $k$  as a module over  $k \otimes_{k'} k$  via multiplication. We then have the following natural equivalence*

$$M \otimes_k N \simeq (M \otimes_{k'} N) \otimes_{k \otimes_{k'} k} k.$$

*Proof.* We have a canonical map of  $k$ -modules  $M \otimes_{k'} N \rightarrow M \otimes_k N$  induced by the map  $k' \rightarrow k$ . Under the adjunction  $- \otimes_{k \otimes_{k'} k} k : \text{Mod}_{k \otimes_{k'} k} \rightleftarrows \text{Mod}_k : \text{Forget}$  this is adjoint to the map

$$(M \otimes_{k'} N) \otimes_{k \otimes_{k'} k} k \rightarrow M \otimes_k N.$$

We want to show that this is an equivalence. Because  $\text{Mod}_k$  is under colimits generated by  $k$  and  $- \otimes_{k'} -$  preserves colimits in both variables separately, it suffices to check the statement in the case  $M = N = k$ . There we have

$$(k \otimes_{k'} k) \otimes_{k \otimes_{k'} k} k \rightarrow k \otimes_k k,$$

which is clearly an isomorphism.  $\square$

Using exactly the same argument we also obtain the result

$$M_1 \otimes_k M_2 \otimes_k \cdots \otimes_k M_n \xleftarrow{\sim} (M_1 \otimes_{k'} M_2 \otimes_{k'} \cdots \otimes_{k'} M_n) \otimes_{k \otimes_{k'} \cdots \otimes_{k'} k} k,$$

for  $M_1, \dots, M_n$  modules over  $k$ .

**Proposition 1.4.** *Let again  $k' \rightarrow k$  be a map of  $\mathbb{E}_{\infty}$ -ring spectra and  $R$  an  $\mathbb{E}_1$ - $k$ -algebra. Then  $k$  is a  $\text{THH}(k/k')$ -module and we have*

$$\text{THH}(R/k) = \text{THH}(R/k') \otimes_{\text{THH}(k/k')} k$$

*Proof Sketch.* Let us start by writing the left hand side in terms of its cyclic bar construction and applying the previous Lemma in the case where all  $k$ -modules are given by  $R$ .

$$\begin{aligned} \text{THH}(R/k) &= \text{colim}_{\Delta^{\text{op}}} (\cdots \rightrightarrows R \otimes_k R \rightrightarrows R) \\ &\stackrel{1.3}{=} \text{colim}_{\Delta^{\text{op}}} (\cdots \rightrightarrows (R \otimes_{k'} R) \otimes_{k \otimes_{k'} k} k \rightrightarrows R) \\ &= \text{colim}_{\Delta^{\text{op}}} ((\cdots \rightrightarrows R \otimes_{k'} R \rightrightarrows R) \otimes_{(\cdots k \otimes_{k'} k \rightrightarrows k)} (\cdots \rightrightarrows k \rightrightarrows k)) \end{aligned}$$



In the last line we consider the category  $\text{Fun}(\Delta^{\text{op}}, \text{Sp})$  as a symmetric monoidal category equipped with the Day convolution tensor product. In this situation  $(\cdots \rightrightarrows k \otimes_{k'} k \rightrightarrows k)$  is a commutative algebra object in  $\text{Fun}(\Delta^{\text{op}}, \text{Sp})$  and  $(\cdots \rightrightarrows R \otimes_{k'} R \rightrightarrows R)$ ,  $(\cdots \rightrightarrows k \rightrightarrows k)$  are modules over it. The tensor product over  $(\cdots \rightrightarrows k \otimes_{k'} k \rightrightarrows k)$  is then to be understood in the sense of [Lur17, Section 4.5.2]. Now we can use that  $\Delta^{\text{op}}$  is sifted, i.e. the diagonal  $\Delta^{\text{op}} \rightarrow \Delta^{\text{op}} \times \Delta^{\text{op}}$  is cofinal and thus also  $\Delta^{\text{op}} \rightarrow \Delta^{\text{op}} \times \Delta^{\text{op}} \times \Delta^{\text{op}}$  is cofinal (see [Lur09, Lemma 5.5.8.4]). Applying this cofinality (under the equivalent characterization of cofinality proven in [Lur09, Proposition 4.1.1.8]); that the tensor product commutes with colimits in both variables separately and that we can also pull geometric realizations out of the ring, we are tensoring over<sup>2</sup>, we obtain:

$$\begin{aligned} \text{THH}(R/k) &= \text{colim}_{\Delta^{\text{op}} \times \Delta^{\text{op}} \times \Delta^{\text{op}}} ((\cdots \rightrightarrows R \otimes_{k'} R \rightrightarrows R) \otimes_{(\cdots k \otimes_{k'} k \rightrightarrows k)} (\cdots \rightrightarrows k \rightrightarrows k)) \\ &= \text{colim}_{\Delta^{\text{op}}} (\cdots \rightrightarrows R \otimes_{k'} R \rightrightarrows R) \otimes_{\text{colim}_{\Delta^{\text{op}}} (\cdots k \otimes_{k'} k \rightrightarrows k)} \text{colim}_{\Delta^{\text{op}}} (\cdots \rightrightarrows k \rightrightarrows k) \\ &= \text{THH}(R/k) \otimes_{\text{THH}(k/k')} k \end{aligned}$$

This proves the desired result.  $\square$

Again there is the important special case of  $k' = \mathbb{S}$ , which gives us  $\text{THH}(R/k) = \text{THH}(R) \otimes_{\text{THH}(k)} k$ .

Note also that Proposition 1.4 implies Proposition 1.2. Indeed

$$\begin{aligned} \text{THH}(R \otimes_{k'} k/k) &\stackrel{1.4}{=} \text{THH}(R \otimes_{k'} k/k') \otimes_{\text{THH}(k/k')} k \\ &= \text{THH}(R/k') \otimes_{k'} \text{THH}(k/k') \otimes_{\text{THH}(k/k')} k \\ &= \text{THH}(R/k') \otimes_{k'} k. \end{aligned}$$

## 1.1 Bökstedt periodicity for perfect $\mathbb{F}_p$ -algebras

In this section we will prove Bökstedt periodicity for perfect<sup>3</sup>  $\mathbb{F}_p$ -algebras. Examples include perfect fields, in particular finite fields  $\mathbb{F}_{p^n}$ , as well as non-Noetherian examples like  $\mathbb{F}_p[x^{1/p^\infty}]$ . For the proof, we will rely on the following construction that establishes that we can not only deform perfect  $\mathbb{F}_p$ -algebras to characteristic zero via Witt vectors, but even to the sphere spectrum using a spherical analogue of the Witt vectors. (see [Lur18], 5.2.5/5.2.7)

**Proposition 1.5.** *Let  $k$  be a perfect  $\mathbb{F}_p$ -algebra. Then there exists a  $p$ -complete  $\mathbb{E}_\infty$ -ring spectrum  $\mathbb{S}_{W(k)}$  - the **spherical Witt vectors** of  $k$  - with base change*

<sup>2</sup>This itself uses the construction of the relative tensor product as the geometric realization of the bar construction ([Lur17, Section 4.4.2]) and once more the cofinality of  $\Delta^{\text{op}} \rightarrow \Delta^{\text{op}} \times \Delta^{\text{op}}$ .

<sup>3</sup>For every  $\mathbb{F}_p$ -algebra  $R$  we have that the Frobenius  $\varphi : R \rightarrow R$ ,  $x \mapsto x^p$  is a ring homomorphism. We call  $R$  perfect if  $\varphi$  is an isomorphism.

$\mathbb{S}_{W(k)} \otimes_{\mathbb{S}} \mathbb{F}_p \simeq k$ . We thus get the following diagram of deformations of  $k$ :

$$\begin{array}{ccccc} \mathbb{S}_{W(k)} & \longrightarrow & W(k) & \longrightarrow & k \\ \uparrow & & \uparrow & & \uparrow \\ \mathbb{S} & \longrightarrow & \mathbb{Z} & \longrightarrow & \mathbb{F}_p \end{array}$$

In general for any  $\mathbb{F}_p$ -algebra  $k$  we have a map  $\mathbb{F}_p \rightarrow k$ , which induces a map of  $\mathbb{E}_{\infty}$ -ring spectra  $\mathrm{THH}(\mathbb{F}_p) \rightarrow \mathrm{THH}(k)$ . Since the target is a  $k$ -module spectrum, this further refines to  $\mathrm{THH}(\mathbb{F}_p) \otimes_{\mathbb{F}_p} k \rightarrow \mathrm{THH}(k)$ . The statement of Bökstedt periodicity for perfect  $\mathbb{F}_p$ -algebras is now the following.

**Theorem 1.6.** *For a perfect  $\mathbb{F}_p$ -algebra  $k$  the above map  $\mathrm{THH}(\mathbb{F}_p) \otimes_{\mathbb{F}_p} k \rightarrow \mathrm{THH}(k)$  is an equivalence of  $\mathbb{E}_{\infty}$ - $k$ -algebras. This in particular determines the homotopy groups as a graded ring:*

$$\mathrm{THH}_*(k) = k[x], \quad |x| = 2.$$

*Proof.* Using our previous Proposition and that  $\mathrm{THH}$  is symmetric monoidal, we see that:

$$\begin{aligned} \mathrm{THH}(k) &= \mathrm{THH}(\mathbb{F}_p \otimes_{\mathbb{S}} \mathbb{S}_{W(k)}) \\ &= \mathrm{THH}(\mathbb{F}_p) \otimes_{\mathbb{S}} \mathrm{THH}(\mathbb{S}_{W(k)}) \\ &= \mathrm{THH}(\mathbb{F}_p) \otimes_{\mathbb{F}_p} (\mathbb{F}_p \otimes_{\mathbb{S}} \mathrm{THH}(\mathbb{S}_{W(k)})) \end{aligned}$$

By Proposition 1.2 the term in brackets can be identified as  $\mathbb{F}_p \otimes_{\mathbb{S}} \mathrm{THH}(\mathbb{S}_{W(k)}) = \mathrm{THH}(\mathbb{S}_{W(k)} \otimes_{\mathbb{S}} \mathbb{F}_p/\mathbb{F}_p) = \mathrm{THH}(k/\mathbb{F}_p)$ . Furthermore since  $\mathbb{F}_p$  is an ordinary ring  $\mathrm{THH}(k/\mathbb{F}_p) = \mathrm{HH}(k/\mathbb{F}_p)$ . To prove the desired result, it remains to show that  $\mathrm{HH}(k/\mathbb{F}_p)$  is  $k$  concentrated in degree 0. Because  $k$  is commutative  $\mathrm{HH}_0(k/\mathbb{F}_p) = k$ , so we need to prove the vanishing of the higher homology groups.

We claim that for a perfect  $\mathbb{F}_p$ -algebra  $R$  the cotangent complex vanishes  $L_{R/\mathbb{F}_p} = 0$ . Together with the Hochschild-Kostant-Rosenberg filtration on Hochschild homology, this gives that  $\mathrm{HH}_i(k/\mathbb{F}_p) = 0$  for  $i > 0$ , which then finishes the proof. For the vanishing of the cotangent complex, note that for any  $\mathbb{F}_p$  algebra the Frobenius induces multiplication by  $p$  on the cotangent complex<sup>4</sup>, i.e. the zero map. But since  $R$  is perfect, the Frobenius  $\varphi : R \rightarrow R$  is an isomorphism and by functoriality still an isomorphism on the cotangent complex. The only way for the zero map to be an isomorphism is if already  $L_{R/\mathbb{F}_p} = 0$ .  $\square$

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<sup>4</sup>To see this, recall that the cotangent complex is by definition the non-abelian derived functor of Kähler differentials. To compute  $L_{R/\mathbb{F}_p}$  we thus have to simplicially resolve  $R$  by polynomial rings over  $\mathbb{F}_p$  and then apply Kähler differentials level-wise. The Frobenius then acts via  $dx \mapsto d(x^p) = p dx$ .

## 1.2 Relative Bökstedt periodicity for complete discrete valuation rings

Let  $R$  be a discrete valuation ring, i.e. a local principal ideal domain that is not a field. Denote its unique maximal ideal by  $\mathfrak{m}$  and the residue field by  $k = R/\mathfrak{m}$ . In this section we assume that  $R$  is complete with respect to the ideal  $\mathfrak{m}$ , i.e.  $R \xrightarrow{\sim} \lim(R/\mathfrak{m} \leftarrow R/\mathfrak{m}^2 \leftarrow \dots)$  and that the residue field  $k$  is perfect of characteristic  $p > 0$ . We will abbreviate the term complete discrete valuation ring to CDVR. Any generator  $\pi \in R$  of the maximal ideal will be called a *uniformizer*, completeness with respect to the ideal  $\mathfrak{m}$  is then equivalent to completeness with respect to the element  $\pi$ .

Either  $R$  and  $k$  are both of characteristic  $p$  (then we say that  $R$  is of *equal characteristic*) or  $R$  is of characteristic 0 and  $k$  is of characteristic  $p$  (the *mixed characteristic* case). In the equal characteristic setting, it is known that  $R = k[[x]]$  for  $k$  a perfect field of characteristic  $p$  (see [Ser95], Part One, Chapter 2.4, Theorem 2). In the mixed characteristic case there are more examples: We again get an example for every perfect field of characteristic  $p$ , namely  $W(k)$ . But there are also ramified examples<sup>5</sup> like  $\mathbb{Z}_p[\sqrt[p]{p}]$  or  $\mathbb{Z}_p[\zeta_p]$ .

To calculate  $\mathrm{THH}(R)$  we first work relative to the maximal ideal which will allow us to use the result from the last section because the residue field is by assumption perfect. In the next section, we will then obtain the non-relative result using a descent spectral sequence.

We will work relative to the spectrum  $\mathbb{S}[z] := \Sigma_+^\infty(\mathbb{N}_0)$ , the spherical monoid ring of  $\mathbb{N}_0$ . Since  $\mathbb{N}_0$  is a commutative monoid, hence an  $\mathbb{E}_\infty$ -monoid in spaces and  $\Sigma_+^\infty : \mathcal{S} \rightarrow \mathrm{Sp}$  has a strong symmetric monoidal structure, we get that  $\mathbb{S}[z]$  is an  $\mathbb{E}_\infty$ -ring spectrum. To work relative to  $\mathbb{S}[z]$  we need an  $\mathbb{E}_1$ -map  $\mathbb{S}[z] \rightarrow HR$ , which informally is just given by  $z \mapsto \pi$  for  $\pi$  a uniformizer in  $R$ . To make this map precise, note that the  $(\Sigma_+^\infty, \Omega^\infty)$ -adjunction is compatible with the symmetric monoidal structures<sup>6</sup> on  $\mathcal{S}$  and  $\mathrm{Sp}$ , so we get an induced adjunction between  $\mathrm{CAlg}(\mathcal{S})$  and  $\mathrm{CAlg}(\mathrm{Sp})$ . Thus giving a map  $\mathbb{S}[z] \rightarrow HR$  of  $\mathbb{E}_\infty$ -ring spectra is equivalent to giving an map  $\mathbb{N}_0 \rightarrow R$  of  $\mathbb{E}_\infty$ -monoids in spaces. This is just a morphism of ordinary (commutative) monoids and since  $\mathbb{N}_0$  is the free monoid (in sets) on one generator, we get such a map for each element of  $R$ . In particular we have a map corresponding to  $\pi \in R$  and this is what we mean by  $\mathbb{S}[z] \rightarrow R$ ,  $z \mapsto \pi$ . We are now ready to state and prove a relative variant of Bökstedt's theorem for CDVRs.

**Theorem 1.7.** *Let  $R$  be a complete discrete valuation ring with perfect residue field of characteristic  $p$ . Choose a uniformizer  $\pi \in R$  and give  $R$  a  $\mathbb{S}[z]$ -algebra*

<sup>5</sup>In general every mixed characteristic CDVR can be obtained by adjoining a root of an Eisenstein polynomial to  $W(k)$ . See [Ser95] Part one, chapter 2.5.

<sup>6</sup>i.e.  $\Sigma_+^\infty$  is (strong) symmetric monoidal and  $\Omega^\infty$  is (lax) symmetric monoidal with respect to the cartesian product on  $\mathcal{S}$  and the tensor product on  $\mathrm{Sp}$ .

structure by  $z \mapsto \pi$ . Then

$$\mathrm{THH}_*(R/\mathbb{S}[z]; \mathbb{Z}_p) = R[x], \quad |x| = 2.$$

*Proof.* Let us first treat the case that  $R$  is mixed characteristic. Since  $R$  is characteristic 0,  $p$  is not zero in  $R$ , so  $p = u\pi^n$  for  $u$  a unit in  $R$  and  $n \in \mathbb{N}$ . This implies that an  $R$ -module is derived  $p$ -complete if and only if it is derived  $\pi$ -complete by [Stacks, Tag 091Q]. In particular we get that the homotopy groups of  $\mathrm{THH}(R/\mathbb{S}[z]; \mathbb{Z}_p)$  are derived  $\pi$ -complete because they are by definition derived  $p$ -complete. Using Proposition 1.2 and that the tensor product preserves colimits in both variables we can now calculate

$$\begin{aligned} \mathrm{THH}(R/\mathbb{S}[z]; \mathbb{Z}_p) \otimes_{\mathbb{S}[z]} \mathbb{S} &\stackrel{1.2}{=} \mathrm{THH}(R \otimes_{\mathbb{S}[z]} \mathbb{S}/\mathbb{S}; \mathbb{Z}_p) \\ &= \mathrm{THH}(R \otimes_{\mathbb{S}[z]} \mathrm{cofib}(\mathbb{S}[z] \xrightarrow{z} \mathbb{S}[z]); \mathbb{Z}_p) \\ &= \mathrm{THH}(R/\pi; \mathbb{Z}_p) = \mathrm{THH}(k; \mathbb{Z}_p) = \mathrm{THH}(k) \end{aligned}$$

In the last line we used that  $z$  acts by multiplication by  $\pi$  on  $R$  and that  $\mathrm{THH}(k)$  is already  $p$ -complete since all its homotopy groups are already derived  $p$ -complete (even classically  $p$ -complete). On the other hand, again using that the tensor product preserves colimits, we can also see that  $\mathrm{THH}(R/\mathbb{S}[z]; \mathbb{Z}_p) \otimes_{\mathbb{S}[z]} \mathbb{S} = \mathrm{THH}(R/\mathbb{S}[z]; \mathbb{Z}_p)/\pi$ . Hence we get the cofiber sequence

$$\mathrm{THH}(R/\mathbb{S}[z]; \mathbb{Z}_p) \xrightarrow{\pi} \mathrm{THH}(R/\mathbb{S}[z]; \mathbb{Z}_p) \rightarrow \mathrm{THH}(k).$$

Since  $k$  is by assumption perfect of characteristic  $p$  it is in particular a perfect  $\mathbb{F}_p$ -algebra, so Theorem 1.6 applies and tells us the homotopy groups of  $\mathrm{THH}(k)$ . Thus the long exact sequence for the odd homotopy groups looks as follows

$$\dots \rightarrow k \rightarrow \mathrm{THH}_{2i+1}(R/\mathbb{S}[z]; \mathbb{Z}_p) \xrightarrow{\pi} \mathrm{THH}_{2i+1}(R/\mathbb{S}[z]; \mathbb{Z}_p) \rightarrow 0 \rightarrow \dots$$

This tells us that the odd homotopy groups of  $\mathrm{THH}(R/\mathbb{S}[z]; \mathbb{Z}_p)$  are 0 mod  $\pi$ . But by our discussion at the beginning of the proof we also know that they are derived  $\pi$ -complete, so they must already be 0 before taking mod  $\pi$  reduction (see [Stacks, Tag 09B9]).

For the identification of the (non-negative) even homotopy groups, we proceed similarly. The long exact sequence takes the form

$$\dots \rightarrow 0 \rightarrow \mathrm{THH}_{2i}(R/\mathbb{S}[z]; \mathbb{Z}_p) \xrightarrow{\pi} \mathrm{THH}_{2i}(R/\mathbb{S}[z]; \mathbb{Z}_p) \rightarrow k \rightarrow \dots,$$

which gives us that they are  $\pi$ -torsion free and their mod  $\pi$  reduction is  $k$ . They are also derived  $\pi$ -complete. The same holds for  $R$ , so once we have an  $R$ -module map (over  $k$ ) we get an isomorphism by the derived Nakayama Lemma ( $\pi$ -torsion freeness guarantees that the derived mod  $\pi$  reduction agrees with the ordinary one). Let  $M := \mathrm{THH}_2(R/\mathbb{S}[z]; \mathbb{Z}_p)$ . By the long exact sequence we have a surjective map  $M \rightarrow k$ . Giving an  $R$ -module map  $R \rightarrow M$  is the same as choosing

an element  $x \in M$ . To also ensure that the map is an equivalence after reducing mod  $\pi$ , the reduction of  $x$  must generate  $k$  as an  $R$ -module. So we have to choose a preimage of any non-zero element of  $k$  under the map  $M \rightarrow k$ , which always exists, since the map is surjective by the long exact sequence. Thus choosing such a lift provides an isomorphism  $\mathrm{THH}_2(R/\mathbb{S}[z]; \mathbb{Z}_p) \cong R$ . The same applies to all positive even homotopy groups once we also choose lifts for all of them. A priori the choices of all these lifts might be unrelated. But since the map  $\mathrm{THH}_*(R/\mathbb{S}[z]; \mathbb{Z}_p) \rightarrow \mathrm{THH}_*(k)$  is multiplicative, the elements  $x^i \in \mathrm{THH}_{2i}(R/\mathbb{S}[z]; \mathbb{Z}_p)$  provide canonical choices of all of those. Hence the choice of  $x$  already suffices and gives us an isomorphism of graded rings  $\mathrm{THH}_*(R/\mathbb{S}[z]; \mathbb{Z}_p) \xrightarrow{\sim} \mathrm{THH}_*(k)$ .

If  $R$  is of equal characteristic, we have already remarked that necessarily  $R = k[[z]]$  for  $k$  perfect of positive characteristic. We proceed similarly to the proof of Theorem 1.6. Defining  $\mathbb{S}_{W(k)}[[z]]$  as the  $z$ -adic completion of  $\mathbb{S}_{W(k)} \otimes_{\mathbb{S}} \mathbb{S}[z]$ , gives us the following base change

$$\mathbb{S}_{W(k)}[[z]] \otimes_{\mathbb{S}} \mathbb{F}_p = k[[z]].$$

To see this, note that the right hand side is the  $z$ -completion of the left hand side, as in general we have  $(X \otimes_{\mathbb{S}} Y)_z^{\wedge} = (X_z^{\wedge} \otimes_{\mathbb{S}} Y_z^{\wedge})_z^{\wedge}$ . To establish that the map to the completion is an isomorphism we thus have to show that the left hand side is already  $z$ -complete. Since  $\mathbb{F}_p$  is finite type over the sphere, we can write it as a filtered colimit of finite spectra along strictly increasingly connective maps, i.e.  $\mathbb{F}_p = \mathrm{colim}_i X_i$  with  $X_i$  finite and  $X_i \rightarrow X_{i+1}$  is  $i$ -connective. Hence  $\pi_n(\mathbb{F}_p \otimes_{\mathbb{S}} \mathbb{S}_{W(k)}[[z]]) = \pi_n(X_n \otimes_{\mathbb{S}} \mathbb{S}_{W(k)}[[z]])$ . As  $X_n$  is finite and completion is preserved under *finite* colimits,  $X_n \otimes_{\mathbb{S}} \mathbb{S}_{W(k)}[[z]]$  is  $z$ -complete, which implies that its homotopy groups are derived  $z$ -complete. Thus all homotopy groups of  $\mathbb{S}_{W(k)}[[z]] \otimes_{\mathbb{S}} \mathbb{F}_p$  are derived  $z$ -complete, meaning that  $\mathbb{S}_{W(k)}[[z]] \otimes_{\mathbb{S}} \mathbb{F}_p$  is  $z$ -complete<sup>7</sup>.

After establishing this base change, we can use 1.2 again :

$$\begin{aligned} \mathrm{THH}(k[[z]]/\mathbb{S}[z]) &= \mathrm{THH}(\mathbb{S}_{W(k)}[[z]] \otimes_{\mathbb{S}} \mathbb{F}_p) \\ &= \mathrm{THH}(\mathbb{S}_{W(k)}[[z]]/\mathbb{S}[z]) \otimes_{\mathbb{S}} \mathrm{THH}(\mathbb{F}_p/\mathbb{S}[z]) \\ &= (\mathrm{THH}(\mathbb{S}_{W(k)}[[z]]/\mathbb{S}[z]) \otimes_{\mathbb{S}} \mathbb{F}_p) \otimes_{\mathbb{F}_p} \mathrm{THH}(\mathbb{F}_p) \\ &\stackrel{1.2}{=} \mathrm{THH}(\mathbb{S}_{W(k)}[[z]] \otimes_{\mathbb{S}} \mathbb{F}_p/\mathbb{S}[z] \otimes_{\mathbb{S}} \mathbb{F}_p) \otimes_{\mathbb{F}_p} \mathrm{THH}(\mathbb{F}_p) \\ &= \mathrm{HH}(k[[z]]/\mathbb{F}_p[z]) \otimes_{\mathbb{F}_p} \mathrm{THH}(\mathbb{F}_p) \end{aligned}$$

So we have to show that  $\mathrm{HH}_i(k[[z]]/\mathbb{F}_p[z])$  vanishes for  $i > 0$ . For perfect  $\mathbb{F}_p$ -algebras we used perfectness to show this, which is not possible here, because  $k[[z]]$  is clearly not perfect. But the map  $\mathbb{F}_p[z] \rightarrow k[[z]]$  is relatively perfect<sup>8</sup>,

<sup>7</sup>Note that this shows in general that although being complete is normally not closed under infinite colimits it is indeed preserved if we are taking a sequential colimit along strictly increasingly connective maps. We will use this again later.

<sup>8</sup>The naming comes from the fact, that an  $\mathbb{F}_p$ -algebra  $R$  is perfect if and only if  $\mathbb{F}_p \rightarrow R$  is relatively perfect

which by definition means, that the following square is a pushout in  $\mathbf{CAlg}$ .

$$\begin{array}{ccc} \mathbb{F}_p[z] & \longrightarrow & k[[z]] \\ \varphi \downarrow & \lrcorner & \downarrow \varphi \\ \mathbb{F}_p[z] & \longrightarrow & k[[z]] \end{array}$$

To see this, we first observe that the vertical maps in the diagram are on the level of ordinary rings simply the inclusions  $\mathbb{F}_p[z^p] \hookrightarrow \mathbb{F}_p[z]$  and  $k[[z^p]] \hookrightarrow k[[z]]$  (where we implicitly identify  $k$  with itself under the action of Frobenius using that  $k$  is perfect). Thus both maps exhibit the target as a free module over the domain on the basis  $\{1, z, z^2, \dots, z^p\}$ . Because equivalences in  $\mathbf{CAlg}$  are detected on the underlying spectra, this also means that

$$\mathbb{F}_p[z] \otimes_{\mathbb{F}_p[z^p]} k[[z^p]] \cong k[[z]]$$

which implies that the square is a pushout. Using this isomorphism, we obtain an isomorphism in Hochschild homology aswell:

$$\begin{aligned} \mathrm{HH}(k[[z]]/\mathbb{F}_p[z]) &\xleftarrow{\sim} \mathrm{HH}((\mathbb{F}_p[z] \otimes_{\mathbb{F}_p[z^p]} k[[z^p]])/\mathbb{F}_p[z]) \\ &= \mathrm{HH}(\mathbb{F}_p[z]/\mathbb{F}_p[z]) \otimes_{\mathbb{F}_p[z^p]} \mathrm{HH}(k[[z^p]]/\mathbb{F}_p[z]) \\ &= \mathbb{F}_p[z] \otimes_{\mathbb{F}_p[z^p]} \mathrm{HH}(k[[z^p]]/\mathbb{F}_p[z]) \end{aligned}$$

We now want to show, that this map induces the zero map on all  $\pi_n$ ,  $n > 0$  which will then finish the proof as it is also an isomorphism. Let us first observe, that since  $\mathbb{F}_p[z]$  is free as an  $\mathbb{F}_p[z^p]$ -module we can pull the tensor product out of the homotopy/homology group:

$$\pi_n(\mathbb{F}_p[z] \otimes_{\mathbb{F}_p[z^p]} \mathrm{HH}(k[[z^p]]/\mathbb{F}_p[z])) = \mathbb{F}_p[z] \otimes_{\mathbb{F}_p[z^p]} \mathrm{HH}_n(k[[z^p]]/\mathbb{F}_p[z])$$

Under this identification the map in question

$$\mathbb{F}_p[z] \otimes_{\mathbb{F}_p[z^p]} \mathrm{HH}_n(k[[z^p]]/\mathbb{F}_p[z]) \rightarrow \mathrm{HH}_n(k[[z]]/\mathbb{F}_p[z])$$

is adjoined (under the left adjoint to the forgetful functor  $\mathrm{Mod}_{\mathbb{F}_p[z]} \rightarrow \mathrm{Mod}_{\mathbb{F}_p[z^p]}$ ) to the map, which is itself obtained by applying  $\mathrm{HH}_n(-/\mathbb{F}_p[z])$  to the inclusion  $k[[z^p]] \rightarrow k[[z]]$ . As the Hochschild homology groups are the homotopy groups of a simplicial commutative algebra and the latter always carry a divided power structure (see eg. [Ric09, Section 4], this inclusion necessarily induces the zero map because we are in characteristic  $p$ .  $\square$

Using the same technique we can also compute relative THH of quotients of the CDVRs, that we have considered so far. In any discrete valuation ring all ideals are powers of the maximal ideal. So concretely we are dealing with rings of the form  $R/\pi^n$ , where  $R$  is a complete discrete valuation ring with perfect residue field of positive characteristic and  $\pi$  a uniformizer. For instance this covers the

cases  $\mathbb{Z}_p/p^n = \mathbb{Z}/p^n$  or truncated polynomial algebras  $k[[x]]/x^n = k[x]/x^n$  for  $k$  perfect of positive characteristic.

For these quotients we do not quite get polynomial homotopy groups as before but we need an additional divided power generator compensating for the quotient.

**Theorem 1.8.** *Let  $R$  be a complete discrete valuation ring with perfect residue field of positive characteristic,  $n \geq 1$  and  $R' = R/\pi^n$ . Then we have:*

$$\mathrm{THH}_*(R'/\mathbb{S}[z]) = R'[x]\langle y \rangle, \quad |x| = |y| = 2$$

*Proof.* Since  $\pi$  is not a zero divisor, the ordinary and derived quotient agree, so  $R' = R \otimes_{\mathbb{S}[z]} (\mathbb{S}[z]/z^n)$ . Here we write  $\mathbb{S}[z]/z^n = \Sigma^\infty M$ , where  $M$  is the (pointed) monoid  $M = \{0, 1, x, \dots, x^{n-1}\}$  with multiplicative monoid structure and  $x^a \cdot x^b = 0$  if  $a + b \geq n$ . By the strong symmetric monoidality of  $\Sigma^\infty : (\mathcal{S}_*, \wedge) \rightarrow (\mathrm{Sp}, \otimes_{\mathbb{S}})$  we obtain an  $\mathbb{E}_\infty$ -structure<sup>9</sup> on  $\mathbb{S}[z]/z^n$ .

Using this identification of  $R'$ , we can calculate:

$$\begin{aligned} \mathrm{THH}(R'/\mathbb{S}[z]) &= \mathrm{THH}(R/\mathbb{S}[z]) \otimes_{\mathbb{S}[z]} \mathrm{THH}(\mathbb{S}[z]/z^n/\mathbb{S}[z]) \\ &= \mathrm{THH}(R/\mathbb{S}[z]) \otimes_{\mathbb{Z} \otimes_{\mathbb{S}} \mathbb{S}[z]} (\mathbb{Z} \otimes_{\mathbb{S}} \mathrm{THH}((\mathbb{S}[z]/z^n)/\mathbb{S}[z])) \\ &\stackrel{1,2}{=} \mathrm{THH}(R/\mathbb{S}[z]) \otimes_{\mathbb{Z}[z]} \mathrm{HH}((\mathbb{Z}[z]/z^n)/\mathbb{Z}[z]) \end{aligned}$$

As  $p$  is nilpotent in  $R'$ , every  $R'$ -module spectrum is already  $p$ -complete, in particular  $\mathrm{THH}(R'/\mathbb{S}[z])$  must be  $p$ -complete. This means that it does not matter whether we  $p$ -complete one (or even both) of the factors, i.e. we get

$$\mathrm{THH}(R'/\mathbb{S}[z]) = \mathrm{THH}(R/\mathbb{S}[z]; \mathbb{Z}_p) \otimes_{\mathbb{Z}[z]} \mathrm{HH}((\mathbb{Z}[z]/z^n)/\mathbb{Z}[z])$$

We completely understand the homotopy groups of the first factor by the previous theorem, so again we need to do a calculation in Hochschild homology. The derived tensor product  $(\mathbb{Z}[z]/z^n) \otimes_{\mathbb{Z}[z]}^L (\mathbb{Z}[z]/z^n) = \Lambda_{\mathbb{Z}[z]/z^n}(e)$  is given by an exterior algebra over  $\mathbb{Z}[z]/z^n$  on a generator  $e$  in degree 1. Thus Hochschild homology is given by

$$\mathrm{HH}((\mathbb{Z}[z]/z^n)/\mathbb{Z}[z]) = (\mathbb{Z}[z]/z^n) \otimes_{\Lambda_{\mathbb{Z}[z]/z^n}(e)}^L (\mathbb{Z}[z]/z^n).$$

To calculate this derived tensor product, we can explicitly resolve  $\mathbb{Z}[z]/z^n$  as a free divided power algebra over  $\Lambda_{\mathbb{Z}[z]/z^n}(e)$  as follows

$$\Lambda_{\mathbb{Z}[z]/z^n}(e)\langle y \rangle := \frac{\Lambda_{\mathbb{Z}[z]/z^n}(e)[y_1, y_2, \dots]}{y_i y_j = \binom{i+j}{i} y_{i+j}}, \quad \partial y_i = e y_{i-1}, \quad |y_i| = 2i.$$

Hence we get the following result for Hochschild homology

$$\mathrm{HH}((\mathbb{Z}[z]/z^n)/\mathbb{Z}[z]) = \Lambda_{\mathbb{Z}[z]/z^n}(e)\langle y \rangle \otimes_{\Lambda_{\mathbb{Z}[z]/z^n}(e)} (\mathbb{Z}[z]/z^n) = (\mathbb{Z}[z]/z^n)\langle y \rangle.$$

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<sup>9</sup>The underlying spectrum of  $\mathbb{S}[z]/z^n$  is simply given by taking the cofiber of  $\mathbb{S}[z] \xrightarrow{\cdot z^n} \mathbb{S}[z]$  but this has a priori no ring structure anymore. That is why we give the alternative description which makes the existence of an  $\mathbb{E}_\infty$ -structure clear.

This finally enables us to compute the homotopy groups

$$\mathrm{THH}_*(R'/\mathbb{S}[z]) = R'[x]\langle y \rangle, \quad |x| = |y| = 2.$$

□

### 1.3 Absolute THH of CDVRs

In this section, we will calculate the absolute THH of (quotients of) complete discrete valuation rings with perfect residue field of positive characteristic. We have already computed it relative to  $\mathbb{S}[z]$ . To get from there to the absolute case we will use a descent spectral sequence that we construct now.

**Construction 1.9.** *Let  $R$  be an ordinary commutative ring equipped with a map of rings  $\mathbb{Z}[z] \rightarrow R$  (i.e. the choice of an element of  $R$ ). This also gives  $R$  the structure of an  $\mathbb{E}_\infty$ - $\mathbb{S}[z]$ -algebra, via  $\mathbb{S}[z] \rightarrow \mathbb{Z}[z]$ . We can filter  $\mathrm{HH}(\mathbb{Z}[z])$  by its (very short) Whitehead tower:*

$$0 = \tau_{\geq 2} \mathrm{HH}(\mathbb{Z}[z]) \rightarrow \tau_{\geq 1} \mathrm{HH}(\mathbb{Z}[z]) \rightarrow \tau_{\geq 0} \mathrm{HH}(\mathbb{Z}[z]) = \mathrm{HH}(\mathbb{Z}[z])$$

*Observe that  $\mathrm{HH}(\mathbb{Z}[z]) \stackrel{1.2}{=} \mathrm{THH}(\mathbb{S}[z]) \otimes_{\mathbb{S}} \mathbb{Z}$ , which gives us an  $\mathbb{E}_\infty$ - $\mathrm{HH}(\mathbb{Z}[z])$ -algebra structure on  $\mathrm{THH}(R)$  via the map  $\mathrm{THH}(\mathbb{S}[z]) \rightarrow \mathrm{THH}(R)$ . We thus have the strong monoidal base change functor  $\mathrm{Mod}_{\mathrm{HH}(\mathbb{Z}[z])} \rightarrow \mathrm{Mod}_{\mathrm{THH}(R)}$  and can level-wise apply it to the filtration to get a multiplicative filtration on  $\mathrm{THH}(R)$*

$$0 \rightarrow \tau_{\geq 1} \mathrm{HH}(\mathbb{Z}[z]) \otimes_{\mathrm{HH}(\mathbb{Z}[z])} \mathrm{THH}(R) \rightarrow \mathrm{HH}(\mathbb{Z}[z]) \otimes_{\mathrm{HH}(\mathbb{Z}[z])} \mathrm{THH}(R) = \mathrm{THH}(R)$$

*Similar to filtered chain complex, filtered spectra also give rise to spectral sequence, whose  $E^2$ -page is given by the homotopy groups of the associated graded, see e.g. [Lur17, Chapter 1.2.2]. To state the spectral sequence, we thus have to identify the associated graded.*

*Since tensor products preserve cofiber sequences, the associated graded of the filtration on  $\mathrm{THH}(R)$  can simply be obtained by tensoring the associated graded of the filtration on  $\mathrm{HH}(\mathbb{Z}[z])$  with  $\mathrm{THH}(R)$ . The associated graded of the filtration on  $\mathrm{HH}(\mathbb{Z}[z])$  is because of the HKR-theorem just given by  $\mathrm{HH}_i(\mathbb{Z}[z]) = \Omega_{\mathbb{Z}[z]/\mathbb{Z}}^i$ . Using our base change formulas, we can relate this back to relative THH:*

$$\begin{aligned} \mathrm{gr}^j &= \mathrm{THH}(R) \otimes_{\mathrm{HH}(\mathbb{Z}[z])} \Omega_{\mathbb{Z}[z]/\mathbb{Z}}^j \\ &= \mathrm{THH}(R) \otimes_{\mathrm{HH}(\mathbb{Z}[z])} \mathbb{Z}[z] \otimes_{\mathbb{Z}[z]} \Omega_{\mathbb{Z}[z]/\mathbb{Z}}^j \\ &\stackrel{1.2}{=} (\mathrm{THH}(R) \otimes_{\mathrm{THH}(\mathbb{S}[z]) \otimes_{\mathbb{S}} \mathbb{Z}} (\mathbb{S}[z] \otimes_{\mathbb{S}} \mathbb{Z})) \otimes_{\mathbb{Z}[z]} \Omega_{\mathbb{Z}[z]/\mathbb{Z}}^j \\ &= (\mathrm{THH}(R) \otimes_{\mathrm{THH}(\mathbb{S}[z])} \mathbb{S}[z]) \otimes_{\mathbb{Z}[z]} \Omega_{\mathbb{Z}[z]/\mathbb{Z}}^j \\ &\stackrel{1.4}{=} \mathrm{THH}(R/\mathbb{S}[z]) \otimes_{\mathbb{Z}[z]} \Omega_{\mathbb{Z}[z]/\mathbb{Z}}^j \end{aligned}$$



Therefore we get a homological (Serre graded) spectral sequence

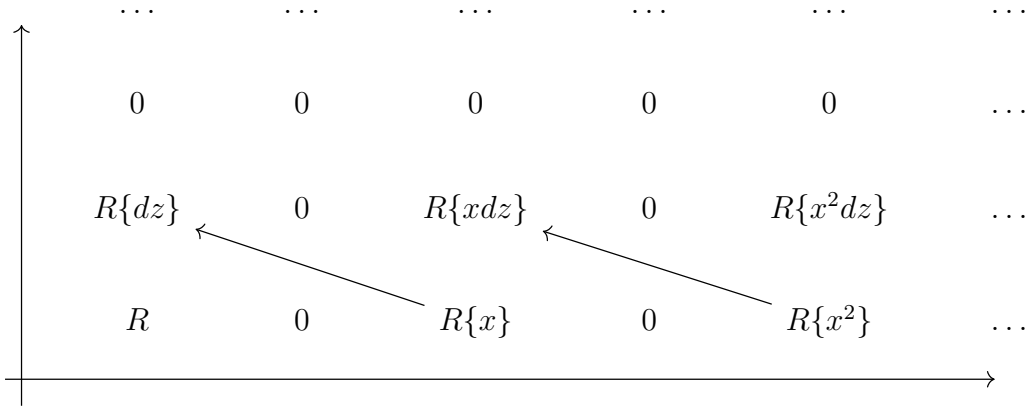
$$E_{i,j}^2 = \mathrm{THH}_i(R/\mathbb{S}[z]) \otimes_{\mathbb{Z}[z]} \Omega_{\mathbb{Z}[z]/\mathbb{Z}}^j \Rightarrow \mathrm{THH}_{i+j}(R).$$

The spectral sequence is concentrated in degrees  $(i, j) \in [0, \infty) \times [0, 1]$ , in particular it is first quadrant and converges. Furthermore the spectral sequence is multiplicative since the filtration is multiplicative<sup>10</sup>.

By  $p$ -completing everywhere and using that  $p$ -completion is exact we also get the following spectral sequence with the same formal properties:

$$E_{i,j}^2 = \mathrm{THH}_i(R/\mathbb{S}[z]; \mathbb{Z}_p) \otimes_{\mathbb{Z}_p[z]} \Omega_{\mathbb{Z}_p[z]/\mathbb{Z}_p}^j \Rightarrow \mathrm{THH}_{i+j}(R; \mathbb{Z}_p)$$

In our case of interest  $R$  is again a CDVR with perfect residue field of positive characteristic. We completely know the  $E^2$ -page which by Theorem 1.7 takes the form  $E^2 = R[x] \otimes_{\mathbb{Z}} \Lambda_{\mathbb{Z}}(dz)$  for elements  $|x| = (0, 2)$  and  $|dz| = (1, 0)$  as pictured below.



Since the  $E^2$ -page is already concentrated in the first two rows, there is only room for the  $d^2$ -differential (recall in Serre grading  $d^r$  goes  $r$  to the left and  $r - 1$  up.) Furthermore because multiplicatively everything with potential for non-vanishing differential is generated by  $x$  and the spectral sequence is multiplicative, it suffices to identify

$$d^2 : R\{x\} \rightarrow R\{dz\}.$$

If  $R$  has equal characteristic, this differential actually has to vanish. The reason for this is that by the construction of the spectral sequence, we know that the edge homomorphism is given by  $\mathrm{THH}_*(R; \mathbb{Z}_p) \rightarrow \mathrm{THH}_*(R/\mathbb{S}[z]; \mathbb{Z}_p)$  and everything that lies in the image of this map, has to be a permanent cycle. Because  $R$  has equal characteristic, we can precompose the map by  $\mathrm{THH}(k) \rightarrow \mathrm{THH}(R; \mathbb{Z}_p)$  and choose  $x \in \mathrm{THH}_2(R/\mathbb{S}[z]; \mathbb{Z}_p)$  to be the image of the Bökstedt element under the composition of the two maps. Thus  $x$  has to be a permanent cycle and  $d^2$  vanishes.

<sup>10</sup>i.e. it is an algebra in the category of filtered spectra  $\mathrm{Fun}(\mathbb{Z}^{\mathrm{op}}, \mathrm{Sp})$  equipped with Day convolution

For mixed characteristic  $R$  we will identify it in the following Lemma. Recall from footnote 5 that every mixed characteristic CDVR  $R$  with perfect residue field  $k$  has the form  $R = W(k)[\pi]$ , where  $\pi$  satisfies  $\Phi(\pi) = 0$  for an Eisenstein polynomial  $\Phi \in W(k)[z]$ .<sup>11</sup>

**Lemma 1.10.** *For a mixed characteristic CDVR  $R$ , there is a generator  $x \in \mathrm{THH}_2(R; \mathbb{Z}_p)$  with differential  $d^2(x) = \Phi'(\pi)dz$ .*

*Proof.* We want to work relative to  $\mathbb{S}_{W(k)}$  because this will enable us to make use of the description  $R = W(k)[z]/\Phi(z)$ . To do this we again employ the base change for relative THH:

$$\mathrm{THH}(R/\mathbb{S}_{W(k)}; \mathbb{Z}_p) \stackrel{1.4}{=} \mathrm{THH}(R; \mathbb{Z}_p) \otimes_{\mathrm{THH}(\mathbb{S}_{W(k)}; \mathbb{Z}_p)} \mathbb{S}_{W(k)}$$

This does not look helpful so far but we can simplify it further. In the proof of Theorem 1.6 we already saw that  $\mathbb{F}_p \otimes_{\mathbb{S}} \mathrm{THH}(\mathbb{S}_{W(k)}; \mathbb{Z}_p) = k$ . We also have a map  $\mathbb{S}_{W(k)} \rightarrow \mathrm{THH}(\mathbb{S}_{W(k)}; \mathbb{Z}_p)$ . Both spectra are connective and  $p$ -complete, so we can check equivalence on  $\mathbb{F}_p$ -homology. There it is an isomorphism by the above computation. Thus we get

$$\mathrm{THH}(R/\mathbb{S}_{W(k)}; \mathbb{Z}_p) = \mathrm{THH}(R; \mathbb{Z}_p).$$

As  $R$  is  $p$ -complete and finite type over  $\mathbb{S}_{W(k)}$ , we can even drop the  $p$ -completion, i.e.  $\mathrm{THH}(R/\mathbb{S}_{W(k)}) = \mathrm{THH}(R/\mathbb{S}_{W(k)}; \mathbb{Z}_p)$ . This holds because we can write  $\mathrm{THH}(R/\mathbb{S}_{W(k)})$  as a colimit along the skeletal filtration of its cyclic Bar construction. These maps get increasingly connective and all terms are by induction  $p$ -complete, which allows us to apply the observation from footnote 7 and conclude that  $\mathrm{THH}(R/\mathbb{S}_{W(k)})$  is already  $p$ -complete.

We can now identify the differential using that Hochschild homology and topological Hochschild homology agree in low degrees:

$$\mathrm{THH}_1(R; \mathbb{Z}_p) = \mathrm{THH}_1(R/\mathbb{S}_{W(k)}) = \mathrm{HH}_1(R/W(k)).$$

Furthermore Hochschild homology and Kähler differentials always agree in degree 1 and Kähler differentials are easy to compute, since  $R = W(k)[z]/\Phi(z)$ .

$$\mathrm{HH}_1(R/W(k)) = \Omega_{R/W(k)}^1 = R\{dz\}/\Phi'(\pi)dz.$$

By the spectral sequence we also know

$$\mathrm{THH}_1(R; \mathbb{Z}_p) = R\{dz\}/\mathrm{im}(d^2 : E_{2,0}^2 \rightarrow E_{0,1}^2),$$

which finally implies that  $\mathrm{im}(d^2 : E_{2,0}^2 \rightarrow E_{0,1}^2)$  is equal to the submodule of  $R\{dz\}$  generated by  $\Phi'(\pi)dz$ . This only pinpoints the generator of this submodule up to a unit, which we can choose.  $\square$

<sup>11</sup>In this case  $\pi$  is a uniformizer of  $R$ . For example  $\mathbb{Z}_p[\sqrt[n]{p}] = W(\mathbb{F}_p)[\pi]$ ,  $\Phi(z) = z^n - p$ .

This result will easily allow us to compute  $\mathrm{THH}_*(R; \mathbb{Z}_p)$ , which we will do momentarily. But let us first issue the following warning.

**Warning 1.11.** *The result of the Lemma implicitly depends on the choice of a uniformizer. While this is not a problem if we are only interested in  $\mathrm{THH}_*$  of one particular CDVR, it is a problem if we are dealing with a map  $R \rightarrow S$  of two of those. We are only able to determine the effect on  $\mathrm{THH}_*$  of those maps, which preserve the chosen uniformizers. Only in this case is the map  $R \rightarrow S$  actually a map of  $\mathbb{S}[z]$ -modules with respect to the module structures induced by the choices of the respective uniformizers. Consider for example the inclusion  $\mathbb{Z}_p \hookrightarrow \mathbb{Z}_p[\sqrt{p}]$ . Any uniformizer in  $\mathbb{Z}_p$  has the form  $u$  for  $u \in \mathbb{Z}_p^\times$ , but of course none of them generate the maximal ideal of  $\mathbb{Z}_p[\sqrt{p}]$ . There is therefore no choice of uniformizers that is compatible with this map and our method is not able to calculate the induced map  $\mathrm{THH}(\mathbb{Z}_p; \mathbb{Z}_p) \rightarrow \mathrm{THH}(\mathbb{Z}_p[\sqrt{p}]; \mathbb{Z}_p)$ . Exactly the same problem occurs for  $\mathbb{Z}_p \hookrightarrow \mathbb{Z}_p[\sqrt[n]{p}]$ ,  $n \geq 2$ .*

*But even if we can choose the uniformizers such that one maps onto the other as for example in the case  $\mathbb{Z}_p[\sqrt{p}] \rightarrow \mathbb{Z}_p[\sqrt{p}]$ ,  $\sqrt{p} \mapsto -\sqrt{p}$ , this is of not much use. For a meaningful calculation, we would need to choose the same uniformizer in domain and target. Compare this to the analogous situation for vector spaces. If we want to find a matrix representation of a vector space endomorphism, we should of course choose the same basis in domain and target. Otherwise every automorphism can be represented by the identity matrix when taking any basis in the domain and the image of this basis in the target.*

Let us now state the evaluation of the spectral sequence for mixed characteristic CDVRs. This result was first obtained in [LM00, Theorem 5.1] using an entirely different spectral sequence due to Brun.

**Proposition 1.12.** *Let  $R$  be a mixed characteristic complete discrete valuation ring with perfect residue field and uniformizer  $\pi$ . The topological Hochschild homology groups are then given by*

$$\mathrm{THH}_i(R; \mathbb{Z}_p) = \begin{cases} R & \text{for } i = 0 \\ R/n\Phi'(\pi) & \text{for } i = 2n - 1, n \geq 1 \\ 0 & \text{else} \end{cases}$$

In the case  $R = \mathbb{Z}_p$ , we can make this more explicit using the Chinese remainder theorem<sup>12</sup>:  $\mathrm{THH}_{2n-1}(\mathbb{Z}_p; \mathbb{Z}_p) = \mathbb{Z}_p/n\mathbb{Z}_p = \mathbb{Z}/p^{\nu_p(n)}$ , where  $\nu_p$  denotes the  $p$ -adic valuation. Employing a fracture square, this can also be used to recover Bökstedt's calculation of  $\mathrm{THH}_*(\mathbb{Z})$ .

*Proof of Proposition 1.12.* By Lemma 1.10 and the multiplicativity of the spectral sequence we know that  $d^2(x^n) = nd^2(x)x^{n-1} = n\Phi'(\pi)x^{n-1}dz$ . All higher

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<sup>12</sup>together with knowledge of the quotients:  $\mathbb{Z}_p/n = 0$  for  $n \neq p^k$  and  $\mathbb{Z}_p/p^k = \mathbb{Z}/p^k$ .

differentials vanish for degree reasons, so the  $E^3$ -page is already the  $E^\infty$ -page, which takes the form

$$\begin{array}{cccccc}
 \uparrow & & & & & \\
 & 0 & 0 & 0 & 0 & \dots \\
 & R/\Phi'(\pi) & 0 & R/2\Phi'(\pi) & 0 & R/3\Phi'(\pi) & \dots \\
 & R & 0 & 0 & 0 & 0 & \dots \\
 & \downarrow & \downarrow & \downarrow & \downarrow & \downarrow & \\
 & \rightarrow & \rightarrow & \rightarrow & \rightarrow & \rightarrow & 
 \end{array}$$

There is no room for extensions and we can directly read of the result.  $\square$

For the case  $R' = R/\pi^n$ , we can initially proceed similarly: Construction 1.9 gives us a spectral sequence which by Proposition 1.8 takes the form

$$E^2 = R'[x]\langle y \rangle \otimes_{\mathbb{Z}} \Lambda_{\mathbb{Z}}(dz) \Rightarrow \mathrm{THH}_*(R').$$

We can again identify the  $d^2$  differential, which is the only one that can occur

$$d^2(x) = \Phi'(\pi)dz, \quad d^2(y) = k\pi^{k-1}dz.$$

Thus we get an explicit differential graded algebra, whose homology computes  $\mathrm{THH}_*(R')$ . But depending on  $n$  there is no longer a closed form expression for its homology. For explicit examples see [KN19, Chapter 6].

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