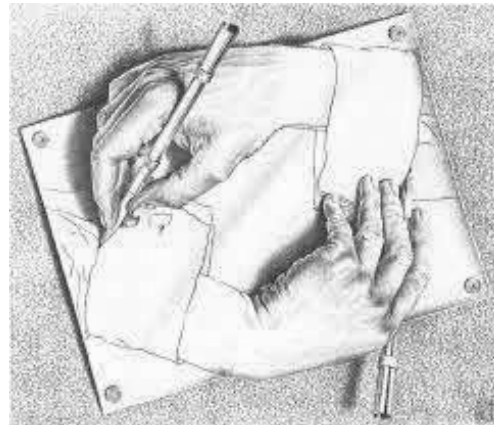


Logic II





Lecture

Review

Propositional modus ponens

Propositional resolution

First order logic

First order modus ponens

First order resolution

Review: ingredients of a logic

Syntax: defines a set of valid **formulas** (Formulas)

Example: $\text{Rain} \wedge \text{Wet}$

Semantics: for each formula f , specify a set of **models** $\mathcal{M}(f)$ (assignments / configurations of the world)

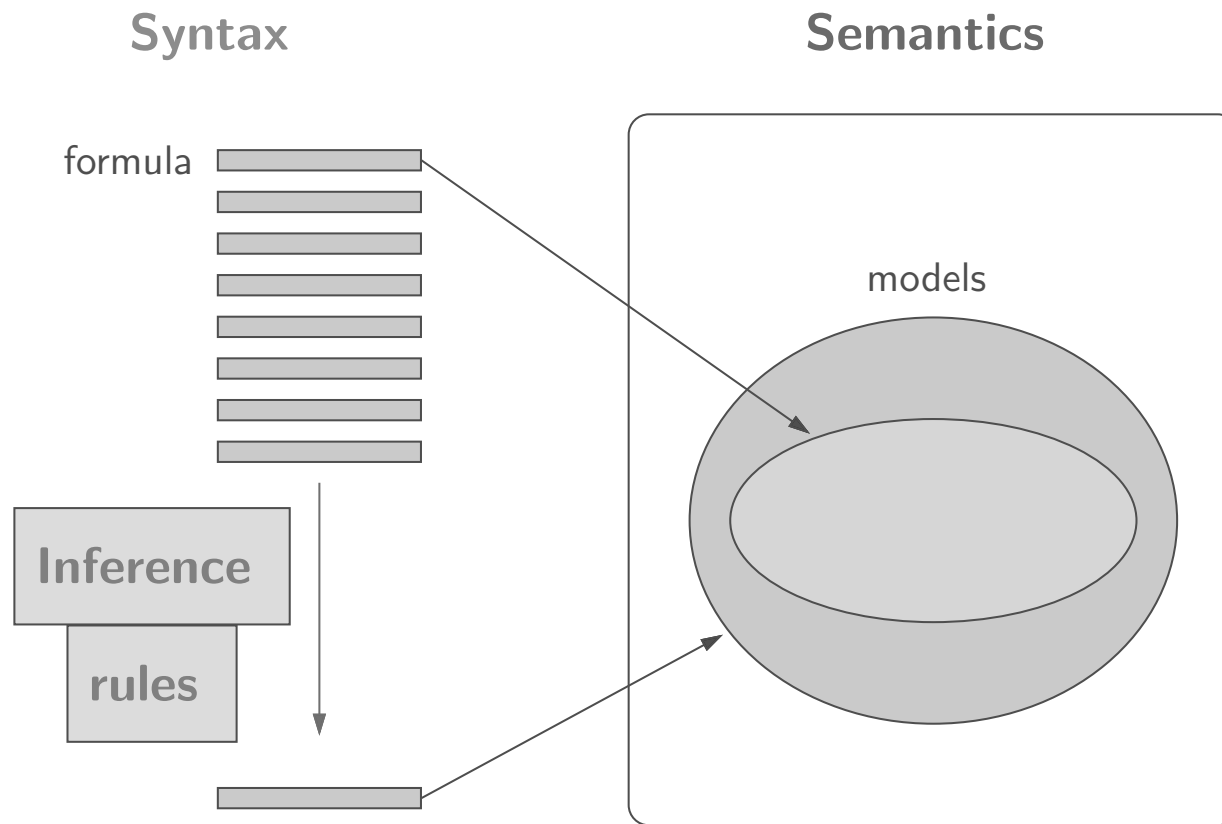
Example:

		Wet	
		0	1
Rain	0		
	1		

Inference rules: given KB, what new formulas f can be derived?

Example: from $\text{Rain} \wedge \text{Wet}$, derive Rain

Propositional logic



Review: formulas

Propositional logic: any legal combination of symbols

$$(\text{Rain} \wedge \text{Snow}) \rightarrow (\text{Traffic} \vee \text{Peaceful}) \wedge \text{Wet}$$

Review: inference algorithm

Inference algorithm:

KB $\xrightarrow{\text{(repeatedly apply inference rules)}} f$



Definition: modus ponens inference rule

$$\frac{p_1, \dots, p_k, (p_1 \wedge \dots \wedge p_k) \rightarrow q}{q}$$

Desiderata: soundness and completeness



entailment ($\text{KB} \models f$)



derivation ($\text{KB} \vdash f$)

Soundness: example

Is $\frac{\text{Rain}, \text{Rain} \rightarrow \text{Wet}}{\text{Wet}}$ (Modus ponens) sound?

$\mathcal{M}(\text{Rain}) \cap \mathcal{M}(\text{Rain} \rightarrow \text{Wet}) \subseteq? \mathcal{M}(\text{Wet})$

		Wet	
		0	1
Rain	0		
	1		

		Wet	
		0	1
Rain	0		
	1		

		Wet	
		0	1
Rain	0		
	1		

Sound!

Soundness: example

Is $\frac{\text{Wet}, \text{Rain} \rightarrow \text{Wet}}{\text{Rain}}$ sound?

$\mathcal{M}(\text{Wet}) \cap \mathcal{M}(\text{Rain} \rightarrow \text{Wet}) \subseteq? \mathcal{M}(\text{Rain})$

		Wet	
		0	1
Rain	0		
	1		

		Wet	
		0	1
Rain	0		
	1		

		Wet	
		0	1
Rain	0		
	1		

Unsound!

Completeness: example

Recall completeness: inference rules derive all entailed formulas (f such that $\text{KB} \models f$)



Example: Modus ponens is incomplete

Setup:

$$\text{KB} = \{\text{Rain}, \text{Rain} \vee \text{Snow} \rightarrow \text{Wet}\}$$

$$f = \text{Wet}$$

$$\text{Rules} = \left\{ \frac{f, f \rightarrow g}{g} \right\} \text{ (Modus ponens)}$$

Semantically: $\text{KB} \models f$ (f is entailed).

Syntactically: $\text{KB} \not\vdash f$ (can't derive f).

Incomplete!

Fixing completeness

Option 1: Restrict the allowed set of formulas

propositional logic



propositional logic with only Horn clauses

Option 2: Use more powerful inference rules

Modus ponens



resolution



Lecture

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Definite clauses



Definition: Definite clause

A **definite clause** has the following form:

$$(p_1 \wedge \cdots \wedge p_k) \rightarrow q$$

where p_1, \dots, p_k, q are propositional symbols.

Intuition: if p_1, \dots, p_k hold, then q holds.

Example: $(\text{Rain} \wedge \text{Snow}) \rightarrow \text{Traffic}$

Example: Traffic

Non-example: $\neg \text{Traffic}$

Non-example: $(\text{Rain} \wedge \text{Snow}) \rightarrow (\text{Traffic} \vee \text{Peaceful})$

Horn clauses



Definition: Horn clause

A **Horn clause** is either:

- a definite clause $(p_1 \wedge \dots \wedge p_k \rightarrow q)$
- a goal clause $(p_1 \wedge \dots \wedge p_k \rightarrow \text{false})$

Example (definite): $(\text{Rain} \wedge \text{Snow}) \rightarrow \text{Traffic}$

Example (goal): $\text{Traffic} \wedge \text{Accident} \rightarrow \text{false}$

equivalent: $\neg(\text{Traffic} \wedge \text{Accident})$

Modus ponens

Inference rule:



Definition: Modus ponens

$$\frac{p_1, \quad \dots, \quad p_k, \quad (p_1 \wedge \dots \wedge p_k) \rightarrow q}{q}$$

Example:



Example: Modus ponens

$$\frac{\text{Wet}, \quad \text{Weekday}, \quad \text{Wet} \wedge \text{Weekday} \rightarrow \text{Traffic}}{\text{Traffic}}$$

Completeness of modus ponens



Theorem: Modus ponens on Horn clauses

Modus ponens is **complete** with respect to Horn clauses:

- Suppose KB contains only Horn clauses and p is an entailed propositional symbol.
- Then applying modus ponens will derive p .

Upshot:

$KB \models p$ (entailment) is the same as $KB \vdash p$ (derivation)!

Example: Modus ponens

KB

Rain

Weekday

$\text{Rain} \rightarrow \text{Wet}$

$\text{Wet} \wedge \text{Weekday} \rightarrow \text{Traffic}$

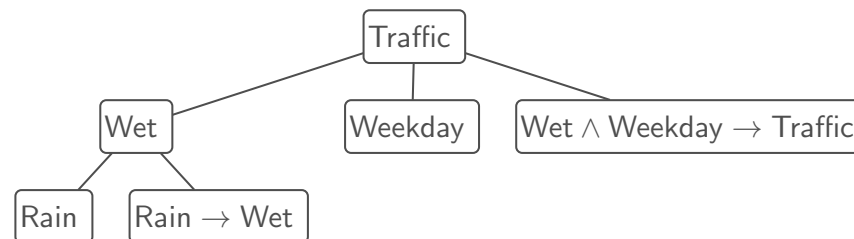
$\text{Traffic} \wedge \text{Careless} \rightarrow \text{Accident}$



Definition: Modus ponens

$$\frac{p_1, \dots, p_k, (p_1 \wedge \dots \wedge p_k) \rightarrow q}{q}$$

Question: $\text{KB} \models \text{Traffic} \iff \text{KB} \vdash \text{Traffic}$





Lecture

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Review: tradeoffs

Formulas allowed	Inference rule	Complete?
Propositional logic	modus ponens	no
Propositional logic (only Horn clauses)	modus ponens	yes
Propositional logic	resolution	yes

Horn clauses and disjunction

Written with implication

$$A \rightarrow C$$

$$A \wedge B \rightarrow C$$

Written with disjunction

$$\neg A \vee C$$

$$\neg A \vee \neg B \vee C$$

- **Literal:** either p or $\neg p$, where p is a propositional symbol
- **Clause:** disjunction of literals
- **Horn clauses:** at most one positive literal

Modus ponens (rewritten):

$$\frac{A, \quad \neg A \vee C}{C}$$

- Intuition: cancel out A and $\neg A$

Resolution [Robinson, 1965]

General clauses have any number of literals:

$$\neg A \vee B \vee \neg C \vee D \vee \neg E \vee F$$



Example: resolution inference rule

$$\frac{\text{Rain} \vee \text{Snow}, \quad \neg \text{Snow} \vee \text{Traffic}}{\text{Rain} \vee \text{Traffic}}$$



Definition: resolution inference rule

$$\frac{f_1 \vee \cdots \vee f_n \vee p, \quad \neg p \vee g_1 \vee \cdots \vee g_m}{f_1 \vee \cdots \vee f_n \vee g_1 \vee \cdots \vee g_m}$$

Soundness of resolution

$$\frac{\text{Rain} \vee \text{Snow}, \quad \neg \text{Snow} \vee \text{Traffic}}{\text{Rain} \vee \text{Traffic}} \quad (\text{resolution rule})$$

$$\mathcal{M}(\text{Rain} \vee \text{Snow}) \cap \mathcal{M}(\neg \text{Snow} \vee \text{Traffic}) \subseteq? \mathcal{M}(\text{Rain} \vee \text{Traffic})$$

		Snow	
		0	1
Rain, Traffic	0,0		
	0,1		
	1,0		
	1,1		

		Snow	
		0	1
Rain, Traffic	0,0		
	0,1		
	1,0		
	1,1		

		Snow	
		0	1
Rain, Traffic	0,0		
	0,1		
	1,0		
	1,1		

Sound!

Conjunctive normal form

So far: resolution only works on clauses...but that's enough!



Definition: conjunctive normal form (CNF)

A **CNF formula** is a conjunction of clauses.

Example: $(A \vee B \vee \neg C) \wedge (\neg B \vee D)$

Equivalent: knowledge base where each formula is a clause



Proposition: conversion to CNF

Every formula f in propositional logic can be converted into an equivalent CNF formula f' :

$$\mathcal{M}(f) = \mathcal{M}(f')$$

Conversion to CNF: example

Initial formula:

$$(\text{Summer} \rightarrow \text{Snow}) \rightarrow \text{Bizzare}$$

Remove implication (\rightarrow):

$$\neg(\neg\text{Summer} \vee \text{Snow}) \vee \text{Bizzare}$$

Push negation (\neg) inwards (de Morgan):

$$(\neg\neg\text{Summer} \wedge \neg\text{Snow}) \vee \text{Bizzare}$$

Remove double negation:

$$(\text{Summer} \wedge \neg\text{Snow}) \vee \text{Bizzare}$$

Distribute \vee over \wedge :

$$(\text{Summer} \vee \text{Bizzare}) \wedge (\neg\text{Snow} \vee \text{Bizzare})$$

Conversion to CNF: general

Conversion rules:

- Eliminate \leftrightarrow : $\frac{f \leftrightarrow g}{(f \rightarrow g) \wedge (g \rightarrow f)}$
- Eliminate \rightarrow : $\frac{f \rightarrow g}{\neg f \vee g}$
- Move \neg inwards: $\frac{\neg(f \wedge g)}{\neg f \vee \neg g}$
- Move \neg inwards: $\frac{\neg(f \vee g)}{\neg f \wedge \neg g}$
- Eliminate double negation: $\frac{\neg \neg f}{f}$
- Distribute \vee over \wedge : $\frac{f \vee (g \wedge h)}{(f \vee g) \wedge (f \vee h)}$

Resolution algorithm

Recall: relationship between entailment and contradiction (basically "proof by contradiction")

$$KB \models f \quad \longleftrightarrow \quad KB \cup \{\neg f\} \text{ is unsatisfiable}$$



Algorithm: resolution-based inference

- Add $\neg f$ into KB.
- Convert all formulas into **CNF**.
- Repeatedly apply **resolution** rule.
- Return entailment iff derive false.

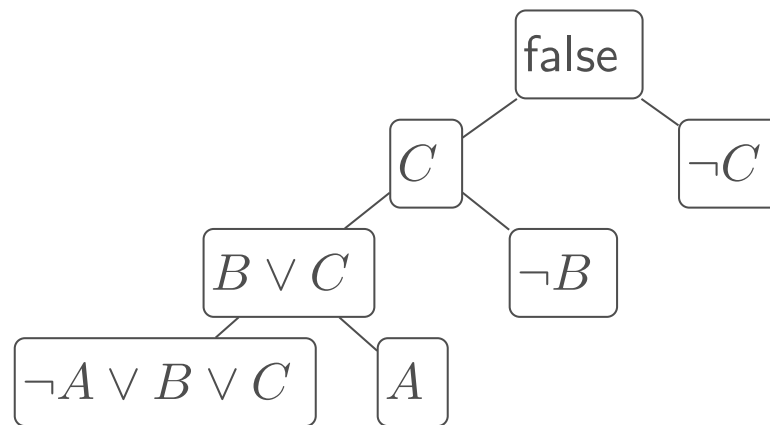
Resolution: example

$$KB' = \{A \rightarrow (B \vee C), A, \neg B, \neg C\}$$

Convert to CNF:

$$KB' = \{\neg A \vee B \vee C, A, \neg B, \neg C\}$$

Repeatedly apply **resolution** rule:



Conclusion: KB entails f

Time complexity



Definition: modus ponens inference rule

$$\frac{p_1, \quad \dots, \quad p_k, \quad (p_1 \wedge \dots \wedge p_k) \rightarrow q}{q}$$

- Each rule application adds clause with **one** propositional symbol \Rightarrow linear time



Definition: resolution inference rule

$$\frac{f_1 \vee \dots \vee f_n \vee p, \quad \neg p \vee g_1 \vee \dots \vee g_m}{f_1 \vee \dots \vee f_n \vee g_1 \vee \dots \vee g_m}$$

- Each rule application adds clause with **many** propositional symbols \Rightarrow exponential time



Summary

Horn clauses

modus ponens

linear time

less expressive

any clauses

resolution

exponential time

more expressive



Lecture

Review

Propositional modus ponens

Propositional resolution

First order logic

First order modus ponens

First order resolution

Limitations of propositional logic

Alice and Bob both know arithmetic.

AliceKnowsArithmetic \wedge BobKnowsArithmetic

All students know arithmetic.

AliceIsStudent \rightarrow AliceKnowsArithmetic

BobIsStudent \rightarrow BobKnowsArithmetic

...

Every even integer greater than 2 is the sum of two primes.

???

Limitations of propositional logic

All students know arithmetic.

AliceIsStudent \rightarrow AliceKnowsArithmetic

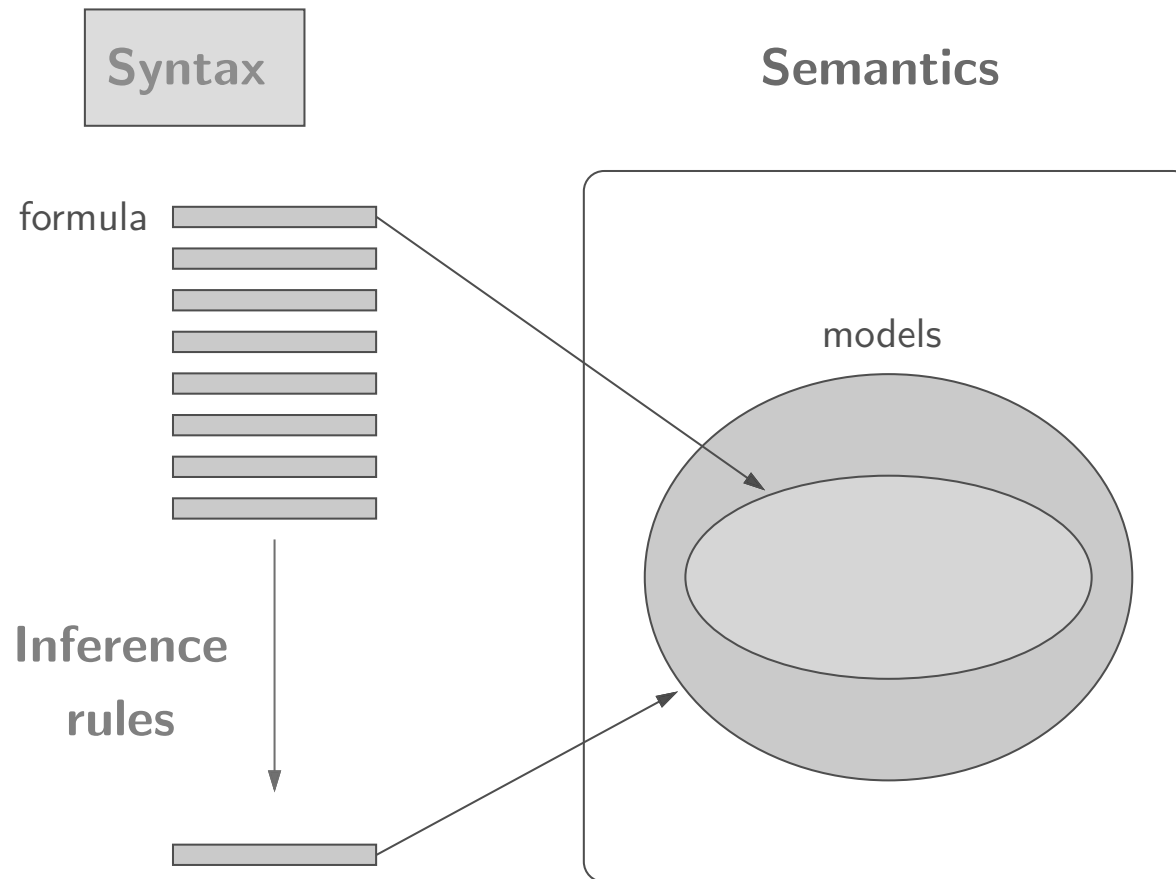
BobIsStudent \rightarrow BobKnowsArithmetic

...

Propositional logic is very clunky. What's missing?

- Objects and predicates: propositions (e.g., AliceKnowsArithmetic) have more internal structure (alice, Knows, arithmetic)
- Quantifiers and variables: *all* is a quantifier which applies to each person, don't want to enumerate them all...

First-order logic



First-order logic: examples

Alice and Bob both know arithmetic.

$\text{Knows}(\text{alice}, \text{arithmetic}) \wedge \text{Knows}(\text{bob}, \text{arithmetic})$

All students know arithmetic.

$\forall x \text{ Student}(x) \rightarrow \text{Knows}(x, \text{arithmetic})$

Syntax of first-order logic

Terms (refer to objects):

- Constant symbol (e.g., arithmetic)
- Variable (e.g., x)
- Function of terms (e.g., $\text{Sum}(3, x)$)

Formulas (refer to truth values):

- Atomic formulas (atoms): predicate applied to terms (e.g., $\text{Knows}(x, \text{arithmetic})$)
- Connectives applied to formulas (e.g., $\text{Student}(x) \rightarrow \text{Knows}(x, \text{arithmetic})$)
- Quantifiers applied to formulas (e.g., $\forall x \text{ Student}(x) \rightarrow \text{Knows}(x, \text{arithmetic})$)

Quantifiers

Universal quantification (\forall):

Think conjunction: $\forall x P(x)$ is like $P(A) \wedge P(B) \wedge \dots$

Existential quantification (\exists):

Think disjunction: $\exists x P(x)$ is like $P(A) \vee P(B) \vee \dots$

Some properties:

- $\neg \forall x P(x)$ equivalent to $\exists x \neg P(x)$
- $\forall x \exists y \text{Knows}(x, y)$ different from $\exists y \forall x \text{Knows}(x, y)$

Natural language quantifiers

Universal quantification (\forall):

Every student knows arithmetic.

$\forall x \text{ Student}(x) \rightarrow \text{Knows}(x, \text{arithmetic})$

Existential quantification (\exists):

Some student knows arithmetic.

$\exists x \text{ Student}(x) \wedge \text{Knows}(x, \text{arithmetic})$

Note the different connectives!

Some examples of first-order logic

There is some course that every student has taken.

$$\exists y \text{ Course}(y) \wedge [\forall x \text{ Student}(x) \rightarrow \text{Takes}(x, y)]$$

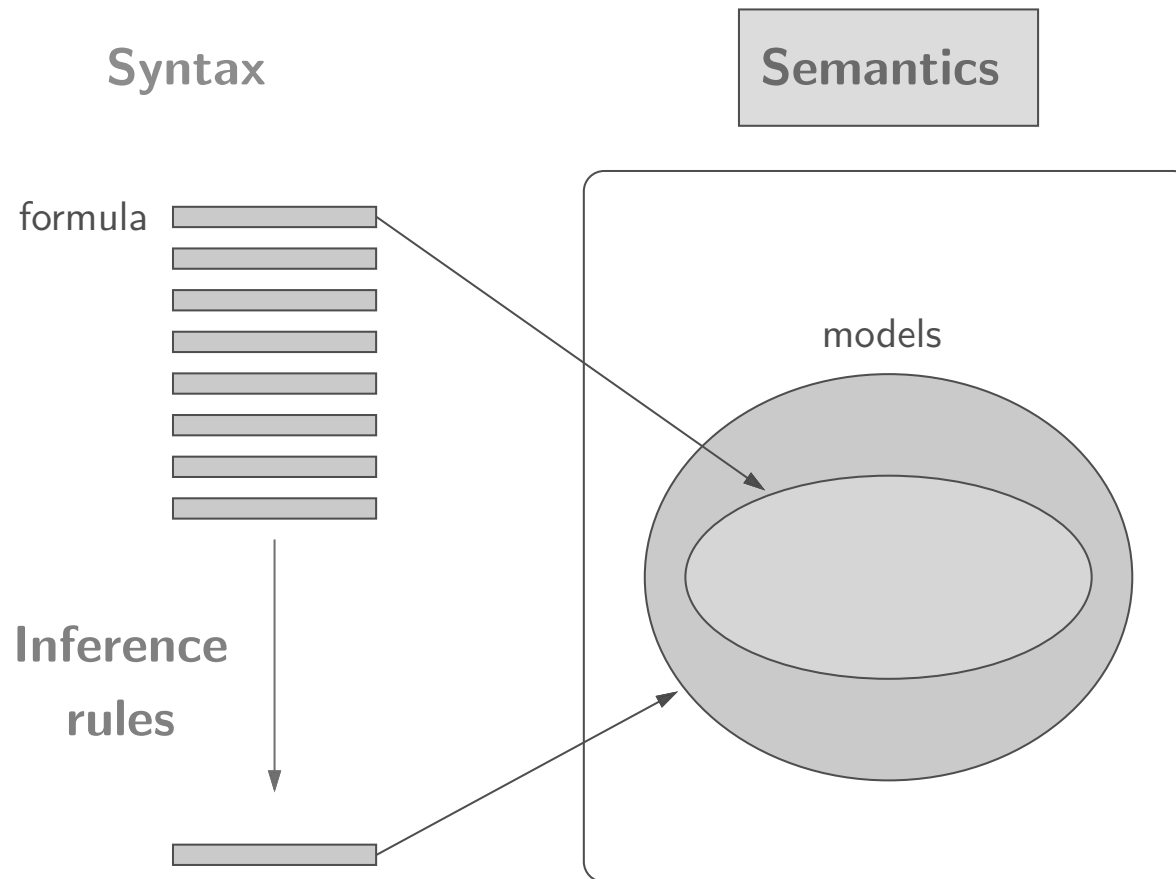
Every even integer greater than 2 is the sum of two primes.

$$\forall x \text{ EvenInt}(x) \wedge \text{Greater}(x, 2) \rightarrow \exists y \exists z \text{ Equals}(x, \text{Sum}(y, z)) \wedge \text{Prime}(y) \wedge \text{Prime}(z)$$

If a student takes a course and the course covers a concept, then the student knows that concept.

$$\forall x \forall y \forall z (\text{Student}(x) \wedge \text{Takes}(x, y) \wedge \text{Course}(y) \wedge \text{Covers}(y, z)) \rightarrow \text{Knows}(x, z)$$

First-order logic



Models in first-order logic

Recall a model represents a possible situation in the world.

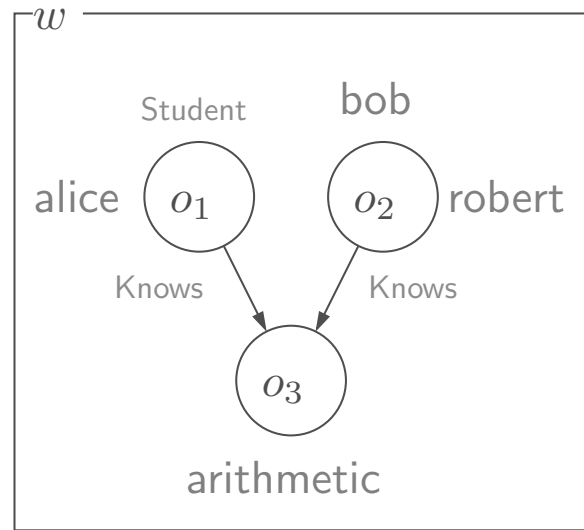
Propositional logic: Model w maps propositional symbols to truth values.

$$w = \{\text{AliceKnowsArithmetic} : 1, \text{BobKnowsArithmetic} : 0\}$$

First-order logic: ?

Graph representation of a model

If only have unary and binary predicates, a model w can be represented as a directed graph:



- Nodes are objects, labeled with constant symbols
- Directed edges are binary predicates, labeled with predicate symbols; unary predicates are additional node labels

Models in first-order logic



Definition: model in first-order logic

A model w in first-order logic maps:

- constant symbols to objects

$$w(\text{alice}) = o_1, w(\text{bob}) = o_2, w(\text{arithmetic}) = o_3$$

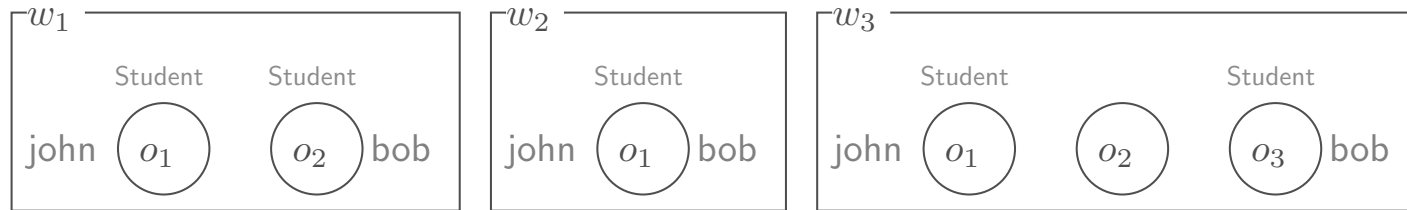
- predicate symbols to tuples of objects

$$w(\text{Knows}) = \{(o_1, o_3), (o_2, o_3), \dots\}$$

A restriction on models

John and Bob are students.

$\text{Student}(\text{john}) \wedge \text{Student}(\text{bob})$



- Unique names assumption: Each object has **at most one** constant symbol. This rules out w_2 .
- Domain closure: Each object has **at least one** constant symbol. This rules out w_3 .

Point:

constant symbol \longleftrightarrow object

Propositionalization

If one-to-one mapping between constant symbols and objects (**unique names** and **domain closure**),

first-order logic is syntactic sugar for propositional logic:

Knowledge base in first-order logic

$\text{Student}(\text{alice}) \wedge \text{Student}(\text{bob})$

$\forall x \text{ Student}(x) \rightarrow \text{Person}(x)$

$\exists x \text{ Student}(x) \wedge \text{Creative}(x)$

Knowledge base in propositional logic

$\text{Studentalice} \wedge \text{Studentbob}$

$(\text{Studentalice} \rightarrow \text{Personalice}) \wedge (\text{Studentbob} \rightarrow \text{Personbob})$

$(\text{Studentalice} \wedge \text{Creativealice}) \vee (\text{Studentbob} \wedge \text{Creativebob})$

Point: use any inference algorithm for propositional logic!



Lecture

Review

Propositional modus ponens

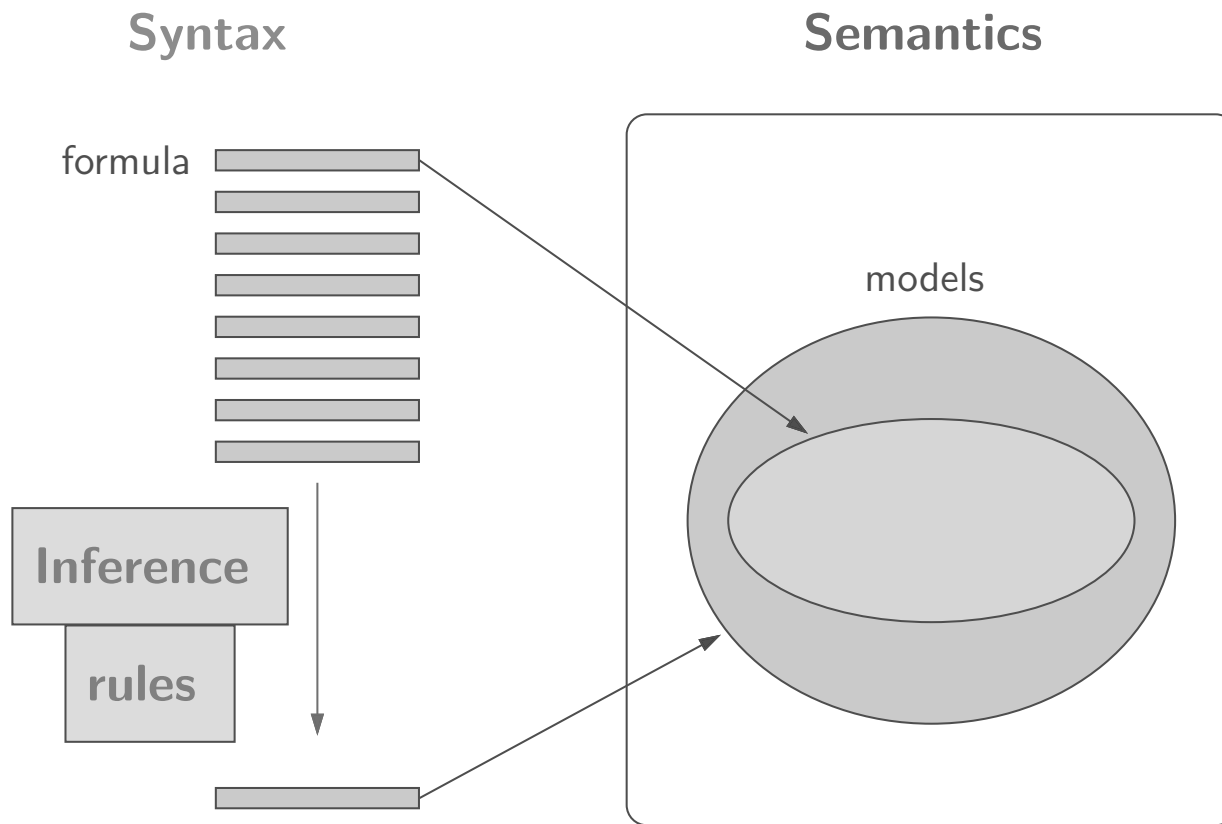
Propositional resolution

First order logic

First order modus ponens

First order resolution

First-order logic



Definite clauses

$$\forall x \forall y \forall z (\text{Takes}(x, y) \wedge \text{Covers}(y, z)) \rightarrow \text{Knows}(x, z)$$

Note: if propositionalize, get one formula for each value to (x, y, z) , e.g., (alice, cs221, mdp)



Definition: definite clause (first-order logic)

A definite clause has the following form:

$$\forall x_1 \cdots \forall x_n (a_1 \wedge \cdots \wedge a_k) \rightarrow b$$

for variables x_1, \dots, x_n and atomic formulas a_1, \dots, a_k, b (which contain those variables).

Modus ponens (first attempt)



Definition: modus ponens (first-order logic)

$$\frac{a_1, \dots, a_k \quad \forall x_1 \dots \forall x_n (a_1 \wedge \dots \wedge a_k) \rightarrow b}{b}$$

Setup:

Given $P(\text{alice})$ and $\forall x P(x) \rightarrow Q(x)$.

Problem:

Can't infer $Q(\text{alice})$ because $P(x)$ and $P(\text{alice})$ don't match!

Solution: substitution and unification

Substitution

$$\text{Subst}[\{x/\text{alice}\}, P(x)] = P(\text{alice})$$

$$\text{Subst}[\{x/\text{alice}, y/z\}, P(x) \wedge K(x, y)] = P(\text{alice}) \wedge K(\text{alice}, z)$$



Definition: Substitution

A substitution θ is a mapping from variables to terms.

$\text{Subst}[\theta, f]$ returns the result of performing substitution θ on f .

Unification

$\text{Unify}[\text{Knows}(\text{alice}, \text{arithmetic}), \text{Knows}(x, \text{arithmetic})] = \{x/\text{alice}\}$

$\text{Unify}[\text{Knows}(\text{alice}, y), \text{Knows}(x, z)] = \{x/\text{alice}, y/z\}$

$\text{Unify}[\text{Knows}(\text{alice}, y), \text{Knows}(\text{bob}, z)] = \text{fail}$

$\text{Unify}[\text{Knows}(\text{alice}, y), \text{Knows}(x, F(x))] = \{x/\text{alice}, y/F(\text{alice})\}$



Definition: Unification

Unification takes two formulas f and g and returns a substitution θ which is the most general unifier:

$\text{Unify}[f, g] = \theta$ such that $\text{Subst}[\theta, f] = \text{Subst}[\theta, g]$
or "fail" if no such θ exists.

Modus ponens



Definition: modus ponens (first-order logic)

$$\frac{a'_1, \dots, a'_k \quad \forall x_1 \dots \forall x_n (a_1 \wedge \dots \wedge a_k) \rightarrow b}{b'}$$

Get most general unifier θ on premises:

- $\theta = \text{Unify}[a'_1 \wedge \dots \wedge a'_k, a_1 \wedge \dots \wedge a_k]$

Apply θ to conclusion:

- $\text{Subst}[\theta, b] = b'$

Modus ponens example



Example: modus ponens in first-order logic

Premises:

Takes(alice, cs221)

Covers(cs221, mdp)

$\forall x \forall y \forall z \text{ Takes}(x, y) \wedge \text{Covers}(y, z) \rightarrow \text{Knows}(x, z)$

Conclusion:

$\theta = \{x/\text{alice}, y/\text{cs221}, z/\text{mdp}\}$

Derive Knows(alice, mdp)

Complexity

$$\forall x \forall y \forall z P(x, y, z)$$

- Each application of Modus ponens produces an atomic formula.
- If no function symbols, number of atomic formulas is at most

$$(\text{num-constant-symbols})^{(\text{maximum-predicate-arity})}$$

- If there are function symbols (e.g., F), then infinite...

$$Q(a) \quad Q(F(a)) \quad Q(F(F(a))) \quad Q(F(F(F(a)))) \quad \dots$$

Complexity



Theorem: completeness

Modus ponens is complete for first-order logic with only Horn clauses.



Theorem: semi-decidability

First-order logic (even restricted to only Horn clauses) is **semi-decidable**.

- If $\text{KB} \models f$, forward inference on complete inference rules will prove f in finite time.
- If $\text{KB} \not\models f$, no algorithm can show this in finite time.



Lecture

Review

Propositional modus ponens

Propositional resolution

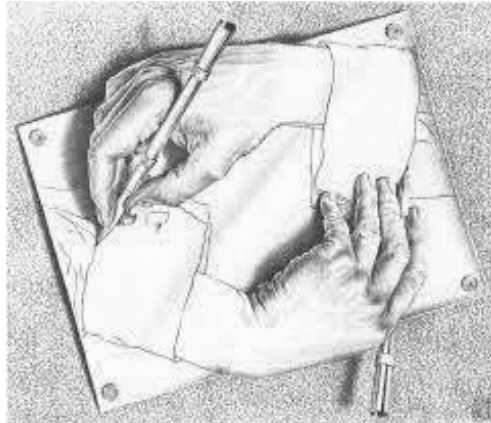
First order logic

First order modus ponens

First order resolution



First-order resolution



Resolution

Recall: First-order logic includes non-Horn clauses

$$\forall x \text{ Student}(x) \rightarrow \exists y \text{ Knows}(x, y)$$

High-level strategy (same as in propositional logic):

- Convert all formulas to CNF
- Repeatedly apply resolution rule

Conversion to CNF

Input:

$$\forall x (\forall y \text{Animal}(y) \rightarrow \text{Loves}(x, y)) \rightarrow \exists y \text{Loves}(y, x)$$

Output:

$$(\text{Animal}(Y(x)) \vee \text{Loves}(Z(x), x)) \wedge (\neg \text{Loves}(x, Y(x)) \vee \text{Loves}(Z(x), x))$$

New to first-order logic:

- All variables (e.g., x) have universal quantifiers by default
- Introduce **Skolem functions** (e.g., $Y(x)$) to represent existential quantified variables

Conversion to CNF (part 1)

Anyone who loves all animals is loved by someone.

Input:

$$\forall x (\forall y \text{Animal}(y) \rightarrow \text{Loves}(x, y)) \rightarrow \exists y \text{Loves}(y, x)$$

Eliminate implications (old):

$$\forall x \neg(\forall y \neg \text{Animal}(y) \vee \text{Loves}(x, y)) \vee \exists y \text{Loves}(y, x)$$

Push \neg inwards, eliminate double negation (old):

$$\forall x (\exists y \text{Animal}(y) \wedge \neg \text{Loves}(x, y)) \vee \exists y \text{Loves}(y, x)$$

Standardize variables (**new**):

$$\forall x (\exists y \text{Animal}(y) \wedge \neg \text{Loves}(x, y)) \vee \exists z \text{Loves}(z, x)$$

Conversion to CNF (part 2)

$$\forall x (\exists y \text{Animal}(y) \wedge \neg \text{Loves}(x, y)) \vee \exists z \text{Loves}(z, x)$$

Replace existentially quantified variables with Skolem functions (**new**):

$$\forall x [\text{Animal}(Y(x)) \wedge \neg \text{Loves}(x, Y(x))] \vee \text{Loves}(Z(x), x)$$

Distribute \vee over \wedge (old):

$$\forall x [\text{Animal}(Y(x)) \vee \text{Loves}(Z(x), x)] \wedge [\neg \text{Loves}(x, Y(x)) \vee \text{Loves}(Z(x), x)]$$

Remove universal quantifiers (**new**):

$$[\text{Animal}(Y(x)) \vee \text{Loves}(Z(x), x)] \wedge [\neg \text{Loves}(x, Y(x)) \vee \text{Loves}(Z(x), x)]$$

Resolution



Definition: resolution rule (first-order logic)

$$\frac{f_1 \vee \cdots \vee f_n \vee p, \quad \neg q \vee g_1 \vee \cdots \vee g_m}{\text{Subst}[\theta, f_1 \vee \cdots \vee f_n \vee g_1 \vee \cdots \vee g_m]}$$

where $\theta = \text{Unify}[p, q]$.



Example: resolution

$$\frac{\text{Animal}(Y(x)) \vee \text{Loves}(Z(x), x), \quad \neg \text{Loves}(u, v) \vee \text{Feeds}(u, v)}{\text{Animal}(Y(x)) \vee \text{Feeds}(Z(x), x)}$$

Substitution: $\theta = \{u/Z(x), v/x\}$.



Summary

Propositional logic

model checking

⇐ propositionalization

modus ponens
(Horn clauses)

resolution
(general)

First-order logic

n/a

modus ponens++
(Horn clauses)

resolution++
(general)

++: unification and substitution



Key idea: variables in first-order logic

Variables yield compact knowledge representations.