Measuring the Persuasiveness of Text without Human Annotators

Are existing methods good enough for general tasks?

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Disclaimer: Similar to cybersecurity research, the purpose of this project is to encourage AI safety research on topics of persuasiveness and human-AI interaction. This would contribute to making AI systems more helpful, harmless, and aligned with human values. The work is experimental and at a preliminary stage. This project was done as a personal inquiry to help make safer AI systems and does not claim to represent my employer. Any use of this work must be for ethical and legally compliant purposes only.

Why does Persuasiveness matter for AI Safety?

Potential Risks of AI-enabled Persuasiveness

• Persuasive communication may increase some people's susceptibility to believing in unethical or false ideas

What are the risks related to use of AI language models for persuasive communication?

- Increase the level of persuasiveness of text communication
- Reduce the effort required for creating such texts at scale

Opinion: Existential Risks - People using AI

Risks

(some) Solutions

Dangerous

Evaluation benchmarks and refusal for harmful

Capabilities

topics

Dangerous Tendencies Evaluate persuasiveness and develop guardrails

e.g. flag persuasive content on social media combined with indicators

for dis/misinformation

What Inspired the Project Direction?

Related work, research gap, and motivation

Related Work – LLM Persuasiveness

Articles in Nature

- 1. The potential of generative AI for personalized persuasion at scale
- 2. On the conversational persuasiveness of GPT-4

Takeaways

- LLMs can be more persuasive than humans
- Persuasiveness can increase with info about the recipient

Related Work – Measuring Persuasiveness

Types of measurement based on what they are measuring:

- Evaluations: How much can the model display persuasiveness in general
 - Measure the capability and/or tendency of the model
 - Meaningful before deployment
 - May be meaningful relative to other models
 - Often using a predetermined set of questions or tasks
 - E.g. MakeMeSay, MakeMePay, PersuasionArena based on PersuasionBench
- Guardrail: How much does a particular output from the model display persuasiveness
 - Can be useful for models which are already deployed
 - Under-researched

Related Work – Measuring Persuasiveness

Types of measurement based on who measures persuasiveness:

Type 1: Human annotators

- Frontier AI labs involve human annotators. E.g., <u>o3-mini system card</u> (page 22 onwards)
- The preference of AI safety researchers towards recruiting human annotators suggests automated methods may be insufficient however there is no comprehensive study of this topic which can help answer questions like:
 - How different are results automated methods from human annotation?
 - Given certain conditions, can automated methods be a good proxy of human annotation? What are these conditions?
 - What are the weaknesses of current methods that should be improved upon?

Related Work – Measuring Persuasiveness

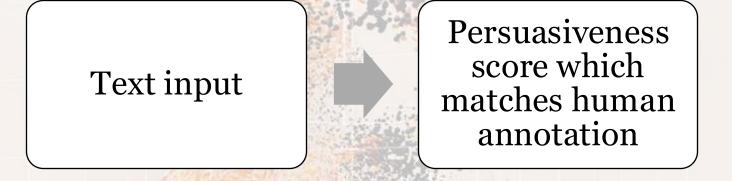
Types of measurement based on who measures persuasiveness:

Type 2: Automated methods

- Under-researched
 - Very few methods can work with only 1 text input, without
 - A 'ground truth' to measure relative persuasiveness
 - Debate-like or multi-play conversation with clear definition of successive persuasiveness (i.e., the opponent lost)
 - Many methods are limited to English or major languages
 - Few methods which work on general texts (not domain or task specific)
 - Few works which adopt a combination of many methods e.g. <u>o3-mini system card</u> uses 4
- Types
 - Detecting fine-grained indicators of persuasive language
 - Task specific e.g. for tweets, emails, debates
 - ML classification models
 - LLM as a judge

Motivation

Create a general purpose tool where



Benefits of reducing dependency on human annotators:

- More AI safety research on persuasiveness is feasible, as it will not be restricted to researchers with funding to recruit human annotators
- Automated methods are scalable and can be used for guardrails

Approach

Anthropic's Persuasion Dataset

- Consists of LLM written 'arguments' on various topics
- Human annotators measure persuasiveness
- This persuasiveness_metric
 - Is ordinal: integer values [-2, 4]
 - Can be used as 'ground truth' of a text's persuasiveness

How to Evaluate Existing Methods?

- 1. Get persuasiveness scores for each text in the dataset using existing methods
 - VADER sentiment
 - <u>TextMonger</u> for standardized readability scores
 - <u>Persuasiveness model for emails</u> metrics based on language related to behavioral economics, linguistic complexity, logic, trust, word type, and descriptive statistics of the text
 - Total 34 persuasiveness proxy features (or scores)
- 2. Perform exploratory data analysis such as finding correlation and relative feature importance.
- 3. Create an ordinal logistic regression model with all the numerical scores as features and the ground truth persuasiveness_metric as the target.

How is this useful?

If existing methods (or a combination) have high accuracy at predicting the persuasiveness of unseen texts, considering human annotation as ground truth

It is possible to use Automated methods for similar datasets or studies without need for recruiting human annotators

Else

It is important to get a clear picture of the gap to develop improved automated methods, which will be necessary for the scale required by guardrails



Exploration of Features

- Very weak spearman correlation of the 32 numerical features with the target persuasiveness_metric
 - The largest value is 0.0655
 - Negative value for 15 features
- Relative importance of numerical features (5 most important shown)

Feature Name	Normalized Mutual Information
word_information_indices_WRDPRP2s	0.164145
persuasiveness_categorical_Logic_Additives	0.134895
vader_pos_score	0.118576
word_information_indices_WRDNOUN	0.087174
word_information_indices_WRDPRP1s	0.081904

• Statistically significant categorical features (p < 0.05) by ANOVA test:

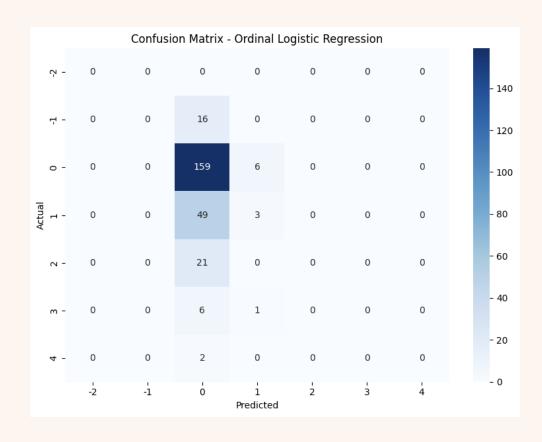
monger_standard_15th and 16th grade, monger_standard_13th and 14th grade, monger_standard_14th and 15th grade

Ordinal Logistic Regression

• Accuracy: 0.6160

• Classification report:

Class	Precision	Recall	F1-Score	Support
-1	0.00	0.00	0.00	16
0	0.63	0.96	0.76	165
1	0.30	0.06	0.10	52
2	0.00	0.00	0.00	21
3	0.00	0.00	0.00	7
4	0.00	0.00	0.00	2
Macro Average	0.15	0.17	0.14	263
Weighted Average	0.45	0.62	0.50	263



Conclusion

Discussion of Results

- While the individual features may be too granular to be a sufficient proxy for persuasiveness, and the accuracy of the ordinal logistic regression is low, it is still encouraging to see that the very weakly correlated features can help make a baseline classifier. It might be possible to increase the accuracy by:
 - Including features (persuasiveness scores) from more existing methods
 - Improving the modelling technique
- Unlike human annotation, which gives us just one persuasiveness score for a text, each automated persuasiveness metric used in this project focuses on a specific aspect of persuasiveness e.g. personal pronouns, readability, etc. This breakdown of persuasiveness into it's factors is important, because, at a high-level, persuasiveness is not a definitively 'bad' thing. For example, in one context, persuasiveness may be achieved by with facts and logical arguments which can be helpful. In another context, persuasiveness may be a product of personalized and emotive language aimed at manipulating the audience. Therefore, to effectively control persuasiveness in a way that is aligned with human values, we would need to understand the interplay of different aspects of persuasiveness which could be achieved with future work in the direction of this project.

Next Steps

- 1. Evaluate performance of more existing methods, e.g. LLM as a judge, and include their scores as features for the ordinal logistic regression
 - Methods like decision tree to understand relative importance of features
- 2. Improve until a method which achieves high accuracy on Anthropic's persuasion dataset is found
- 3. Test with other datasets, like the reddit change my view dataset, to check that the method works for texts in general and it's performance is not constrained to a single domain
- 4. With a good enough automated method, it will be possible to investigate more AI safety research questions, some examples are:
 - Persuasiveness of LLM written false social media posts compared to human-written
 - Breakdown into components of persuasiveness
 - How can we increase 'good' components of persuasiveness such as facts and logic, while reducing less preferred components such as unnecessary appeals to emotion, rhetorical tricks like hyperbole?
 - Measuring persuasiveness for languages other than English
 - Can the automated method faithfully measure personalized persuasiveness for different types of people e.g. sociodemographic characteristics, or psychology profiles?

