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## Finding Aid to the Documents on the origin and development of the Tuskegee syphilis study1921-1973

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## **Summary Information**

Title: Documents on the origin and development of the Tuskegee syphilis study

Creator: Tuskegee Syphilis Study Ad Hoc Advisory Panel

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Abstract:

Copies of articles, reports and correspondence compiled for the ad hoc advisory panel commissioned to investigate the PHS's Tuskegee syphilis study in 1973.

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## Provenance:

Gift of Dr. R.C. Backus, the Executive Secretary of the Tuskegee Syphilis Ad Hoc Advisory Panel, 1973.

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# **Descriptive Summary**

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## **Historical Note**

Beginning in 1932, the Public Health Service began a study of the effects of untreated syphilis on black men in Macon County, Alabama. On August 24, 1972 Merlin K. DuVal, M.D., Assistant Secretary for Health and Scientific Affairs in the Department of Health, Education, and Welfare, announced the appointment of an ad hoc panel headed by Broadus N. Butler, president of Dillard University, to investigate the study. The panel was empowered to explore whether the study was justified, whether it should be continued, and if existing H.E.W. regulations properly protected the rights of patients. The final report of the panel was delivered on April 28, 1973.

During the course of its investigation, the panel collected a broad variety of historical documents and other papers. In 1973 a copy of the working papers of the panel was deposited in the National Library of Medicine by Dr. R. C. Backus, the Executive Secretary of the Tuskegee Syphilis Ad Hoc Advisory panel. These working papers constitute the collection known as "Documents on origin and development of the Tuskegee syphilis study".

The collection consist of photocopies of twelve volumes. The material was organized by the panel into ten general groupings identified in the general index to the papers. An item-level index to the material was also prepared. In the upper right hand corner of each page of the index is found a roman numeral control number, indicating the major grouping in which the material belongs, and the box number in which the material is found. Each entry in the finding aid is preceded by this index number.

### **Index Terms**

These terms are indexed in the National Library of Medicine's online catalog LocatorPlus. Researchers wishing to find related materials should search the catalog using these terms.

## MeSH Subjects

- · African Americans
- Public Health
- Sexually Transmitted Diseases
- Syphilis

## **Personal Names**

Backus, R.C.

- · Butler, Broadus N.
- · Eagle, Jacqueline
- · Lee, Bernice
- · Rawles, Robert

#### **Corporate Names**

· Tuskegee Syphilis Study Ad Hoc Advisory Panel

## Geographic Names

Alabama

# **Series Descriptions**

Series I: Post-Panel Documents and Final Report, 1972-197311 USPHS - organizational chart and list of officials for period of Tuskegee study12 Post-panel documents13 Final report Series II: Panel Section I - Historical Documents Directly Related to the Tuskegee Study, 1936-1973 C.D.C. Material, 1936-197314 I - "Untreated syphilis in the male negro" by R. A. Vonderlehr, T. Clark (1936)15 II "Untreated syphilis in the male negro - II. Mortality during 12 years of observation" by J. R. Heller, Jr. and P. T. Bruyere (1946)16 II - "The effect of treated syphilis on life expectancy" by Dudley C. Smith, Martha C. Bruyere (1946)17 II - "Mortality trends for syphilis" by Lida J. Usilton (1946)18 III - "Untreated syphilis in the male negro - III. Evidence of cardiovascular abnormalities and other forms of morbidity" by Austin V. Deibert, Martha C. Bruyere (1946)19 IV - "Untreated syphilis in the male negro" by Pasquale J. Peare, Theodore J. Bauer (1950)110 V - "Twenty years of followup experience in a long range medial study" by Eunice Rivers, Stanely H. Schuman, Lloyd Simpson, Sidney Olansky (1953)111 VI - "Untreated syphilis in the male negro - a prospective study of the effect on life expectancy" by J. K. Shafer, Lida J. Usilton, Geraldine A. Gleeson (1954)112 VII - "Untreated syphilis in the male negro - environmental factors in the Tuskegee study of untreated syphilis" by Sidney Olansky, Lloyd Simpson, Stanely H. Schuman (1954)113 VIII - "Untreated syphilis in the male negro - pathologic findings in syphilitic and non syphihlitic patients" by Jesse J. Peters, James H. Peers, Sidney Olansky, John C. Cutler, Geraldine A. Gleeson (1954)114 IX - "Untreated syphilis in the male negro - background and current status of patients in the Tuskegee study" by Stanley H. Schuman, Sidney Olansky, Eunice Rivers, C. A. Smith, Dorothy S. Rambo (1955)115 X - "Untreated syphilis in the male negro - twenty years of clinical observation of untreated syphilitic and presumably non syphilitic groups" by Sidney Olansky, Stanley H.Schuman, Jesse J. Peters, C. A. Smith, Dorothy S. Rambo (1956)116 XI - "Untreated syphilis in the male negro twenty-two years of serologic observations in a selected syphilis study group" by Sidney Olansky, Ad Harris, John C. Cutler, Eleanor V. Price (1956)117 XII - "The Tuskegee study of untreated syphilis - the 30th year of observation" by Donald H. Rockwell, Anne Roof Yobs, M. Brittain Moore, Jr. (1964)118 XIII - "Aortic regurgitation in a study of aged males with previous syphilis" by Joseph G. Caldwell, Eleanor V.Price, Arnold L. Schroeter, Gerald F. Fletcher (1971)119 XIV - "CDC compliation of I - XIII120 XV - Background paper on Tuskegee study - CDC (1972)121 M14-14 - Rosahn. Studies in syphilis - the end results of untreated syphilis (includes a discussion of the Tuskegee study) Papers from C.D.C. Files, 1937-1973122 M25-30 - General correspondence/administrative details re study (1937-40)123 M25-32 - Correspondence regarding patient in Ala. Insane Hospital (1938)124 M25-33 - General correspondence/physical examinations (1938)125 M25-35 - Correspondence/treatment (1938)126 M25-10 - Letter. Parran/Townsend (1940)127 M25-2 Vonderlehr. Correspondence/trtmt of a control patient (1941)128 M25-3 - Vonderlehr/Milbank Fund (1941)129 M25-5 Vonderlehr/Milbank Fund (1941)130 M22-3 - Letter. Draft board/Vonderlehr (1941)131 M22-5 - Memo. Iskrant to Usilton, Bauer (1948)132 M25-22 - Memo. Iskrant/Jenkins (history & background of study) (1948)133 M22-6 - Wenger article (1951)134 M25-6 -Memo. Bauer/Schuman - status of several patients (1952)135 M22-7 - Vonderlehr article. Introduction to Tuskegee study (1964)136 M22-8 - CDC meeting on Tuskegee study (1965)137 M25-25 - Tuskegee study/summary (1968)138 M25-26 - CDC cooperative project agreement (1970)139 M22-4 - Letter. Caldwell/Brown (1970)140 M25-7 - Memo. Yobs to Sencer. Recommending termination of study (1970)141 M26-3 - Winters thesis. Macon County Health Department (1941)42 M28-1 - Letters from Tuskegee archives, 1932-1933142 Clark to Dibble re: visit, 9/7/32142 Dibble to Moton re: USPHS setting up study, 9/17/32142 Cumming to Moton. Study, 9/20/32142 Moton to Cumming. Cooperation of Tuskegee with Dr. Clark's recommendations, 10/10/32142 Dibble to Moton. % Positive serologies in Macon County, 3/28/33142 Moton to Arthur. % Positive serologies in Macon County, 2/17/33142 Cumming to Moton. Thanking for cooperation of J.A. Andrew Hospital, 6/2/33142 Vonderlehr to Dibble. Hiring of Nurse Rivers, 7/25/33142 Cumming to Moton. Continuation of Study, 7/27/33142 Vonderlehr to Dibble. Continuation of study; Rivers' assignment, 7/28/33142 [Clerk] to Cumming. Acknowledgement of receipt of above letter, 7/29/33142 Dibble to Moton. Continuation of Study, 8/3/33142 Vonderlehr to Dibble. Continuation of Study, 8/15/33142 Dibble to Moton. Results of serological survey (with tables), 9/9/33142 Vonderlehr to Dibble. Continuation of Study, 10/2/33142 Dibble to Moton. Enclosing above letter, 10/8/33142 Conference memo. Vonderlehr/Moton. Study, 10/20/33142 Dibble to Moton. Details of clinic, Rivers, 11/6/33142 Dibble to Moton. Details of Rivers' Assignment, 11/6/33143 M31-3 -CDC response to panel requests for information on results expected and obtained from the study (1972) Material from Fisk Archives, 1965-1973144 M37-1 - Memo & letters sent to people (authors of papers, surgeons general, etc.) requesting comment on Tuskegee study (1972)145 M37-2 - Letter from P. D. Lillie (1972)146 M37-3 - Letter from Mrs. J. J. Peters (1972)147 M37-4 - Letter from S. Olansky (1972)148 M37-5 - Letter from Stanley Schuman (1972)149 M37-6 - Letter from R. H. Story re Dr. Murray Smith (1972)150 M37-7 - Statement of J. R. Heller (1972)151 M37-8 - Letter from William Stewart (1972)152 M25-7 - Memo. Yobs to Sencer. Recommending termination of study (1970)153 M38-3 - Memo. Dr. Sencer to Dr. Backus on response to items in Dr. Katz' letter of 11/21/72154 M38-5 - Extracts/annual reports of the Surgeon General155 M38-7 - Letter from Dr. Katz (11/27) and Wall Street Journal (1972)156 M38-8 - Memo. Sencer to Backus re information items quoted in article from Wall Street Journal (1972)157 M38-9 - Enclusure with M38-8 of letter from Dr. Claude Friedman criticizing study (1972)158 M38-10 - Enclusure with M38-8 of letter from Irwin Schatz, M.D. to Donald H. Rockwell, M.D., dated 6/11/65159 M38-11 - Enclusure from M38-8 of memo from James B. Lucas to Wm. J. Brown. Dated 9/10/70. Analysis of current status of study.160 M10-3 - Revised staff notes. Abstracts from PHS reports161 M39-9 - Letter from Theodore J. Bauer (12/5/1972)162 M39-10 - Letter from L. E. Burney (12/61972)163 M39-6 - Letter from C. A. Smith (12/7/1972)164 M39-11 - Letter from Leonard A. Scheele (12/7/1972)165 M39-8 - Mailgram from John C. Cutler (12/8/1972)166 M39-7 - Letter from Eleanor V. Price (12/9/1972)167 M42-3 - Letter from Luther L. Terry (11/30/1972)168 M45-1 - Memo to panel from J. Eagle on clinical data format with sorted clinical data (1/8/1973)169 M45-2 - Deathlist - by date of onset of syphilis (1/8/1973)170 M45-3 - Deathlist - by date of birth (1/8/1973)22 M45-5 - Deathlist - by age at death (1/8/1973)23 M45-6 - Deathlist - by treatment (1/8/1973)24 M45-7 - Deathlist - by cause of death (1/8/1973)25 M45-8 - Listing of controls shifted to syphilis group (1/8/1973)26 M45-9 - Control deaths - by dates of birth (1/8/1973)27 M45-10 - Control deaths - by date of death (1/8/1973)28 M45-11 - Control deaths - by cause of death (1/8/1973)29 M45-12 - Live list - by date of birth (1/8/1973)210 M45-13 - Live list - by date of onset (1/8/1973)211 M45-14 - Live list - by treatment (1/81/973)212 M45-15 - Lost list - by date of birth (1/8/1973)213 M45-16 - Lost list - by date of onset (1/8/1973)214 M59-4 - Transcript of proceedings - DHEW, Office of theSecretary -Charge One Subcommittee - Tuskegee Study Ad Hoc Advisory Panel (interviews) (2/23/1973) Series III: Panel Section II - General

Historical Background, 1921-1973 Papers from Fisk Archives, 1921-1940215 M33-10 - VD control subjects and statistics - applicants at PHS clinic, Hot Springs, 1921-1929216 M33-20 - Letter. Wenger to Davis on Miss. V.D. Problems (8/3/1929)217 M33-9 - Letter. M. M. Davis to Cumming on authority of funds for VD control projects & letter of response (11/30/1929)218 M33-8 - Letter, senior surgeon to M. M. Davis on cooperation by Rosenwald Fund with PHS, state and local depts. of health in the control of venereal diseases...(1929)219 M33-4 - Letter from Stuart Graves, M.D. to Cummings on syphilis control demonstration including budget and purpose; and letter from Cummings to M. M. Davis approving budget (1930)220 M33-5 - Memo. T. Clark to Dr. Davis on Wenger's interview with Dr. Moton (1930)221 M33-6 - Memo & attachments from H. L. Harris to M. M. Davis on syphilis control demonstration in Alabama and an abstract from H. L. Harris interview book and memo to Davis from Harris (1930)222 M33-12 - Letters. Clark to Davis; Davis to Rosenwald (1930)223 M33-15 - Correspondence & proposed articles on "practical measures undertaken for the control of syphilis among negroes in southern rural areas." The PHS aspect of syphilis in the negro race in certain southern states; also other publicity (1930)224 M33-16 -Wenger. Report on Macon County demonstration (1930)225 M33-17 - Letter from Stuart Graves on how to carry out proposed syphilis demonstration226 M33-18 - Bibliography. Syphilis among negroes227 M33-19 - Brief history of Tuskegee, Alabama228 M33-21 -Treatment procedure memo (1930) 229 M33-7 - Letter. J. N. Baker, M. D. to Dr. M. M. Davis on extension of VD control project 230 M33-22 - Letters. Clark to Davis. Rosenwald demo (1931)231 M33-13 - Letter. Davis to Clark. Demonstration (1931)232 M33-24 - Report by Dr. Clyde Frost concerning medical conditions in Macon County (1931)233 M33-14 - Letter. Clark to Davis on estimates for the continuation of syphilis control projects in Alabama, Miss., etc. 1932-1933 (1932)234 Letter. Michael M. Davis to Dr. Cumming (1934)235 M33-11 - Letters. Clark to Davis on cooperative syphilis control demonstration. Davis to Clark. Enclosure field form (1939)236 M33-3 Background - VD demonstration (resurvey) - statement of offer from; letter from M. Bousfield, M. D. to Dr. John B. West on resurvey with Dr. Wenger; and letter concerning Dr. West from Bousfield to Wenger (1940)237 M33-23 - Report on Macon County demo. by Charles S. Johnson Papers from CDC Files, 1929-1973238 M25-19 - Rosenwald resurvey memo - 1929-1932239 M25-16 - Discussion of treatment of late syphilis. Rosenwald demonstration data240 M25-17 - Rosenwald demonstration memo. Usilton to Clark. Statistical analysis of 6 demonstrations (1932)241 M25-18 - Results of Rosenwald demonstrations (1935)242 M25-32 - Letters. Alabama Insane Hospital at Mount Vernon (1938)243 M25-20 - Letter. A. A. surgeon to Surgeon General describing visit to southern states (1940)244 M25-21 - R. G. James. The mobile clinic/Macon County (1940)245 M25-11 - Memo on on annual Tuskegee visit. Peseare to Heller (1948)246 M25-12 - Letter from Pesare to Bauer and from Pesare to Clark on state of study (1948)247 M25-13 - Letter. Olansky to Cutler. Outline for survery (1951)248 M25-14 - Memo. Schuman to Olansky on Chamblee conference with Mrs. Gleeson and Miss Rambo (1951)249 M25-31 - Letters. Autopsy - cardiovasc. syphilis outlines; summary (1952)250 M25-28 - Memo. Simpson to Schuman. Report of penicillin Rx received by the Tuskegee syphilitic patients (1952)251 M25-4 - Memo. Simpson and Rivers to Dr. Schuman on field investigation progress (1952)252 M25-9 - Letter on 25 year certificates (1958)253 M25-24 - Memo. Smith to NIH on the long-term studies in untreated syphilis as carried out by the division of venereal disease (1954)254 M25-1 - Letters. Hendry County Health Dept.; letter from Dr. Anne Yobs; letter of follow-up patient (1965)255 M25-15 - Letter. Magnuson to Brown (1970)256 M25-29 - Letters. Kennebrew to Schroeter; Schroeter to Kennebrew (1971)257 M25-8 - Letter. Brown (VD branck) to Magnuson (U. Mich.) (1970) General Articles, 1972-1973258 M27-2 - Milestones in VD control259 M23-10A - Alabama laws (1927)260 M23-10B - Alabama laws (1943)261 M23-10C - Alabama laws (1947)262 M23-10D - Alabama laws (1969)263 M44-15 - Wenger. The Pitt County, NC demonstration for the control of syphilis among the rural negro population (1930)264 M12-2 - Wenger & Ricks. The public health aspects of syphilis in the negro race in certain southern states (1931)265 M12-4 - Gill. Syphilis in the rural negro - results of a study in Alabama (1931)266 M14-16 - V.C. programs in the state departments of health (1936)267 M17-1 - Proceedings of conference on venereal disease control (1936)268 M17-3 - J. Rosenwald Fund report (1938-1940)269 M17-4 - J. Rosenwald Fund report (1940-1942)270 M17-5 - Investment in people - the story of the Julius Rosenwald Fund271 M17-2 - Milbank Memorial Fund report (1940)272 M12-1 - Work of the U.S. Public Health Service (1941)273 M14-19 - A preliminary report of blood-testing, as required by Alabama law, in the first three counties surveyed - revised staff notes - 2. Alabama State Board of Health reports (1944)274 M10-3 - Revised staff notes. Abstracts from PHS reports, 1930-1960. Staff notes. Alabama State Board of Health reports275 M17-6 - Milbank Memorial Fund report (1959-1960)276 M23-12 - Dr. Hiltner's letter toDr. Butler (10/29/1972)277 M59-1 - Taliaferro Clark. The control of syphilis in southern rural areas278 M60-9 VA hospital at Tuskegee observes its 50th year of service (1973)279 M68-1 - The Tuskegee study in historical perspective, by Leonard J. Goldwater, M.D. (1973)280 M12-3 - Government and health - the Alabama experience (staff notes) (1955)281 M10-2 - Shadow on the Land, by Thomas Parran (staff notes) (1937)282 M4-8 - Alabama State Board of Health annual reports, 1932-1947 (staff notes) Series IV: Panel Section III - Recent Newspaper & Magazine Articles, 1972-197331 M3-13 - Pediatric News. Means on hand to control VD (6/1972)32 M3-14 - Pediatric News. Efforts at syphilitic control need national appraoch (6/1972)33 M23-5 - Congressional Record. Syphilis & genocide (8/1972)34 M23-6 - Congressional Record. Tuskegee study (8/1972)35 M3-15 - Skin & Allergy News. Routine penicillin in syphilis debated (9/1972)36 M4-22 - AP & UPI ticker tapes (9/1972)37 M4-23 - AP & UPI ticker tapes (9/1972)38 M4-24 - AP & UPI ticker tapes (9/1972)39 M4-25 - AP & UPI ticker tapes (9/1972)310 M20-1 - Los Angeles Free Press. One man's story of how the government gave him syphilis (8/1972)311 M18-1 - Articles (7 - 10/1972)312 M1-3 - Medical World News. The 40-year death watch (8/18/1972)313 M24-3 - The Nation's Health. Right of human subjects is key issue in medical study (8/1972)314 M24-4 - The Nation's Health. Human guinea pigs remain untreated in PHS syphilis study (8/1972)315 M21-3 - U.S. Medicine. Safeguards preclude recurrence of controversial syphilis study (8/15/1972)316 M20-2 - The Nation's Health. Citizens panel to probe study (10/2/1972)317 M16-2 - AP & UPI ticker tape. Jean Heller (10/2/1972)318 M16-4 - Hospital Tribune. Reports in journals revealed PHS study of black syphilis (10/2/1972)319 M17-8 - Washington Post. Syphilis victims 'thanked'; Evening Star. Kennedy to probe test of humans; Congressional Record. Announcement of health subcommittee hearings on human experimentation (1972)320 M23-13 - American Medical News. PHS corps' future in danger (10/9/1972)321 M23-8 - Washington Post. HEW to end Alabama study of syphilis (10/28/1972)322 M23-11 - The Southern Medical Journal. The Tuskegee studyof untreated syphilis (1972)323 Tidbits-8 - Congressional Record. Chronicare Act (1972)324 M32-3 - Medical Tribune. Restrictions on human experimentation (10/25/1972)325 M38-7 - Wall Street Journal326 M26-1 -Detroit Free Press. Articles by D. Katz (1972)327 M24-5 - Jet. Tuskegee (I) (9/11/1972)328 M24-6 - Jet. Tuskegee (II) (1972)329 M24-7 - Ebony. Condemned to die for science (11/1972)330 M32-2 - Hospital Tribune. Syphilis study brings varietyof comments (11/13/1972)331 M42-6 - Hospital Tribune. Tuskegee study end immediately urged by citizens' panel (11/27/1972)332 M42-7 - Hospital Tribune. Editorial on health law research (11/27/1972)333 M42-8 - Morbidity & Mortality. Current trends primary and secondary syphilis (1972)334 M43-2 - The Atlantic. Experiments behind bars by Jessica Mitford (1/1973)335 M50-2 - Washington Post. Open quiz urged in syphilis study (2/3/1973)336 M56-1 - Hospital Tribune. American Hospital Association 'bill of rights' and (separate article) hospital patient bill of rights issued by AHA (2/5/1973)337 M52-1 - Washington Post. Syphilis study ends sessions held in secret (2/15/1973)338 M55-3 -Washington Post. Syphilis study faulted on notifying patients (2/24/1973)339 M59-5 - Evening Star. Survivors get no care in ended syphilis study (2/28/1973)340 M59-6 - Washington Post. Full medical care assured survivors of syphilis study (3/4/1973)341 M60-4 -Weinberger news release on medical care for survivors (3/5/1973)342 M60-6 - Evening Star. 40 in syphilis test seek funds, not care (3/9/1973)343 M60-7 - Washington Post. Drug testing hurt convicts, hill unit told (3/8/1973)344 M65-2 - Congressional Record. Mr. Humphrey on the Tuskegee study (3/12/1973)345 M66-1 - Congressional Record. Mr. Kennedy on condemned to die for science (3/14/1973)346 Hospital Tribune. Letter to the editor Series V: Panel Section IV - Law-Related Articles/Documents, 1927-1973347 M12-1 - Work of the PHS (1941)348 M14-19 - Preliminary report of blood testing as required by Alabama law (1944)349 M3-12 - Congressional Record. S3895 Javits bill (8/17/1972)350 M3-11 - Congressional Record. S3951 National Human Experimentation Standards Boards Act (9/15/1972)351 M4-5 - Medical ethics legislation & Javits bill, S3895 (1972)352 M9-3 - Humphrey bill, S3951353 M11-12 -Correspondence, Fred G.Gray, attorney & Louis Cohen, PHS claims officer (1972)354 M0-5 - PHS act, as amended title II, sections 220 & 208355 M23-13 - (Tidbits-5) - Congressional Record. House on research involving human beings (9/11/1972)356 M24-7 (Tidbits-8) Congressional Record. Chronicare Demonstration Act (10/11/1972)357 M47-1 - Public law 92-463 (1/5/1973)358 M47-2 - S.317 Sparkman & Allen bill (1/11/1973)359 M60-8 - S.974 Javits bill (2/22/1973) Series VI: Panel Section V - General Syphilis and Drug Literature, 1933-1973360 M31-3 - CDC compendium on results of study & review of syphilis knowledge as it existed in 1932361 M31-4 -Review of syphilis knowledge as it existed in 1932, from A Textbook of Medicine 362 M1-4 - Penicillin therapy of syphilis (2nd ed. Pharm. Basis/Therap.)363 M1-5 - Drugs used in the chemotherapy of syphilis (1st ed. Pharm. Basis of Therapeutics)364 M34-2 - Venereal

disease information (2/20/1930)365 M14-17 - Clark & Usilton. Trend of cases of syphilis under treatment or observation in the United States (1933)366 M14-19 - A preliminary report of blood testing, as required by Alabama law, in the first three counties surveyed (1944)367 M14-14 - Rosahn. Studies in syphilis. The end results of untreated syphilis (1946)368 M27-1 - Rosahn: autopsy studies in syphilis (1947)369 M25-23 - Notes on cardiovascular syphilis (1948)370 M14-5 - The treatment of cardiovascular syphilis (1948)371 M14-2 - WHO report. Venereal disease control in the USA (with special reference to penicillininearly, prenatal and infantile syphilis) (1950)372 M14-8 - An evaluation of public health measures for the control of syphilis (includes a discussion of penicillin use) (1951)373 M14-9 - Evaluation of antysyphilitic therapy with intensive follow-up (1951)374 M27-2 - Milestones in venereal disease control375 M14-18 - Martin. The effect of syphilis on nonwhite longevity as reflected in mortality data. Georgia, 1939-1941 (1951)376 M9-2 - The pharmacological basis of therapeutics. 3rd ed. (reactions to antibiotics) (sent to subcommittee I only) (1965)377 M10-4 - The V. D. Story (1971)41 M16-1 - Syphilis and salvarsan (1972)42 M3-15 - Routine use of penicillin is debated (1972) Series VII: Panel Section VI - Drug Therapy, Early Syphilis, 1931-197243 M22-9 - CDC fact sheets (1947-1970)44 M22-10 - CDC abstracts from treatment literature, 1931-197145 M14-6 - Penicillin therapy of early syphilis (1945)46 M14-7 - Evaluation of the treatment of early syphilis with arsphenamine & heavy metal (1950)47 M16-1 - Syphilis and salvarsan (1972) Series VIII: Panel Section VII - Drug Therapy, Late Syphilis, 1930-197248 M22-10 - CDC abstracts from treatment literature, 1947-197149 M34-2 - Treatment of latent syphilis (1930)410 M14-2 - The normal syphilitic (includes a discussion of non-treatment of latent syphilis) (1931)411 M14-10 - Latent syphilis. Cooperative clinical studies ithe treatment of syphilis (1932)412 M14-11 - The management and treatment of the late and latent syphilitic (1946)413 M14-14 - The end results of untreated syphilis (1946)414 M14-13 - Prognosis in late latent syphilis (1948) Series IX: Panel Section VIII - Drug Therapy Herxheimer Reaction, 1928-1951415 M14-3 - Some observations on Herxheimer's reaction (1928)416 M14-5 - The treatment of cardiovascular syphilis (1948)417 M14-4 - Pathogenesis of the Jarisch-Herxheimer reaction. A review of clinical & experimental observations (1951) Series X: Panel Section IX - Government Documents Related to Experimentation with Human Subjects, 1970-1973418 M3-1 - Protection of the individual as a research subject - intramural programs419 M3-2 - Institutional guide to DHEW policy on protectionof human subjects420 M3-3 - Memorandum (7/5/1972)421 M3-4 - Forty fourth cumulative list of institutions in compliance, May 19, 1972422 M3-5 - Chapter 1-40423 M3-6 - (superseded) PHS 1966 policy on protection of human subjects424 M3-7 - January 24, 1972 memorandum425 M3-8 - April 15,1971 memorandum426 M3-9 - July 1, 1966 memorandum427 M4-1 - NIH grants for research projects policy statement (NIH) 72-8428 M4-2 - Information and instructions for application for research form PHS 398429 M4-3 (current NIH policy) contracts involving human subjects 6000-3-4.55430 M4-21 - PHS grant-supported research with human subjects (1968)431 M36-2 - Memo from Dr. Backus to Dr. Chalmers on protection of human subjects - current policy and practices (1972)432 M36-3 - Clinical Center folder433 M36-5 - Memo from Dr. Backus to Dr. Allen on protection of human subjects - current DHEW practices & policy - supported programs (12/5/1972)434 M36-10 - Title 41 - procurements involving human subjects435 M36-11 - Review procedures 436 M36-13 - Memo from Veneman dated July 23, 1970437 M36-16 - Letter to Broadus N. Butler from John A. D. Cooper, president, Assoc. of American Medical Colleges (1972) 438 M42-2 - SRS chapter 1-40 on protection of human subjects (1972) 439 M57-1 -Assurances from University of Iowa; Research for Better Schools, Inc.; Howard University, and Children's Asthma Research Institute & Hospital sent to Charge III Subcommittee (1969-1972)440 M58-1 - FDA implementing policies on protection of humans as research subjects, and CDC implementing policies on protection of humans as research subjects sent to Charge III Subcommittee (1973) Series XI: Panel Section X - Non-Government Documents Related to Experimentation with Human Subjects, 1972-197351 M3-10 -Congressional Record. Death toll from human experimentation (9/14/1972)52 M4-6 - S.3896. Allen & Sparkman bill53 M4-9 - A hospital compendium, guide to Jewish morals54 M4-10 - Life and death pamphlet55 M4-11 - Declaration of Helsinki56 M4-12 - Ethical standards of psychologists57 M4-13 - Code of ethics: National Association of Social Workers, 10/13/196058 M4-14 - Ethical & religious directives for Catholic hospitals59 M4-15 - Ethical and religious directives for Catholic health facilities510 M4-16 - May 1, 1969 memorandum511 M4-17 - Informed consent. University of Michigan512 M4-18 - Ethical standards in research (American Psychological Association)513 M4-19 - Dept. of Health and Rehabilitation Services - institutional assurance514 M4-20 - State of Connecticut procedure for review515 M9-1 - PHS guidelines governing research involving human subjects, by Mark Frankel (1972)516 M10-1 - Annals New York Academy of Sciences: ethics incooperative clinicaltrials517 M16-3 - Biomedical research and the need for a public policy, Dr. Jerome Stephens; remarks on panel presentations 'Politics, Health & Social Policy' Harris S. Cohen518 M23-3 - Beecher testimony - Senate hearings on death with dignity (1972)519 M23-4 - National human experimentation standards board520 M27-4 - Medical science, the clinical trial and society, by Robert Q. Marston (plus press release and article in Washington Post)521 M29-2 - Letter to Javits from Bertram S. Brown, HSMHA522 M41-2 - Reprints on ethics of randomized studies, by Thomas C. Chalmers, M.D.523 M50-1 - Notice of hearings on human experimentation - Congressional Record - Senate - S2282 (2/7/1973)524 M56-1 - Hospital Tribune articles: (1) American Hospital Associaton bill of rights (2) hospital patient bill of rights issued by AHA525 M59-7 - Committee on peer review of patient research (11/27/1972)526 M59-8 - Division of hospitals - investigations involving human subjects, including clinical research527 M60-8 -Congressional Record. A new Javits bill S974. A bill to amend the PHS act...(2/23/1973)528 M60-12 - General instructions manual - part II medical administration - chapter 5 research review board functions (1972)529 M61-2 - Transcript. Subcommittee III meeting (12/18/1972)530 M63-2 - Opening statement of Senator Edward Kennedy at hearings; and statement of Dr. Bertram S. Brown, NIMH, DHEW, before subcommittee on health (1972)531 M64-1 - Subcommittee on health witness list (2/21 - 23/1973)532 M64-2 -Subcommittee on health witness list (3/6 - 9/1973)533 M64-3 - Opening statement of Edward Kennedy - hearings - experimentation with prisoners (1973)534 M64-4 - Testimony - Dr. Sidney Wolfe (1973)535 M64-5 - Testimony - Philadelphia Women's Health Collective (1973)536 M64-6 - Testimony - C. Joseph Stetler (1973)537 M64-7 - Testimony - Dr. Bernard L. Diamond (1973)538 M64-8 - Testimony - Fred D. Gray, Esq. (1973)539 M64-9 - Testimony - Dr. J. Katz (1973)540 M64-10 - Testimony - Bernard Barber (1973)541 M64-11 Testimony - Dr. William R.Barclay (1973) Items sent to the panel in addition to the above articles, 19725 Katz, Jay; Carpon, Alexander; and Glass, Eleanor. Experimentation with Human Beings. Russell Sage Foundation. New York, 19725 Barber, Bernard; Lally, John J.; Makarushka, Julia L.; Sullivan, Daniel. Research on Human Subjects. Russell Sage Foundation. New York, 1972

## **Collection Scope and Content Note**

During the course of its investigation, the panel collected a broad variety of historical documents and other papers. In 1973 a copy of the working papers of the panel was deposited in the National Library of Medicine by Dr. R. C. Backus, the Executive Secretary of the Tuskegee Syphilis Ad Hoc Advisory panel. These working papers constitute the collection known as "Documents on origin and development of the Tuskegee syphilis study".

The collection consist of photocopies of twelve volumes. The material was organized by the panel into ten general groupings identified in the general index to the papers. An item-level index to the material was also prepared. In the upper right hand corner of each page of the index is found a roman numeral control number, indicating the major grouping in which the material belongs, and the box number in which the material is found. Each entry in the finding aid is preceded by this index number.

## **Contents List**

Box | Folder

Title

Series I: Post-Panel Documents and Final Report, 1972-1973

[series]: USPHS - organizational chart and list of officials for period of Tuskegee study 1 1 2 Post-panel documents 3 Final report Series II: Panel Section I - Historical Documents Directly Related to the Tuskegee Study, 1936-1973 [series]: C.D.C. Material, 1936-1973 [subseries]: 1 4 I - "Untreated syphilis in the male negro" by R. A. Vonderlehr, T. Clark (1936) II - "Untreated syphilis in the male negro - II. Mortality during 12 years of observation" by J. R. 1 5 Heller, Jr. and P. T. Bruyere (1946) 6 II - "The effect of treated syphilis on life expectancy" by Dudley C. Smith, Martha C. Bruyere (1946) 1 7 II - "Mortality trends for syphilis" by Lida J. Usilton (1946) 8 III - "Untreated syphilis in the male negro - III. Evidence of cardiovascular abnormalities and other 1 forms of morbidity" by Austin V. Deibert, Martha C. Bruyere (1946) 9 1 IV - "Untreated syphilis in the male negro" by Pasquale J. Peare, Theodore J. Bauer (1950) 1 V - "Twenty years of followup experience in a long range medial study" by Eunice Rivers, Stanely H. 10 Schuman, Lloyd Simpson, Sidney Olansky (1953) 1 VI - "Untreated syphilis in the male negro - a prospective study of the effect on life expectancy" by J. 11 K. Shafer, Lida J. Usilton, Geraldine A. Gleeson (1954) 1 12 VII - "Untreated syphilis in the male negro - environmental factors in the Tuskegee study of untreated syphilis" by Sidney Olansky, Lloyd Simpson, Stanely H. Schuman (1954) 1 13 VIII - "Untreated syphilis in the male negro - pathologic findings in syphilitic and non syphihlitic patients" by Jesse J. Peters, James H. Peers, Sidney Olansky, John C. Cutler, Geraldine A. Gleeson 1 14 IX - "Untreated syphilis in the male negro - background and current status of patients in the Tuskegee study" by Stanley H. Schuman, Sidney Olansky, Eunice Rivers, C. A. Smith, Dorothy S. Rambo (1955) X - "Untreated syphilis in the male negro - twenty years of clinical observation of untreated syphilitic 1 15 and presumably non syphilitic groups" by Sidney Olansky, Stanley H.Schuman, Jesse J. Peters, C. A. Smith, Dorothy S. Rambo (1956) 1 16 XI - "Untreated syphilis in the male negro - twenty-two years of serologic observations in a selected syphilis study group" by Sidney Olansky, Ad Harris, John C. Cutler, Eleanor V. Price (1956) XII - "The Tuskegee study of untreated syphilis - the 30th year of observation" by Donald H. 1 17 Rockwell, Anne Roof Yobs, M. Brittain Moore, Jr. (1964) 1 18 XIII - "Aortic regurgitation in a study of aged males with previous syphilis" by Joseph G. Caldwell, Eleanor V.Price, Arnold L. Schroeter, Gerald F. Fletcher (1971) XIV - "CDC compliation of I - XIII 1 19 1 20 XV - Background paper on Tuskegee study - CDC (1972) 1 21 M14-14 - Rosahn. Studies in syphilis - the end results of untreated syphilis (includes a discussion of the Tuskegee study) Papers from C.D.C. Files, 1937-1973 [subseries]: 22 M25-30 - General correspondence/administrative details re study (1937-40) 1 1 23 M25-32 - Correspondence regarding patient in Ala. Insane Hospital (1938) 24 M25-33 - General correspondence/physical examinations (1938) 1 1 25 M25-35 - Correspondence/treatment (1938) 1 26 M25-10 - Letter, Parran/Townsend (1940) 1 27 M25-2 - Vonderlehr. Correspondence/trtmt of a control patient (1941) 1 28 M25-3 - Vonderlehr/Milbank Fund (1941) 1 29 M25-5 - Vonderlehr/Milbank Fund (1941) 1 30 M22-3 - Letter. Draft board/Vonderlehr (1941) 1 31 M22-5 - Memo. Iskrant to Usilton, Bauer (1948) 1 32 M25-22 - Memo. Iskrant/Jenkins (history & background of study) (1948) 1 33 M22-6 - Wenger article (1951) M25-6 - Memo. Bauer/Schuman - status of several patients (1952) 1 34 35 M22-7 - Vonderlehr article. Introduction to Tuskegee study (1964) 1 36 M22-8 - CDC meeting on Tuskegee study (1965) 37 M25-25 - Tuskegee study/summary (1968) 1 38 M25-26 - CDC cooperative project agreement (1970) 1 39 M22-4 - Letter. Caldwell/Brown (1970)

1	40	M25-7 - Memo. Yobs to Sencer. Recommending termination of study (1970)
1	41	M26-3 - Winters thesis. Macon County Health Department (1941)
	42	M28-1 - Letters from Tuskegee archives, 1932-1933 [subseries]:
1	42	Clark to Dibble re: visit, 9/7/32
1	42	Dibble to Moton re: USPHS setting up study, 9/17/32
1	42	Cumming to Moton. Study, 9/20/32
1	42	Moton to Cumming. Cooperation of Tuskegee with Dr. Clark's recommendations, 10/10/32
1	42	Dibble to Moton. % Positive serologies in Macon County, 3/28/33
1	42	Moton to Arthur. % Positive serologies in Macon County, 2/17/33
1	42	Cumming to Moton. Thanking for cooperation of J.A. Andrew Hospital, 6/2/33
1	42	Vonderlehr to Dibble. Hiring of Nurse Rivers, 7/25/33
1	42	Cumming to Moton. Continuation of Study, 7/27/33
1	42	Vonderlehr to Dibble. Continuation of study; Rivers' assignment, 7/28/33
1	42	[Clerk] to Cumming. Acknowledgement of receipt of above letter, 7/29/33
1	42	Dibble to Moton. Continuation of Study, 8/3/33
1	42	Vonderlehr to Dibble. Continuation of Study, 8/15/33
1	42	Dibble to Moton. Results of serological survey (with tables), 9/9/33
1	42	Vonderlehr to Dibble. Continuation of Study, 10/2/33
1	42	Dibble to Moton. Enclosing above letter, 10/8/33
1	42	Conference memo. Vonderlehr/Moton. Study, 10/20/33
1	42	Dibble to Moton. Details of clinic, Rivers, 11/6/33
1	42	Dibble to Moton. Details of Rivers' Assignment, 11/6/33
1	43	M31-3 - CDC response to panel requests for information on results expected and obtained from the study (1972)
		Material from Fisk Archives, 1965-1973 [subseries]:
1	44	M37-1 - Memo & letters sent to people (authors of papers, surgeons general, etc.) requesting comment on Tuskegee study (1972)
1	45	M37-2 - Letter from P. D. Lillie (1972)
1	46	M37-3 - Letter from Mrs. J. J. Peters (1972)
1	47	M37-4 - Letter from S. Olansky (1972)
1	48	M37-5 - Letter from Stanley Schuman (1972)
1	49	M37-6 - Letter from R. H. Story re Dr. Murray Smith (1972)
1	50	M37-7 - Statement of J. R. Heller (1972)
1	51	M37-8 - Letter from William Stewart (1972)
1	52	M25-7 - Memo. Yobs to Sencer. Recommending termination of study (1970)
1	53	M38-3 - Memo. Dr. Sencer to Dr. Backus on response to items in Dr. Katz' letter of 11/21/72
1	54	M38-5 - Extracts/annual reports of the Surgeon General
1	55	M38-7 - Letter from Dr. Katz (11/27) and Wall Street Journal (1972)
1	56	M38-8 - Memo. Sencer to Backus re information items quoted in article from Wall Street Journal (1972)
1	57	M38-9 - Enclusure with M38-8 of letter from Dr. Claude Friedman criticizing study (1972)
1	58	M38-10 - Enclusure with M38-8 of letter from Irwin Schatz, M.D. to Donald H. Rockwell, M.D., dated 6/11/65
1	59	M38-11 - Enclusure from M38-8 of memo from James B. Lucas to Wm. J. Brown. Dated 9/10/70. Analysis of current status of study.
1	60	M10-3 - Revised staff notes. Abstracts from PHS reports
1	61	M39-9 - Letter from Theodore J. Bauer (12/5/1972)
1	62	M39-10 - Letter from L. E. Burney (12/61972)
1	63	M39-6 - Letter from C. A. Smith (12/7/1972)
1	64	M39-11 - Letter from Leonard A. Scheele (12/7/1972)
1	65	M39-8 - Mailgram from John C. Cutler (12/8/1972)
1	66	M39-7 - Letter from Eleanor V. Price (12/9/1972)
1	67	M42-3 - Letter from Luther L. Terry (11/30/1972)
1	68	M45-1 - Memo to panel from J. Eagle on clinical data format with sorted clinical data (1/8/1973)
1	69	M45-2 - Deathlist - by date of onset of syphilis (1/8/1973)
1	70	M45-3 - Deathlist - by duration of disease (1/8/1973)

2	1	M45-4 - Deathlist - by date of birth (1/8/1973)
2	2	M45-5 - Deathlist - by age at death (1/8/1973)
2	3	M45-6 - Deathlist - by treatment (1/8/1973)
2	4	M45-7 - Deathlist - by cause of death (1/8/1973)
2	5	M45-8 - Listing of controls shifted to syphilis group (1/8/1973)
2	6	M45-9 - Control deaths - by dates of birth (1/8/1973)
2	7	M45-10 - Control deaths - by date of death (1/8/1973)
2	8	M45-11 - Control deaths - by cause of death (1/8/1973)
2	9	M45-12 - Live list - by date of birth (1/8/1973)
2	10	M45-13 - Live list - by date of onset (1/8/1973)
2	11	M45-14 - Live list - by treatment (1/81/973)
2	12	M45-15 - Lost list - by date of birth (1/8/1973)
2	13	M45-16 - Lost list - by date of onset (1/8/1973)
2	14	M59-4 - Transcript of proceedings - DHEW, Office of theSecretary - Charge One Subcommittee - Tuskegee Study Ad Hoc Advisory Panel (interviews) (2/23/1973)

# Series III: Panel Section II - General Historical Background, **1921-1973** [series]:

		Papers from Fisk Archives, 1921-1940 [subseries]:
2	15	M33-10 - VD control subjects and statistics - applicants at PHS clinic, Hot Springs, 1921-1929
2	16	M33-20 - Letter. Wenger to Davis on Miss. V.D. Problems (8/3/1929)
2	17	M33-9 - Letter. M. M. Davis to Cumming on authority of funds for VD control projects & letter of response (11/30/1929)
2	18	M33-8 - Letter, senior surgeon to M. M. Davis on cooperation by Rosenwald Fund with PHS, state and local depts. of health in the control of venereal diseases(1929)
2	19	M33-4 - Letter from Stuart Graves, M.D. to Cummings on syphilis control demonstration including budget and purpose; and letter from Cummings to M. M. Davis approving budget (1930)
2	20	M33-5 - Memo. T. Clark to Dr. Davis on Wenger's interview with Dr. Moton (1930)
2	21	M33-6 - Memo & attachments from H. L. Harris to M. M. Davis on syphilis control demonstration in Alabama and an abstract from H. L. Harris interview book and memo to Davis from Harris (1930)
2	22	M33-12 - Letters. Clark to Davis; Davis to Rosenwald (1930)
2	23	M33-15 - Correspondence & proposed articles on "practical measures undertaken for the control of syphilis among negroes in southern rural areas." The PHS aspect of syphilis in the negro race in certain southern states; also other publicity (1930)
2	24	M33-16 - Wenger. Report on Macon County demonstration (1930)
2	25	M33-17 - Letter from Stuart Graves on how to carry out proposed syphilis demonstration
2	26	M33-18 - Bibliography. Syphilis among negroes
2	27	M33-19 - Brief history of Tuskegee, Alabama
2	28	M33-21 - Treatment procedure memo (1930)
2	29	M33-7 - Letter. J. N. Baker, M. D. to Dr. M. M. Davis on extension of VD control project
2	30	M33-22 - Letters. Clark to Davis. Rosenwald demo (1931)
2	31	M33-13 - Letter. Davis to Clark. Demonstration (1931)
2	32	M33-24 - Report by Dr. Clyde Frost concerning medical conditions in Macon County (1931)
2	33	M33-14 - Letter. Clark to Davis on estimates for the continuation of syphilis control projects in Alabama, Miss., etc. 1932-1933 (1932)
2	34	Letter. Michael M. Davis to Dr. Cumming (1934)
2	35	M33-11 - Letters. Clark to Davis on cooperative syphilis control demonstration. Davis to Clark. Enclosure field form (1939)
2	36	M33-3 - Background - VD demonstration (resurvey) - statement of offer from; letter from M. Bousfield, M. D. to Dr. John B. West on resurvey with Dr. Wenger; and letter concerning Dr. West from Bousfield to Wenger (1940)
2	37	M33-23 - Report on Macon County demo. by Charles S. Johnson
		Papers from CDC Files, 1929-1973 [subseries]:
2	38	M25-19 - Rosenwald resurvey memo - 1929-1932
2	39	M25-16 - Discussion of treatment of late syphilis. Rosenwald demonstration data
2	40	M25-17 - Rosenwald demonstration memo. Usilton to Clark. Statistical analysis of 6 demonstrations (1932)
2	41	M25-18 - Results of Rosenwald demonstrations (1935)
2	42	M25-32 - Letters. Alabama Insane Hospital at Mount Vernon (1938)

2	43	M25-20 - Letter. A. A. surgeon to Surgeon General describing visit to southern states (1940)
2	44	M25-21 - R. G. James. The mobile clinic/Macon County (1940)
2	45	M25-11 - Memo on on annual Tuskegee visit. Peseare to Heller (1948)
2	46	M25-12 - Letter from Pesare to Bauer and from Pesare to Clark on state of study (1948)
2	47	M25-13 - Letter. Olansky to Cutler. Outline for survery (1951)
2	48	M25-14 - Memo. Schuman to Olansky on Chamblee conference with Mrs. Gleeson and Miss Rambo (1951)
2	49	M25-31 - Letters. Autopsy - cardiovasc. syphilis outlines; summary (1952)
2	50	M25-28 - Memo. Simpson to Schuman. Report of penicillin Rx received by the Tuskegee syphilitic patients (1952)
2	51	M25-4 - Memo. Simpson and Rivers to Dr. Schuman on field investigation progress (1952)
2	52	M25-9 - Letter on 25 year certificates (1958)
2	53	M25-24 - Memo. Smith to NIH on the long-term studies in untreated syphilis as carried out by the division of venereal disease (1954)
2	54	M25-1 - Letters. Hendry County Health Dept.; letter from Dr. Anne Yobs; letter of follow-up patient (1965)
2	55	M25-15 - Letter. Magnuson to Brown (1970)
2	56	M25-29 - Letters. Kennebrew to Schroeter; Schroeter to Kennebrew (1971)
2	57	M25-8 - Letter. Brown (VD branck) to Magnuson (U. Mich.) (1970)
		General Articles, 1972-1973 [subseries]:
2	58	M27-2 - Milestones in VD control
2	59	M23-10A - Alabama laws (1927)
2	60	M23-10B - Alabama laws (1943)
2	61	M23-10C - Alabama laws (1947)
2	62	M23-10D - Alabama laws (1969)
2	63	M44-15 - Wenger. The Pitt County, NC demonstration for the control of syphilis among the rural negro population (1930)
2	64	M12-2 - Wenger & Ricks. The public health aspects of syphilis in the negro race in certain southern states (1931)
2	65	M12-4 - Gill. Syphilis in the rural negro - results of a study in Alabama (1931)
2	66	M14-16 - V.C. programs in the state departments of health (1936)
2	67	M17-1 - Proceedings of conference on venereal disease control (1936)
2	68	M17-3 - J. Rosenwald Fund report (1938-1940)
2	69	M17-4 - J. Rosenwald Fund report (1940-1942)
2	70	M17-5 - Investment in people - the story of the Julius Rosenwald Fund
2	71	M17-2 - Milbank Memorial Fund report (1940)
2 2	72 73	M12-1 - Work of the U.S. Public Health Service (1941)  M14-19 - A preliminary report of blood-testing, as required by Alabama law, in the first three counties surveyed - revised staff notes - 2. Alabama State Board of Health reports (1944)
2	74	M10-3 - Revised staff notes. Abstracts from PHS reports, 1930-1960. Staff notes. Alabama State Board of Health reports
2	75	M17-6 - Milbank Memorial Fund report (1959-1960)
2	76	M23-12 - Dr. Hiltner's letter toDr. Butler (10/29/1972)
2	77	M59-1 - Taliaferro Clark. The control of syphilis in southern rural areas
2	78	M60-9 - VA hospital at Tuskegee observes its 50th year of service (1973)
2	79	M68-1 - The Tuskegee study in historical perspective, by Leonard J. Goldwater, M.D. (1973)
2	80	M12-3 - Government and health - the Alabama experience (staff notes) (1955)
2	81	M10-2 - Shadow on the Land, by Thomas Parran (staff notes) (1937)
2	82	M4-8 - Alabama State Board of Health annual reports, 1932-1947 (staff notes)
		Series IV: Panel Section III - Recent Newspaper & Magazine Articles, 1972-1973 [series]:
3	1	M3-13 - Pediatric News. Means on hand to control VD (6/1972)
3	2	M3-14 - Pediatric News. Efforts at syphilitic control need national appraoch (6/1972)
3	3	M23-5 - Congressional Record. Syphilis & genocide (8/1972)
3	4	M23-6 - Congressional Record. Tuskegee study (8/1972)
3	5	M3-15 - Skin & Allergy News. Routine penicillin in syphilis debated (9/1972)
3	6	M4-22 - AP & UPI ticker tapes (9/1972)

3	7	M4-23 - AP & UPI ticker tapes (9/1972)
3	8	M4-24 - AP & UPI ticker tapes (9/1972)
3	9	M4-25 - AP & UPI ticker tapes (9/1972)
3	10	M20-1 - Los Angeles Free Press. One man's story of how the government gave him syphilis (8/1972)
3	11	M18-1 - Articles (7 - 10/1972)
3	12	M1-3 - Medical World News. The 40-year death watch (8/18/1972)
3	13	M24-3 - The Nation's Health. Right of human subjects is key issue in medical study (8/1972)
3	14	M24-4 - The Nation's Health. Human guinea pigs remain untreated in PHS syphilis study (8/1972)
3	15	M21-3 - U.S. Medicine. Safeguards preclude recurrence of controversial syphilis study (8/15/1972)
3	16	M20-2 - The Nation's Health. Citizens panel to probe study (10/2/1972)
3	17	M16-2 - AP & UPI ticker tape. Jean Heller (10/2/1972)
3	18	M16-4 - Hospital Tribune. Reports in journals revealed PHS study of black syphilis (10/2/1972)
3	19	M17-8 - Washington Post. Syphilis victims 'thanked'; Evening Star. Kennedy to probe test of humans; Congressional Record. Announcement of health subcommittee hearings on human experimentation (1972)
3	20	M23-13 - American Medical News. PHS corps' future in danger (10/9/1972)
3	21	M23-8 - Washington Post. HEW to end Alabama study of syphilis (10/28/1972)
3	22	M23-11 - The Southern Medical Journal. The Tuskegee studyof untreated syphilis (1972)
3	23	Tidbits-8 - Congressional Record. Chronicare Act (1972)
3	24	M32-3 - Medical Tribune. Restrictions on human experimentation (10/25/1972)
3	25	M38-7 - Wall Street Journal
3	26	M26-1 - Detroit Free Press. Articles by D. Katz (1972)
3	27	M24-5 - Jet. Tuskegee (I) (9/11/1972)
3	28	M24-6 - Jet. Tuskegee (II) (1972)
3	29	M24-7 - Ebony. Condemned to die for science (11/1972)
3	30	M32-2 - Hospital Tribune. Syphilis study brings varietyof comments (11/13/1972)
3	31	M42-6 - Hospital Tribune. Tuskegee study end immediately urged by citizens' panel (11/27/1972)
3	32	M42-7 - Hospital Tribune. Editorial on health law research (11/27/1972)
3	33	M42-8 - Morbidity & Mortality. Current trends primary and secondary syphilis (1972)
3	34	M43-2 - The Atlantic. Experiments behind bars by Jessica Mitford (1/1973)
3	35	M50-2 - Washington Post. Open quiz urged in syphilis study (2/3/1973)
3	36	M56-1 - Hospital Tribune. American Hospital Association 'bill of rights' and (separate article) hospital patient bill of rights issued by AHA (2/5/1973)
3	37	M52-1 - Washington Post. Syphilis study ends sessions held in secret (2/15/1973)
3	38	M55-3 - Washington Post. Syphilis study faulted on notifying patients (2/24/1973)
3	39	M59-5 - Evening Star. Survivors get no care in ended syphilis study (2/28/1973)
3	40 41	M59-6 - Washington Post. Full medical care assured survivors of syphilis study (3/4/1973)
3	42	M60-4 - Weinberger news release on medical care for survivors (3/5/1973)  M60-6 - Evening Star. 40 in syphilis test seek funds, not care (3/9/1973)
3	42	M60-7 - Washington Post. Drug testing hurt convicts, hill unit told (3/8/1973)
3	44	M65-2 - Congressional Record. Mr. Humphrey on the Tuskegee study (3/12/1973)
3	45	M66-1 - Congressional Record. Mr. Kennedy on condemned to die for science (3/14/1973)
3	46	Hospital Tribune. Letter to the editor
		Series V: Panel Section IV - Law-Related Articles/Documents,
		1927-1973 [series]:
3	47	M12-1 - Work of the PHS (1941)
3	48	M14-19 - Preliminary report of blood testing as required by Alabama law (1944)
3	49	M3-12 - Congressional Record. S3895 Javits bill (8/17/1972)
3	50	M3-11 - Congressional Record. S3951 National Human Experimentation Standards Boards Act (9/15/1972)
3	51	M4-5 - Medical ethics legislation & Javits bill, S3895 (1972)
3	52	M9-3 - Humphrey bill, S3951
3	53	M11-12 - Correspondence, Fred G.Gray, attorney & Louis Cohen, PHS claims officer (1972)
3	54	M0-5 - PHS act, as amended title II, sections 220 & 208
3	55	M23-13 - (Tidbits-5) - Congressional Record. House on research involving human beings (9/11/1972)

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3	56	M24-7 (Tidbits-8) - Congressional Record. Chronicare Demonstration Act (10/11/1972)
3	57	M47-1 - Public law 92-463 (1/5/1973)
3	58	M47-2 - S.317 Sparkman & Allen bill (1/11/1973)
3	59	M60-8 - S.974 Javits bill (2/22/1973)
		Series VI: Panel Section V - General Syphilis and Drug Literature, 1933-1973 [series]:
3	60	M31-3 - CDC compendium on results of study & review of syphilis knowledge as it existed in 1932
3	61	M31-4 - Review of syphilis knowledge as it existed in 1932, from A Textbook of Medicine
3	62	M1-4 - Penicillin therapy of syphilis (2nd ed. Pharm. Basis/Therap.)
3	63	M1-5 - Drugs used in the chemotherapy of syphilis (1st ed. Pharm. Basis of Therapeutics)
3	64	M34-2 - Venereal disease information (2/20/1930)
3	65	M14-17 - Clark & Usilton. Trend of cases of syphilis under treatment or observation in the United States (1933)
3	66	M14-19 - A preliminary report of blood testing, as required by Alabama law, in the first three counties surveyed (1944)
3	67	M14-14 - Rosahn. Studies in syphilis. The end results of untreated syphilis (1946)
3	68	M27-1 - Rosahn: autopsy studies in syphilis (1947)
3	69	M25-23 - Notes on cardiovascular syphilis (1948)
3	70	M14-5 - The treatment of cardiovascular syphilis (1948)
3	71	M14-2 - WHO report. Venereal disease control in the USA (with special reference to penicillininearly, prenatal and infantile syphilis) (1950)
3	72	M14-8 - An evaluation of public health measures for the control of syphilis (includes a discussion of penicillin use) (1951)
3	73	M14-9 - Evaluation of antysyphilitic therapy with intensive follow-up (1951)
3	74	M27-2 - Milestones in venereal disease control
3	75	M14-18 - Martin. The effect of syphilis on nonwhite longevity as reflected in mortality data. Georgia, 1939-1941 (1951)
3	76	M9-2 - The pharmacological basis of therapeutics. 3rd ed. (reactions to antibiotics) (sent to subcommittee I only) (1965)
3	77	M10-4 - The V. D. Story (1971)
4	1	M16-1 - Syphilis and salvarsan (1972)
4	2	M3-15 - Routine use of penicillin is debated (1972)
		Series VII: Panel Section VI - Drug Therapy, Early Syphilis, 1931-1972 [series]:
4	3	M22-9 - CDC fact sheets (1947-1970)
4	4	M22-10 - CDC abstracts from treatment literature, 1931-1971
4	5	M14-6 - Penicillin therapy of early syphilis (1945)
4	6	M14-7 - Evaluation of the treatment of early syphilis with arsphenamine & heavy metal (1950)
4	7	M16-1 - Syphilis and salvarsan (1972)
		Series VIII: Panel Section VII - Drug Therapy, Late Syphilis,
		1930-1972 [series]:
4	8	M22-10 - CDC abstracts from treatment literature, 1947-1971
4	9	M34-2 - Treatment of latent syphilis (1930)
4	10	M14-2 - The normal syphilitic (includes a discussion of non-treatment of latent syphilis) (1931)
4	11	M14-10 - Latent syphilis. Cooperative clinical studies ithe treatment of syphilis (1932)
4	12	M14-11 - The management and treatment of the late and latent syphilitic (1946)
4	13	M14-14 - The end results of untreated syphilis (1946)
4	14	M14-13 - Prognosis in late latent syphilis (1948)
		Series IX: Panel Section VIII - Drug Therapy Herxheimer Reaction, 1928-1951 [series]:
4	15	M14-3 - Some observations on Herxheimer's reaction (1928)
4	16	M14-5 - The treatment of cardiovascular syphilis (1948)
4	17	M14-4 - Pathogenesis of the Jarisch-Herxheimer reaction. A review of clinical & experimental observations (1951)
		Series X: Panel Section IX - Government Documents Related to

		Experimentation with Human Subjects, 1970-1973 [series]:
4	18	M3-1 - Protection of the individual as a research subject - intramural programs
4	19	M3-2 - Institutional guide to DHEW policy on protectionof human subjects
4	20	M3-3 - Memorandum (7/5/1972)
4	21	M3-4 - Forty fourth cumulative list of institutions in compliance, May 19, 1972
4	22	M3-5 - Chapter 1-40
4	23	M3-6 - (superseded) PHS 1966 policy on protection of human subjects
4	24	M3-7 - January 24, 1972 memorandum
4	25	M3-8 - April 15,1971 memorandum
4	26	M3-9 - July 1, 1966 memorandum
4	27	M4-1 - NIH grants for research projects policy statement (NIH) 72-8
4	28	M4-2 - Information and instructions for application for research form PHS 398
4	29	M4-3 - (current NIH policy) contracts involving human subjects 6000-3-4.55
4	30	M4-21 - PHS grant-supported research with human subjects (1968)
4	31	M36-2 - Memo from Dr. Backus to Dr. Chalmers on protection of human subjects - current policy and practices (1972)
4	32	M36-3 - Clinical Center folder
4	33	M36-5 - Memo from Dr. Backus to Dr. Allen on protection of human subjects - current DHEW practices & policy - supported programs (12/5/1972)
4	34	M36-10 - Title 41 - procurements involving human subjects
4	35	M36-11 - Review procedures
4	36	M36-13 - Memo from Veneman dated July 23, 1970
4	37	M36-16 - Letter to Broadus N. Butler from John A. D. Cooper, president, Assoc. of American Medical Colleges (1972)
4	38	M42-2 - SRS chapter 1-40 on protection of human subjects (1972)
4	39	M57-1 - Assurances from University of Iowa; Research for Better Schools, Inc.; Howard University, and Children's Asthma Research Institute & Hospital sent to Charge III Subcommittee (1969-1972)
		policies on protection of humans as research subjects sent to Charge III Subcommittee (1973)
		Series XI: Panel Section X - Non-Government Documents Related to Experimentation with Human Subjects, 1972-1973 [series]:
5	1	Related to Experimentation with Human Subjects, 1972-1973
5 5	1 2	Related to Experimentation with Human Subjects, 1972-1973 [series]:
		Related to Experimentation with Human Subjects, 1972-1973 [series]:  M3-10 - Congressional Record. Death toll from human experimentation (9/14/1972)
5	2	Related to Experimentation with Human Subjects, 1972-1973 [series]:  M3-10 - Congressional Record. Death toll from human experimentation (9/14/1972)  M4-6 - S.3896. Allen & Sparkman bill
5 5	2	Related to Experimentation with Human Subjects, 1972-1973 [series]:  M3-10 - Congressional Record. Death toll from human experimentation (9/14/1972)  M4-6 - S.3896. Allen & Sparkman bill  M4-9 - A hospital compendium, guide to Jewish morals
5 5 5	2 3 4	Related to Experimentation with Human Subjects, 1972-1973 [series]:  M3-10 - Congressional Record. Death toll from human experimentation (9/14/1972)  M4-6 - S.3896. Allen & Sparkman bill  M4-9 - A hospital compendium, guide to Jewish morals  M4-10 - Life and death pamphlet
5 5 5 5	2 3 4 5	Related to Experimentation with Human Subjects, 1972-1973 [series]:  M3-10 - Congressional Record. Death toll from human experimentation (9/14/1972)  M4-6 - S.3896. Allen & Sparkman bill  M4-9 - A hospital compendium, guide to Jewish morals  M4-10 - Life and death pamphlet  M4-11 - Declaration of Helsinki
5 5 5 5	2 3 4 5 6	Related to Experimentation with Human Subjects, 1972-1973 [series]:  M3-10 - Congressional Record. Death toll from human experimentation (9/14/1972)  M4-6 - S.3896. Allen & Sparkman bill  M4-9 - A hospital compendium, guide to Jewish morals  M4-10 - Life and death pamphlet  M4-11 - Declaration of Helsinki  M4-12 - Ethical standards of psychologists
5 5 5 5 5	2 3 4 5 6 7	Related to Experimentation with Human Subjects, 1972-1973 [series]:  M3-10 - Congressional Record. Death toll from human experimentation (9/14/1972)  M4-6 - S.3896. Allen & Sparkman bill  M4-9 - A hospital compendium, guide to Jewish morals  M4-10 - Life and death pamphlet  M4-11 - Declaration of Helsinki  M4-12 - Ethical standards of psychologists  M4-13 - Code of ethics: National Association of Social Workers, 10/13/1960
5 5 5 5 5 5	2 3 4 5 6 7 8	Related to Experimentation with Human Subjects, 1972-1973 [series]:  M3-10 - Congressional Record. Death toll from human experimentation (9/14/1972)  M4-6 - S.3896. Allen & Sparkman bill  M4-9 - A hospital compendium, guide to Jewish morals  M4-10 - Life and death pamphlet  M4-11 - Declaration of Helsinki  M4-12 - Ethical standards of psychologists  M4-13 - Code of ethics: National Association of Social Workers, 10/13/1960  M4-14 - Ethical & religious directives for Catholic hospitals
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5 5 5 5 5 5 5 5	2 3 4 5 6 7 8 9	Related to Experimentation with Human Subjects, 1972-1973 [series]:  M3-10 - Congressional Record. Death toll from human experimentation (9/14/1972)  M4-6 - S.3896. Allen & Sparkman bill  M4-9 - A hospital compendium, guide to Jewish morals  M4-10 - Life and death pamphlet  M4-11 - Declaration of Helsinki  M4-12 - Ethical standards of psychologists  M4-13 - Code of ethics: National Association of Social Workers, 10/13/1960  M4-14 - Ethical & religious directives for Catholic hospitals  M4-15 - Ethical and religious directives for Catholic health facilities  M4-16 - May 1, 1969 memorandum
5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5	2 3 4 5 6 7 8 9 10	Related to Experimentation with Human Subjects, 1972-1973 [series]:  M3-10 - Congressional Record. Death toll from human experimentation (9/14/1972)  M4-6 - S.3896. Allen & Sparkman bill  M4-9 - A hospital compendium, guide to Jewish morals  M4-10 - Life and death pamphlet  M4-11 - Declaration of Helsinki  M4-12 - Ethical standards of psychologists  M4-13 - Code of ethics: National Association of Social Workers, 10/13/1960  M4-14 - Ethical & religious directives for Catholic hospitals  M4-15 - Ethical and religious directives for Catholic health facilities  M4-16 - May 1, 1969 memorandum  M4-17 - Informed consent. University of Michigan
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5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5	2 3 4 5 6 7 8 9 10 11 12	Related to Experimentation with Human Subjects, 1972-1973 [series]:  M3-10 - Congressional Record. Death toll from human experimentation (9/14/1972)  M4-6 - S.3896. Allen & Sparkman bill  M4-9 - A hospital compendium, guide to Jewish morals  M4-10 - Life and death pamphlet  M4-11 - Declaration of Helsinki  M4-12 - Ethical standards of psychologists  M4-13 - Code of ethics: National Association of Social Workers, 10/13/1960  M4-14 - Ethical & religious directives for Catholic hospitals  M4-15 - Ethical and religious directives for Catholic health facilities  M4-16 - May 1, 1969 memorandum  M4-17 - Informed consent. University of Michigan  M4-18 - Ethical standards in research (American Psychological Association)  M4-19 - Dept. of Health and Rehabilitation Services - institutional assurance
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5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17	Related to Experimentation with Human Subjects, 1972-1973 [series]:  M3-10 - Congressional Record. Death toll from human experimentation (9/14/1972)  M4-6 - S.3896. Allen & Sparkman bill  M4-9 - A hospital compendium, guide to Jewish morals  M4-10 - Life and death pamphlet  M4-11 - Declaration of Helsinki  M4-12 - Ethical standards of psychologists  M4-13 - Code of ethics: National Association of Social Workers, 10/13/1960  M4-14 - Ethical & religious directives for Catholic hospitals  M4-15 - Ethical and religious directives for Catholic health facilities  M4-16 - May 1, 1969 memorandum  M4-17 - Informed consent. University of Michigan  M4-18 - Ethical standards in research (American Psychological Association)  M4-19 - Dept. of Health and Rehabilitation Services - institutional assurance  M4-20 - State of Connecticut procedure for review  M9-1 - PHS guidelines governing research involving human subjects, by Mark Frankel (1972)  M10-1 - Annals New York Academy of Sciences: ethics incooperative clinicaltrials  M16-3 - Biomedical research and the need for a public policy, Dr. Jerome Stephens; remarks on panel presentations 'Politics, Health & Social Policy' Harris S. Cohen
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		(2/7/1973)
5	24	M56-1 - Hospital Tribune articles: (1) American Hospital Associaton bill of rights (2) hospital patient bill of rights issued by AHA
5	25	M59-7 - Committee on peer review of patient research (11/27/1972)
5	26	M59-8 - Division of hospitals - investigations involving human subjects, including clinical research
5	27	M60-8 - Congressional Record. A new Javits bill S974. A bill to amend the PHS act(2/23/1973)
5	28	M60-12 - General instructions manual - part II medical administration - chapter 5 research review board functions (1972)
5	29	M61-2 - Transcript. Subcommittee III meeting (12/18/1972)
5	30	M63-2 - Opening statement of Senator Edward Kennedy at hearings; and statement of Dr. Bertram S. Brown, NIMH, DHEW, before subcommittee on health (1972)
5	31	M64-1 - Subcommittee on health witness list (2/21 - 23/1973)
5	32	M64-2 - Subcommittee on health witness list (3/6 - 9/1973)
5	33	M64-3 - Opening statement of Edward Kennedy - hearings - experimentation with prisoners (1973)
5	34	M64-4 - Testimony - Dr. Sidney Wolfe (1973)
5	35	M64-5 - Testimony - Philadelphia Women's Health Collective (1973)
5	36	M64-6 - Testimony - C. Joseph Stetler (1973)
5	37	M64-7 - Testimony - Dr. Bernard L. Diamond (1973)
5	38	M64-8 - Testimony - Fred D. Gray, Esq. (1973)
5	39	M64-9 - Testimony - Dr. J. Katz (1973)
5	40	M64-10 - Testimony - Bernard Barber (1973)
5	41	M64-11 - Testimony - Dr. William R.Barclay (1973)
		Items sent to the panel in addition to the above articles, 1972 [subseries]:
5		Katz, Jay; Carpon, Alexander; and Glass, Eleanor. Experimentation with Human Beings. Russell Sage Foundation. New York, 1972
5		Barber, Bernard; Lally, John J.; Makarushka, Julia L.; Sullivan, Daniel. Research on Human Subjects. Russell Sage Foundation. New York, 1972

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