## Dynamic Programming

Introduction

#### Inductive definition

Recursive program



### Inductive definition

```
Fact(n)
    Fact(0)=1
    Fact(n)= n-1 * Fact(n)

sort(A)
    sort([])=[]
    sort(a,b,c,d)= fit( d, sort[a,b,c])
```



## Recursive program

```
factorial(n):
    if (n <= 0) return(1)
    else
    return (n*factorial(n-1))</pre>
```

## Optimal substructure property

Solution to original problem can be derived by combining solutions to subproblems

```
factorial(n-1) is a subproblem of factorial(n)
So are factorial(n-2), factorial(n-3), ...,
factorial(0)
```

sort([b,c,d]) is a subproblem of sort([a,b,c,d]) So are sort([c,d]), sort([b,c])....



## Fibonacci numbers

#### Inductive definition

$$fib(0) = 0$$

$$fib(1) = 1$$

$$fib(n) = fib(n-1) + fib(n-2)$$

#### Recursive Program

```
function fib(n):
   if (n == 0) or (n == 1)
      value = n
   else
   value = fib(n-1) + fib(n-2)
```

return(value)

```
function fib(n):
  if n == 0 or n == 1
                                         fib(5
    value = n
  else
                                                    fib(3
                               fib(4
    value = fib(n-1) +
             fib(n-2)
  return (value)
                        fib(3
                                    fib(2
                    fib(2
                           fib(1
```

```
function fib(n):
  if n == 0 or n == 1
                                          fib(5
    value = n
  else
                                                     fib(3
                                fib(4
    value = fib(n-1) +
              fib(n-2)
  return (value)
                        fib(3
                                     fib(2
                           fib(1
                     fib(2
                        fib(0)
                  fib(1
```

```
function fib(n):
  if n == 0 or n == 1
                                          fib(5
    value = n
  else
                                                     fib(3
                               fib(4
    value = fib(n-1) +
             fib(n-2)
  return (value)
                        fib(3
                                     fib(2
                             fib(1
                         fib(0
                  fib(1
```

```
function fib(n):
  if n == 0 or n == 1
                                          fib(5
    value = n
  else
                                                     fib(3
                               fib(4
    value = fib(n-1) +
              fib(n-2)
  return (value)
                        fib(3
                                     fib(2
                     fib(2)
                         fib(0
                  fib(1
```

```
function fib(n):
  if n == 0 or n == 1
                                          fib(5
    value = n
  else
                                                     fib(3
                               fib(4
    value = fib(n-1) +
              fib(n-2)
  return (value)
                        fib(3
                                     fib(2
                     fib(2)
                         fib(0
                  fib(1
```

```
function fib(n):
  if n == 0 or n == 1
                                          fib(5
    value = n
  else
                                                     fib(3
                                fib(4
    value = fib(n-1) +
             fib(n-2)
  return (value)
                        fib(3
                                     fib(2
                     fib(2)
                         fib(0
                  fib(1
```

```
function fib(n):
  if n == 0 or n == 1
                                           fib(5
    value = n
  else
                                                      fib(3
                                fib(4
    value = fib(n-1) +
              fib(n-2)
  return (value)
                         fib(3
                                      fib(2
                                   fib(1)
                     fib(2)
                                   fib(0)
                         fib(0
                  fib(1
```

```
function fib(n):
  if n == 0 or n == 1
                                          fib(5
    value = n
  else
                                                     fib(3
                                fib(4
    value = fib(n-1) +
             fib(n-2)
  return (value)
                        fib(3
                                     fib(2
                    fib(2) fib(1)
                                   fib(1)
                         fib(0
                  fib(1
```

```
function fib(n):
  if n == 0 or n == 1
                                          fib(5
    value = n
  else
                                                     fib(3
                                fib(4
    value = fib(n-1) +
             fib(n-2)
  return (value)
                        fib(3
                                     fib(2
                    fib(2) fib(1)
                                   fib(1)
                         fib(0
                  fib(1
```

```
function fib(n):
  if n == 0 or n == 1
                                          fib(5
    value = n
  else
                                                     fib(3
                                fib(4
    value = fib(n-1) +
             fib(n-2)
  return (value)
                        fib(3
                                     fib(2
                     fib(2) fib(1)
                                   fib(1)
                         fib(0
                  fib(1
```

```
function fib(n):
  if n == 0 or n == 1
                                           fib(5
    value = n
  else
                                                     fib(3
                                fib(4
    value = fib(n-1) +
              fib(n-2)
  return (value)
                                     fib(2
                         fib(3
                                                  fib(2
                                                          fib(1
                                    fib(1)
                     fib(2) fib(1)
                         fib(0
                  fib(1
```

```
function fib(n):
  if n == 0 or n == 1
                                           fib(5
    value = n
  else
                                                       fib(3
                                 fib(4
    value = fib(n-1) +
              fib(n-2)
  return (value)
                                      fib(2
                         fib(3
                                                           fib(1
                                                   fib(2
                                    fib(1)
                            fib(1)
                                                fib(1)
                                                fib(0)
                         fib(0
                  fib(1
```

```
function fib(n):
  if n == 0 or n == 1
                                           fib(5
    value = n
  else
                                                       fib(3
                                 fib(4
    value = fib(n-1) +
              fib(n-2)
  return (value)
                                      fib(2
                         fib(3
                                                           fib(1
                                                   fib(2
                                    fib(1)
                             fib(1)
                                                fib(1)
                                                fib(0)
                         fib(0
                  fib(1
```

```
function fib(n):
  if n == 0 or n == 1
                                           fib(5
    value = n
  else
                                                       fib(3
                                 fib(4
    value = fib(n-1) +
              fib(n-2)
  return (value)
                                      fib(2
                         fib(3
                                                           fib(1
                                                   fib(2
                                    fib(1)
                             fib(1)
                                                fib(1)
                                                fib(0)
                         fib(0
                  fib(1
```

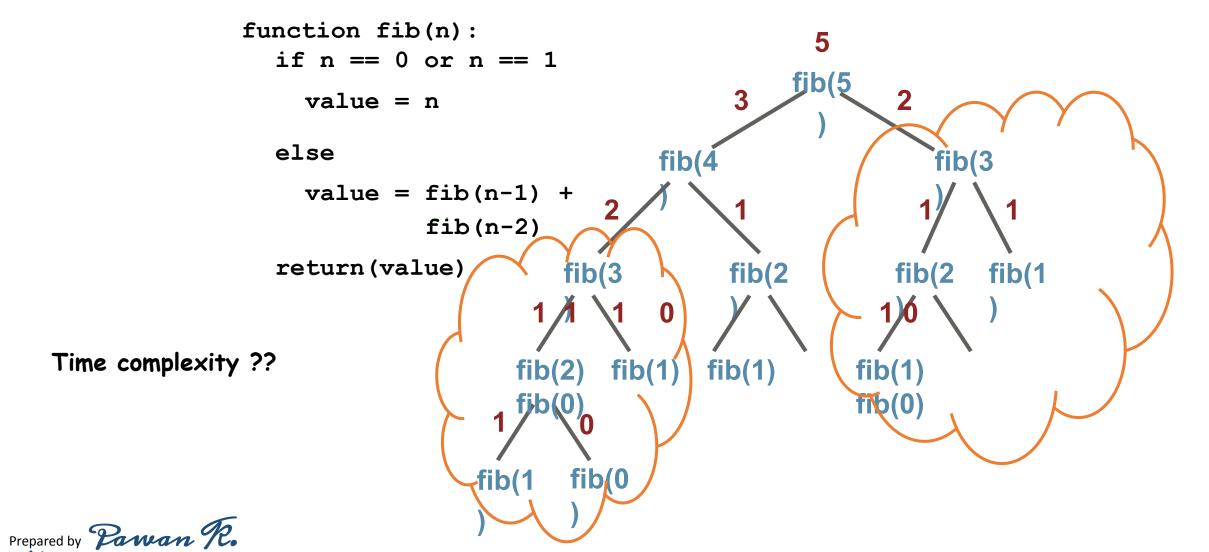
```
function fib(n):
  if n == 0 or n == 1
                                           fib(5
    value = n
  else
                                                      fib(3
                                fib(4
    value = fib(n-1) +
              fib(n-2)
  return(value)
                                      fib(2
                         fib(3
                                                           fib(1
                                                   fib(2
                                    fib(1)
                            fib(1)
                                                fib(1)
                                                fib(0)
                         fib(0
                  fib(1
```

```
function fib(n):
  if n == 0 or n == 1
                                           fib(5
    value = n
  else
                                                      fib(3
                                fib(4
    value = fib(n-1) +
              fib(n-2)
  return(value)
                                      fib(2
                         fib(3
                                                          fib(1
                                                   fib(2
                                    fib(1)
                            fib(1)
                                                fib(1)
                                                fib(0)
                         fib(0
                  fib(1
```

```
function fib(n):
  if n == 0 or n == 1
    value = n
  else
                                                      fib(3
                                fib(4
    value = fib(n-1) +
              fib(n-2)
  return (value)
                                      fib(2
                         fib(3
                                                           fib(1
                                                   fib(2
                                    fib(1)
                            fib(1)
                                                fib(1)
                                                fib(0)
                         fib(0
                  fib(1
```

```
function fib(n):
  if n == 0 or n == 1
    value = n
  else
                                fib(4
                                                      fib(3
    value = fib(n-1) +
              fib(n-2)
  return(value)
                                     fib(2
                                                  fib(2
                                                          fib(1
                            fib(1)
                                    fib(1)
                                                fib(1)
                                                fib(0)
                  fib(1
```

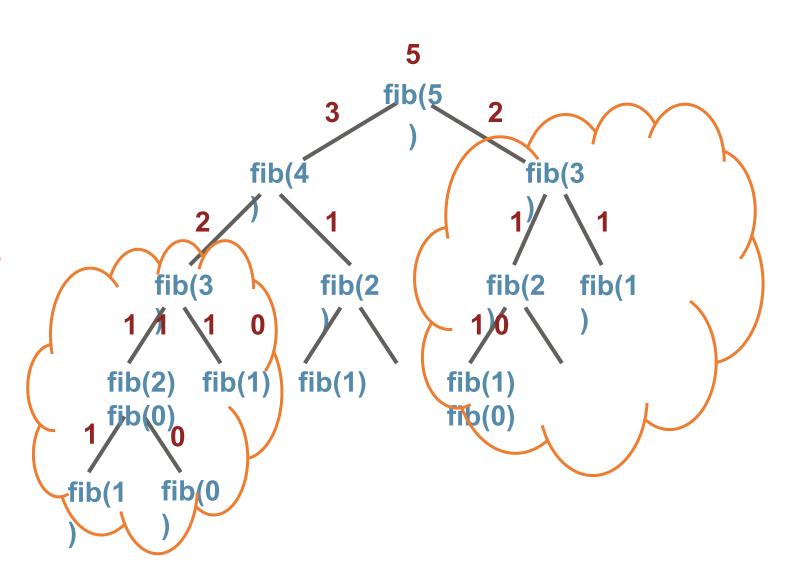




#### OBSERVATION S

Overlapping subproblems Wasteful recomputation

Computation tree grows exponentially





# Never re-evaluate a subproblem

Build a table of values already computed Memory table

Memoization

Remind yourself that this value has already been seen before



#### Memoization

-Store each newly computed value in a table

-Look up table before starting a recursive computation

-Computation tree is linear

fib(5)

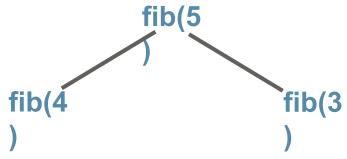
K	Fib(k)

#### Memoization

-Store each newly computed value in a table

-Look up table before starting a recursive computation

-Computation tree is linear



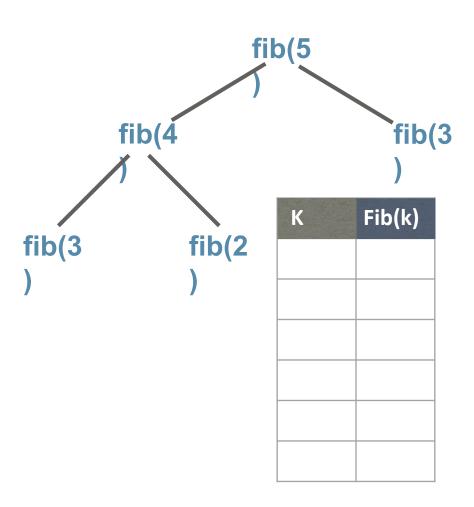
K	Fib(k)

#### Memoization

-Store each newly computed value in a table

-Look up table before starting a recursive computation

-Computation tree is linear

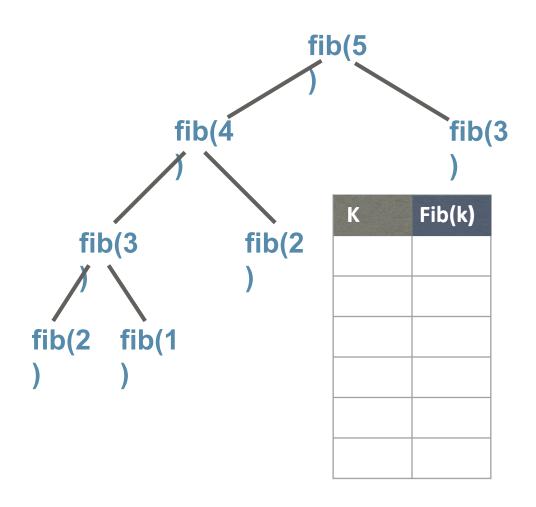


#### Memoization

-Store each newly computed value in a table

-Look up table before starting a recursive computation

-Computation tree is linear

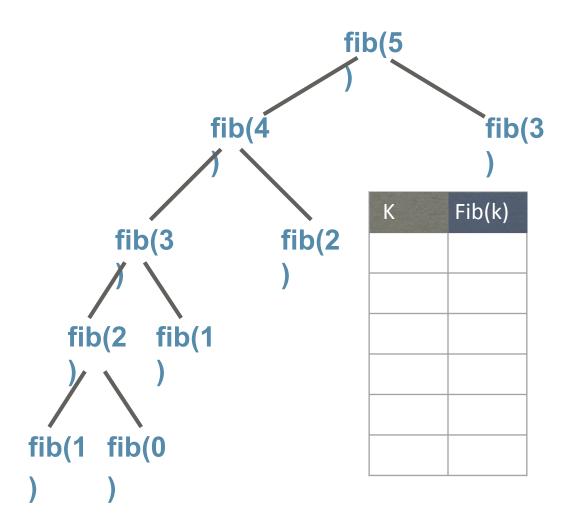


#### Memoization

-Store each newly computed value in a table

-Look up table before starting a recursive computation

-Computation tree is linear

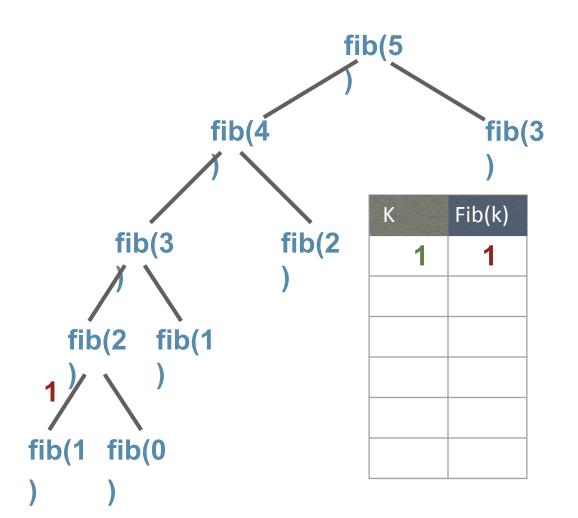


#### Memoization

-Store each newly computed value in a table

-Look up table before starting a recursive computation

-Computation tree is linear

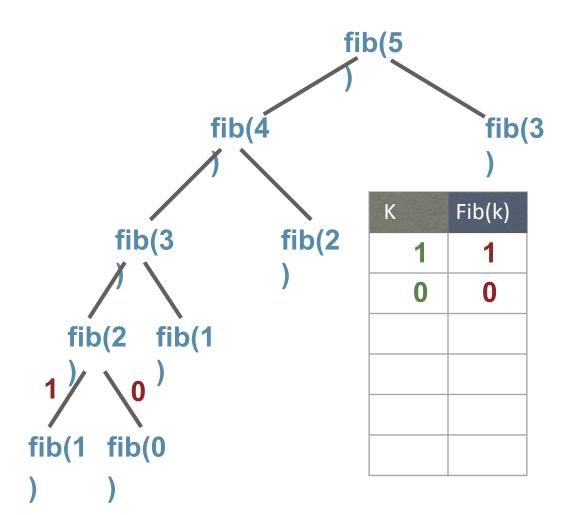


#### Memoization

-Store each newly computed value in a table

-Look up table before starting a recursive computation

-Computation tree is linear

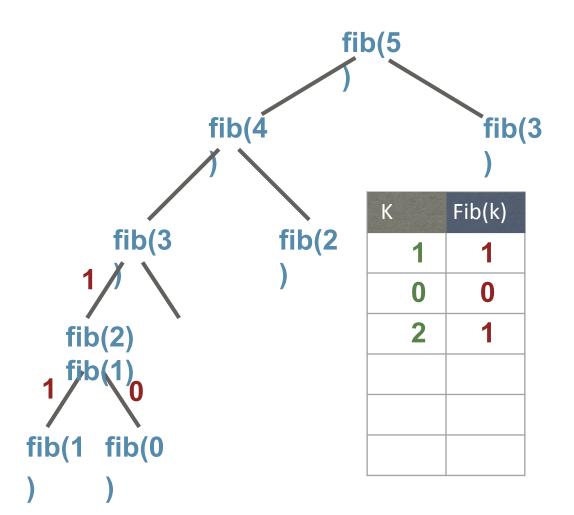


#### Memoization

-Store each newly computed value in a table

-Look up table before starting a recursive computation

-Computation tree is linear

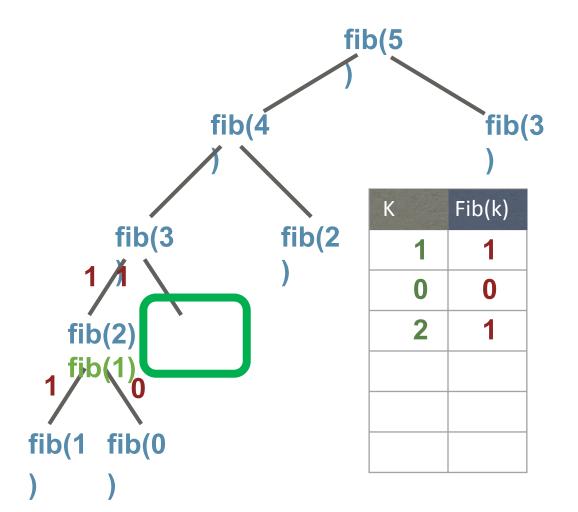


#### Memoization

-Store each newly computed value in a table

-Look up table before starting a recursive computation

-Computation tree is linear

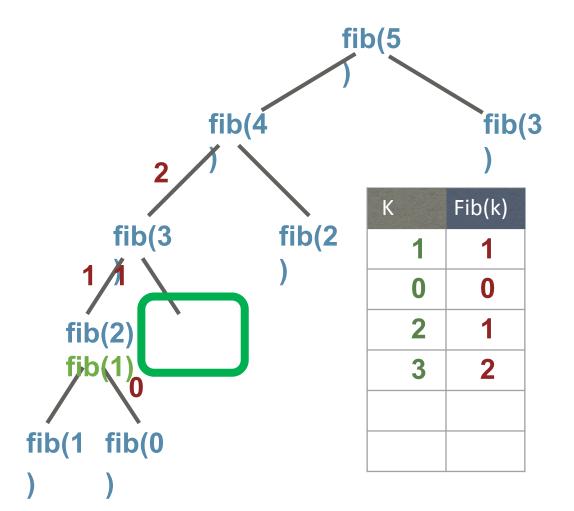


#### Memoization

-Store each newly computed value in a table

-Look up table before starting a recursive computation

-Computation tree is linear

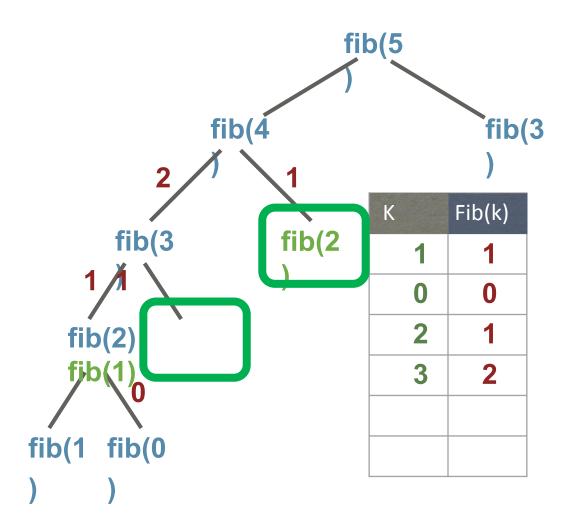


#### Memoization

-Store each newly computed value in a table

-Look up table before starting a recursive computation

-Computation tree is linear

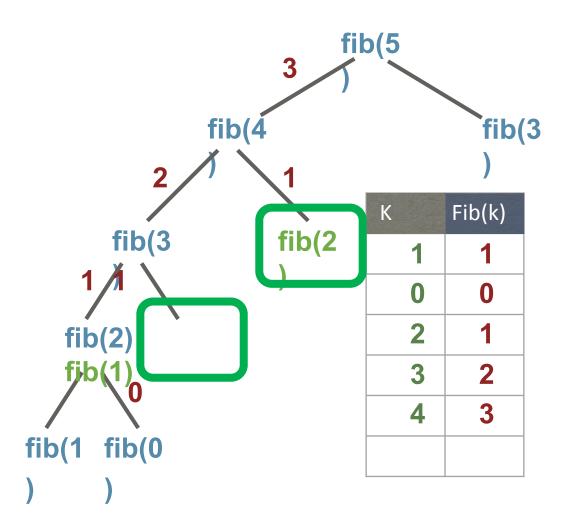


#### Memoization

-Store each newly computed value in a table

-Look up table before starting a recursive computation

-Computation tree is linear

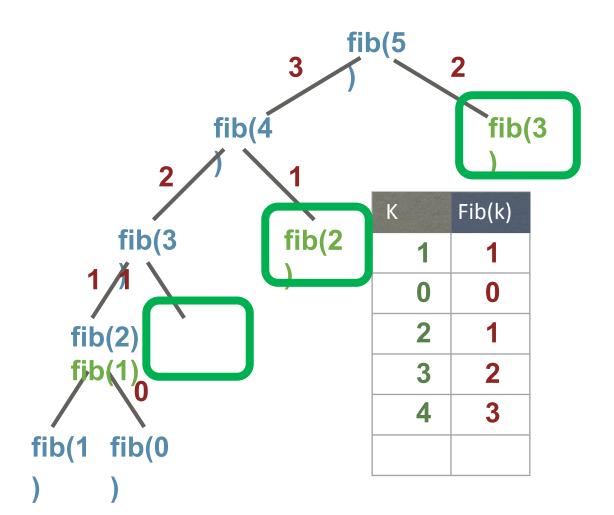


#### Memoization

-Store each newly computed value in a table

-Look up table before starting a recursive computation

-Computation tree is linear

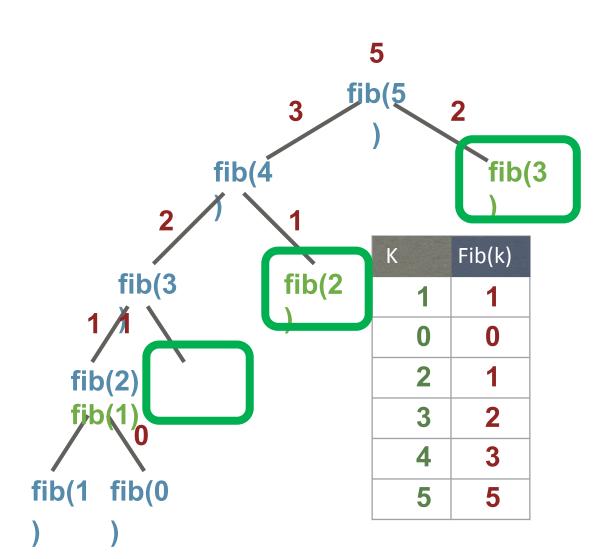


#### Memoization

-Store each newly computed value in a table

-Look up table before starting a recursive computation

-Computation tree is linear



#### Memoized fibonacci

```
function fib(n):
    if fibtable[n]
       return(fibtable[n])
    if n == 0 or n == 1
       value = n
    else
     value = fib(n-1) + fib(n-2)
      fibtable[n] = value
    return(value)
```

## In general

```
function f(x,y,z):

if ftable[x][y][z]
  return(ftable[x][y][z])

value = expression in terms of subproblems

ftable[x][y][z] = value return(value)
```

## In general

```
function f(x,y,z):
```

```
if ftable[x][y][z]
  return(ftable[x][y][z])
```

value = expression in terms of subproblems

ftable[x][y][z] = value return(value)

Need to solve recursively exploiting the inductive definition.



Anticipate what the memory table looks like

Subproblems are known from problem structure

Dependencies form a dag

Solve subproblems

Prepared by Pawan The topological order

Anticipate what the memory table looks like

Subproblems are known from problem structure

Dependencies form a dag

Solve subproblems

Prepared by Pawan The topological order

fib(5)

```
fib(5
Anticipate what the
memory table looks like
                                            fib(4
  Subproblems are
                                            fib(3
  known from problem
                                            fib(2
  structure
                                            fib(1
  Dependencies form a
  dag
                                            fib(0
Solve subproblems
```

Prepared by Pawan The topological order

Anticipate what the memory table looks like

Subproblems are known from problem structure

Dependencies form a dag

Solve subproblems

```
fib(5
fib(2
fib(1
fib(0
```

Prepared by Pawan The topological order

Anticipate what the memory table looks like

Subproblems are known from problem structure

Dependencies form a dag

Solve subproblems

```
fib(5
fib(2
fib(1
fib(0
```

Anticipate what the memory table looks like

Subproblems are known from problem structure

Dependencies form a dag

Solve subproblems

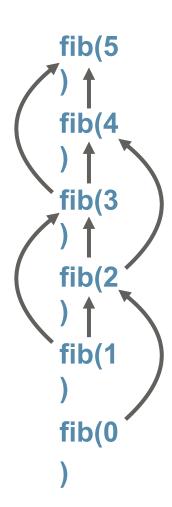
```
fib(5
fib(1
fib(0
```

Anticipate what the memory table looks like

Subproblems are known from problem structure

Dependencies form a dag

Solve subproblems

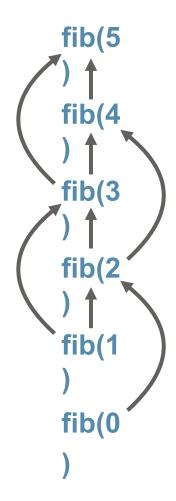


Anticipate what the memory table looks like

Subproblems are known from problem structure

Dependencies form a dag

K	0	1	2	3	4	5
fib(k)						



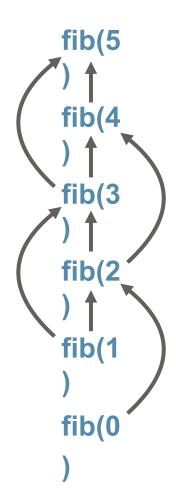


Anticipate what the memory table looks like

Subproblems are known from problem structure

Dependencies form a dag

K	0	1	2	3	4	5
fib(k)	0					



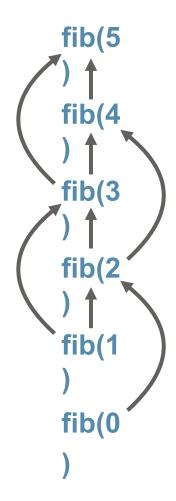


Anticipate what the memory table looks like

Subproblems are known from problem structure

Dependencies form a dag

K	0	1	2	3	4	5
fib(k)	0	1				



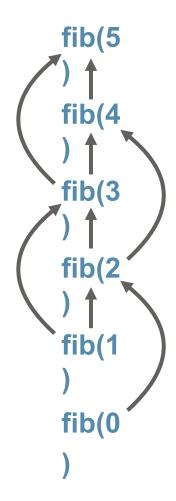


Anticipate what the memory table looks like

Subproblems are known from problem structure

Dependencies form a dag

K	0	1	2	3	4	5
fib(k)	0	1	1			



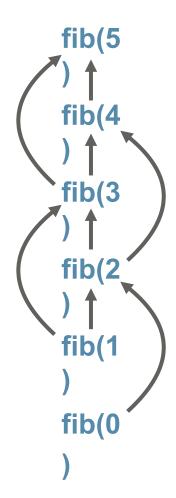


Anticipate what the memory table looks like

Subproblems are known from problem structure

Dependencies form a dag

K	0	1	2	3	4	5
fib(k)	0	1	1	2		



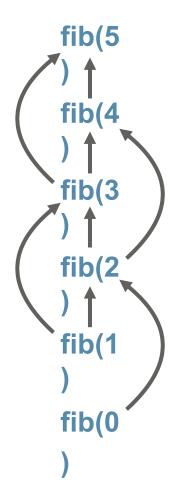


Anticipate what the memory table looks like

Subproblems are known from problem structure

Dependencies form a dag

K	0	1	2	3	4	5
fib(k)	0	1	1	2	3	



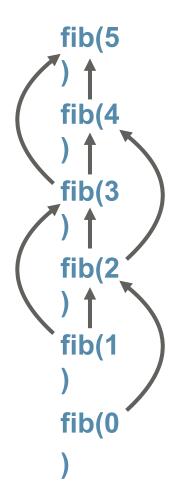


Anticipate what the memory table looks like

Subproblems are known from problem structure

Dependencies form a dag

K	0	1	2	3	4	5
fib(k)	0	1	1	2	3	5





# Dynamic programming fibonacci

```
function fib(n):
    fibtable[0] = 0
    fibtable[1] = 1
    for i = 2,3,..n
        fibtable[i] = fibtable[i-1] + fibtable[i-2]
    return(fibtable[n])
```

#### Memoization

Store values of subproblems in a table Look up the table before making a recursive call

#### Dynamic programming

Solve subproblems in topological order of dependency

Dependencies must form a dag (why?) Iterative evaluation



#### Takeaway

Overlapping sub problem

**Tabular Method** 

Recursive formulation—Don't solve recursively

Compute opt for smaller sub problem

Optimal substructure

Computing Optimal value vs Optimal solution



#### P1. Knapsack-without profit

```
Input s_1, s_2, ..., s_n s_n Size s_1, s_2, ..., s_n Bag with capacity s_n
```

Find a subset of objects with largest total size & W



#### P1. Knapsack-without profit

```
Input

n objects o_1, o_2,..., o_n

Size s_1, s_2, ..., s_n

Bag with capacity W
```

Find a subset of objects with largest total size & W



#### Brute force

Check all subsets --- 2<sup>n</sup>



#### Intuition to build DP solution

Subproblems ??? -----Toughest part

Once you decide what subproblems are, everything will fall in place

Subproblems are guided by recursive formulation....

But how to write recursive formulation....



Suppose object  $o_1$  is in the optimal solution, what we need to solve now ??

Suppose object  $o_1$  is in the optimal solution, what we need to solve now ??

Then the remaining subset of objects  $\{o_2, o_3, ..., o_n\}$  of largest size whose total size is atmost W  $-s_1$ .



Suppose object  $o_1$  is not in the optimal solution, what we need to solve now ??

Suppose object o<sub>1</sub> is not in the optimal solution, what we need to solve now ??

Then the remaining subset of objects  $\{o_2, o_3, ..., o_n\}$  of largest size whose total size is atmost W.



#### OPTIMAL SUBSTRUCTURE PROPERTY

Suppose object  $o_1$  is in the optimal solution, what we need to solve now ??

Then the remaining subset of objects  $\{o_2, o_3, ..., o_n\}$  of largest size whose total size is atmost  $W - s_1$ .

Suppose object  $o_1$  is not in the optimal solution, what we need to solve now ??

Then the remaining subset of objects  $\{o_2, o_3, ..., o_n\}$  of largest size whose total Prepared by Pawan PSize is atmost W.

#### OPTIMAL SUBSTRUCTURE PROPERTY

If someone tell you that the object  $O_1$  is there in an optimal solution, so now you can claim that remaining the remaining subset in that particular optimal solution is the largest size of the capacity at most  $W - s_1$ .

#### OPTIMAL SUBSTRUCTURE PROPERTY

If someone tell you that the object  $O_1$  is there in an optimal solution, so now you can claim that remaining the remaining subset in that particular optimal solution is the largest size of the capacity at most  $W - s_1$ .

Proof...(By contradiction) Cut and Paste argument...



#### Recusrive Formulation

$$OPT({o_1, o_2, ..., o_n}, W) = max$$



#### Recusrive Formulation

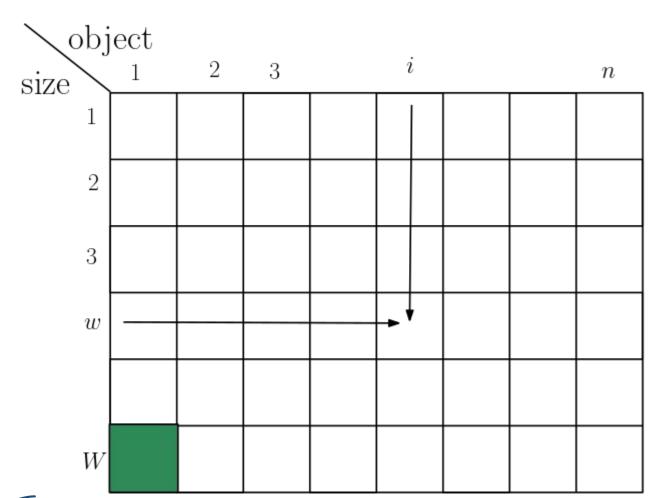
OPT ( {o<sub>2</sub>,..., o<sub>n</sub>}, W)  $OPT({o_1, o_2, ..., o_n}, W) = max$ o1 sholudn't be part of OPT OPT( {  $o_1$ ,  $o_2$ ,...,  $o_n$  }, W -  $s_1$ ) + $s_1$ OPT ( i+1, w ) OPT(i, w)=max

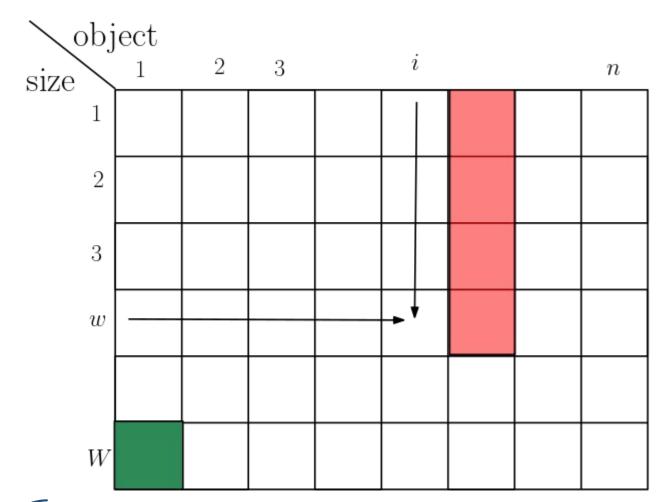
OPT(i+1, w-s<sub>i</sub>) + s<sub>i</sub>

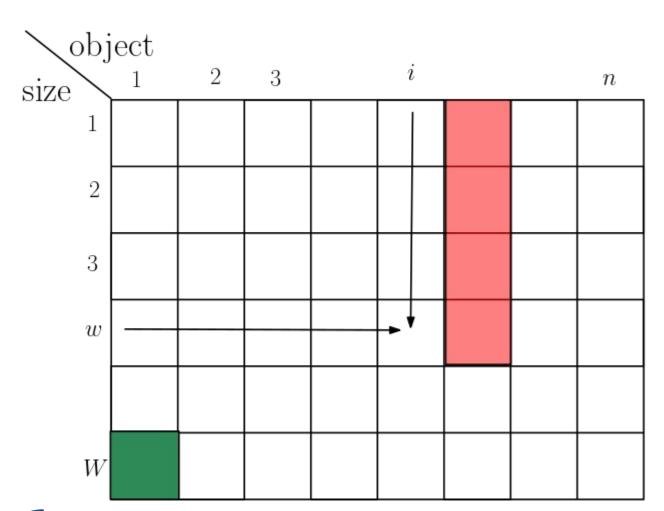
Largest sized subset  $\{i,...,n\}$  with total size at most w

object $n$										
size	1	2	3					n		
1										
0										
2										
3										
W										

object $i$ $n$											
size	1	2	3		i			n			
1											
2											
3											
w											
W											





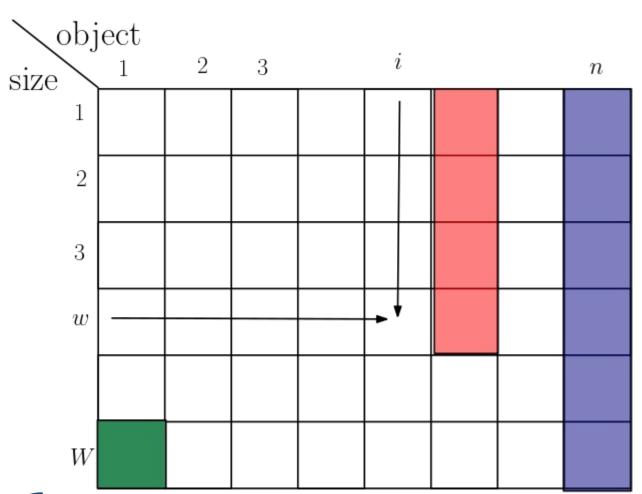


This basically tells you the direction via which you fill the table, thus we get the base case too

OPT(n, w)= 0 
$$s_n > w$$
  
=  $s_n$  otherwise

For all 
$$w \in \{1,2,...,W\}$$





This basically tells you the direction via which you fill the table, thus we get the base case too

OPT(n, w)= 0 
$$s_n > w$$
  
=  $s_n$  otherwise

For all 
$$w \in \{1,2,...,W\}$$



#### Total Time

How many entries we are computing?? nW

Time to compute each entry - Constant time..

By using max of two entries..

O(nW)



### Cut-and-Paste Arguments

To show optimal substructure, assume that some piece of the optimal solution  $S^*$  is not an optimal solution to a smaller subproblem

Show that replacing that piece with the optimal solution to the smaller subproblem improves the allegedly optimal solution  $S^*$ .

Conclude, therefore, that 5\* must include an optimal solution to a smaller subproblem.

Prepared by Pawan R.

### P2. Coin Change Problem

If we want to make change for Rs. T, and we have infinite supply of each coin in the set  $Coins = \{v_1, v_2, \dots, v_n\}$ , where  $v_i$  is the value of the i-th coin.

What is the minimum number of coins required to reach the value 5?



### Greedy Algorithm

### Greedy Algorithm

Coins =  $\{6, 4, 1\}$  and T = 8

Counter Example

### Greedy Algorithm

Coins = 
$$\{6, 4, 1\}$$
 and T =  $8$ 

Coins=
$$\{1,2,5,10\}$$
 -Indian



OPT(T, n-1) First coin with value 
$$v_1$$
 is not used OPT(T,n)= min OPT(T- $v_1$ , n) + 1 First coin with value  $v_1$  is used

OPT(T, n-1) First coin with value 
$$v_1$$
 is not used OPT(T,n)= min 
$$OPT(T-v_1,n)+1 \qquad \text{First coin with value } v_1 \text{ is used}$$

OPT(S, i-1) i-th coin with value 
$$v_i$$
 is not used OPT(S,i)= min OPT(S- $v_i$ , i) + 1 i-th coin with value  $v_i$  is used

the minimum number of coins required to reach sum S ≤ T with the first i coins, i.e., Prepared by Pawan R. coins selected from the subset  $\{v_1, v_2, \dots, v_i\}$  (where  $0 \le i \le n$ ).

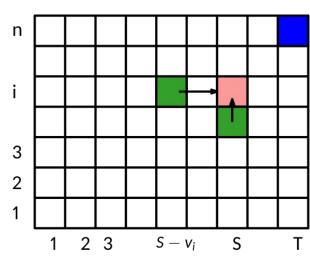
```
OPT(S, i-1) i-th coin with value v_i is not used

OPT(S,i)= min

OPT(S-v_i, i) + 1 i-th coin with value v_i is used
```

the minimum number of coins required to reach sum  $S \leq T$  with the first i coins, i.e.,

coins selected from the subset  $\{v_1, v_2, \dots, v_i\}$  (where  $0 \le i \le n$ ).



Prepared by Pawan R.

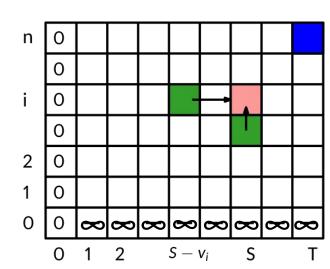
```
OPT(S, i-1) i-th coin with value v_i is not used

OPT(S,i)= min

OPT(S-v_i, i) + 1 i-th coin with value v_i is used
```

the minimum number of coins required to reach sum  $S \leq T$  with the first i coins, i.e.,

coins selected from the subset  $\{v_1, v_2, \dots, v_i\}$  (where  $0 \le i \le n$ ).



Prepared by Pawan R

#### P3. Set Cover Problem

Given a universe  $U=\{e_1, e_2, e_3, ..., e_n\}$  of n elements,

And a family of subsets  $F = \{S_1, S_2, S_3, ..., S_m\}$ .

find a minimum number of subcollection C of F such that C covers all elements of U.

$$U = \{1, 2, 3, 4, 5\}$$

$$F = \{ S_1 = \{1, 2, 3\}, S_2 = \{2,3\}, S_3 = \{4, 5\}, S_4 = \{1, 2, 4\} \}$$

$$C = \{S_1, S_3\}$$

#### P3. Set Cover Problem

Given a universe  $U=\{e_1, e_2, e_3, ..., e_n\}$  of n elements, And a family of subsets  $F=\{S_1, S_2, S_3, ..., S_m\}$ .

find a minimum number of subcollection C of F such that C covers all elements of U.

$$U = \{1, 2, 3, 4, 5\}$$

$$F = \{ S_1 = \{1, 2, 3\}, S_2 = \{2,3\}, S_3 = \{4, 5\}, S_4 = \{1, 2, 4\} \}$$

$$C = \{S_1, S_3\}$$

# Greedy Algorithm??

Can we improve to  $O^*(2^n)$ ??



#### Can we improve to $O^*(2^n)$ ??

Given: 
$$F = \{S_1, S_2, S_3, ..., S_m\}$$
, U

To find subproblem, but how ?--- need recurrence formulation ...

#### Can we improve to $O^*(2^n)$ ??

Given: 
$$F = \{S_1, S_2, S_3, ..., S_m\}$$
, U

To find subproblem, but how ?--- need recurrence formulation ...

#### How to proceed?

Is  $S_1$  in optimal solution, then what to cover and by what?

Is  $S_1$  in not in optimal solution, then what to cover and by what?



#### Can we improve to $O^*(2^n)$ ??

Given: 
$$F = \{S_1, S_2, S_3, ..., S_m\}$$
, U

To find subproblem, but how ?--- need recurrence formulation ...

#### How to proceed?

Is 
$$S_1$$
 in optimal solution, then what to cover and by what?  $\bigcup \{S_1, S_3, ..., S_m\}$ 

Is 
$$S_1$$
 in not in optimal solution, then what to cover and by what?



$$OPT(\{S_{1},S_{2},S_{3},...,S_{n}\},U) = Min \\ OPT(\{S_{2},S_{3},...,S_{n}\},U) = S_{1} \text{ is not used} \\ OPT(\{S_{2},S_{3},...,S_{n}\},U\setminus S_{1}) + 1 \\ S_{1} \text{ is used} \\ OPT(\{S_{2},S_{3},...,S_{n}\},U\setminus S_{1}) + 1 \\ S_{2} \text{ is used} \\ OPT(\{S_{2},S_{3},...,S_{n}\},U\setminus S_{1}) + 1 \\ S_{3} \text{ is used} \\ OPT(\{S_{2},S_{3},...,S_{n}\},U\setminus S_{1}) + 1 \\ S_{4} \text{ is used} \\ OPT(\{S_{2},S_{3},...,S_{n}\},U\setminus S_{1}) + 1 \\ S_{5} \text{ is used} \\ OPT(\{S_{2},S_{3},...,S_{n}\},U\setminus S_{1}) + 1 \\ OPT(\{S_{2},S_{3},...,S_{n}\},U\setminus S_{1})$$

OPT(
$$\{S_2, S_3, ..., S_n\}, U \setminus S_1) + 1$$

 $S_1$  is not used

$$OPT(\{S_{1},S_{2},S_{3},...,S_{n}\},U) = min \\ OPT(\{S_{2},S_{3},...,S_{n}\},U) = S_{1} \text{ is not used} \\ OPT(\{S_{2},S_{3},...,S_{n}\},U\setminus S_{1}) + 1 \\ S_{1} \text{ is used}$$

$$OPT(S_{i+1}, A)$$

$$S_{i} \text{ is not used}$$

$$OPT(i,A) = \min$$

$$OPT(S_{i+1}, A \setminus S_{i}) + 1$$

$$S_{i} \text{ is used}$$

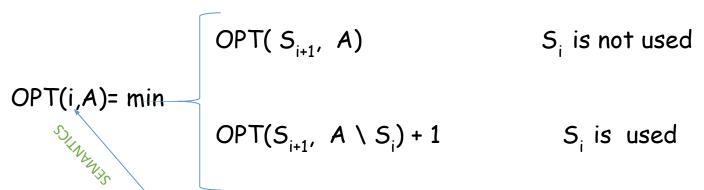
To cover a subset A of U, the minimum number of subset required of F from  $S_i$  to  $S_m$ .



```
OPT(S_{i+1}, A) \qquad S_i \text{ is not used} OPT(i,A) = \min \qquad OPT(S_{i+1}, A \setminus S_i) + 1 \qquad S_i \text{ is used} To cover a subset A of U, the minimum number of subset required of F from <math>S_i \text{ to } S_m.
```

Goal: OPT(1, U)

Prepared by Pawan R.



To cover a subset A of U, the minimum number of subset required of F from  $S_i$  to  $S_m$ .

Base Case: We need i=1 as a goal, so basically we need in decreasing order, so m will play the role in defining the base case.

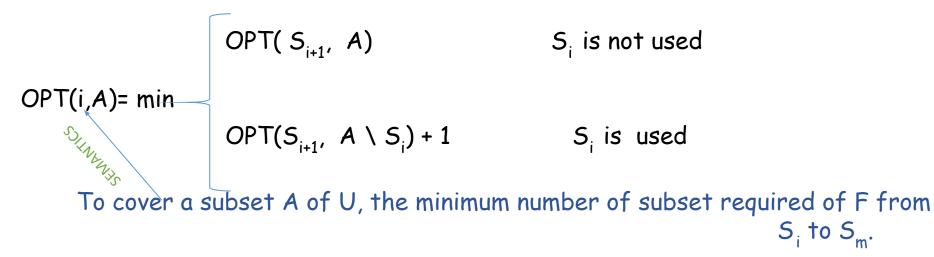
OPT(m,A)= min

OPT(1 U)

If A is subset of 
$$S_m$$

Otherwise

Goal: OPT(1, U)



Base Case: We need i=1 as a goal, so basically we need in decreasing order, so m will play the role in defining the base case.

OPT(m,A)= min

If A is subset of 
$$S_m$$

Time Complexity=O(2<sup>n</sup>m)

Otherwise

Goal: OPT(1, U)



#### P4. Maximum Contiguous Subsequence in Array

IP: Sequence  $S=\{e_1, e_2, e_3, ..., e_n\}$  of n integers

OP: pair (i,j)  $e_i + e_{i+1} + e_{i+2} + ... + e_j$  is maximum

#### P4. Maximum Contiguous Subsequence in Array

IP: Sequence  $S=\{e_1, e_2, e_3, ..., e_n\}$  of n integers

OP: pair (i,j)  $e_i + e_{i+1} + e_{i+2} + ... + e_j$  is maximum

Brute Force: For every pair, find sum:  $O(n^3)$  or  $O(n^2)$ 

DnC: O(nlogn), Tut-2,Q 8



# How to proceed? Optimal solution contains element $e_i$ --- 2. $e_i$

Optimal element does not contain element  $e_i$ .

This means that  $e_i$ .

Optimal value if sub sequence ends at e<sub>i</sub>.

Prepared by Pawan R.

### P5. Longest Common Subword

```
Given two strings, find the (length of the) longest common subword "secret", "secretary" — "secret", length 6 "bisect", "trisect" — "sect", length 4
```



### More formally ...

Let  $u = a_0 a_1 ... a_m$  and  $v = b_0 b_1 ...$  be two sequences  $b_n$ 

Tfawe.can find ibjisubh that u and v have a common subword of length k

Aim is to find the length of the longest common subword of u and v



### Brute force

```
Let u = a_0 a_1 \dots a_m and v = b_0 b_1 \dots b_n
Try every pair of starting positions i in u, j in v
```

Match  $(a_i, b_i)$ ,  $(a_{i+1}, b_{i+1})$ ,... as far as possible

Keep track of the length of the longest match

Assuming m > n, this is  $O(mn^2)$ 

mn pairs of positions

From each starting point, scan can be O(n)



#### Inductive structure

Let 
$$u = a_0 a_1 ... a_m$$
 and  $v = b_0 b_1 ... b_n$ 

$$a_i a_{i+1} \dots a_{i+k-1} = b_j b_{j+1} \dots b_{j+k-1}$$
 is a common subword of length k at (i,j), iff  $a_{i+1} \dots a_{i+k-1} = b_{j+1} \dots b_{j+k-1}$  is a common sub word of length k-1 at (i+1,j+1).

LCW(i,j): length of the longest common subword starting at a and b

If 
$$a_i \neq b_j$$
, LCW(i,j) is 0, otherwise  $1+LCW(i+1,j+1)$ 

Boundary condition: when we have reached the end

Prepared by Pawan Frone of the words

#### Inductive structure

```
Consider positions 0 to m+1 in u, 0 to n+1 in v

To know the end of the sequence
```

m+1, n+1 means we have reached the end of the word

$$LCW(m+1,j) = 0$$
 for all j

LCW(i,n+1) = 0 for all i  
LCW(i,j) = 0, if 
$$a_i \neq$$

 $b_{j}$ 

$$= 1 + LCW(i+1,j+1),$$



LCW(i,j) depends on LCW(i+1,j+1)

Last row and column have no dependencies

		0	1	2	3	4	5	
		S	ê	С	r	е	t	
0								
þ	i							
2								
2 3				K				
4							K	
5	t					K		
6								

LCW(i,j) depends on LCW(i+1,j+1)

Last row and column have no dependencies

		0	1 6	2	3	4	5	
		S	<u>е</u>	С	r	е	t	
0								
þ	i							
2								
2 \$3 04 05								
4								
5	t							
6								

LCW(i,j) depends on LCW(i+1,j+1)

Last row and column have no dependencies

		0	1	2	3	4	5	6
		S	е	С	r	е	t	•
0	b							0
1	i							0
2	S							0
3	е							0
4	С							0
5	t							0
6	•	0	0	0	0	0	0	0

LCW(i,j) depends on LCW(i+1,j+1)

Last row and column have no dependencies

		0	1	2	3	4	5	6
		S	е	С	r	е	t	•
0	b						0	0
1	i						0	0
2	S						0	0
3	е						0	0
4	С						0	0
5	t						1	0
6	•	0	0	0	0	0	0	0

LCW(i,j) depends on LCW(i+1,j+1)

Last row and column have no dependencies

		0	1	2	3	4	5	6
		S	е	С	r	е	t	•
0	b					0	0	0
1	i					0	0	0
2	S					0	0	0
3	е					1	0	0
4	С					0	0	0
5	t					0	1	0
6	•	0	0	0	0	0	0	0

LCW(i,j) depends on LCW(i+1,j+1)

Last row and column have no dependencies

		0	1	2	3	4	5	6
		S	е	С	r	е	t	•
0	b				0	0	0	0
1	i				0	0	0	0
2	S				0	0	0	0
3	е				0	1	0	0
4	С				0	0	0	0
5	t				0	0	1	0
6	•	0	0	0	0	0	0	0

LCW(i,j) depends on LCW(i+1,j+1)

Last row and column have no dependencies

		0	1	2	3	4	5	6
		S	е	С	r	е	t	•
0	b			0	0	0	0	0
1	i			0	0	0	0	0
2	S			0	0	0	0	0
3	е			0	0	1	0	0
4	С			1	0	0	0	0
5	t			0	0	0	1	0
6	•	0	0	0	0	0	0	0

LCW(i,j) depends on LCW(i+1,j+1)

Last row and column have no dependencies

		0	1	2	3	4	5	6
		S	е	С	r	е	t	•
0	b		0	0	0	0	0	0
1	i		0	0	0	0	0	0
2	S		0	0	0	0	0	0
3	е		2	0	0	1	0	0
4	С		0	Y	0	0	0	0
5	t		0	0	0	0	1	0
6	•	0	0	0	0	0	0	0

LCW(i,j) depends on LCW(i+1,j+1)

Last row and column have no dependencies

		0	1	2	3	4	5	6
		s	е	С	r	е	t	•
0	b	0	0	0	0	0	0	0
1	i	0	0	0	0	0	0	0
2	S	3	0	0	0	0	0	0
3	е	0	2	0	0	1	0	0
4	С	0	0	1	0	0	0	0
5	t	0	0	0	0	0	1	0
6	•	0	0	0	0	0	0	0

### Reading off the solution

Find (i,j) with largest entry

LCW(2,0) = 3

Read off the actual subword diagonally

		0	1	2	3	4	5	6
		S	е	С	r	е	t	•
0	b	0	0	0	0	0	0	0
1	i	0	0	0	0	0	0	0
2	S	3	0	U	0	0	0	0
3	е	0	2	0	0	1	0	0
4	С	0	0	4	0	0	0	0
5	t	0	0	0	0	0	1	0
6	•	0	0	0	0	0	0	0



## Complexity

Recall that the brute force approach was  $O(mn^2)$ 

The inductive solution is O(mn) if we use dynamic programming (or memoization)

Need to fill an O(mn) size table

Each table entry takes constant time to compute



# P6. Longest common subsequence

Subsequence: can drop some letters in between

Given two strings, find the (length of the) longest common subsequence

"secret", "secretary" — "secret", length 6

"bisect", "trisect" — "isect", length 5

"bisect", "secret" — "sect", length 4

"director", "secretary" — "ectr", "retr", length 4



## Applications

Analyzing genes of two species (in bionforamtics..)

DNA is a long string over 4 proteins A,T,G,C

Two species are closer if their DNA has longer common subsequence

Unix Command diff
Compares text files
Find longest matching subsequence of lines



#### LCS

LCS is longest path we can find between non-zero LCW entries, moving right and down

"bisect", "secret" — "sect", length 4

		0	1	2	3	4	5	
			6					
0		0		0	0		0	
þ	i	0		0			0	
2		3		9	9		9	
<b>S</b> 3		0		8		1	0	
4		8		9	0	0	0	
5	t	0		9		0	9	
6	•	0	0	Ø	0	0	00	
	0							



#### Inductive structure

#### Not sure which one to drop

Solve both subproblems

$$LCS(a_1 a_2...a_m, b_0 b_1...b_n) \ and \ LCS(a_0 a_1...a_m, b_1 b_2...b_n), \ and \ the \ maximum$$

### Inductive structure

As with LCW, extend positions to m+1, n+1

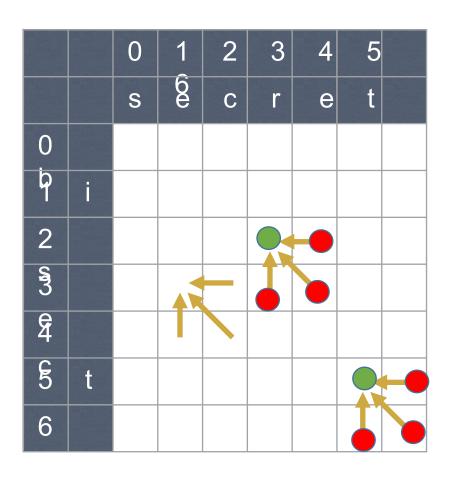
$$LCS(m+1,j) = 0$$
 for all j

Prepared by Pawan R. 
$$LCS(i,n+1) = 0$$
 for all i



LCS(i,j) depends on LCS(i+1,j+1) as well as LCS(i+1,j) and LCS(i,j+1)

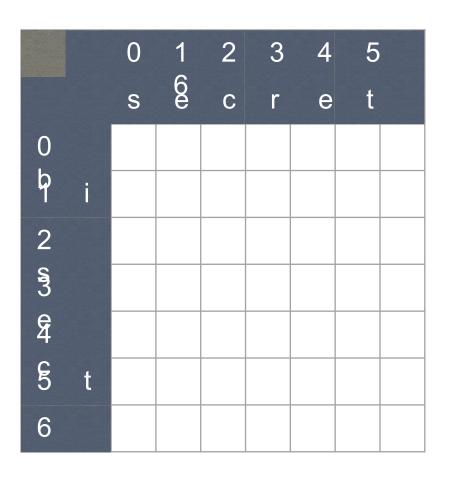
Dependencies for LCS(m,n) are known





LCS(i,j) depends on LCS(i+1,j+1) as well as LCS(i+1,j) and LCS(i,j+1)

Dependencies for LCS(m,n) are known





LCS(i,j) depends on LCS(i+1,j+1) as well as LCS(i+1,j) and LCS(i,j+1)

Dependencies for LCS(m,n) are known

		0	1	2	3	4	5	6
		S	е	С	r	е	t	•
0	b							0
1	i							0
2	S							0
3	е							0
4	С							0
5	t							0
6	•	0	0	0	0	0	0	0



LCS(i,j) depends on LCS(i+1,j+1) as well as LCS(i+1,j) and LCS(i,j+1)

Dependencies for LCS(m,n) are known

		0	1	2	3	4	5	6
		S	е	С	r	е	t	•
0	b						0	0
1	i						0	0
2	S						0	0
3	е						0	0
4	С						0	0
5	t						1	0
6	•	0	0	0	0	0	0	0



LCS(i,j) depends on LCS(i+1,j+1) as well as LCS(i+1,j) and LCS(i,j+1)

Dependencies for LCS(m,n) are known

		0	1	2	3	4	5	6
		S	е	С	r	е	t	•
0	b					1	0	0
1	i					1	0	0
2	S					1	0	0
3	е					1	0	0
4	С					1	0	0
5	t					1	1	0
6	•	0	0	0	0	0	0	0



LCS(i,j) depends on LCS(i+1,j+1) as well as LCS(i+1,j) and LCS(i,j+1)

Dependencies for LCS(m,n) are known

		0	1	2	3	4	5	6
		S	е	С	r	е	t	•
0	b				1	1	0	0
1	i				1	1	0	0
2	S				1	1	0	0
3	е				1	1	0	0
4	С				1	1	0	0
5	t				1	1	1	0
6	•	0	0	0	0	0	0	0



LCS(i,j) depends on LCS(i+1,j+1) as well as LCS(i+1,j) and LCS(i,j+1)

Dependencies for LCS(m,n) are known

		0	1	2	3	4	5	6
		S	е	С	r	е	t	•
0	b			2	1	1	0	0
1	i			2	1	1	0	0
2	S			2	1	1	0	0
3	е			2	1	1	0	0
4	С			2	1	1	0	0
5	t			1	1	1	1	0
6	•	0	0	0	0	0	0	0



LCS(i,j) depends on LCS(i+1,j+1) as well as LCS(i+1,j) and LCS(i,j+1)

Dependencies for LCS(m,n) are known

		0	1	2	3	4	5	6
		S	е	С	r	е	t	•
0	b		3	2	1	1	0	0
1	i		3	2	1	1	0	0
2	S		3	2	1	1	0	0
3	е		3	2	1	1	0	0
4	С		2	2	1	1	0	0
5	t		1	1	1	1	1	0
6	•	0	0	0	0	0	0	0



LCS(i,j) depends on LCS(i+1,j+1) as well as LCS(i+1,j) and LCS(i,j+1)

Dependencies for LCS(m,n) are known

		0	1	2	3	4	5	6
		S	е	С	r	е	t	•
0	b	4	3	2	1	1	0	0
1	i	4	3	2	1	1	0	0
2	S	4	3	2	1	1	0	0
3	е	3	3	2	1	1	0	0
4	С	2	2	2	1	1	0	0
5	t	1	1	1	1	1	1	0
6	•	0	0	0	0	0	0	0



LCS(i,j) depends on LCS(i+1,j+1) as well as LCS(i+1,j) and LCS(i,j+1)

Dependencies for LCS(m,n) are known

		0	1	2	3	4	5	6
		S	е	С	r	е	t	•
0	b	4	3	2	1	1	0	0
1	i	4	3	2	1	1	0	0
2	S	4	3	2	1	1	0	0
3	е	3	3	2	1	1	0	0
4	С	2	2	2	1	1	0	0
5	t	1	1	1	1	1	1	0
6	•	0	0	0	0	0	0	0

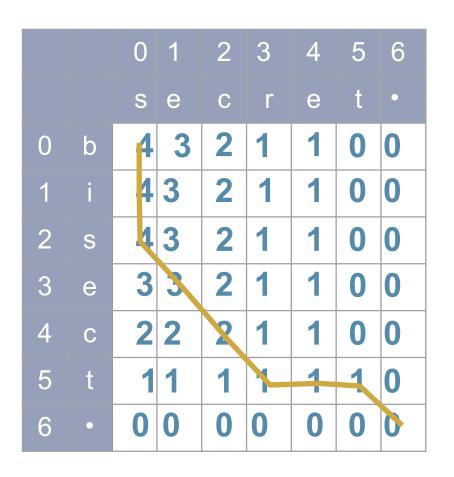


### Recovering the sequence

Trace back the path by which each entry was filled

Each diagonal step is an element of the LCS

"sect"



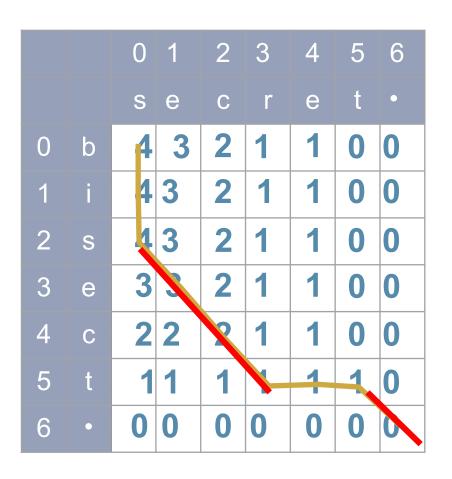


### Recovering the sequence

Trace back the path by which each entry was filled

Each diagonal step is an element of the LCS

"sect"





# Complexity

Again O(mn) using dynamic programming (or memoization)

Need to fill an O(mn) size table

Each table entry takes constant time to compute



# P7. Multiplying matrices

To multiply matrices A and B, need compatible dimensions

A of dimension  $m \times n$ , B of dimension  $n \times p$  AB

has dimension mp

Each entry in AB take O(n) steps to compute AB[i,j]

is A[i,1]B[1,j] + A[i,2]B[2,j] + ... + A[i,n]B[n,j]

Overall, computing AB is O(mnp)



# Multiplying matrices

Matrix multiplication is associative

$$ABC = (AB)C = A(BC)$$

Bracketing does not change the answer ...

... but can affect the complexity of computing it!

## Multiplying matrices

Suppose dimensions are A[1,100], B[100,1], C[1,100]

Computing A(BC)

BC is [100,100],  $100 \times 1 \times 100 = 10000$  steps

A(BC) is  $[1,100],1 \times 100 \times 100 = 10000$  steps

Computing (AB)C

AB is [1,1],  $1 \times 100 \times 1 = 100$  steps

(AB)C is [1,100],  $1 \times 1 \times 100 = 100$  steps

A(BC) takes 20000 steps, (AB)C takes 200 steps!



## Multiplying matrices

Given matrices  $M_1$ ,  $M_2$ ,...,  $M_n$  of dimensions  $[r_1,c_1]$ ,  $[r_2,c_2]$ , ...,  $[r_n,c_n]$ 

Dimensions match, so  $M_1 \times M_2 \times ... \times M_n$  can be computed

 $c_i = r_{i+1}$  for  $1 \le i < n$ Find an optimal order to compute the product

That is, bracket the expression optimally



#### Inductive structure

Product to be computed:  $M_1 \times M_2 \times ... \times M_n$ 

Final step would have combined two subproducts

$$(M_1 \times M_2 \times ... \times M_k) \times (M_{k+1} \times M_{k+2} \times ... \times M_n)$$
, for some  $1 \le k < n$ 

First factor has dimension  $(r_1,c_k)$ , second  $(r_{k+1},c_n)$ 

Final multiplication step costs =  $r_1 c_k c_n$ 

Add cost of computing the two factors



## Subproblems

Final step is

$$(M_1 \times M_2 \times ... \times M_k) \times (M_{k+1} \times M_{k+2} \times ... \times M_n)$$

Subproblems are  $(M_1 \times M_2 \times ... \times M_k)$  and  $(M_{k+1} \times M_{k+2} \times ... \times M_n)$ 

Total cost is 
$$Cost(M_1 \times M_2 \times ... \times M_k) + Cost(M_{k+1} \times M_{k+2} \times ... \times M_n) + r_1 c_k c_n$$

Which k should we choose?

No idea! Try them all and choose the minimum!



#### Inductive formulation

Cost(
$$M_1 \times M_2 \times ... \times M_n$$
) =
minimum value, for  $1 \le k < n$ , of
$$Cost(M_1 \times M_2 \times ... \times M_k) +$$

$$Cost(M_{k+1} \times M_{k+2} \times ... \times M_n) +$$

$$r_1c_kc_n$$

When we compute  $Cost(M_1 \times M_2 \times ... \times M_k)$  we will get subproblems of the form  $M_j \times M_{j+1} \times ... \times M_k$ 

# In general ...

```
\begin{aligned} & \textit{Cost}(M_i \times M_{i+1} \times ... \times M_j) = \\ & \textit{minimum value, for } i \leq k < j, \textit{of} \\ & \textit{Cost}(M_i \times M_{i+1} \times ... \times M_k) + \textit{Cost}(M_{k+1} \times M_{k+2} \times ... \times M_j) + r_i c_k c_j \end{aligned}
```

Write Cost(i,j) to denote Cost( $M_i \times M_{i+1} \times ... \times M_j$ )



# Final equation

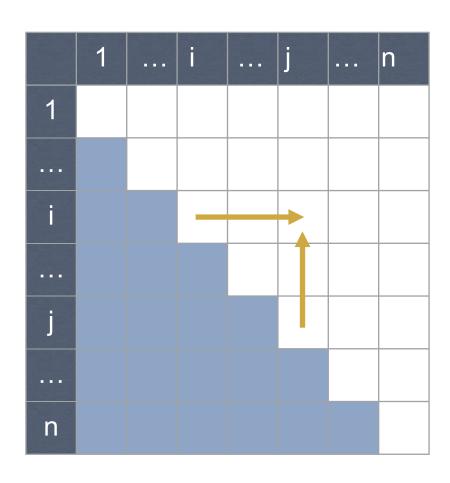
Cost(i,i) = 0 — No multiplication to be done

Cost(i,j) = min over 
$$i \le k < j$$
  
[Cost(i,k) + Cost(k+1,j) +  $r_i c_k c_j$ ]

Note that we only require Cost(i,j) when i ≤ j

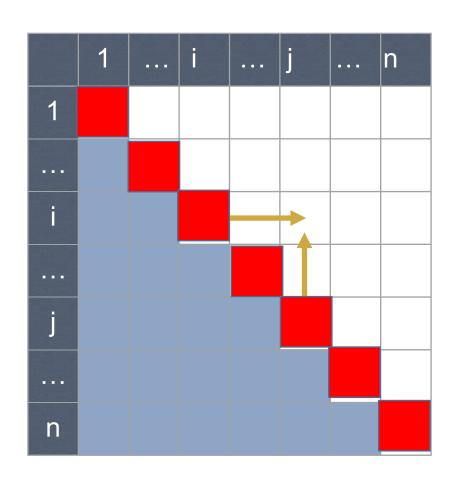
Cost(i,j) depends on Cost(i,k), Cost(k+1,j) for all  $i \le k < j$ 

Can have O(n) dependent values, unlike LCS, LCW, ED



Cost(i,j) depends on Cost(i,k), Cost(k+1,j) for all  $i \le k < j$ 

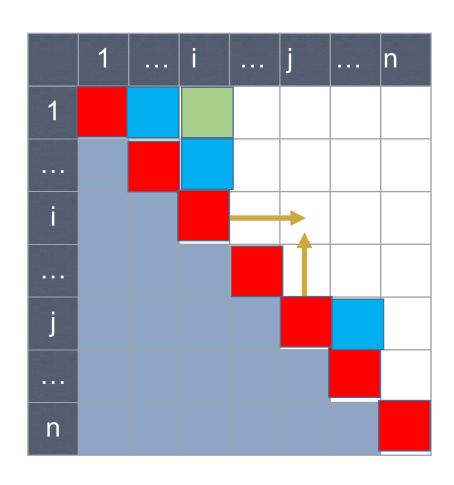
Can have O(n) dependent values, unlike LCS, LCW, ED





Cost(i,j) depends on Cost(i,k), Cost(k+1,j) for all  $i \le k < j$ 

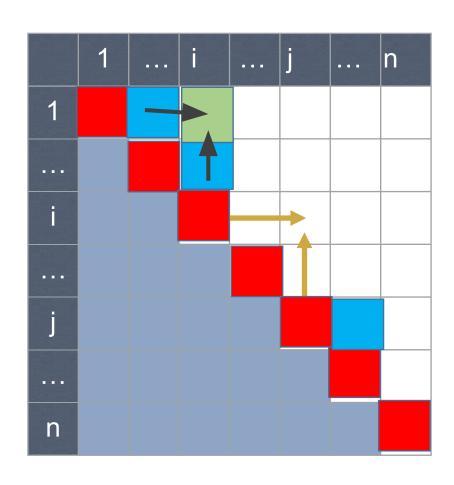
Can have O(n) dependent values, unlike LCS, LCW, ED





Cost(i,j) depends on Cost(i,k), Cost(k+1,j) for all  $i \le k < j$ 

Can have O(n) dependent values, unlike LCS, LCW, ED





## Complexity

As with LCS, we to fill an  $O(n^2)$  size table

However, filling MMC[i][j] could require examining O(n) intermediate values

Hence, overall complexity is  $O(n^3)$ 



# P8. Maximum Independent Set on tree

#### P8. Minimum Vertex Cover on tree

Exercise: Based on the previous idea



#### P9. Diameter of a rooted tree



# P10. Weighted tree, find a maximum sum path between any two nodes

Excercise



#### P11. Colouring in tree

1/P: given a tree,

O/P: color nodes black as many as possible without coloring two adjacent nodes



#### P11. Colouring in tree

1/P: given a tree,

O/P: color nodes black as many as possible without coloring two adjacent nodes

Minimum number of colors need to color nodes of a tree ??

