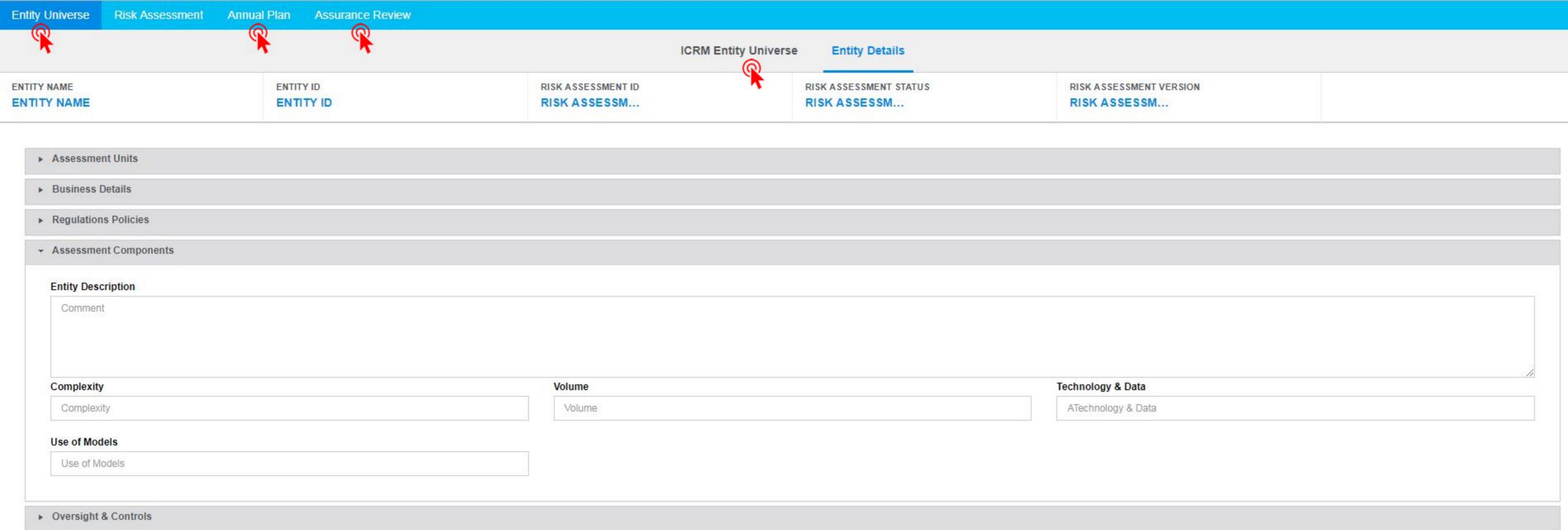
ICRM Entity Universe

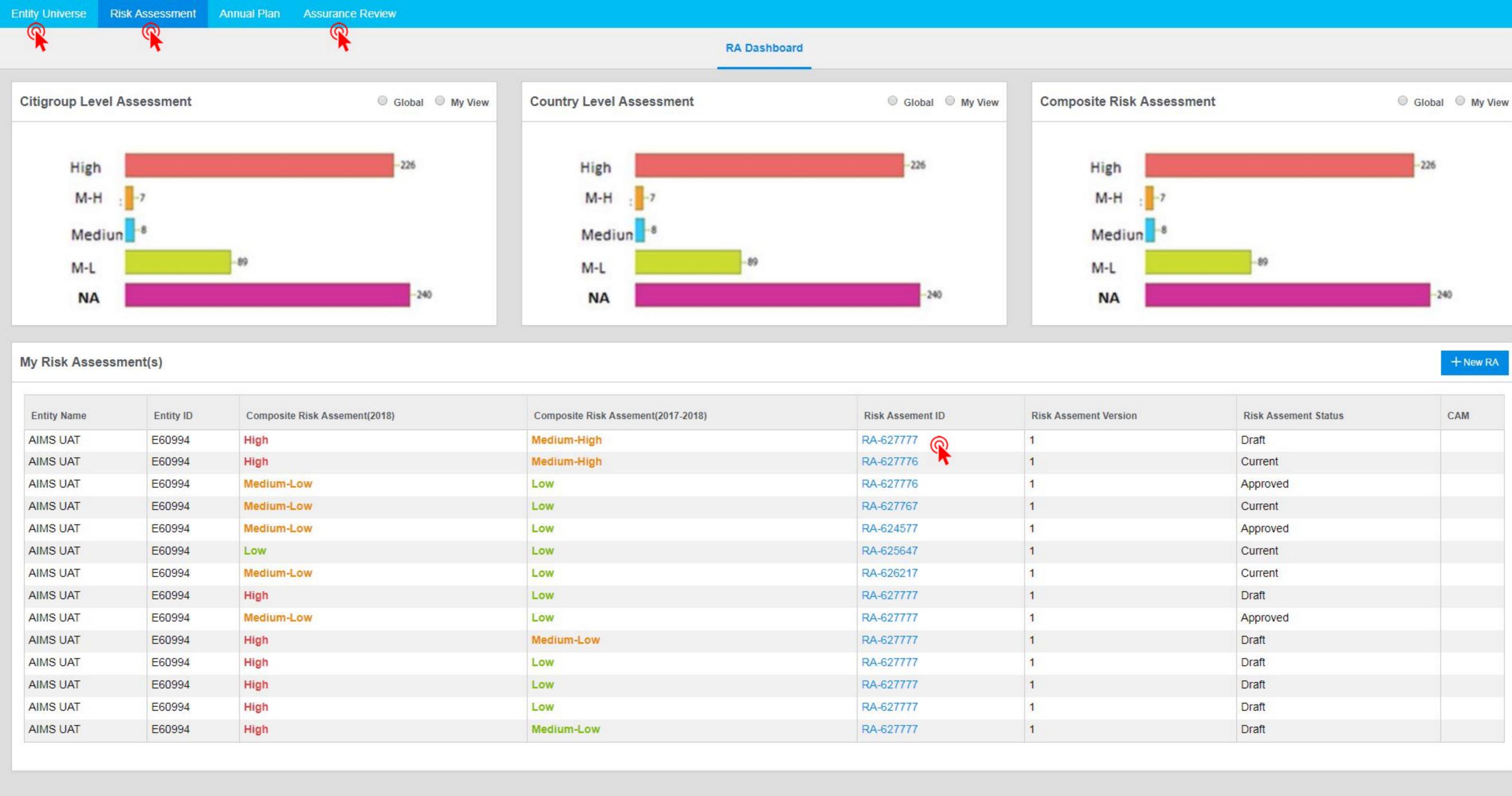
ICRM Entity Universe Activity Regulations Product Policy Product Regulations Activity Policy **Entity Name** Entity ID **Entity Status** Assessment Unit Entity Name Entity ID Entity Status Assessment Unit LOB Region Country LE Region Country LOB LE

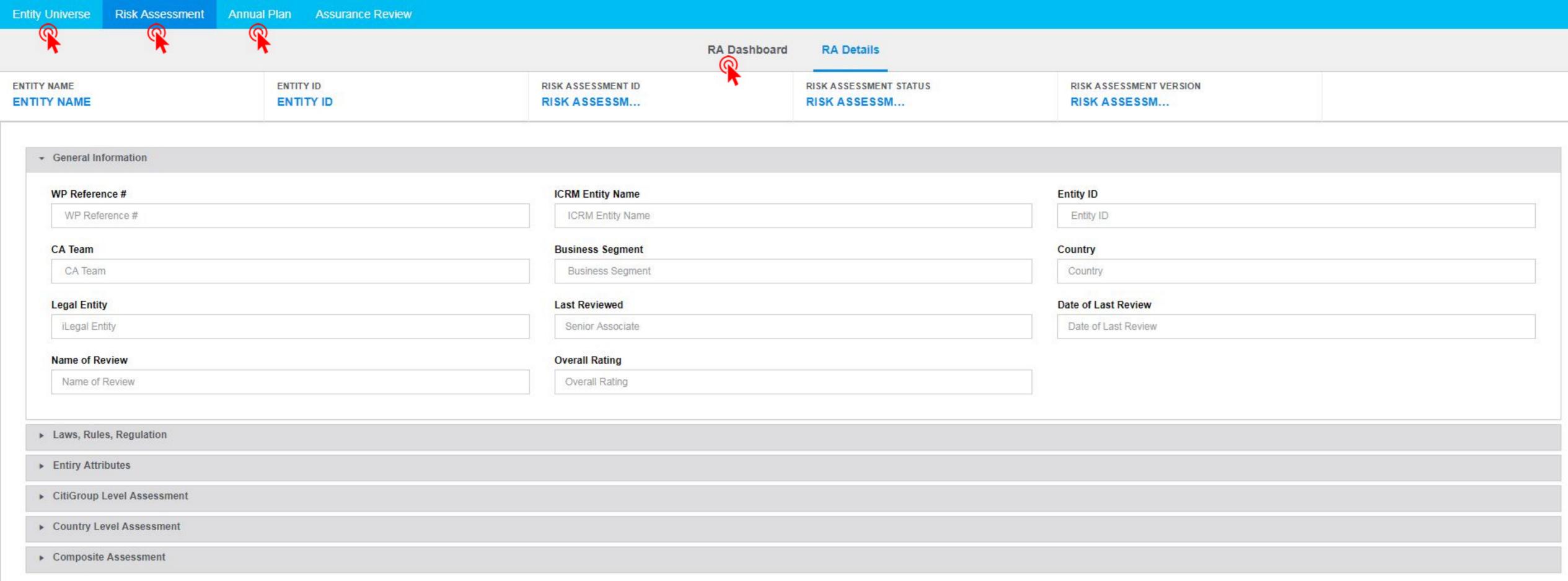
Search

Reset

Entity Name	Entity ID	Entity Type	Entity Status	Region	Country	LOB
AIMS UAT	E60994	Risk Based	Active	North	USA	▼ Risk
Entity name	E60994	Risk Based	Active	North	USA	▼ Risk
Entity name	E60994	Risk Based	Active	North	USA	▼ Risk
RACF Testing	E60994	Risk Based	Active	North	USA	▼ Risk
Test in UAT	E60994	Risk Based	Active	North	USA	▼ Risk
Total	E60994	Risk Based	Active	North	USA	▼ Risk
Total	E60994	Risk Based	Active	North	USA	▼ Risk
Entity name	E60994	Risk Based	Active	North	USA	▼ Risk
Entity name	E60994	Risk Based	Active	North	USA	▼ Risk
Entity name	E60994	Risk Based	Active	North	USA	▼ Risk
Entity name	E60994	Risk Based	Active	North	USA	▼ Risk
Entity name	E60994	Risk Based	Active	North	USA	▼ Risk
Entity name	E60994	Risk Based	Active	North	USA	▼ Risk







Annual Review Planning

Plan Name	Plan ID	Period	Plan Status	Total Plan Hours	Start Date	End Date	Review Count
AIMS UAT Wakethrough	E60994	2018	Active	40,0000	02/01/2018	12/31/2018	344
AIMS UAT Wakethrough	E60854	2017	Completed	02/01/2018	02/01/2017	12/31/2017	580
AIMS UAT Wakethrough	E60994	2016	Completed	40,000	02/01/2016	12/31/2016	344
AIMS UAT Wakethrough	E60854	2016	Completed	30,000	02/01/2016	12/31/2016	485
AIMS UAT Wakethrough	E60994	2015	Completed	40,000	02/01/2015	12/31/2015	344
AIMS UAT Wakethrough	E60854	2014	Completed	35,000	02/01/2014	12/31/2014	450
AIMS UAT Wakethrough	E60994	2013	Completed	40,000	02/01/2013	12/31/2013	344
AIMS UAT Wakethrough	E60854	2012	Completed	45,0000	02/01/2012	12/31/2012	455
AIMS UAT Wakethrough	E60994	2012	Completed	40,000	02/01/2012	12/31/2012	344
AIMS UAT Wakethrough	E60854	2011	Completed	30,0000	02/01/2011	12/31/2011	345
AIMS UAT Wakethrough	E60994	2010	Completed	40,0000	02/01/2010	12/31/2010	544
AIMS UAT Wakethrough	E60854	2009	Completed	35,000	02/01/2009	12/31/2009	655



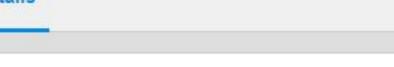




Review

Details

Annual Review Planning

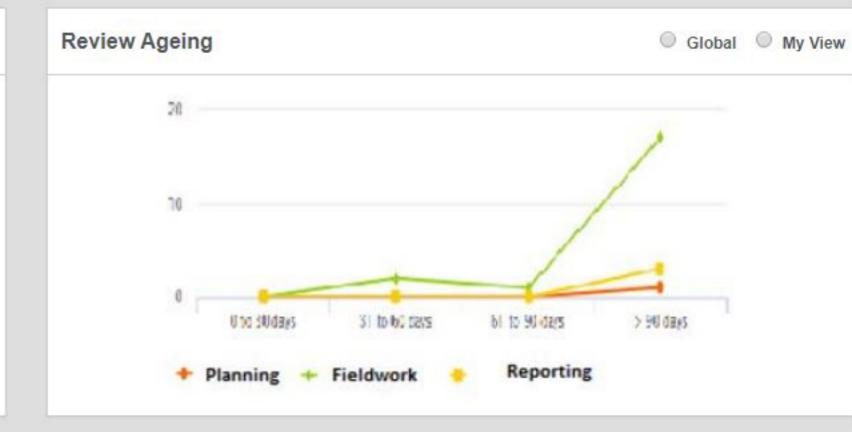


Entity Name	Entity ID	Risk Assessment ID	Composite Risk	RA Completion Date	Review Due Date	Select
AIMS UAT Wakethrough	E60994	RA-627777	High	12/31/2017	12/31/2018	
AIMS UAT Wakethrough	E60994	RA-627777	High	12/31/2017	12/31/2018	6
AIMS UAT Wakethrough	E60994	RA-627777	High	12/31/2017	12/31/2018	
AIMS UAT Wakethrough	E60994	RA-627777	High	12/31/2017	12/31/2018	6
AIMS UAT Wakethrough	E60994	RA-627777	High	12/31/2017	12/31/2018	0
AIMS UAT Wakethrough	E60994	RA-627777	High	12/31/2017	12/31/2018	6
RCF Testing	E60994	RA-627777	High	12/31/2017	12/31/2018	0
Total CE(L,1)	E60994	RA-627777	High	12/31/2017	12/31/2018	0
RCF Testing	E60994	RA-627777	High	12/31/2017	12/31/2018	0
Total CE(L,1)	E60994	RA-627777	High	12/31/2017	12/31/2018	6
RCF Testing	E60994	RA-627777	High	12/31/2017	12/31/2018	6
Total CE(L,1)	E60994	RA-627777	High	12/31/2017	12/31/2018	6
RCF Testing	E60994	RA-627777	High	12/31/2017	12/31/2018	6
Total CE(L,1)	E60994	RA-627777	High	12/31/2017	12/31/2018	6
RCF Testing	E60994	RA-627777	High	12/31/2017	12/31/2018	0
Total CE(L,1)	E60994	RA-627777	High	12/31/2017	12/31/2018	6
RCF Testing	E60994	RA-627777	High	12/31/2017	12/31/2018	6
Total CE(L,1)	E60994	RA-627777	High	12/31/2017	12/31/2018	6
RCF Testing	E60994	RA-627777	High	12/31/2017	12/31/2018	0
Total CE(L,1)	E60994	RA-627777	High	12/31/2017	12/31/2018	6
RCF Testing	E60994	RA-627777	High	12/31/2017	12/31/2018	6
Total CE(L,1)	E60994	RA-627777	High	12/31/2017	12/31/2018	0
RCF Testing	E60994	RA-627777	High	12/31/2017	12/31/2018	0
Total CE(L,1)	E60994	RA-627777	High	12/31/2017	12/31/2018	
RCF Testing	E60994	RA-627777	High	12/31/2017	12/31/2018	

CA Dashboard







My Reviews

Review ID	Review Status	Review Name	Review Type	Senior Associate	Manager	Director	Plan ID	Entity ID	RA ID	Composite Ris
A-191071	Planning	RCM Generatio issue caused by entity scoping	Risk based	Grimse, Coin(CG043437)	Lam, Jonathan(jl66223)	Albert, Ramdi, Liz(la19003)	P2018	E60994	RA-0256	High
A-191067	Draft	Audit Entity Scoping Testing	Risk based	Grimse, Coin(CG043437)	Lam, Jonathan(jl66223)	Albert, Ramdi, Liz(la19003)	P2018	E60994	RA-0256	High
A-191042	Planning	Entity scoping Testing	Risk based	Grimse, Coin(CG043437)	Lam, Jonathan(jl66223)	Albert, Ramdi, Liz(la19003)	P2018	E60994	RA-0256	High
A-191037	Planning	Entity Scope Test - RCM Impact	Risk based	Grimse, Coin(CG043437)	Lam, Jonathan(jl66223)	Albert, Ramdi, Liz(la19003)	P2018	E60994	RA-0256	High
A-191029	Fieldwork	FID Standardization	Risk based	Lead Auditor02(LAudit02)	Audit Controller02 (AudC002)	Grimse, Coin(CG043437)				
A-191029	Planning	Save and Close on Actual Planning Date Entry	Risk based	Grimse, Coin(CG043437)	Choi, Derek(dc39126)	Clarke, Kevin (kc47899)				
A-191029	Reporting	111616	Risk based	Grimse, Coin(CG043437)	Genur, Atian(ag76234)	DeSactis, Paul(pd78259)				
A-191032	Fieldwork	Demo - Audit Name AIBC	Risk based	Lam, Jonathan(jl66223)	Audit Controller02 (AudC002)	Raza, Abbas(RA49267)				
A-191026	Completed	RACF Applocability	Risk based	Lam, Jonathan(jl66223)	Gary, Foma(FG14770)	Khan,Lata(lk21232)				
A-191026	Completed	RACF Applocability	Risk based	Lam, Jonathan(jl66223)	Gary, Foma(FG14770)	Khan,Lata(lk21232)				
A-191032	Fieldwork	Demo - Audit Name AIBC	Risk based	Lam, Jonathan(jl66223)	Audit Controller02 (AudC002)	Raza, Abbas(RA49267)				
A-191037	Planning	Entity Scope Test - RCM Impact	Risk based	Grimse, Coin(CG043437)	Lam, Jonathan(jl66223)	Albert, Ramdi, Liz(la19003)	P2018	E60994	RA-0256	High
A-191037	Planning	Entity Scope Test - RCM Impact	Risk based	Grimse, Coin(CG043437)	Lam, Jonathan(jl66223)	Albert, Ramdi, Liz(la19003)	P2018	E60994	RA-0256	High
A-191037	Planning	Entity Scope Test - RCM Impact	Risk based	Grimse, Coin(CG043437)	Lam, Jonathan(jl66223)	Albert, Ramdi, Liz(la19003)	P2018	E60994	RA-0256	High

