

Search

Reset

Product

Product

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Activity

Regulations

Regulations

Policy

Policy

Entity Name

Entity Name

Entity ID

Entity ID

Entity Status

Entity Status

Assessment Unit

Assessment Unit

Region

Region

Country

Country

LOB

LOB

LE

LE

[illegible]

Entity Universe

Risk Assessment

Annual Plan

Assurance Review

ICRM Entity Universe

Entity Details

ENTITY NAME ENTITY NAME	ENTITY ID ENTITY ID	RISK ASSESSMENT ID RISK ASSESSM...	RISK ASSESSMENT STATUS RISK ASSESSM...	RISK ASSESSMENT VERSION RISK ASSESSM...	
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▶ Assessment Units

▶ Business Details

▶ Regulations Policies

▼ Assessment Components

Entity Description

Comment

Complexity

Complexity

Volume

Volume

Technology & Data

ATechnology & Data

Use of Models

Use of Models

▶ Oversight & Controls



Citigroup Level Assessment

☐ Global

☐ My View



Country Level Assessment

☐ Global

☐ My View



Composite Risk Assessment

☐ Global

☐ My View



My Risk Assessment(s)

+ New RA

Entity Name	Entity ID	Composite Risk Assement(2018)	Composite Risk Assement(2017-2018)	Risk Assement ID	Risk Assement Version	Risk Assement Status	CAM
AIMS UAT	E60994	High	Medium-High	RA-627777	1	Draft	
AIMS UAT	E60994	High	Medium-High	RA-627776	1	Current	
AIMS UAT	E60994	Medium-Low	Low	RA-627776	1	Approved	
AIMS UAT	E60994	Medium-Low	Low	RA-627767	1	Current	
AIMS UAT	E60994	Medium-Low	Low	RA-624577	1	Approved	
AIMS UAT	E60994	Low	Low	RA-625647	1	Current	
AIMS UAT	E60994	Medium-Low	Low	RA-626217	1	Current	
AIMS UAT	E60994	High	Low	RA-627777	1	Draft	
AIMS UAT	E60994	Medium-Low	Low	RA-627777	1	Approved	
AIMS UAT	E60994	High	Medium-Low	RA-627777	1	Draft	
AIMS UAT	E60994	High	Low	RA-627777	1	Draft	
AIMS UAT	E60994	High	Low	RA-627777	1	Draft	
AIMS UAT	E60994	High	Low	RA-627777	1	Draft	
AIMS UAT	E60994	High	Low	RA-627777	1	Draft	
AIMS UAT	E60994	High	Medium-Low	RA-627777	1	Draft	

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RA Dashboard

RA Details

ENTITY NAME

ENTITY NAME

ENTITY ID

ENTITY ID

RISK ASSESSMENT ID

RISK ASSESSM...

RISK ASSESSMENT STATUS

RISK ASSESSM...

RISK ASSESSMENT VERSION

RISK ASSESSM...

General Information

WP Reference #

WP Reference #

ICRM Entity Name

ICRM Entity Name

Entity ID

Entity ID

CA Team

CA Team

Business Segment

Business Segment

Country

Country

Legal Entity

iLegal Entity

Last Reviewed

Senior Associate

Date of Last Review

Date of Last Review

Name of Review

Name of Review

Overall Rating

Overall Rating

Laws, Rules, Regulation

Entity Attributes

CitiGroup Level Assessment

Country Level Assessment

Composite Assessment



Annual Review Planning

Plan Name	Plan ID	Period	Plan Status	Total Plan Hours	Start Date	End Date	Review Count
AIMS UAT Wakethrough	E60994	2018	Active	40,0000	02/01/2018	12/31/2018	344
AIMS UAT Wakethrough	E60854	2017	Completed	02/01/2018	02/01/2017	12/31/2017	580
AIMS UAT Wakethrough	E60994	2016	Completed	40,000	02/01/2016	12/31/2016	344
AIMS UAT Wakethrough	E60854	2016	Completed	30,000	02/01/2016	12/31/2016	485
AIMS UAT Wakethrough	E60994	2015	Completed	40,000	02/01/2015	12/31/2015	344
AIMS UAT Wakethrough	E60854	2014	Completed	35,000	02/01/2014	12/31/2014	450
AIMS UAT Wakethrough	E60994	2013	Completed	40,000	02/01/2013	12/31/2013	344
AIMS UAT Wakethrough	E60854	2012	Completed	45,0000	02/01/2012	12/31/2012	455
AIMS UAT Wakethrough	E60994	2012	Completed	40,000	02/01/2012	12/31/2012	344
AIMS UAT Wakethrough	E60854	2011	Completed	30,0000	02/01/2011	12/31/2011	345
AIMS UAT Wakethrough	E60994	2010	Completed	40,0000	02/01/2010	12/31/2010	544
AIMS UAT Wakethrough	E60854	2009	Completed	35,000	02/01/2009	12/31/2009	655

Annual Review Planning

Entity Name	Entity ID	Risk Assessment ID	Composite Risk	RA Completion Date	Review Due Date	Select
AIMS UAT Wakethrough	E60994	RA-627777	High	12/31/2017	12/31/2018	<input type="checkbox"/>
AIMS UAT Wakethrough	E60994	RA-627777	High	12/31/2017	12/31/2018	<input type="checkbox"/>
AIMS UAT Wakethrough	E60994	RA-627777	High	12/31/2017	12/31/2018	<input type="checkbox"/>
AIMS UAT Wakethrough	E60994	RA-627777	High	12/31/2017	12/31/2018	<input type="checkbox"/>
AIMS UAT Wakethrough	E60994	RA-627777	High	12/31/2017	12/31/2018	<input type="checkbox"/>
AIMS UAT Wakethrough	E60994	RA-627777	High	12/31/2017	12/31/2018	<input type="checkbox"/>
RCF Testing	E60994	RA-627777	High	12/31/2017	12/31/2018	<input type="checkbox"/>
Total CE(L,1)	E60994	RA-627777	High	12/31/2017	12/31/2018	<input type="checkbox"/>
RCF Testing	E60994	RA-627777	High	12/31/2017	12/31/2018	<input type="checkbox"/>
Total CE(L,1)	E60994	RA-627777	High	12/31/2017	12/31/2018	<input type="checkbox"/>
RCF Testing	E60994	RA-627777	High	12/31/2017	12/31/2018	<input type="checkbox"/>
Total CE(L,1)	E60994	RA-627777	High	12/31/2017	12/31/2018	<input type="checkbox"/>
RCF Testing	E60994	RA-627777	High	12/31/2017	12/31/2018	<input type="checkbox"/>
Total CE(L,1)	E60994	RA-627777	High	12/31/2017	12/31/2018	<input type="checkbox"/>
RCF Testing	E60994	RA-627777	High	12/31/2017	12/31/2018	<input type="checkbox"/>
Total CE(L,1)	E60994	RA-627777	High	12/31/2017	12/31/2018	<input type="checkbox"/>
RCF Testing	E60994	RA-627777	High	12/31/2017	12/31/2018	<input type="checkbox"/>
Total CE(L,1)	E60994	RA-627777	High	12/31/2017	12/31/2018	<input type="checkbox"/>
RCF Testing	E60994	RA-627777	High	12/31/2017	12/31/2018	<input type="checkbox"/>
Total CE(L,1)	E60994	RA-627777	High	12/31/2017	12/31/2018	<input type="checkbox"/>
RCF Testing	E60994	RA-627777	High	12/31/2017	12/31/2018	<input type="checkbox"/>
Total CE(L,1)	E60994	RA-627777	High	12/31/2017	12/31/2018	<input type="checkbox"/>
RCF Testing	E60994	RA-627777	High	12/31/2017	12/31/2018	<input type="checkbox"/>
Total CE(L,1)	E60994	RA-627777	High	12/31/2017	12/31/2018	<input type="checkbox"/>
RCF Testing	E60994	RA-627777	High	12/31/2017	12/31/2018	<input type="checkbox"/>



CA Dashboard

Review Status Count

Global My View



Open Issues

Global My View



Review Ageing

Global My View



My Reviews

Review ID	Review Status	Review Name	Review Type	Senior Associate	Manager	Director	Plan ID	Entity ID	RA ID	Composite Risk
A-191071	Planning	RCM Generatio issue caused by entity scoping	Risk based	Grimse, Coin(CG043437)	Lam, Jonathan(jl66223)	Albert, Ramdi, Liz(la19003)	P2018	E60994	RA-0256	High
A-191067	Draft	Audit Entity Scoping Testing	Risk based	Grimse, Coin(CG043437)	Lam, Jonathan(jl66223)	Albert, Ramdi, Liz(la19003)	P2018	E60994	RA-0256	High
A-191042	Planning	Entity scoping Testing	Risk based	Grimse, Coin(CG043437)	Lam, Jonathan(jl66223)	Albert, Ramdi, Liz(la19003)	P2018	E60994	RA-0256	High
A-191037	Planning	Entity Scope Test - RCM Impact	Risk based	Grimse, Coin(CG043437)	Lam, Jonathan(jl66223)	Albert, Ramdi, Liz(la19003)	P2018	E60994	RA-0256	High
A-191029	Fieldwork	FID Standardization	Risk based	Lead Auditor02(LAudit02)	Audit Controller02 (AudC002)	Grimse, Coin(CG043437)				
A-191029	Planning	Save and Close on Actual Planning Date Entry	Risk based	Grimse, Coin(CG043437)	Choi, Derek(dc39126)	Clarke, Kevin(kc47899)				
A-191029	Reporting	111616	Risk based	Grimse, Coin(CG043437)	Genur, Atian(ag76234)	DeSactis, Paul(pd78259)				
A-191032	Fieldwork	Demo - Audit Name AIBC	Risk based	Lam, Jonathan(jl66223)	Audit Controller02 (AudC002)	Raza, Abbas(RA49267)				
A-191026	Completed	RACF Applocability	Risk based	Lam, Jonathan(jl66223)	Gary, Foma(FG14770)	Khan, Lata(lk21232)				
A-191026	Completed	RACF Applocability	Risk based	Lam, Jonathan(jl66223)	Gary, Foma(FG14770)	Khan, Lata(lk21232)				
A-191032	Fieldwork	Demo - Audit Name AIBC	Risk based	Lam, Jonathan(jl66223)	Audit Controller02 (AudC002)	Raza, Abbas(RA49267)				
A-191037	Planning	Entity Scope Test - RCM Impact	Risk based	Grimse, Coin(CG043437)	Lam, Jonathan(jl66223)	Albert, Ramdi, Liz(la19003)	P2018	E60994	RA-0256	High
A-191037	Planning	Entity Scope Test - RCM Impact	Risk based	Grimse, Coin(CG043437)	Lam, Jonathan(jl66223)	Albert, Ramdi, Liz(la19003)	P2018	E60994	RA-0256	High
A-191037	Planning	Entity Scope Test - RCM Impact	Risk based	Grimse, Coin(CG043437)	Lam, Jonathan(jl66223)	Albert, Ramdi, Liz(la19003)	P2018	E60994	RA-0256	High

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ENTITY NAME

ENTITY NAME

ENTITY ID

ENTITY ID

RISK ASSESSMENT ID

RISK ASSESSM...

RISK ASSESSMENT STATUS

RISK ASSESSM...

RISK ASSESSMENT VERSION

RISK ASSESSM...

Review Announcement Memo

Review Planning Document

Review Work Program

Design Effectiveness Assesment

Process Flow and Narrative

Save

Submit

Export

Discard

Expand

Assessment Entity Responsible Executive

Assessment Entity Responsible Executive

Insert Review

Insert Review

Assessment Review Name

Assessment Review Name

Review Manager

insert name of Review Manager

Address 1

Address 1

City

City

Email

Email

Insert Date

11/17/2017

Planning

Planning start date and End Date

Compliance Assurance Team

Compliance Assurance Team

Officer Title

Senior Associate

Address 2

Address 2

State

State

Phone

Phone work/home/mobile

► BUSINESS DESCRIPTION

► REGULATORY MANDATED REVIEW

► REGULATORY MANDATED REVIEW

► APPLICABLE POLICIES

► SYSTEMS OVERVIEW

► MANAGER’S CONTROL ASSESSMENT (MCA) OVERVIEW

► OVERVIEW OF INTERNAL AUDIT (IA) COVERAGE

► OVERVIEW OF REGULATORY COVERAGE (INCLUDING ANY ISSUES)

► MONITORING AND SURVEILLANCE ISSUES