

GEOGRAPHY ▾  
Total Citi [L1]SEGMENT ▾  
North AmericaLEGAL ENTITY ▾  
-STATUS ▾  
Active

DASHBOARD

REQUIREMENTS

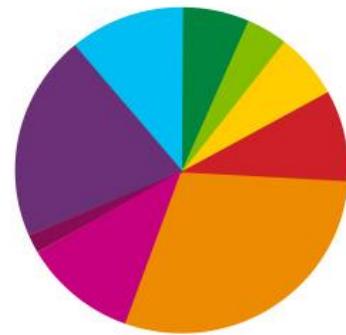
RULES

CASE

## Rules

By CRT - Anchoring

By Function



- Human Resources
- Risk
- Internal Audit
- Finance
- GO & T
- CSIS
- Null
- Compliance
- Legal

Functions	Requirements Count
Human Resources	990
Risk	20
Internal Audit	2381
Finance	1387
GO & T	612
CSIS	524
Null	824
Compliance	701
Legal	3897
<b>Total</b>	<b>11336</b>

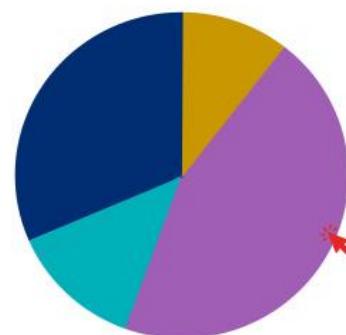
## Case

By Phase

By RCC Units

By Status

By Compliance Date



- Draft
- Submitted
- Past Due
- Closed

Status	Case Count
Draft	52
Submitted	121
Past Due	21
Closed	88
<b>Total</b>	<b>282</b>

GEOGRAPHY ▾ SEGMENT ▾ LEGAL ENTITY ▾ STATUS ▾  
 Total Citi [L1] North America - Active

DASHBOARD REQUIREMENTS RULES CASE

## Case Dashboard

+ Create Case

Work Queue

Search by ID or Name

Advanced

Phase ▾	Regulatory Change Name ▾	ID ▾	Case ID ▾	Due in days ▾	Due Date	Status
Filter	Filter	Filter	Filter	Filter	Select	Open
Identification form	Final Regulatory Change	<a href="#">18</a>	<a href="#">6</a>	-5	22/10/2017	Open
Impact Assessment	Regulatory Change	<a href="#">21</a>	<a href="#">14</a>	5	26/10/2017	Closed
Action Plan	New Regulatory Change	<a href="#">31</a>	<a href="#">8</a>	10	01/11/2017	Closed
Milestone	Test Regulatory Change	<a href="#">6</a>	<a href="#">3</a>	-5	15/11/2017	Open
Identification form	Final Regulatory Change	<a href="#">12</a>	<a href="#">22</a>	2	30/11/2017	Open
Impact Assessment	Regulatory Change	<a href="#">43</a>	<a href="#">14</a>	30	22/10/2017	Open
Action Plan	New Regulatory Change	<a href="#">21</a>	<a href="#">66</a>	-5	26/10/2017	Open

Viewing 24 of 7824 Records. Page 1 of 89

## Action Plan Linkage Approval

Action Plan ID	Impact Assessment ID	Requested By	Status ▾	Action	Rationale For Decline
Filter	Filter	Filter	Filter		
<a href="#">18</a>	<a href="#">9</a>	Medida, Meher (mm51482)	Requested	Approve Decline	
<a href="#">21</a>	<a href="#">25</a>	Kashyap Bhatt (KB 65278)	Requested	Approve Decline	
<a href="#">31</a>	<a href="#">48</a>	Grandhi Girish (GG85241)	Requested	Approve Decline	
<a href="#">6</a>	<a href="#">62</a>	Medida, Meher (mm51482)	Approved	Approve Decline	
<a href="#">12</a>	<a href="#">11</a>	Kashyap Bhatt (KB 65278)	Approved	Approve Decline	
<a href="#">43</a>	<a href="#">54</a>	Grandhi Girish (GG85241)	Requested	Approve Decline	Dummy Text Testing
<a href="#">21</a>	<a href="#">9</a>	Medida, Meher (mm51482)	Requested	Approve Decline	

Viewing 24 of 7824 Records. Page 1 of 89

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DASHBOARD

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Case Dashboard

Case ID 6



+ Create Case

## Identification Form

View Audit Trial &gt;

Requirement Type

Regulatory Change

Regulatory Change Case Sub-Type

Proposed Regulatory Change/Legislation

Primary RCC

Medida, Meheer (MM51482)

Regulation Publication Date \*

11/10/2017



RCC Unit \*

APAC

Country \*

USA

Global Function \*

Compliance

Compliance Date \*

13/10/2017



Regulatory Authority(ies) \*

Consumer Financial Protection Bureau

Federal Trade Commission

Effective Immediately:



Regulatory Change Name \*

Testing Purpose

Status

Draft

Status Comment

Testing Purpose

► Regulatory Text \*

► iCAPS Owner

► Compliance Risk Taxonomy \*

► Regulatory Change Details \*

► Identification

Submit

Save

GEOGRAPHY ▾ SEGMENT ▾ LEGAL ENTITY ▾ STATUS ▾  
 Total Citi [L1] North America - Active

DASHBOARD REQUIREMENTS RULES CASE

## Case Dashboard

+ Create Case



Work Queue

 Search by ID or Name

Advanced

Phase ▾	Regulatory Change Name ▾	ID ▾	Case ID ▾	Due in days ▾	Due Date	Status
Filter	Filter	Filter	Filter	Filter	Select	Open
Identification form	Final Regulatory Change	<a href="#">18</a>	<a href="#">6</a>	-5	22/10/2017	Open
Impact Assessment	Regulatory Change	<a href="#">21</a>	<a href="#">14</a>	5	26/10/2017	Closed
Action Plan	New Regulatory Change	<a href="#">31</a>	<a href="#">8</a>	10	01/11/2017	Closed
Milestone	Test Regulatory Change	<a href="#">6</a>	<a href="#">3</a>	-5	15/11/2017	Open
Identification form	Final Regulatory Change	<a href="#">12</a>	<a href="#">22</a>	2	30/11/2017	Open
Impact Assessment	Regulatory Change	<a href="#">43</a>	<a href="#">14</a>	30	22/10/2017	Open
Action Plan	New Regulatory Change	<a href="#">21</a>	<a href="#">66</a>	-5	26/10/2017	Open

Viewing 24 of 7824 Records.

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## Action Plan Linkage Approval

Action Plan ID	Impact Assessment ID	Requested By	Status	Action	Rationale For Decline
Filter	Filter	Filter	Filter		
<a href="#">18</a>	<a href="#">9</a>	Medida, Meher (mm51482)	Requested	Approve  Decline	
<a href="#">21</a>	<a href="#">25</a>	Kashyap Bhatt (KB 65278)	Requested	Approve  Decline	
<a href="#">31</a>	<a href="#">48</a>	Grandhi Girish (GG85241)	Requested	Approve  Decline	
<a href="#">6</a>	<a href="#">62</a>	Medida, Meher (mm51482)	Approved	Approve  Decline	
<a href="#">12</a>	<a href="#">11</a>	Kashyap Bhatt (KB 65278)	Approved	Approve  Decline	
<a href="#">43</a>	<a href="#">54</a>	Grandhi Girish (GG85241)	Requested	Approve  Decline	Dummy Text Testing
<a href="#">21</a>	<a href="#">9</a>	Medida, Meher (mm51482)	Requested	Approve  Decline	

Viewing 24 of 7824 Records.

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DASHBOARD

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## Identification Form

[View Audit Trail >>](#)

Requirement Type

Regulatory Change

Regulatory Change Case Sub-Type

Proposed Regulatory Change/Legislation

Primary RCC

Medida, Meheer (MM51482)

Regulation Publication Date \*

11/10/2017



RCC Unit \*

APAC

Country \*

USA

Global Function \*

Compliance

Compliance Date \*

13/10/2017



Regulatory Authority(ies) \*

Consumer Financial Protection Bureau

Federal Trade Commission

Effective Immediately:



Regulatory Change Name \*

Testing Purpose

Status

Draft

Status Comment

Testing Purpose

► Regulatory Text \*

► iCAPS Information

► Compliance Risk Taxonomy \*

► Regulatory Change Details \*

► Identification

Submit

Save

GEOGRAPHY   
Total Citi [L1]SEGMENT   
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DASHBOARD

REQUIREMENTS

RULES

CASE

Case Dashboard

Create Case

## Identification Form

[View Audit Trial >>](#) **Regulaotry Text \***

Attach URL

Placeholder

Attach Documents

File Name (Max upto 2 MB file can be uploaded)

Regulatory Change Summary English (4000 characters Remaining)

Regulatory Change Summary Local (4000 characters Remaining)

Dummy Text

Dummy Text

## iCAPS Information

## Compliance Risk Taxonomy \*

## Regulatory Change Details \*

## Identification

GEOGRAPHY ▾  
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DASHBOARD

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**CASE**

Case Dashboard

Create Case X

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**Identification Form**

## ▼ Regulaotry Text \*

Attach URL

Placeholder

## Attach Documents

File Name (Max upto 2 MB file can be uploaded)

Browse

Upload

[text1.xls](#) 80 KB [test2.xls](#) 135 KB [adjustment module.pptx](#) 1.5 MB 

Regulatory Change Summary English (4000 characters Remaining)

Dummy Text

Regulatory Change Summary Local (4000 characters Remaining)

Dummy Text

## ► ICAPS Information

## ► Compliance Risk Taxonomy \*

## ► Regulatory Change Details \*

## ► Identification

Submit

Save

GEOGRAPHY ▾

Total Citi [L1]

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[View Audit Trial >>](#)**Identification Form**

## ▼ Regulaotry Text \*

Attach URL

Placeholder

Attach Documents

File Name (Max upto 2 MB file can be uploaded)

Browse

Upload

Regulatory Change Summary English (4000 characters Remaining)

Dummy Text

Regulatory Change Summary Local (4000 characters Remaining)

Dummy Text

## ► iCAPS Information



## ► Compliance Risk Taxonomy \*

## ► Regulatory Change Details \*

## ► Identification

Submit

Save

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DASHBOARD

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Case Dashboard

Create Case

## Identification Form

[View Audit Trial >>](#)

## ► Regulaotry Text \*

## ▼ iCAPS Information

Impact Assessment ID	Action Plan ID	iCAPS ID	iCAPS Owner	Issue Description	Severity Level
12	52	82	Naga Harshan (nn62528)	Naga Harshan (nn62528)	Level - 2
85	87	12	Medida, Meher (MM51482)	Medida, Meher (MM51482)	Level - 2
36	12	54	Jayaprakash (gj62527)	Jayaprakash (gj62527)	Level - 2

Viewing 3 of 3 Records.

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## ► Compliance Risk Taxonomy \*



## ► Regulatory Change Details \*

## ► Identification

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► Regulaotry Text \*

► iCAPS Information

▼ Compliance Risk Taxonomy \*

Search CRT - Anchoring

Compliance Risk Taxonomy Theme	Compliance Risk Taxonomy Category	Action

Search CRT - Other

Compliance Risk Taxonomy Theme	Compliance Risk Taxonomy Category	Action

► Regulatory Change Details \*

► Identification

[Submit](#)[Save](#)

GEOGRAPHY ▾ SEGMENT ▾ LEGAL ENTITY ▾ STATUS ▾  
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DASHBOARD REQUIREMENTS RULES CASE

### Compliance Risk Taxonomy - Anchoring

- ▼ Anti-Bribery and Corruption (AB&C)
  - Provision to Commercial Persons
  - Provision to Government Officials
  - Receipt of Anything of Value
- ▼ Anti-Money Laundering (AML)
  - AML Account Restrictions and Closures
  - AML Detection
  - AML Prevention
  - AML Reporting
- Customer-Related Conduct
- Employee-Related Activities
- Employment and Labor
- Market Conduct and Integrity
- Other Business Risks
- Privacy and Information Management
- Prudential
- Sanctions

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Cancel

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## Identification Form

► Regulaotry Text \*

► iCAPS Information

▼ Compliance Risk Taxonomy \*

Search CRT - Anchoring

Compliance Risk Taxonomy Theme	Compliance Risk Taxonomy Category	Action
Anti - Money Laundering (AML)	AML Account Restrictions and Closures	

Search CRT - Other

Compliance Risk Taxonomy Theme	Compliance Risk Taxonomy Category	Action

► Regulatory Change Details \*

► Identification

Submit

Save

GEOGRAPHY ▾ SEGMENT ▾ LEGAL ENTITY ▾ STATUS ▾  
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DASHBOARD REQUIREMENTS RULES CASE

### Compliance Risk Taxonomy - Other



- ▼  Customer-Related Conduct
  - Customer Activities / Practices
  - Customer Complaints
  - Customer Fairness
  - Deposit Terms
- ▼  Anti - Money Laundering (AML)
  - AML Account Restrictions and Closures
  - AML Detection
  - AML Prevention
  - AML Reporting
- ▶  Employment Labor
- ▶  Employment - Related Activities
- ▶  Market Conduct and Integrity
- ▶  Other Business Risks
- ▶  Privacy and Information Management
- ▶  Prudential
- ▶  Sanctions

Cancel

Save

View Audit Trial »

Case Dashboard

Create Case

### Identification Form

► Regulaotry Text \*

► iCAPS Information

▼ Compliance Risk Taxonomy \*

🔍 Search CRT - Anchoring

Compliance Risk Taxonomy Theme

Anti - Money Laundering (AML)

🔍 Search CRT - Other

Compliance Risk Taxonomy Theme

► Regulatory Change Details \*

► Identification

Submit

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Action



Action

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## Identification Form

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## ▼ Compliance Risk Taxonomy \*

[Q Search CRT - Anchoring](#)

Compliance Risk Taxonomy Theme	Compliance Risk Taxonomy Category	Action
Anti - Money Laundering (AML)	AML Account Restrictions and Closures	

[Q Search CRT - Other](#)

	Compliance Risk Taxonomy Theme	Compliance Risk Taxonomy Category	Action
	Anti - Money Laundering (AML)	AML Account Restrictions and Closures	
		AML Detection	
		AML Prevention	
		AML Reporting	
	Customer-Related Conduct	Customer Activities/Practices	
		Customer Complaints	
		Customer Fairness	
		Deposit Terms	

## ► Regulatory Change Details \*

## ► Identification

[Submit](#)[Save](#)

GEOGRAPHY ▾  
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DASHBOARD REQUIREMENTS RULES CASE

Case Dashboard

Create Case X

[View Audit Trial >>](#)**Identification Form**

## ► Compliance Risk Taxonomy \*

## ▼ Regulatory Change Details \*

Search Regulatory Change

Inventory Type ▾	ID ▾	Citation	ETR ▾	Action

## ► Identification

Submit Save

GEOGRAPHY ▾  
Total Citi [L1]SEGMENT ▾  
North AmericaLEGAL ENTITY ▾  
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DASHBOARD REQUIREMENTS RULES CASE

Regulatory Change Details Lookup XSearch By  Requirement ID  Rule ID  Citation 523698

Search

## Select Regulatory Change Details

Inventory Type ▾	ID ▾	Citation	ETR ▾	Action

Viewing 0 of 0 Records.

Page 0 of 0

Cancel

GEOGRAPHY ▾  
Total Citi [L1] SEGMENT ▾  
North America LEGAL ENTITY ▾  
- STATUS ▾  
Active

DASHBOARD REQUIREMENTS RULES CASE

### Regulatory Change Details Lookup



Search By  Requirement ID  Rule ID  Citation

 523698

Search

#### Select Regulatory Change Details

Inventory Type ▾	ID ▾	Citation	ETR ▾	Action
Requirement	523698	Bank of Israel Directive 441 - Retrieval of data by customers	523698	<a href="#">Add</a> 

Viewing 1 of 1 Records.

Page: 1 of 1

[Cancel](#) [Save](#)

[Submit](#) [Save](#)

GEOGRAPHY ▾  
Total Citi [L1] SEGMENT ▾  
North America LEGAL ENTITY ▾  
Status ▾  
Active

DASHBOARD REQUIREMENTS RULES CASE

### Regulatory Change Details Lookup



Search By  Requirement ID  Rule ID  Citation

Search

Search

#### Select Regulatory Change Details

Inventory Type ▾	ID ▾	Citation	ETR ▾	Action

Viewing 0 of 0 Records.

Page: 0 of 0

#### Selected Regulatory Change Details

Inventory Type ▾	ID ▾	Citation	ETR ▾	Action
Requirement	523698	Bank of Israel Directive 441 - Retrieval of data by customers	No	<a href="#">Remove</a>

Viewing 1 of 1 Records.

Page: 1 of 1

Cancel

Save



GEOGRAPHY ▾  
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DASHBOARD REQUIREMENTS RULES CASE

Case Dashboard

Create Case X

## Identification Form

[View Audit Trial >>](#)

## ► Compliance Risk Taxonomy \*

## ▼ Regulatory Change Details \*

[Q Search Regulatory Change](#)

Inventory Type ▾	ID ▾	Citation	ETR ▾	Action
Requirement	123577	Bank of Israel Directive 441 - Retrieval of data by customers	No	
Rule	123579	Interest law, 5717 - 1957 and Interest Directive 5730 - 1970	No	
Requirement	123578	Bank of Israel Directive 454 - early repayment fee on a non housing loan	No	
Rule	123569	Bank of Israel Directive 432 - Closing a customer's account	No	
Requirement	123570	Bank of Israel Directive 435 - Telephone instructions	No	

Viewing 5 of 8 Records.

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DASHBOARD

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Case Dashboard

Create Case X

**Identification Form**[View Audit Trail >>](#)

## ▼ Identification

Does the new or amended law/regulation govern the authorization of a legal entity to book assets or liabilities or dictate the type, quality, or quantity of instruments that may be booked on the legal entity?

Unsure

Not Applicable / Non-Substantive 

**Jurisdictional Scope**

From the two choices below, Select the one that represents the jurisdictional scope of the Regulatory Change and iTRAC will generate a list of potentially impacted RCC(s). If the Regulatory Change deals with a Global Extra-Territorial Regulation the selection will be unavailable and the list of Potentially Impacted RCC(s) will be prepopulated.:

- U.S. only: Regulatory Change is limited to the U.S.
- Non-U.S.: Regulatory Change is limited to non-U.S. region

**Impacted RCC(s)**

RCC Unit ▾	RCC Name(s) ▾	Applicable ▾	Rationale If Not Applicable ▾	Impact Assessment
U.S. Institutional Client Group	Ballance, Kathy (KB57597)	Select ▾		Launch
U.S. Institutional Client Group	Jayaprakas (jp62527)	Select ▾		Launch

Viewing 2 of 2 Records.

Page 1 of 1 

**Impact Assessment(s)**

Impact Assessment ID ▾	Impacted RCC Unit ▾	Assessment Unit Lead ▾	Status ▾	View Assessment Units ▾
852147BZ445	Testing Purpose Dummy Text	Bhatt Kashyap (BK62585)	Past Due	Testing Purpose
2359794AVD6	Testing Purpose Dummy Text	Nagaharshan (nn62528)	Draft	Testing Purpose

Viewing 2 of 2 Records.

Page 1 of 1 

**Additional Recipients**

 Medida Meher X Nagaharshan X

**Custom Message**

Testing Purpose

GEOGRAPHY ▾  
Total Citi [L1]SEGMENT ▾  
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-STATUS ▾  
Active

DASHBOARD REQUIREMENTS RULES CASE

Case Dashboard

Create Case X

## Identification Form

[View Audit Trial >>](#)

## ▼ Identification

Does the new or amended law/regulation govern the authorization of a legal entity to book assets or liabilities or dictate the type, quality, or quantity of instruments that may be booked on the legal entity?

Not Applicable / Non-Substantive 

## Non-Substantive Reason Category

Rules that are inherently non-substantive (in the context of a regulatory authority's own output)



Unsure

Submit

Save

GEOGRAPHY ▾  
Total Citi [L1]SEGMENT ▾  
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DASHBOARD

REQUIREMENTS

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Case Dashboard

Create Case X

[View Audit Trial >>](#)**Identification Form**

## ▼ Identification

Does the new or amended law/regulation govern the authorization of a legal entity to book assets or liabilities or dictate the type, quality, or quantity of instruments that may be booked on the legal entity?

Not Applicable / Non-Substantive **Non-Substantive Reason Category**

Others

Rules that don't matter to Citi's relevant regulated legal entity

Rules that don't matter to Citi's relevant regulated business lines

Rules that are inherently non-substantive (in the context of a regulatory authority's own output)

Others **Save**

Unsure

GEOGRAPHY ▾  
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DASHBOARD

REQUIREMENTS

RULES

**CASE**

Case Dashboard

Create Case X

**Identification Form**[View Audit Trial >>](#)

## ▼ Identification

Does the new or amended law/regulation govern the authorization of a legal entity to book assets or liabilities or dictate the type, quality, or quantity of instruments that may be booked on the legal entity?

Unsure

Not Applicable / Non-Substantive **Non-Substantive Reason Category**

Others

**Non-Substantive Reason Description** (1000 characters Remaining)

Testing Purpose

Submit

Save

GEOGRAPHY ▾ SEGMENT ▾ LEGAL ENTITY ▾ STATUS ▾  
 Total Citi [L1] North America - Active

DASHBOARD REQUIREMENTS RULES CASE

### Audit Trial For Identification Form



Export to Excel

Id	Filed Name ▾	Created By ▾	Created Date ▾	Modified By ▾	Modified Date ▾	Old Value ▾	New Value ▾
	Filter	Filter		Filter		Filter	Filter
Status Comments	Naresh Selvam (nc85214)	15/11/2017	Naresh Selvam (nc85214)	15/11/2017	Testing Purpose	Status Updated	
Case Status	Deepak Dalyan (dd74196)	22/11/2017	Deepak Dalyan (dd74196)	22/11/2017	Risk Testing	Autoupdate staus to Past due	
Comments	Suffal Mohammed (sm87412)	28/11/2017	Suffal Mohammed (sm87412)	28/11/2017	Dummy Text Testing	Overdue	
Case Status	Prakash Mohan (pm36974)	15/11/2017	Prakash Mohan (pm36974)	15/11/2017	Testing Purpose	Status Updated	
Status Comments	Naresh Selvam (nc85214)	22/11/2017	Naresh Selvam (nc85214)	22/11/2017	Risk Testing	Status Updated	
Status Comments	Deepak Dalyan (dd74196)	28/11/2017	Deepak Dalyan (dd74196)	28/11/2017	Dummy Text Testing	Autoupdate staus to Past due	
Case Status	Suffal Mohammed (sm87412)	15/11/2017	Suffal Mohammed (sm87412)	15/11/2017	Testing Purpose	Overdue	
Comments	Prakash Mohan (pm36974)	22/11/2017	Prakash Mohan (pm36974)	22/11/2017	Risk Testing	Status Updated	

Viewing 8 of 24 Records.

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Ok

GEOGRAPHY ▾

Total Citi [L1]

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DASHBOARD

REQUIREMENTS

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Create Case X

[View Audit Trial >>](#)**Identification Form**

## ▼ Identification

Does the new or amended law/regulation govern the authorization of a legal entity to book assets or liabilities or dictate the type, quality, or quantity of instruments that may be booked on the legal entity?

Unsure

Not Applicable / Non-Substantive **Non-Substantive Reason Category**

Others

**Non-Substantive Reason Description (1000 characters Remaining)**

Testing Purpose

 Submit Save

GEOGRAPHY ▾  
Total Citi [L1]SEGMENT ▾  
North AmericaLEGAL ENTITY ▾  
-STATUS ▾  
Active

DASHBOARD REQUIREMENTS RULES CASE

Case Dashboard

Case ID: 22 X

**Identification Form**[View Audit Trial >>](#)

## ▼ Identification

Does the new or amended law/regulation govern the authorization of a legal entity to book assets or liabilities or dictate the type, quality, or quantity of instruments that may be booked on the legal entity?

Not Applicable / Non-Substantive **Jurisdictional Scope**

From the two choices below, Select the one that represents the jurisdictional scope of the Regulatory Change and iTRAC will generate a list of potentially impacted RCC(s). If the Regulatory Change deals with a Global Extra-Territorial Regulation the selection will be unavailable and the list of Potentially Impacted RCC(s) will be prepopulated.:

 U.S. only: Regulatory Change is limited to the U.S. Non-U.S.: Regulatory Change is limited to non-U.S. region**Impacted RCC(s)**

RCC Unit ▾	RCC Name(s) ▾	Applicable ▾	Rationale If Not Applicable ▾	Impact Assessment
U.S. Institutional Client Group	Ballance, Kathy (KB57597)	Yes		<a href="#">Launch</a> 
U.S. Institutional Client Group	Jayaprakas (jp62527)	No	Dummy text	

Viewing 2 of 2 Records.

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**Impact Assessment(s)**

Impact Assessment ID ▾	Impacted RCC Unit ▾	Assessment Unit Lead ▾	Status ▾	View Assessment Units ▾
852147BZ445	Testing Purpose Dummy Text	Bhatt Kashyap (BK62585)	Past Due	Testing Purpose
2359794AVD6	Testing Purpose Dummy Text	Nagaharshan (nn62528)	Draft	Testing Purpose

Viewing 2 of 2 Records.

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**Additional Recipients**

Medida Meher X Nagaharshan X

**Custom Message**

Testing Purpose

GEOGRAPHY  
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DASHBOARD

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Case Dashboard

Case ID: 22

Case ID: 22 Impact Assessment

## Impact Assessment

View Audit Trial &gt;

Regulatory Change Name \*

Home Loan Regulatory Change

Assessment Units \*



AUL \*

Bhatt Kashyap (bp62528)

Additional Recipients

Medida Meher (MM51482) × Bhatt Kashyap (BP62528) ×

Message (Optional)

Dummy Text

AUL Designees

Medida Meher (MM51482), Bhatt Kashyap (BP62528)

Compliance Date \*

19/05/2018

Impact Assessment Due Date \*

Impact Assessment Status \*

Impact Assessment Status Comments \*

Entire Regulatory Change Not Applicable/  
Non-Substantive:

Business / Function Viewers

► iCAPS Information

► Impact Assessment Questions

► Regulatory Change Details \*

► Impact Assessment Review \*

► Action Plan

Business / Function Completer \*

Reassigned Completer

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DASHBOARD REQUIREMENTS RULES CASE

### Select Assessment Unit(s)



Region

APAC × EMEA ×

Sector

GCB ×

Country

AD × AE × AF ×

Business

Banamex USA ×

Sub Business

Core Banking ×

Search

### Select Assessment Unit(s)

Region	Sector	Country	Business	Sub Business	Assessment Unit	Action

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North America      LEGAL ENTITY ▾  
-      STATUS ▾  
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DASHBOARD REQUIREMENTS RULES CASE

### Select Assessment Unit(s)

Region

APAC × EMEA ×

Sector

GCB ×

Country

AD × AE × AF ×

Business

Banamex USA ×

Sub Business

Core Banking ×

Search

### Select Assessment Unit(s)

Region	Sector	Country	Business	Sub Business	Assessment Unit	Action
APAC	GCB	AD	Banamex USA	Core Banking	Dummy Text 1	<button>Add</button>
EMEA	GCB	AD	Banamex USA	Core Banking	Dummy Text 2	<button>Add</button>
APAC	GCB	AD	Banamex USA	Core Banking	Dummy Text 3	<button>Add</button>
APAC	GCB	AE	Banamex USA	Core Banking	Dummy Text 4	<button>Add</button>
EMEA	GCB	AF	Banamex USA	Core Banking	Dummy Text 5	<button>Add</button>

Viewing 5 of 5 Records.

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DASHBOARD REQUIREMENTS RULES CASE

### Select Assessment Unit(s)



Region

Select

Sector

Select

Country

Select

Business

Select

Sub Business

Select

Search

### Select Assessment Unit(s)

Region	Sector	Country	Business	Sub Business	Assessment Unit	Action
APAC	GCB	AD	Banamex USA	Core Banking	Dummy Text 1	<button>Add</button>
EMEA	GCB	AD	Banamex USA	Core Banking	Dummy Text 2	<button>Add</button>
APAC	GCB	AD	Banamex USA	Core Banking	Dummy Text 3	<button>Add</button>

Viewing 3 of 3 Records.

Page 1 of 1

### Selected Assessment Unit(s)

Region	Sector	Country	Business	Sub Business	Assessment Unit	Action
APAC	GCB	AD	Banamex USA	Core Banking	Dummy Text 1	<button>Remove</button>
EMEA	GCB	AD	Banamex USA	Core Banking	Dummy Text 2	<button>Remove</button>

Viewing 5 of 5 Records.

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Cancel

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Total Citi [L1] North America - Active

DASHBOARD REQUIREMENTS RULES CASE

Case Dashboard

Case ID: 22

Case ID: 22

Impact Assessment

## Impact Assessment

View Audit Trial &gt;

Regulatory Change Name \*

Home Loan Regulatory Change

Assessment Units \*

Dummy Text 1 × Dummy Text 2 ×

AUL \*

Select 

Additional Recipients

Medida Meher (MM51482) × Bhatt Kashyap (BP62528) ×

Message (Optional)

Dummy Text

AUL Designees

Medida Meher (MM51482), Bhatt Kashyap (BP62528)

Compliance Date \*

19/05/2018

Impact Assessment Due Date \*

Impact Assessment Status \*

Impact Assessment Status Comments \*

Entire Regulatory Change Not Applicable/  
Non-Substantive:

Business / Function Completer \*

Reassigned Completer

Business / Function Viewers

► iCAPS Information

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► Regulatory Change Details \*

► Impact Assessment Review \*

► Action Plan

GEOGRAPHY ▾  
Total Citi [L1]

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Active

DASHBOARD REQUIREMENTS RULES CASE

### Select Users



Search SOEID / First Name Last Name

 Bhatt Kashyap

Search

#### Select Users

SOEID ▾	Name ▾	Email ▾	Action

Viewing 0 of 0 Records.

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Cancel

Impact Assessment Status \*

Entire Regulatory Change Not Applicable/  
Non-Substantive:

Business / Function Viewers

Impact Assessment Status Comments \*

Business / Function Completer \*

► iCAPS Information

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► Regulatory Change Details \*

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Reassigned Completer

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DASHBOARD REQUIREMENTS RULES CASE

### Select Users



Search SOEID / First Name Last Name

 Bhatt Kashyap

Search

#### Select Users

SOEID ▾	Name ▾	Email ▾	Action
BK62528	Bhatt Kashyap	kb51850@imcnam.ssmb.com	<button>Add</button>

Viewing 1 of 1 Records.

Page: 1 of 1

Cancel

Save

Impact Assessment Status \*

Impact Assessment Status Comments \*

Entire Regulatory Change Not Applicable/  
Non-Substantive:

Business / Function Viewers

► iCAPS Information

► Impact Assessment Questions

► Regulatory Change Details \*

► Impact Assessment Review \*

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Business / Function Completer \*

Business / Function Completer \*

Reassigned Completer

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DASHBOARD REQUIREMENTS RULES CASE

### Select Users



Search SOEID / First Name Last Name

Search

Search

#### Select Users

SOEID ▲	Name ▲	Email ▲	Action

Viewing 0 of 0 Records.

Page 0 of 0

#### Selected Users

SOEID ▲	Name ▲	Email ▲	Action
BK62528	Bhatt Kashyap	kb51850@imcnam.ssmb.com	Remove

Viewing 1 of 1 Records.

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Cancel

Save



► iCAPS Information

► Impact Assessment Questions

► Regulatory Change Details \*

► Impact Assessment Review \*

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DASHBOARD REQUIREMENTS RULES CASE

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Case ID: 22

Case ID: 22 Impact Assessment

## Impact Assessment

View Audit Trial &gt;

Regulatory Change Name \*

Home Loan Regulatory Change

Assessment Units \*

Dummy Text 1 × Dummy Text 2 ×

AUL \*

Bhatt Kashyap (BK62528)

Additional Recipients

Medida Meher (MM51482) × Bhatt Kashyap (BP62528) ×

Message (Optional)

Dummy Text

AUL Designees

Medida Meher (MM51482), Bhatt Kashyap (BP62528)

Compliance Date \*

19/05/2018

Impact Assessment Due Date \*

Impact Assessment Status \*

Impact Assessment Status Comments \*

Entire Regulatory Change Not Applicable/  
Non-Substantive:

Business / Function Viewers

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► Impact Assessment Questions

► Regulatory Change Details \*

► Impact Assessment Review \*

► Action Plan



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Case Dashboard

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Case ID: 22 Impact Assessment

## Impact Assessment

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Impact Assessment Status \*

Impact Assessment Status Comments \*

Entire Regulatory Change Not Applicable/  
Non-Substantive:

Business / Function Completer \*

Reassigned Completer

Business / Function Viewers

► iCAPS Information

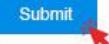
► Impact Assessment Questions

► Regulatory Change Details \*

► Impact Assessment Review \*

► Action Plan

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CASE

Case Dashboard

Case ID: 22

Case ID: 22 Impact Assessment ID: 12

## Impact Assessment

[View Audit Trial >](#)

Regulatory Change Name \*

Home Loan Regulatory Change

Assessment Units \*

AD:Capital Markets Origination Dummy Text

AUL \*

Bhatt Kashyap (bp62528)

AUL Designees

Medida Meher (MM51482), Bhatt Kashyap (BP62528)

Compliance Date \*

19/05/2018

Impact Assessment Due Date \*

Impact Assessment Status \*

In Progress

Impact Assessment Status Comments \*

Dummy text

Entire Regulatory Change Not Applicable/  
Non-Substantive:Entire Regulatory Change Not Applicable/  
Non-Substantive Rationale:

Select

Business / Function Completer \*

Medida Meher (MM51482) Bhatt Kashyap (BP62528)

Reassigned Completer

Bhatt Kashyap (bp62528)

Business / Function Viewers

Medida Meher (MM51482) Bhatt Kashyap (BP62528)

► iCAPS Information

► Impact Assessment Questions

► Regulatory Change Details \*

► Impact Assessment Review \*

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Case ID: 22 Impact Assessment ID: 12

## Impact Assessment

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Regulatory Change Name \*

Home Loan Regulatory Change

Assessment Units \*

AD:Capital Markets Origination Dummy Text

AUL \*

Bhatt Kashyap (bp62528)

AUL Designees

Medida Meher (MM51482), Bhatt Kashyap (BP62528)

Compliance Date \*

19/05/2018

Impact Assessment Due Date \*

Impact Assessment Status \*

In Progress

Impact Assessment Status Comments \*

Dummy text

Entire Regulatory Change Not Applicable/  
Non-Substantive:Entire Regulatory Change Not Applicable/  
Non-Substantive Rationale:

Others

Rationale Description

Dummy Text Testing Purpose

Business / Function Completer \*

Medida Meher (MM51482) Bhatt Kashyap (BP62528)

Reassigned Completer

Bhatt Kashyap (bp62528)

Business / Function Viewers

Medida Meher (MM51482) Bhatt Kashyap (BP62528)

► iCAPS Information

► Impact Assessment Questions

► Regulatory Change Details \*

► Impact Assessment Review \*

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Case ID: 22

Impact Assessment ID: 12

## Impact Assessment

View Audit Trial &gt;

## Business / Function Viewers

 Bhatt Kashyap (BP62528)  Grandhi Girish (GG85147) 

## ▼ ICAPS Information

Impact Assessment ID	Action Plan ID	iCAPS ID	iCAPS Owner	Issue Description	Severity Level
12	52	82	Naga Harshan (nn62528)	Naga Harshan (nn62528)	Level - 2
85	87	12	Medida, Meher (MM51482)	Medida, Meher (MM51482)	Level - 2
36	12	54	Jayaprakash (gj62527)	Jayaprakash (gj62527)	Level - 2

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▶ Regulatory Change Details \*

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Case ID: 22 Impact Assessment ID: 12

## Impact Assessment

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## ▼ Impact Assessment Questions

Q Design of Product or Service Offerings: Do any aspects of existing products or services need to be updated to meet the regulatory requirement(s)? No

Q Disclosure & Other Consumer Impacts: Do terms and conditions, regulatory notices, statements or other customer disclosures need to be updated? Do fairness considerations suggest a need for other communication? Will any of the actions planned to implement the changes have a potential to impact consumers? No

Q Design of Product or Service Offerings: Do any aspects of existing products or services need to be updated to meet the regulatory requirement(s)? No

Q Design of Product or Service Offerings: Do any aspects of existing products or services need to be updated to meet the regulatory requirement(s)? No

Q Design of Product or Service Offerings: Do any aspects of existing products or services need to be updated to meet the regulatory requirement(s)? No

## Additional Considerations (500 characters Remaining)

Dummy Text

► Regulatory Change Details \*

► Impact Assessment Review \*

► Action Plan

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Case Dashboard

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Case ID: 22 Impact Assessment ID: 12

## Impact Assessment

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## ▼ Impact Assessment Questions

- Q Design of Product or Service Offerings: Do any aspects of existing products or services need to be updated to meet the regulatory requirement(s)?  Yes

Testing Purpose Dummy Text Lorem Ipsum Do fairness considerations suggest a need for other communication? Will any of the actions planned to implement the changes have a potential to impact consumers

- Q Disclosure & Other Consumer Impacts: Do terms and conditions, regulatory notices, statements or other customer disclosures need to be updated? Do fairness considerations suggest a need for other communication? Will any of the actions planned to implement the changes have a potential to impact consumers?  No

- Q Design of Product or Service Offerings: Do any aspects of existing products or services need to be updated to meet the regulatory requirement(s)?  No

- Q Design of Product or Service Offerings: Do any aspects of existing products or services need to be updated to meet the regulatory requirement(s)?  No

- Q Design of Product or Service Offerings: Do any aspects of existing products or services need to be updated to meet the regulatory requirement(s)?  No

Additional Considerations (500 characters Remaining)

Dummy Text

► Regulatory Change Details \*

► Impact Assessment Review \*

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Case ID: 22 X

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## Impact Assessment

[View Audit Trial >](#)

## ▼ Regulatory Change Details \*

Requirement / Rule ID	Citation	Compliance Date	Applicable To Assessment Unit	Action
RQMT - 144111	Central Bank Regulation n°17, art. 8	15/05/2016	Select 	
RQMT - 124111	CBN Circular No. FPR/DIR/GEN/01/30 of 24 Sept 2012	24/08/2012	Select	
RQMT - 141118	Central Bank Regulation n°17, art. 8	05/11/2009	Select	

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DASHBOARD REQUIREMENTS RULES CASE

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## Impact Assessment

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## ▼ Regulatory Change Details \*

Requirement / Rule ID	Citation	Compliance Date	Applicable To Assessment Unit	Action
RQMT - 144111	Central Bank Regulation n°17, art. 8	15/05/2016	Select	
RQMT - 124111	CBN Circular No. FPR/DIR/GEN/01/30 of 24 Sept 2012	24/08/2012	Select	
RQMT - 141118	Central Bank Regulation n°17, art. 8	05/11/2009	Select	

Viewing 3 of 3 Records.

- Select
- AD: Capital Markets Origination
  - Dummy Text Lorem
  - Lorem Ipsum Dummy Text
  - AD: Capital Markets Origination
  - None
- of 1 ► ►

## ► Impact Assessment Review \*

## ► Action Plan

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## Impact Assessment

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## ▼ Regulatory Change Details \*

Requirement / Rule ID	Citation	Compliance Date	Applicable To Assessment Unit	Action
RQMT - 144111	Central Bank Regulation n?17, art. 8	15/05/2016	Select AD: Capital Markets Origination X Lorem Ipsum Dummy Text X Clear All	
RQMT - 124111	CBN Circular No. FPR/DIR/GEN/01/30 of 24 Sept 2012	24/08/2012	Select	
RQMT - 141118	Central Bank Regulation n?17, art. 8	05/11/2009	Select	

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Case ID: 22 Impact Assessment ID: 12

## Impact Assessment

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## ▼ Impact Assessment Review \*

## Attach Documents

File Name (Max upto 2 MB file can be uploaded)

Browse Upload

## Notify Senior Business/Function Manager Reviewer(s) \*

 Medida Meher (MM51482)   Bhatt Kashyap (BP62528) 

Senior Business/Function Manger Reviewer(s) Acknowledgement \*



## Impacted Legal Entities \*

  Legal Entity 1   Legal Entity 2   Legal Entity 3   Legal Entity 4 

## Submit to Inventory Contact \*

 Yes 

## Submit to Legal

 Yes 

## Submit to Legal Authorities

   Medida Meher (MM51482)   Bhatt Kashyap (BP62528) 

## Acknowledge/Credible Challenge &amp; Escalation \*

 No 

## Rationale for Credible Challenge and Escalation

 Testing Purpose Dummy Text

## ► Action Plan

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Case ID: 22

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## Impact Assessment

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## ▶ Impact Assessment Review \*

## ▼ Action Plan

[+ Create Action Plan](#)[Link To Existing Action Plan](#)

Action Plan ID	AUL	Assessment Unit(s)	Status	Linkage Status	Cancel	Rational for Cancellation
526	Smith, Cindy	US GCB - Mortgage	Open	Approved	<input type="radio"/> No	
845	Bhatt, kashyap	US GCB - Mortgage	Closed	Declined	<input checked="" type="radio"/> Yes	Dummy text
125	Grandhi Girish	US GCB - Mortgage	Open	Approved	<input type="radio"/> No	

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Case ID: 22 X

Case ID: 22

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## Impact Assessment

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## ▶ Impact Assessment Review \*

## ▼ Action Plan

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Action Plan ID	AUL	Assessment Unit(s)	Status	Linkage Status	Cancel	Rational for Cancellation
526	Smith, Cindy	US GCB - Mortgage	Open	Approved	<input type="radio"/> No	
845	Bhatt, kashyap	US GCB - Mortgage	Closed	Declined	<input checked="" type="radio"/> Yes	Dummy text
125	Grandhi Girish	US GCB - Mortgage	Open	Approved	<input type="radio"/> No	

Viewing 3 of 3 Records.

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Action Plan Wizard X

All <input type="checkbox"/>	Action Plan ID ▾	Assessment Unit ▾	AUL ▾
<input type="checkbox"/>	521	US - GCB Mortage	Naresh Selvam (nc85214)
<input checked="" type="checkbox"/>	695	US - GCB Mortage	Deepak Dalyan (dd74196)
<input type="checkbox"/>	412	US - GCB Mortage	Suffal Mohammed (sm87412)
<input type="checkbox"/>	745	US - GCB Mortage	Prakash Mohan (pm36974)
<input checked="" type="checkbox"/>	125	US - GCB Mortage	Naresh Selvam (nc85214)
<input type="checkbox"/>	471	US - GCB Mortage	Deepak Dalyan (dd74196)
<input type="checkbox"/>	412	US - GCB Mortage	Suffal Mohammed (sm87412)

Viewing 8 of 24 Records.

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Cancel

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DASHBOARD REQUIREMENTS RULES CASE

Case Dashboard

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## Impact Assessment

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### ► Impact Assessment Review \*

### ▼ Action Plan

[+ Create Action Plan](#)[Link To Existing Action Plan](#)

Action Plan ID	AUL	Assessment Unit(s)	Status	Linkage Status	Cancel	Rational for Cancellation
526	Smith, Cindy	US GCB - Mortgage	Open	Approved	<input type="radio"/> No	
845	Bhatt, kashyap	US GCB - Mortgage	Closed	Declined	<input checked="" type="radio"/> Yes	Dummy text
125	Grandhi Girish	US GCB - Mortgage	Open	Approved	<input type="radio"/> No	

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Case Dashboard

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Case ID: 22 Impact Assessment ID: 12

Action Plan ID: 312

## Action Plan

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Primary Impact Assessment ID	Primary Assessment Unit	Primary AUL
12	AD:Capital Markets Origination,AD:Corporate & Investment Banking,AD:Markets & Securities Services,AD:ICG Operations	Medida, Meher (MM51482)

Linked Impact Assessment ID	Linked Assessment Unit	Linked AUL
12	AD:Capital Markets Origination,AD:Corporate & Investment Banking,AD:Markets & Securities Services,AD:ICG Operations	Medida, Meher (MM51482)
85	AD:Capital Markets Origination,AD:Corporate & Investment Banking,AD	Bhatt Kashyap (BP62528)

Viewing 3 of 3 Records.

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## Primary AUL Designees

Medida Meher (MM51482) X Praveen Karthick (PK62528) X

## Business/Function Action Plan Owner

Gupta, Arham (AG21509)

## Reassigned Owner

Medida Meher (MM51482) X

## Action Plan Name \*

Dummy Text Testing Purpose

## Action Plan Description \*

Dummy Text Testing Purpose

## Business Function Viewers

Medida Meher (MM51482) X Praveen Karthick (PK62528) X

## Compliance Date

19/05/2017

## Actual Completion Date

19/05/2017

## Action Plan Due Date \*

19/05/2017

## Retarget Date

19/05/2017

## Action Plan Status \*

Not Started

## Action Plan Status Comments \*

Dummy Text Testing Purpose

## Additional Status

Last Status Comment Date

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DASHBOARD

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Case Dashboard

Case ID: 22 X

Case ID: 22

Impact Assessment ID: 12

Action Plan ID: 312

## Action Plan

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## Additional Status

## Last Status Comment Date

Pending Regulatory Approval

30/10/2017

## Attach Documents

File Name (Max upto 2 MB file can be uploaded)

[Browse](#)[Upload](#)► iCAPS Information 

► Milestone Details

► Action Plan Review

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Case Dashboard

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Case ID: 22

Impact Assessment ID: 12

Action Plan ID: 312

## Action Plan

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▼ iCAPS Information



▶ Milestone Details

▶ Action Plan Review

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DASHBOARD

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## Select Issues

Search  iCAPS ID  Severity Level  iCAPS Owner  iCAPS Description Bhatt Kashyap

Search

## Select Issues

iCAPS ID

iCAPS Owner

iCAPS Description

Severity Level

Action

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DASHBOARD REQUIREMENTS RULES CASE

## Select Issues

Search  iCAPS ID  Severity Level  iCAPS Owner  iCAPS Description Bhatt Kashyap

Search

## Select Issues

iCAPS ID	iCAPS Owner	iCAPS Description	Severity Level	Action
12	Bhatt Kashyap (bp62528)	Dummy Text Testing Purpose	Level - 2	Add 
125	Bhatt Kashyap (bp62528)	Dummy Text Testing Purpose	Level - 2	Add
128	Bhatt Kashyap (bp62528)	Dummy Text Testing Purpose	Level - 2	Add

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North America

LEGAL ENTITY ▾  
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STATUS ▾  
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DASHBOARD REQUIREMENTS RULES CASE

### Select Issues



Search  iCAPS ID  Severity Level  iCAPS Owner  iCAPS Description

Search

Search

#### Select Issues

iCAPS ID	iCAPS Owner	iCAPS Description	Severity Level	Action
12	Bhatt Kashyap (bp62528)	Dummy Text Testing Purpose	Level - 2	Add
125	Bhatt Kashyap (bp62528)	Dummy Text Testing Purpose	Level - 2	Add
128	Bhatt Kashyap (bp62528)	Dummy Text Testing Purpose	Level - 2	Add

Viewing 3 of 3 Records.

Page 1 of 1

#### Selected Issues

iCAPS ID	iCAPS Owner	iCAPS Description	Severity Level	Action
12	Bhatt Kashyap (bp62528)	Dummy Text Testing Purpose	Level - 2	Remove

Viewing 3 of 3 Records.

Page 1 of 1

Cancel

Save



GEOGRAPHY ▾  
Total Citi [L1]SEGMENT ▾  
North AmericaLEGAL ENTITY ▾  
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Active

DASHBOARD

REQUIREMENTS

RULES

CASE

Case Dashboard

Case ID: 22 X

Case ID: 22 Impact Assessment ID: 12 Action Plan ID: 312

## Action Plan

View Audit Trial »

## ▼ iCAPS Information

Link Issues

iCAPS ID ▾	iCAPS Owner ▾	iCAPS Description ▾	Severity Level ▾	Action
12	Naga Harshan (nn62528)	Naga Harshan (nn62528)	Level - 2 ▾	
125	Medida, Meher (MM51482)	Medida, Meher (MM51482)	Level - 2 ▾	
128	Jayaprakash (gj62527)	Jayaprakash (gj62527)	Level - 2 ▾	

Viewing 3 of 3 Records.

|◀◀ |◀ |▶ |▶▶ Page 1 of 1

## ► Milestone Details

## ► Action Plan Review

Save

GEOGRAPHY ▾  
Total Citi [L1]

SEGMENT ▾  
North America

LEGAL ENTITY ▾  
-

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Active

DASHBOARD REQUIREMENTS RULES CASE

### CAP Details



CAP ID ▾	CAP Owner ▾	CAP Description ▾	CAP Status
856	Naga Harshan (nn62528)	Dummy text testing purpose	Open
842	Medida, Meher (MM51482)	Dummy text testing purpose	Open
456	Jayaprakash (gj62527)	Dummy text testing purpose	Closed
125	Naga Harshan (nn62528)	Dummy text testing purpose	Closed
842	Medida, Meher (MM51482)	Dummy text testing purpose	Open

Viewing 5 of 8 Records.

◀◀ ▶▶ Page 1 of 2 ▶▶

Ok

Viewing 3 of 3 Records.

◀◀ ▶▶ Page 1 of 1 ▶▶

► Milestone Details

► Action Plan Review

Save

GEOGRAPHY ▾  
Total Citi [L1]SEGMENT ▾  
North AmericaLEGAL ENTITY ▾  
-STATUS ▾  
Active

DASHBOARD

REQUIREMENTS

RULES

CASE

Case Dashboard

Case ID: 22 X

Case ID: 22

Impact Assessment ID: 12

Action Plan ID: 312

## Action Plan

[View Audit Trial >](#)[► iCAPS Information](#)[▼ Milestone Details](#)[⊕ Create Milestone](#)

Milestone ID ▾	Action Plan ID ▾	Milestone Name ▾	Milestone Due Date	Retarget Date	Actual Completion Date
254	254	Plan 22570 Dummy Text Testing Purpose Identified	22/10/2017	22/10/2017	22/10/2017
12	12	Plan 22570 Dummy Text Testing Purpose Identified	18/07/2017	18/07/2017	18/07/2017
36	36	Plan 22570 Dummy Text Testing Purpose Identified	07/07/2017	07/07/2017	07/07/2017

Viewing 3 of 3 Records.

|◀◀ |◀ Page 1 of 1 |▶▶|▶▶|

[► Action Plan Review](#) [Save](#)

GEOGRAPHY ▾  
Total Citi [L1]SEGMENT ▾  
North AmericaLEGAL ENTITY ▾  
-STATUS ▾  
Active

DASHBOARD REQUIREMENTS RULES CASE

Case Dashboard

Case ID: 22 ×

Case ID: 22

Impact Assessment ID: 12

Action Plan ID: 312

## Action Plan

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## ▼ Action Plan Review

## Attach Documents

File Name (Max upto 2 MB file can be uploaded)

Browse

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Initial Review of Action Plan

Completion of Action Plan

Notify Senior Business/Function Manager Reviewer(s) \*

 Medida Meher (MM51482) × Bhatt Kashyap (BP62528) ×Senior Business/Function Manger Reviewer(s)  
Acknowledgement \*

Acknowledge/Credible Challenge &amp; Escalation \*

Rationale for Credible Challenge and Escalation

 No Testing Purpose Dummy Text

Save

GEOGRAPHY ▾  
Total Citi [L1]SEGMENT ▾  
North AmericaLEGAL ENTITY ▾  
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DASHBOARD

REQUIREMENTS

RULES

CASE

Case Dashboard

Case ID: 22 X

Case ID: 22

Impact Assessment ID: 12

Action Plan ID: 312

## Action Plan

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### ▼ Action Plan Review

#### Attach Documents

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Initial Review of Action Plan

Completion of Action Plan

#### Notify Senior Business/Function Manager Reviewer(s) \*

Medida Meher (MM51482), Bhatt Kashyap (BP62528)

Senior Business/Function Manger Reviewer(s)  
Acknowledgement \*

#### Acknowledge/Credible Challenge & Escalation \*

#### Rationale for Credible Challenge and Escalation

 No

Testing Purpose Dummy Text

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GEOGRAPHY ▾  
Total Citi [L1]SEGMENT ▾  
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Active

DASHBOARD

REQUIREMENTS

RULES

CASE

Case Dashboard

Case ID: 22 X

Case ID: 22 Impact Assessment ID: 12 Action Plan ID: 312 Milestone ID: 88

**Milestone**[View Audit Trial >](#)**Primary AUL**

Ladawan, Walker

**Milestone Name \***

Testing Purpose

**Milestone Description \***

Dummy Text Lorem Ipsum

**Business/Function Milestone Owner**

Medida, Meher (MM51482)

**Reassigned Owner**

Medida Meher (MM51482) X

**Compliance Date**

28/26/2017

**Actual Completion Date**

19/05/2017

**Milestone Due Date \***

08/08/2017



26/07/2017

**Milestone Status \***

In Progress

**Last Status Comments Date**

30/04/2017

**Milestone Types \***

Program Change

**Attach Documents**

File Name (Max upto 2 MB file can be uploaded)

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Submit

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