

# Trust

What it is and how to get it

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# What is Trust?

“An entity can be trusted if it always behaves in the expected manner for the intended purpose”<sup>1</sup>

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<sup>1</sup> *The Ten Page Introduction to Trusted Computing* by Andrew Martin

- ▶ Unambiguous identification
- ▶ Unimpeded operation
- ▶ First-hand observation of good behavior *or* indirect experience of good behavior by a trusted third party

# Required Capabilities for Establishing Trust

- ▶ *Strong Identification* — An unambiguous, immutable identifier associated with the platform.
- ▶ *Reporting Configuration* — An unambiguous identification mechanism for software and hardware running on the platform.
- ▶ *Reporting Behavior* — A mechanism for observing and reporting execution behavior.

- ▶  $\#X$  — Hash of  $X$ 
  - ▶  $\#X$  is unique for each  $X$
  - ▶ Guessing  $X$  from  $\#X$  is impossible
- ▶  $\{X\}_Y$  — Encrypt  $X$  with  $Y$ 
  - ▶  $X$  cannot be obtained from  $\{X\}_Y$  without  $Y$
  - ▶ Guessing  $X$  from  $\{X\}_Y$  is impossible
  - ▶ Guessing  $Y$  is impossible
- ▶  $[X]_{Y^{-1}}$  — Sign  $X$  with  $Y^{-1}$ 
  - ▶  $[X]_{Y^{-1}}$  is unique for every  $X$  and  $Y^{-1}$  pair
  - ▶ Guessing  $[X]_{Y^{-1}}$  from  $X$  is impossible
- ▶  $M \mid \#X$  — Extend  $M$  with  $\#X$ 
  - ▶ Concatenate  $M$  with  $\#X$  and hash the result
  - ▶ Ideal  $M \mid \#X$  unique for  $M$  and  $X$

- ▶  $(X, \{X^{-1}\}_{Y^{-1}})$  — Wrap  $X$  with  $Y^{-1}$ 
  - ▶ Can use  $X$  for encryption and signature checking
  - ▶ Cannot use  $X^{-1}$  for decryption or signing without  $Y^{-1}$
- ▶  $(D, \{C\})$  — Seal  $D$  to configuration  $C$ 
  - ▶  $D$  is not available if system is not in configuration  $C$
  - ▶ Usually accompanied by encryption
- ▶  $(\{SK\}_K, \{D\}_{SK})$  — Envelope  $D$  with  $K$ 
  - ▶ Encrypt large data  $D$  with session key  $SK$
  - ▶ Encrypt  $SK$  with  $K$
  - ▶  $D$  behaves as if encrypted with  $K$
- ▶  $[[A, B]]_{Y^{-1}}$  — Certify binding of  $A$  and  $B$  with  $Y^{-1}$ 
  - ▶  $Y$  signs  $(A, B)$  with private key  $Y^{-1}$
  - ▶ Certificate is checked using  $Y$
  - ▶ Valid signature provides evidence  $A$  and  $B$  are bound together

## Wrapping A Key

$$\text{wrap}(X, Y) = (X, \{X^{-1}\}_{Y^{-1}})$$

- ▶  $X^{-1}$  is encrypted with  $Y^{-1}$  while  $X$  is clear
- ▶  $\{D\}_X$  and checking  $[D]_{X^{-1}}$  may be done without  $Y$
- ▶ Decrypting  $\{D\}_X$  and generating  $[D]_{X^{-1}}$  require  $Y$

## Chaining Keys

$$(X_0, \{X_0^{-1}\}_{X_1^{-1}}), (X_1, \{X_1^{-1}\}_{X_2^{-1}}) \dots (X_{n-1}, \{X_{n-1}^{-1}\}_{X_n^{-1}})$$

- ▶ Each key depends on the previous key
- ▶ If the root key is trustworthy the chain is trustworthy

## Wrapped Keys

A wrapped key must be installed before use and depends on installation of its wrapping key

- ▶ Installing  $(K, \{K^{-1}\}_{J-1})$  provides a handle for use with other commands
  - ▶ The key handle is a pointer into the TPM that cannot
  - ▶ Cannot be used to access the key outside the TPM
- ▶  $(K, \{K^{-1}\}_{J-1})$  installs if  $J$  is installed and usable
  - ▶ Key may be installed by not usable if PCRs are not configured or authentication fails
  - ▶  $(K, \{K^{-1}\}_{SRK-1})$  wraps a key with a TPM's storage root key



## Sealing to State

$(D, \{C\})$  — Seal  $D$  to configuration  $C$

- ▶  $D$  is protected by a key or other mechanism
- ▶  $C$  describes an acceptable system state
- ▶  $D$  cannot be accessed if system is not in state  $C$
- ▶ Used to protect data even when system is mis-configured

## Enveloping

$$\text{envelope}(K, D) = (\{SK\}_K, \{D\}_{SK})$$

- ▶  $SK$  is a symmetric session key for bulk encryption
- ▶  $D$  is encrypted with  $SK$
- ▶  $SK$  is encrypted with  $K$
- ▶  $D$  is protected as if encrypted with  $K$

## Certificates and Certification

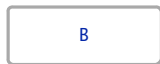
$$[[ (A, B) ] ]_{Y^{-1}} = [(A, B)]_{Y^{-1}}$$

- ▶  $(A, B)$  associates  $A$  with  $B$
- ▶  $Y$  certifies the association by signing with  $Y^{-1}$
- ▶ Certificate is checked using  $Y$
- ▶ If we trust  $Y$ , then we trust the binding of  $A$  to  $B$

# Chaining Measurement — Gathering Evidence

We would like to start *A* and *B* while gathering evidence for determining trust

- ▶ Start with a root measurer and store that are trusted *a priori*



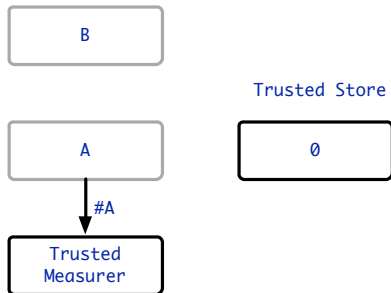
Trusted Store



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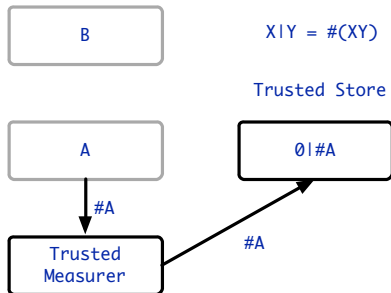
- ▶ Start with a root measurer and store that are trusted *a priori*
- ▶ Measure the new software to be launched



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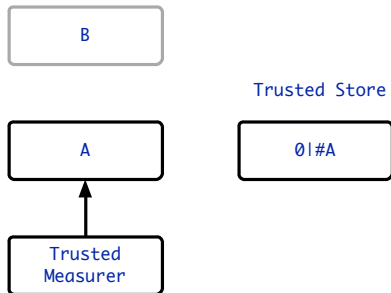
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- ▶ Measure the new software to be launched
- ▶ Store the measurement of the new software



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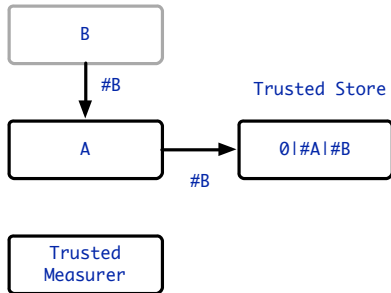
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- ▶ Store the measurement of the new software
- ▶ Launch the new software



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We would like to start *A* and *B* while gathering evidence for determining trust

- ▶ Start with a root measurer and store that are trusted *a priori*
- ▶ Measure the new software to be launched
- ▶ Store the measurement of the new software
- ▶ Launch the new software
- ▶ Repeat for each system software component

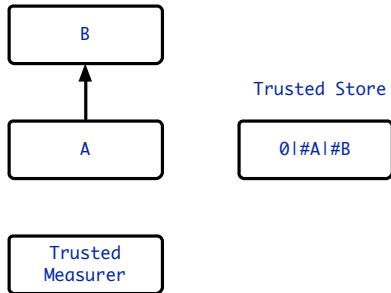




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# Appraisal — What Do We Know?

Measurement  $\neq$  trust — Measurements must be appraised

- ▶ Determine if  $0 \mid \#A \mid \#B$  is correct
  - ▶ Calculate a *golden hash* from  $A$  and  $B$
  - ▶ Compare golden hash with  $0 \mid \#A \mid \#B$  from trusted store
  - ▶ Correct  $0 \mid \#A \mid \#B$  implies trusted boot
- ▶ Correct  $0 \mid \#A \mid \#B$  implies  $A$  and  $B$  must be correct
  - ▶ Correct  $0 \mid \#A \mid \#B$  implies  $\#A$  and  $\#B$  are the correct hashes
  - ▶ Correct  $\#A$  and  $\#B$  implies  $A$  and  $B$  are the correct binaries
  - ▶  $A$  includes hash and launch functions
- ▶ Correct  $0 \mid \#A \mid \#B$  implies measurement occurred in the right order
  - ▶  $\#(XY) \neq \#(YX)$
  - ▶ Trusted store started with 0

# Appraisal — But Why Trust B?

A chain exists from the Trusted Measurer and Trusted Store to B

- ▶ Trusted Measurer and Trusted Store are trusted *a priori*
- ▶ A is trusted to be A because its measurement is:
  - ▶ Correct
  - ▶ Taken by a trusted party (Trusted Measurer)
  - ▶ Stored by a trusted party (Trusted Store)
- ▶ B is trusted to be B because its measurement is:
  - ▶ Correct
  - ▶ Taken by a trusted party (A)
  - ▶ Stored by a trusted party (Trusted Store)
  - ▶ If A's ability to measure B were compromised, #A would be wrong
- ▶ and so on and so on...

$T^x[y]$  is an homogeneous relation over actors that is true when  $x$  *trusts*  $y$ .  $T^x[y]$  is by definition a preorer:

- ▶ Reflexive —  $\forall x \cdot T^x[x]$
- ▶ Transitive —  $\forall x, y, z \cdot T^x[y] \wedge T^y[z] \Rightarrow T^x[z]$

Measured Boot gathers evidence to check trust relationships.

A *chain of trust* from  $X_0$  to  $X_n$ :

$$T^{X_0}[X_1] \wedge T^{X_1}[X_2] \wedge \dots \wedge T^{X_{n-1}}[X_n]$$

- ▶ If  $X_0$  is trusted, then  $X_n$  is trusted
- ▶  $X_0$  is called a *root-of-trust*
- ▶ Establishing trust chains defines a framework for measurement
- ▶ Measurement provides evidence that trust chains are not violated
- ▶ Appraisal checks evidence to assess trust chains

The *Trusted Platform Module (TPM)* is a cryptographic coprocessor for trust.

- ▶ Endorsement Key (EK) — factory generated asymmetric key that uniquely identifies the TPM
- ▶ Attestation Instance Key (AIK) — TPM\_CreateIdentity generated asymmetric key alias for the EK
- ▶ Storage Root Key (SRK) — TPM\_TakeOwnership generated asymmetric key that encrypts data associated with the TPM
- ▶ Platform Configuration Registers (PCRs) — protected registers for storing and extending hashes
- ▶ NVRAM — Non-volatile storage associated with the TPM

- ▶ Asymmetric key generated at TPM fabrication
- ▶  $EK^{-1}$  is protected by the TPM
- ▶  $EK$  by convention is managed by a Certificate Authority
  - ▶ Binds  $EK$  with a platform
  - ▶ Classic trusted third party
- ▶ Only used for encryption
- ▶ Attestation Instance Keys (AIK) are aliases for the EK
  - ▶ Used for signing
  - ▶ Authorized by the EK

- ▶ Asymmetric key generated by TPM\_TakeOwnership
- ▶  $SRK^{-1}$  is protected by the TPM
- ▶  $SRK$  is available for encryption
- ▶ Used as the root for chaining keys by *wrapping*
  - ▶ A wrapped key is an asymmetric key pair with its private key sealed
  - ▶ Safe to share the entire key
  - ▶ Only usable in the presence of the wrapping key with expected PCRs



# Platform Configuration Registers

- ▶ Operations on PCRs
  - ▶ Extension — Hash a new value juxtaposed with the existing PCR value
  - ▶ Reset — Set to 0
  - ▶ Set — Set to a known value
- ▶ Operations using PCRs
  - ▶ Sealing data — PCR state dependent encryption
  - ▶ Wrapping keys — PCR state dependent encryption of a private key
  - ▶ Quote — Reporting PCR values to a third party
- ▶ Properties
  - ▶ Locality — Access control like OS security rings
  - ▶ Resettable — PCR can be reset to known value after *SENTER*
  - ▶ Many others that we don't need yet

## Non-Resettable

- ▶ History since reboot
- ▶ Reset only on reboot
- ▶ Good for recording trajectory

## Resettable

- ▶ No history
  - ▶ Reset before use
  - ▶ Good for one-off user data
- 
- ▶ Reset requires appropriate permissions based on locality
  - ▶ A PCR is resettable if defined in platform spec

## Locality = Access Control for PCR's

- ▶ Each PCR is assigned a locality
- ▶ Only processes with locality greater than or equal a PCR's locality may modify it
- ▶ Increases monotonically starting at SENTER invocation

## Locality and What Runs There

| <i>Locality</i> | <i>Purpose</i>                |
|-----------------|-------------------------------|
| 4               | Trusted Hardware/SINIT Policy |
| 3               | Other MLE Components          |
| 2               | Operating System              |
| 1               | Applications Static           |
| 0               | RTM/Legacy                    |

# Locality Rules of Thumb

- ▶ Only SENTER runs in locality 4
- ▶ Only SINIT runs in locality 3
- ▶ OS and core system run in locality 2
- ▶ Applications run in locality 1
- ▶ Locality 0 is rarely used

A *root of trust* provides a basis for transitively building trust. Roots of trust are trusted implicitly.

There are three important Roots of Trust:

- ▶ Root of Trust for Measurement (RTM)
- ▶ Root of Trust for Reporting (RTR)
- ▶ Root of Trust for Storage (RTS)

# Root of Trust for Measurement

A *Root of Trust for Measurement* is trusted to take the base system measurement.

- ▶ A hash function called on an initial code base from a protected execution environment
- ▶ Starts the measurement process during boot
- ▶ In the Intel TXT process the RTM is SENTER implemented on the processor

A *Root of Trust for Reporting* is trusted to guarantee the integrity of the base system report or quote

- ▶ A protected key used for authenticating reports
- ▶ In the Intel TXT processes this is the TPM's Endorsement Key (EK)
- ▶ Created and bound to its platform by the TPM foundry
- ▶  $EK^{-1}$  is stored in the TPM and cannot be accessed by any entity other than the TPM
- ▶  $EK$  is available for encrypting data for the TPM
- ▶  $EK^{-1}$  is used for decrypting data inside the TPM
- ▶ Linking  $EK$  to its platform is done by a trusted Certificate Authority (CA)

A *Root of Trust for Storage* is trusted to protect stored data

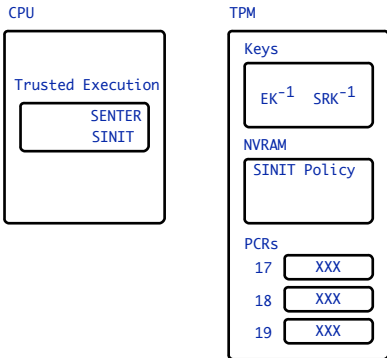
- ▶ A key stored in a protected location
- ▶ In the Intel TXT boot process this is the TPM's Storage Root Key (SRK)
- ▶ Created by TPM\_TakeOwnership
- ▶  $SRK^{-1}$  is stored in the TPM and cannot be accessed by any entity other than the TPM
- ▶ *SRK* is available for encrypting data for the TPM
- ▶ SRK is used for protecting other keys



# One Step from Roots of Trust

Roots of trust are used to build a trusted system from boot.

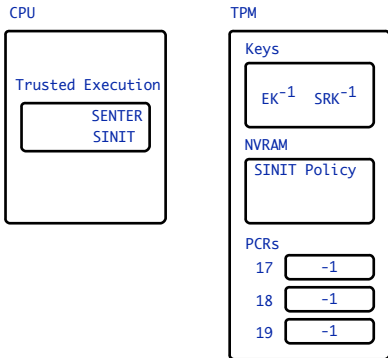
- Power-on reset



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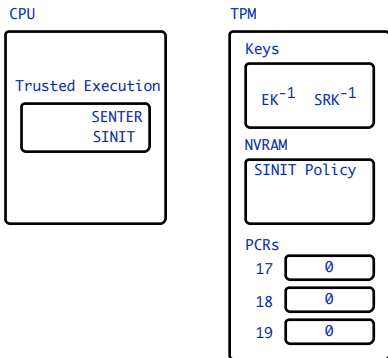
- ▶ Power-on reset
- ▶ Resettable PCRs set to -1



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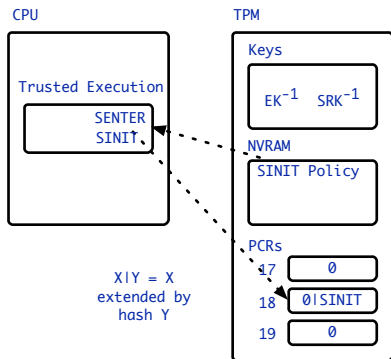
- ▶ Power-on reset
- ▶ Resettable PCRs set to -1
- ▶ SENTER called, resets resettable PCRs to 0



# One Step from Roots of Trust

Roots of trust are used to build a trusted system from boot.

- ▶ Power-on reset
- ▶ Resettable PCRs set to -1
- ▶ SENTER called, resets resettable PCRs to 0
- ▶ SENTER measures SINIT policy into PCR 18



# What We Know From Good PCR 18

A good value in PCR 18 tells us:

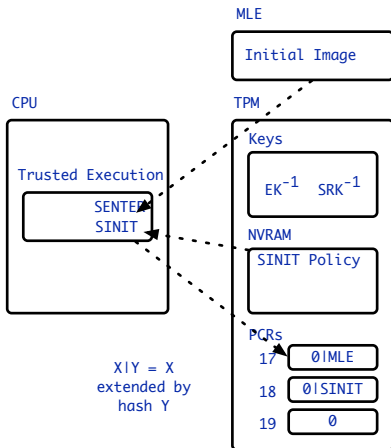
- ▶ SENTER was called — Resetting PCR 18 starts measurements at 0 rather than -1
- ▶ SINIT was measured by SENTER — Only SENTER can extend PCR 18
- ▶ SINIT uses the correct policy — PCR 18 is extended with SINIT measurement policy
- ▶ SENTER ran before SINIT was measured —  $A \mid B \neq B \mid A$

**Measurement  $\neq$  Trust**

Measurements must be appraised to determine trust.

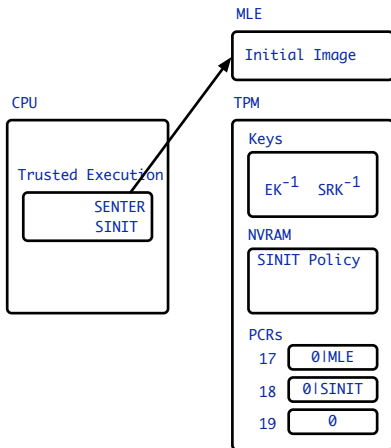
# Two Steps from Roots of Trust

- ▶ SINIT measures the Measured Launch Environment (MLE) using measured SINIT policy
- ▶ SINIT returns control to SENTER



# Two Steps from Roots of Trust

- ▶ SINIT measures the Measured Launch Environment (MLE) using measured SINIT policy
- ▶ SINIT returns control to SENTER
- ▶ SENTER invokes the MLE



# What We Know From Good PCRs

- ▶ SENTER was called — Resetting PCR 18 starts measurement sequence at 0 rather than -1
- ▶ SINIT policy was measured by SENTER — Only SENTER can extend PCR 18
- ▶ SINIT uses the correct policy — PCR 18 is extended with SINIT measurement policy
- ▶ SENTER ran before SINIT —  $0 \mid \#SINIT \neq -1 \mid \#SINIT$
- ▶ MLE is good — Measured by good SINIT into PCR

Boot is generic until the MLE starts

MLE is the beginnings of the operating system.



# Boot the MLE

- ▶ SENTER starts the MLE
  - ▶ SENTER starts the initial image
  - ▶ Initial image starts the system

initial image

Operating System

kernel  
file system  
drivers  
run-time trust  
applications

TPM

Keys

$EK^{-1}$   $SRK^{-1}$

NVRAM

SINIT Policy

PCRs

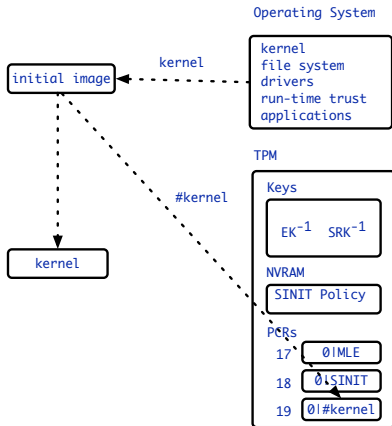
17 0IMLE

18 0ISINIT

19 0

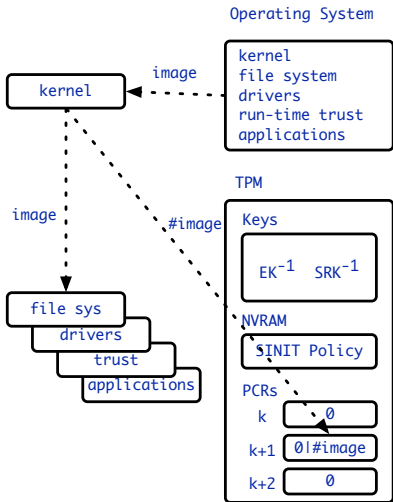
# Boot the MLE

- ▶ SENTER starts the MLE
  - ▶ SENTER starts the initial image
  - ▶ Initial image starts the system
- ▶ Initial image initializes the kernel
  - ▶ Measures the kernel into the TPM
  - ▶ Starts the kernel



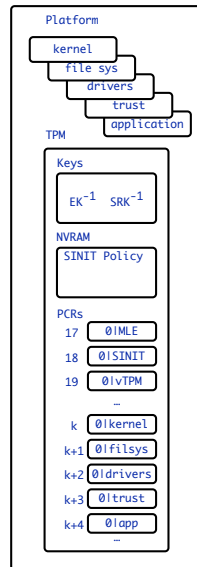
# Boot the MLE

- ▶ SENTER starts the MLE
  - ▶ SENTER starts the initial image
  - ▶ Initial image starts the system
- ▶ Initial image initialized the kernel
  - ▶ Measures the kernel into the TPM
  - ▶ Starts the kernel
- ▶ Kernel boots the system
  - ▶ Measures remaining images into the TPM
  - ▶ Starts remaining images
  - ▶ Measures application into the TPM
  - ▶ Starts the application



# Boot the MLE

- ▶ **SENDER starts the MLE**
  - ▶ SENDER starts the initial image
  - ▶ Initial image starts the system
- ▶ **Initial image initialized the kernel**
  - ▶ Measures the kernel into the TPM
  - ▶ Starts the kernel
- ▶ **Kernel boots the system**
  - ▶ Measures remaining images into the TPM
  - ▶ Starts remaining images
  - ▶ Measures application into the TPM
  - ▶ Starts the application



## Built from Good Parts

We can construct a proof that the platform is constructed correctly from PCR contents

- ▶ SINIT measured the right initial image - PCR 18 measurement and we trust SENTER
- ▶ The right initial image started - PCR 17 measurement and we trust SENTER, SINIT and SINIT Policy is measured
- ▶ The right kernel started - PCR 19 measurement and we trust SENTER, SINIT, and initial image is measured
- ▶ The right system components started - PCRs and the kernel is measured
- ▶ The right application started - TPM PCRs and the kernel is measured

# Chaining Trust (Reprise)

- ▶ Trust is transitive
  - ▶  $T^x[y] \wedge T^y[z] \Rightarrow T^x[z]$
  - ▶ Construct evidence trust chains
  - ▶ Remember “directly observed or indirectly observed by a trusted third party”
- ▶ Roots of Trust define the “root” for trust
  - ▶ Use Roots of Trust to establish base for chain
  - ▶ SENTER/SINIT is the Trusted Measurer
  - ▶ SRK and TPM is the Trusted Storage Root (Unused so far)
  - ▶ EK and TPM is the Trusted Reporter (Coming next)
- ▶ Extend chains of trust by measuring before executing

A *quote* is a signed data package generated by a TPM used to establish trust

- ▶  $q = [\langle n, pcr \rangle]_{AIK^{-1}}$ 
  - ▶  $n$  - A nonce or other data
  - ▶  $pcr$  - A PCR composite generated from TPM PCRs
  - ▶  $AIK^{-1}$  - An alias for  $EK^{-1}$  used for signing instead of  $EK^{-1}$
- ▶ Generated by the TPM with command TPM\_Quote

An *AIK* is A wrapped TPM key bound to an  $EK^{-1}$  usable only in the TPM that generated it in the right state

- ▶  $\{(AIK^{-1}, \{pcr\})\}_{EK^{-1}}$  - the  $AIK^{-1}$  encrypted with  $EK^{-1}$  and sealed to *pcr* values.
- ▶  $\{(AIK^{-1}, \{pcr\})\}_{EK^{-1}}$  decrypts and installs only when
  - ▶ *pcr* matches the TPM's PCRs at decryption time
  - ▶  $EK^{-1}$  is the TPM's endorsement key
- ▶ Protected by a combination of encryption and state
- ▶ Generated by a TPM



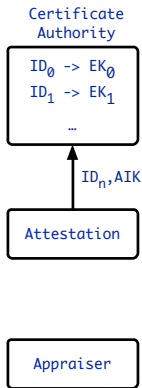
Given  $q$  of the form:

$$q = [\langle n, pcr \rangle]_{AIK-1}$$

1. Signature check using  $AIK$  verifies authenticity
  - ▶ Signature was generated by a TPM with AIK installed
  - ▶ Appraiser must know  $AIK$
2.  $pcr$  check verifies built from good parts in the right order
  - ▶ Compare PCR composite to known good PCR composite
  - ▶ Composite generated from desired golden PCR values
3. Nonce check guarantees freshness
  - ▶ Nonce is random and known to the appraiser
  - ▶ Sent to the target during appraisal

Assume a trusted Certificate Authority (CA) that maintains links from ID to  $EK$  with well-known public key  $CA$

- ▶  $ID_n$  requests  $AIK$  certification from CA



Assume a trusted Certificate Authority (CA) that maintains links from ID to  $EK$  with well-known public key  $CA$

- ▶  $ID_n$  requests  $AIK$  certification from CA
- ▶ CA signs  $AIK$  with  $CA^{-1}$

Certificate  
Authority

$ID_0 \rightarrow EK_0$   
 $ID_1 \rightarrow EK_1$   
...

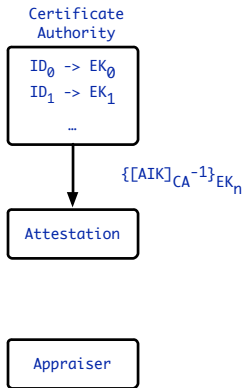
$[AIK]_{CA^{-1}}$

Attestation

Appraiser

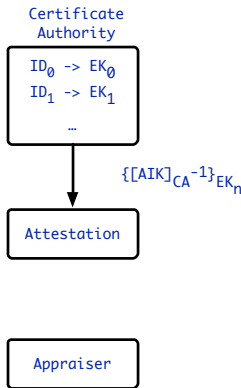
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- ▶ CA encrypts  $[AIK]_{CA^{-1}}$  with  $ID_n$ 's  $EK_n$



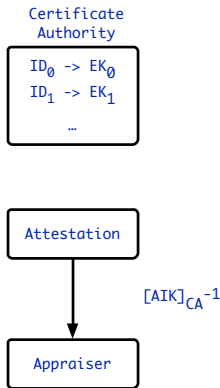
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- ▶ CA encrypts  $[AIK]_{CA^{-1}}$  with  $ID_n$ 's  $EK_n$
- ▶ CA sends  $\{[AIK]_{CA^{-1}}\}_{EK_n}$  to  $ID_n$



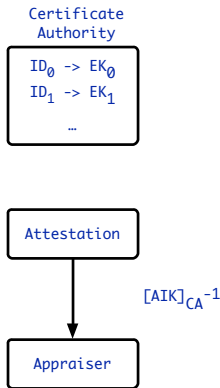
Assume a trusted Certificate Authority (CA) that maintains links from ID to  $EK$  with well-known public key  $CA$

- ▶  $ID_n$  requests  $AIK$  certification from CA
- ▶ CA signs  $AIK$  with  $CA^{-1}$
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- ▶  $ID_n$  decrypts encrypted AIK with  $EK_n^{-1}$



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- ▶  $ID_n$  decrypts encrypted AIK with  $EK_n^{-1}$
- ▶  $ID_n$  sends  $[AIK]_{CA^{-1}}$  to appraiser



# Why Believe AIK Belongs to $ID_n$ ?

Cryptographic evidence ensures  $AIK$  is an alias for the right  $EK$

- ▶ Only the CA can generate  $[AIK]_{CA^{-1}}$
- ▶ CA is trusted to know  $ID_n \rightarrow EK_n$
- ▶ CA is trusted to generate  $\{[AIK]_{CA^{-1}}\}_{EK_n}$
- ▶ Only  $ID_n$  can decrypt  $\{[AIK]_{CA^{-1}}\}_{EK_n}$
- ▶ Appraiser can check  $[AIK]_{CA^{-1}}$  to ensure use of trusted CA
- ▶ If Appraiser can use  $AIK$  then it was decrypted by  $ID_n$

**AIK is now a certified alias for EK used for signing**



Protocol notation specifies communication:

*Sender*  $\rightarrow$  *Receiver* : *Message*

## Key Certification Protocol

$ID_n \rightarrow CA : AIK$

$CA \rightarrow ID_n : \{[AIK]_{CA^{-1}}\}_{EK_n} \quad (1)$

$ID_n \rightarrow App : [AIK]_{CA^{-1}}, [\langle n, pcr \rangle]_{AIK^{-1}}$

Boot and appraise remote system for covert data acquisition

- ▶ Target reset triggers target boot initialization
- ▶ Target initial boot goes through BIOS and device startup
- ▶ Target secondary boot establishes comm link and “phones home”
- ▶ Appraiser evaluates target
- ▶ Appraiser responds to target with OS image
- ▶ Target boots OS image
- ▶ Target begins operation
- ▶ Appraiser evaluates target
- ▶ Target begins data acquisition and transmission

## General assumptions:

- ▶ Nonces, keys and hashes cannot be guessed
- ▶ Perfect cryptography
- ▶ All messages are carried by the adversary

## System specific assumptions:

- ▶ Secure communication subsystem is trustworthy
- ▶ Target knows what to communicate with
- ▶ TPM present on the target
- ▶ AIK is established and certified prior to system launch

# Designing A Trusted System

What assets should be protected and how?

- ▶ What assets must be handled confidentially?
- ▶ What assets must be handled with integrity?
- ▶ What assets and behaviors must be appraised?
- ▶ What behaviors must be prevented?

Some initial observations:

- ▶ Initial boot software - integrity, must be appraised
- ▶ Communication addresses - confidentiality
- ▶ Hardware and tamper protections - integrity, must be appraised
- ▶ Operating system - integrity, must be appraised
- ▶ Data transmission key - confidentiality

## Trust is:

- ▶ Strong identification
- ▶ Direct observation of good behavior
- ▶ Indirect observation of good behavior by a trusted third party

## Late Launch is:

- ▶ Initial measurement taken and stored by roots of trust for measurement and storage
- ▶ Reporting performed by root of trust for reporting
- ▶ Trust chains transitively from roots of trust outward
- ▶ System is constructed of good parts

- ▶ Root of trust for measurement
  - ▶ SENTER for launch
  - ▶ Initial measurement taken by SINIT
  - ▶ Hardware-based TPM initialization
- ▶ Root of trust for storage
  - ▶ TPM storage root key (SRK)
  - ▶ Locality enforcement
  - ▶ TPM separation
- ▶ Root of trust for reporting
  - ▶ TPM endorsement key (EK)
  - ▶ TPM separation

## Design Decisions

- ▶ What are measurement responsibilities?
- ▶ What is in the MLE?
- ▶ Where are measurements stored?
- ▶ How is locality assigned?

# Measurement Responsibilities

- ▶ SENTER measures SINIT policy
- ▶ SINIT measures the Measured Launch Environment (MLE)
- ▶ Initial boot measures hardware and Secondary boot software
- ▶ Secondary boot measures OS
- ▶ OS measures components as they start

## Measurement Relation

SENER  $\rightarrow$  SINIT  $\rightarrow$  MLE  $\rightarrow$  OS  $\rightarrow$  app

- ▶ No measurement loops
- ▶ Everything is measured



# Traditional Measurement Storage

| <i>PCR</i> | <i>Contents</i>                         |
|------------|---|
| 0–15       | Static RTM                              |
| 16         | Debug                                   |
| 17         | Locality 4 measurements by SENTER       |
| 18         | Locality 3 measurements by SINIT        |
| 19         | Locality 2 measurements by MLE and OS   |
| 20         | Locality 1 measurements by applications |
| 21–22      | T/OS controlled                         |
| 23         | Application specific measurements       |

## Need a PCR?

- ▶ Available non-resettable PCRs are 8-15
- ▶ Available resettable PCRs are 16,23

# What is in the MLE?

- ▶ Anything measured by SINIT is in the MLE by definition
- ▶ MLE could be the initial boot image or the entire boot image
- ▶ How much granularity desired in measurements?

## What is in the MLE?

- ▶ Initial boot image
- ▶ Nothing more

# Where Are Measurements Stored?

- ▶ Initial measurement storage location is standard
- ▶ Typically 1 hash per measurement, but could be a sequence

## PCRs 17 and 18

- ▶ SINIT Policy measured by SENTER into PCR 17
- ▶ MLE Measured by SINIT into PCR 18
- ▶ PCRs 17 & 18 are non-resettable

# Where Are Measurements Stored?

- ▶ Later measurements must be designed
- ▶ One big hash, many hashes, one PCR, many PCRs

## PCRs 19 and 20

- ▶ OS measured into PCR 19
- ▶ Application(s) measured into PCR 20

# What are the Measurements?

- ▶ Measure the OS
  - ▶ OS measured as one hash
  - ▶ OS measured as a hash sequence by the OS startup
- ▶ Applications measured as one hash each
- ▶ Ordering application measurement?
  - ▶ Enforce application hash order by sequencing startup
  - ▶ Use multiple PCRs
  - ▶ Produce multiple good hashes in appraiser
- ▶ Measurement *must* be performed as components are started

# Measurement Options and Appraisal

- ▶ **Measurement granularity**
  - ▶ One hash says good or bad for all system binaries and is simple to take
  - ▶ Many hashes extending a PCR says good or bad for all system binaries including order
- ▶ **One or Many PCRs**
  - ▶ One PCR says good or bad for all system binaries with order
  - ▶ Many PCRs says good or bad for individual system binaries without order
- ▶ **Resettable or Non-Resettable**
  - ▶ Resettable ignores history prior to reset
  - ▶ Non-resettable captures history from system startup

# Where are Measurements Stored? (Redux)

- ▶ Poky Linux is measured as one hash into PCR 19
  - ▶ Need to know good or bad on startup
  - ▶ Using Poky Linux unmodified
- ▶ Application is measured as one hash into PCR 20
  - ▶ Need to know good or bad on startup
  - ▶ Only one application

## PCRs 19 and 20

- ▶ OS measured into PCR 19 by secondary boot prior to start
- ▶ Application(s) measured into PCR 20 by OS prior to start

# How is Locality Assigned?

- ▶ No reason to deviate from standard locality mapping
- ▶ No reason to reset PCRs

## Locality Assignment

- ▶ PCR 17 - Locality 4, non-resettable
- ▶ PCR 18 - Locality 3, non-resettable
- ▶ PCR 19 - Locality 2, non-resettable
- ▶ PCR 20 - Locality 1, non-resettable



## Appraisal

An appraiser evaluates a target by requesting and examining a *quote*

- ▶ The appraiser requests a quote from the target specifying:
  - ▶ PCR<sub>s</sub> to be included
  - ▶ A fresh nonce
- ▶ The target returns a quote containing:
  - ▶ PCR composite as evidence of target state
  - ▶ The original nonce as evidence of freshness
  - ▶  $AIK^{-1}$  signature as evidence of integrity and authenticity

## Quote Structure

$$q = [n, PCR]_{AIK^{-1}}$$

- ▶ Generate and certify an *AIK*
  - ▶ TPM generates an *AIK* wrapped by  $EK^{-1}$
  - ▶ Privacy CA generates a certificate encrypted with *EK*
  - ▶ Only generating TPM can decrypt the certificate
- ▶ Install the *AIK*
  - ▶ *AIK* is wrapped thus  $AIK^{-1}$  and inaccessible outside the generating TPM
  - ▶ Installing *AIK* makes it available to the TPM
- ▶ Request a quote from the TPM
  - ▶ Nonce, PCRs needed, and *AIK* handle
  - ▶ Only the TPM that generated the *AIK* can generate the quote signature

# Evaluating a Quote

- ▶ Appraiser must have:
  - ▶ Public *CA* key
  - ▶ Public *A/K* key
  - ▶ Original nonce
  - ▶ Expected PCR values
- ▶ Checking the quote
  - ▶ Check the *A/K* certificate signature using public *CA*
  - ▶ Check the quote signature using public *A/K*
  - ▶ Check the quote nonce value using original nonce value
  - ▶ Check the PCR composite against an expected golden value

# What the Appraiser Knows

- ▶ *A/K* belongs to the target's TPM from CA certificate check
- ▶ The quote was generated using *A/K* installed in the target's TPM from quote signature check
- ▶ The quote was generated in response to the appraiser's request from nonce check
- ▶ Requested TPM PCR's generated the correct composite from composite check

# What the Appraiser Knows

If the PCR composite is correct and includes PCRs 17–20 the appraiser also knows:

| PCR | Value                  | Conclusion   |
|-----|------------------------|--|
| 17  | 0x00..0   #SINITPolicy | SENDER and SINIT ran                                     |
| 18  | 0x00..0   #MLE         | SINIT measured the MLE                                   |
| 19  | 0x00..0   #OS          | OS was correct on startup and was started by MLE         |
| 20  | 0x00..0   #app         | Application was correct on startup and was started by OS |

## Initially Good

- ▶ Target boot was started by SENTER
- ▶ Target is built from good parts in correct order

## Trusted $\neq$ Secure

- ▶ The appraiser knows whether it is talking to a good target
- ▶ Little information available if the target is bad
- ▶ The target is only being observed and not controlled