



MiFID/UCITS/AIFMD/EUSEF/EUVECA entities - Details

Disclaimer

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| | |
|--|---|
| Entity type | Investment firm |
| Last update | 22/03/2018 |
| LEI | R0MUWSFPU8MPRO8K5P83 |
| Head office LEI | |
| Entity name | BNP Paribas |
| Office type | Head office |
| Competent authority | Autorité de Contrôle Prudentiel et de Résolution (ACPR) |
| Home member state | FRANCE |
| Host member state | |
| Head office address | 16 boulevard des Italiens 75009 PARIS FRANCE |
| Branch address | |
| Comment | |
| Status | Active |
| Authorisation/Notification date | 11/10/1996 |
| Authorisation withdrawal/End date | |

Current Activities

| Service Name | Authorisation / Notification date | Status | Comment |
|--|-----------------------------------|--------|---------|
| Dealing on own account | 11/10/1996 | Active | |
| Execution of orders | 11/10/1996 | Active | |
| Investment advice | 01/11/2007 | Active | |
| Placing without firm commitment | 01/11/2007 | Active | |
| Portfolio Management | 11/10/1996 | Active | |
| Reception and transmission of orders | 11/10/1996 | Active | |
| Safekeeping and administration | 03/02/1999 | Active | |
| Underwriting or placing on firm commitment | 01/11/2007 | Active | |

Activities History

| Service Name | Authorisation / Notification date | Authorisation withdrawal / End date | Status | Comment |
|--|-----------------------------------|-------------------------------------|--------|---------|
| Dealing on own account | 11/10/1996 | | Active | |
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List of Sanctions

| Sanction legal framework | Member state | Sanctioning CA | Sanction date | Sanction expiration date | More Info |
|--------------------------|--------------|---|---------------|--------------------------|------------------------------|
| MiFID | AUSTRIA | Austrian Financial Market Authority (FMA) | 2021-06-04 | 2026-06-09 | View Details |

<< [Back to MiFID/UCITS/AIFMD/EUSEF/EUVECA entities](#)

Last update: 11/08/2022, 15:30:37