

# SOLUTIONS TO LECTURES ON SYMPLECTIC GEOMETRY

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October 7, 2023

## Abstract

I typed this during 2023, symplectic geometry and symplectic topology seminar by Huagui Duan. The reference is [1].

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## 1 Homework 1: Symplectic Linear Algebra

Given a linear subspace  $Y$  of a symplectic vector space  $(V, \Omega)$ , its **symplectic orthogonal**  $Y^\Omega$  is the linear subspace defined by

$$Y^\Omega := \{v \in V \mid \Omega(v, u) = 0, \forall u \in Y\}. \quad (1.1)$$

**Problem 1.1.** Show that  $\dim Y + \dim Y^\Omega = \dim V$ .

*Proof.* Consider the linear map below

$$\begin{aligned} V &\rightarrow Y^* = \text{Hom}(Y, \mathbb{R}) \\ v &\mapsto \Omega(v, \cdot)|_Y \end{aligned}$$

and we denote it as  $f$ , thus naturally  $v \in \text{Ker } f$  iff  $\Omega(v, \cdot)|_Y = 0$  iff for any  $u \in Y$ ,  $\Omega(v, u) = 0$ , iff  $v \in Y^\Omega$ , thus we have  $Y^\Omega = \text{Ker } f$ .

On the other hand, we will show that  $f$  is epimorphism, i.e., surjective. Suppose  $\{e_1, \dots, e_n, f_1, \dots, f_n\}$  is a symplectic basis of  $V$ , then suppose  $\{u_1, \dots, u_k\}$  is a basis of  $Y$ , and  $k = \dim Y$ , we CLAIM: there exists  $\{v_1, \dots, v_k\} \subset V$  such that  $\Omega(u_i, v_j) = \delta_{ij}$ , now suppose  $u_l = u_l^i e_i + u_l^\alpha f_\alpha$ , and let  $v_s = v_s^i e_i + v_s^\alpha f_\alpha$ , which the coefficients are to be determined. then from  $u_1, \dots, u_k$  are linearly independent, one can always solve the linear equation and find a desired  $v_1, \dots, v_k$ , then we for any  $g \in Y^*$ , we define  $v = g(u_i)v_i$ , then one can directly check that for any  $u \in Y$ ,  $\Omega(v, u) = g(u)$ .

So in short, we have  $\text{Ker } f = Y^\Omega$  and  $\text{Im } f = Y^* \cong Y$ , thus we have  $\dim Y + \dim Y^\Omega = \dim V$ .  $\square$

**Problem 1.2.** Show that  $(Y^\Omega)^\Omega = Y$ .

*Proof.* On the one hand, from problem 1.1 above, we have  $\dim Y = \dim(Y^\Omega)^\Omega$ , and since for any  $u \in Y$ ,  $v \in Y^\Omega$ , by definition, we have  $\Omega(u, v) = 0$ , then  $Y \subseteq (Y^\Omega)^\Omega$ , thus we have  $Y = (Y^\Omega)^\Omega$ .  $\square$

**Problem 1.3.** Show that, if  $V$  and  $W$  are subspaces, then  $Y \subseteq W$  if and only if  $W^\Omega \subseteq Y^\Omega$ .

*Proof.* One the one hand, if  $Y \subseteq W$ , then for any  $w' \in W^\Omega$ , since any  $y \in Y$  we have naturally  $y \in W$ , then  $\Omega(w', y) = 0$ , thus  $W^\Omega \subseteq Y^\Omega$ . For the other side, since  $W^\Omega \subseteq Y^\Omega$ , then from problem 1.2, we have  $Y = (Y^\Omega)^\Omega \subseteq (W^\Omega)^\Omega = W$ , then we finish the proof.  $\square$

**Problem 1.4.** Show that  $Y$  is **symplectic**, i.e.,  $\Omega|_{Y \times Y}$  is nondegenerate if and only if  $Y \cap Y^\Omega = \{0\}$  if and only if  $V = Y \oplus Y^\Omega$ .

*Proof.* From problem 1.1, we have  $\dim Y + \dim Y^\Omega = \dim V$ , so it is trivial to see that  $Y \cap Y^\Omega = \{0\}$  if and only if  $V = Y \oplus Y^\Omega$ , now if  $\Omega|_{Y \times Y}$  is nondegenerate, then if  $y \in Y \cap Y^\Omega$ , then for any  $y' \in Y$ , we have  $\Omega(y, y') = 0$ , which is clearly absurd, then on the other hand, if  $Y \cap Y^\Omega = \{0\}$ , then if  $y \in Y$  and for any  $y' \in Y$  we have  $\Omega(y, y') = 0$ , then  $y \in Y^\Omega$ , thus  $y' = 0$ , then  $\Omega|_{Y \times Y}$  is nondegenerate. Finally we finish the proof.  $\square$

**Remark 1.** The properties above are all same with the classical orthogonal for Euclidean spaces.

**Problem 1.5.** We call  $Y$  is **isotropic** when  $Y \subset Y^\Omega$ , i.e.,  $\Omega|_{Y \times Y} \equiv 0$ , show that if  $Y$  is isotropic, then  $\dim Y \leq \frac{1}{2} \dim V$ .

*Proof.* This is directly from  $\dim Y + \dim Y^\Omega = \dim V$  and the isotropic definition:  $Y \subseteq Y^\Omega$ .  $\square$

**Remark 2.** Actually, one can trust it intuitively, if  $\dim Y > \frac{1}{2} \dim V$ , then consider the symplectic basis of  $V$ , then it is not hard to find it is absurd.

**Problem 1.6.** We call  $Y$  **coisotropic** when  $Y^\Omega \subseteq Y$ , check that every codimension 1 subspace  $Y$  is coisotropic.

*Proof.* Since  $Y$  has codimension 1, then  $\dim Y^\Omega = 1$ , suppose  $Y^\Omega = \{au | a \in \mathbb{R}\}$ , then if  $u \notin Y$ , then  $u$  combined with the basis of  $Y$  form a basis of  $V$ , then since for any  $y \in Y$ ,  $\Omega(u, y) = 0$  and  $\Omega(u, u) = 0$ , we have  $\Omega(u, \cdot) \equiv 0$ , which is contradicted to  $\Omega$  is nondegenerate.  $\square$

**Remark 3.** For the same reason, if  $Y$  has dimension 1, then  $Y$  is isotropic.

**Problem 1.7.** An isotropic subspace  $Y$  of  $(V, \Omega)$  is called **Lagrangian** when  $\dim Y = \frac{1}{2} \dim V$ , check that  $Y$  is Lagrangian iff  $Y$  is isotropic and coisotropic iff  $Y = Y^\Omega$ .

*Proof.* This problem is trivial from definition.  $\square$

**Problem 1.8.** Show that, if  $Y$  is a Lagrangian subspace of  $(V, \Omega)$ , then any basis  $e_1, \dots, e_n$  of  $Y$  can be extended to a symplectic basis  $e_1, \dots, e_n, f_1, \dots, f_n$  of  $(V, \Omega)$ .

*Proof.* Note that  $Y$  is Lagrangian iff  $Y = Y^\Omega$ , so we have  $\Omega(e_i, e_j) = 0$ , since  $\Omega$  is nondegenerate, so we can find  $f_1 \in V$  such that  $\Omega(e_1, f_1) = 1$ , then we do the same thing as theorem 1.1 of [1]:

Firstly, we consider  $W = \text{span}\{e_1, f_1\}$ , then we consider  $W^\Omega$ , then we have  $W \cap W^\Omega = \{0\}$ , then we have  $V = W \oplus W^\Omega$ , and trivially we can see that  $V' = \text{span}\{e_2, \dots, e_n\}$  is the Lagrangian subspace of  $W^\Omega$ , which is because  $V'$  is already an isotropic subspace (this comes from the subspace of isotropic space is still isotropic), so we can finish the proof by induction.  $\square$

**Remark 4.** The properties from problem 1.5 is a little "weird", this is because in Euclidean orthogonal,  $\Omega(u, u) = 0$  will never happen, thus there will also have  $W \cap W^\perp = \{0\}$ . One should always be careful.

**Problem 1.9.** Show that, if  $Y$  is a lagrangian subspace,  $(V, \Omega)$  is symplectomorphic to the space  $(Y \oplus Y^*, \Omega_0)$ , where  $\Omega_0$  is determined by the formula

$$\Omega_0(u \oplus v \oplus \beta) = \beta(u) - \alpha(v). \quad (1.2)$$

In fact, for any vector space  $E$ , the direct sum  $V = E \oplus E^*$  has a canonical symplectic structure determined by the formula above. If  $e_1, \dots, e_n$  is a basis of  $E$ , and  $f_1, \dots, f_n$  is the dual basis, then  $e_1 \oplus 0, \dots, e_n \oplus 0, 0 \oplus f_1, \dots, 0 \oplus f_n$  is a symplectic basis for  $V$ .

*Proof.* Suppose  $e_1, \dots, e_n$  is the basis of  $Y$ , and from problem 1.8, we have we can extend it to a symplectic basis of  $V$ , i.e.,  $e_1, \dots, e_n, f_1, \dots, f_n$ , then we define  $\varphi : V \rightarrow Y \oplus Y^*$ , suppose the dual basis of  $e_i$  is  $E_i$ , then  $\varphi(e_i) = e_i$ , and  $\varphi(f_i) = E_i$ , now we check  $\varphi^* \Omega_0 = \Omega$ , note that  $\varphi^* \Omega_0(e_i, e_j) = \Omega_0(e_i \oplus 0, e_j \oplus 0) = 0$ ,  $\varphi^* \Omega_0(e_i, f_j) = \Omega_0(e_i \oplus 0, 0 \oplus E_j) = \delta_{ij}$ , and  $\varphi^* \Omega_0(f_i, f_j) = 0$ , so we know that the two symplectic spaces are symplectomorphic.  $\square$

**Question 1.10.** From problem 1.8 we know that for lagrangian subspace and any basis  $e_1, \dots, e_n$ , we can extend them to a symplectic basis of  $V$ , and from Gram-Schmidt orthogonal process, we know that for any basis of a Euclidean subspace, we can extend them to an orthonormal basis, so the question is: for what subspace  $Y$ , we can find a basis of  $Y$  and then extend them to a symplectic basis?

*Solution.* **Claim 1:** if  $Y$  is an isotropic subspace, then for any basis of  $Y$ , we can extend them to a symplectic basis. Since  $Y \subseteq Y^\Omega$ , if  $Y = Y^\Omega$ , then it is lagrangian space, so it is true from problem 1.8. If  $Y \subset Y^\Omega$ , and the basis is  $e_1, \dots, e_k$ , then there exists  $e_{k+1} \in Y^\Omega \setminus Y$ , so consider  $Y' = \text{span}\{e_1, \dots, e_{k+1}\}$ , then it is not hard to see that  $Y'$  is also an isotropic subspace, so we can continue this procedure until  $Y'$  is lagrangian, then from 1.8 we can finish the proof.

**Observation 2:** consider  $\text{span}\{e_1, f_1\}$ , then it is not an isotropic subspace, but we can extend this basis to a symplectic basis.  $\square$

## 2 Homework 2: Symplectic Volume

**Exercise 2.1.** Let  $(\mathcal{U}, x^1, \dots, x^n)$  be a chart on a smooth manifold  $X$ , with associated cotangent coordinates  $x^1, \dots, x^n, \xi_1, \dots, \xi_n$ . Show that on  $T^*\mathcal{U}$ ,  $\alpha = \xi_i dx^i$

*Proof.* The tautological 1-form  $\alpha$  is defined pointwise at  $p = (x, \xi)$  as  $\alpha_p = (d\pi_p)^*\xi$ , so if we denote

$$T_x X = \text{span} \left\{ \frac{\partial}{\partial x^1} \Big|_x, \frac{\partial}{\partial x^2} \Big|_x, \dots, \frac{\partial}{\partial x^n} \Big|_x \right\}, \quad T_p M = \text{span} \left\{ \frac{\partial}{\partial x^1} \Big|_p, \dots, \frac{\partial}{\partial x^n} \Big|_p, \frac{\partial}{\partial \xi_1} \Big|_p, \dots, \frac{\partial}{\partial \xi_n} \Big|_p \right\} \quad (2.1)$$

then suppose  $\alpha_p = a_i dx^i + b^i d\xi_i$ , then we have  $a_i = \alpha_p(\partial_{x^i}|_p) = \xi_x(d\pi_p(\partial_{x^i}|_p)) = \xi_i$ , and since  $d\pi_p(\partial_{\xi_i}|_p) = 0$ , thus we have  $b_i = 0$ , then we have  $\alpha = \xi_i dx^i$ .  $\square$

**Exercise 2.2.** Show that the tautological 1-form  $\alpha$  is uniquely characterized by the property that, for every  $\mu \in T^*X$ , and  $s_\mu : X \rightarrow T^*X$  such that  $s_\mu(x) = (x, \mu_x)$ , then  $s_\mu^* \alpha = \mu$ .

*Proof.* On the one hand, we check  $\alpha_{(x, \xi)} = \xi_i dx^i$  satisfies the property above. At each  $x \in X$ , we have

$$(s_\mu^* \alpha)_x = s_\mu^* \alpha_{s_\mu(x)} = s_\mu^* \alpha_{(x, \mu_x)} = s_\mu^* \pi^* \mu_x = (\pi \circ s_\mu)^* \mu_x = \mu_x,$$

or we can directly check from the definition of pullback

$$s_\mu^* \alpha_{(x, \mu_x)} = s_\mu^* (\mu_{x,i} dx^i) = \mu_{x,i} \circ s_\mu(x) dx^i \circ s_\mu = \mu_{x,i} dx^i = \mu_x.$$

On the other hand, if for each  $\mu$ , we have  $s_\mu^* \alpha = \mu$ , then suppose  $\alpha = a_i dx^i + b^j d\xi_j$ , then we have

$$\mu_x = a_i \circ s_\mu(x) dx^i \circ s_\mu + b^j \circ s_\mu(x) d\xi_j \circ s_\mu,$$

then we have  $a_i(x, \mu_x) = \mu_{x,i}$  and  $b^j(x, \mu_x) = 0$ , then we know that  $\alpha_{(x, \mu_x)} = \mu_{x,i} dx^i$ , which means that  $\alpha$  is the tautological 1-form.  $\square$

**Exercise 2.3.** Suppose that  $f : X \rightarrow Y$  is a diffeomorphism, then the map  $f_\# : M \rightarrow N$ , where  $M = T^*X$  and  $N = T^*Y$ , given by at point  $p = (x, \xi)$  and  $q = f_\#(p) = (y, \eta)$  is

$$y = f(x), \quad \xi = f^* \eta, \quad (2.2)$$

here  $f^* \eta(X_p) = \eta_{f(p)}(f_{*,p} X_p)$ , then check that  $f_\#$  is a diffeomorphism.

*Proof.* Trivially, for  $\pi_1 : M \rightarrow X$  and  $\pi_2 : N \rightarrow Y$ , we have  $f \circ \pi_1 = \pi_2 \circ f_\#$ , then since  $f$  is a diffeomorphism, then  $f_{*,p}$  is a linear isomorphism from  $T_q^* N$  to  $T_p^* M$ , thus, we can give a coordinate expression of  $f_\#$  at  $p = (x, \xi)$  if the coordinate is  $(x^1, \dots, x^n, \xi_1, \dots, \xi_n)$ , then  $f_\#(x^1, \dots, x^n, \xi_1, \dots, \xi_n) = (f(x^1, \dots, x^n), (f_{*,p}^*)^{-1}(\xi_1, \dots, \xi_n))$ , in short, we can write it as  $f_\# = (f, (f_{*,p}^*)^{-1})$ , trivially it is smooth, and  $f_\#^{-1} = (f^{-1}, f_{*,p}^*)$  which is also smooth.  $\square$

**Problem 2.1.** Given a vector space  $V$ , the exterior algebra of its dual space is

$$\wedge^*(V^*) = \bigoplus_{k=0}^{\dim V} \wedge^k(V^*),$$

where  $\wedge^k(V^*)$  is the set of maps  $\alpha : \overbrace{V \times \dots \times V}^k \rightarrow \mathbb{R}$  which are linear in each entry, and for any permutation  $\pi$ ,  $\alpha(v_{\pi_1}, \dots, v_{\pi_k}) = (\text{sgn} \pi) \cdot \alpha(v_1, \dots, v_k)$ . The elements of  $\wedge^k(V^*)$  are known as **skew-symmetric k-linear maps** or **k-forms**.

1. Show that any  $\Omega \in \wedge^2(V^*)$  is of the form  $\Omega = e_1^* \wedge f_1^* + \cdots + e_n^* \wedge f_n^*$ , where  $u_1^*, \dots, u_k^*, e_1^*, \dots, e_n^*, f_1^*, \dots, f_n^*$  is a basis of  $V^*$  dual to the standard basis ( $k + 2n = \dim V$ ).
2. Show that  $\Omega^n = \underbrace{\Omega \wedge \cdots \wedge \Omega}_n$  does not vanish.
3. Deduce that the  $n$ -th exterior power  $\omega^n$  of any symplectic form  $\omega$  on a  $2n$ -dimensional manifold  $M$  is a volume form. So each symplectic manifold is oriented, then Mobius strip is not a symplectic manifold.
4. Conversely, given a 2-form  $\Omega \in \wedge^2(V^*)$ , show that, if  $\Omega^n \neq 0$ , then  $\Omega$  is symplectic.

*Proof.* (1) From a known result,  $\wedge^2(V^*)$  is generated by  $\{u_i^* \wedge e_j^*, e_k^* \wedge f_l^*, u_s^* \wedge f_t^*\}$ , thus  $\Omega$  can be written as a linear combination of this basis, since we have  $\Omega(u_i, v) = 0$  for any  $v \in V$ , and  $\Omega(e_i, v) \neq 0$  iff  $v = cf_i$ , and  $\Omega(e_i, f_i) = 1$ , thus we have  $\Omega = e_1^* \wedge f_1^* + \cdots + e_n^* \wedge f_n^*$ .

(2) Suppose  $e_1, \dots, e_n, f_1, \dots, f_n$  is a symplectic basis of  $V$ , then from (1), we have  $\Omega = e_1^* \wedge f_1^* + \cdots + e_n^* \wedge f_n^*$ , if we write  $\omega_i = e_i^* \wedge f_i^*$ , then we have  $\omega_i \wedge \omega_j = (-1)^{2 \times 2} \omega_j \wedge \omega_i$ , i.e.,  $\omega_i \wedge \omega_j = \omega_j \wedge \omega_i$ , now for  $\Omega = \omega_1 + \cdots + \omega_n$ ,

$$\Omega^n = \sum_{\pi \in S_n} \omega_{\pi_1} \wedge \cdots \wedge \omega_{\pi_n} = \sum_{\pi \in S_n} \omega_1 \wedge \cdots \wedge \omega_n = n! \cdot \omega_1 \wedge \cdots \wedge \omega_n,$$

from this we know that  $\Omega^n$  does not vanish.

(3) For each  $p \in M$ , then  $\omega_p$  is a symplectic form on  $T_p M$ , thus from (2) we know that  $\omega_p^n$  does not vanish, thus we have  $\omega^n$  is a volume form.

(4) If  $\Omega$  is not symplectic, then from (1), we have a standard basis  $u_1^*, \dots, u_k^*, e_1^*, e_l^*, f_1^*, \dots, f_l^*$ , where  $l < n$  and  $k + 2l = 2n$ , then we have  $\Omega = e_1^* \wedge f_1^* + \cdots + e_l^* \wedge f_l^*$ , since  $l < n$ , then in each term of  $\Omega^n$ , there must have a  $e_i^* \wedge f_i^*$  appears twice, thus this term vanishes, so we have  $\Omega^n = 0$ , which is a contradiction.  $\square$

**Problem 2.2.** Let  $(M, \omega)$  be a  $2n$ -dimensional symplectic manifold, and let  $\omega^n$  be the volume form obtained by wedging  $\omega$  with itself  $n$  times.

1. Show that, if  $M$  is compact, the de Rham cohomology class  $[\omega^n] \in H^{2n}(M, \mathbb{R})$  is non-zero.
2. conclude that  $[\omega]$  itself is non-zero, i.e.,  $\omega$  is not exact.
3. Show that if  $n > 1$ , then  $\mathbb{S}^{2n}$  cannot be a symplectic manifold.

*Proof.* (1) On the one hand  $d\omega = 0$ , thus we have  $d\omega^n = 0$ , then  $\omega^n$  is closed, then if  $\omega^n$  is closed, and suppose  $\omega^n = d\eta$ , where  $\eta \in H^{2n-1}(M)$ , then since  $M$  is compact and I think it means that it is compact and without boundary, thus from Stokes' theorem

$$\int_M \omega^n = \int_M d\eta = \int_{\partial M} \eta = 0,$$

however, from Darboux theorem, there is an atlas, such that  $M = \cup U_i$ , and local chart  $(U_i, \varphi_i)$  such that

$$(\varphi_i^{-1})^* \omega = \sum_{k=1}^n dx^k \wedge dy^k,$$

then we have

$$(\varphi_i^{-1})^* \omega^n = n! \cdot dx^1 \wedge dy^1 \wedge \cdots \wedge dx^n \wedge dy^n,$$

thus consider  $\{\rho_i\}$  is the partition of unity subordinate to  $\{U_i, \varphi_i\}$ , then we have

$$\int_M \omega^n = \sum_i \int_{\phi_i(U_i)} \rho_i (\varphi_i^{-1})^* \omega^n = n! \cdot \int_M dV = n! \cdot \text{Vol}(M) > 0,$$

which is a contradiction.

(2) If not, then suppose  $\omega = d\alpha$ , then we have  $\omega^n = d(\alpha \wedge d\alpha \wedge \cdots \wedge d\alpha)$ , which is a contradiction.

(3) This is directly from  $H^2(\mathbb{S}^{2n}) = 0$ .  $\square$

### 3 Homework 3: Tautological Form and Symplectomorphisms

**Exercise 3.1.** Show that a map  $i : X \rightarrow M$  is a closed embedding if and only if  $i$  is an embedding and its image  $i(X)$  is closed in  $M$ .

*Proof.*

□

**Exercise 3.2.** Check that, if  $X$  is compact (and not just one point) and  $f \in C^\infty(X)$ , then  $\#(X_{df} \cap X_0) \geq 2$ .

*Proof.* Since  $X$  is compact, then we have for  $f \in C^\infty(X)$ , there must exist minimal and maximal points, then at these two points,  $df = 0$ , so we have  $\#(X_{df} \cap X_0) \geq 2$ . □

**Exercise 3.3.** The conormal bundle  $N^*S$  is an  $n$ -dimensional submanifold of  $T^*X$ .

*Proof.* As a set  $N^*S = \{(x, \xi) \in T^*X \mid x \in S, \xi \in N_x^*S\}$ , so we inherit its subspace topology, and then naturally, it is Hausdorff and second-countable, so now it suffices to give it a smooth chart. Fortunately, since  $S$  is a submanifold of  $X$ , then there exists an open cover  $\{U_i\}$  of  $X$ , and with coordinate map  $\varphi_i$  such that on each  $S \cap U_i$ ,  $\varphi_i(S \cap U_i) = \varphi_i(U_i) \cap \{x^{k+1} = \dots = x^n = 0\}$ , so we further have for  $(x, \xi) \in N^*S \cap T^*U_i$ , we have  $\xi = a_{k+1}dx^{k+1} + \dots + a_n dx^n$ , thus we can find a local chart  $N^*S \cap T^*U_i$ , and  $\psi_i : N^*S \cap T^*U_i \rightarrow \mathbb{R}^n$ , and  $\psi_i(x, \xi) = (x^1, \dots, x^k, a_{k+1}, \dots, a_n)$ , not hard to check that these give a smooth atlas of  $N^*S$ , then we finish the proof. □

**Problem 3.1.** Let  $(M, \omega)$  be a symplectic manifold, and  $\alpha$  be a 1-form such that

$$\omega = -d\alpha.$$

Show that there exists a unique vector field  $v$  such that its interior product with  $\omega$  is  $\alpha$ , i.e.,  $i(v)\omega = \alpha$ .

*Proof.*

□

### References

- [1] A. C. da Silva, *Lectures on Symplectic Geometry*. Springer, 2008, vol. 1764.