

# **Objectives**

After completing this lesson, you should be able to do the following:

- Identify the available group functions
- Describe the use of group functions
- Group data by using the GROUP BY clause
- Include or exclude grouped rows by using the HAVING clause

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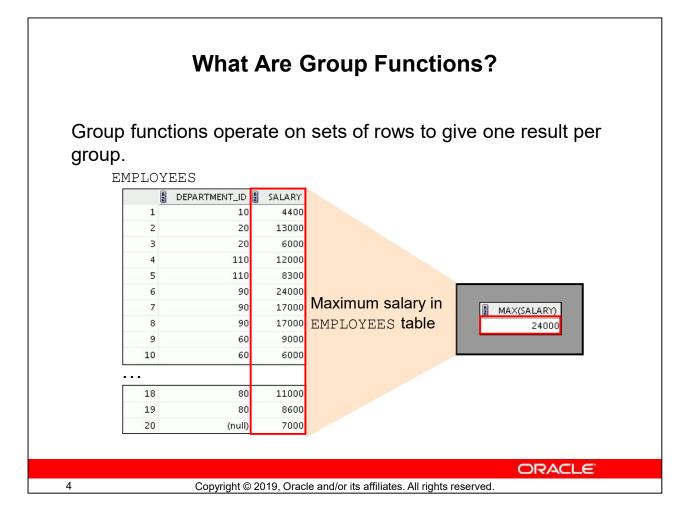
This lesson further addresses functions. It focuses on obtaining summary information (such as averages) for groups of rows. It discusses how to group rows in a table into smaller sets and how to specify search criteria for groups of rows.

# Lesson Agenda

- Group functions:
  - Types and syntax
  - Use AVG, SUM, MIN, MAX, COUNT
  - Use the DISTINCT keyword within group functions
  - NULL values in a group function
- Grouping rows:
  - GROUP BY clause
  - HAVING clause
- Nesting group functions

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Unlike single-row functions, group functions operate on sets of rows to give one result per group. These sets may comprise the entire table or the table split into groups.

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Each of the functions accepts an argument. The following table identifies the options that you can use in the syntax:

Function	Description
AVG([DISTINCT ALL]n)	Average value of n, ignoring null values
COUNT	Number of rows, where <code>expr</code> evaluates to something other than null (count all selected rows using *, including duplicates and rows with nulls)
MAX([DISTINCT ALL]expr)	Maximum value of expr, ignoring null values
MIN([DISTINCT ALL]expr)	Minimum value of expr, ignoring null values
STDDEV([DISTINCT ALL]n)	Standard deviation of n, ignoring null values
SUM([DISTINCT ALL]n)	Sum values of n, ignoring null values
LISTAGG	Orders data within each group specified in the ORDER BY clause and then concatenates the values of the measure column
VARIANCE ([DISTINCT   ALL] n)	Variance of n, ignoring null values

### **Group Functions: Syntax**

SELECT group\_function(column), ...

FROM table
[WHERE condition];

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The group function is placed after the  $\mathtt{SELECT}$  keyword. You may have multiple group functions separated by commas.

Syntax:

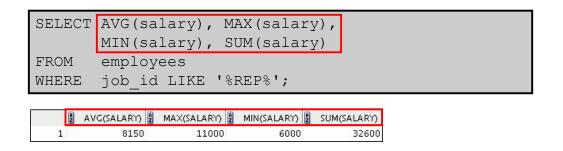
group\_function([DISTINCT|ALL]expr)

Guidelines for using the group functions:

- DISTINCT makes the function consider only nonduplicate values; ALL makes it consider every value, including duplicates. The default is ALL and, therefore, does not need to be specified.
- The data types for the functions with an expr argument may be CHAR, VARCHAR2, NUMBER, or DATE.
- All group functions ignore null values. To substitute a value for null values, use the NVL, NVL2, COALESCE, CASE, or DECODE functions.

# Using the AVG and SUM Functions

You can use AVG and SUM for numeric data.



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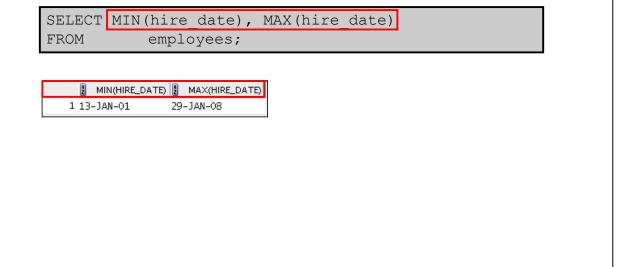
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You can use the AVG, SUM, MIN, and MAX functions against the columns that can store numeric data. The example in the slide displays the average, highest, lowest, and sum of monthly salaries for all sales representatives.

# Using the MIN and MAX Functions

You can use MIN and MAX for numeric, character, and date data types.

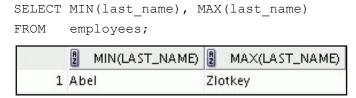


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You can use the MAX and MIN functions for numeric, character, and date data types. The example in the slide displays the most junior and most senior employees.

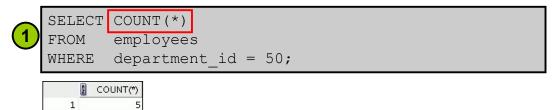
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The following example displays the employee last name that is first and the employee last name that is last in an alphabetic list of all employees:

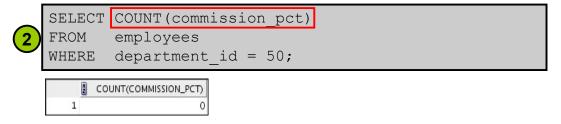


### Using the COUNT Function

COUNT (\*) returns the number of rows in a table:



COUNT (expr) returns the number of rows with non-null values for expr:



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The COUNT function has three formats:

- COUNT (\*)
- COUNT (expr)
- COUNT (DISTINCT expr)

COUNT (\*) returns the number of rows in a table that satisfy the criteria of the SELECT statement, including duplicate rows and rows containing null values in any of the columns. If a WHERE clause is included in the SELECT statement, COUNT (\*) returns the number of rows that satisfy the condition in the WHERE clause.

In contrast, COUNT (expr) returns the number of non-null values that are in the column identified by expr.

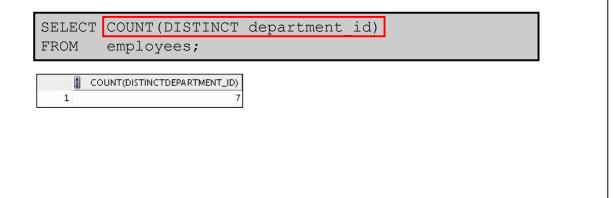
COUNT (DISTINCT expr) returns the number of unique, non-null values that are in the column identified by expr.

#### **Examples**

- 1. The example in the slide displays the number of employees in department 50.
- 2. The example in the slide displays the number of employees in department 50 who can earn a commission.

# Using the DISTINCT Keyword

- COUNT (DISTINCT expr) returns the number of distinct non-null values of expr.
- To display the number of distinct department values in the EMPLOYEES table:



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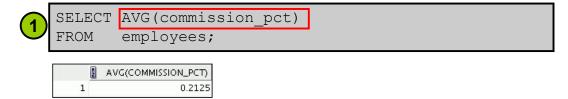
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Use the  ${\tt DISTINCT}$  keyword to suppress the counting of any duplicate values in a column.

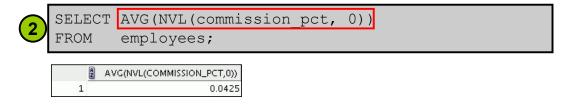
The example in the slide displays the number of distinct department values that are in the EMPLOYEES table.

### **Group Functions and Null Values**

Group functions ignore null values in the column:



The NVL function forces group functions to include null values:



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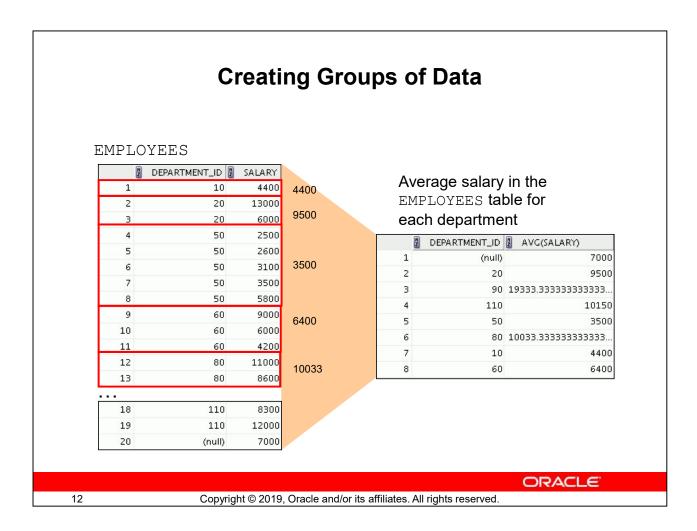
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All group functions ignore null values in the column.

However, the NVL function forces group functions to include null values.

#### **Examples**

- 1. The average is calculated based on *only* those rows in the table in which a valid value is stored in the COMMISSION\_PCT column. The average is calculated as the total commission that is paid to all employees divided by the number of employees receiving a commission (four).
- 2. The average is calculated based on *all* rows in the table, regardless of whether null values are stored in the COMMISSION\_PCT column. The average is calculated as the total commission that is paid to all employees divided by the total number of employees in the company (20).



Until this point in the discussion, all group functions have treated the table as one large group of information. At times, however, you need to divide the table of information into smaller groups. This can be done by using the GROUP BY clause.

# Creating Groups of Data: GROUP BY Clause Syntax

You can divide rows in a table into smaller groups by using the GROUP BY clause.

```
SELECT column, group_function(column)

FROM table

[WHERE condition]

[GROUP BY group_by_expression]

[ORDER BY column];
```

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You can use the <code>GROUP BY</code> clause to divide the rows in a table into groups. You can then use the group functions to return summary information for each group.

In the syntax:

group\_by\_expression Specifies the columns whose values determine the basis for grouping rows

### **Guidelines: Group By Clause**

- If you include a group function in a SELECT clause, you cannot select individual column as well, *unless* the individual column appears in the GROUP BY clause.
- You receive an error message if you fail to include the column list in the GROUP BY clause.
- Using a WHERE clause, you can exclude rows before dividing them into groups.
- You can substitute column by an Expression in the SELECT statement.
- You must include the columns in the GROUP BY clause.
- You cannot use a column alias in the GROUP BY clause.

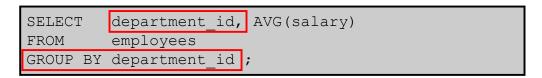
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#### Using the GROUP BY Clause

All the columns in the SELECT list that are not in group functions must be in the GROUP BY clause.



	DEPARTMENT_ID	2 AVG(SALARY)
1	(null)	7000
2	90	19333.3333333333333333333333333333333
3	20	9500
4	110	10154
5	50	3500
6	80	10033.3333333333333333333333333333333
.7	60	6400
8	10	4400

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When using the GROUP BY clause, make sure that all columns in the SELECT list that are not group functions are included in the GROUP BY clause. The example in the slide displays the department number and the average salary for each department. Here is how this SELECT statement, containing a GROUP BY clause, is evaluated:

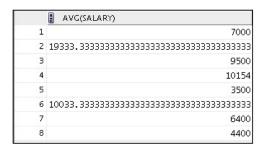
- The SELECT clause specifies the columns to be retrieved, as follows:
  - Department number column in the EMPLOYEES table
  - The average of all salaries in the group that you specified in the GROUP BY clause
- The FROM clause specifies the tables that the database must access: the EMPLOYEES table.
- The WHERE clause specifies the rows to be retrieved. Because there is no WHERE clause, all rows are retrieved by default.
- The GROUP BY clause specifies how the rows should be grouped. The rows are grouped by department number, so the AVG function that is applied to the salary column calculates the average salary for each department.

**Note:** To order the query results in ascending or descending order, include the ORDER BY clause in the query.

# Using the GROUP BY Clause

The GROUP BY column does not have to be in the SELECT list.

```
SELECT AVG(salary)
FROM employees
GROUP BY department_id;
```



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The GROUP BY column does not have to be in the SELECT clause. For example, the SELECT statement in the slide displays the average salaries for each department without displaying the respective department numbers. Without the department numbers, however, the results do not look meaningful.

You can also use the group function in the ORDER BY clause:

```
SELECT department_id, AVG(salary)
FROM employees
GROUP BY department_id
ORDER BY AVG(salary);
```

A	DEPARTMENT_ID	2 AVG(SALARY)
1	50	3500
2	10	4400
3	60	6400
4	(null)	7000
5	20	9500
6	80	10033.33333333333333333333333333333333
7	110	10154
8	90	19333.3333333333333333333333333333333

# **Grouping by More Than One Column**

#### **EMPLOYEES**

	A	DEPARTMENT_ID	AZ.	JOB_ID	A	SALARY
1		10	AD_	ASST		4400
2		20	MK_	MAN		13000
3		20	MK_	REP		6000
4		50	ST_	CLERK		2500
5		50	ST_	CLERK		2600
6		50	ST_	CLERK		3100
7		50	ST_	CLERK		3500
8		50	ST_I	MAN		5800
9		60	IT_F	ROG		9000
10		60	IT_F	ROG		6000
11		60	IT_F	ROG		4200
12		80	SA_	REP		11000
13		80	SA_	REP		8600
14		80	SA_	MAN		10500
19		110	AC_	MGR		12000
20		(null)	SA_I	REP		7000

Add the salaries in the EMPLOYEES table for each job, grouped by department.

	Ą	DEPARTMENT_ID	₫ JOB_ID	2 SUM(SALARY)
1		110	AC_ACCOUNT	8300
2		110	AC_MGR	12008
3		10	AD_ASST	4400
4		90	AD_PRES	24000
5		90	AD_VP	34000
6		60	IT_PROG	19200
7		20	MK_MAN	13000
8		20	MK_REP	6000
9		80	SA_MAN	10500
10		80	SA_REP	19600
11		(null)	SA_REP	7000
12		50	ST_CLERK	11700
13		50	ST_MAN	5800

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Sometimes, you need to see results for groups within groups. The slide shows a report that displays the total salary that is paid to each job title in each department.

The EMPLOYEES table is grouped first by the department number, and then by the job title within that grouping. For example, the four stock clerks in department 50 are grouped together, and a single result (total salary) is produced for all stock clerks in the group.

The following SELECT statement returns the result shown in the slide:

```
SELECT department_id, job_id, sum(salary)
FROM employees
GROUP BY department_id, job_id
ORDER BY job id;
```

#### Using the GROUP BY Clause on Multiple Columns

```
SELECT department_id, job_id, SUM(salary)
FROM employees
WHERE department_id > 40
GROUP BY department_id, job_id
ORDER BY department_id;
```

	A	DEPARTMENT_ID		SUM(SALARY)
1		50	ST_CLERK	11700
2		50	ST_MAN	5800
3		60	IT_PROG	19200
4		80	SA_MAN	10500
5		80	SA_REP	19600
6		90	AD_PRES	24000
7		90	AD_VP	34000
8		110	AC_ACCOUNT	8300
9		110	AC_MGR	12008

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You can return summary results for groups and subgroups by listing multiple GROUP BY columns. The GROUP BY clause groups rows but does not guarantee the order of the result set. To order the groupings, use the ORDER BY clause.

In the example in the slide, the SELECT statement that contains a GROUP BY clause is evaluated as follows:

- The SELECT clause specifies the column to be retrieved:
  - DEPARTMENT ID in the EMPLOYEES table
  - JOB ID in the EMPLOYEES table
  - The sum of all salaries in the group that you specified in the GROUP BY clause
- The FROM clause specifies the tables that the database must access: the EMPLOYEES table.
- The WHERE clause reduces the result set to those rows where department ID is greater than 40.
- The GROUP BY clause specifies how you must group the resulting rows:
  - First, the rows are grouped by the DEPARTMENT ID.
  - Second, the rows are grouped by JOB ID in the DEPARTMENTID groups.
- The ORDER BY clause sorts the results by department ID.

Note: The SUM function is applied to the salary column for all job IDs in the result set in each

DEPARTMENT ID group. Also, note that the SA\_REP row is not returned. The DEPARTMENT ID for this row is NULL and, therefore, does not meet the WHERE condition.

# **Illegal Queries Using Group Functions**

Any column or expression in the SELECT list that is not an aggregate function must be in the GROUP BY clause:

```
SELECT department_id, COUNT(last_name)
FROM employees;
```

ORA-00937: not a single-group group function 00937. 00000 - "not a single-group group function" A GROUP BY clause must be added to count the last names for each department\_id.

```
SELECT department_id, job_id, COUNT(last_name)
FROM employees
GROUP BY department_id;
```

ORA-00979: not a GROUP BY expression 00979. 00000 - "not a GROUP BY expression" Either add job\_id in the GROUP BY or remove the job\_id column from the SELECT list.

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Whenever you use a mixture of individual items (DEPARTMENT\_ID) and group functions (COUNT) in the same SELECT statement, you must include a GROUP BY clause that specifies the individual items (in this case, DEPARTMENT\_ID). If the GROUP BY clause is missing, the error message "not a single-group group function" appears and an asterisk (\*) points to the offending column. You can correct the error in the first example in the slide by adding the GROUP BY clause:

```
SELECT department_id, count(last_name)
FROM employees
GROUP BY department id;
```

Any column or expression in the SELECT list that is not an aggregate function must be in the GROUP BY clause. In the second example in the slide, <code>job\_id</code> is neither in the <code>GROUP BY</code> clause nor is it being used by a group function, so there is a "not a <code>GROUP BY</code> expression" error. You can correct the error in the second slide example by adding <code>job\_id</code> in the <code>GROUP BY</code> clause.

```
SELECT department_id, job_id, COUNT(last_name)
FROM employees
GROUP BY department id, job id;
```

# **Illegal Queries Using Group Functions**

- You cannot use the WHERE clause to restrict groups.
- You use the HAVING clause to restrict groups.
- You cannot use group functions in the WHERE clause.

```
SELECT department_id, AVG(salary)
FROM employees
WHERE AVG(salary) > 8000
GROUP BY department_id;
```

```
ORA-00934: group function is not allowed here
00934. 00000 - "group function is not allowed here"
*Cause:
*Action:
Error at Line: 3 Column: 9
```

Cannot use the WHERE clause to restrict groups

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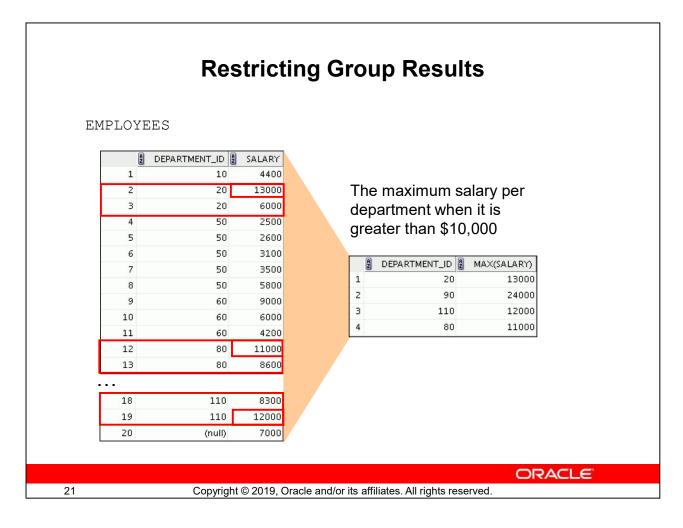
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The WHERE clause cannot be used to restrict groups. The SELECT statement in the example in the slide results in an error because it uses the WHERE clause to restrict the display of the average salaries of those departments that have an average salary greater than \$8,000. However, you can correct the error in the example by using the HAVING clause to restrict groups:

```
SELECT department_id, AVG(salary)
FROM employees
GROUP BY department_id
HAVING AVG(salary) > 8000;
```

	DEPARTMENT_ID	2 AVG(SALARY)
1	90	19333.3333333333333333333333333333333
2	20	9500
3	110	10154
4	80	10033.333333333333333333333333333333333



You use the HAVING clause to restrict groups in the same way that you use the WHERE clause to restrict the rows that you select. To find the maximum salary in each of the departments that have a maximum salary greater than \$10,000, you need to do the following:

- 1. Find the average salary for each department by grouping by department number.
- 2. Restrict the groups to those departments with a maximum salary greater than \$10,000.

#### Restricting Group Results with the HAVING Clause

When you use the HAVING clause, the Oracle server restricts groups as follows:

- 1. Rows are grouped.
- 2. The group function is applied.
- 3. Groups matching the HAVING clause are displayed.

```
SELECT column, group_function

FROM table

[WHERE condition]

[GROUP BY group_by_expression]

[HAVING group_condition]

[ORDER BY column];
```

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You use the HAVING clause to specify the groups that are to be displayed, thus further restricting the groups on the basis of aggregate information.

In the syntax,  $group\_condition$  restricts the groups of rows returned to those groups for which the specified condition is true.

The Oracle server performs the following steps when you use the HAVING clause:

- 1. Rows are grouped.
- 2. The group function is applied to the group.
- 3. The groups that match the criteria in the HAVING clause are displayed.

The HAVING clause can precede the GROUP BY clause, but it is recommended that you place the GROUP BY clause first because it is more logical. Groups are formed and group functions are calculated before the HAVING clause is applied to the groups in the SELECT list.

**Note:** The WHERE clause restricts rows, whereas the HAVING clause restricts groups.

# Using the HAVING Clause

```
SELECT department_id, MAX(salary)
FROM employees
GROUP BY department_id
HAVING MAX(salary)>10000;
```

	DEPARTMENT_ID	MAX(SALARY)
1	90	24000
2	20	13000
3	110	12008
4	80	11000

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The example in the slide displays the department numbers and maximum salaries for those departments with a maximum salary greater than \$10,000.

You can use the <code>GROUP BY</code> clause without using a group function in the <code>SELECT</code> list. If you restrict rows based on the result of a group function, you must have a <code>GROUP BY</code> clause as well as the <code>HAVING</code> clause.

The following example displays the department numbers and average salaries for those departments with a maximum salary greater than \$10,000:

```
SELECT department_id, AVG(salary)
FROM employees
GROUP BY department_id
HAVING max(salary)>10000;
```

A	DEPARTMENT_ID	2 AVG(SALARY)
1	90	19333.33333333333333333333333333333333
2	20	9500
3	110	10154
4	80	10033.33333333333333333333333333333333

# Using the HAVING Clause

```
SELECT job_id, SUM(salary) PAYROLL
FROM employees
WHERE job_id NOT LIKE '%REP%'
GROUP BY job_id
HAVING SUM(salary) > 13000
ORDER BY SUM(salary);
```

₫ JOB_ID	2 PAYROLL
1 IT_PROG	19200
2 AD_PRES	24000
3 AD_VP	34000

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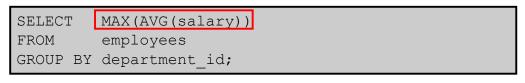
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The example in the slide displays the  $\tt JOB\ ID\$  and total monthly salary for each job that has a total payroll exceeding \$13,000. The example excludes sales representatives and sorts the list by the total monthly salary.

# **Nesting Group Functions**

- Group functions can be nested to a depth of two functions.
- The example below calculates the average salary for each department\_id and then displays the maximum average salary.
- Note that GROUP BY clause is mandatory when nesting group functions.
- Display the maximum average salary:





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#### Quiz

Identify the two guidelines for group functions and the GROUP BY clause.

- a. You cannot use a column alias in the GROUP BY clause.
- b. The GROUP BY column must be in the SELECT clause.
- c. By using a WHERE clause, you can exclude rows before dividing them into groups.
- d. The GROUP BY clause groups rows and ensures order of the result set.
- e. If you include a group function in a SELECT clause, you must include a GROUP BY clause.

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Answer: a, c

# **Summary**

In this lesson, you should have learned how to:

- Use the group functions COUNT, MAX, MIN, SUM, and AVG
- Write queries that use the GROUP BY clause
- Write queries that use the HAVING clause

```
SELECT column, group_function

FROM table

[WHERE condition]

[GROUP BY group_by_expression]

[HAVING group_condition]

[ORDER BY column];
```

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There are several group functions available in SQL, such as AVG, COUNT, MAX, MIN, SUM, STDDEV, and VARIANCE.

You can create subgroups by using the GROUP BY clause. Further, groups can be restricted using the HAVING clause.

Place the HAVING and GROUP BY clauses after the WHERE clause in a statement. The order of the GROUP BY and HAVING clauses following the WHERE clause is not important. You can have either the GROUP BY clause or the HAVING clause first as long as they follow the WHERE clause. Place the ORDER BY clause at the end.

The Oracle server evaluates the clauses in the following order:

- 1. If the statement contains a WHERE clause, the server establishes the candidate rows.
- 2. The server identifies the groups that are specified in the GROUP BY clause.
- 3. The HAVING clause further restricts result groups that do not meet the group criteria in the HAVING clause.

**Note:** For a complete list of the group functions, see *Oracle Database SQL Language Reference* for 19c database.