# Client X.509 Certificate Authentication and User Enrollment

Topics covered in this tutorial:

- Overview
- Prerequisites
- · Understanding how user certificate authentication works
- X.509 certificate and certificate authorities (CAs)
  - o Create root CA, signing CA, and certificates
  - o Create root CA
  - o Create signing CA
  - o Create server certificate
  - o Create certificate chain for the server certificate
  - Export a PKCS12 file for the signing CA
  - o Export a PKCS12 file for the server certificate
- Configure IBM WebSphere Application Server Liberty profile (Liberty)
- · Configure authenticationConfig.xml
- Configure application-descriptor.xml
- Install root CA on iOS and Android
- · Install application and test

### **Overview**

The X.509 User Certificate Authentication feature is a user realm that establishes user identity with an X.509 client certificate.

The user identity is established for a particular user on a specific device and application.

This feature provides SSL client-side certificate authentication and user enrollment capabilities.

SSL client-side certificate authentication consists of establishing a two-way SSL handshake between MobileFirst Platform client and server, which in turn, enables the client and server both to present their identities and therefore establish mutual trust through the SSL/TLS protocol.

You can enroll new users to the MobileFirst Platform Mobile Application Management system and your PKI of choice with the user enrollment capabilities.

A basic embedded PKI is provided with this feature that is meant to get you started quickly for educational and non-production environments only.

For production environments, this feature makes it easy to integrate with your existing PKI

 You can use either the PKI Bridge Java<sup>TM</sup> interface or built-in MobileFirst Platform adapters to delegate certificate management functions down to an external PKI system

In this module, you learn how to enable and configure the User Certificate Authentication user realm.

- How to use the embedded PKI that is provided by MobileFirst Platform For production environments, this feature makes it easy to integrate with your existing PKI.
- You can use either the PKI Bridge Java TMinterface or built in MobileFirst Platform adapters to delegate certificate management functions down to an external PKI system

## **Prerequisites**

You must have a general understanding of MobileFirst Platform user realms and adapters.

It is assumed that you follow these instructions by using an application that currently supports form-based authentication.

· The form-based authentication module uses non-validating login modules. These login modules are not recommended for

- production environments
- Use other user authentication realms, like WASLTPA in production.

## X.509 certificate and certificate authorities (CAs)

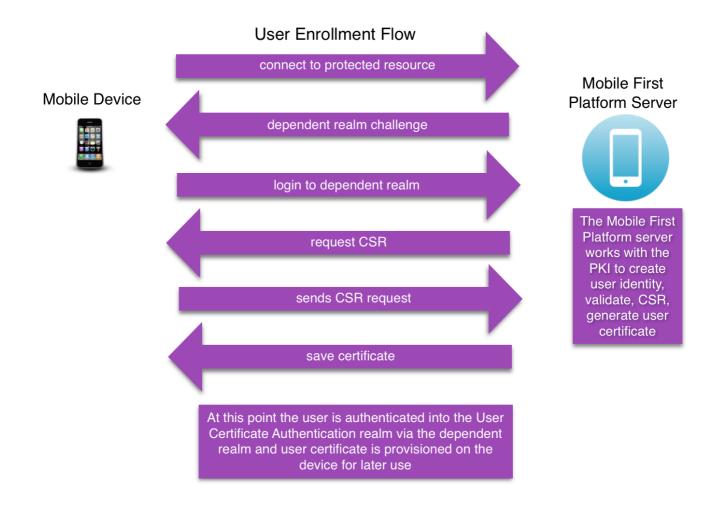
User certificate authentication is the process in which an X.509 certificate is issued by an existing PKI through the MobileFirst Platform server to a specific user on a specific application and device.

The relevant user information is obtained during the user enrollment process with the specified dependent user realm.

The user enrollment process relies on a dependent user realm to help it establish the initial user identity to which the X.509 certificate is issued.

MobileFirst then provisions the device with the X.509 client certificate for use in subsequent connections to the server.

The first time a user connects to the MobileFirst Platform server, the user must authenticate through the dependent realm to initiate the enrollment process. After a user is enrolled into the User Certificate Authentication realm, subsequent connections to the server occur through the two way SSL/TLS handshake, where the client certificate is presented as the SSL client entity.



#### Client Certificate Authentication Flow



# X.509 certificate and certificate authorities (CAs)

For security reasons, during testing, it is not recommended to use an established CA that uses an embedded PKI in your infrastructure.

It is possible to create a self-signed CA that can sign both a server certificate and user certificates.

This module uses the OpenSSL command-line utility.

OpenSSL is included in most Linux distributions and in Mac OS X. Windows users can obtain an OpenSSL binary from the OpenSSL website.

The commands that are shown in this module work on Linux and Mac OS X. For Windows, use the equivalent MS-DOS commands.

### Create root CA, signing CA, and certificates

Create an empty directory and navigate to that path in your system's terminal.

Create a basic OpenSSL configuration file that is named openSSL.cnf. Move this file to the directory that you created.

If you want different policy requirements, see the OpenSSL configuration documentation for instructions on how to configure the various options.

openSSL.cnf sample file:

```
[req]
  default bits
                  = 2048
                                     # size of keys
  default_keyfile
                                       # name of generated keys
                   = key.pem
  default_md
                                      # message digest algorithm
                   = sha1
                                       # permitted characters
  string_mask
                   = nombstr
  distinguished name = req distringuished name
[req distinguished name]
0.organizationName
organizationUnitName
emailAddress
emailAddress_max
localityName
stateOrProvinceName
countryName
countryName_min
countryName_max
commonName
commonName_max
[ policy_match ]
countryName
                      = optional
state Of Province Name \\
                         = optional
localityName
                     = optional
organizationName
                     = optional
orgainizationalUnitName = supplied
commonName
                       = optional
emailAddress
                     = optional
```

#### Create root CA

Append the following section to the openSSL.cnf configuration file to set up the root CA requirements.

```
[root_authority]
basicConstraints
                     = CA:TRUE
subjectKeyIdentifier
                     = hash
[root_authority_ca_config]
        = ./rootca
dir
            = $dir/certs
certs
new_certs_dir = $dir/newcerts
database = $dir/index.txt
certificate
           = $dir/root_ca.crt
private_key = $dir/root_ca_key.pem
serial
            = $dir/serial
RANDFILE
                = $dir/.rand
            = $dir/policy_match
policy
```

Using a terminal, create the folder structure and requirements for the root CA

Create a root CA certificate directory structure

```
mkdir rootca
mkdir rootca/certs rootca/crl rootca/newcerts
touch rootca/serial
export HEXOUT=0123456789ABCDEF
```

Create a serial list of random numbers

```
for y in {1..2048}
do
export output="";
for i in {1..16}
do
export randomnum=$RANDOM%16;
export output=$output${HEXOUT:$randomnum:1};
done
echo "$output" >> rootca/serial
done
touch rootca/index.txt
```

For Windows, create the folder structure and requirements for the root CA.

Create a root CA certificate directory structure

MKDIR rootca MKDIR rootca\certs MKDIR rootca\crl MKDIR rootca\newcerts

Create a serial list of random numbers for the root CA

openssl rand -hex -out rootca\serial 8

Create index for root CA

COPY NUL rootca\index.txt

Using a terminal, generate an RSA key pair and then self sign a root CA certificate. The password must remain secure, even for a test environment. For the following example, the password is passRoot.

export ROOT\_CA\_SUBJECT="Development Root CA"

Create the RSA key pair.

The parameter, 2048, represents the key length.

openssl genrsa -des3 -out rootca/root\_ca\_key.pem -passout pass:passRoot 2048

Sign a certificate with the key pair

openssl req -new -x509 -nodes -sha1 -days 365 -key rootca/root\_ca\_key.pem -out rootca/root\_ca.crt -config PATH\_TO\_/openssl.conf -subj "/ CN=\$ROOT\_CA\_SUBJECT" -extensions root\_authority -passin pass:passRoot

For Windows, generate an RSA key pair and then self-sign a root CA certificate. The password must remain secure, even for a test environment. For the following example, the password is passRoot.

Create the RSA key pair

The parameter, 2048, represents the key length

openssl genrsa -des3 -out rootca\root\_ca\_key.pem -passout pass:passRoot 2048

Sign a certificate with the key pair

openssl req -new -x509 -nodes -sha1 -days 365 -key rootca\root\_ca\_key.pem -out rootca\root\_ca.cert -config openssl.cnf -subj "/CN=Develop ment Root CA" -extensions root\_authority -passin pass:passRoot

### **Create signing CA**

Edit the openssl.conf file that you created earlier and append the following configuration to set up the signing CA configuration options:

```
[ signing authority ]
basicConstraints
                      = CA:TRUE,pathlen:0
subjectKeyIdentifier
                       = hash
authorityKeyldentifier
                      = keyid:always, issuer:always
[ signing_authority_ca_config ]
         = ./signingca
dir
         = $dir/certs
certs
new certs dir = $dir/newcerts
database = $dir/index.txt
certificate = $dir/signing_ca.crt
private_key = $dir/signing_ca_key.pem
       = $dir/serial
serial
RANDFILE = $dir/.rand
policy
        = policy_match
email_in_dn = false
```

Using the terminal, create the folder structure and requirements for the signing CA. Run these commands from the base directory.

Create a signing CA certificate directory structure

```
mkdir signingca
mkdir signingca/certs signingca/crl signingca/newcerts
touch signingca/serial
export HEXOUT=0123456789ABCDEF
```

#### Create a serial list of random numbers

```
for y in {1..2048}
do
export output="";
for i in {1..16}
do
export randomnum=$RANDOM%16;
export output=$output${HEXOUT:$randomnum:1};
done
echo "$output" >> signingca/serial
done

touch signingca/index.txt
```

For Windows, create the folder structure and requirements for the signing CA. Run these commands from the base directory. Create a signing CA certificate directory structure

```
MKDIR signingca
MKDIR signingca\certs
MKDIR signingca\crl
MKDIR signingca\rl
```

Create a serial list of random numbers for the signing CA

```
openssl rand -hex -out signingca\serial 8
```

Create index for signing CA

```
COPY NUL signingca\index.txt
```

Using the terminal, generate an RSA key pair, and then sign a signing CA CSR with the root CA. For this example, the password is passSigning. Run these commands from the base directory.

export SIGNING CA SUBJECT="Development Signing CA"

openssl genrsa -des3 -out signingca/signing\_ca\_key.pem -passout pass:passSigning 2048

openssl req -new -key signingca/signing\_ca.csa -out signingca/signing\_ca.crt -keyfile rootca/root\_ca\_key.pem -cert rootca/root\_ca.crt -config openssl.cnf -name root authority ca config -extensions signing authority -md sha512 -days 365 -passin pass:passRoot

For Windows, generate an RSA key pair, and then sign a signing CA CSR with the root CA. For this example the password is passSigning. Run these commands from the base directory.

openssl genrsa -des3 -out signingca\signing\_ca\_key.pem -passout pass:passSigning 2048

openssl req -new -key signingca\signing\_ca\_key.pem -out signingca\signing\_ca.csr -config openssl.cnf -subj "/CN=Development Signing CA" -passin pass:passSigning

openssl ca -in signing\_ca.csr -out signing\_ca.crt -keyfile rootca\root\_ca\_key.pem -cert rootca\root\_ca.crt -config openssl.cnf -name root\_authority\_ca\_config -extensions signing\_authority -md sha512 -days 365 -passin pass:passRoot

#### Create server certificate

Edit the openssl.cnf file that you create earlier and append the following configuration to set up the server certificate configuration options.

```
[ server_identity ]
basicConstraints = CA:TRUE
subjectKeyIdentifier = hash
authorityKeyIdentifier = keyid:always;issuer:always
```

using the terminal, generate an RSA key pair and sign the new certificate with the signing CA. This certificate is your server identity certificate. The example uses passServer as the password. Run these commands from the base directory.

Use the full hostname of your MobileFirst Platform server. SSL will break if the full hostname is not provided, or if an IP address is used as the hostname.

export SERVER\_FULL\_HOSTNAME=dev.yourcompany.com mkdir server

Create the RSA key pair and generate a CSR

openssl genrsa -des3 -out server/server\_key.pem -passout pass:passServer 2048 openssl req -new -key server/server\_key.pem -out server/server.csr -config openssl.cnf -subj "/CN=\$SERVER\_FULL\_HOSTNAME" -passin p ass:passServer

Sign the CSR with the signing CA

openssl ca -in server/server.csr -out server/server.crt -keyfile signingca/signing\_ca\_key.pem -cert signignca/signing\_ca.crt -config openssl.cnf -name signing\_authority\_ca\_config -extensions sever\_identity -md sha512 -days 365 -passin pass:passSigning

For windows, generate an RSA key pair and sign the new certificate with the signing CA. This certificate is your server identity certificate. The example uses passServer as the password. Run these commands from the base directory.

Use the full hostname of your MobileFirst Platform server. SSL will break if the full hostname is not provided or if an IP address is used as the hostname.

REM mkdir server

Create the RSA key pair and generate a CSR

REM openssl genrsa -des3 -out server\server\_key.pem -passout pass:passServer 2048 openssl req -new -key server\server\_key.pem -out server\server.csr -config openssl.cnf -subj "/CN=%HOSTNAME%" -passin pass:passServe r

Sign the CSR with the signing CA

REM openssl ca -in server\server.csr -out server\server.crt -keyfile signing\_ca\_key.pem -cert signingca\signing\_ca\_ct -config opens sl.cnf -name signing authority ca config -extensions server identity -md sha512 -days 365 -passin pass:passSigning

#### Create certificate chain for the server certificate

Using the terminal, send a full certificate chain all the way up to the trust anchor (root CA) for iOS and Android environments. You can concatenate the certificate files to the trust anchor (root CA).

Create a chain for the signing CA

cat signingca/signing\_ca.crt rootca/root\_ca.crt > signing\_ca\_chain.crt

Create a chain for the server certificate

cat server/server.crt signingca/signing\_ca.crt rootca/root\_ca.crt > server\_chain.crt

For Windows, send a full certificate chain all the way up to the trust anchor (root CA) for Windows environments. You can concatenate the certificate files to the trust anchor (root CA).

Create a chain for the signing CA

copy rootca\root\_ca.crt+signingca\signing\_ca.crt signing\_ca\_chain.crt

Create a chain for the server certificate

copy rootca\root\_ca.crt+signingca\signing\_ca.crt+server\server.crt server\_chain.crt

### Export a PKCS12 file for the signing CA

Export the private key and certificate for the signing CA into a .p12 keystore file so that the embedded PKI can sign the user certificates with the signing CA.

openssl pkcs12 -export -in signingca/signing\_ca.crt -inkey signingca/signing\_ca\_key.pem -out signingca/signing\_ca.p12 -passin pass:passSigning -passout pass:passSigningP12

### Export a PKCS12 file for the server certificate

Export the private key and certificate for the server into a .p12 keystore file so that the server can send the client a valid server certificate.

openssle pkcs12 -export -in server\_chain.crt -inkey server/server\_key.pem -out server/server.p12 -passout pass:passServerP12 -passin pass:passServer

# Configure IBM WebSphere Application Server Liberty profile (Liberty)

Enable the ssl-1.0 and appSecurity-2.0 features in the server.xml file:

```
<featureManager>
  <feature>ssl-1.0</feature>
  <feature>appSecurity-2.0</feature
>
</featureManager>
```

Liberty requires setting up the keystore and truststore to establish trust for the generated client certificates. Clickhere (http://pic.dhe.ibm.com/infocenter/wasinfo/v8r5/index.jsp?topic=/com.i bm.websphere.wlp.nd.doc/ae/rwlp\_ssl.html) for more information.

- Set up your server's keystore to use the .p12 file that was generated earlier ( server.p12).
- Set up your truststore to use the .p12 file that was generated earlier ( signing\_ca.p12).
- · Configure your server's HTTP endpoint and allow (but not require) client-side certificates. This configuration is available by using

the clientAuthenticationSupported="true" property in the Liberty SSL element.

The following example shows the updated SSL configuration:

```
<!-- default SSL configuration is defaultSSLSettings -->
<sslDefault sslRef="defaultSSLSettings"/>
<ssl clientAuthenticationSupported="true" id="defaultSSLSettings" keyStoreRef="defaultKeyStore" trustStoreRef="defaultTrustStore"/>
<keyStore id="defaultKeyStore" location="server.p12" password="passServerP12" type="PKCS12" />
<keyStore id="defaultTrustStore" location="signing_ca.p12" password="passSigningP12" type="PKCS12"/>
```

## Configure authenticationConfig.xml

Uncomment the UserCertificate Login Module section of the authenticationConfig.xml file, as shown below.

Uncomment the wl userCertificateAuthRealm section, as shown below.

Update the value of the embedded-pki-bridge-ca-p12-file-path element to the full path of your signing CA .p12 file.

Update the value of the embedded-pki-bridge-ca-p12-password element to the password (passSigningP12) that was used to create the .p12 file.

Update the value of the dependent-user-auth-realm to the dependent realm you want to use (SampleAppRealm).

The realm name(wl userCertificateAuthRealm) cannot be changed.

The following examples shows the updates made above.

Define a security test that uses wl\_userCertificateAuthRealm.

# Configure application-descriptor.xml

Ensure that you added iOS or Android environments to your MobileFirst Platform application

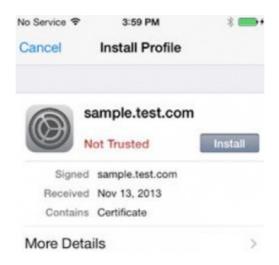
Protect your application or environment with your custom security test.

```
<!--For User Certificate Authentication -->
<android securityTest="customx509Tests" version="1.0">
<iPhone bundleId="com.SampleApp" securityTest="customx509Tests" version="1.0">
```

Build and deploy your application and adapters to the MobileFirst Platform server.

### Install root CA on iOS and Android

You must install the root CA that you generated in the previous steps onto your client devices for your devices to trust your MobileFirst Platform server over SSL. Email or host the root\_ca.crt file, and then open the file on your device. The iOS and Android devices ask for approval when you manually attempt to install certificates.





## Install application and test

- 1. Deploy your application to the MobileFirst Platform server
  - o Run as > Run on
- 2. Update the deploy target for HTTPS.
  - Run as > Build Settings and Deploy Target...
  - o Mark the check box "Build the application to work with a different MobileFirst Platform Server."
  - Enter the server HTTPS address. https://:
  - Enter the context path. /;
- 3. Build the application with the updated deploy target.
  - Run as > Build All Environments
- 4. Run the application on the specified environments.

## Sample application

Click to download

(http://public.dhe.ibm.com/software/products/en/MobileFirstPlatform/docs/v700/UserCertificateAuthenticationProject.zip) the Studio project.

To confirm a successful configuration, ensure that you see a log-in form the first time that you try to access a protected resource. If WL.Client.connect() is uncommented in the main.js file, the log-in form is displayed when the application starts. Otherwise, WL.Client.connect() must be invoked before you call an adapter procedure to see a log-in form after the adapter is called.

After you log in through the dependent realm, a successful response from the adapter invocation indicates that the user was successfully enrolled.

On subsequent connections to the server, you are no longer asked to log in and the adapter calls continue to return successfully.

For more information review the User certificate authentication (http://www-

01.ibm.com/support/knowledgecenter/SSHS8R\_6.3.0/com.ibm.worklight.monitor.doc/monitor/c\_user\_CA.html? lang=en) topic in the user documentation