

# XTAG USING XMG

### A CORE TREE-ADJOINING GRAMMAR FOR ENGLISH

# Thesis submitted to Saarland University

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# Statement

I hereby confirm that this thesis has been my independent work and that I have not used any other sources except where the resources are stated.

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#### Abstract

This Master's thesis focuses on developing a computational grammar for English using the tree-adjoining syntactic formalism. We proceed from the linguistic assumptions made in an already existing large-scale English grammar and provide a methodology for their implementation. This project is carried out in the context of the XMG framework, which is specially designed for factorising strongly lexicalised tree-based grammars and grammars equipped with a syntax/semantics interface. The description language we use is built upon the observation that fine-grained grammar development can be performed on a higher abstraction level by taking advantage, on one hand, of structure sharing and, on other hand, of alternative structures. This is validated by developing a complete grammar for English verb families, where the two basic operations are composition for specifying shared structures and disjunction for representing possible alternatives.

We begin this report by introducing in a motivated way the increased power and the basic operations of the tree-adjoining grammar formalism. We carry on with a discussion about the language of description, which allows the process of grammar development to be guided by abstracting over grammatical information. The adopted methodology involves four dimensions of abstraction, each of them being aimed at defining different levels of syntactic structures. We apply this methodology to the implementation of a core English grammar and we illustrate its efficiency by building a test suite of sentences covering various syntactic phenomena.

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### Introduction

The formal syntactic analysis of natural languages dates back to the 50's when [Chomsky, 1957] attempts to associate language universals with a mathematically precise model of linguistic structures. His ideas collected in 'generative grammars' break away from traditional linguistic theory by defining natural language syntax with a finite set of simple mathematical rules. In the very beginning this may have seemed a rather ambitious goal, but the developments in modern linguistic theory and in particular in computational linguistics have shown this to be an attainable goal.

Further extensions and variations of Chomsky's context-free grammars aim at higher expressive power and stronger lexicalisation. A grammar framework should be on one hand powerful enough to describe all natural language phenomena, and on the other strongly lexicalised to associate a finite set of structures with each lexical item. Lexicalisation has a twofold advantage: first, it makes the number of possible analyses for any given string finite (assuming that the grammar is finite) and second, each lexical item carries information about its subcategorisation frame and the syntactic alternations it undergoes, thereby enhancing performance.

This report addresses the development of a strongly lexicalised tree-based grammar, namely a lexicalised tree-adjoining grammar for English. A major drawback of high lexicalisation is that it comes along with hundreds of redundant elementary tree descriptions, making the maintenance and the further development of the grammar a rather cumbersome task. This is the primary motivation for developing a declarative and minimal language for factorising grammatical information.

The framework used in this Master's topic supports a high level of generalisation, making it possible to significantly reduce the number of tree descriptions. More precisely, the XMG language allows one to identify in a monotonic and non-redundant way tree descriptions yielding a redundant and complete grammar. From a methodological point of view, two types of generalisations are aimed at: shared grammatical structures and alternative realisations of a predicate and its arguments. These two generalisations prove to be sufficient for designing a computational tree-based grammar of English.

#### Plan

This document is organised in four main chapters: aims and background; presentation of the XMG framework; description of the methodology used to implement the grammar; evaluation. The first chapter introduces the goal of this thesis and details the resources used for its realisation: the grammatical formalism, the linguistic decisions, and the language of description. This chapter, however, does not end here as it has a deeper aim – by detailing some of the drawbacks of a possible implementation of a tree-adjoining grammar, it motivates a minimal description language that allows for specifying grammatical information in an economical and a complete way. The next chapter introduces the XMG framework used for implementing tree-based grammars. We will show how the system we use combines both the linguistic formalism for specifying tree descriptions and the compiler for computing grammar rules out of these descriptions. The XMG framework is put to use in the third chapter where we present the methodology adopted

for developing a grammar for English verbs and illustrate the organisation of the complete grammar by means of examples. The last chapter is dedicated to evaluating the grammar by building a test suite of phrases and sentences that can be parsed by the grammar.

# Chapter 1

# Aims and Context

This Master's thesis is aimed at specifying a tree-adjoining grammar for English verb families within the context of the XMG (eXtensible MetaGrammar) grammar writing environment developed at LORIA in Nancy. More specifically, the work consists in using XMG to re-implement the verbal part of the large-scale English TAG designed by the University of Pennsylvania, and in evaluating its coverage by building a lexicon and a test suite of sentences covering various phenomena that can be parsed by the grammar. The two backbones of this report are thus the XTAG grammar and the XMG tool.

### 1.1 Tree-Adjoining Grammar

This chapter presents a highly lexicalised formalism which is well-suited for natural language grammars. Tree-adjoining grammar is a mildly context sensitive formalism which has been introduced by [Joshi and Schabes, 1997] to overcome the limitations of context-free grammars, and further augmented with unification-based features (see [Vijay-Shanker, 1987] and [Vijay-Shanker, 1991]) and lexicalisation (see [Schabes, Abeille, and Joshi, 1988]).

Linguistically, an important feature of using tree-adjoining grammars is their extended domain of locality. This means that one can add local constraints to non-local phenomena (e.g.,long distance dependencies, topicalisation) without losing information about the subcategorisation frame, case marking, agreement, etc.

Last but not least, tree-adjoining grammars can be fully lexicalised so that every elementary tree description is associated with a lexical item, called an anchor. The Greibach normal form of context-free grammars where any rule is of the form  $A \to w \ B1 \ B2 \dots Bn$  is a way for lexicalisation which, unfortunately, does not preserve the tree language (hence it is weakly equivalent to a lexicalised TAG). On other hand, the extended domain of locality and the structured tree objects make it possible to strongly lexicalise a TAG description preserving the same set of structural descriptions.

#### 1.1.1 Formal definition

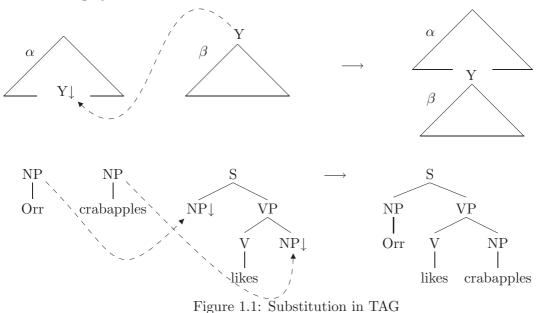
**Definition 1.** A tree-adjoining grammar is defined as a quintuple  $\langle NT \ T \ S \ I \ A \rangle$  such that

- NT is a finite set of non-terminal symbols (corresponding to syntactic categories)
- $\bullet$  T is a finite set of terminal symbols
- $S \in NT$  is an axiom
- I is finite set of *initial trees* with the following properties:
  - interior nodes are marked by non-terminal symbols
  - nodes on the frontier are marked either by non-terminal (indicated as substitution nodes in terms of a downarrow sign  $(\downarrow)$ ) or by terminal symbols
- A is a finite set of auxiliary trees with the following properties:
  - interior nodes are marked by non-terminal symbols
  - one frontier node is labelled with the same category as the root of the tree, i.e. this node is the foot node and it is marked by an asterisk (\*)

The union of initial and auxiliary trees  $I \cup A$  comprises the set of elementary trees.

Elementary trees are combined by means of two rewriting operations: *substitution* and *adjunction*.

**Definition 2.** The *substitution operation* (Figure 1.1) consists in replacing a leaf node marked with a downarrow ( $\downarrow$ ) labelled by a category Y of a tree  $\alpha$  by an elementary tree  $\beta$  rooted in the same category Y.



**Definition 3.** The adjunction operation (Figure 1.2) consists in splicing an internal node n labelled by a category Y of a tree  $\alpha$  to insert an auxiliary tree  $\beta$ . If the foot and the root node of the auxiliary tree are marked by the same category Y, then one can derive a tree  $\gamma$  by means of the adjunction operation where:

- the sub-tree of  $\alpha$  dominated by n is split from  $\alpha$ ;
- the auxiliary tree  $\beta$  is inserted under n so that its root is identified with n;
- the split sub-tree is inserted under the foot node of  $\beta$  so that its root is identified with the

foot node of  $\beta$ 

TAG does not allow adjunction to be performed on nodes marked for substitution.

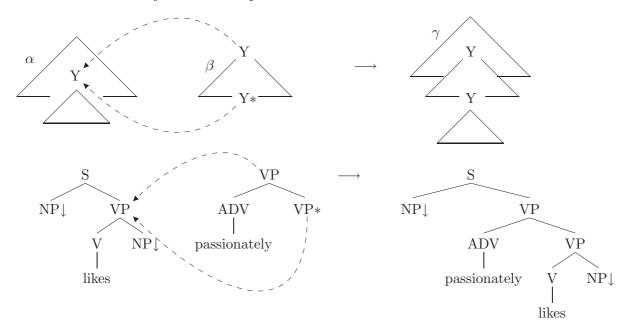


Figure 1.2: Adjunction in TAG

To sum up, when applying the substitution and adjunction operations within a set of elementary trees, one can derive a fully lexicalised tree whose terminal nodes are anchored by lexical items. These lexical items are to be referred to as anchors and the resolved trees as derived trees. Whenever a tree is anchored by more than one lexical item (e.g., verbs occurring with a specific preposition), the second lexical item is known as a coanchor. The anchor and coanchor nodes are graphically represented by the diamond symbol  $(\diamond)$ .

#### 1.1.2 Feature-based Tree Adjoining Grammars

This extension of TAG (proposed by [Vijay-Shanker, 1987]) associates each node in an elementary tree with two feature structures<sup>1</sup> called top and bottom. The reason for this partition is that we need to account for adjunction. Since by this operation a node is excised in two parts, one should be able to unify its top part with the root node and its bottom part with the foot node of the adjoined tree. The top feature of a node n is associated with the features of its dominating node, whereas the bottom feature refers to the daughter nodes. The derivation process involves propagating top and bottom features upwards in the tree. The derived tree is obtained after unifying features as follows: the top feature of a node marked for substitution is unified with the top feature of the tree to be substituted (Figure 1.3). In the case of adjunction, after splicing the node n for adjunction, its top feature structures unify with top of the root node of the auxiliary tree, while its bottom features unify with the bottom features of the foot node of the auxiliary tree. At the end of the derivation, the top and bottom feature structures of every node should be unified (Figure 1.4).

<sup>&</sup>lt;sup>1</sup>also known as attribute-value matrices

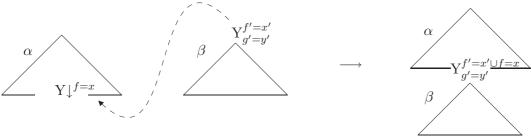


Figure 1.3: Substitution in FTAG

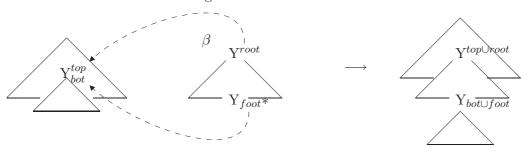


Figure 1.4: Adjunction in FTAG

#### 1.2 XTAG

The XTAG grammar developed for English within the lexicalised tree-adjoining grammar formalism is a linguistically precise and well-motivated grammar, and therefore serves as a starting point for the present thesis. The overall XMG organisation of our work is consistent with the linguistic principles that underlie the XTAG grammar, the major one being organising the grammar into separate *tree families* in accordance to their subcategorisation frame, with a clear-cut distinction between complements and adjuncts. The XMG-based TAG covers the linguistic phenomena in XTAG (e.g., long-distance dependencies, passivisation, relativised complements and adjuncts, pied-piping) differing in the way information is factorised.

We proceed from the assumption that the development of a TAG grammar involves defining a finite set of <u>verb families</u> and a set of <u>syntactic transformations</u> within each family. To put it another way, a lexical anchor subcategorises for complements (which can appear in various syntactic environments) forming a clausal structure. Thus, each verb family selects only those verbs that undergo the same syntactic variations.

Along the lines of traditional generative syntax, the complement/adjunct distinction is drawn on the line of grammaticality: complements are the phrasal categories strongly selected (i.e., subcategorised) by the head of a phrase and adjuncts are optional elements that do not influence the grammaticality of the clause. In TAG, this is handled by including the complements in the elementary trees anchored by the verb and by modelling adjuncts using auxiliary trees.

The driving principles concerning specific phenomena in our grammar are as follows:

• extraction: the XTAG analysis follows the traditional trace-based (or wh-movement) ap-

proach of government and binding (GB, see [Chomsky, 1981]) where the extracted element and the empty category  $\epsilon$  are coindexed by a  $\langle trace \rangle$  feature

- passivisation: the traditional movement analysis assumes that the direct object is moved to a subject position, whereas the syntactic subject is either absent or is headed by a *by*-phrase. In XTAG, the passive constructions appear as separate elementary trees within the corresponding tree family
- gerunds: the XTAG grammar splits the gerunds into two classes: derived nominalisations (where the direct object is instantiated as of PP) and sentential gerundives (a.k.a. NP gerunds) which assign case to the direct object and do not require the insertion of a preposition
- relative clauses: following the GB analysis of relative clauses, XTAG represents them as auxiliary trees that adjoin to NP's anchored by a verb in the corresponding tree family. The coindexation between the extracted argument and its position before movement is captured by the \(\lambda trace \rangle\) feature

### 1.3 Why XMG?

Hand-written large-scale lexicalised TAGs amount to several thousand trees sharing a big amount of common structures. Redundancy is the major problem when it boils down to writing and maintaining such grammars. A possible solution is then to abstract over information at a higher meta-level, reducing the number of repetitive descriptions. In this report, we resort to the XMG framework for this abstraction for two reasons.

Firstly, XMG provides a linguistic formalism of higher **abstraction** level where tree fragments are seen as reusable chunks of information. Each tree fragment is associated with a unique name and hence, can be used either in an inheritance hierarchy or in a logical operation. Hence, grammatical information is better factorised and the problem of redundant tree descriptions is overcome by factoring out shared pieces of linguistic information.

Secondly, the metagrammar framework provides a monotonic and fully-declarative language that gives an equal status to all trees within a family by defining them as alternatives of each other. This means that XMG does not impose any ordering on the application of syntactic operations (i.e., syntactic variations are not transformations of the canonical form but their alternative constructions). In comparison to that, the XTAG system used for generating grammars from tree descriptions (see [Xia, 2001]) relies on three independent modules corresponding to each other by intermediate interfaces. The first module permits describing canonical subcategorisation frames, the second one is meant to derive additional frames by means of lexical redistribution rules, and the third one allows for non-deterministic combination of tree descriptions. The lexical rules incorporate alternative realisations by applying a syntactic transformation t to a subcategorisation frame r. With these means one can generate the passive frame from the active one. This procedural order of application justifies the three-way partitioning of the system. To overcome this non-monotonicity, XMG takes advantage of a single language where the basic operations are disjunction for capturing alternatives and conjunction for capturing structure

sharing – thus yielding a more compact TAG representation<sup>2</sup>.

<sup>&</sup>lt;sup>2</sup>Although the specification of a syntax/semantics interface is not part of the current goals, it is worth mentioning that XMG permits an easy integration of unification-based compositional semantics. The process of interfacing between syntactic functions and semantic roles involves assigning to each elementary tree a flat logical representation (see [Gardent and Kallmeyer, 2003]).

# Chapter 2

# The Metagrammar Framework

The notion of a *metagrammar* was first introduced by [Candito, 1999], who laid down the guiding principles for semi-automatic generation of TAG. In her PhD thesis, trees are presented as reduced descriptions organised in a modular and hierarchical way. These partial descriptions capture basic linguistic generalisations and their combination produces elementary TAG trees.

XMG builds on the notion of a metagrammar as a finite set of partial tree descriptions aimed at factorising common pieces of grammatical information. At the same time, it was developed as a system for generating large-scale unification-based grammars from meta-descriptions, and particularly grammars equipped with syntax/semantics interface (see [Y. Parmentier and J. Le Roux, 2005]). The framework combines the formalism for describing meta-grammatical information and the compiler for computing the actual grammar from the abstract tree descriptions (see [Duchier, Le Roux, and Parmentier, 2004]).

### 2.1 XMG as a linguistic formalism

XMG was designed as a framework for grammar writing meant to facilitate the development of strongly lexicalised grammars (see [Crabbé and Duchier, 2004]). The intuition behind its compact representation is that the metagrammar takes advantage of a logic program. The knowledge base consists of facts, represented as tree fragments, and of rules. A fragment is given a unique name which corresponds to the rule's head, and the combination of fragments or the call of a single fragment makes up the rule's body. Then, when queries are evaluated, the computation of the grammar trees takes place.

Any piece of syntactic information is specified in terms of tree descriptions, called *classes*. The notion of a class is crucial – each one is provided with a unique name, so that it can be further reused when importing a class from a higher hierarchical level or when using it in a disjunctively or conjunctively defined set of tree descriptions. The abstract syntax for specifying a class is given in Figure 2.1.

This rather simple representation language allows the grammar engineer to associate a class name to the items in its description called the goal. The goal refers to one of the following

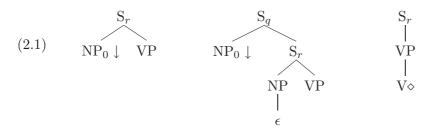
$$\begin{aligned} Class &::= Name \rightarrow Goal \\ Goal &::= Description \mid Name \mid Goal \ \land \ Goal \mid Goal \ \lor \ Goal \\ Query &::= Name \end{aligned}$$

Figure 2.1: Core Language of a Metagrammar

primitives:

1. **description**: tree descriptions are associated with a given name, e.g.:

CanonicalSubject: WhSubject: ActiveVerb:



The left-hand side in example 2.1 states that the canonical realisation of a subject argument  $NP_0$  is rooted in an S node, and the subject node immediately precedes the node of the verbal phrase. The graphical representation in the middle shows that whenever the subject is wh-moved, the canonical subject position is left empty and the subject argument is moved to a certain distance before the verb. The tree description on the right-hand side represents the active verb as forming a skeleton from a root S node to a lexicalised V node.

- 2. **name:** when calling a tree description from other parts of the grammar specification, one can simply reuse that tree description's name. Thus, the clause
  - (2.2) Subject  $\rightarrow$  Canonical Subject

reuses the tree description associated with the class Canonical Subject.

- 3. disjunction of tree fragments: possible realisations of a predicate-argument structure (2.3) and diathesis alternations (2.4) are viewed as equally ranked variants, which are formally defined by means of disjunction:
  - (2.3)  $Argument \rightarrow ArgumentRealisation_1 \lor ArgumentRealisation_2$
  - (2.4)  $Family \rightarrow Diathesis_1 \lor Diathesis_2$

Using the general rule in (2.1) and having already associated tree descriptions to a certain name (2.1), it is straightforward to declare a class Subject as a disjunction of its canonical realisation and its wh-extraction:

 $Subject \rightarrow CanonicalSubject \lor WhSubject$ 

4. **conjunction of tree fragments**: a class combines tree descriptions into a tree family and thus, non-deterministically provides a complete predicate-argument structure together with its possible realisations:

(2.5) class  $n0V \rightarrow Subject \wedge ActiveVerb$ 

The above example states that the complete predicate-argument schema of the intransitive verb family  $n\theta V$  is built by combining the tree descriptions of a subject with the description of an active verb form.

### 2.2 XMG as a metagrammar compiler

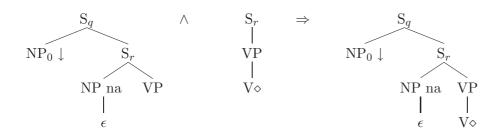
Once the metagrammar specification is completed, the basic tree fragments can be combined by the XMG compiler. It takes as input the concrete metagrammatical rules and outputs TAG trees in three steps:

- translating the concrete syntactic objects of the metagrammar into instructions for a virtual machine designed in the spirit of the Warren Abstract Machine (see [Aït-Kaci, 1991]). This step involves resolving the relations that hold between the nodes (precedence and dominance relations, transitive reflexive and non-reflexive closure, node equality);
- executing the instructions. This includes unification of structures referring to relevant information within fragments;
- solving tree descriptions

For instance, considering the snippets of a grammar definition given as examples above, when given the axiom  $n\theta V$  the interpreter generates two possible solutions (after the rightarrow sign below) capturing the alternative subject realisations:

CanonicalSubject: ActiveVerb:

WhSubject: ActiveVerb:



### 2.3 Constraining the language: colouring principle

To ensure the well-formedness of the resolved trees, the metagrammar system allows for constraining the process of solving permissible tree fragments. [Crabbé and Duchier, 2004] propose

decorating each node with colour-based polarities in order to restrict the merging of nodes. This principle postulates that each node in a final tree description should be painted either black, red or white where:

- a black node can be combined with any number (incl. 0) of white nodes, producing a black node
- a red node cannot be merged with any other node
- white indicates a non-saturated node which needs to be merged with a nonzero number of black nodes

This can be graphically represented as follows:

	В	W	$\mathbf{R}$
В	$\perp$	В	$\perp$
$\mathbf{W}$	В	W	$\perp$
$\mathbf{R}$	$\perp$		$\perp$

Figure 2.2: Colouring principle

This model is similar to the polarity-based tree descriptions in Interaction Grammars described by [Perrier, 2007]. According to this principle, tree descriptions are viewed as consumable resources, which need to be fully saturated to produce a valid syntactic description. Negative polarity on a node  $(\alpha \leftarrow \beta)$  expresses the need of providing a resource for consumption. A positive polarity  $(\alpha \rightarrow \beta)$  indicates a resource available for consumption. Finally, equal polarity  $(\alpha = \beta)$  marks fully-saturated non-consumable resources.

**Summing up** This chapter introduced the basic ideas of the metagrammar framework we use. It was shown that a simple representation language of higher abstraction level suffices for specifying tree descriptions and for constraining their combination. We saw that this monotonic language does not involve any transformation for deriving a tree description from another but rather lets us define fragments of equal status without going through intermediate steps.

# Chapter 3

# XMG-based XTAG

This chapter is structured as follows: the first section begins by discussing the methodology adopted for specifying the grammar in the presented formalism. We shall see that grammatical information is factorised in four dimensions, i.e., tree families, diathesis alternations, syntactic functions and partial tree descriptions. In the four sections that follow we put this methodology into practice: sections (3.2), (3.3), (3.4) and (3.5) detail the specification of each of these description levels by introducing theoretical background and empirical data.

### 3.1 Methodology

The three-dimensional methodology introduced by [Candito, 1999] serves as a main inspiration for the development of a core French tree-adjoining grammar (see [?]) and it is the keystone for the organisation of an XMG-based TAG. The proposed three-dimensional system addresses the problem of redundant descriptions by representing lexico-syntactic structures in a hierarchy that preserves the well-formedness of TAG trees<sup>1</sup>. Non-redundant grammatical descriptions can be obtained by generalisations, which specify structure sharing or alternations. To be more specific, these generalisations can, on one hand, factor out common syntactic structures described as a set of independent tree fragments. These fragments represent either syntactic realisations of a predicate argument or realisations of the predicate<sup>2</sup> itself. On the other hand, generalisations can be expressed as alternative surface-realisations of a diathesis (active/passive), of an argument structure, or of a verb form. These two types of grammatical generalisations are formally defined either by means of conjunction or by means of disjunction.

Since we aim at generating verb families with their possible subcategorisation realisation, the overall work abstracts over generalisations in four dimensions:

1. Tree families. At this level, a class defines the set of trees associated with verbs of a given syntactic type. For instance, the n0Vn1 class defines the set of trees associated with transitive verbs such as love.

<sup>&</sup>lt;sup>1</sup>The first dimension handles canonical subcategorisation frames, the second dimension handles redistribution of syntactic functions, and the third is concerned with possible realisations of syntactic functions (e.g., in a canonical, extracted or clitic position)

 $<sup>^{2}\</sup>mathrm{By}$  a predicate, we mean full-meaning (i.e., non-auxiliary) verbs and nouns subcategorising for syntactic arguments

A tree family class is defined as a disjunction of diathesis classes.

2. Diathesis. A diathesis class defines the set of trees associated with a given (tree family, diathesis) pair. Here we aim at capturing active/passive variations withing a verb family. For instance, the noVn1DiathesisActive class defines the set of trees associated with the active form of a transitive verb, whereas the noVn1DiathesisPassive class is associated with its passive form.

A diathesis class is defined as a conjunction of a verb form and syntactic function classes.

- 3. Syntactic function classes. These classes describe the set of tree fragments associated with each possible syntactic function. For instance, the *Subject* class defines the set of tree fragments describing the possible syntactic realisation of a subject (canonical subject, questioned subject, relativised subject, etc.)
  - Verb forms and syntactic function classes are defined as disjunctions of tree fragments classes.
- 4. Tree fragments. At this level, a class describes the basic tree fragments used to build the grammar.

Tree fragments are defined as tree descriptions and are organised in an inheritance hierarchy.

#### 3.1.1 Defining tree families

In the TAG literature, verbs are organised in distinct families on the basis of the subcategorisation frame a lexical item selects. More specifically, a verb family comprises the set of alternative surface realisations of the same predicate-argument structure. In contrast to XTAG, where the different contexts of a predicate and its arguments are handled as syntactic transformations, we regard them as variations of the prototypical (i.e., realisation of an argument in its canonical position) case: extraposition, relativisation, active gerund, etc. Therefore, a tree family can be seen as a union of the syntactic diatheses. In XMG, we define this union of syntactic configurations as a disjunction of diatheses. For instance, the transitive n0Vn1 class (see the definition below) is defined as a disjunction of its active form, of its passive and of its agentless passive. This simple rule lets us capture the 39 syntactic configurations of the transitive family, the canonical contexts of which are exemplified in  $(3.1)^3$ .

 $n0Vn1 \rightarrow n0Vn1DiathesisActive \lor n0Vn1DiathesisPassive \lor n0Vn1DiathesisShortPassive^4$ 

- (3.1) a. Yossarian took another pill.
  - b. Another pill was taken by Yossarian.
  - c. Another pill was taken.

(3.1a) instantiates the canonical argument realisation with an active verb, (3.1b) is an example of passive diathesis with canonical argument and (3.1c) illustrates a passive verb form with a canonical subject without a by-agent.

 $<sup>^{3}</sup>$ For the full list of syntactic alternations within each of the 57 implemented verb families, along with examples, the reader is referred to appendix A

<sup>&</sup>lt;sup>4</sup>We use the notions of short passive, agentless passive and passive without by-phrase interchangeably.

#### 3.1.2 Defining diathesis alternations

This level of abstraction is concerned with alternative ways of redistributing predicate-arguments among syntactic functions. This means that here we have to specify what the syntactic configurations of semantic roles are when combined with an active or a passive verb. The examples in 3.2 illustrate that the semantic roles in see(yossarian, chaplain) correspond to two different surface realisations: in (3.2a) the agent is encoded as a subject and the patient as a direct object, whereas in (3.2b) the patient takes the position of a subject and the agent of an object of a by-phrase.

(3.2) a. Yossarian saw the chaplain.

(active)

b. The chaplain was seen by Yossarian.

(passive with by-phrase)

Therefore, the diathesis variant is formally defined as a conjunction of the relevant syntactic functions classes and a verb form as follows:

```
n0Vn1DiathesisActive \rightarrow Subject \land Object \land ActiveVerb
n0Vn1DiathesisPassive \rightarrow Subject \land PassiveVerb \land ByAgent
n0Vn1DiathesisShortPassive \rightarrow Subject \land PassiveVerb
```

It is worth mentioning that in our approach, the so called 'erasing' (i.e., agentless passive) is treated as an alternative of the passive with by-phrase and of the active form, all of them being of equal rank. Hence, we do not need to derive the agentless passive from the passive with byagent by 'erasing' the by-phrase. By using a disjunction of alternatives, the sequencing problems in the derivation are thus easily overcome.

#### 3.1.3Defining syntactic functions

Now that the relevant predicate arguments are identified, their alternative positions at the phrase structure level must be specified. The syntactic functions are regarded as generalisations that characterise the set of variant linear orders of verbal dependents – they appear either in their canonical position or extracted to the left of the clause. The analysis adopted in XTAG is a GB movement analysis where the moved element is related to the empty position  $\epsilon$  by means of the coindexing  $\langle trace \rangle$  feature. Since XMG does not impose any priority of operations, a syntactic function is defined as a disjunction of alternative predicate arguments.

```
Subject \rightarrow CanonicalSubject \lor WhSubject \lor RelativeSubject
Object \rightarrow CanonicalObject \lor WhObject \lor RelativeObject
ByAqent \rightarrow CanonicalByAqent \lor WhByAqent \lor WhAqent of by-phrase
```

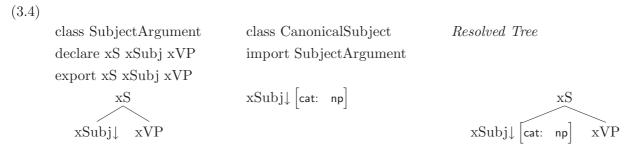
Following this definition, the abstraction of a syntactic function expresses whether it is in its prototypical case or has moved to an unbounded distance before the verb. For instance, the Object class encapsulates the following realisations:

```
(3.3) a. Milo served him broiled Maine lobster.
                                                                       (CanonicalObject)
     b. What did Milo serve him?
                                                                            (WhObject)
     c. the broiled Main lobster which Milo served him
```

(RelativeObject)

#### 3.1.4 Defining tree fragments

The last abstraction level is concerned with capturing shared partial grammatical structures. As explained above, the elementary building blocks of a grammar are fragmentary tree descriptions associated with a unique name and defined in classes. The XMG language allows us to factor out tree fragments by organising them in an inheritance hierarchy. The inheritance is controlled by the export and import declarations. For instance, in (3.4) the class SubjectArgument exports three node identifiers: xS, xSubj and xVP, thus making them available for further access. Then, when the SubjectArgument class is imported into the CanonicalSubject class, the daughter inherits the information from its mother class as if locally defined. Then, this class adds further description on the xSubj by constraining its category to an NP. The tree on the right-hand side illustrates the final tree description after the inheritance had taken place.



It should be mentioned that the number of required tree descriptions grows proportionally to the size of the grammar. Using an inheritance hierarchy we avoid specifying redundant information and thus, reduce the time and space for grammar implementation.

The table below illustrates the number of classes that were implemented in the XMG-based grammar. With the total amount of 485 classes we managed to describe 57 families and approximately 990 syntactic configurations.

Abstraction level	Nb of classes
Tree families	146
Diathesis alternation	106
Syntactic functions	32
Tree fragments	201
TOTAL	485

#### 3.2 Tree Families

Up to this point we introduced a possible methodology for grammar implementation which takes advantage of generalised descriptions by abstracting in four dimensions. It is time now to put this into practice and to discuss the actual grammar development for each of the abstraction levels.

The notion of a tree family was introduced by [Abeillé, 1991] to group together the set of elementary trees selected by verbs of a given subcategorisation frame. This set encapsulates all possible syntactic transformations of a predicate and its arguments.

In this section, we will present the tree families that were implemented in the metagrammar

system. It should be reminded that the overall organisation of verb classes followed the linguistic decisions made by [XTAG-Research-Group, 2001].

Notational conventions The trees are named according to the abbreviation principle nX and pnX, where n stands for a nominal argument, X designates the conventional number of a semantic argument, and pn refers to a nominal object introduced by a preposition. In the same manner, byn and ton indicate an object of a by-phrase and to-phrase, respectively. The anchor of a tree is marked by an uppercase letter: V for verbal, A for adjective, etc.

#### 3.2.1 Intransitive verb families

The intransitive verb families are selected by full-meaning verbs whose subcategorisation frame has one position for a subject argument. Adjuncts can freely adjoin in without influencing the grammaticality of a sentence. Strictly speaking, these verbs do not undergo any passivisation. [XTAG-Research-Group, 2001] groups intransitive verbs with nominal arguments in four distinct families to account for additional selectional restrictions of the lexical items. They can handle the contexts listed in below.

(3.5) a. Yossarian was waiting

b. They stood up slowly

c. Bill ventured into the cave

d. Milo grew flustered

(n0Vp1)

(3.5b) represents verbs coanchored by a particle. The meaning of a verb-particle pair can not be determined by decomposing it into two stand-alone lexical items. Therefore, in the lexicon they should be specified within one lexical entry. The class in (3.5c) is selected by verbs that are not strictly intransitive in the sense that they accept passivisation. Verbs belonging to class n0Va1 can appear either as bare intransitives or can take an adjective complement. These differences are formalised as follows:

```
n0V 	o dian0Vactive n0Vpl 	o dian0Vplactive n0Vpn1 	o dian0Vpn1active 	\lor dian0Vpn1passive 	\lor dian0Vpn1shortpassive n0Va1 	o dian0Va1active
```

#### 3.2.2 Transitive verb families

Verbs whose subcategorisation frame has two open slots, one for a subject and one for an object complement, belong to the transitive verb family group. There are no restrictions on the transitive argument if it is rooted in an NP – it can vary from a simple noun phrase to a complex relative structure with long distance dependencies, gerunds or NP's with embedded clauses. This group handles the four contexts as follows:

```
(3.6) a. Orr likes apple cheeks
b. Major Major gave up the whole thing
```

Major Major gave the whole thing up (n0Vpln1)

c. Sergent Towser thinks of the dead man in Yossarian's tent (n0VPn1)

d. The ice **melted** (En1V)

(3.6b) is an illustration of verb-particle pairs whose meaning interpretation is non-compositional. These verbs are thus anchored both by the verb and by the particle. The position of the particle is not restricted – it can appear either before the direct object or after it, and this property of the verb-particle pairs distinguishes them from verbs selecting a prepositional phrase. The class exemplified in (3.6c) is selected by verb-preposition pairs with non-compositional interpretation and thus, the family is defined as being anchored by two lexical items. The ergative verbs (3.6d) are analysed as a subset of the transitive family and therefore they select both n0Vn1 and En1V families. They possess the peculiarity of undergoing an ergative transformation known as a causative/inchoative transformation. This process consists in raising the object into a subject position as the examples below illustrate:

• The sun melted the butter (n0Vn1)

• The butter melted (En1V)

We saw that the intransitive families are defined by means of their active diathesis. Since transitive verbs have the general property of undergoing passivisation, their formal definition adds to the active diathesis two additional descriptions to account for the passive alternation with and without a by-agent:

 $n0Vn1 \rightarrow dian0Vn1active \lor dian0Vn1passive \lor dian0Vn1shortpassive$ 

 $n0Vpln1 \rightarrow dian0Vpln1active \lor dian0Vpln1passive \lor dian0Vpln1shortpassive$ 

 $n0VPn1 \rightarrow dian0VPn1active \lor dian0VPn1passive \lor dian0VPn1shortpassive$ 

 $En0V \rightarrow diaEn1Vactive$ 

#### 3.2.3 Ditransitive verb families

The group of ditransitives includes those verbs which subcategorise for a subject argument and two complement arguments. From a semantic point of view, the complements correspond to the theme (which in the monotransitive family is realised as an object) and to the goal/beneficient. On one hand, the goal can take the syntactic function of an indirect object without a preposition, in which case it is located before the direct object. On other hand, it can be realised as an prepositional phrase positioned after the direct object. The ditransitive families that were implemented cover the four contexts as follows:

(3.7) a. Bill **baked** Lisa a cake (n0Vn2n1)

b. Bill **baked** a cake **for** Lisa (n0Vn1Pn2)

c. Bill **puts** the cake on a table (n0Vn1pn2)

d. Bill **opens up** Lisa a bank account

Bill opens Lisa up a bank account

Bill **opens** Lisa a bank account **up** (n0Vpln2n1)

In order to account for the different subcategorisation structure of the so called dative shifts (3.7a and 3.7b), the verbs undergoing that alternation select both the n0Vn2n1 and n0Vn1Pn2 fam-

ilies. The former is anchored by the verbal lexical item, whereas the latter imposes a constraint on the preposition by making it its coanchor. Verbs which do not undergo that alternation select only for a noun phrase followed by a prepositional phrase without any restrictions on the preposition (3.7c) (hence, it is defined as a substitution node). The prepositional phrase contributes to the grammaticality of the sentence and therefore, we can not model it as an adjunct but as an obligatory complement in the subcategorisation frame. This is exemplified in the following sentences:

- Bill put a cake on the table vs.
- \*Bill put a cake

Verb-particle pairs subcategorising for two noun phrase complements select the family instantiated in (3.7d). The tree is anchored by both the verbal item and the particle, and the particle movement is the property that distinguishes between n0Vn1Pn2 and n0Vpln2n1.

The ditransitive verb families are formalised as a disjunction of the alternations they undergo: active – passive – agentless passive. The complexity of ditransitive verb-particle pairs might be the reason why they are not attested in passive:

```
n0Vn2n1 \rightarrow dian0Vn2n1 active \lor dian0Vn2n1 shortpassive \lor dian0Vn2n1 passive n0Vn1Pn2 \rightarrow dian0Vn1Pn2 active \lor dian0Vn1Pn2 shortpassive \lor dian0Vn1Pn2 passive n0Vn1pn2 \rightarrow dian0Vn1pn2 active \lor dian0Vn1pn2 shortpassive \lor dian0Vn1pn2 passive n0Vpln2n1 \rightarrow dian0Vpln2n1 active
```

#### 3.2.4 Verb families with a sentential argument

Lexical items selecting for a sentential argument include it as an S node in their elementary tree. It is treated the same way as a phrasal complement – it can be marked for adjunction and for substitution, and it can form either an initial or an auxiliary tree. The type of the sentential argument (indicative, infinitive, subjunctive, gerundive or base) and the distribution of complementisers are correlated since the complementiser itself restricts the type of its embedded clause. See for instance the following examples:

- (3.8) a. Appleby wondered whether to remove the flies in his eyes.
  - b. Appleby wondered whether he had removed the flies in his eyes
  - c. Appleby said that he removed the flies in his eyes.
  - d. \*Appleby said that to remove the flies in his eyes.

The complementisers in (3.8a) and (3.8b) do not impose any restrictions on the type of the clause: no matter if it appears as an indicative or an infinitive, the sentence is still grammatical. This is not the case in (3.8c) and (3.8d), which show that *that* is bound to an indicative clause and its coocurrence with an infinitive makes the whole construction ungrammatical. The distribution of the complementiser with respect to the type of the clause is handled by a fine-grained feature system. The type of the clause is defined for each verb in the lexicon.

Our analysis handles the following six cases:

(3.9) a. Yossarian does not want to fly many missions (n0Vs1)

b. The colonel forces Yossarian to fly many missions (n0Vn1s2)

c. That Milo sold the cotton **impressed** Yossarian (s0Vn1)

d. That Milo buys Polish sausage matters to the syndicate (s0V)

e. That he is in love with the chaplain **occurred to** Yossarian (s0Vton1)

f. That Bill drives a Jaguar makes Lisa angry (s0Vs1)

(3.9a) exemplifies the case of a verb subcategorising for a subject argument and for an S complement whereas the verb in (3.9b) selects a subject argument, an object argument and an S complement. Some verbs allow for both indicative and infinitive embedded clauses whereas others restrict the type of the clause. The S node of the prototypical tree is a foot node so that it attaches to an elementary tree rooted in S of a permissible clause. Its type is constrained within the lexicon and the sentential complement of the declarative tree is marked for adjunction. In so doing, one can handle long-distance dependencies where the extracted element of the sentential complement appears at an unbounded distance before the verb. The sentence in Figure 3.2 is derived from the auxiliary tree and the initial tree in Figure 3.1, splicing the latter in the  $S_r$  node to insert the auxiliary tree, as shown in the graphical representation below:

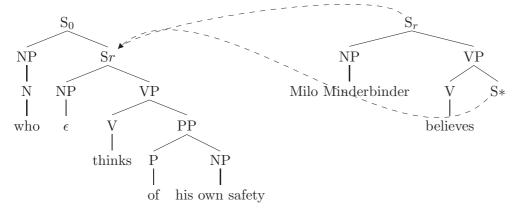


Figure 3.1: Trees Who thinks of his own safety and Milo Minderbinder believes

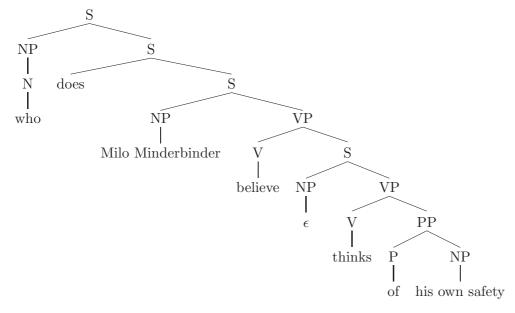


Figure 3.2: Tree for Who does Milo believe thinks of his own safety

This process illustrates the increased power of TAG – one can add recursively as many auxiliary trees as wanted so that a predicate argument appears at a significant distance before the verb it

is selected by. Adjunction operation permits this to happen without interfering with the local predicate-argument information. This means that in the above example who keeps the properties of a nominal subject argument of think although it was positioned far from its governor.

Sentential subjects appear in three contexts (3.9c, 3.9d, 3.9e and 3.9f) where  $s\theta V$  is selected only by the verb matter;  $s\theta Vn1$  requires an additional NP complement;  $s\theta Vton1$  includes an argument headed by a to-preposition and  $s\theta Vs1$  is selected only by the verb make and is should cooccur with a small clause sentence. The type of the sentential subject clause can be indicative (with an obligatory complementiser) or infinitive (the complementiser is not required).

The formal definition accounts for their active diathesis. Only one family appears with a passive verb form:

```
n0Vs1 	o dian0Vs1active

n0Vn1s2 	o dian0Vn1s2active \lor dian0Vn1s2shortpassive \lor dian0Vn1s2passive

s0Vn1 	o dias0Vn1active

s0V 	o dias0Vactive

s0Vton1 	o dias0Vton1active

s0Vs1 	o dias0Vs1active
```

#### 3.2.5 Families with cleft arguments

English cleft sentences are defined as initial trees with four compulsory elements:

- the dummy it subject
- $\bullet$  the main verb be
- the cleft component
- the sentential clause

The declarative trees are anchored by both the dummy subject and by the main verb. The category of the cleft element is either a noun phrase (3.10a), a prepositional phrase (3.10b) or an adverb (3.10c). The following sentences exemplify the different categories of the cleft element:

```
(3.10) a. It is Colonel Cathcart that never hesitated to volunteer his men for any target available (ItVn1s2)
```

b. It is on Pianosa island that Milo runs M. & M. enterprise (ItVpn1s2)

c. It was reluctantly that Yossarian tasted the chocolate-covered cotton (ItVad1s2) Cleft sentences are formally defined by invoking the classes of their active diathesis:

```
ItVn1s2 \rightarrow diaItVn1s2active ItVpn1s2 \rightarrow diaItVpn1s2active ItVad1s2 \rightarrow diaItVad1s2active
```

#### 3.2.6 Light verb families

Verb-noun pairs whose interpretation can not be computed from the meaning of their elements belong to the group of light verbs. Structurally, they resemble the tree descriptions for transitive and ditransitive verbs, with the only difference that the noun phrase can not be extracted and that a verbal item should cooccur with a specific noun. Thus, we differentiate between constructions as follows:

- Yossarian took a bite vs. Yossarian took a blanket
- Milo gave Yossarian a promise vs. Milo gave Yossarian a chocolate-covered cotton

In the left-hand-side examples the verb and the noun have their own semantic content contributing to a joint predication and therefore both nodes appear in the lexicon (hence, the tree is anchored by the verb and coanchored by the noun), whereas the complement in the right-hand side is marked for substitution. It should also be noted that the morphological alternations of the noun in the light verb families are restricted, in contrast to the noun complements in monotransitive and ditransitive classes, e.g.:

- (3.11) a. The colonel took his leave
  - b. The colonel took a leave
  - c. \*The colonel took the leave
  - d. \*The colonel took the leaves

In the proposed formalism, each morphological variant is defined as a coanchor node of the light verb it cooccurs with. The ditransitive light verbs participate in the dative shift alternation where the beneficient is realised either before the noun anchor as an indirect object (3.12b) or after it as an object headed by the *to*-preposition (3.12c).

The light verb families that were implemented cover the following contexts:

(3.12) a. Lisa took a short nap

(n0lVN1)

b. Bill gave Lisa a suspicious look

(n0lVN1Pn2 non-shifted)

c. Bill gave a suspicious look to Lisa

(n0lVN1Pn2 with PP shift)

The light verb families are formalised as a disjunction of active – passive alternations (where applicable). The distribution of agentless passive, however, is severely restricted to trees anchored by a gerund verb. The formal definition is thus as follows:

```
n0lVN1 \rightarrow dian0lVN1active
```

 $n0lVN1Pn2 \rightarrow dian0lVn2N1active \lor dian0lVn2N1passive \lor dian0lVn2N1NPgerundshortpassive \lor dian0lVN1Pn2active \lor dian0lVN1Pn2passive \lor dian0lVN1Pn2NPgerundshortpassive$ 

#### 3.2.7 Verb family with equative BE

To this group belong the verb be which subcategorises for two NP arguments. Unlike predicative verbs (e.g., seem, look, etc) and copula, the verb be has a non-predicative usage by which the two NP arguments are equated and hence, can be used interchangeably. See for instance the following examples:

- (3.13) a. The bombardier **is** this man over there (n0BEn1)
  - b. This man over there is the bombardier (n0BEn1)
  - c. \*My uncle seems this man over there

The subject and the complement in (a and b) can exchange positions without this to influence the grammaticality of the sentence. This is, however, not the case in (c) where swapping the places of the NP arguments of a predicative verb leads to ungrammaticality. It should also be mentioned that the equative be can be inverted which makes it behave as an auxiliary verb.

The equative be family appears in active and thus, its formal definition calls the class of its active diathesis as follows:

 $n0BEn1 \rightarrow dian0BEn1active$ 

#### 3.2.8 Small clause families

To the small clause group belong syntactic structures anchored not by a verb but by another part of speech: an adjective, a noun or a preposition. These constructions are known as 'small clauses' since they lack an overt verb but at the same time an implicit verb (the copula be) can be added. For instance, in the sentence  $Most\ people\ consider\ [JK\ Rowling\ a\ prolific\ author]$  the minimal fragment ( $[JK\ Rowling\ a\ prolific\ author]$ ) forms a stand-alone predicate clause with its own semantics being  $JK\ Rowling\ is\ a\ prolific\ author$  and with its own predicate-argument structure (in this case the predicate in bold selects an NP object). Despite that one might be tempted to call a small clause an S complement, a major property for their differentiation is the lack of a complementiser in small clauses. Adding a COMP makes the sentence ungrammatical as in the following example:  $*Most\ people\ consider\ that/if/whether\ JK\ Rowling\ a\ prolific\ author$ .

Overall the grammar contains 20 small clause families. The distinction between them is drawn upon the anchor category and the selected predicate-arguments. A showcase of small clauses anchored by a noun are the examples that follow:

- (3.14) a. Most people consider [JK Rowling a prolific author] (n0N1)
  - b. To fly many missions is **insanity** (s0N1)
  - c. These were accusations that Orr ate the chestnuts (n0N1s1)
  - d. The innovation was thanks to the chaplain (noNPn1)
  - e. That he ran a marathon was **thanks to** his spirit (s0NPn1)
  - f. The chaplain was in charge of Yossarian (n0PNaPn1)
  - g. That Bill ran a marathon was **on account of** his spirit (s0PNaPn1)

The families illustrated in (a) and (b) selects a subject argument which can be realised either as an NP or as an S complement in infinitive (without complementiser) or in indicative (with an obligatory complementiser). There exists also predicate nouns which should cooccur with an NP subject argument and an S complement (again in indicative or in infinitive mode) – they are exemplified in (c). Noun-preposition pairs with a decompositional meaning are differentiated in separate families: the anchor node should appear either with an NP subject (d) or with an indicative or infinitive sentential subject (e). The anchor node can be a more complex phrase of a noun and two prepositions selecting an NP subject (e) or a sentential subject (f).

Since small clauses lack the basic properties of verb families, like passivisation, their formal

definition takes into account the union of the participating syntactic function. More formally, the definition of a small clause tree family calls the class of their one verbless 'diathesis' as follows:

```
n0N1 
ightarrow dian0N1
s0N1 
ightarrow dias0N1
n0N1s1 
ightarrow dian0N1s1
n0NPn1 
ightarrow dian0NPn1
s0NPn1 
ightarrow dias0NPn1
n0PNaPn1 
ightarrow dian0PNaPn1
s0PNaPn1 
ightarrow dias0PNaPn1
```

#### 3.2.9 Verb families with exceptional case marking

There exists a certain class of verbs which are structurally the same as the n0Vs1 family, i.e. they subcategorise for an NP subject argument and an S complement. However, these verbs are known as exceptional case marking (ECM) in the sense that they assign an accusative case to the subject of the S complement. In the Latin grammar, this construction is known as an 'accusativus-cum-infinitivo' and it consists in assigning accusative case on the subject of clause. This can be illustrated with the following example:

```
(3.15) Julius Claudium ridere vult.

Julius Claudius.ACC to-laugh.INF wants.3sg

Julius wants Claudius to laugh.
```

The subject of the embedded predicate clause appears in accusative assigned by the main verb. This phenomenon of a subject with object marking has left traces in modern English too and this is the syntactic motivation for differentiating a separate verb family of verbs which assign an exceptional case to the subject of the S complement. In most cases, these are verbs of perception and of wishing (e.g., believe, expect, consider, want etc). For instance, in the sentence that follows:

```
(3.16) a. Lisa wants him to sell the house

(Xn0Vs1)

b. I saw her fall

(Xn0Vs1)
```

the subject of the embedded predicate clause (him) appears in accusative. The sentential complement should be either infinitive form (a) or a 'bare' infinitive (b). The formal definition of the ECM family is the same as the one for n0Vs1 verbs: reference to their active diathesis:

```
Xn0Vs1 \rightarrow diaXn0Vs1active
```

As one can expect, the declarative trees are again auxiliary trees to permit adjoining in the S node of an initial tree and thus, maintain long-distance dependencies. The operation is the same as the one in shown in Figure 3.2.

#### **3.2.10** Idioms

Frozen expressions which are multiply anchored by a phrase of more than one lexical item belong to the class of idioms. These expressions might have the same structure as transitive verbs (e.g., bury the hatchet) or verbs with a PP (e.g., make a short work of ). Their interpretation, however, cannot be extracted from the meaning of each lexical item and this makes them non-compositional. Depending on the category of the anchor nodes, the grammar differentiates eight idiom verb classes. These are as follows:

(3.17) a. Bill bit the dust	(n0VDN1)
b. Lisa sang a different tune	(n0VDAN1)
c. Lisa <b>cries wolf</b>	(n0VN1)
d. Lisa <b>cries bloody murder</b>	(n0VAN1)
e. Lisa makes a big deal of the accusations	(n0VDAN1Pn2)
f. Lisa makes short work of the cake	(n0VAN1Pn2)
g. Bill looks daggers at the detective	(n0VN1Pn2)
h. Bill broke the news to his wife	(n0VDN1Pn2)

The idiom family instantiated in (3.17a) is selected by complex phrases anchored by a verb, determiner and a noun which should cooccur with an NP subject argument. Adding an adjective anchor to this description creates another idiomatic family as illustrated in (3.17b). Idioms anchored by verb-noun pairs are shown in (3.17c) and idioms anchored by verb-adjective-noun phrases are exemplified in (3.17d). Further on, each of this syntactic configurations is possible also in the context of a PP where the preposition is also an anchor node. Next to the NP subject, these complex phrases require also an object of the PP. They are illustrated in (3.17e) [anchored by a verb, determiner, noun and a preposition], (3.17f)[anchored by a verb, adjective, determiner, noun and a preposition], (3.17g) [anchored by a verb, noun and a preposition] and (3.17h) [anchored by a verb, determiner, noun and a preposition]).

Idioms are formally defined as a disjunction of their active, passive and agentless passive diathesis. Verb families with a Prep anchor node undergo also an outer passive and an outer passive without a by-agent.

```
n0VDN1 
ightarrow dian0VDN1 active \lor dian0VDN1 passive \lor dian0VDN1 passive \ n0VDAN1 
ightarrow dian0VDAN1 active \lor dian0VDAN1 passive \lor dian0VDAN1 passive \ n0VN1 
ightarrow dian0VN1 active \lor dian0VN1 passive \lor dian0VN1 passive \ n0VAN1 
ightarrow dian0VAN1 active \lor dian0VAN1 passive \lor dian0VAN1 passive \ n0VDAN1 Pn2 
ightarrow dian0VDAN1 Pn2 active \lor dian0VDAN1 Pn2 passive \lor dian0VDAN1 Pn2 passive \ n0VAN1 Pn2 
ightarrow dian0VAN1 Pn2 active \lor dian0VAN1 Pn2 passive \lor dian0VAN1 Pn2 passive \ n0VN1 Pn2 
ightarrow dian0VN1 Pn2 active \lor dian0VN1 Pn2 passive \lor dian0VN1 Pn2 passive \ n0VDN1 Pn2 
ightarrow dian0VDN1 Pn2 active \lor dian0VDN1 Pn2 passive \lor dian0VDN1 Pn2 passive \ n0VDN1 Pn2 
ightarrow dian0VDN1 Pn2 passive \lor dian0VDN1 Pn2 passive \ n0VDN1 Pn2 
ightarrow dian0VDN1 Pn2 passive \lor dian0VDN1 Pn2 passive \ n0VDN1 Pn2 
ightarrow dian0VDN1 Pn2 passive \ dian0VDN1 Pn2 passive \ n0VDN1 Pn2 
ightarrow dian0VDN1 Pn2 passive \ dian
```

#### 3.2.11 Resultative tree families

Complex verb-adjective or verb-preposition predicates belong to the resultative tree families. They are again non-compositional and thus, these trees are multiply anchored by a verb plus adjective or by a verb plus a preposition. They form a complex predicate with one semantics in the sense that the secondary predicate signifies the 'result' of the verb. Syntactically, the verb can be transitive or ergative followed either by an adjective or by a PP predicate. This is the criterium that differentiates the following four resultative families:

(3.18) a. The detective **beat** Bill **dead** (Rn0Vn1A2)

b. Bill ran his shoes into pieces (Rn0Vn1Pn2)

c. The snow **froze solid** (REn1VA2)

d. The snow is melting into a puddle (REn1VPn2)

(3.18a) exemplifies a resultative tree where the complex predicate is a verb-adjective pair and the verb is transitive. The transitive verb can be coanchored by a preposition heading an NP – this is illustrated in (3.18b). Ergative verb-adjective pairs are presented in (3.18c) and ergative verb-prepositional pairs are shown in (3.18d). The formal definition of resultative families depends on the type of the verb: transitive verbs undergho passivisation and thus, they are formalised as a disjunction of their active, passive and agentless diathesis, whereas ergative verbs have only active forms and the resultative family with an ergative verb are defined in terms of their active diathesis. More formally, this was specified as follows:

 $Rn0Vn1A2 \rightarrow diaRn0Vn1A2 active \lor diaRn0Vn1A2 passive \lor diaRn0Vn1A2 short passive$ 

 $Rn0Vn1Pn2 \rightarrow diaRn0Vn1Pn2active \lor diaRn0Vn1Pn2passive \lor diaRn0Vn1Pn2shortpassive$ 

 $REn1VA2 \rightarrow diaREn1VA2active$ 

 $REn1VPn2 \rightarrow diaREn1VPn2active$ 

**Summing up** In this section we presented the groups of families that were implemented in the TAG grammar. We saw that each family can be formalised either as a disjunction of its active – passive – agentless passive diatheses or as a reference to a tree description. The general organisation and distinction between families is inspired by the [XTAG-Research-Group, 2001] project. The full grammar of verb classes amounts to 57 families.

#### 3.3 Diathesis Alternations

Along the lines of lexical-functional grammar [Bresnan and Kaplan, 1982], where active/passive alternatives are not a matter of transformation but a functional relation between two verbs, and where this relation is defined in terms of lexical rules, for the purpose of the current grammar active/passive alternatives are considered different realisations of the same predicate-argument structure. The distinct argument realisations are captured by means of disjunction instead of elaborate linguistic rules. This approach is consistent with the approach of [Levin, 1993] for getting one semantic interpretation and n-number of possible surface alternatives.

This level of abstraction is concerned with the distribution of semantic arguments among syntac-

tic functions and, more specifically, the possible realisations of a predicate-argument structure. In the previous chapter we outlined what diathesis alternations a verb undergoes. We now define the tree descriptions encapsulated in an active/passive diathesis. Passive constructions are treated as a syntactic alternative of the declarative subcategorisation frame and they are accompanied by differently marked morphological form. Within this analysis, passive trees are restricted by their  $\langle mode = ppart \rangle$  value, which permits only auxiliary trees with  $\langle rmode = ppart \rangle^5$  and  $\langle passive = + \rangle$  to adjoin in. In the proposed framework, the active and passive structures are formally defined as a conjunction of argument realisations and a verbal skeleton.

#### 3.3.1 Intransitive diathesis

Strictly speaking, intransitive families do not undergo any passivisation, and therefore their formal definition specifies the mapping between the predicate arguments and surface realisation of grammatical functions. More precisely, in the intransitive family the  $NP_0$  argument is encoded as a subject, and the  $NP_1$  (n0Vpn1 family) can be realised as an object of a preposition or as a subject. The diathesis of the intransitive families can be unfolded as follows:

```
n0VDiathesisActive 
ightarrow active \land Subject n0Vpn1DiathesisActive 
ightarrow active \land Subject \land PPObject n0Vpn1DiathesisPassive 
ightarrow passive \land Subject \land PPObject \land ByAgent n0Vpn1DiathesisShortPassive 
ightarrow passive \land Subject \land PPObject
```

This formalisation accounts for the following contexts:

```
(3.19) a. Lisa sleeps

(active)

b. Bill slogged through traffic for almost 2 hours

c. The traffic was slogged by Bill for almost two hours

d. The traffic was slogged for almost two hours

(agentless passive)
```

#### 3.3.2 Monotransitive and ditransitive diathesis

The transitive family is without any doubt the one that is most manifestly subject to active – passive alternations. In the last section we defined the transitive class as a disjunction of active, passive and agentless passive. Now, in order to identify the distribution of predicate arguments in each of the diatheses cases, we include the following rules:

```
n0Vn1DiathesisActive \rightarrow active \land Subject \land Object

n0Vn1DiathesisPassive \rightarrow passive \land Subject \land ByAgent

n0Vn1DiathesisShortPassive \rightarrow passive \land Subject
```

These rules suffice to express the fact that the mapping between thematic roles and grammatical functions is not one-to-one. To be more precise, an  $NP_0$  agent can be assigned the grammatical function of a subject or that of an object of a by-phrase. In the same way, the  $NP_1$  argument can be encoded as a direct object, or it can be raised to a subject position. In the perspective

<sup>&</sup>lt;sup>5</sup>inspired by Laura Kallmeyer (pc)

of the monotonicity of the description language, passive variants are not generated by means of transformational derivation but are handled as an alternative, which is ranked equally as the distribution of the declarative subcategorisation frame. In the same perspective, the treatment of the agentless passive gets away with the 'erasing' and, thus, allows for the derivation of a short passive without an intermediate transformation level.

Following the above rules, the grammar can generate the sentences in (3.20):

(3.20) a. Doc Daneeka accepted the explanation

(active)

b. The explanation was accepted by Doc Daneeka

(passive)

c. The explanation was accepted

(agentless passive)

The assignment of grammatical functions in the ditransitive family resembles the assignment in the monotransitive family, with one main difference – ditransitive verbs select for an additional  $NP_2$  argument with the semantic role of a beneficient. In the surface structure this argument can be realised as in indirect object (as in 3.21a) or can be fronted to the subject position (as in examples 3.21b and 3.21c):

```
(3.21) a. Bill asked Lisa a question
```

(active)

b. Lisa was asked a question by Bill

(passive)

c. Lisa was asked a question

(agentless passive)

These contexts are formalised as follows:

```
n0Vn2n1DiathesisActive \rightarrow active \land Subject \land Object \land IObject

n0Vn2n1DiathesisPassive \rightarrow passive \land Subject \land Object \land ByAgent

n0Vn2n1DiathesisShortPassive \rightarrow shortpassive \land Subject \land Object
```

**Summing up** In this section we illustrated the mapping between predicate arguments and syntactic grammatical functions in the surface structure. It has been shown that this mapping is not one-to-one, and that it is handled by means of equally ranked production rules. The transformational derivations are replaced by alternation of active – passive – agentless passive diatheses, avoiding any sequencing problems. The description language allows for abstracting over each diathesis by defining it as a set of argument realisations and an active/passive verbal morphology.

### 3.4 Syntactic Functions

In the perspective of meaning-text theory (see [Iordanskaja and Mel'cuk, to appear]) a syntactic function is defined as a set of binary dependency relations between two lexical items: a governor g and its dependents d (represented as  $g \to r \to d$ ), where the dependents correspond to clause arguments. A syntactically dependent tree has a unique top node, which does not depend on the word precedence. In most European languages the top node is a finite verb governing a set of surface syntactic functions. For instance, in the sentence 'Lisa sleeps', sleeps is the governor and Lisa is the dependent. The syntactic relation determines the type of the dependent, i.e., the subjectival relation presupposes a subject dependent, a direct-objectival relation presupposes a direct object dependent. In contrast to deep syntactic relations, surface functions are language

dependent and they can be established in an empirical manner.

However, if we define a syntactic function as the mere set of functional relations between two clausal elements, we are ignoring important information, namely the fact that a clausal component encapsulates syntactic constructions varying at the phrase-structure level. For the sake of a precise analysis, we have incorporated the different ways of surface realisation of the same grammatical function.

The elements that we operate with in this chapter are tree descriptions of a higher level, abstracting over various way of expressing a syntactic function. In the perspective of our description language, a syntactic function is defined as a disjunction of alternatives of equal status:

```
SyntFunction \rightarrow CanonicalSF \lor WhSF \lor RelativeSF
```

This abstract notation allows us to account for linear realisations of grammatical functions without imposing any order of application for their derivation<sup>6</sup>.

More specifically, a syntactic function is declared in a separate class providing an exhaustive list of its variants. This includes a canonical realisation, wh-extraction, relative clause and gerund argument (i.e., an argument rooted in a noun phrase). The subject class can be realised as a *PRO subject* and as an *Imperative*, and in this case it preserves its subject agreement. The sentential subject can be realised either in its canonical position before the verb or wh-extracted to the front (in the latter case its structure equals the one of a wh-moved noun subject). The grammar does not differentiate between finite and non-finite sentential subject<sup>7</sup>: complementisers are defined as adjoining trees with features imposing restriction on the selected complementiser and clause type.

The subject definition below allows us to account for the different contexts in (3.22).

```
Subject \rightarrow CanonicalSubj \lor WhSubj \lor RelativeOvertSubj \lor RelativeCovertSubj \lor ImperativeSubj \lor ProSubject \lor NPGerundSubject \lor DeterminerGerundSubject
```

 $Object \rightarrow CanonicalObj \lor WhObj \lor RelativeOvertObj \lor RelativeCovertObj \lor DeterminerGerundObject$ 

This description covers the following contexts:

(3.22) a. Orr took the chestnuts out (canonical subject and canonical object)

b. Who took the chestnuts out (wh-moved subject and canonical object)

c. What did Orr take out (wh-moved object and canonical subject)

d. the bombardier who took the chestnuts out (relative overt subject)

e. the bombardier that took the chestnuts out (relative covert subject)

f. Take the chestnuts out! (imperative subject)

g. Orr does not want [PRO to take the chestnuts out] (PRO subject)

h. Orr's taking out the chestnuts (NP gerund subject)

i. His taking out the chestnuts is bothering (determiner gerund subject)

<sup>6</sup>This can be compared to the XTAG approach, which uses metarules. For instance, a wh-moved subject is

produced by applying a wh-extraction rule to the canonical subject tree.

<sup>&</sup>lt;sup>7</sup>in contrast to the French XMG grammar of [Crabbé, 2005]

**Summing up** At the third level of abstraction, we defined syntactic functions as a disjunction of possible realisations in the surface structure. These generalisations account for the varying linear order of a function in the phrase structure tree – in a canonical, extracted or empty position. The precise precedence and dominance relations in a tree description are to be specified in the next section, where we discuss the building blocks of the grammar.

## 3.5 Tree Fragments

So far we have been working at a higher level abstracting over family alternations and syntactic functions. It is time to move to the gist of our implementation where we will define the building blocks needed for a core grammar of English verbs. The objects we will operate with are shared structures of grammatical information: features, verbal arguments and verbal spines. We begin by outlining briefly the way we represent tree fragments and the mechanisms for their combination. Then, we will concentrate on feature declaration, and more specifically we will see how to factore out common feature structures. At the end of this chapter we will illustrate an economical way of factoring out common information by representing a possible hierarchical organisation of verbal arguments and verb forms.

#### 3.5.1 General ideas

A tree description consists of a set of tree node variables and of relations between these variables specifying precedence, dominance and equality. A tree fragment is specified in terms of node variables, precedence-dominance relations between the nodes, feature information and node colours, whereby the colouring principle (cf 2.3) imposes constraints on merging nodes. Figure (3.3) exemplifies a set of three independent tree fragments (a fragment for a canonical subject, adjective complement and an active verb form). The combination of these partial tree descriptions renders a fully saturated predicate argument, which is given on the right of the equality sign.

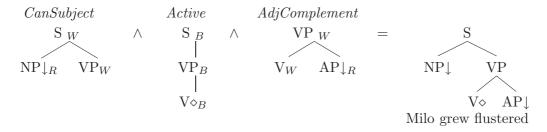


Figure 3.3: Combination of Tree Fragments

#### 3.5.2 Factorising feature information

To ensure the well-formedness of the grammar, XMG allows for decorating tree descriptions with feature information. One way to do this is to specify a feature-value pair for each node in a tree fragment. This, however, leads to redundant and repetitive information. In order to better factorise feature structures, we define separate classes which carry information about the

distribution of a feature-value pair among tree nodes. The classes of relevant feature information are then inherited by using the import statement. Another way to factor out common pieces of feature structures is to declare them in a mother node within the hierarchy of tree fragments. The next paragraphs detail the features currently we use in the XMG-based grammar.

**Subject agreement** This feature is provided to ensure agreement between the verb and the subject. The agreement rule is a complex feature which stipulates that the subject should agree in person and number<sup>8</sup> with the verb. The features of the lexical items are specified in the lexicon and they are propagated up the top feature of the verbal head. Agreement is performed in two steps so that we can insert an auxiliary tree in the VP node. In that case the root node of the adjoining tree equals the *top* feature of the spliced node and the foot node information is shared with the *bottom* feature of the split node. Graphically, this is represented as follows:

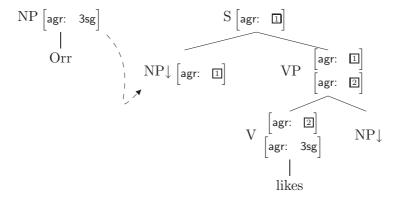


Figure 3.4: Subject Agreement

Movement agreement Movement agreement is imported into classes where an element has been extracted from its canonical position to appear in verb-fronted position, in particular in the case of wh-extraction and relativisation. After movement, it is assumed that the extracted component leaves a trace and the pre-existing agreement between the noun phrase and the verb should be preserved. Compare for instance the following examples:

- $[Which\ word]_i$  does Milo reckon  $[is]_i$  anathema to him? vs.
- $*[Which\ word]_i$  does Milo reckon  $[are]_i$  anathema to him?

This is provided by equating the case and agreement values between the extracted argument and its trace:

 $WH.top : \langle case \rangle = NP.top : \langle case \rangle$  $WH.top : \langle agr \rangle = NP.top : \langle agr \rangle$ 

Case assignment The case analysis is drawn from the government and binding theory (GB) and the minimalistic program in the following two perspectives:

 As in GB, an abstract case is assigned by a number of case assigners like verbs and prepositions;

<sup>&</sup>lt;sup>8</sup>We exclude gender since it is not applicable in an English grammar

• As in the minimalistic framework, a lexical item carries its own feature, which is checked in the process of unification;

Noun phrases are assigned an unambiguous case by their governing heads, that is verbs and prepositions. At the same time the process of combining elementary trees involves unification of feature values between nouns (which come with a case value predefined in the lexicon) and the case assigning category. The possible values of the  $\langle case \rangle$  feature are **nom**, **acc**, **gen** and **none**.

#### 1. Prepositional case assigner

All prepositions assign an accusative case to the NP they govern and this is specified in the lexicon:  $\langle assign - case \rangle = acc$ . This value is propagated to the  $\langle assign - case \rangle$  feature of the mother node of the prepositional phrase and it is directly linked by equation to the  $top \langle case \rangle$  feature of the NP (3.5).

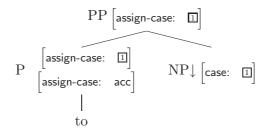


Figure 3.5: Prepositional Case Assigner

#### 2. Verbal case assigner

The case assigned to a subject is provided by linking the  $\langle case \rangle$  feature of the NP substitution node and the  $top \ \langle assign-case \rangle$  feature of the VP. Further on, this agreement is passed up the root node of the tree. For the sake of keeping the description as compact as possible, this information is added to the class, ensuring agreement between the subject and the verb. The case assigned to NP complements is factored out in a separate class ensuring that a verb selecting for one or two arguments assigns an accusative case to their sister nodes (3.6).

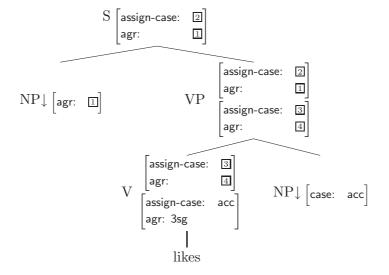


Figure 3.6: Verbal Case Assigner

#### 3.5.3 Verb form

Within this section we will detail the tree fragments used as a basis for a factorised representation of verbal forms. Previously, we defined a diathesis alternation as a conjunction of syntactic functions and an active or a passive verbal form. The choice of the latter marks the different surface realisations in (3.23)

- (3.23) a. Dunbar **dropped** his bombs hundreds of yards past the village.
  - b. The bombs were dropped hundreds of yards past the village.

[Bresnan and Kaplan, 1982] describe the process of passivisation as a lexical rule accompanied by changing the morphological form of the verb and redistributing the surface syntactic functions. In that perspective, the passive form is built by rules specifying the transformation of a flexed verb form into a participle and the movement of a subject into an object position. The formation of a by-object out of a subject is non-obligatory.

Since the description language we use does not permit any sequence order of operations, active and passive forms are modelled as alternatives of equal right whose feature-values differ in the terminal nodes (Figure 3.7). Auxiliary verbs are defined in separate elementary trees which adjoin to the VP node of the passive tree. Well-formedness of the derived passive tree is ensured by propagating the  $\langle agr \rangle$  and  $\langle mode \rangle$  values of the inflected auxiliary verb up the tree. Since the main passive verb does not select for any auxiliary, we add an additional  $\langle rmode \rangle$  feature to the anchor node of the auxiliary tree, coindexed with the  $\langle mode \rangle$  of the main verb.

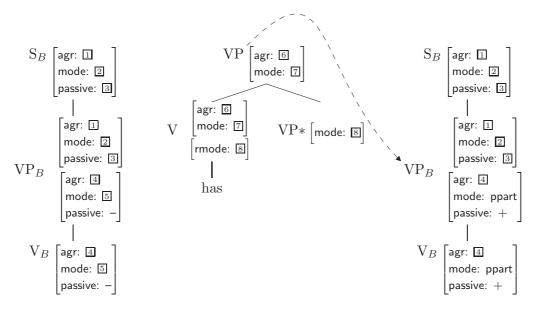


Figure 3.7: Active and Passive Verb Forms

To better factorise information, verb forms are organised in an inheritance hierarchy with one mother node and two daughters:

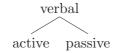


Figure 3.8: Verb Form Hierarchy

### 3.5.4 Verbal arguments

Having shown the organisation of the verbal forms, we move to the bigger group of verbal dependents. Here, the elementary trees are factored out in a hierarchical network, reducing the number of repetitive and redundant tree descriptions. The partitioning of our hierarchy is based on the linear order of predicate arguments (Figure 3.9): the *Subject Argument* class dominates the subject realised in front of the verb; noun complements placed at their canonical position on the right-hand side of the verb are grouped under the *Canonical Complement* node; arguments that have been moved from their canonical position are split into a separate *Extraction* class; arguments rooted in NP are differentiated in an additional *Gerund Argument* class; finally, cleft arguments are organised in a *Cleft Argument* group.

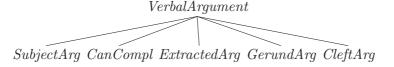


Figure 3.9: Major Tree Fragments

**Subject arguments** Non-extracted subject arguments realised in their canonical position on the left hand side of the verb cover the contexts as follows:

(3.24) a. Major Major never sees anyone in his office while he is in his office.

(Canonical nominal subject)

b. To force his men to fly more missions than everyone else impressed Colonel Cathcart as the most tangible achievement for him.

(Canonical sentential subject)

- c. A promise was made to Milo by the colonel.
- (Subject of a light verb)
- d. Aarfy was always trying [PRO to help Nately].

(PRO subject)

e.  $[\epsilon \text{ Come}]$  to see me tomorrow.

(Imperative subject)

When the subject argument is of category  $\mathbf{np}$  and is marked for substitution, it can be replaced by a nominal phrase of any complexity, including embedded relative clauses. Tree families selecting a sentential subject do not permit any extraction out of it, and therefore the S subject appears as a substitution node. The S subject licenses almost the same clause types as a sentential complement: indicative and infinitive with an extra constraint: an indicative clause must cooccur with a complementiser. Compare these examples:

- (3.25) a. That the lives of the enlisted men were only slightly more interesting than the lives of the officers disappointed Yossarian. vs.
  - b. \*The lives of the enlisted men were only slightly more interesting than the lives of the officers disappointed Yossarian.

Another difference between sentential subjects and sentential complements is that an S subject does not license gerundive, since subjects in gerund mode are analysed as noun phrases.

(3.24c) exemplifies a subject of a light verb family which behaves in the same way as a canonical nominal subject, with the difference that the NP subject node is anchored by a specific lexical item. The declarative subcategorisation frame of light verbs is of the form  $[S NP_1 PP_2]$ , and

in passive diathesis  $NP_1$  is realised in a subject position. This motivates the specification of a subject of a light verb family.

(3.24d) and (3.24e) are surface-realised as empty elements with a distinct behaviour. The null PRO element appears in tenseless clauses (as an adjunct or infinitive) and it is assigned the feature  $\langle case = none \rangle$ . On the other hand, imperative subjects (filled by an  $\epsilon$ ) are treated as non-overt subjects with morphological behaviour: the subject position should agree with the verb  $\langle agr = 2sg \rangle$  and the mode of the S node is set to imperative. Overt and negative imperatives are not handled. The complete hierarchy of subject arguments can be seen in Figure 3.10



Figure 3.10: Hierarchy of Subject Arguments

Canonical complements The group of canonical complements comprises non-extracted sentential and nominal arguments whose canonical position is after the verb. They cover the following contexts:

(3.26) a. They wrapped a batch of bandages around Yossarian.

(Canonical nominal object)

b. The bombardiers did not give a promise to the colonel.

(Object of a light verb)

c. The doctor showed Yossarian two fingers.

(Canonical indirect object & direct obj)

d. The doctor showed two fingers to Yossarian.

(Canonical nominal direct object & PP-object)

e. Yossarian suggested that they send him flowers.

(Canonical sentential complement)

The NP node of the canonical complement is represented as a substitution node without any constraints on the complexity of the NP. (3.26b) exemplifies the active alternation of a family anchored by a light verb. In comparison to (3.24c), the noun cooccurring with the light verb is assigned an object function, and therefore inherits the features specific to arguments following the verb (e.g., verbal case assigner). As (3.26c) and (3.26d) indicate, the position of the direct object depends on the surrounding context – when used with an indirect object, it is placed after it and when used with a PPobject, it is given priority in the linear order. In our description language this was implemented by enforcing unique ranking on the nodes of interest. More specifically, the indirect object is given the highest rank, followed by the direct object and the the PP complements are declared of lower rank.

Verbs selecting a sentential complement impose constraints on their  $\langle mode \rangle$  and  $\langle comp \rangle$  values, which are declared in the lexical entry of the verb. For instance, the verb hope selects both an infinitive and an indicative clause types. In the first case it does not license any complementiser (hence,  $\langle comp = null \rangle$ ), whereas the indicative mode must cooccur with an obligatory that complementiser. The selectional restrictions on the  $\langle mode \rangle$  and  $\langle comp \rangle$  are a peculiarity specific to verbs, and therefore these feature values are specified in the lexicon. In the declarative

tree, the S complement is marked as a foot node, to allow for the derivation of sentences with wh-extraction. (see the graphical representation of the derivation process in Figures 3.2 and 3.1)

Extracted arguments The extraction phenomenon affects nominal complements and is characterised by the complements being "moved" at an unbounded distance to the left-hand side of the verb. In the tradition of generative grammars, extracted arguments are viewed as placed in a *Spec* position of a CP, i.e., at the beginning of a clause. The moved element can be surface-realised as a wh-word (3.27a), topicalised-NP (3.27b) or a relative clause (3.27c). Our analysis considers  $\langle wh = + \rangle$  extraction and relative clauses, leaving aside topicalisation cases.

- (3.27) a. Who declared war on all modifiers?
  - b. The bombardier who declared war on all modifiers.
  - c. War on all modifiers, the bombardier declared.

**Wh-extraction** The extraction of an argument to the left-hand side of the verb is represented by adding above the root  $S_r$  node two additional nodes, marked by  $\langle extracted = + \rangle$  at the topmost node:

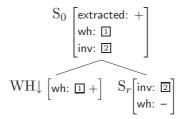


Figure 3.11: Wh-Extaction

The WH node is coindexed by a trace feature with the empty position, and therefore it can be substituted by the same phrase as the extracted one, including wh-NP (who, what), wh-phrase headed by a preposition ( $by\ whom$ ), adjectival question (how) and a determiner phrase ( $which\ island$ ).

Relative clauses Relative clauses involve the extraction of an argument or an adjunct forming a complex nominal phrase which appears as an auxiliary tree within the relevant tree families. They are implemented by adding four additional nodes having an NP as a root node

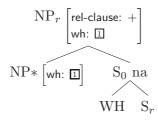


Figure 3.12: Relative Clause

The implementation of relative clauses differentiates between overt and covert relative clauses, i.e. clauses with an overt wh-NP (and without a complementiser, (3.28a)) and clauses with a covert complementiser (and without a surface realised wh-NP, (3.28b)).

- (3.28) a. the girl [which<sub>i</sub> [Nately had fallen so deeply in love with  $\epsilon_i$ ]
  - b. the girl  $[[whNP \ \epsilon]_j$  that Nately had fallen so deeply in love with  $\epsilon_j$

Relative clauses with an overt extracted wh-argument involve the substitution of wh-NP by a lexical item with a positively marked wh-feature  $\langle wh=+\rangle$ . The adjunction of a complementiser is blocked by the  $\langle comp=nil\rangle$  value on the  $S_r$  node. The WH node in covert extracted relative clauses is anchored by an empty category  $\epsilon$ , disallowing substitution of a wh-NP. The insertion of an auxiliary tree anchored by that-COMP is permitted by the unification of  $\langle assign-comp=that\rangle$  on the foot node of the auxiliary tree and the  $\langle assign-comp=that/ind\_nil/inf\_nil\rangle$  on the  $S_r$  node of the relative tree.

**Gerund arguments** The implementation of subject and object arguments coocurring with a gerund clause takes into consideration the following distributions:

- (3.29) a. Milo believes that [NP] the making of arrangements with a four-year-old pimp] is useful.
  - b. Yossarian does not approve of [NP] Milo making arrangements with a four-year-old pimp].

The examples above mark the different character of gerund clauses: (3.29a) exemplifies a determiner gerund of a transitive verb with a direct object, instantiated as an object of a prepositional phrase. The way determiner gerunds appear with determiners and can be modified by adjectives make them behave as basic nominals. Apart from of-phrases, the implemented determiner gerund allow for indirect object instantiated as a for-object (e.g., the baking for Lisa of a cake). The example in (3.29b) illustrates NP gerunds which are claimed to have an internal sentential structure. Therefore, an NP gerund tree has the same active/passive alternations and functional distributions as the declarative case in the corresponding family, with the only difference being the  $NP_r$  category.

**Cleft arguments** Cleft arguments are characterised by the following main properties:

- 1. coanchoring by a dummy subject and the main verb be; and
- 2. a cleft element of an NP, Adv or PP category

The current implementation covers the declarative cases in (3.30a), as well as wh-movement of the cleft component without inversion and with obligatory adjunction of an auxiliary verb (3.30b):

- (3.30) a. It was colonel Korn who has mapped out this way of life for the chaplain.
  - b. Who might it be who has mapped out this way of life for the chaplain.

Summing up In this chapter we introduced the methodology adopted for developing a core tree-adjoining grammar for English verbs. The methodological principles were validated in the context of a core grammar implementation. The overall organisation was laid out over four levels of abstraction, each of them capturing generalised descriptions. The generalisations we studied concern alternative surface realisations at phrase-structure level and partial structures shared among several elementary trees. We saw that the grammar information can be factorised only by means of inheritance hierarchy and a few logical operations: conjunction and disjunction.

This justifies the use of a monotonic and minimal meta-language that yields a compact tree representation and a complete grammar.

## Chapter 4

## **Evaluation and Results**

The aim of the evaluation is to test the developed grammar and, more specifically, to verify whether it returns a correct analysis for each clause of a specially designed test suite. The evaluation method proceeded in three steps:

- 1. Building a test corpus. The clauses were built so that they cover the possible syntactic configurations for each subcategorisation frame. Every phenomenon was exemplified by at least one grammatical clause. In order to check the propagation of feature information, we included 11 ungrammatical clauses. In this way, we tested whether the grammar could (incorrectly) return a positive analysis for ungrammatical sentences. It should be taken into consideration that the test corpus was built so that it illustrates every grammatically possible syntactic configuration without focusing on the ungrammatical verification. At this stage, the test suite contains 1025 clauses, covering 990 syntactic variations among 57 tree families.
- 2. Building a lexicon. The lexical information is spread in two lexicons: one containing the main forms of the lexical items (i.e., the lemmas) from the test suite, and another with their morphological variants. The former gives information about the tree family a lexical item belongs to. In the case of verbs which cooccur with a specific particle, preposition or a noun complement, this lexical item is specified within the verbal entry as its coanchor. Currently, this lexicon comprises 276 entries. The other lexicon gives the inflected forms and the feature structure of each lexical entry: the lemma of a verb is linked to its present indicative, past indicative, base form, gerund and past participle. The lemma of a noun corresponds to its singular and plural variants; determiners, adjectives, prepositions and complementisers do not undergo any inflection and therefore, they are specified only in terms of features. The grammar was then further extended with 28 tree descriptions to cover non-verbal trees and auxiliary verbs. This number includes nouns, noun modifiers, adjectives, adverbs (modifying a verb on the left and a verb on the right), prepositions, prepositional phrases, determiners, complementisers, conjunctions and auxiliary verbs. The implementation of auxiliary verbs accounts for verbs adjoining to a V node (when there is no inversion) and for verbs adjoining to an S node (when there is subject - verb inversion).
- 3. Parsing the test suite of sentences. At this step all the previously specified grammar

and lexical information come into play. The evaluation was performed using the TuLiPA parsing architecture (see [Kallmeyer et al., 2008]) which takes as an input a three-level lexicon (the grammar specification file, the list of lexical entries and the lexicon with inflected forms) and a string from the test suite corpus. If the syntactic configuration is specified properly in the grammar and if all the lexical items appear in the lexicon, the parser outputs the solution in the form of a tree anchored by the lexical items from the parsed clause. Currently, the parser returns the intended parse trees for 990 grammatical clauses.

Covered phenomena To illustrate the covered phenomena we grouped the 990 syntactic configurations among the 57 verb families into 7 general classes of syntactic configurations (with active/passive distinction if available). These classes are graphically represented in Figures (4.1) and in (4.2). These figures show that the canonical argument realisation in active and passive altogether comprises 154 test sentences. The imperative bar shows that imperative forms occur in almost 43 cases. The same number holds for clauses with a PRO-subject in active and slightly less for determiner gerunds. The NP gerund phenomenon is distributed among 102 of the test clauses, which include gerunds with canonical and PRO-subject in active and passive (without overlapping between gerund clauses and indicative clauses). Our grammar covers mostly relativisation phenomena (443 clauses). Finally, the wh-extraction of a subject, direct and indirect object is present in 186 sentences.

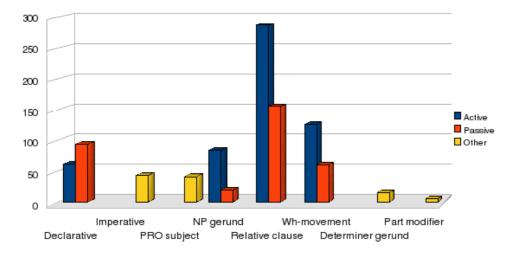


Figure 4.1: Graph of Covered Phenomena

**Observed errors** The most common error consists in assigning more than one parse structure to a sentence. For instance, the NP-complement in *Yossarian approves of him taking out the chestnuts* is interpreted on one hand as a gerund rooted in a noun phrase, and on the other as a reduced relative clause. In that case both structures are permissible but there is only one which is preferred. A possible solution to this explosion of readings would be to assign cost values to tree descriptions in the process of grammar development, and adapt the parser accordingly so that in the end only the least costly (or highest ranked structure) will be licensed.

Another overgeneration error is closely related to extreme factorisation. It was observed that one tree fragment may require different feature structures depending on the surrounding context.

Declarative active	61	6.16%
Declarative passive	93	9.39%
Determiner gerund	15	1.52%
Imperative	43	4.34%
Inverted question	1	0.10%
NP Gerund active	83	8.38%
NP Gerund passive	19	1.92%
PRO Subject	41	4.14%
Participial modifier	5	0.51%
Relative active	287	28.99%
Relative passive	156	15.76%
Wh-moved active	126	12.73%
Wh-moved passive	60	6.06%
Total	990	100%

Figure 4.2: Distribution of Covered Phenomena

For instance, the tree description defining a canonical subject should be accompanied by an indicative mode when used in a declarative context and by  $\langle mode = base \rangle$  when used with whextraction. Solving this problem comes at the cost of 'loading' the grammar with more tree descriptions.

Comparison to XTAG This report began by saying that the current grammar takes as a starting point the linguistic decision of the XTAG project. Let is now briefly discuss to what extent we managed to cover the verb families and their syntactic transformations as defined by [XTAG-Research-Group, 2001]. XTAG distributes the verbs into 57 subcategorisation frames generating around 1000 trees. Our grammar covered all verb families which amount to 57 and was able to generate successfully 1017 trees. Future work might involve automation of the comparison between the coverage of the XTAG implementation and the currently developed grammar.

# Conclusion and Perspectives

In this report we discussed a possible implementation of a core tree-adjoining grammar for English. We proposed a novel framework for representing strongly lexicalised tree-based formalisms which takes advantage of a high abstraction level and minimal descriptions. The proposed language was built with the intuition that the two methodological axes which suffice for designing a wide-coverage grammar are shared structures and alternatives. These can be easily handled by means of conjunction and disjunction operations. Further on, we showed that the number of tree descriptions can be reduced by using an inheritance hierarchy which lets the grammar writer invoke on-the-fly the fragments of interest.

The proposed language and methodology were validated by building a realistic English grammar based on the linguistic decisions of [XTAG-Research-Group, 2001]. The implementation process consisted in abstracting in four description levels: we started by defining a tree family as a disjunction of alternatives of each other, then we moved to the next step of declaring what the tree descriptions in each of these alternation is, then we described each of these elements as equally ranked alternatives and, finally, we designed the building blocks of the grammar, i.e., elementary trees. The main practical gains are, on one hand the monotonic language, which does not impose any order for deriving a grammatical structure from another one, but instead regards possible surface-realisations as equally ranked alternatives, and, on other, the minimal language, which allows for high level of generalisation only by means of conjunction, disjunction and inheritance. The very systematic way of implementation facilitates thus the maintenance and the possible extension of the grammar. The grammar writer manipulates a very ordered list of classes and the relations between them without having to interfere with the overall logic behind the grammar implementation.

Further investigations may proceed in several directions. The grammar and the lexicon can be further extended with the purpose of scaling up to a large-coverage grammar. As it was observed, the grammar can be easily extended by adding more lexical classes and by reusing the existing elementary tree descriptions. Another possible perspective would be to add underspecified semantic representation for the purposes of natural language generation. This would add a great value to the available resources considering the fact that at this stage there is no large-scale implemented TAG integrating compositional semantics.

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## Appendix A

# Available syntactic configurations

## $A.1 \quad n0V$

1. Declarative

Bill sleeps; a man sleeps; the man sleeps

2. Wh-moved subject

who sleeps

3. Subject relative clause with overt extracted wh-NP

the man who sleeps, the man whose wife sleeps

- \* the man who sleep, the man who to sleep
- \* the man who sleeping, the man whose wife sleeping
- 4. Subject relative clause with covert extracted wh-NP

the man that sleeps, the man to sleep

- \* the man who that sleeps
- \* the man whose wife to sleep
- 5. Imperative

Sleep!

6. Determiner gerund

Bill disapproves of [her dancing]

7. NP gerund

Bill disapproved of [me dancing]

8. PRO subject

Bill wants [PRO to sleep]

9. NP gerund with PRO subject

[PRO dancing] fascinates Lisa.

10. Adjunct (gap-less) relative clause with PP pied-piping

the house in which Bill sleeps

11. Adjunct (gap-less) relative clause with covert extracted wh-NP

the day Bill sleeps

12. Pre-nominal participial modifier the sleeping man

### A.2 En1v

1. Declarative

the snow melts

2. Wh-moved subject

what melted

3. Imperative

melt

4. Subject relative clause with covert extracted wh-NP

the snow that melts

the snow to melt

5. Subject relative clause with overt extracted wh-NP

the snow which melts

6. Determiner gerund

Bill disapproves of [the melting]

7. NP gerund

/it melting/

8. PRO subject

the snow needs [PRO to melt]

9. NP gerund with PRO subject

[PRO melting] is necessary

10. Adjunct (gap-less) relative clause with PP pied-piping

the island on which the snow melts

11. Adjunct (gap-less) relative clause with covert extracted wh-NP

the day the snow melts

12. Pre-nominal participial modifier

Lisa likes [the melting snow]

### $A.3 \quad n0Vn1$

1. Declarative

Bill baked a cake

Bill loves Lisa

2. Wh-moved object

what did Bill bake

who does Bill like

3. Wh-moved subject  $who \ baked \ a \ cake$ 

4. Imperative bake the cake

5. Passive with by-phrasea cake is baked by Bill\* a cake is bake by Bill

6. Passive without by-phrase a cake was baked

7. Passive with wh-moved subject and by-phrase what was baked by Bill

8. Passive with wh-moved subject and no by-phrase  $what\ was\ baked$ 

9. Passive with wh-moved by-phrase by whom was the cake baked

10. Passive wit wh-moved object out of the by-phrase

whom was the cake baked by

who was the cake baked by

11. Object relative clause with overt extracted wh-NP

the cake whose cherries Bill likes

the cake which Bill baked

12. Object relative clause with covert extracted wh-NP

the cake that Bill bakes

13. Subject relative clause with overt extracted wh-NP the man who ate the cake

14. Subject relative clause with covert extracted wh-NP the man that ate the cake

15. Adjunct (gap-less) relative clause with covert extracted wh-NP  $the\ day\ Bill\ ate\ the\ cake$ 

16. Adjunct (gap-less) relative clause with covert extracted wh-NP with passive with by-phrase the day the cake was baked by Bill

17. Adjunct (gap-less) relative clause with covert extracted wh-NP with passive without byphrase

the day the cake was baked

18. Adjunct (gap-less) relative clause with PP pied-piping

the house in which Bill baked a cake

- 19. Adjunct (gap-less) relative clause with PP pied-piping with passive with by-phrase the house in which the tree was planted by Bill
- 20. Adjunct (gap-less) relative clause with PP pied-piping with passive without by-phrase the house in which the tree was planted
- 21. Passive with relative clause on subject and no by-phrase with overt extracted wh-NP the cake whose cherries were eaten
- 22. Passive with relative clause on subject and no by-phrase with covert extracted wh-NP the cake that was eaten
- 23. Passive with relative clause on subject and by-phrase with overt extracted wh-NP the cake whose cherries were eaten by Bill
- 24. Passive with relative clause on subject and by-phrase with covert extracted wh-NP the cake that was eaten by Bill
- 25. Passive with relative clause on object on the by-phrase with overt extracted wh-NP the man who the tree was planted by
- 26. Passive with relative clause on object on the by-phrase with covert extracted wh-NP the man that the tree was planted by
- 27. Passive with relative clause on object on the by phrase with with PP pied-piping the man by whom the cake was baked

  Lisa likes [the man by whom the tree was planted]

  [the man by whom the tree was planted] likes Lisa
- 28. Determiner gerund

  Lisa approves of [his baking of the cake]
- 29. NP gerund

  Bill approved of [Lisa baking a cake]
- 30. PRO subject

  Bill wants [PRO to bake a cake]
- 31. NP gerund with PRO subject

  Bill approved of [PRO baking a cake]
- 32. Gerund passive with by-phrase with PRO subject Lisa likes [PRO being loved by Bill].
- 33. Gerund passive without by-phrase with PRO subject Lisa likes [PRO being loved]
- 34. Passive with by-phrase with PRO subject Bill wants [PRO to be loved by Lisa].
- 35. Passive without by-phrase with PRO subject Bill wants [PRO to be loved].

- 36. Gerund passive with by-phrase

  Bill being loved by Lisa
- 37. Gerund passive without by-phrase Bill being loved
- 38. Pre-nominal participal modifier the baked cake
- 39. Derived adjective totally baked

### $A.4 \quad n0Vn2n1$

1. Declarative

Bill asks Lisa a question

2. Wh-moved subject who asked Lisa a question

3. Wh-moved direct object

what did Bill ask Lisa

4. Wh-moved indirect object whom did Bill ask a question

- 5. Subject relative clause with overt extracted wh-NP  $the\ man\ who\ asked\ Lisa\ a\ question$
- 6. Subject relative clause with covert extracted wh-NP

  the man that asked Lisa a question

  the man to ask Lisa a question
- 7. Direct object relative clause with overt extracted wh-NP the question which Bill asks Lisa
- 8. Indirect object relative clause with overt extracted wh-NP the woman whom Bill asks a question
- 9. Direct object relative clause with covert extracted wh-NP  $the\ question\ that\ Bill\ asked\ Lisa$
- 10. Indirect object relative clause with covert extracted wh-NP the woman that Bill asks a question
- 11. Imperative  $ask\ Lisa\ a\ question$
- 12. Determiner gerund
  the asking for Lisa of a question
- 13. NP gerund

Bill approves of [him asking Lisa a question]

14. Passive with by-phrase

Lisa was asked a question by Bill

15. Passive without by-phrase

Lisa was asked a question

16. Passive with wh-moved subject and indirect object and by-phrase

what was Lisa asked by Bill

17. Passive with wh-moved subject and no by-phrase

what was Lisa asked

18. Passive with wh-moved subject and direct object and by-phrase

who was asked a question by Bill

19. Passive with wh-moved subject and direct object without by-phrase

who was asked a question

20. Passive with wh-moved by-phrase

by whom was Lisa asked a question

21. Passive with wh-moved object out of the by-phrase

who was Lisa asked a question by

22. Passive with relative clause on subject (on NP1) and by-phrase with overt extracted wh-NP the question which Lisa was asked by Bill

23. Passive with relative clause on subject (on NP1) and by-phrase with covert extracted wh-NP

the question that Lisa was asked by Bill

24. Passive with relative clause on subject (on NP1) and no by-phrase with overt extracted wh-NP

the question which Lisa was asked

25. Passive with relative clause on subject (on NP1) and no by-phrase with covert extracted wh-NP

the question that Lisa was asked

26. Passive with relative clause on subject (on NP2) and by-phrase with overt extracted wh-NP the man whose wife was asked a question by Bill

27. Passive with relative clause on subject (on NP2) and by-phrase with covert extracted wh-NP

the woman that was asked a question by Bill

28. Passive with relative clause on subject (on NP2) without by-phrase with overt extracted wh-NP

the man whose wife was asked a question

29. Passive with relative clause on subject (on NP2) without by-phrase with covert extracted wh-NP

the woman that was asked a question

- 30. Passive with relative clause on object of by-phrase with overt extracted wh-NP the man who Lisa was asked a question by
- 31. Passive with relative clause on object of by-phrase with covert extracted wh-NP the man that Lisa was asked a question by
- 32. Passive with relative clause on by-phrase with PP pied-piping the man by whom Lisa was asked a question
- 33. Adjunct (gap-less) relative clause with covert extracted wh-NP the day Bill asked Lisa a question
- 34. Adjunct (gap-less) relative clause with covert extracted wh-NP with passive with by-phrase the day Lisa was asked a question by Bill
- 35. Adjunct (gap-less) relative clause with covert extracted wh-NP with passive without byphrase

the day Lisa was asked a question

- 36. Adjunct (gap-less) relative clause with PP pied-piping
  the house in which Bill asked Lisa a question
  the house where Bill asked Lisa a question
- 37. Adjunct (gap-less) relative clause with PP pied-piping with passive with by-phrase the house in which Lisa was asked a question by Bill
- 38. Adjunct (gap-less) relative clause with PP pied-piping with passive without by-phrase the house in which Lisa was asked a question
- 39. PRO subject

Bill wants [PRO to ask Lisa a question]

- 40. Passive without by-phrase with PRO subject Lisa wants [PRO to be asked a question]
- 41. Passive with by-phrase with PRO subject

  Lisa wants [PRO to be asked a question by Bill]
- 42. NP gerund passive without by-phrase and PRO subject Lisa likes [PRO being asked a question]
- 43. NP gerund passive with by-phrase and PRO subject Lisa likes [PRO being asked a question by Bill]
- 44. Gerund passive with by-phrase [him being asked a question by Bill]
- 45. Gerund passive without by-phrase [him being asked a question]
- 46. NP gerund with PRO subject

  Bill approves of [PRO asking Lisa a question]

## A.5 n0Vn1pn2

1. Declarative

Bill puts the cake on a table

2. Wh-moved PP

on what does Bill put the cake where does Bill put the cake

3. Wh-moved object of PP

what does Bill put the cake on

4. Wh-moved direct object

what does Bill put on the table

5. Wh-moved subject

who puts the cake on the table

6. Imperative

put the cake on the table

7. PRO subject

Bill wants [to put a cake on the table]

8. Passive without by-phrase

the cake was put on the table

9. Passive with by-phrase

a cake was put on the table by Bill

10. Passive with wh-moved PP and no by phrase:

where was the cake put

11. Passive with wh-moved by phrase

by whom was the cake put on the table

12. Passive with wh-moved object out of the by-phrase

who was the cake put on the table by

13. Passive with wh-moved object out of the PP and no by phrase

what was the cake put on

14. Passive with wh-moved object out of the PP and by phrase

what was the cake put on by Bill

15. Passive with wh-moved subject and no by phrase

what was put on the table

16. Passive with wh-moved subject and by phrase

what was put on the table by Bill

17. Passive with wh-moved PP and by phrase

where was the cake put by Bill

- 18. Passive with wh-moved PP and no by phrase where was the cake put
- 19. Passive without by-phrase with PRO subject [PRO to be put on the table]
- 20. Passive with by-phrase with PRO subject [PRO to be put on the table by Bill]
- 21. Determiner gerund

  [the putting of the cake on the table]
- 22. NP gerund

  Lisa likes [Bill putting the cake on the table]

  [it being put on the table]
- 23. Gerund passive with by-phrase [it being put on the table by Bill].
- 24. NP gerund with PRO subject
  [Bill approves of [PRO putting the cake on the table]
- 25. NP gerund passive without by-phrase and with PRO subject [PRO being put on the table]
- 26. NP gerund passive with by-phrase and PRO subject the cake likes [PRO being put on the table by Bill]
- 27. Direct object relative clause with overt extracted wh-NP the cake which Bill puts on the table
- 28. Direct object relative clause with covert extracted wh-NP a cake that Bill puts on the table
- 29. Subject relative clause with overt extracted wh-NP the man who put the cake on the table
- 30. Object of PP relative clause with overt extracted wh-NP the table which Bill put the cake on
- 31. Object of PP relative clause with covert extracted wh-NP the table that Bill put the cake on
- 32. PP relative clause with PP pied-piping the table on which Bill puts the cake
- 33. Subject relative clause with covert extracted wh-NP the man that puts the cake on the table the man to put the cake on the table
- 34. Passive with relative clause on subject and no by phrase with overt extracted wh-NP the cake which was put on the table
- 35. Passive with relative clause on subject and no by phrase with covert extracted wh-NP

- the cake that was put on the table; the cake to be put on the table
- 36. Passive with relative clause on subject and by phrase with covert extracted wh-NP the cake that was put on the table by Bill
- 37. Passive with relative clause on subject and by phrase with overt extracted wh-NP the cake which was put on the table by Bill
- 38. Passive with relative clause on object of PP and by phrase with overt extracted wh-NP the table which the cake was put on by Bill
- 39. Passive with relative clause on object of PP and by phrase with covert extracted wh-NP the table that the cake was put on by Bill
- 40. Passive with relative clause on object of PP and no by phrase with covert extracted wh-NP the table that the cake was put on
- 41. Passive with relative clause on PP indirect object and by phrase with PP pied-piping the table on which the cake was put by Bill
- 42. Passive with relative clause on PP indirect object and no by phrase with PP pied-piping the table on which the cake was put
- 43. Passive with relative clause on object of PP and no by phrase with overt extracted wh-NP the table which the cake was put on
- 44. Passive with relative clause on object of by-phrase with overt extracted wh-NP the man who the cake was put on the table by
- 45. Passive with relative clause on object of by-phrase with covert extracted wh-NP the man that the cake was put on the table by
- 46. Passive with relative clause on by-phrase with PP pied-piping the man by whom the cake was put on the table
- 47. Adjunct (gap-less) relative clause with covert extracted wh-NP the day Bill put the cake on the table
- 48. Adjunct (gap-less) relative clause with covert extracted wh-NP with passive with by-phrase the day the cake was put on the table by Bill
- 49. Adjunct (gap-less) relative clause with covert extracted wh-NP with passive without byphrase
  - the day the cake was put on the table
- 50. Adjunct (gap-less) relative clause with PP pied-piping the house where Bill puts the cake on the table
- 51. Adjunct (gap-less) relative clause with PP pied-piping with passive with by-phrase the house where the cake was put on the table by Bill
- 52. Adjunct (gap-less) relative clause with PP pied-piping with passive without by-phrase the house where the cake was put on the table

## A.6 n0Vn1Pn2

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	L)ec	lara	1.1VC

the fish reminds Yossarian of other fish

2. Wh-moved subject

what reminds Yossarian of other fish

3. Wh-moved direct object

whom does the fish remind of other fish

4. Wh-moved object of PP

what does the fish remind Yossarian of

5. Wh-moved PP

of what does the fish remind Yossarian

6. Imperative

remind Yossarian of other fish

7. PRO subject

the colonel wants [to remind Yossarian of other fish]

8. Passive without by-phrase

Yossarian was reminded of other fish

9. Passive with by-phrase

Yossarian was reminded of other fish by the fish

10. Passive with wh-moved by-phrase

by what was Yossarian reminded of other fish

11. Passive with wh-moved object out of the PP and no by phrase

what was Yossarian reminded of

12. Passive with wh-moved object out of the PP and by phrase

what was Yossarian reminded of by the fish

13. Passive with wh-moved subject and no by phrase

who was reminded of other fish

14. Passive with wh-moved subject and by phrase

who was reminded of other fish by the fish

15. Passive with wh-moved PP and by phrase

of what was Yossarian reminded by the fish

16. Passive with wh-moved PP and no by phrase

of what was Yossarian reminded

17. Passive without by-phrase with PRO subject

Yossarian wants [PRO to be reminded of other fish]

18. Passive with by-phrase with PRO subject

Yossarian wants [PRO to be reminded of other fish by the fish]

- 19. Passive with wh-moved object out of the by-phrase what was Yossarian reminded of other fish by
- 20. Determiner gerund

  [the reminding of Yossarian of other fish]
- 21. NP gerund

  Orr approves of [me reminding Yossarian of other fish]
- 22. Gerund passive without by-phrase [him being reminded of other fish]
- 23. Gerund passive with by-phrase

  [him being reminded of other fish by the fish]
- 24. NP gerund with PRO subject

  Orr approves of [reminding Yossarian of other fish]
- 25. NP gerund passive without by-phrase and with PRO subject Yossarian approves of [PRO being reminded of other fish]
- 26. NP gerund passive with by-phrase and PRO subject

  Yossarian approves of [PRO being reminded of other fish by the fish]
- 27. Direct object relative clause with overt extracted wh-NP the bombardier whom the fish reminds of other fish
- 28. Direct object relative clause with covert extracted wh-NP the bombardier that the fish reminds of other fish
- 29. Subject relative clause with overt extracted wh-NP the fish which reminds the bombardier of other fish
- 30. Subject relative clause with covert extracted wh-NP the fish that reminds the bombardier of other fish the fish to remind the bombardier of other fish
- 31. Object of PP relative clause with overt extracted wh-NP other fish which the fish reminds Yossarian of
- 32. Object of PP relative clause with covert extracted wh-NP other fish that the fish reminds Yossarian of
- 33. Passive with relative clause on subject and no by phrase with overt extracted wh-NP the bombardier who was reminded of other fish
- 34. Passive with relative clause on subject and no by phrase with covert extracted wh-NP the bombardier that was reminded of other fish the bombardier to be reminded of other fish
- 35. Passive with relative clause on subject and by phrase with covert extracted wh-NP the bombardier that was reminded of other fish by the fish

- 36. Passive with relative clause on subject and by phrase with overt extracted wh-NP the bombardier who was reminded of other fish by the fish
- 37. Passive with relative clause on object of PP and by phrase with overt extracted wh-NP other fish which Yossarian was reminded of by the fish
- 38. Passive with relative clause on object of PP and by phrase with covert extracted wh-NP other fish that Yossarian was reminded of by the fish
- 39. Passive with relative clause on object of PP and no by phrase with overt extracted wh-NP other fish which Yossarian was reminded of
- 40. Passive with relative clause on object of PP and no by phrase with covert extracted wh-NP other fish that Yossarian was reminded of
- 41. Passive with relative clause on object of by-phrase with overt extracted wh-NP the fish which Yossarian was reminded of other fish by
- 42. Passive with relative clause on object of by-phrase with covert extracted wh-NP the fish that Yossarian was reminded of other fish by
- 43. Passive with relative clause on by-phrase with PP pied-piping the fish by which Yossarian was reminded of other fish
- 44. Adjunct (gap-less) relative clause with PP pied-piping
  the island on which the fish reminds Yossarian of other fish
  the island where the fish reminds Yossarian of other fish
- 45. Adjunct (gap-less) relative clause with covert extracted wh-NP the day the fish reminds Yossarian of other fish
- 46. Adjunct (gap-less) relative clause with covert extracted wh-NP with passive with by-phrase the day Yossarian was reminded of other fish by the fish
- 47. Adjunct (gap-less) relative clause with covert extracted wh-NP with passive without byphrase
  - the day Yossarian was reminded of other fish
- 48. Adjunct (gap-less) relative clause with PP pied-piping with passive with by-phrase the island where Yossarian was reminded of other fish by the fish
- 49. Adjunct (gap-less) relative clause with PP pied-piping with passive without by-phrase the island where Yossarian was reminded of other fish
- 50. Passive with relative clause on PP indirect object and by phrase with PP pied-piping other fish of which Yossarian was reminded by the fish
- 51. Passive with relative clause on PP indirect object and no by phrase with PP pied-piping other fish of which Yossarian was reminded
- 52. PP relative clause with PP pied-piping other fish of which the fish reminds Yossarian

### $A.7 \quad n0Vn1s2$

1. Declarative

the colonel forces Yossarian to fly many missions

2. Wh-moved subject

who forced Yossarian to fly many missions

3. Wh-moved sentential complement

what does the colonel force Yossarian

4. Imperative

force the bombardiers to fly many missions

- 5. Passive with PRO subject with by-phrase after the sentential complement Yossarian wanted [PRO to be forced to fly many missions by the colonel]
- 6. Passive without by phrase

the bombardiers were forced to fly many missions

7. Passive with wh-moved subject and no by phrase who was forced to fly many missions

8. Passive with PRO subject without by-phrase

[PRO to be forced to fly many missions].

9. Passive with by-phrase after the sentential complement

the bombardiers were forced to fly many missions by the colonel

10. Passive with wh-moved subject and by-phrase after the sentential complement who was forced to fly many missions by the colonel

11. Determiner gerund

[his forcing of the bombardiers to fly many missions] was unnecessary

12. NP Gerund

[me betting everyone that the bombardiers flew many missions]

13. NP gerund passive with by-phrase after the sentential complement Yossarian being forced to fly many missions by the colonel

14. NP gerund passive without by-phrase

Yossarian being forced to fly many missions

15. NP gerund with PRO subject

[PRO forcing the bombardiers to fly many missions] was extreme.

16. NP gerund passive with PRO subject without by-phrase

[PRO being forced to fly many missions]

- 17. NP gerund passive with PRO subject with by-phrase after the sentential complement [PRO being forced to fly many missions by the colonel]
- 18. Subject relative clause with overt extracted wh-NP

the colonel who forces Yossarian to fly many missions

- 19. Subject relative clause with covert extracted wh-NP the colonel that forces Yossarian to fly many missions
- 20. Passive with relative clause on subject and no by-phrase with overt extracted wh-NP the bombardier who was forced to fly many missions
- 21. Passive with relative clause on subject and no by-phrase with covert extracted wh-NP the bombardier that was forced to fly many missions
- 22. Passive with relative clause on subject and by-phrase after the sent complement with overt extracted wh-NP

the bombardiers who were forced to fly many missions by the colonel

23. Passive with relative clause on subject and by-phrase after the sent complement with covert extracted wh-NP

the bombardiers that were forced to fly many missions by the colonel

## A.8 n0Vpl

1. Declarative

Yossarian woke up

2. Wh-moved subject

who woke up

3. Imperative

wake up

4. Subject relative clause with overt extracted wh-NP

the bombardier who woke up

5. Subject relative clause with covert extracted wh-NP

the bombardier that woke up

6. Adjunct (gap-less) relative clause with PP pied-piping

the island where the bombardier woke up

the island on which the bombardier woke up

7. Adjunct (gap-less) relative clause with covert extracted wh-NP

the day Yossarian woke up

8. Determiner gerund

the colonel disapproves of [the waking up]

9. NP gerund

the colonel approves of [him waking up]

10. PRO subject

Yossarian wants [PRO to wake up]

11. NP gerund with PRO subject

Yossarian dreads [PRO waking up]

## A.9 n0Vpln1

1. Declarative with a particle after the NP

Orr took the chestnuts out

2. Declarative with a particle before the NP  $Orr\ took\ out\ the\ chestnuts$ 

3. Wh-moved object

what did Orr take out

4. Wh-moved subject with particle before the NP who took out the chestnuts

5. Wh-moved subject with particle after the NP who took the chestnuts out

6. Imperative with particle after the NP  $take\ the\ chestnuts\ out$ 

7. Imperative with particle before the NP take out the chestnuts

8. Passive with by-phrase with verb particle before by-phrase the chestnuts were taken out by Orr

9. Passive without by-phrase

the chestnuts were taken out

10. Passive with wh-moved subject and by-phrase with verb particle before by-phrase what was taken out by Orr

11. Passive with wh-moved subject and no by-phrase what was taken out

12. Passive with wh-moved by-phrase

by whom were the chestnuts taken out

13. Passive with wh-moved object out of the by-phrase who were the chestnuts taken out by

14. Object relative clause with overt extracted wh-NP the chestnuts which Orr took out

15. Object relative clause with covert extracted wh-NP

the chestnuts that Orr took out

16. Subject relative clause with particle before the NP with overt extracted wh-NP the bombardier who took out the chestnuts

- 17. Subject relative clause with particle after the NP with overt extracted wh-NP the bombardier who took the chestnuts out
- 18. Subject relative clause with particle before the NP with covert extracted wh-NP the bombardier that took out the chestnuts
- 19. Subject relative clause with particle after the NP with covert extracted wh-NP the bombardier that took the chestnuts out
- 20. Adjunct (gap-less) relative clause with particle after the NP with PP pied-piping the island on which Orr took the chestnuts out
- 21. Adjunct (gap-less) relative clause with particle before the NP with PP pied-piping the island on which Orr took out the chestnuts
- 22. Adjunct (gap-less) relative clause with PP pied-piping with passive with by-phrase the island on which the chestnuts were taken out by Orr
- 23. Adjunct (gap-less) relative clause with PP pied-piping with passive without by-phrase the island on which the chestnuts were taken out
- 24. Adjunct (gap-less) relative clause with particle after the NP with covert extracted wh-NP the day Orr took the chestnuts out
- 25. Adjunct (gap-less) relative clause with particle before the NP with covert extracted wh-NP the day Orr took out the chestnuts
- 26. Adjunct (gap-less) relative clause with covert extracted wh-NP with passive with by-phrase the day the chestnuts were taken out by Orr
- 27. Adjunct (gap-less) relative clause with covert extracted wh-NP with passive without byphrase

  the day the chestnuts were taken out
- -
- 28. Passive with relative clause on subject and no by-phrase with overt extracted wh-NP the chestnuts which were taken out
- 29. Passive with relative clause on subject and no by-phrase with covert extracted wh-NP the chestnuts that were taken out
- 30. Passive with relative clause on subject and by-phrase with overt extracted wh-NP the chestnuts which were taken out by Orr
- 31. Passive with relative clause on subject and by-phrase with covert extracted wh-NP the chestnuts that were taken out by Orr
- 32. Passive with relative clause on the object of by-phrase with overt extracted wh-NP the bombardier who the chestnuts were taken out by
- 33. Passive with relative clause on the object of by-phrase with covert extracted wh-NP the bombardier that the chestnuts were taken out by
- 34. Determiner gerund with particle before the NP

  Orr approves of [the taking out of the chestnuts]

- 35. NP gerund with particle after the NP

  Yossarian likes [him taking the chestnuts out]
- 36. NP gerund with particle before the NP

  Yossarian likes [him taking out the chestnuts]
- 37. Gerund passive with by-phrase

  [Orr being beaten up by Yossarian]
- 38. Gerund passive with by-phrase [Orr being beaten up]
- 39. PRO subject with verb particle after the NP

  Orr wants [PRO to take the chestnuts out]
- 40. PRO subject with verb particle before the NP Orr wants [PRO to take out the chestnuts]
- 41. NP gerund with PRO subject with verb particle before the NP Yossarian approves of [PRO taking out the chestnuts]
- 42. NP gerund with PRO subject with verb particle after the NP Yossarian approves of [PRO taking the chestnuts out]
- 43. Gerund passive with by-phrase with PRO subject Orr likes [PRO being beaten up by Yossarian]
- 44. Gerund passive without by-phrase with PRO subject

  Orr likes [PRO being beaten up]
- 45. Passive with PRO subject with by-phrase

  Orr wants [PRO to be beaten up by Yossarian]
- 46. Passive with PRO subject and without by-phrase Orr wants [PRO to be beaten up]

## A.10 n0Vpln2n1

- 1. Declarative with particle after the NPs

  Bill opens Lisa a bank account up
- 2. Declarative with particle between the NPs  $Bill\ opens\ Lisa\ up\ a\ bank\ account$
- 3. Declarative with particle before the NPs Bill opens up Lisa a bank account
- 4. Wh-moved subject with particle after the NPs who opens Lisa a bank account up
- 5. Wh-moved subject with particle between the NPs who opens Lisa up a bank account

- 6. Wh-moved subject with particle before the NPs who opens up Lisa a bank account
- 7. Wh-moved indirect object with particle after the NPs whom did Bill open a bank account up
- 8. Wh-moved indirect object with particle before the NPs whom did Bill open up a bank account
- 9. Wh-moved direct object with particle between the NPs what did Bill open Lisa up
- 10. Wh-moved direct object with particle before the NPs what did Bill open up Lisa
- 11. Imperative with particle after the NPs open Lisa a bank account up
- 12. Imperative with particle between the NPs open Lisa up a bank account
- 13. Imperative with particle before the NPs open up Lisa a bank account
- 14. PRO subject with particles after the NPs

  Bill wants [PRO to open Lisa a bank account up]
- 15. PRO subject with particles between the NPs

  Bill wants [PRO to open Lisa up a bank account]
- 16. PRO subject with particles before the NPs

  Bill wants [PRO to open up Lisa a bank account]
- 17. Determiner gerund with particle before the NPs the opening up for Lisa of a bank account
- 18. NP gerund with particle before the NPs

  [me opening up Lisa a bank account]
- 19. NP gerund with particle between the NPs [me opening Lisa up a bank account]
- 20. NP gerund with particle after the NPs [me opening Lisa a bank account up]
- 21. NP gerund with PRO subject with particles before the NPs

  Bill approves of [PRO opening up Lisa a bank account]
- 22. NP gerund with PRO subject with particles between the NPs

  Bill approves of [PRO opening Lisa up a bank account]
- 23. NP gerund with PRO subject with particles after the NPs

  Bill approves of [PRO opening Lisa a bank account up]
- 24. Direct object relative clause with particle between the NPs with overt extracted wh-object

- a bank account which Bill opens Lisa up
- 25. Direct object relative clause with particle before the NPs with overt extracted wh-object a bank account which Bill opens up Lisa
- 26. Direct object relative clause with particle between the NPs with covert extracted wh-object a bank account that Bill opens up Lisa
- 27. Direct object relative clause with particle before the NPs with covert extracted wh-object a bank account that Bill opens Lisa up
- 28. Subject relative clause with particle after the NPs with overt extracted wh-NP the man who opens Lisa a bank account up
- 29. Subject relative clause with particle between the NPs with overt extracted wh-NP the man who opens Lisa up a bank account
- 30. Subject relative clause with particle before the NPs with overt extracted wh-NPs the man who opened up Lisa a bank account
- 31. Subject relative clause with particle after the NPs with covert extracted wh-NP the man that opens Lisa a bank account up
- 32. Subject relative clause with particle between the NPs with covert extracted wh-NP the man that opens Lisa up a bank account
- 33. Subject relative clause with particle before the NPs with covert extracted wh-NPs the man that opens up Lisa a bank account
- 34. Indirect object relative clause with particle before the NPs with overt extracted wh-NP the woman who Bill opens up a bank account
- 35. Indirect object relative clause with particle before the NPs with covert extracted wh-NP the woman that Bill opens up a bank account
- 36. Indirect object relative clause with particle after the NPs with overt extracted wh-NP the woman who Bill opens a bank account up
- 37. Indirect object relative clause with particle after the NPs with covert extracted wh-NP the woman that Bill opens a bank account up
- 38. Adjunct (gap-less) relative clause with particle before the NPs with PP pied-piping the country in which Bill opens up Lisa a bank account
- 39. Adjunct (gap-less) relative clause with particle between the NPs with PP pied-piping the country in which Bill opens Lisa up a bank account
- 40. Adjunct (gap-less) relative clause with particle after the NPs with PP pied-piping the country in which Bill opens Lisa a bank account up
- 41. Adjunct (gap-less) relative clause with particle before the NPs with covert extracted wh-NP the day Bill opens up Lisa a bank account
- 42. Adjunct (gap-less) relative clause with particle between the NPs with covert extracted wh-NP

the day Bill opens Lisa up a bank account

43. Adjunct (gap-less) relative clause with particle after the NPs with covert extracted wh-NP the day Bill opens Lisa a bank account up

### $A.11 \quad n0Vs1$

1. Canonical subject

Yossarian wants to fly many missions

2. Wh-moved subject

who wants to fly many missions

3. Wh-moved sentential complement

what does Yossarian want

4. Imperative

insist that Yossarian fly many missions

5. PRO subject

Yossarian wanted [PRO to think that he flew many missions]

6. NP gerund

[Yossarian wanting to fly many missions]

7. NP gerund with PRO subject

[PRO wanting to fly many missions] fascinates the colonel

8. Determiner gerund

Orr approves of [the insisting that Yossarian fly many missions]

9. Subject relative clause with overt extracted wh-NP

the bombardier who wants to fly many missions

10. Subject relative clause with covert extracted wh-NP

the bombardier that wants to fly many missions

#### A.12 n0Va1

1. Canonical subject

the flowers smelled enthralling

2. Wh-moved adjective

how did the flowers smell

3. Wh-moved subject

what smelled enthralling

4. PRO subject

Lisa wants [to look happy]

5. Imperative

look happy

6. NP gerund

Lisa approves of [it smelling enthralling]

7. NP gerund with PRO subject

Lisa likes [smelling enthralling]

8. Subject relative clause with overt extracted wh-NP the flowers which smelled enthralling

9. Subject relative clause with covert extracted wh-NP the flowers that smelled enthralling

10. Adjunct (gap-less) relative clause with PP pied-piping the island on which the flowers smelled enthralling

11. Adjunct (gap-less) relative clause with covert extracted wh-NP the day the flowers smelled enthralling

# $A.13 \quad nOVpn1$

1. Canonical subject

Lisa ventures into the cave

2. Wh-moved subject

who ventures into the cave

3. Wh-moved object of the PP

what did Lisa venture into

4. Wh-moved PP

into what does Lisa venture

5. Imperative

venture into the cave

6. PRO subject

Lisa wants [to venture into the cave]

7. Passive with by-phrase

the cave is ventured into by Lisa

8. Passive without by-phrase

the cave is ventured into

9. Passive with wh-moved by-phrase

by whom is the cave ventured into

10. Passive with wh-moved object out of the by-phrase

who was the cave ventured into by

- 11. Passive with wh-moved subject and by-phrase what is ventured into by Lisa
- 12. Passive with wh-moved subject and without by-phrase what is ventured into
- 13. Passive with PRO subject with by-phrase the cave likes [being ventured into by Lisa]
- 14. Passive with PRO subject without by-phrase the cave likes [being ventured into]
- 15. Subject relative clause with overt extracted NP's the man who ventures into the cave
- 16. Subject relative clause with covert extracted NP's the man that ventures into the cave
- 17. Object of the PP relative clause with overt extracted wh-NP the cave which Lisa ventures into
- 18. Object of the PP relative clause with covert extracted wh-NP the cave that Lisa ventures into
- 19. Object of the PP relative clause with PP pied-piping the cave into which Lisa ventured
- 20. Passive with relative clause on the object of by-phrase with overt extracted wh-NP the man who the cave was ventured into by
- 21. Passive with relative clause on the object of by-phrase with covert extracted wh-NP the man that the cave was ventured into by
- 22. Passive with relative clause on the object of by-phrase with PP pied-piping the man by whom the cave was ventured into
- 23. Passive with relative clause on subject and by-phrase with overt extracted wh-NP the cave which was ventured into by Lisa
- 24. Passive with relative clause on subject and by-phrase with covert extracted wh-NP the cave that was ventured into by Lisa
- 25. Passive with relative clause on subject without by-phrase with overt extracted wh-NP the cave which was ventured into
- 26. Passive with relative clause on subject without by-phrase with covert extracted wh-NP the cave that was ventured into
- 27. Adjunct (gap-less) relative clause with PP pied-piping the island on which Lisa ventures into the cave
- 28. Adjunct (gap-less) relative clause with PP pied-piping with passive with by-phrase the island on which the cave was ventured into by Lisa
- 29. Adjunct (gap-less) relative clause with PP pied-piping with passive without by-phrase

the island on which the cave was ventured into

- 30. Adjunct relative clause with covert extracted wh-NP the day Lisa ventured into the cave
- 31. Adjunct relative clause with covert extracted wh-NP with passive with by-phrase the day the cave was ventured into by Lisa
- 32. Adjunct relative clause with covert extracted wh-NP with passive without by-phrase the day the cave was ventured into
- 33. Determiner gerund

  [the venturing into the cave]
- 34. NP gerund

  Lisa wants [me venturing into the cave]
- 35. Gerund passive with by-phrase

  Bill approves of [it being ventured into by Lisa]
- 36. Gerund passive without by-phrase

  Bill approves of [it being ventured into]
- 37. NP gerund with PRO subject

  Lisa fears [PRO venturing into the cave]
- 38. NP gerund passive with PRO subject with by-phrase the cave approves of [PRO being ventured into by Lisa]
- 39. NP gerund passive with PRO subject without by-phrase the cave approves of [PRO being ventured into]

# A.14 n0VPn1

1. Declarative

Yossarian thinks of the chaplain

- 2. Wh-moved subject who thinks of the chaplain
- 3. Wh-moved object of the PP who does Yossarian think of
- 4. Wh-moved PP

  of whom does Yossarian think
- $\begin{tabular}{ll} 5. & Imperative \\ & think of the chaplain \end{tabular}$
- 6. PRO subject

  Yossarian wants [PRO to think of the chaplain]
- 7. Passive with by-phrase

the chaplain is thought of by Yossarian

- 8. Passive without by-phrase

  the chaplain is thought of
- 9. Passive with wh-moved by-phrase

  by whom is the chaplain thought of
- 10. Passive with wh-moved object out of the by-phrase who was the chaplain thought of by
- 11. Passive with wh-moved subject and by-phrase who is thought of by Yossarian
- 12. Passive with wh-moved subject and without by-phrase who is thought of
- 13. Passive with PRO subject with by-phrase

  the chaplain approves of [being thought of by Yossarian]
- 14. Passive with PRO subject without by-phrase the chaplain approves of [being thought of]
- 15. Subject relative clause with overt extracted NP's the bombardier who thinks of the chaplain
- 16. Subject relative clause with covert extracted NP's the bombardier that thinks of the chaplain
- 17. Object of the PP relative clause with overt extracted wh-NP the chaplain who Yossarian thinks of
- 18. Object of the PP relative clause with covert extracted wh-NP the chaplain that Yossarian thinks of
- 19. Object of the PP relative clause with PP pied-piping the chaplain of whom Yossarian thinks
- 20. Passive with relative clause on the object of by-phrase with overt extracted wh-NP the bombardier who the chaplain was thought of by
- 21. Passive with relative clause on the object of by-phrase with covert extracted wh-NP the bombardier that the chaplain was thought of by
- 22. Passive with relative clause on the object of by-phrase with PP pied-piping the bombardier by whom the chaplain was thought of
- 23. Passive with relative clause on subject and by-phrase with overt extracted wh-NP the chaplain who was thought of by Yossarian
- 24. Passive with relative clause on subject and by-phrase with covert extracted wh-NP the chaplain that was thought of by Yossarian
- 25. Passive with relative clause on subject without by-phrase with overt extracted wh-NP the chaplain who was thought of

- 26. Passive with relative clause on subject without by-phrase with covert extracted wh-NP the chaplain that was thought of
- 27. Adjunct (gap-less) relative clause with PP pied-piping the island on which Yossarian thinks of the chaplain the island where Yossarian thinks of the chaplain
- 28. Adjunct (gap-less) relative clause with PP pied-piping with passive with by-phrase the island on which the chaplain was thought of by Yossarian
- 29. Adjunct (gap-less) relative clause with PP pied-piping with passive without by-phrase the island on which the chaplain was thought of
- 30. Adjunct relative clause with covert extracted wh-NP the day Yossarian thinks of the chaplain
- 31. Adjunct relative clause with covert extracted wh-NP with passive with by-phrase the day the chaplain was thought of by Yossarian
- 32. Adjunct relative clause with covert extracted wh-NP with passive without by-phrase the day the chaplain was thought of
- 33. Determiner gerund

  [his thinking of the chaplain]
- 34. NP gerund

  the colonel wants [him thinking of the chaplain]
- 35. Gerund passive with by-phrase

  the colonel wants [him being thought of by Yossarian]
- 36. Gerund passive without by-phrase

  the colonel wants [him being thought of]
- 37. NP gerund with PRO subject

  Yossarian likes [PRO thinking of the chaplain]
- 38. NP gerund passive with PRO subject with by-phrase the chaplain likes [PRO being thought of by Yossarian]
- 39. NP gerund passive with PRO subject without by-phrase the chaplain likes [PRO being thought of]

#### $A.15 ext{ s0V}$

1. Declarative

to love the chaplain matters considerably that Yossarian loves the chaplain matters considerably

2. Wh-moved subject

what matters considerably

- 3. Adjunct (gap-less) relative clause with PP pied-piping
  the island where to love the chaplain matters considerably
- 4. Adjunct (gap-less) relative clause with covert extracted wh-NP the day to love the chaplain matters considerably

#### $A.16 ext{ s0Vn1}$

1. Declarative

that Milo sold the cotton impressed Yossarian to even sell the cotton impresses Yossarian

2. Wh-moved subject

what impresses Yossarian (that Milo sold the cotton)

- 3. Adjunct (gap-less) relative clause with PP pied-piping
  the island where to sell the cotton impresses Yossarian
- 4. Adjunct (gap-less) relative clause with covert extracted wh-NP the day (that) Milo sold the cotton impressed Yossarian

#### $A.17 \quad s0Vton1$

1. Declarative

to love the chaplain occurred to Yossarian
that he loves the chaplain occurs to Yossarian
\* that he loves the chaplain occur to Yossarian

2. Wh-moved subject

what occurred to Yossarian (that he loves the chaplain)

- 3. Adjunct (gap-less) relative clause with PP pied-piping
  the island where to love the chaplain occurred to Yossarian
- 4. Adjunct (gap-less) relative clause with covert extracted wh-NP the day (that) he loves the chaplain occurred to Yossarian

### $A.18 \quad n0lVN1$

1. Declarative

Bill took notice

2. Wh-moved subject

who took notice

3. Subject relative clause with overt extracted wh-NP

the man who took notice

4. Subject relative clause with covert extracted wh-NP

the man that took notice

the man to take notice

5. Adjunct (gap-less) relative clause with PP pied-piping

the room where Bill took notice

the room in which Bill took notice

6. Adjunct (gap-less) relative clause with covert extracted wh-NP

the day that Bill took notice

7. Imperative

take notice

8. Determiner gerund

Bill approves of [the taking of notice]

9. NP gerund

Lisa approves of [him taking notice]

10. PRO subject

Bill wants to take notice

11. NP gerund with PRO subject

Lisa approves of taking notice

### A.19 n0lVN1Pn2

1. Declarative

Bill makes Lisa a promise

2. Wh-moved subject

who makes Lisa a promise

3. Wh-moved indirect object

whom does Bill make a promise

\* who does Bill makes a promise

4. Subject relative clause with overt extracted wh-NP

the man who made Lisa a promise

5. Subject relative clause with covert extracted wh-NP

the man that made Lisa a promise

6. Adjunct (gap-less) relative clause with PP pied-piping

the room where Bill makes Lisa a promise

7. Adjunct (gap-less) relative clause with PP pied-piping with passive with by-phrase

the room where Lisa was made a promise by Bill

8. Adjunct (gap-less) relative clause with covert extracted wh-NP

the day Bill made Lisa a promise

- 9. Adjunct (gap-less) relative clause with covert extracted wh-NP with passive with by-phrase the day Lisa was made a promise by Bill
- 10. Indirect object relative clause with overt extracted wh-NP the woman whom Bill made a promise
- 11. Indirect object relative clause with covert extracted wh-NP the woman that Bill made a promise
- 12. Imperative

  make Lisa a promise
- 13. NP gerund  $Bill\ approves\ of\ him\ making\ Lisa\ a\ promise$
- 14. Passive with by-phrase

  Lisa was made a promise by Bill
- 15. Gerund passive with by-phrase

  Bill likes [him being made a promise by Lisa]
- 16. Gerund passive without by-phrase

  Bill likes [him being made a promise]
- 17. PRO subject

  Bill wants [to make Lisa a promise]
- 18. NP gerund with PRO subject

  Bill approves of making Lisa a promise
- 19. Passive with PRO subject with by-phrase

  Lisa wants to be made a promise by Bill
- 20. NP gerund passive with by-phrase with PRO subject Lisa likes [PRO being made a promise by Bill]
- 21. NP gerund passive without by-phrase with PRO subject

  Lisa likes [PRO being made a promise]
- 22. Declarative

  Bill makes a promise to Lisa
- 23. Passive with by-phrase
  a promise was made to Lisa by Bill
- 24. Wh-moved subject who makes a promise to Lisa
- 25. Wh-moved object of PP who does Bill make a promise to
- 26. Wh-moved PP to whom does Bill make a promise
- 27. Subject relative clause with overt extracted wh-NP

the man who made a promise to Lisa

- 28. Subject relative clause with covert extracted wh-NP the man that made a promise to Lisa
- 29. Object of PP relative clause with overt extracted wh-NP the woman who Bill made a promise to
- 30. Object of PP relative clause with covert extracted wh-NP the woman that Bill made a promise to
- 31. Object of PP relative clause with PP pied-piping the woman to whom Bill made a promise
- 32. Adjunct (gap-less) relative clause with PP pied-piping the room where Bill makes a promise to Lisa
- 33. Adjunct (gap-less) relative clause with PP pied-piping with passive with by-phrase the room where a promise was made to Lisa by Bill
- 34. Adjunct (gap-less) relative clause with covert extracted wh-NP the day Bill made a promise to Lisa
- 35. Adjunct (gap-less) relative clause with covert extracted wh-NP with passive with by-phrase the day a promise was made to Lisa by Bill
- 36. Imperative

  make a promise to Lisa
- 37. Determiner gerund

  the making of a promise to Lisa
- 38. NP gerund

  Bill approves of him making a promise to Lisa
- 39. Gerund passive with by-phrase

  a promise being made to Lisa by Bill
- 40. Gerund passive without by-phrase a promise being made to Lisa
- 41. PRO subject

  Bill wants to make a promise to Lisa
- 42. NP gerund with PRO subject

  Bill approves of [PRO making a promise to Lisa]

#### A.20 ItVn1s2

1. Declarative

it is Milo that runs the Syndica

2. Wh-moved object with be not inverted

who might it be that likes Lisa

- 3. Adjunct (gap-less) relative clause with PP pied-piping the island where it is Milo that runs the Syndicate
- 4. Adjunct (gap-less) relative clause with covert extracted wh-NP the day it is Milo that runs the Syndicate

# A.21 ItVpn1s2

1. Declarative

it is on Pianosa island that Milo runs the Syndicate

- 2. Wh-moved prepositional phrase with be not inverted where might it be that Milo runs the Syndicate
- 3. Adjunct (gap-less) relative clause with PP pied-piping
  the country where it is on Pianosa island that Milo runs the Syndicate
- 4. Adjunct (gap-less) relative clause with covert extracted wh-NP the day it is on Pianosa island that Milo runs the Syndicate

# A.22 ItVad1s2

1. Declarative

it is reluctantly that Milo runs the Syndicate

- 2. Wh-moved prepositional phrase with be not inverted how might it be that Milo runs the Syndicate
- 3. Adjunct (gap-less) relative clause with PP pied-piping
  the island where it is reluctantly that Milo runs the Syndicate
- 4. Adjunct (gap-less) relative clause with covert extracted wh-NP the day it is reluctantly that Milo runs the Syndicate

# A.23 n0A1

- 1. Declarative cannabis is forbidden
- 2. Wh-moved subject what is forbidden
- 3. Wh-moved adjective how how is cannabis
- 4. Imperative

be forbidden

- 5. Relative clause on subject with overt extracted wh-NP the cannabis which is forbidden
- 6. Relative clause on subject with covert extracted wh-NP the cannabis that is forbidden
- 7. Adjunct (gap-less) relative clause with with PP pied-piping the country where cannabis is forbidden
- 8. Adjunct (gap-less) relative clause with covert extracted wh-NP the day cannabis was forbidden
- 9. NP gerund

  the cannabis being forbidden
- 10. PRO subject

  the cannabis wants [to be forbidden]
- 11. NP gerund with PRO subject
  the cannabis approves of [being forbidden]

### A.24 n0A1s1

1. Declarative

Milo was able to sell the cotton

- 2. Wh-moved subject

  who was able to sell the cotton
- 3. Imperative

be able to sell the cotton

- 4. Relative clause on subject with overt extracted wh-NP the bombardier who was able to sell the cotton
- 5. Relative clause on subject with covert extracted wh-NP the bombardier that was able to sell the cotton
- 6. Adjunct (gap-less) relative clause with with PP pied-piping the island where Milo was able to sell the cotton
- 7. Adjunct (gap-less) relative clause with covert extracted wh-NP the day Milo was able to sell the cotton
- 8. NP gerund

  Milo being able to sell the cotton
- 9. PRO subject

  Milo wants to be able to sell the cotton
- 10. NP gerund with PRO subject

Milo likes being able to sell the cotton

#### $A.25 ext{ s0A1}$

1. Declarative

to drive a Jaguar is blissful

2. Wh-moved subject

what is blissful

- 3. Adjunct (gap-less) relative clause with with PP pied-piping the country where to drive a Jaguar is blissful
- 4. Adjunct (gap-less) relative clause with covert extracted wh-NP the day to drive a Jaguar is blissful

#### A.26 n0BEn1

1. Declarative

the bombardier is this man

2. Inverted question

is the bomardier this man

# A.27 n0N1

1. Declarative

Raveesh is Hindi everyone considers Raveesh Hindi

2. Wh-moved subject

who is Hindi

3. Wh-moved object

what is Raveesh

4. Imperative

be Hindi

5. Relative clause on subject with overt extracted wh-NP the man who is Hindi

6. Relative clause on subject with covert extracted wh-NP the man that is Hindi

7. Adjunct (gap-less) relative clause with with PP pied-piping the country where everyone considers Raveesh Hindi

- 8. Adjunct (gap-less) relative clause with covert extracted wh-NP the day everyone considered Raveesh Hindi
- 9. NP gerund

  Raveesh being Hindi
- 10. PRO subject

Raveesh wants to be Hindi

11. NP gerund with PRO subject Ravesh likes [being Hindi]

#### A.28 n0N1s1

1. Declarative

these were accusations that Orr ate the chestnuts

2. Wh-moved subject

which documents were accusations that Orr ate the chestnuts

3. Imperative

be accusations that Orr ate the chestnuts

- 4. Relative clause on subject with overt extracted wh-NP

  the documents which were accusations that Orr ate the chestnuts
- 5. Relative clause on subject with covert extracted wh-NP

  the documents that were accusations that Orr ate the chestnuts
- 6. Adjunct (gap-less) relative clause with with PP pied-piping

  the island where these were accusations that Orr ate the chestnuts
- 7. Adjunct (gap-less) relative clause with covert extracted wh-NP the day these were accusations that Orr ate the chestnuts
- 8. NP gerund

these being accusations that Orr ate the chestnuts

9. PRO subject

these want [to be accusations that Orr ate the chestnuts]

10. NP gerund with PRO subject

being accusations that Orr ate the chestnuts

#### $A.29 ext{ s0N1}$

1. Declarative

to fly many missions is insanity

2. Wh-moved subject

what is insanity

- 3. Adjunct (gap-less) relative clause with with PP pied-piping the island where to fly many missions was insanity
- 4. Adjunct (gap-less) relative clause with covert extracted wh-NP the day that to fly many missions was insanity

### $A.30 \quad n0Pn1$

1. Declarative

the key is in the chest

2. Wh-moved subject what is in the chest

3. Wh-moved PP

in what were the keys

4. Wh-moved object of PP what were the keys in

5. Imperative

be in the chest

6. Relative clause on subject with overt extracted wh-NP the keys which were in the chest

- 7. Relative clause on object of PP with overt extracted wh-NP the chest which the keys were in
- 8. Relative clause on object of PP with PP pied-piping the chest in which the keys were
- 9. Relative clause on subject with covert extracted wh-NP the keys that were in the chest
- 10. Relative clause on object of PP with covert extracted wh-NP the chest that the keys were in
- 11. Adjunct (gap-less) relative clause with with PP pied-piping the island where the keys were in the chest
- 12. Adjunct (gap-less) relative clause with covert extracted wh-NP the day the keys were in the chest
- 13. NP gerund
  the keys being in the chest
- 14. PRO subject

  Orr wants [to be in the chest]
- 15. NP gerund with PRO subject

Orr approves of [being in the chest]

#### A.31 n0P1

1. Declarative

Lisa is abroad

2. Wh-moved subject

who is abroad

3. Wh-moved PP

where is Lisa

4. Imperative

be abroad

5. Relative clause on subject with overt extracted wh-NP

the woman who is abroad

6. Relative clause on subject with covert extracted wh-NP

the woman that is abroad

7. Adjunct (gap-less) relative clause with with PP pied-piping

the island where Lisa is abroad

 $8.\ \, {\rm Adjunct\ (gap\text{-}less)}$  relative clause with covert extracted wh-NP

the day Lisa was abroad

9. NP gerund

Lisa being abroad

10. PRO subject

Lisa wants [to be abroad]

11. NP gerund with PRO subject

Lisa approves of [being abroad]

### A.32 s0Pn1

1. Declarative

to sell the cotton is beyond belief

2. Wh-moved subject

what is beyond belief

3. Relative clause on object of the PP with overt extracted wh-NP

the belief which to sell the cotton is beyond

4. Relative clause on object of the PP with covert extracted wh-NP  $\,$ 

the belief that to sell the cotton is beyond

- 5. NO !!! Relative clause on object of the PP with PP pied-piping the belief beyond which to sell the cotton is
- 6. Adjunct (gap-less) relative clause with PP pied-piping the island where to sell the cotton is beyond belief
- 7. Adjunct (gap-less) relative clause with covert extracted wh-NP the day to sell the cotton is beyond belief

### A.33 nOARBPn1

1. Declarative

Bill is ahead of Lisa

2. Wh-moved subject

who is ahead of Lisa

3. Wh-moved PP  $ahead\ of\ whom\ is\ Bill$ 

4. Wh-moved object of PP who is Bill ahead of

5. Imperative

be ahead of Lisa

- 6. Relative clause on subject with overt extracted wh-NP the man who is ahead of Lisa
- 7. Relative clause on subject with overt extracted wh-NP  $the\ man\ that\ is\ ahead\ of\ Lisa$
- 8. Relative clause on object of PP with overt extracted wh-NP the woman whom Bill is ahead of
- 9. Relative clause on object of PP with overt extracted wh-NP the woman that Bill is ahead of
- 10. Adjunct (gap-less) relative clause with PP pied-piping the island where Bill is ahead of Lisa
- 11. Adjunct (gap-less) relative clause with PP covert extracted wh-NP the day Bill was ahead of Lisa
- 12. NP gerund

  Bill being ahead of Lisa
- 13. PRO subject

  Bill wanted to be ahead of Lisa
- 14. NP gerund with PRO subject  $Bill\ approves\ of\ [being\ ahead\ of\ Lisa]$

# A.34 n0APn1

- 1. Declarative

  Bill is void of hope
- 2. Wh-moved subject who is void of hope
- 3. Wh-moved object of PP what is Bill void of
- 4. Relative clause on subject with overt extracted wh-NP the man who is void of hope
- 5. Relative clause on subject with covert extracted wh-NP the man that is void of hope
- 6. Relative clause on object of PP with overt extracted wh-NP the hope which Bill is void of
- 7. Relative clause on object of PP with overt extracted wh-NP the hope that Bill is void of
- 8. Adjunct (gap-less) relative clause with PP pied-piping the island where Bill is void of hope
- 9. Adjunct (gap-less) relative clause with covert extracted wh-NP the day Bill was void of hope
- 10. PRO subject

  Bill wants to be void of hope

#### $A.35 \quad nONPn1$

1. Declarative

the innovation was thanks to the chaplain

- 2. Wh-moved subject

  what is thanks to the chaplain
- 3. Wh-moved object of PP

  whom was the innovation thanks to
- 4. Relative clause on subject with overt extracted wh-NP the innovation which was thanks to the chaplain
- 5. Relative clause on subject with covert extracted wh-NP the innovation that was thanks to the chaplain
- 6. Relative clause on object of PP with overt extracted wh-NP the chaplain whom the innovation is thanks to

- 7. Relative clause on object of PP with overt extracted wh-NP the chaplain that the innovation was thanks to
- 8. Adjunct (gap-less) relative clause with PP pied-piping
  the island where the innovation was thanks to the chaplain
- 9. Adjunct (gap-less) relative clause with covert extracted wh-NP the day the innovation was thanks to the chaplain
- 10. PRO subject

the innovation wants [to be thanks to the chaplain]

# A.36 n0PPn1

1. Declarative

the detective is on to Bill

2. Wh-moved subject

who is on to Bill

3. Imperative

be on to Bill

4. Wh-moved PP

on to whom is the detective

5. Wh-moved object of PP

whom was the detective on to

- 6. Relative clause on subject with overt extracted wh-NP the detective who is on to Bill
- 7. Relative clause on subject with covert extracted wh-NP

  the detective that was on to Bill
- 8. Relative clause on object of PP with overt extracted wh-NP the man whom the detective is on to
- 9. Relative clause on object of PP with covert extracted wh-NP the man that the detective is on to
- 10. Relative clause on object of PP with PP pied-piping the man on to whom the detective is
- 11. Adjunct (gap-less) relative clause with PP pied-piping the island where the detective was on to Bill
- 12. Adjunct (gap-less) relative clause with covert extracted wh-NP the day the detective was on to Bill
- 13. PRO subject

the detective wants [to be on to Bill]

- 14. NP gerund
  - the detective being on to Bill
- 15. NP gerund with PRO subject [being on to Bill]

### A.37 n0PNaPn1

- 1. Declarative
  - the chaplain was in charge of Yossarian
- 2. Wh-moved subject

  who was in charge of Yossarian
- 3. Imperative

  be in charge of Yossarian
- 4. Wh-moved PP

  in charge of whom was the chaplain
- 5. Wh-moved object of PP

  whom was the chaplain in charge of
- 6. Relative clause on subject with overt extracted wh-NP the chaplain who was in charge of Yossarian
- 7. Relative clause on subject with covert extracted wh-NP the chaplain that was in charge of Yossarian
- 8. Relative clause on object of PP with overt extracted wh-NP the bombardier whom the chaplain was in charge of
- 9. Relative clause on object of PP with covert extracted wh-NP the bombardier that the chaplain was in charge of
- 10. Relative clause on object of PP with PP pied-piping
  the bombardier in charge of whom the chaplain was
- 11. Adjunct (gap-less) relative clause with PP pied-piping
  the island where the chaplain was in charge of Yossarian
- 12. Adjunct (gap-less) relative clause with covert extracted wh-NP the day the chaplain was in charge of Yossarian
- 13. PRO subject

  the chaptain wants [to be in charge of Yossarian]
- 14. NP gerund

  the colonel approves of [the chaplain being in charge of Yossarian]
- 15. NP gerund with PRO subject [being in charge of Yossarian]

# A.38 s0ARBPn1

1. Declarative

to bake a cake was contrary to the expectations

2. Wh-moved subject

what was contrary to the expectations

- 3. Relative clause on object of PP with overt extracted wh-NP the expectations which to bake a cake was contrary to
- 4. Relative clause on object of PP with covert extracted wh-NP the expectations that to bake a cake was contrary to
- 5. Adjunct (gap-less) relative clause with PP pied-piping the island where to bake a cake was contrary to the expectations
- 6. Adjunct (gap-less) relative clause with covert extracted wh-NP the day to bake a cake was contrary to the expectations

#### A.39 s0APn1

1. Declarative

to kill a mockingbird is devoid of sense

2. Wh-moved subject

what is devoid of sense

- 3. Relative clause on object of PP with overt extracted wh-NP the sense which to kill a mockingbird is devoid of
- 4. Relative clause on object of PP with covert extracted wh-NP the sense that to kill a mockingbird is devoid of
- 5. Adjunct (gap-less) relative clause with PP pied-piping
  the country where to kill a mockingbird is devoid of sense
- 6. Adjunct (gap-less) relative clause with covert extracted wh-NP the day to kill a mockingbird was devoid of sense

#### A.40 s0NPn1

1. Declarative

to run a marathon was thanks to his spirit

2. Wh-moved subject

what is thanks to his spirit

3. Relative clause on object of PP with overt extracted wh-NP his spirit which to run a marathon was thanks to

- 4. Relative clause on object of PP with covert extracted wh-NP his spirit that to run a marathon is thanks to
- 5. Adjunct (gap-less) relative clause with PP pied-piping
  the island where to run a marathon was thanks to his spirit
- 6. Adjunct (gap-less) relative clause with covert extracted wh-NP the day to run a marathon was thanks to his spirit

#### $A.41 \quad sOPPn1$

1. Declarative

to kill a mockingbird was outside of his intentions

- 2. Wh-moved subject

  what was outside of his intentions
- 3. Relative clause on object of PP with overt extracted wh-NP his intentions which to kill a mockingbird was outside of
- 4. Relative clause on object of PP with covert extracted wh-NP his intentions that to kill a mockingbird was outside of
- 5. Adjunct (gap-less) relative clause with PP pied-piping
  the island where to kill a mockingbird was outside of his intentions
- 6. Adjunct (gap-less) relative clause with covert extracted wh-NP the day to kill a mockingbird was outside of his intentions

### A.42 s0PNaPn1

1. Declarative

that Bill ran a marathon was on account of his spirit

- 2. Wh-moved subject

  what was on account of his spirit
- 3. Relative clause on object of PP with overt extracted wh-NP his spirit which to run a marathon was on account of
- 4. Relative clause on object of PP with covert extracted wh-NP his spirit that to run a marathon was on account of
- 5. Adjunct (gap-less) relative clause with PP pied-piping
  the island where to run a marathon was on account of his spirit
- 6. Adjunct (gap-less) relative clause with covert extracted wh-NP the day that Bill ran a marathon was on account of his spirit

#### A.43 s0Vs1

1. Declarative

that Bill drives a Jaguar makes Lisa angry

2. Wh-moved subject

what makes Lisa angry

### A.44 n0n1ARB

1. Declarative

Lisa is many feet away

2. Wh-moved subject

who is many feet away

- 3. Relative clause on subject with overt extracted wh-NP the woman who is many feet away
- 4. Relative clause on subject with overt extracted wh-NP the woman that is many feet away
- 5. Wh-moved locative

how many feet away is Lisa

6. Imperative

be many feet away

7. Wh-moved locative

what is Lisa away

8. NP gerund

Lisa being many feet away

9. PRO subject

Lisa wants to be many feet away

10. NP gerund with PRO subject

being many feet away

#### A.45 Xn0Vs1

1. Declarative

Lisa expects Bill to sleep

- 2. Relative clause on subject with overt extracted wh-NP the woman who expects Bill to sleep
- 3. Relative clause on subject with covert extracted wh-NP the woman that expects Bill to sleep

- 4. Wh-moved subject

  who expects Bill to sleep
- 5. Imperative

expect Bill to sleep

- 6. Adjunct (gap-less) relative clause with PP pied-piping the room where Lisa expects Bill to sleep
- 7. Adjunct (gap-less) relative clause with covert extracted wh-NP the day Lisa expects Bill to sleep
- 8. NP gerund

  Lisa expecting Bill to sleep
- 9. PRO subject

  Lisa wants to expect Bill to sleep

# A.46 n0VDN1

1. Declarative

Bill bit the dust

- 2. Wh-moved subject who bit the dust
- 3. Imperative

bite the dust

- 4. Subject relative clause with overt extracted wh-NP the man who bit the dust
- 5. Subject relative clause with covert extracted wh-NP the man that bit the dust
- 6. Passive without by-phrase

  the dust was bitten
- 7. Passive without by-phrase

  the dust was bitten by Bill
- 8. Wh-moved object of by-phrase whom was bitten the dust by
- 9. Wh-moved by-phrase

  by whom was the dust bitten
- 10. Relative with overt extracted wh-NP on subject of passive the man whom the dust was bitten by
- 11. Relative with covert extracted wh-NP on subject of passive the man that the dust was bitten by

- 12. Adjunct (gapless) relative with covert extracted wh-NP the day Bill bit the dust
- 13. Adjunct (gapless) relative with PP pied-piping the island where Bill bit the dust
- 14. NP gerund

  Bill biting the dust
- 15. PRO subject

  Bill wanted [to bite the dust]
- 16. NP gerund with PRO subject

  Bill approves of [biting the dust]

#### A.47 n0VDAN1

1. Declarative

Lisa sang a different tune

- 2. Wh-moved subject

  who sang a different tune
- 3. Imperative sing a different tune
- 4. Subject relative clause with overt extracted wh-NP the woman who sang a different tune
- 5. Subject relative clause with covert extracted wh-NP the woman that sang a different tune
- 6. Passive without by-phrase

  a different tune was sung
- 7. Passive without by-phrase

  a different tune was sung by Lisa
- 8. Wh-moved object of by-phrase

  whom was a different tune sung by
- 9. Wh-moved by-phrase  $by\ whom\ was\ a\ different\ tune\ sung$
- 10. Relative with overt extracted wh-NP on subject of passive the woman whom a different tune was sung by
- 11. Relative with covert extracted wh-NP on subject of passive the woman that a different tune was sung by
- 12. Adjunct (gapless) relative with covert extracted wh-NP  $the\ day\ Lisa\ sang\ a\ different\ tune$

- 13. Adjunct (gapless) relative with PP pied-piping the island where Lisa sang a different tune
- 14. NP gerund  $Lisa\ singing\ a\ different\ tune$
- 15. PRO subject

  Lisa wanted [to sing a different tune]
- 16. NP gerund with PRO subject

  Lisa approves of [singing a different tune]

#### A.48 n0VN1

1. Declarative

Lisa cries wolf

- 2. Wh-moved subject  $who\ cries\ wolf$
- 3. Imperative cry wolf
- 4. Subject relative clause with overt extracted wh-NP the woman who cried wolf
- 5. Subject relative clause with covert extracted wh-NP the woman that cried wolf
- 6. Passive without by-phrase  $wolf\ was\ cried$
- 7. Passive without by-phrase wolf was cried by Lisa
- 8. Wh-moved object of by-phrase whom was wolf cried by
- 9. Wh-moved by-phrase

  by whom was wolf cried
- 10. Relative with overt extracted wh-NP on subject of passive the woman whom wolf was cried by
- 11. Relative with covert extracted wh-NP on subject of passive the woman that wolf was cried by
- 12. Adjunct (gapless) relative with covert extracted wh-NP the day Lisa cried wolf
- 13. Adjunct (gapless) relative with PP pied-piping the island where Lisa cried wolf

14. NP gerund

Lisa crying wolf

15. PRO subject

Lisa wanted [to cry wolf]

16. NP gerund with PRO subject

Lisa approves of [crying wolf]

#### $A.49 \quad nOVAN1$

1. Declarative

Lisa cries bloody murder

2. Wh-moved subject

who cries bloody murder

3. Imperative

cry bloody murder

4. Subject relative clause with overt extracted wh-NP

the woman who cried bloody murder

5. Subject relative clause with covert extracted wh-NP

the woman that cried bloody murder

6. Passive without by-phrase

bloody murder was cried

7. Passive without by-phrase

bloody murder was cried by Lisa

8. Wh-moved object of by-phrase

whom was bloody murder cried by

9. Wh-moved by-phrase

by whom was bloody murder cried

10. Relative with overt extracted wh-NP on subject of passive

the woman whom bloody murder was cried by

11. Relative with covert extracted wh-NP on subject of passive

the woman that bloody murder was cried by

12. Adjunct (gapless) relative with covert extracted wh-NP

the day Lisa cried bloody murder

13. Adjunct (gapless) relative with PP pied-piping

the island where Lisa cried bloody murder

14. NP gerund

Lisa crying bloody murder

15. PRO subject

Lisa wanted [to cry bloody murder]

16. NP gerund with PRO subject

Lisa approves of [crying bloody murder]

#### $A.50 \quad nOVDAN1Pn2$

1. Declarative

Lisa makes a big deal of the accusations

2. Wh-moved subject

who makes a big deal of the accusations

3. Imperative

make a big deal of the accusations

4. Subject relative clause with overt extracted wh-NP the woman who made a big deal of the accusations

5. Subject relative clause with covert extracted wh-NP the woman that made a big deal of the accusations

6. Passive without by-phrase

a big deal was made of the accusations

7. Passive without by-phrase

a big deal was made of the accusations by Lisa

8. Wh-moved object of by-phrase

whom was a big deal made of the accusations by

9. Wh-moved by-phrase

by whom was a big deal made of the accusations

10. Outer passive without by-phrase

the accusations were made a big deal of

11. Outer passive with by-phrase

the accusations were made a big deal of by Lisa

12. Outer passive with wh-moved by-phrase

by whom were the accusations made a big deal of

13. Outer passive without by-phrase with relative on the subject with overt extracted wh-NP the accusations which were made a big deal of

14. Outer passive without by-phrase with relative on the subject with covert extracted wh-NP the accusations that were made a big deal of

15. Outer passive with by-phrase with relative on the subject with overt extracted wh-NP the accusations which were made a big deal of by Lisa

- 16. Outer passive with by-phrase with relative on the subject with covert extracted wh-NP the accusations that were made a big deal of by Lisa
- 17. Relative with overt extracted wh-NP on subject of passive the woman whom a big deal was made by
- 18. Relative with covert extracted wh-NP on subject of passive the woman that a big deal was made by
- 19. Adjunct (gapless) relative with covert extracted wh-NP the day Lisa made a big deal of the accusations
- 20. Adjunct (gapless) relative with PP pied-piping
  the island where Lisa made a big deal of the accusations
- 21. NP gerund

  [Lisa making a big deal of the accusations]
- 22. PRO subject

  Lisa wanted [to make a big deal of the accusations]
- 23. NP gerund with PRO subject

  Lisa approves of [making a big deal of the accusations]

## $A.51 \quad nOVAN1Pn2$

1. Declarative

Lisa makes short work of the cake

- 2. Wh-moved subject who makes short work of the cake
- 3. Imperative

  make short work of the cake
- 4. Subject relative clause with overt extracted wh-NP the woman who made short work of the cake
- 5. Subject relative clause with covert extracted wh-NP the woman that made short work of the cake
- 6. Passive without by-phrase short work was made of the cake
- 7. Passive without by-phrase

  short work was made of the cake by Lisa
- 8. Wh-moved object of by-phrase

  whom was short work made of the cake by
- 9. Wh-moved by-phrase  $by\ whom\ was\ short\ work\ made\ of\ the\ cake$

- 10. Outer passive without by-phrase the cake was made short work of
- 11. Outer passive with by-phrase

  the cake was made short work of by Lisa
- 12. Outer passive with by-phrase with PRO subject the cakes wants [to be made short work of by Lisa]
- 13. Outer passive without by-phrase with PRO subject the cake wants [to be made short work of]
- 14. Outer passive with wh-moved by-phrase

  by whom was the cake made short work of
- 15. Outer passive without by-phrase with relative on the subject with overt extracted wh-NP the cake which was made short work of
- 16. Outer passive without by-phrase with relative on the subject with covert extracted wh-NP the cake that was made short work of
- 17. Outer passive with by-phrase with relative on the subject with overt extracted wh-NP the cake which was made short work of by Lisa
- 18. Outer passive with by-phrase with relative on the subject with covert extracted wh-NP the cake that was made short work of by Lisa
- 19. Adjunct (gapless) relative with covert extracted wh-NP the day Lisa made short work of the cake
- 20. Adjunct (gapless) relative with PP pied-piping the island where Lisa made short work of the cake
- 21. NP gerund

  [Lisa making short work of the cake]
- 22. PRO subject

  Lisa wanted [to make short work of the cake]
- 23. NP gerund with PRO subject

  Lisa approves of [making short work of the cake]

# $A.52 \quad nOVN1Pn2$

1. Declarative

Bill looks daggers at the detective

- 2. Wh-moved subject

  who looks daggers at the detective
- 3. Imperative

look daggers at the detective

- 4. Subject relative clause with overt extracted wh-NP the man who looked daggers at the detective
- 5. Subject relative clause with covert extracted wh-NP the man that looked daggers at the detective
- 6. Passive without by-phrase

  daggers were looked at the detective
- 7. Passive without by-phrase

  daggers were looked at the detective by Bill
- 8. Wh-moved object of by-phrase

  whom were daggers looked at the detective by
- 9. Wh-moved by-phrase  $by\ whom\ were\ daggers\ looked\ at\ the\ detective$
- 10. Outer passive without by-phrase
  the detective was looked daggers at
- 11. Outer passive with by-phrase

  the detective was looked daggers at by Bill
- 12. Outer passive with by-phrase with PRO subject the detectives wants [to be looked daggers at by Bill]
- 13. Outer passive without by-phrase with PRO subject the detective wants [to be looked daggers at]
- 14. Outer passive with wh-moved by-phrase

  by whom was the detective looked daggers at
- 15. Outer passive without by-phrase with relative on the subject with overt extracted wh-NP the detective who was looked daggers at
- 16. Outer passive without by-phrase with relative on the subject with covert extracted wh-NP the detective that was looked daggers at
- 17. Outer passive with by-phrase with relative on the subject with overt extracted wh-NP the detective who was looked daggers at by Bill
- 18. Outer passive with by-phrase with relative on the subject with covert extracted wh-NP the detective that was looked daggers at by Bill
- 19. Adjunct (gapless) relative with covert extracted wh-NP the day Bill looked daggers at the detective
- 20. Adjunct (gapless) relative with PP pied-piping
  the island where Bill looked daggers at the detective
- 21. NP gerund
  [Bill looking daggers at the detective]
- 22. PRO subject

Bill wanted [to look daggers at the detective]

23. NP gerund with PRO subject

Bill approves of [looking daggers at the detective]

# A.53 n0VDN1Pn2

1. Declarative

Bill broke the news to his wife

2. Wh-moved subject

who broke the news to his wife

3. Imperative

break the news to his wife

- 4. Subject relative clause with overt extracted wh-NP the man who broke the news to his wife
- 5. Subject relative clause with covert extracted wh-NP the man that broke the news to his wife
- 6. Passive without by-phrase

  the news were broken to his wife
- 7. Passive without by-phrase

  the news were broken to his wife by Bill
- 8. Wh-moved object of by-phrase

  whom were the news broken to his wife by
- 9. Wh-moved by-phrase

  by whom were the news broken to his wife
- 10. Outer passive without by-phrase

  his wife were broken the news to
- 11. Outer passive with by-phrase

  his wife was broken the news to by Bill
- 12. Outer passive with by-phrase with PRO subject his wife wants [to be broken the news to by Bill]
- 13. Outer passive without by-phrase with PRO subject his wife wants [to be broken the news to]
- 14. Outer passive with wh-moved by-phrase

  by whom was his wife broken the news to
- 15. Outer passive without by-phrase with relative on the subject with overt extracted wh-NP his wife who was broken the news to
- 16. Outer passive without by-phrase with relative on the subject with covert extracted wh-NP

his wife that was broken the news to

- 17. Outer passive with by-phrase with relative on the subject with overt extracted wh-NP his wife who was broken the news to by Bill
- 18. Outer passive with by-phrase with relative on the subject with covert extracted wh-NP his wife that was broken the news to by Bill
- 19. Adjunct (gapless) relative with covert extracted wh-NP the day Bill broke the news to his wife
- 20. Adjunct (gapless) relative with PP pied-piping the island where Bill broke the news to his wife
- 21. NP gerund

  [Bill breaking the news to his wife]
- 22. PRO subject

  Bill wants [to break the news to his wife]
- 23. NP gerund with PRO subject

  Bill approves of [breaking the news to his wife]

# A.54 Rn0Vn1A2

1. Declarative

the detective is beating Bill dead

2. Passive with by-phrase

Bill was beaten dead by the detective

- 3. Passive with without by-phrase  $Bill\ was\ beaten\ dead$
- 4. Wh-moved subject

  who is beating Bill dead
- 5. Subject relative clause with overt extracted wh-NP the detective who is beating Bill dead
- 6. Subject relative clause with covert extracted wh-NP the detective that is beating Bill dead
- 7. Wh-moved object

  who did the detective beat dead
- 8. Object relative clause with overt extracted wh-NP the man who the detective is beating dead
- 9. Object relative clause with covert extracted wh-NP the man that the detective is beating dead
- 10. Passive with by-phrase on wh-moved object

who was beaten dead by the detective

- 11. Passive with by-phrase on object relative clause with overt extracted wh- NP the man who was beaten dead by the detective
- 12. Passive without by-phrase on object relative clause with overt extracted wh- NP

  the man who was beaten dead
- 13. Passive without by-phrase on object relative clause with covert extracted wh- NP the man that was beaten dead
- 14. Passive with by-phrase on object relative clause with covert extracted wh- NP the man that was beaten dead by the detective
- 15. Imperative

beat Bill dead

16. Wh-moved subject on passive without by-phrase who was beaten dead

17. Wh-question on object of by-phrase in subject extracted relative clauses with overt extracted wh-NP

the detective who Bill was beaten dead by

18. Wh-question on object of by-phrase in subject extracted relative clauses with covert extracted wh-NP

the detective that Bill was beaten dead by

19. Wh-question on object of by-phrase in subject extracted relative clauses with PP piedpiping

the detective by whom Bill was beaten dead

- 20. Passive with wh-moved object of by-phrase who was Bill beaten dead by
- 21. Passive with wh-moved by-phrase by whom was Bill beaten dead
- 22. Multi anchored participial modifiers

the beaten dead man

- 23. Relative clause on PP adjunct with covert extracted wh-NP the day the detective was beating Bill dead
- 24. Relative clause on PP adjunct with PP pied-piping the island where the detective was beating Bill dead
- 25. Relative clause on PP adjunct in passives with by-phrase with PP pied-piping the island where Bill was beaten dead by the detective
- 26. Relative clause on PP adjunct in passives with by-phrase with covert extracted wh-NP the day Bill was beaten dead by the detective
- 27. Relative clause on PP adjunct in passives without by-phrase with PP pied-piping

the island where Bill was beaten dead

- 28. Relative clause on PP adjunct in passives without by-phrase with covert extracted wh-NP the day Bill was beaten dead
- 29. NP gerund

the detective beating Bill dead

30. NP gerund with by-phrase

Bill being beaten dead by the detective

31. NP gerund without by-phrase

Bill being beaten dead

32. Wh-moved adjective complement

how did the detective beat Bill

 $33. \ \,$  Passive on wh-moved adjective complement with by phrase

how was Bill beaten by the detective

34. Passive on wh-moved adjective complement without by phrase

how was Bill beaten

### A.55 Rn0Vn1Pn2

1. Declarative

Bill ran his shoes into pieces

2. Passive with by-phrase

the shoes were run into pieces by Bill

3. Passive without by-phrase

the shoes were run into pieces

4. Wh-moved subject

who ran the shoes run into pieces

5. Wh-moved object

what did Bill run into pieces

6. Wh-moved by-phrase

by whom were the shoes into pieces

7. Wh-moved PP

into what did Bill run his shoes

8. Wh-moved PP in passive with by-phrase

into what were the shoes run by Bill

9. Wh-moved PP in passive without by-phrase

into what were the shoes run

10. Wh-moved object of PP

- what did Bill run his shoes into
- 11. Wh-moved object of PP in passive with by-phrase what were the shoes run into by Bill
- 12. Wh-moved object of PP in passive without by-phrase what were the shoes run into
- 13. Relative clause on subject with overt extracted wh-NP the man who ran his shoes into pieces
- 14. Relative clause on subject with covert extracted wh-NP the man that ran his shoes into pieces
- 15. Relative clause on object with overt extracted wh-NP the shoes which Bill ran into pieces
- 16. Relative clause on object with covert extracted wh-NP the shoes that Bill ran into pieces
- 17. Wh-question on object with passive with by-phrase what was run into pieces by Bill
- 18. Wh-question on object with passive without by-phrase what was run into pieces
- 19. Wh-moved object of by-phrase who were the shoes run into pieces
- 20. Relative clause on object in passive with by-phrase with overt extracted wh-NP the shoes which were run into pieces by Bill
- 21. Relative clause on object in passive with by-phrase with covert extracted wh-NP the shoes that were run into pieces by Bill
- 22. Relative clause on object in passive with by-phrase with overt extracted wh-NP the shoes which were run into pieces
- 23. Relative clause on object in passive with by-phrase with covert extracted wh-NP the shoes that were run into pieces
- 24. Relative clause on object of by-phrase in passive the man whom the shoes were run into pieces by
- 25. Relative clause on object of by-phrase in passive the man that the shoes were run into pieces by
- 26. Relative clause on subject with PP pied-piping the man by whom the shoes were run into pieces
- 27. Relative clause on PP with PP pied-piping the pieces into which Bill ran his shoes
- 28. Relative clause on PP in passive with by-phrase with PP pied-piping the pieces into which the shoes were run by Bill

- 29. Relative clause on PP in passive without by-phrase with PP pied-piping the pieces into which the shoes were run
- 30. Relative clause on object of by-phrase with covert extracted wh-NP the pieces that Bill ran his shoes into
- 31. Relative clause on object of by-phrase with overt extracted wh-NP the pieces which Bill ran his shoes into
- 32. Relative clause on object of PP in passive with by-phrase with covert extracted wh-NP the pieces that the shoes were run into by Bill
- 33. Relative clause on object of PP in passive with by-phrase with overt extracted wh-NP the pieces which the shoes were run into by Bill
- 34. Relative clause on object of PP in passive without by-phrase with covert extracted wh-NP the pieces that the shoes were run into
- 35. Relative clause on object of PP in passive without by-phrase with overt extracted wh-NP the pieces which the shoes were run into
- 36. Imperative

  run the shoes into pieces
- 37. Adjunct (gap-less) relative clause with PP pied-piping the island where Bill ran the shoes into pieces
- 38. Adjunct (gap-less) relative clause with covert extracted wh-NP the day Bill ran the shoes into pieces
- 39. Adjunct (gap-less) relative clause in passive with by-phrase with PP pied-piping the island where the shoes were run into pieces by Bill
- 40. Adjunct (gap-less) relative clause in passive with by-phrase with covert extracted wh-NP the day the shoes were run into pieces by Bill
- 41. Adjunct (gap-less) relative clause in passive without by-phrase with PP pied-piping the island where the shoes were run into pieces
- 42. Adjunct (gap-less) relative clause in passive without by-phrase with covert extracted wh-NP

the day the shoes were run into pieces

- 43. NP gerund

  Bill running the shoes into pieces
- 44. NP gerund in passive with by-phrase

  the shoes being run into pieces by Bill
- 45. NP gerund in passive without by-phrase the shoes being run into pieces

# A.56 REn1VA2

1. Declarative

the snow froze solid

2. Wh-moved subject

what froze solid

- 3. Subject relative clause with overt extracted wh-NP the snow which froze solid
- 4. Subject relative clause with overt extracted wh-NP the snow that froze solid
- 5. Imperative

freeze solid

- 6. Relative clause on PP adjunct with PP pied-piping the island where the snow froze solid
- 7. Relative clause with covert extracted wh-NP the day the snow froze solid
- 8. NP gerund

  the snow freezing solid
- 9. Wh-moved adjective complement how did the snow freeze

#### A.57 REn1VPn2

1. Declarative

the snow is melting into a puddle

2. Wh-moved subject

what is melting into a puddle

3. Wh-moved PP

into what did the snow melt

4. Wh-moved object of PP

what did the snow melt into

- 5. Relative clause on subject with overt extracted wh-NP the snow which is melting into a puddle
- 6. Relative clause on subject with covert extracted wh-NP the snow that is melting into a puddle
- 7. Relative clause on object of PP with overt extracted wh-NP the puddle which the snow is melting into

- 8. Relative clause on object of PP with covert extracted wh-NP the puddle that the snow is melting into
- 9. Relative clause on PP with PP pied-piping
  the puddle into which the snow is melting
- 10. Adjunct (gap-less) relative clause with PP pied-piping the island where the snow is melting into a puddle
- 11. Adjunct (gap-less) relative clause with covert extracted wh-NP the day the snow was melting into a puddle
- 12. NP gerund
  the snow melting into a puddle
- 13. Imperative  $melt\ into\ a\ puddle$