## Intro-to-AI HW03:

## Part 1. CNN:

• Load\_training\_dataset():

```
1. Load the image from the path "data/train/", the subfolders have already been classified

2. Create corresponding dictionary for the labels

3. Scanning all the subfolders from "data/train/", for every subfolder

a. Get the label of the subfolder(like elephant)

b. Use dictionary to turn the label into number,0->elephant, 1->jaguar, 2->lion, 3->parrot, 4-
        c. Run over the images in the subfolder, and get the path of every image
        images = []# All the training images corresponding path
labels = []# Labels to the number,
# Because we know the name and we want to find the corresponding number, so we design to let name
       the key
label_dict = {
    'elephant': 0,
    'jaguar': 1,
    'lion': 2,
    'parrot': 3,
    'penguin': 4
        # Use os.walk() to scan all the subfolders, os.walk() can handle more than 1 layer
for root, _, files in os.walk(path):
                  root: Current folder's path
dirs: sub folders list
files: All files in current folder
                  \# Ignore the ./daata/train/, we want it's subfolder,there have images if {\bf root} == {\bf path:}
                 continue

#Extract the last name of folder

label_name = os.path.basename(root)
                  #Loop through all the files in this folder for file in files:
                          file in files:
# Filter the file to make sure only read .jpg and .png file
if file.endswith(('.jpg', '.png')):
# Put path and corresponding label in each list
labels.append(label_dict[label_name])
# Use se path aim function to paste path and file's name.
        # Use os.path.join function to paste path and file's name together images.append(os.path.join(root, file))
return images, labels
```

• Load test dataset():

```
def __init__(self, num_classes=5):
    # (TODO) Design your CNN, it can only be less than 3
convolution layers
    super(CNN, self).__init__()
    # Use nn.Conv2d to design the convolution layer,
nn.conv2d(in_channels, out_channels, kernel_size, stride, padding)
    #First convolution layer
    self.conv1 = nn.Conv2d(3, 32, kernel_size = 3, padding = 1)
# kernal_size = 3, means 3*3 kernal. padding=1, means input and
output kernal size is the same
    # Second convo;ution layer
    self.conv2 = nn.Conv2d(32, 64, kernel_size = 3, padding = 1)

# Third convolution layer
    self.conv3 = nn.Conv2d(64, 128, kernel_size = 3, padding = 1)

# Use torch.nn.MaxPool2d(kernal_size, stride=None,
padding=0, dilation=1) to design the maxpooling layer)
    self.pool = nn.MaxPool2d(kernel_size = 2)
    # Create a global average pool, which count eevery
channel's average value, means the intensity of the channel
    # Input: (128, 56, 56)-> Output: (128, 1, 1), take every
channel 56*56 matrix, and get the average value of every channel
    self.global_avg_pool = nn.AdaptiveAvgPool2d(1)
    #Create a fully connected layer, combining class score
based on the importance of each channel
    # Use torch.nn.Linear(in_features, out_features,
bias=True), channel means in_features, out_features means the
number of classes
    self.fc = nn.Linear(128, num_classes)
```

• Foward():

```
• • •
    def forward(self, x):
>MaxPool2d)*N -> Flatten -> Fully Connected Layer -> Softmax
\# x means the input of the model, which is a batch of images, and the shape is [B, 3, 224, 224], B means batch size, 3
         x = F.relu(self.conv1(x))
        x = self.pool(x) # [B, 32, 224, 224] -> [B, 32, 112, 112]
        x = F.relu(self.conv2(x))
         x = self.pool(x)# -> [B, 64, 56, 56]
        x = self.global_avg_pool(x)
         x = x.view(x.size(0), -1)
         s = self.fc(x)
```

• Train()

```
"""Implmentation of train function: To update the model's weight and bias, and return the average loss of the data

1. Set the model to the traning mode, and set the model to the

    Set the model to the training mode, and set the model to the device(GPU)
    Keep cycling over the training dataset.
    Clear the gradient of optimizer, or else the gradient will accumulate.

accumulate.
4. Foward pass the model, and get the output of the model.
5. Calculate the loss of the model, and backward pass the model to get the gradient of th emodel
6. Update the model's parameter by optimizer.step()
7. Accumulate the loss of the model, and return the average loss of the data
def train(model: CNN, train_loader: DataLoader, criterion,
optimizer, device)->float:
    # (TODO) Train the model and return the average loss of the
data, we suggest use tqdm to know the progress
    model.train()
          progress_bar = tqdm(train_loader, desc="Training")
for images, labels in train_loader:
                    # Take the data to the GPU
images, labels = images.to(device), labels.to(device)
                   # Clear the gradient of optimizer
optimizer.zero_grad()
                    # Foward pass the model, and get the output of the model
outputs = model(images)
                   the output and the label
loss = criterion(outputs, labels)
 # Use optimizer (optimizer.step() function) to update the model's parameter
         total_loss += loss.item()
   progress_bar.set_postfix(loss=loss.item())
avg_loss = total_loss / len(train_loader)
return avg_loss
```

• Validate():

```
"""Implementation of validate: Test the model on validation dataset 1. Set the model to the evaluation mode, and set the model to the device(GPU) \,
device(GrU)

2. Disable the gradient calculation, because we don't need to update the model's parameter

3. Keep cycling over the validation dataset.

4. Calculate the loss of the model, and calculate the accuracy of
 the model
 5. Return the average loss and accuracy of the data
def validate(model: CNN, val_loader: DataLoader, criterion,
device)->Tuple[float, float]:
    # (TODO) Validate the model and return the average loss and
accuracy of the data, we suggest use tqdm to know the progress
    model.eval()
total loss correct = 0.00
 speed up the process
   with torch.no_grad():
                  for images, labels in val_loader:
  # Take the data to the GPU
                           images, labels = images.to(device), labels.to(device)
# Foward pass the model, and get the output of the
# Use criterion(loss function) to calculate the

difference between the output and label

loss = criterion(outputs, labels)

# Use loss.item() to break the graph, and get the value
# .sum is to count the number of true in tensor, and
.item() is to transform the tensor to a float number
# predicted and labels both value are tensor, so we
don't need to use .numpy() to transform it to numpy array
correct += (predicted == labels).sum().item()
 avg_loss, accuracy = total_loss/len(val_loader), correct/
len(val_loader.dataset)
```

## • Test()

```
"""Implementation of test function: Test the model on testing
1. Set the model to evaluation mode
2. Disable the gradient calculation, because we don't need to update the model's parameter
3. Create Dataloader for test dataset, because we need to test the
model on the test dataset

4. Keep cycling over the test dataset.

5. Foward pass the model, and get the output of the model
def test(model: CNN, test_loader: DataLoader, criterion, device):
    # (TODO) Test the model on testing dataset and write the result
                images = images.to(device)
                                                  # Foward pass the model, and get the output of the
\begin{tabular}{ll} \textbf{output = model(images)} \# \ The \ type \ of \ output \ is \ tensor, \\ and \ the \ shape \ is \ [B, \ 5], \ B \ means \ batch \ size, \ 5 \ means \ the \ number \ of \ output \ of \ output \ is \ output \ of \ output \ is \ output \ of \ output \ is \ output \ of \ output \ of \ output \ of \ output \ of \ output \ outpu
                                                 _, predicted = torch.max(output, 1)
# Append the predicted class and image id to the result
# Turn the result to a pandas dataframe, and save it to 'CNN.csv'
                df = pd.DataFrame(result) #Turn the result to a pandas table
df.to_csv('CNN.csv', index=False) # Save the result to
                 return df
```

• Printing training log

```
• • •
for epoch in range(EPOCHS): #epoch
""" Design the training log, to help us monitor and keep
track of the training process
1. Print each epoch's time and training loss and validation
loss and validation accuracy
2. Keep track of the best accuracy and sace the model if
the accuracy is better than the previous best accuracy
train_loss = train(model, train_loader, criterion, optimizer, device)
val_loss, val_acc = validate(model, val_loader, criterion, device)
                      # Append the result into each list
train_losses.append(train_loss)
val_losses.append(val_loss)
val_accuracies.append(val_acc)
# If the accuracy is better than the previous best
accuracy, save the model
if val.acc > max_acc:
    max_acc = val.acc
    # Use torch.save() tp save the model, torch.save(obj,
f), other parameter just use default value
    # obj in here means the model, and f means the path to
save the model, better way is to store dict in obj
    #, we can save the model and other information in the
dict
# Learning rate means how many change we want to make to
the model's parameter for every epoch
# Higher learning rate means we wan to make more change to
the model's parameter for every epoch
lr = optimizer.param_groups[0]['lr']
           logger.info("Traning completed:")
logger.info(f"Best Accuracy: {max_acc:.4f}")
```

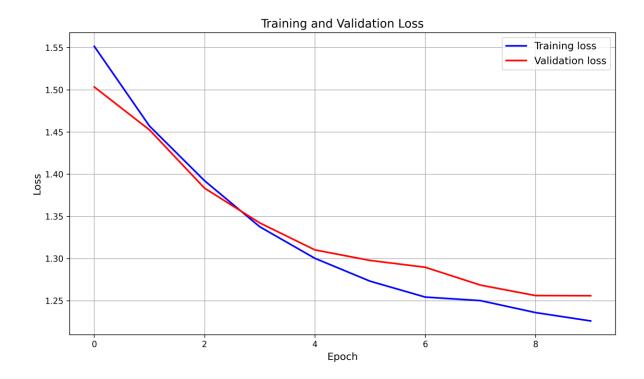
• Plot()

```
• • •
"""Implementation of plot function:
1. Plot the training loss vs epoch and validation loss vs epoch

    Set the x-axis label to 'Epoch' and y-axis label to 'Loss'
    Use blue line for training loss abd red line for validation loss

4. Save the plot to 'loss.png'
def plot(train_losses: List, val_losses: List):
     plt.figure(figsize=(10, 6), dpi=300)
     plt.plot(train_losses, label="Training loss", color="blue",
linewidth=2)
     plt.plot(val_losses, label="Validation loss",
color="red",linewidth=2)
     plt.xlabel("Epoch", fontsize=12)
     plt.ylabel("Loss", fontsize=12)
     plt.title("Training and Validation Loss", fontsize=14)
     plt.grid(True)
     plt.legend(fontsize=12)
     plt.tight_layout()
     plt.savefig("loss.png")
plt.close() # Close the plot to free memory
print("Save the plot to 'loss.png'")
```

• Loss.png:



Part 2. Decision Tree

• Get\_feature\_and\_labels():

```
the features from dataloaders

1. Set the model to evaluation mode, and disable the gradient
2. Loop over the dataloader, and get the featires and labels for
device)->Tuple[List, List]:
    # (TODO) Use the model to extract features from the dataloader,
      model.eval()
      with torch.no_grad():
           for images, label in dataloader:

# Take the data to GPU. label is just number don;t need
                images = images.to(device)
# Foward pass the model, and get the output of the
outputs = model(images)# Output type is tensor, [B, 5],
B means batch size, 5 means the number of classes
                 features.append(outputs.cpu().numpy())
                 labels.append(label.numpy())
# Know the features is like [(B, 5), (B, 5), (B, 5)], so we need to use np.concatenate to combine them together
# Batch means every training, we have to sent B images to model, and epoch means we run through all the images
      features = np.vstack(features) if features else np.array([])
      labels = np.concatenate(labels) if labels else np.array([])
```

• Get\_features\_and\_path():

```
"""Implementation of {\tt get\_features\_and\_paths()}\colon {\tt Use\ CNN\ to\ extracct\ features\ from\ dataloaders}
images
3. Turn the torch tensor value into numpy array, and return the
features and path
\tt def \ get\_features\_and\_paths(model: \ ConvNet, \ dataloader: \ DataLoader,
device)->Tuple[List, List]:
    # (TODO) Use the model to extract features from the dataloader,
return the features and path of the images
     model.to(device)
      with torch.no_grad():
            for images, path in dataloader:
                  # Take the images to GPU
images = images.to(device)
                  outputs = model(images)
                   # Append the result to each list
                  features.append(outputs.cpu().numpy())
prevent nested structure
    """For example:
    batch_paths=['images1.jpg', 'images2.jpg',
'images2.jpg', 'images3.jpg']
path.extend(batch_paths) -> path=['images1.jpg',
# features is like[(B, 5), (B,5), (B,5)], so we stack them together to satrisfy the system's requirement
# Use np.vstack to stack the 2D array.
features = np.vstack(features) if features else np.array([])
      return features, paths
```

• \_build\_tree():

```
# (TODO) Grow the decision tree and return it
    """Implementation of the _build_tree function:
    1. Every node has to have feature_index, thershold, left,
right, and class
right, and class

2. In the recursive, stop condition is:

a. Reach max_depth
b. All the data in the node are the same class
c. No more feature to split
3. Loop throuh all the features and calculate the best
split use function _best_split
4. Split the data into left and right node use function
_split_data(Split the data basd on the best feature and threshold)
5. When feature's value<thershold, go to left node, else go
to right node
6. Keep recursively calling _build_tree to grow left and right tree until meet the stop condition
7. Return the tree node that include feature_index, thershold, left, right and class(only for leaf node to get predicted class
 if(depth>=self.max_depth or len(np.unique(y))==1):# len(np.unique(y))==1 means all the data in node are in the same
 return {'class': np.argmax(np.bincount(y))} # Return
the class of the node, np.bincount(y) is to count the number of
each class in y, and np.argmax is to get the index of the maximum
                        feature, threshold = self._best_split(X,y)
if feature is None: # Can't find the best feature to split
                                 return {'class': np.argmax(np.bincount(y))} # Return
the most common class in the node
# Split the data into left and right node
X_left, y_left, X_right, y_right = self._split_data(X, y,
feature, threshold) # Update the progress bar
self.progress.update(1)
right tree
return {
                                turn {
   'feature_index': feature,
   'threshold: threshold,
   'left': self._build_tree(X_left, y_left, depth+1),
   'right': self._build_tree(X_right, y_right, depth+1),
```

• predict:

```
def predict(self, X: pd.DataFrame)->np.ndarray:
    # (TODO) Call _predict_tree to traverse the decision tree
to return the classes of the testing dataset
    """ Implementation of the predict function:
    X is the DataFrame of the testing dataset, (n_samples,
    n_features) we use X.uterrows to traverse the dataset
    1. Use X.iterrows to traverse the dataset to get the
features
    2. Use _predict_tree to traverse the all the features and
put the result into array
    """
    return np.array([self._predict_tree(x, self.tree) for _, x
in X.iterrows()])
```

• predict tree():

```
def _predict_tree(self, x, tree_node):
    # (TODO) Recursive function to traverse the decision tree
    """Implementation of the _predict_tree function:
    x is the features of the dataset, and tree_node is the
current node of the tree
    1. Check if the node is leaf node, if so return the class
of the node
    2. Check node's feature_index and threshold, if the
featire's value < threshold, go to left_tree, else go to right tree
    """
    if 'class' in tree_node: # 'class' is the jey of leaf-node,
so when we encounter means the node is leaf-node
    return tree_node['class']

if x[tree_node['feature_index']] < tree_node['threshold']:
    return self._predict_tree(x, tree_node['left']) # Go to
left tree
    else:
        return self._predict_tree(x, tree_node['right']) # Go
to right tree</pre>
```

• \_split\_data():

```
def _split_data(self, X: pd.DataFrame, y: np.ndarray,
feature_index: int, threshold: float):
    # (TODO) split one node into left and right node
    """Implementation of the _split_data function:

    Use thershold as the split point to split tge
feature_index into left and right node
    If the feature's calue<thershold, go to left node, else</li>

value of the key

"""Example of extract feature_index from X:

X=np.array([[1,2,3],[4,5,6],[7,8,9]])

X[:,1], :means choose all the rows, and 1 means choose the
           X[:,1] = [2,5,8]
            # Use X[:feature_index]] to get the feature index column
feature_values = X.iloc[:, feature_index]
# Make a bool to check if the feature's value is less than
left_mask = feature_values < threshold # Implementation of
boolean mask, left_mask is a list of bool value
    """Example of boolean mask
            X[\text{left\_mask}] only remain the line when left\_mask is True, so the result is [[1.2, 3.4, 5.6], [2.1, 0.5, 7.8]]
            left_dataset_X, right_dataset_X = X[left_mask],
X[~left_mask]
            left_dataset_y, right_dataset_y = y[left_mask],
y[~left_mask]
            return left_dataset_X, left_dataset_y, right_dataset_X,
right_dataset_y
```

• Best\_split():

```
def _best_split(self, X: pd.DataFrame, y: np.ndarray):
    # (TODO) Use Information Gain to find the best split for a
                Implementation of the _best_split function:

1. Loop through all the features and calculate the best
 2. Best split means to maximize the information gain, gain=entropy(parent) - (weighted average of the entropy of the children)
                best_gain = -1
best_feature_index, best_treshold = None, None
parent_entropy = self._entropy(y)
                te threshold
for feature_index in range(n_features):
    # Get the unique value of the feature
    thresholds = np.unique(X[:, feature_index])
    for threshold in thresholds:
        # Split the data to left node and right node
# Split the data to left node and right node left_x, left_y, right_y = self._split_data(X, y, feature_index, threshold)
# theck if the left and right node is empty, if empty, continue the next feature
# Check y instead of X, because we want to check the label of the dataset
                                continue
# Calculate the left and right node's entropy
left_entropy = self._entropy(left_y)
right_entropy = self._entropy(right_y)
total_len = len(y)

weighted_entropy = (len(left_y)/
total_len)*left_entropy + (len(right_y)/total_len)*right_entropy
                                 gain = parent_entropy-weighted_entropy
# Check if the gain is better than the best gain, if so update the best gain and best feature index and best threshold
return best_feature_index, best_threshold
```

• \_entropy():

```
def _entropy(self, y: np.ndarray)->float:
        """Implementation of entropy function:
        1. np.ndarray is an array, and to calculate the entropy we
need to use the formula: Entropy(S) = -\Sigma (p_i * log<sub>2</sub>(p_i))
        2. Based on the formula, count every class's number in the
        3. Use the probability to calculate the entropy of the
dataset
        4. Return the entropy dataset
        # Use vals, counts=np.unique(y, return_count=true) to count
        """Example of using np.unique(y, return_counts=True):
        y=[1,2,2,3,1,4]
        vals=[1,2,3,4] #vals is all the non-repeated values in y
        counts=[2,2,1,1]# count is the number of each y
        if len(y) == 0:
            return 0.0
        _, counts = np.unique(y, return_counts=True)
        probs = counts/len(y)
        entropy = -np.sum(probs*np.log2(probs+1e-10)) # Add small
        return entropy
```

- Experiment:
- max\_depth = 5: The model is too shallow and may underfit the data, leading to lower validation accuracy.
- max\_depth = 9: The model is deeper and may overfit the training data, so the validation accuracy may increase or decrease depending on how well it generalizes.