

First-Order Logic: Syntax and Deductive Calculi

Yuting Wang

John Hopcroft Center for Computer Science
Shanghai Jiao Tong University

Nov 6, 2023

First-Order Logic

Start reading (to keep up with lecture):

- ▶ Enderton, Chapter 2.0, 2.1
- ▶ Logic In Computer Science, Chapter 2.3

Example

Question

Premises:

- ▶ If it is raining or it is snowing then the sun is not shining.
- ▶ It is raining

Conclusion: The sun is not shining

Is the conclusion a semantic consequence of the premises?

Answer

Yes.

Let A , B , and C represent “it is raining”, “it is snowing” and “the sun is shining”. We can prove

$$\{A \vee B \rightarrow \neg C, A\} \models \neg C$$

Another Example

Question

Premises:

- ▶ All men are mortal
- ▶ Socrates is a man.

Conclusion: Socrates is mortal.

Is the conclusion a semantic consequence of the premises?

Atomic sentences contains assertions on infinite domains.

All men are mortal.

We need a more power logic to handle this kind of reasoning.

Syntax and Semantics of First-Order Logic

Recall that there are two parts to a logic:

- ▶ **Syntax**. It provides
 - ▶ A description of the language, and
 - ▶ Other syntactic constructs (we will see later)
- ▶ **Semantics**. It provides
 - ▶ A way of assigning *meaning* to *valid expressions*
 - ▶ In sentential logic, the meaning will be either TRUE or FALSE
 - ▶ In a first-order logic, the meaning may vary significantly

Let's Begin with the Syntax

Syntax of a First-Order Language \mathbb{L}

We start with the symbols of a first-order language \mathbb{L} .

There are two types of symbols:

- ▶ Logical Symbols, and
- ▶ Non-logical Symbols, also called Parameters

Logical Symbols

In a first-order language \mathbb{L} , we have the following logic symbols:

1. The two symbols (and), called **parentheses**;
2. \wedge , \vee , \rightarrow , \leftrightarrow and \neg . These are **logical connective symbols**;
3. $v_1, v_2, \dots, v_n, \dots$. An enumerable list of symbols called **variables**;
4. $=$. The **identity** or **equality** symbol. It may or may not be present in a particular first-order language.

Note that this $=$ is the equality symbol, not semantic equality.

Parameters

In a first-order language \mathbb{L} , we have the following parameters:

1. \forall . This is called the **universal quantifier**;
2. \exists . This is called the **existential quantifier**;
3. For each $n > 0$, there is a set (possibly empty) of objects called n -ary (or n -place) **predicate symbols**;
 ▶ $=$ is a 2-ary predicate symbols;
4. For each $n > 0$, there is a set (possibly empty) of objects called n -ary (or n -place) **function symbols**;
5. A set (possibly empty) of objects called **constant symbols**.

By a *symbol* we mean either a logical symbol or a parameter.

Example

If a student takes the math logic course and a concept is taught in this course, then the student knows it.

The above sentence is translated into

$$\forall x \forall y (Student(x) \wedge Takes(x, MathLogic) \wedge Concept(y) \wedge Taught(y, MathLogic) \rightarrow Knows(x, y)).$$

- ▶ Constant symbols: MathLogic
- ▶ 1-ary Predicates: Student, Concept
- ▶ 2-ary Predicates: Takes, Taught, Knows

Example: Set Theory

Set theory may be described by the following language:

- ▶ Equality: Yes;
- ▶ Predicate Symbols: a 2-place predicate symbol \in ;
- ▶ Constant Symbols: the empty set \emptyset ;
- ▶ Function Symbols: None.

Example

What does the following formula state?

$$\forall s \exists s' (s \in s' \wedge \neg(s' = \emptyset))$$

Example: Arithmetic on Natural Numbers

Arithmetic on natural numbers may be described by the following language:

- ▶ Equality: Yes;
- ▶ Predicate Symbols: a 2-ary predicate symbol $<$ and 1-ary symbol *Prime*;
- ▶ Constant Symbols: 0, 1, 2, ...;
- ▶ Function Symbols: 2-ary function symbols $+$ and \times .

Example

What does the following formula state?

$$\forall n (2 < n \rightarrow \exists n_1 n_2 (n = n_1 + n_2 \wedge \textit{Prime}(n_1) \wedge \textit{Prime}(n_2)))$$

Expressions

Like in sentential logic, an **expression** in a language \mathbb{L} is a finite sequence of symbols.

Example

$\forall \neg \rightarrow v_1 v_2 v_4$ is an expression.

Terms

Definition

Given any n -ary function symbol f , the term-building operation \mathcal{F}_f is defined by:

$$\mathcal{F}_f(\sigma_1, \dots, \sigma_n) = f(\sigma_1, \dots, \sigma_n)$$

We call σ_i the arguments to f .

Definition

A **term** is an expression built up from constant symbols and variables by applying some finite times of term-building operations.

Example

Example

Suppose:

- ▶ f is a 2-ary function symbol;
- ▶ g is a 3-ary function symbol;
- ▶ c_1 and c_2 are constant symbols.

Then $g(f(c_1, c_2), v_3, c_1)$ is a term.

Atomic Formulas

Definition

An expression is an **atomic formula** if it is of the form $P(t_1, \dots, t_n)$ where t_1, \dots, t_n are terms, and P is a n -ary predicate symbol.

Example

- ▶ $=(v_7, v_3)$ is an atomic formula; We often write is in infix form:
 $v_7 = v_3$;
- ▶ If c_1 and c_3 are constant symbols and f is a 2-ary function symbol, then $=(f(c_1, v_7), c_3)$ or $f(c_1, v_7) = c_3$ is an atomic formula.

Well-Formed Formulas

Definition

The *formula-building operations* include the following:

- ▶ $\xi_{\neg}(\alpha) = (\neg\alpha)$
- ▶ $\xi_{\wedge}(\alpha, \beta) = (\alpha \wedge \beta)$
- ▶ $\xi_{\vee}(\alpha, \beta) = (\alpha \vee \beta)$
- ▶ $\xi_{\rightarrow}(\alpha, \beta) = (\alpha \rightarrow \beta)$
- ▶ $\xi_{\leftrightarrow}(\alpha, \beta) = (\alpha \leftrightarrow \beta)$
- ▶ $\mathcal{Q}_i(\gamma) = \forall v_i \gamma$
- ▶ $\mathcal{P}_i(\gamma) = \exists v_i \gamma$

Definition

A **well-formed formula** (wff, or simply formula) is an expression built up from atomic formulas by applying some finite times of term-building operations.

Example

Example

- ▶ $(\neg \forall v_3 = (v_1, v_2))$ is a wff.
- ▶ $(\forall v_3 = \neg(v_1, v_2))$ is not a wff.

Abbreviations

For simplicity, we adopt the following abbreviations for wffs:

1. We may omit outermost parentheses;
2. \forall, \exists applies to as little as possible;
3. \neg applies to as little as possible, subject to (2)
4. \wedge and \vee apply to as little as possible, subject to (3)
5. \rightarrow and \leftrightarrow apply to as little as possible, subject to (4)
6. When one sentential connective is used repeatedly, grouping is to the right.
7. 2-ary predicate and function symbols are often write in infix form (e.g., $=$, \times , $+$, \in , etc.).

Example: Mortality of Men

Assume the language \mathbb{L} contains the following symbols:

- ▶ *Man*: 1-ary predicate symbol for asserting whether a being is a man;
- ▶ *Mt*: 1-ary predicate symbol for asserting whether a being is a mortal;

Question

How to interpret the sentence “All men are mortal”?

Answer

- ▶ $\forall v_1$ [if v_1 is a man then v_1 is mortal];
- ▶ $\forall v_1$ [v_1 is a man] \rightarrow [v_1 is mortal];
- ▶ $\forall v_1 (Man(v_1) \rightarrow Mt(v_1))$.

Example: Set Theory

In set theory, we have the symbols $=$, \emptyset and \in .

Question

How to interpret the sentence “There is no set of which every set is a member” in first-order logic?

Answer

- ▶ $(\neg[\text{There is some set of which every set is a member}]);$
- ▶ $(\neg\exists v_1[\text{Every set is a member of } v_1]);$
- ▶ $(\neg\exists v_1\forall v_2[v_2 \text{ is a member of } v_1]);$
- ▶ $(\neg\exists v_1\forall v_2 v_2 \in v_1);$

Example: Elementary Arithmetic

In elementary arithmetic, we have the symbols $<$, $+$, \times , 0 and 1 .

- ▶ We represent a natural number n by

$$\underbrace{1 + \dots + 1}_{n \text{ times}} + 0$$

Therefore, $2 + 1 = 3$ is interpreted as

$$(1 + 1 + 0) + (1 + 0) = (1 + 1 + 1 + 0)$$

- ▶ We interpret the sentence “any non-zero natural number is the successor of some natural number” as follows:
 - ▶ $\forall v_1$ [if v_1 is non-zero, then v_1 is the successor of some number]
 - ▶ $\forall v_1 (\neg v_1 = 0 \rightarrow \exists v_2 v_1 = 1 + v_2)$

Summary of Syntax

We introduced the symbols of a first-order language \mathbb{L} , and definitions of:

- ▶ Terms
- ▶ Atomic Formulas
- ▶ Well-Formed Formulas (wffs)

Natural Deduction for First-Order Logic

Rules and Axioms

Similar to proposition but have introduction and elimination rules for quantifiers

- ▶ Law of Excluded Middle
- ▶ Basic Rules + Quantifier Rules

All the concepts related to deductive calculi also work for first-order logic:

- ▶ (Partial) Proof trees
- ▶ Provability: $\Sigma \vdash \alpha$
- ▶ Derived Rules
- ▶ ...

Basic Rules

$$\frac{\alpha \quad \beta}{\alpha \wedge \beta} \wedge-I \quad \frac{\alpha \wedge \beta}{\alpha} \wedge-E_1 \quad \frac{\alpha \wedge \beta}{\beta} \wedge-E_2$$

$$\frac{\alpha}{\alpha \vee \beta} \vee-I_1 \quad \frac{\beta}{\alpha \vee \beta} \vee-I_2 \quad \frac{\alpha \vee \beta \quad \begin{array}{c} [\alpha] \\ \vdots \\ \delta \end{array} \quad \begin{array}{c} [\beta] \\ \vdots \\ \delta \end{array}}{\delta} \vee-E$$

$$\frac{\begin{array}{c} [\alpha] \\ \vdots \\ \beta \end{array}}{\alpha \rightarrow \beta} \rightarrow-I \quad \frac{\alpha \rightarrow \beta \quad \alpha}{\beta} \rightarrow-E \quad \frac{\begin{array}{c} [\alpha] \\ \vdots \\ \beta \end{array} \wedge \neg \beta}{\neg \alpha} \neg-I \quad \frac{\beta \quad \neg \beta}{\alpha} \neg-E$$

$$\frac{\alpha \rightarrow \beta \quad \beta \rightarrow \alpha}{\alpha \leftrightarrow \beta} \leftrightarrow-I \quad \frac{\alpha \leftrightarrow \beta}{\alpha \rightarrow \beta} \leftrightarrow-E_1 \quad \frac{\alpha \leftrightarrow \beta}{\beta \rightarrow \alpha} \leftrightarrow-E_2$$

Substitutions: Terms

Definition

Let u be a term, x be a variable, and t be a term. u_t^x is the result of replacing every occurrence of x in u by t .

Example

Let \mathbb{L} be a language with a 1-ary function symbol f and 2-ary function symbol g , and a constant c . Let $u = g(f(c), x)$ and $t = g(c, x)$. Then

$$u_t^x = g(f(c), g(c, x)).$$

Substitutions: Formulas

Definition

For a wff α , variable x , and term t , let α_t^x be the result of replacing every free occurrence of x in α by t . More precisely, we define α_t^x as follows:

- ▶ If α is atomic, say $\alpha = P(u_1, \dots, u_n)$. Then

$$\alpha_t^x = P(u_{1t}^x, \dots, u_{nt}^x);$$

- ▶ If $\alpha = (\neg\beta)$, then

$$\alpha_t^x = (\neg\beta_t^x)$$

- ▶ If $\alpha = (\beta \rightarrow \gamma)$, then

$$\alpha_t^x = (\beta_t^x \rightarrow \gamma_t^x).$$

- ▶ ...

Substitutions: Formulas (Cont'd)

Definition

► If $\alpha = \forall y \beta$ then

$$\alpha_t^x = \begin{cases} \alpha & \text{if } y = x \\ \forall y \beta_t^x & \text{if } y \neq x \end{cases}$$

► If $\alpha = \exists y \beta$ then

$$\alpha_t^x = \begin{cases} \alpha & \text{if } y = x \\ \exists y \beta_t^x & \text{if } y \neq x \end{cases}$$

Examples

Example

- ▶ $\varphi_x^x = \varphi$
- ▶ $(Q(x) \rightarrow \forall x P(x))_y^x = Q(y) \rightarrow \forall x P(x)$
- ▶ If α is $\exists y \forall x P(x, y) \rightarrow y = x$, then

$$\alpha_t^x = \exists y \forall x P(x, y) \rightarrow y = t.$$

- ▶ If $\alpha = \neg \forall y x = y$, then $\forall x \alpha \rightarrow \alpha_z^x$ is

$$\forall x (\neg \forall y x = y) \rightarrow (\neg \forall y z = y).$$

\forall -Quantifier Rules (First Attempt)

$$\frac{\alpha}{\forall x \alpha} \forall-I \qquad \frac{\forall x \alpha}{\alpha_t^x} \forall-E$$

- ▶ \forall -I: if we can prove α independent of x , then we can prove $\forall x \alpha$;
- ▶ \forall -E: given $\forall x \alpha$, α should hold for any t for x .

Question

How to prove Socrates is mortal?

$$\frac{\frac{\forall x (Man(x) \rightarrow Mt(x))}{Man(Socrates) \rightarrow Mt(Socrates)} \forall-E \quad Man(Socrates)}{Mt(Socrates)} \rightarrow-E$$

Example

Prove $\forall x A(x) \rightarrow (\forall x B(x) \rightarrow \forall y (A(y) \wedge B(y)))$.

$$\frac{\frac{\frac{[\forall x A(x)]}{A(y)} \forall\text{-E} \quad \frac{[\forall x B(x)]}{B(y)} \forall\text{-E}}{A(y) \wedge B(y)} \wedge\text{-I} \quad \forall\text{-I}}{\forall x B(x) \rightarrow \forall y (A(y) \wedge B(y))} \rightarrow\text{-I} \quad \rightarrow\text{-I}$$

Example

Prove $\forall x A(x) \rightarrow \forall x (A(x) \vee B(x))$:

$$\frac{\frac{\frac{[\forall x A(x)]}{A(x)} \forall-I}{A(x) \vee B(x)} \vee-I_1}{\forall x (A(x) \vee B(x))} \forall-I \quad \frac{}{\forall x A(x) \rightarrow \forall x (A(x) \vee B(x))} \rightarrow-I$$

Problem with \forall -I

How to prove that if Socrates is mortal then God is mortal?

$$\frac{Mt(Socrates)}{Mt(God)}$$

Problem: α is NOT independent of x in \forall -I

Free Occurrence of Variables

Definition

- ▶ The variable x **occurs free** in an atomic formula φ iff it occurs in φ ;
- ▶ x **occurs free** in $\neg\alpha$ iff x occurs free in α ;
- ▶ x **occurs free** in $\alpha \rightarrow \beta$ iff x occurs free in α or in β ;
- ▶ x **occurs free** in $\alpha \wedge \beta$ iff x occurs free in α or in β ;
- ▶ ...
- ▶ x **occurs free** in $\forall y \alpha$ iff x occurs free in α and $x \neq y$.
- ▶ x **occurs free** in $\exists y \alpha$ iff x occurs free in α and $x \neq y$.

Sentences

Definition (Sentences)

α is a **sentence** iff no variable occurs free in α .

Remark

We often use σ or τ to stand for sentences.

Examples

Question

Which variables occur free in the following?

▶ $0 < 1$

None, so this is a sentence.

▶ $\forall x (\neg x < y)$

y occurs free, but x *does not*.

▶ $\forall x (\neg x < 0)$

No variable occurs free, so this is a sentence.

▶ $\forall x \forall y (x < y \rightarrow \exists z (x < z \wedge z < y))$

None, so this is a sentence.

\forall -Quantifier Rules (Second Attempt)

$$\frac{\alpha_y^x}{\forall x \alpha} \forall-I \qquad \frac{\forall x \alpha}{\alpha_t^x} \forall-E$$

- ▶ \forall -I now carries a *side condition*: y must not occur free in any assumption not discharged or in $\forall x \alpha$.

Question

Now, can we prove if Socrates is mortal then God is mortal?

Problem with \forall -E

Assume that for any object there is something different. Then there is an object that is not itself.

$$\frac{\forall x \exists y x \neq y}{\exists y y \neq y}$$

Problem: the free occurrence y is **captured** by the existential quantifier!

Substitutability

Definition

Let α be a wff, x be a variable, and t be a term. t is substitutable for x in α if

- ▶ α is atomic, or
- ▶ $\alpha = (\neg\beta)$ and t is substitutable for x in β , or
- ▶ $\alpha = (\beta \rightarrow \gamma)$ and t is substitutable for x in both β and γ , or
- ▶ ...
- ▶ $\alpha = \forall y \beta$ and either
 - ▶ x does not occur free in $\forall y \beta$;
 - ▶ x does occur free in $\forall y \beta$, and t is substitutable for x in β , and y does not occur in t .
- ▶ Similarly for $\alpha = \exists y \beta$.

\forall -Quantifier Rules (Final Attempt)

- ▶ \forall -introduction rule:

$$\frac{\alpha_y^x}{\forall x \alpha} \forall-I$$

It applies only if y does not occur free in any assumption not discharged or in $\forall x \alpha$, and y is substitutable for x in α .

- ▶ \forall -elimination rule:

$$\frac{\forall x \alpha}{\alpha_t^x} \forall-E$$

It applies only if t is substitutable for x in α .

Examples

Prove $\forall x \forall y \alpha \rightarrow \forall y \forall x \alpha$:

$$\frac{\frac{\frac{\frac{[\forall x \forall y \alpha]}{\forall y \alpha_w^x} \forall-E}{\alpha_{wz}^{xy}} \forall-E}{\forall x \alpha_z^y} \forall-I}{\forall y \forall x \alpha} \forall-I \rightarrow-I$$

Note that x and y must pick different instances of variables in $\forall-I$. What if this is violated?

Try prove if everyone trust itself, then everyone trusts everyone.

Example

Suppose we have defined E (Even) and O (Odd) such that

- ▶ $\forall n (\neg E(n) \rightarrow O(n));$
- ▶ $\forall n (O(n) \rightarrow \neg E(n));$

Prove $\forall n (E(n) \vee O(n)).$

$$\begin{array}{c}
 \frac{\{E(m) \vee \neg E(m)\} \quad \frac{[E(m)]}{E(m) \vee O(m)} \vee\text{-}I_1}{E(m) \vee O(m)} \vee\text{-}E \\
 \frac{\frac{\frac{\frac{\forall n (\neg E(n) \rightarrow O(n))}{\neg E(m) \rightarrow O(m)} \forall\text{-}E \quad [\neg E(m)]}{O(m)} \rightarrow\text{-}E}{E(m) \vee O(m)} \vee\text{-}I_2}{\frac{E(m) \vee O(m)}{\forall n (E(n) \vee O(n))} \forall\text{-}I}
 \end{array}$$

Question

How to prove $\forall n \neg(E(n) \wedge O(n))$?

\exists -Quantifier Rules

Those rules are dual of \forall -rules:

- ▶ \exists -introduction rule:

$$\frac{\alpha_t^x}{\exists x \alpha} \exists-I$$

It applies only if t is substitutable for x in α .

- ▶ \exists -elimination rule:

$$\frac{\exists x \alpha \quad \begin{array}{c} [\alpha_y^x] \\ \vdots \\ \beta \end{array}}{\beta} \exists-E$$

It applies only if y does not occur free in any assumption not discharged, in $\exists x \alpha$, or in β , and y is substitutable for x in α .

Example

Prove $\exists x (A(x) \wedge B(x)) \rightarrow \exists x A(x)$:

$$\frac{\frac{\frac{[\exists x (A(x) \wedge B(x))]}{\exists x A(x)} \exists\text{-}E \quad \frac{\frac{[A(y) \wedge B(y)]}{A(y)} \wedge\text{-}E_1}{\exists x A(x)} \exists\text{-}E}{\exists x (A(x) \wedge B(x)) \rightarrow \exists x A(x)} \rightarrow\text{-}I$$

Question

► Prove $\exists x (A(x) \vee B(x)) \leftrightarrow \exists x A(x) \vee \exists x B(x)$;

Example

Prove $\forall x (A(x) \rightarrow \neg B(x)) \rightarrow \neg \exists x (A(x) \wedge B(x))$

$$\begin{array}{c}
 \frac{[A(y) \wedge B(y)]}{B(y)} \wedge\text{-}E_2 \qquad \frac{\frac{[\forall x (A(x) \rightarrow \neg B(x))]}{A(y) \rightarrow \neg B(y)} \forall\text{-}E \quad \frac{[A(y) \wedge B(y)]}{A(y)} \rightarrow}{\neg B(y)} \rightarrow\text{-}E \\
 \frac{[\exists x (A(x) \wedge B(x))]}{B(y) \wedge \neg B(y)} \wedge\text{-}I \qquad \neg\text{-}E \\
 \frac{B(y) \wedge \neg B(y)}{\neg \exists x (A(x) \wedge B(x))} \neg\text{-}I \\
 \frac{\neg \exists x (A(x) \wedge B(x))}{\forall x (A(x) \rightarrow \neg B(x)) \rightarrow \neg \exists x (A(x) \wedge B(x))} \rightarrow\text{-}I
 \end{array}$$

Is the above proof correct?

Example

Show that the following two different expression of “nobody trusts a politician” are equivalent ($P(x)$ denotes x is a politician and $Trusts(y, x)$ denotes y trusts x):

- ▶ $\neg \exists x \exists y (P(x) \wedge T(y, x))$
- ▶ $\forall x (P(x) \rightarrow \forall y (\neg T(y, x)))$

Challenge

“It is impossible that there is a barber that shaves all and only people who do not shave themselves.”

Informal argument:

- ▶ Suppose a barber shaves himself. By assumption he does not shave himself;
- ▶ Suppose a barber does not shave himself. By assumption he should shave himself.

Challenge: turn the reasoning above into natural deduction.

Connection of \forall (\exists) with \wedge (\vee)

\forall is really glorified \wedge . If the domain of x is finite (t_1, \dots, t_n) , then
 $\forall x \alpha = \alpha_{t_1}^x \wedge \dots \wedge \alpha_{t_n}^x$.

$$\frac{\alpha_{t_1}^x \quad \dots \quad \alpha_{t_n}^x}{\alpha_{t_1}^x \wedge \dots \wedge \alpha_{t_n}^x} \forall-I \qquad \frac{\alpha_{t_1}^x \wedge \dots \wedge \alpha_{t_n}^x}{\alpha_{t_i}^x} \forall-E$$

\exists is really glorified \vee . If the domain of x is finite (t_1, \dots, t_n) , then
 $\exists x \alpha = \alpha_{t_1}^x \vee \dots \vee \alpha_{t_n}^x$.

$$\frac{\alpha_{t_i}^x}{\alpha_{t_1}^x \vee \dots \vee \alpha_{t_n}^x} \exists-I \qquad \frac{\alpha_{t_1}^x \vee \dots \vee \alpha_{t_n}^x \quad \begin{array}{c} [\alpha_{t_1}^x] \\ \vdots \\ \beta \end{array} \quad \dots \quad \begin{array}{c} [\alpha_{t_n}^x] \\ \vdots \\ \beta \end{array}}{\beta} \exists-E$$

Alternative Form of Natural Deduction

Basic Rules

Rules for deriving *judgements* of the form $\Sigma \vdash \alpha$:

$$\frac{}{\alpha \vdash \alpha} \text{Initial} \qquad \frac{\Delta \vdash \alpha \quad \Delta \subseteq \Sigma}{\Sigma \vdash \alpha} \text{Weakening}$$

$$\frac{\Sigma \vdash \alpha \quad \Sigma \vdash \beta}{\Sigma \vdash \alpha \wedge \beta} \wedge\text{-I} \qquad \frac{\Sigma \vdash \alpha \wedge \beta}{\Sigma \vdash \alpha} \wedge\text{-E}_1 \qquad \frac{\Sigma \vdash \alpha \wedge \beta}{\Sigma \vdash \beta} \wedge\text{-E}_2$$

$$\frac{\Sigma \vdash \alpha}{\Sigma \vdash \alpha \vee \beta} \vee\text{-I}_1 \qquad \frac{\Sigma \vdash \beta}{\Sigma \vdash \alpha \vee \beta} \vee\text{-I}_2$$

$$\frac{\Sigma \vdash \alpha \vee \beta \quad \Sigma; \alpha \vdash \delta \quad \Sigma; \beta \vdash \delta}{\Sigma \vdash \delta} \vee\text{-E}$$

More Basic Rules

$$\frac{\Sigma; \alpha \vdash \beta}{\Sigma \vdash \alpha \rightarrow \beta} \rightarrow-I \quad \frac{\Sigma \vdash \alpha \rightarrow \beta \quad \Sigma \vdash \alpha}{\Sigma \vdash \beta} \rightarrow-E$$

$$\frac{\Sigma; \alpha \vdash \beta \wedge \neg\beta}{\Sigma \vdash \neg\alpha} \neg-I \quad \frac{\Sigma \vdash \beta \quad \Sigma \vdash \neg\beta}{\Sigma \vdash \alpha} \neg-E$$

$$\frac{\Sigma \vdash \alpha \rightarrow \beta \quad \Sigma \vdash \beta \rightarrow \alpha}{\Sigma \vdash \alpha \leftrightarrow \beta} \leftrightarrow-I$$

$$\frac{\Sigma \vdash \alpha \leftrightarrow \beta}{\Sigma \vdash \alpha \rightarrow \beta} \leftrightarrow-E_1 \quad \frac{\Sigma \vdash \alpha \leftrightarrow \beta}{\Sigma \vdash \beta \rightarrow \alpha} \leftrightarrow-E_2$$

\forall -Quantifier Rules

- ▶ \forall -introduction rule:

$$\frac{\Sigma \vdash \alpha_y^x}{\Sigma \vdash \forall x \alpha} \forall-I$$

It applies only if y does not occur free in Σ or in $\forall x \alpha$.

- ▶ \forall -elimination rule:

$$\frac{\Sigma \vdash \forall x \alpha}{\Sigma \vdash \alpha_t^x} \forall-E$$

It applies only if t is substitutable for x in α .

\exists -Quantifier Rules

- ▶ \exists -introduction rule:

$$\frac{\Sigma \vdash \alpha_t^x}{\Sigma \vdash \exists x \alpha} \exists-I$$

It applies only if t is substitutable for x in α .

- ▶ \exists -elimination rule:

$$\frac{\Sigma \vdash \exists x \alpha \quad \Sigma; \alpha_y^x \vdash \beta}{\Sigma \vdash \beta} \exists-E$$

It applies only if y does not occur free in Σ , in $\exists x \alpha$, or in β .

Examples

Prove

$$\vdash \forall x (A(x) \rightarrow \neg B(x)) \rightarrow \neg \exists x (A(x) \wedge B(x)).$$

Exercises: Reprove the other examples using rules for deriving judgments.

Type Theory

Principle of Type Theories

Curry-Howard Correspondence or Propositions-as-types:

Remark

The following two statements are equivalent:

- ▶ e is a proof tree for the formula α ;
- ▶ e is a program of type α .

In other words:

- ▶ Proofs are programs;
- ▶ Propositions (formulas) are types.

A Simple Theory of Types

Deriving judgments of the form $\Sigma \vdash e : \alpha$ where

$\Sigma = \{x_1 : \alpha_1, \dots, x_n : \alpha_n\}$, meaning that a program e whose free variables are in $\{x_1, \dots, x_n\}$ has type α .

$$e = x \mid \lambda x. e' \mid (e_1 \ e_2)$$

$$\frac{}{x : \alpha \vdash x : \alpha} \text{Initial} \qquad \frac{\Delta \vdash \alpha \quad \Delta \subseteq \Sigma}{\Sigma \vdash \alpha} \text{Weakening}$$

$$\frac{\Sigma, x : \alpha \vdash e : \beta}{\Sigma \vdash \lambda x. e : \alpha \rightarrow \beta} \rightarrow\text{-I}(x \notin \Sigma)$$

$$\frac{\Sigma \vdash e_1 : \alpha \rightarrow \beta \quad \Sigma \vdash e_2 : \alpha}{\Sigma \vdash (e_1 \ e_2) : \beta} \rightarrow\text{-E}$$

Enrichment with Basic Types

We add a basic type called *nat* to denote natural numbers and the typing rules for their operations

$$e = x \mid \lambda x. e' \mid (e_1 \ e_2) \mid i \mid e_1 + e_2 \mid e_1 * e_2$$

$$\frac{i \in \{0, 1, 2, \dots\}}{\vdash i : \text{nat}} \text{ Const}$$

$$\frac{\Sigma \vdash e_1 : \text{nat} \quad \Sigma \vdash e_2 : \text{nat}}{\Sigma \vdash e_1 + e_2 : \text{nat}} \text{ Add}$$

$$\frac{\Sigma \vdash e_1 : \text{nat} \quad \Sigma \vdash e_2 : \text{nat}}{\Sigma \vdash e_1 * e_2 : \text{nat}} \text{ Mult}$$

Examples

Derive the following typing judgments



$$x : nat, y : nat \vdash (\lambda x. \lambda y. x * x + y * y) : nat \rightarrow nat \rightarrow nat$$



$$x : nat \vdash ((\lambda x. \lambda y. x * x + y * y) x) : nat \rightarrow nat$$



$$x : nat \vdash (((\lambda x. \lambda y. x * x + y * y) x) 2) : nat$$

Advanced Type Theories

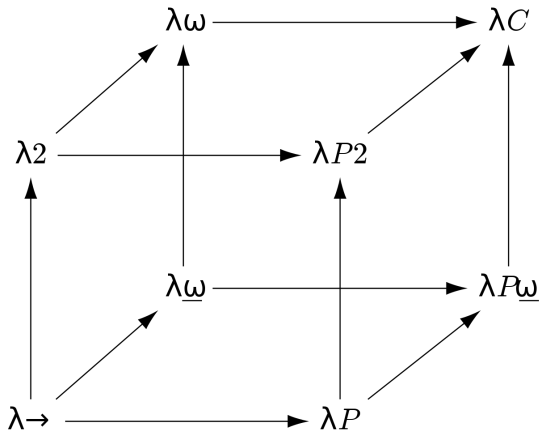


Figure: Lambda Cube

https://en.wikipedia.org/wiki/Lambda_cube