

Sets, Functions and Relations

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Notations

Get familiar with the following notations

- ▶ $P \& Q$ is an abbreviation of: P and Q ;
- ▶ $P \parallel Q$ is an abbreviation of: P or Q ;
- ▶ $\sim P$ is an abbreviation of: not P ;
- ▶ $P \implies Q$ is an abbreviation of: if P then Q ;
- ▶ $P \iff Q$ is an abbreviation of: P if and only if Q ;
- ▶ $\dot{\exists}x, P$ is an abbreviation of: there exists an x such that P ;
- ▶ $\dot{\forall}x, P$ is an abbreviation of: for all x such that P ;

Sets

A set is a collection of elements.

Example

\mathbb{N} (natural numbers), \mathbb{Z} (integers), \mathbb{Q} (rationals), \mathbb{R} (reals)

Given a statement P about x , $\{x \mid P(x)\}$ is a set of objects such that $P(x)$ is true.

Example

- ▶ $\{x \mid x \in \mathbb{N} \text{ \& } x \text{ is divisible by } 2\};$
- ▶ Alternatively: $\{x \in \mathbb{N} \mid x \text{ is divisible by } 2\};$

Set Operations

- ▶ $A \cup B = \{x \mid x \in A \mid\mid x \in B\};$
- ▶ $A \cap B = \{x \mid x \in A \& x \in B\};$
- ▶ $A \setminus B = \{x \mid x \in A \& x \notin B\};$
- ▶ $A \times B = \{\langle x, y \rangle \mid x \in A \& y \in B\};$
- ▶ $A \subseteq B$ if every member of A is a member of B ;
- ▶ $A \subset B$ if $A \subseteq B$ & $A \neq B$;

Relations

Given n sets A_1, \dots, A_n , a relation over them is a subset of $A_1 \times \dots \times A_n$.

Definition (Binary Relations)

A **binary relation** R is a relation over $A \times B$ given some A and B .

- ▶ The domain of R (written $dom(R)$) is $\{x \mid \exists y, \langle x, y \rangle \in R\}$;
- ▶ The range of R (written $rng(R)$) is $\{y \mid \exists x, \langle x, y \rangle \in R\}$;

Example

$<$ is the relation $\{\langle x, y \rangle \in \mathbb{N} \times \mathbb{N} \mid x \text{ is less than } y\}$

A binary relation R on A is

- ▶ reflexive iff $\langle x, x \rangle \in R$ for every $x \in A$;
- ▶ symmetric iff $\langle x, y \rangle \in R \rightarrow \langle y, x \rangle \in R$;
- ▶ transitive iff $\langle x, y \rangle \in R \ \& \ \langle y, z \rangle \in R \implies \langle x, z \rangle \in R$

An **equivalence relation** is a relation satisfying all three properties.

Functions

Definition (Functions)

A **function** $f : A \rightarrow B$ is a binary relation over $A \times B$ satisfying the following property:

- ▶ its domain is A ;
- ▶ for every $x \in A$, there is a unique $y \in B$ s.t. $\langle x, y \rangle \in f$.

We write $f(x)$ for the value in B related to x by f .

One-to-One Correspondence

Definition (One-to-One Correspondence)

A function $f : A \rightarrow B$ is

- ▶ **one-to-one** (injective) if for every $x, y \in A$,
 $f(x) = f(y) \implies x = y$;
- ▶ **onto** (surjective) if for every $y \in B$, there is some $x \in A$ s.t.
 $f(x) = y$;
- ▶ an **one-to-one correspondence** (bijective) between A and B if f is both one-to-one and onto.

One-to-One Correspondence (Cont'd)

Example

\mathbb{Z} is one-to-one correspondent to \mathbb{N} .

We use the notion of one-to-one correspondence between infinite sets to talk about the size of infinite sets.

Finite Sets

Definition

- ▶ The set X is **finite** if there is a natural number n and a one-to-one correspondence between X and $\{0, \dots, n\}$;
- ▶ The set X is **infinite** if it is not finite.

Enumerable Sets

Definition

- ▶ The set X is **enumerable** if there is a one-to-one correspondence between X and \mathbb{N} ;

Example

\mathbb{Z} is enumerable.

Listings of Sets

Definition

Let A be a set. a_0, \dots, a_n, \dots is a **listing** of A if

- ▶ each a_i is in A , and
- ▶ every member of A is equal to a_n for some $n \in \mathbb{N}$.

Theorem

The set A is enumerable iff there is some listing without repetitions of A .

Example

\mathbb{Q} is enumerable.

Countable Sets

Definition

- ▶ The set X is **countable** if it is finite or enumerable;
- ▶ The set X is **uncountable** if it is not countable.

Theorem

- ▶ The set X is countable iff there is a one-to-one mapping $f : X \rightarrow \mathbb{N}$;
- ▶ The set X is countably infinite iff it is enumerable;

Listings of Countable Sets

Theorem

- ▶ The set A is countable and nonempty iff there is some listing with possible repetitions of A .

More about Countable Sets

Proposition

If A is enumerable and $B \subseteq A$ then B is countable.

There are lots of Countable Sets

Theorem

1. A and B countable implies $A \cup B$, $A \cap B$ and $A \times B$ are countable.
2. if each of A_0, \dots, A_n, \dots is countable then the union, i.e. $\bigcup \{A_n \mid n \in \mathbb{N}\}$, is countable.
3. if A is countable and non-empty then the set of all finite sequences of members of A is countable.

How do we find an uncountable set?

The Power Set Operation

Definition

The power set of the set A is:

$$\mathcal{P}(A) = \{X \mid X \subseteq A\}$$

Theorem (Cantor's Theorem)

$\mathcal{P}(\mathbb{N})$ is uncountable.

Proof.

By a Diagonal Argument!



Corollary

\mathbb{R} is uncountable.

Is $\mathcal{P}(\mathbb{N})$ the biggest set?

Domination of Sets

Definition

- ▶ $A \preceq B$ if there is a one-to-one function $f : A \rightarrow B$;
- ▶ $A \prec B$ if $A \preceq B$ but not $B \preceq A$;
- ▶ $A \equiv B$ if $A \preceq B$ and $B \preceq A$.

Remark

- ▶ $A \preceq B$ is our way of saying A is no bigger than B ;
- ▶ $A \prec B$ is our way of saying A is smaller than B ;
- ▶ $A \equiv B$ is **one** way of saying A and B have the same size.

Cantor-Schröder-Bernstein Theorem

Two different ways to say A and B have the same size:

1. $A \equiv B$, and
2. There is a one-to-one correspondence between A and B .

Are they equivalent?

Theorem (Cantor-Schröder-Bernstein)

$A \equiv B$ iff there is a one-to-one correspondence between A and B .

Cantor's Theorem

Theorem (Cantor)

For every set A , $A \prec \mathcal{P}(A)$.

Proof.

Show that any $f : A \rightarrow \mathcal{P}(A)$ cannot be surjective.



Corollary

1. For every A there is a B s.t. $A \prec B$;
2. $\mathcal{P}(\mathbb{N})$ is uncountable.

Sets of Functions

Definition

A^B is the set of all functions that map A into B .

Example

$A^{\{0,1\}}$ is the set of all functions that map A into $\{0,1\}$. Suppose $f \in A^{\{0,1\}}$. Let $X = \{x \in A \mid f(x) = 1\}$. Then f is the characteristic function of X , i.e. $f = C_X$. Thus $A^{\{0,1\}}$ is the set of all characteristic functions of subsets of A .

Characteristic Functions and Power Set

Exercise

Find a one-to-one correspondence between ${}^A\{0, 1\}$ and $\mathcal{P}(A)$.

A special case:

Theorem

There is a one-to-one correspondence between ${}^{\mathbb{N}}\{0, 1\}$ and $\mathcal{P}(\mathbb{N})$.

In particular,

Theorem

${}^{\mathbb{N}}\{0, 1\}$ is uncountable.

More Uncountable Sets

Theorem

$\mathbb{N}\mathbb{N}$ is uncountable.

The Continuum Hypothesis

Question

Is there a set A s.t. $\mathbb{N} \prec A \prec \mathcal{P}(\mathbb{N})$?

If there is such a set A it would be “bigger” than \mathbb{N} but “smaller” than $\mathcal{P}(\mathbb{N})$.